1	IDEAS AND PERSPECTIVES
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3	Applying trait-based models to achieve functional targets for theory-driven ecological
4	restoration
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14	Running title: Trait-based restoration ecology
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16	Word counts: Abstract = 196, Main text = 6364, Text box $1 = 734$, Text box $2 = 482$
17	Tables: 1
18	Figures: 5
19	Text boxes: 2
20	References: 80
21	
22	
23	Keywords: reference conditions, novel ecosystems, ecosystem management, environmental
24	filtering, functional traits, functional diversity, community assembly, mass ratio, limiting
25	similarity, trait hierarchies

27 Abstract

28 Manipulating community assemblages to achieve functional targets is a key component of 29 restoring degraded ecosystems. The response-and-effect trait framework provides a 30 conceptual foundation for translating restoration goals into functional trait targets, but a 31 quantitative framework has been lacking for translating trait targets into assemblages of 32 species that practitioners can actually manipulate. This paper describes new trait-based 33 models that can be used to generate ranges of species abundances to test theories about which 34 traits, which trait values, and which species assemblages are most effective for achieving 35 functional outcomes. These models are generalizable, flexible tools that can be widely 36 applied across many terrestrial ecosystems. Examples illustrate how the framework generates 37 assemblages of indigenous species to (1) achieve desired community responses by applying 38 the theories of environmental filtering, limiting similarity and competitive hierarchies, or (2) 39 achieve desired effects on ecosystem functions by applying the theories of mass ratios and 40 niche complementarity. Experimental applications of this framework will advance our 41 understanding of how to set functional trait targets to achieve the desired restoration goals. A 42 trait-based framework provides restoration ecology with a robust scaffold on which to apply 43 fundamental ecological theory to maintain resilient and functioning ecosystems in a rapidly 44 changing world.

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52 **Restoration goals and functional targets**

53 The restoration of degraded ecosystems is one of the greatest environmental 54 challenges of the 21st Century (Dobson et al. 1997; Hobbs & Harris 2001). Changing land-55 uses, novel disturbances, invasive species, over-harvesting, and climate change have led to 56 increasing rates of species extinction and habitat degradation, thereby reducing the capacity 57 of ecosystems to produce goods and maintain critical services (Chapin et al. 2000; Cardinale 58 et al. 2012). Society will inevitably place increasing pressure on ecologists to restore 59 functioning ecosystems and the services they provide (Suding 2011); however, there are still 60 many uncertainties about how to restore dynamic communities and ecosystems in an era of 61 rapid environmental change (Hobbs & Cramer 2008). This paper introduces quantitative trait-62 based models that can be used to generate ranges of species abundances to test hypotheses 63 about which trait values and species assemblages will be most effective for achieving 64 functional restoration targets.

65 Ecological restoration, broadly defined, is the practice of assisting the recovery of degraded ecosystems and therefore includes a wide variety of goals (Fig. 1). In cases of 66 67 extreme degradation, such as open-pit mines or channelized streams, the remediation and rehabilitation of abiotic physical site characteristics is required before the biotic community 68 69 can be re-established (Hobbs & Cramer 2008). If the abiotic conditions of a site are relatively 70 intact, then restoration activities focus on manipulating the biotic community. Such 71 manipulations are done to achieve a broad variety of restoration objectives (Fig. 1). For 72 example, many government agency-based and community-based restoration projects target 73 the establishment of native plant cover to achieve conservation-oriented goals, such as 74 increasing wildlife habitat or replacing lost communities due to land-use change.

75 The first step in restoring degraded ecosystems is quantifying the differences between 76 current conditions, desired future conditions, and the range of natural variability in ecosystem 77 structure, function, and composition (White & Walker 1997; Landres et al. 1999). The 78 concept of the 'range of natural variability' of community composition acknowledges that 79 native communities are dynamic across space and time and encompass a range of species 80 abundance distributions (Landres et al. 1999). Many ecologists have demonstrated that the 81 historical range of natural variability under pre-industrial human influence is an appropriate 82 target because it reflects the evolutionary environment that has shaped the adaptations of the 83 local species pool (Moore et al. 1999; Swetnam et al. 1999).

84 Using history as a frame of reference has been challenged because it is difficult to 85 justify the choice of a specific time period in history when many landscapes have experienced 86 centuries of human modification (Thorpe & Stanley 2011). Moreover, climate change and 87 other significant global change processes (e.g., nitrogen deposition) are making historical 88 ecosystem conditions less relevant (Harris et al. 2006; Millar et al. 2007; Choi et al. 2008; 89 Hobbs et al. 2009). Changing biotic and abiotic conditions are forcing ecosystems across 90 thresholds into alternative stable, novel states that have not been witnessed in human history 91 (Suding et al. 2004; Quétier et al. 2007; Hobbs et al. 2009). There are circumstances when 92 historical ecosystems are still useful references because they may be resilient to predicted 93 future changes (Fulé 2008), but, in general, these new challenges have raised the stakes and 94 heightened the importance of deciding how we set targets in restoration projects. The 95 objectives of ecological restoration are evolving into more complicated, forward-looking goals of maintaining resilient assemblages and ecosystem functions in environments that may 96 97 have no historical analog (Suding 2011).

Restoration practitioners require rigorous, theory-based approaches to restore
 degraded ecosystems under novel conditions. Increasing emphasis is therefore being placed

100 on defining functional targets for maintaining vital ecosystem processes and for responding to 101 changing abiotic conditions, rather than on restoring historical assemblages that may not 102 survive in a rapidly changing world (Hobbs & Cramer 2008; Seastedt et al. 2008; Jackson & 103 Hobbs 2009). Functional traits and functional diversity metrics can be used to evaluate 104 functional responses to restoration projects (van Bodegom et al. 2006; Sandel et al. 2011; 105 D'Astous et al. 2013; Hedberg et al. 2013). Translating restoration goals into functional trait 106 targets can be accomplished by viewing ecosystem restoration as a process of reassembly 107 (Funk et al. 2008) that, in turn, has important consequences for ecosystem functioning 108 (Lavorel et al. 2013).

109 The 'response-and-effect trait framework' (Suding et al. 2008) provides a conceptual 110 foundation on which to ground a trait-based restoration ecology because it unifies the 111 processes of community assembly and biodiversity effects on ecosystem function (Fig. 1). 112 Response traits are functional properties that determine the response of organisms to 113 environmental conditions, such as resource availability and disturbance. Response traits 114 influence how communities are assembled via environmental filtering and species 115 interactions (Fig. 1, Table 1). Environmental filters determine which species from the 116 regional species pool can survive in the given environmental conditions (Keddy 1992; 117 Fattorini & Halle 2004; White & Jentsch 2004). Environmental filters select for functional 118 traits that promote fitness and select against traits that yield poor performance, so restoration 119 projects can target trait values that will optimise fitness. Under this framework, assemblages 120 of species with strategically-chosen functional traits may establish more successfully and be 121 more able to adapt to changing environmental conditions than historical assemblages. 122 Applying the theory of environmental filtering has enabled practitioners to select the most appropriate species in order to enhance germination, establishment, growth and reproduction 123 124 (Pywell et al. 2003; Jentsch 2007; Brudvig & Mabry 2008; Roberts et al. 2010), and will

125 improve our understanding of the long-term dynamics of restored communities (White & 126 Jentsch 2004). Manipulation of environmental filters can also be used to eliminate non-native 127 species (Funk & McDaniel 2010). Abiotic conditions are rapidly changing around the planet, 128 which means that historical filters will no longer be operating in the same manner (Harris et 129 al. 2006). Climate-change induced tree mortality and shifts in functional composition are 130 already occurring at a global scale (Allen et al. 2010), so restoration ecology needs to 131 determine how the filters are changing, in order to maintain functioning ecosystems of 132 indigenous species into the future.

133 Response traits also influence the outcome of biotic interactions (*e.g.*, competition) 134 that impose additional filters within communities (Fig. 1, Table 1). Two theories in particular 135 use traits to make predictions about the outcome of competitive interactions: limiting 136 similarity and competitive hierarchies. The theory of limiting similarity originally sought to 137 explain how multiple species can coexist by partitioning resources via niche differentiation 138 and is guided by the principle of competitive exclusion (MacArthur & Levins 1967). Under 139 this theory, species that are functionally similar cannot stably coexist. Limiting similarity has 140 been proposed as a theory to apply when restoring ecosystems that have been invaded by 141 non-native species (Table 1) (Funk et al. 2008). Numerous theories seek to explain why some 142 species can be so invasive outside their native range, including enemy release, altered 143 disturbance regimes, resource availability, and the functional traits of the invader, to name a few (Keane & Crawley 2002). If a non-native species is invading a native habitat of high 144 145 conservation value, one potential management strategy is to increase the abundance of native 146 species that are functionally similar to the non-native species (D'Antonio & Chambers 2006; 147 Funk et al. 2008). Assuming that functional traits are reliable proxies for comparing resource 148 acquisition and utilization, managers may be able to seed or plant native species with trait 149 values that are optimally similar to the invader to competitively exclude the invader. On the

other hand, competitive hierarchies may also drive species interactions (Table 1) (Keddy & Shipley 1989). Rather than trait similarity, it may be that certain trait values are always more competitive than others. For example, taller species may consistently outcompete shorter neighbours for light (Keddy & Shipley 1989), or species with high wood density and high specific leaf area (SLA) may have stronger competitive effects on neighbours with lower wood density and SLA (Kunstler *et al.* 2012). In these instances, native species with these more competitive trait values can be selected to exclude non-native species.

157 Effect traits are functional properties that determine the effect of organisms on 158 ecosystem functions, such as biogeochemical cycling (Fig. 1, Table 1). Awareness of plant 159 species effects on ecosystem processes is rapidly increasing, and the role of plant traits is 160 central to this understanding (Kardol & Wardle 2010). Plant traits have been shown to 161 influence primary production, litter decomposition, soil respiration, nutrient cycling, and soil 162 moisture retention (Eviner & Chapin 2003), and their potential for altering ecosystem 163 processes in desirable ways has not yet been fully exploited in ecological restoration projects. 164 Effect traits are not always mutually exclusive from response traits (Lavorel & Garnier 2002; 165 Funk et al. 2008), but their conceptual distinction is useful when setting restoration targets. 166 Two hypotheses have been proposed to explain how communities drive ecosystem processes. 167 Mass ratio theory proposes that plant species effects on ecosystem processes are in proportion 168 to their relative input to primary production and are therefore driven by the traits of dominant 169 species (Grime 1998). Diversity theory proposes that the range of functional traits in a 170 community positively affects ecosystem functioning through complementary use of resources 171 (Cardinale et al. 2012) (Fig. 1, Table 1). Tests of the relative importance of each mechanism 172 indicate that both are important, depending on the function and the ecosystem (Díaz et al. 2007; Laughlin 2011). Mass ratio effects and functional diversity effects may also be 173 174 complementary mechanisms. For example, average leaf nitrogen concentration and

complementarity of rooting depth may enhance productivity simultaneously. A framework
for translating trait diversity, and not just trait means, into species abundance distributions is
clearly needed.

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179 **Operationalising the response-and-effect trait framework**

180 Applying the response-and-effect trait framework to achieve quantitative functional 181 targets in ecological restoration is currently hindered by a straightforward mechanism of 182 translating functional trait targets into species assemblages that can be manipulated by 183 practitioners. Ecological restoration projects have historically selected species based on 184 categorical tables of habitat requirements (e.g., mesic vs. xeric, shade vs. sun), uses (e.g., 185 wildlife uses, conservation value, horticultural value, livestock grazing), and ecosystem 186 functions (e.g., adds nitrogen, retains nitrogen) (e.g., Packard and Mutel (1997)). Restoration 187 practitioners have a wealth of practical experience for choosing species in restoration projects 188 based on years of trial and error (Rosenthal 2003; Padilla et al. 2009) and they already use 189 traits implicitly when they choose species with physiological adaptations to match particular 190 environmental conditions (Ehleringer & Sandquist 2006). Deriving lists of species in this way 191 has proven useful in practice over the years for establishing native plant cover and achieving 192 conservation-oriented goals. However, this classic approach does not allow for a rigorous 193 quantification of specific functional trait targets and the derivation of species abundance 194 distributions that will achieve such targets, and it does not inform restoration under novel 195 conditions because it is less predictive in nature.

Land managers do not explicitly manage functional traits because these are properties
of the organisms that they are managing. As such, managers also do not directly manage
functional diversity metrics (*e.g.*, FDiv or RaoQ) or community-weighted mean traits (*i.e.*,
community-level mean trait values weighted by the relative abundance of species), but they

are experts at managing species abundances. Therefore, in order to operationalise the
response-and-effect trait framework for ecological restoration, a quantitative mapping from
functional trait targets onto species assemblages is required to enable restoration practitioners
to make specific manipulations of species abundances to achieve a functional outcome.

204 Quantitative frameworks can move ecological restoration forward from a practice that 205 relies on simple lists of candidate species to one that can target specific species abundance 206 distributions based on functional traits. Different relative abundance distributions derived 207 from a common list of species can produce communities with strongly contrasting 208 community-level mean traits, so paying careful attention to abundance distributions is critical. 209 Generalizable trait-based models are necessary because they can generate abundance 210 distributions for any number of species using any number of traits. Suppose that a restoration 211 project has decided that the restored community should have low specific leaf area (SLA) 212 values to maximise survival in an increasingly stressful environment. It is relatively straight-213 forward to rank species from a regional pool based on their SLA values and then select 214 species from the list that have low SLA. But suppose the practitioners wish to select species 215 according to multiple traits simultaneously (e.g., low SLA, high wood density, and early 216 flowering times) that may be independent from each other (Eviner & Chapin 2003). This 217 selection is not always straightforward, especially when the species pool is large. Quantitative 218 frameworks operationalise this process to determine which species meet these multiple 219 criteria.

Recently proposed trait-based models of community assembly have potential to be very useful in restoration ecology for translating trait-based targets into ranges of species abundances (Laughlin & Laughlin 2013). As they are currently formulated, these models produce discrete relative abundance distributions, *i.e.*, proportional abundances for every species in a regional species pool in a given environment (Shipley *et al.* 2006; Laughlin *et al.*

2012). However, ecological communities are not static (White & Jentsch 2004) and rigid
restoration targets, such as discrete relative abundance distributions, are unachievable and
unrealistic considering the range of variability that exists in nature (White & Walker 1997).
Trait-based models in their current form need to be modified to be more appropriate for
restoration and management.

230 Two mathematical models can be applied to derive ranges of species abundances that 231 meet functional trait targets. The first model uses mean trait values and uniformly samples 232 solutions from underdetermined systems of linear equations to obtain a range of species 233 abundance distributions. The mathematical formulation of this model is described in Box 1 234 and is a modification of the CATS model (Shipley et al. 2006). The trait targets serve as the 235 constraints on the right-hand sides of the linear equations (Box 1). The second model uses 236 full trait distributions (i.e., mean and variance-covariance) and applies Bayes Theorem and 237 Monte Carlo integration to obtain a range of species abundance distributions. The 238 mathematical formulation is a modification of the Traitspace model (Laughlin et al. 2012) 239 and is described in Box 2. The trait targets are distributions of trait values, which 240 acknowledges that there is a range of variability in appropriate functional trait values and 241 takes intraspecific trait variability into account.

242 To apply these models, restoration goals need to first be translated into functional trait 243 targets (Fig 1, Fig. 2, Table 1). Setting realistic goals with clear targets is fundamental for any 244 restoration and management project. Targets are quantifiable values that can be monitored to 245 evaluate whether the goals of the restoration project have been achieved (Hobbs & Norton 246 1996). In this framework, the targets are trait values (either mean trait values or full trait 247 distributions) for a community that are chosen to either optimise the response of the community to an environmental condition or to optimise the effect of the community on an 248 249 ecosystem process. For example, if the goal of the restoration project is to apply the theory of

environmental filtering to restore a community that is resilient to climate change, then the trait targets are values of the traits that will maximize fitness and performance under projected future climate scenarios (Table 1, Fig. 2). Achieving a trait target by successfully manipulating a community to have the desired traits implies that a functional objective is being met; however, whether the particular functional objective is actually being achieved requires experimental testing. We are still learning which specific trait values achieve such goals.

257 The quantitative framework can be used to generate testable hypotheses about which 258 traits, which trait values, and therefore which species abundances will be most effective at 259 achieving the functional targets of a restoration project by generating different communities 260 that meet different trait targets. Applying these models under experimental conditions will 261 enhance our ability to set appropriate trait targets to meet specific restoration objectives. 262 Consider a hypothetical scenario where a species pool is comprised of nine species that are 263 evenly distributed throughout a two-dimensional trait space (Fig. 2). Suppose that not enough 264 is known about the ecosystem and process under consideration to choose specific trait values 265 as targets. Perhaps we wish to determine which traits will yield optimum fitness under future 266 climate change scenarios. In these cases, the experimenter can select multiple trait targets to test their effectiveness, and for each of the selected trait targets we can derive distinct 267 268 community assemblages (Fig. 2). The effectiveness of these targeted trait values for 269 achieving a functional outcome can then be monitored over time in a variety of experimental 270 conditions (e.g., ambient vs drought conditions) (Fig. 2). Experiments such as these will 271 advance theory-driven restoration ecology to enhance our capacity to restore dynamic 272 ecosystems in an era of rapid environmental change. The use of this framework will now be 273 described using four real-world examples where ecological theories can be applied to achieve 274 functional targets.

276 Applications of quantitative trait-based models in restoration ecology

277 Applying environmental filters to determine future restoration targets

278 Environmental filters can be applied to restore assemblages of species that are adapted 279 to a set of environmental conditions (Fattorini & Halle 2004). If high-quality relict sites can 280 be used as reference conditions for a restoration project, then the trait values present in the 281 relict community can potentially be used to generate species abundances for the restored 282 community (Fig. 1). This approach would be similar to the traditional approach of using the 283 composition of the relict site as the target, but would differ in that the targets are trait values 284 and that a variety of species abundance distributions could meet a single trait target (Fig. 2). 285 In theory, functional trait targets should increase the flexibility of restoration projects because 286 a range of species assemblages can meet a single functional trait target. However, 287 environmental conditions are being altered by agents of global change, and so a progressive, 288 forward-thinking restoration goal would seek to restore an indigenous community that is 289 resilient given the predicted changes in climate in the coming century (Fig. 1) (Suding 2011). 290 Consider the case of the semi-arid south-western USA, where the effects of climate 291 change are already inducing landscape-scale changes in tree mortality rates, community 292 structure and disturbance regimes (Breshears et al. 2005; Westerling et al. 2006). Currently, 293 the forests surrounding Flagstaff, Arizona are dominated by Pinus ponderosa (ponderosa 294 pine), but land managers are interested in how these forests will respond to climate change 295 stressors. A trait-based model was used to determine how traits were filtered by temperature 296 across this region and showed very predictable relationships between temperature and four 297 traits in particular: wood density, flowering time, maximum height and bark thickness 298 (Laughlin et al. 2011). As the climate changes, we would expect that the trait values that 299 optimise fitness will also change, thereby altering population dynamics and community

300 structure. By anticipating which traits will optimise establishment, growth, survival, and 301 reproduction under projected future conditions, we can predict which species will be best 302 adapted to persist in the changing environment. By promoting the establishment of such 303 species we can minimise the extent of forest crown die-off events and maintain woodland 304 cover on the landscape. As mean annual temperature is expected to rise around Flagstaff from 305 the current 7.5 °C to a future scenario of 10 °C, we can predict how the optimum trait value 306 will change. We can predict that optimum bark thickness in the future will remain thick 307 because fires will still be very likely to occur and perhaps increase in frequency (Laughlin et 308 al. 2011). Flowering date is expected to become earlier given the longer growing seasons and 309 maximum height is expected to decline because water availability will be reduced. Wood 310 density is expected to become slightly greater given the increased frequency of drought 311 (Hacke et al. 2001). These expected trait values can be used as the constraints on the right 312 hand side of Equation 1 to generate communities that may be more resilient to climate change 313 (Box 1; see Appendix S1 in Supporting Information for R scripts to run this analysis). 314 Solving these systems of linear equations yields an infinite set of possible solutions 315 because there are far fewer traits than species in the system (*i.e.*, it is underdetermined). Since 316 there are nine species, four trait constraints (Eqn 1), and one normalization constraint (Eqn 2), 317 the solution is a four-dimensional (i.e., 9 - 4 - 1 = 4) hyperplane embedded within a nine-318 dimensional space. The MCMC samples of the solutions (*i.e.*, the species abundances) 319 obtained from the limSolve package are plotted as boxplots for each species in Fig. 3. By 320 plotting the MCMC samples of the solution space we are able to determine a range of species 321 abundances that reflects the mapping from functional traits to community composition using 322 a system of linear equations.

323 The current conditions indicate that the trait values are optimum for a ponderosa pine-324 dominated forest (Fig. 3a), which validates the proposition that the range of variability of

325 community composition predicted by the model matches the reality of current forest 326 conditions. Many sites in the southwestern USA have been severely degraded following 327 stand-replacing fires and could convert to grasslands without active management (Savage & 328 Mast 2005). If managers want to plan ahead for these sites and promote tree species that will 329 be resilient to a warmer climate and that will maintain the structural element of a forest for 330 maximising carbon storage, then, in addition to ponderosa pine, the species that reflect 331 optimum trait values in the warmer climate include Juniperus monosperma (one-seed 332 juniper), Juniperus osteosperma (Utah juniper), and Pinus edulis (pinyon pine) (Fig. 3b). 333 Note that *Pinus ponderosa* is still predicted to be a dominant species, which suggests that a 334 gradual transition is a reasonable objective for managing this ecosystem with the future in 335 mind. Moreover, in overstocked ponderosa pine forests slated for restoration thinning and 336 burning (Moore et al. 1999), land managers may want to passively allow or, in some 337 transitional areas, actively promote the advancement of pinyon-juniper woodland species into 338 thinned forests to enable the community to be resilient and able to respond to predicted future 339 conditions (Millar et al. 2007).

340 The framework, as described in Box 1, uses a model where community-weighted 341 mean traits are regressed on environmental conditions in order to obtain optimum trait values 342 from a single forecasted value of an environmental variable (e.g., mean annual temperature). 343 Rather than finding solutions for a single mean environmental condition, one can also 1) 344 include additional environmental variables, such as soil properties or vapour-pressure deficit, 345 or 2) expand the range of environmental conditions. In the case of the latter, rather than 346 determining the range of species abundances that satisfies trait constraints that optimise 347 fitness at 10 °C exclusively, one can explore the solutions that span a range of temperatures from 9 to 11 °C by solving and sampling the solution sets at 0.1 °C intervals. This approach 348 349 acknowledges that future environmental conditions themselves are uncertain, which will

influence the range of traits that promote high performance, which will influence theabundances of species that will satisfy those trait constraints.

352 Setting functional targets that are relevant for future conditions requires a 353 fundamental understanding of how traits are filtered by the environment, and how those traits might interact in novel conditions. Determining functional trait targets that will be optimal for 354 355 a future environment can be estimated by applying existing models of current trait-356 environment relationships, as done in this example here. These approaches differ from simply 357 looking at the composition of communities from warmer climates because projections under 358 climate change do not look like simple up-slope shifts in species response curves (Laughlin et 359 al. 2011). This is because some environmental conditions are changing (e.g., temperature), 360 while other conditions will remain the same (e.g., soil texture), and the interaction of all these 361 conditions will influence the predicted optimal trait value in a changing climate. In some 362 places of the world there may be no analogs that can be used as direct community 363 comparisons because of novel abiotic conditions and species pools (Hobbs et al. 2009), hence 364 the critical need for theory-driven approaches and experimental tests of which traits achieve 365 desired responses and effects. Applications of this restoration approach will likely be most 366 successful if multidisciplinary teams of restoration practitioners, ecologists, ecophysiologists, and climatologists work together to develop, test, and validate these future functional targets. 367 368 Restoration goals under climate change will also include objectives such as maximising 369 carbon storage or maintaining key structural features of an ecosystem. Determining optimum 370 functional targets for the future may be one of the most important empirical challenges facing 371 restoration ecologists.

372

373 Applying competition theories to exclude non-native invaders

374 The theory of limiting similarity has recently been enlisted in the battle against non-375 native species invasion (Fig. 1, Table 1). One potential restoration activity to reduce non-376 native invasion is to strategically increase the abundance of native species that are 377 functionally similar to the non-native species (D'Antonio & Chambers 2006; Funk et al. 2008). Selecting native species based on a single trait, such as growth rate or plant height, is 378 379 straightforward. However, using a system of linear equations is more efficient when using 380 multiple functional traits simultaneously, and they have the additional advantage of 381 producing species abundance distributions (Box 1).

382 Consider the case of Linaria dalmatica (Dalmatian toadflax), a non-native forb that is 383 invading understories of forests in the Southwestern United States (Dodge et al. 2008). The 384 theory of limiting similarity is linked to resource use, so the most appropriate traits for 385 consideration are response traits (Funk et al. 2008). One hundred and fifteen native 386 herbaceous species in this ecosystem were systematically screened by measuring the 387 following functional response traits: maximum height, leaf area, leaf dry matter content, 388 specific leaf area, specific root length, seed mass, flowering time, flowering duration, leaf 389 carbon (C) concentration, leaf nitrogen (N) concentration, leaf phosphorus concentration, root C concentration, root N concentration, leaf δ^{13} C, and leaf δ^{15} N (Laughlin *et al.* 2010). In this 390 391 example, all response traits are used, but this framework can be used to test hypotheses about 392 which traits are most effective when applying competition theory in this context. 393 By applying the framework described in Box 1 (see Appendix S1 for R code), it was

determined that a combination of two dominant species, *Penstemon barbartus* (red

395 penstemon) and Laennecia schiedeana (pineland marshtail), in addition to other less

396 abundant species (e.g., Bahia dissecta, Chenopodium fremontii, Muhlenbergia rigens) would

397 combine to create a native community with optimally similar trait values as *Linaria*

398 *dalmatica* (Fig. 4). These species are intuitively appealing because they are known as

competitive, fast-growing, fecund native species (Laughlin *et al.* 2010) that can match the
competitive nature of *Linaria dalmatica*. Restoration practitioners could seed these five
species into invaded communities in combination with control measures as a mechanism to
competitively exclude toadflax.

403 Understanding how traits of invasive species influences their success is an active area 404 of research (Pyšek & Richardson 2007; Van Kleunen et al. 2010). This application of 405 ecological theory has not been rigorously tested in an experimental context, and some have 406 recently questioned the use of functional traits for understanding invasion success (Thompson 407 & Davis 2011). Moreover, others have questioned the use of trait similarity for predicting 408 competitive interactions in a restoration context (Abella et al. 2012), and others have shown 409 that trait hierarchies are better predictors of competition (Keddy & Shipley 1989; Kunstler et 410 al. 2012). The framework can be used to test these theories by generating communities based 411 on different trait targets, where trait targets for limiting similarity are the traits of the non-412 native invader, whereas trait targets for competitive hierarchies would be different from the 413 invader and values would need to be based on previous empirical work. For example, if the 414 invader was short-statured with low SLA values, then a taller native species with higher SLA 415 could potentially be a stronger competitor. Identifying native species that can out-compete 416 non-native species based on their functional trait values would enhance our capacity to 417 manage degraded ecosystems.

Another challenge with the application of competition theories is how to approach systems that are invaded by multiple species that differ functionally. One option would be to apply the framework on each species separately to derive two sets of species for use in stemming the invasion. For example, the top five species chosen to competitively exclude another problematic invasive weed, *Centaurea diffusa* (diffuse knapweed), includes two of the five species (*Laennecia schiedeana* and *Penstemon barbatus*) that were chosen to exclude

424 *Linaria dalmatica.* But such overlap will not always be the case, making species selection 425 potentially problematic in cases of multiple invasions. Additionally, dominant natives may 426 have negative effects on other native species. Moreover, selecting vigourous native species to 427 exclude invasives may lead to a functionally redundant community dominated by just a few 428 species, which may conflict with other targets of maintaining functionally diverse 429 communities. These challenges reflect a common problem of conflicting objectives within 430 ecosystem management (Mendoza & Martins 2006). Ecologists should carefully evaluate the 431 trade-offs when applying these theories to meet specific management objectives, and to 432 acknowledge that not all targets can be achieved everywhere simultaneously.

433

434 Applying mass ratio theory to influence ecosystem processes

435 Effect traits govern how species influence ecosystem processes and the linkages 436 between aboveground and belowground components is an important aspect of ecosystem-437 based ecological restoration (Kardol & Wardle 2010). Soil properties impose important 438 constraints on ecosystem restoration (Dobson et al. 1997; Suding et al. 2004), and in some 439 cases these properties can be altered through strategic manipulation of the plant community 440 (Fig. 1). Effect traits can influence the soil microclimate, pH, organic matter content, rates of 441 nutrient cycling, microbial biomass, water infiltration, water-holding capacity, and soil 442 cohesion (Eviner & Chapin 2003). The mass ratio theory proposes that plant community 443 effects on ecosystem processes and properties are driven by the traits of the dominant species 444 (Grime 1998). For example, plant communities dominated by species with acquisitive leaf 445 economics traits (e.g., high leaf N concentration and short leaf life span) are associated with 446 soils that have higher N transformation rates (Orwin et al. 2010). Mass ratio theory assumes a linear impact of abundance-weighted traits on ecosystem processes, but non-additive and 447 448 threshold responses are also common, and sometimes low biomass species have

disproportionate effects on function (Díaz *et al.* 2007; Peltzer *et al.* 2009). This framework
can be used to empirically test where these thresholds lie along gradients of community-level
trait values or community composition. It is not clear how to operationalise non-additive
effects into the general framework proposed here. This is certainly an important challenge for
theoretical ecology.

454 Consider an example where the objective of the restoration project is to slow rates of 455 internal nutrient cycling. This objective could be useful for slowing invasions of resource-456 demanding non-native species or for returning soil properties to a lower nutrient state 457 following excessive N deposition. Native species in northern Arizona with low SLA and high 458 leaf dry matter content (LDMC) have slower rates of litter decomposition (Laughlin et al. 459 2010) and are associated with soils with low nitrification potential (Laughlin 2011). Eight 460 native graminoids demonstrate this broad range of leaf trait variation among and within 461 species (Fig. 5a). Unlike the system of linear equations, a modified version of the hierarchical 462 Bayesian Traitspace model can utilize intraspecific trait variability to translate functional trait 463 targets into ranges of variability of species abundances (Box 2).

464 To slow nutrient transformation rates, the restoration practitioner would target a range 465 of low SLA and high LDMC trait values because these trait values are associated with low 466 leaf nutrient concentrations. This simple example is limited to two strongly correlated traits, 467 but the framework can handle as many independent traits as are required to achieve 468 ecosystem multifunctionality (Fig. 2) (Eviner & Chapin 2003). Model results (see Appendix 469 S1 for R scripts) indicate that seeding or planting swards dominated by *Muhlenbergia* 470 montana (mountain muhly), Carex geophila (ground-loving sedge), and Sporobolus 471 interruptus (black dropseed) would maximise success of achieving slower decomposition and 472 nutrient transformation rates based on these species intra- and interspecific variation of SLA 473 and LDMC (Fig. 5b). Successful application of this theory was demonstrated experimentally

in a different ecosystem where manipulations of species assemblages according to variation
in leaf functional traits had significant influence on rates of nutrient cycling (Orwin *et al.*2010).

Using effect traits to influence ecosystem processes requires that species with the 477 478 desired effect traits must also possess response traits that allow them to persist and compete 479 in the environmental conditions of the site (Lavorel et al. 2013). Resources will be wasted if 480 plants with the desired effect traits are seeded or planted only to be eliminated by the 481 environmental filters. The above example where mass ratio theory was applied may prove 482 difficult given that high SLA species may invade and become dominant in the high nutrient 483 soils, so control measures may be needed to successfully establish the low SLA species. 484 Careful selection of species with desired effect traits and appropriate response traits will be 485 required (Funk et al. 2008; Lavorel et al. 2013). These trait-based models can be used to 486 determine which species may possess such a combination of traits, *i.e.*, one can derive species 487 abundances based on any combination of response and effect trait values.

488

489 Applying diversity theory to influence ecosystem processes

490 Experimental evidence suggests that, in some ecosystems, high functional diversity 491 can increase average rates of some processes, such as primary productivity (Cardinale et al. 492 2012). Increasing primary productivity to provide forage for higher trophic levels can be an 493 important restoration goal. The mathematical formulations of the trait-based models 494 emphasise environmental filtering over niche complementarity and so naturally yield species 495 abundances that reflect trait convergence over divergence (Laughlin & Laughlin 2013). 496 Simple modifications to the Bayesian framework can translate functional trait diversity 497 targets into species assemblages (Box 2). Functionally diverse communities are often 498 characterised by dominant species having contrasting trait values (Mason et al. 2005).

499 Therefore, to generate functionally divergent communities it is necessary to constrain the 500 species abundances by trait values located at multiple locations along the trait axes, rather 501 than constraining by a single optimal trait value. Systems of linear equations cannot elegantly 502 accommodate multiple trait value constraints for the same trait, whereas the Bayesian 503 framework can develop probability density functions for any desired multi-modal trait 504 distribution (Box 2). Importantly, in the circumstances where mass ratio effects and niche 505 complementarity act in concert, this framework can simultaneously accommodate single 506 mean values for traits predicted to act through mass ratio effects, and multiple values for 507 traits predicted to act through niche complementarity.

508 To derive a functionally diverse community from the pool of eight Arizona grass 509 species, trait values were simulated from a bimodal trait distribution where the modes were 510 located toward the ends of the leaf trait spectrum (Fig. 5a). The model results indicate that 511 seeding or planting swards dominated by Muhlenbergia montana (mountain muhly), Festuca 512 arizonica (Arizona fecue), and Bromus ciliatus (fringed brome) will establish a functionally 513 diverse community (Fig. 5c). For confirmation that this community is more functionally 514 diverse, note that the functional diversity indices of the community in Fig. 5c are larger than 515 the functional diversity indices of the community in Fig. 5b. Monitoring the effectiveness of 516 functionally diverse communities on ecosystem processes is important. When restoring 517 naturally low diversity ecosystems, such as salt marshes, the short-term positive effects of 518 diversity on ecosystem function may disappear in the long-term when productive species rise 519 to dominance (Doherty et al. 2011). This framework can be used to test when niche 520 complementarity can be useful in restoration contexts (Srivastava & Vellend 2005). 521

522 Concluding remarks

523 Both of these mathematical models (Boxes 1 and 2) could be used to determine ranges 524 of species abundances that meet functional trait constraints in the application of the theories 525 of environmental filtering, limiting similarity, competitive hierarchies, and mass ratio theory 526 (Table 1). However, only the Bayesian framework can be applied to generate species 527 abundances to increase functional diversity because it can accommodate multimodal trait 528 distributions (Mason et al. 2005). The system of linear equations may be more pragmatic in 529 cases where only mean trait values are available for each species. If intraspecific trait 530 variation is thought to be particularly important, then the Bayesian framework would be more 531 useful. Trait data is becoming more available at both inter- and intraspecific levels, which 532 may enable restoration ecologists and practitioners to apply these approaches without needing 533 to measure primary trait data themselves (Kattge et al. 2011). Given the increasing 534 availability of trait data, it would be useful to re-analyse published results to ask whether the 535 traits of restored communities could have predicted their response to environmental 536 conditions imposed by restoration treatments or their effect on ecosystem functions. 537 Several years ago it was noted that there was considerable disconnect between the 538 ecological theories proposed by academic scientists and the practice of restoration ecology by 539 practitioners (Young et al. 2005). A trait-based perspective has been gaining traction within 540 the community of restoration ecologists (Temperton et al. 2004; Funk et al. 2008), but a 541 mechanism for translating functional trait targets into species abundance distributions that 542 can be used by restoration practitioners remained elusive. Recently developed trait-based 543 models are not only useful for testing community assembly theory, they have here been 544 extended to provide tools for translating functional trait targets into ranges of variability of 545 species abundances for achieving functional outcomes in ecological restoration. These 546 modified trait-based models are generalizable, flexible tools that can be widely applied in any 547 ecosystem on the planet, given adequate knowledge and information. The examples

548	demonstrate how this new framework can be applied to confront some of the most pressing				
549	ecological challenges of our time and advance our understanding of the processes of				
550	community assembly and ecosystem functioning, but there is still much to be learned about				
551	which specific trait target values will achieve our functional objectives. The application of				
552	these models within experimental contexts will accelerate our learning and will bring us				
553	closer to achieving our goals. A trait-based framework provides restoration ecology with a				
554	robust scaffold on which to apply fundamental theory to achieve functional targets in this era				
555	of global change.				
556					
557	Acknowledgements				
558	I thank Jon Bakker, Pete Fulé, Jordi Bascompte, three anonymous reviewers, and the staff				
559	and students in the Plant Biology and Ecology lab at the University of Waikato for providing				
560	insightful comments that substantially improved the manuscript. This research was supported				
561	by a grant (UOW1201) from the Royal Society of New Zealand Marsden Fund.				
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- 846 SUPPORTING INFORMATION
- 847 Additional Supporting Information may be downloaded via the online version of this article
- 848 at Wiley Online Library (www.ecologyletters.com).
- 849 Appendix S1 User's guide and R code for applying trait-based models in ecological
- 850 *restoration*
- 851
- 852

Table 1. Trait-based applications of ecological theory to achieve functional targets in

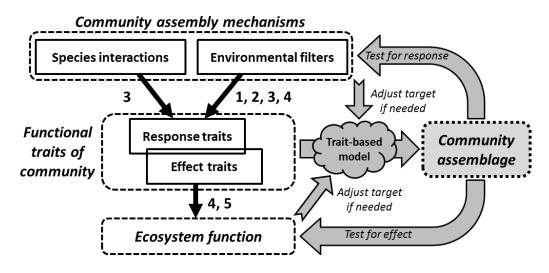
855 ecological restoration

Trait-based theories for restoration ecology	Functional trait targets	Examples
Response traits	_	
Environmental filtering	Traits that optimize fitness and performance in a given set of environmental conditions	Species with resource- conserving traits (i.e., higher wood density) will be adapted to drier conditions (Hacke <i>et</i> <i>al.</i> 2001)
Limiting similarity	Traits of non-native invader are the constraints for selecting native species with the most similar traits	Functionally similar native species may compete more strongly for resources with non-native invaders (Funk <i>et</i> <i>al.</i> 2008)
Competitive hierarchies	Different trait values of non- native invader are the constraints for selecting native species	Taller native species may outcompete a non-native invader for light (Keddy & Shipley 1989)
Effect traits	_	
Mass ratio	Traits of dominant species influence ecosystem processes	Species with resource- conserving leaf traits will slow decomposition and nutrient cycling (Orwin <i>et al.</i> 2010)
Niche complementarity	Diversity of trait values maintains ecosystem processes	Divergent leaf strategies within a community may enhance temporal stability and rate of primary production (Cardinale <i>et al.</i> 2012)

(a) Examples of stressors and the functional trait targets that can be established to restore degraded ecosystems

Eco	osystem stressors -	>	Restoration goals \rightarrow	Possible trait targets
1)	Land-use change		Restore lost community	Traits of reference sites
2)	Climate change		Restore resilient community	Traits resilient to future climate
3)	Invasive species		Control and exclude non-natives	Traits of invasive species
4)	Abiotic degradation		Rehabilitate site conditions	Trait dominance (mass ratio)
5)	Species loss		Maintain primary productivity	Trait diversity (complementarity)

(b) Translating response-and-effect traits into species assemblages that can be manipulated by practitioners and tested in the field

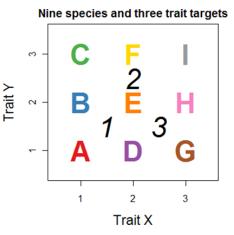


859 Figure 1. Conceptual diagram of the general framework for generating species assemblages 860 that achieve functional targets for ecological restoration. a) Examples of novel stressors that 861 have led to ecosystem degradation, and the restoration goals and functional targets that can be 862 developed to assist the recovery of the ecosystem. b) Operationalising the response-andeffect trait framework (Suding et al. 2008) by translating functional trait targets into 863 experimental species assemblages that can be manipulated by restoration practitioners to 864 865 achieve the functional targets. The grey arrows illustrate that the response of the assemblages 866 to environmental conditions and the effects on ecosystem function can be tested, and the 867 functional trait targets can be adjusted as we learn which traits and trait values achieve the restoration goals. The functional targets (numbers 1-5) depend on the specific restoration goal 868 and relate to each of the processes (illustrated as black arrows) within the response-and-effect 869 870 trait framework as shown.

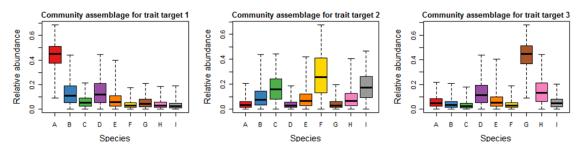
Operationalising the response-and-effect trait framework for theory-driven restoration ecology

Step 1. Set targets by selecting relevant traits and trait values to optimise the response or effect of interest. For experimentation, select multiple trait values as trait targets to test their effectiveness.

Step 2. Define the species pool, and determine the mean (and possibly variance-covariance) of the traits of each species.



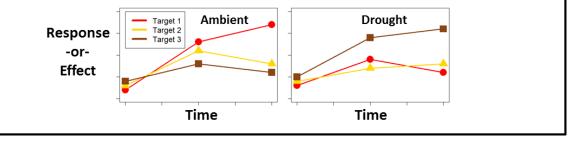
Step 3. Apply quantitative trait-based models to derive species abundance distributions.



Step 4. Establish experimental communities, and maintain species abundances within the range of variability set by the models to keep trait targets at desired level.



Step 5. Monitor community response or ecosystem effect by trait target and treatment to test effectiveness of trait targets and community assemblages.



871

872 Figure 2. Operationalising the response-and-effect trait framework for theory-driven

873 restoration ecology experiments. The hypothetical species abundance distributions were

generated using underdetermined systems of linear equations (Box 1, Appendix S1).



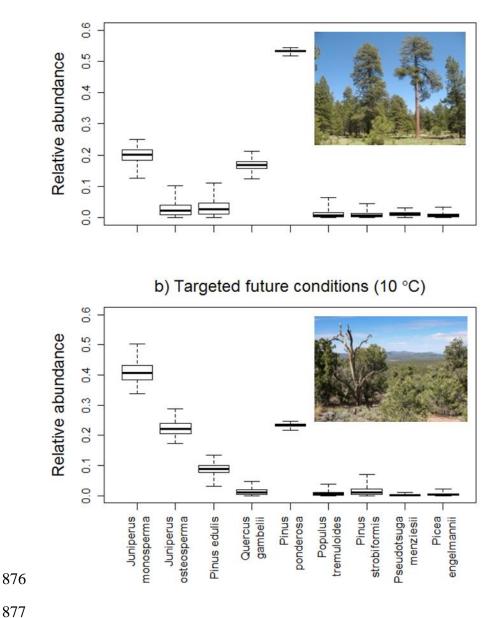


Figure 3. Range of variability of tree species relative abundances that meet the trait targets in 878 879 a) current climatic conditions and b) projected future climatic conditions in the Southwest 880 USA (e.g., Flagstaff, Arizona; Lat: 35.14, Long: -111.67) (Laughlin et al. 2011). Values 881 represent a uniform sample of the solutions to the systems of linear equations (Box 1). Photo

882 credits: upper photo by Daniel Laughlin, lower photo by Guy Starbuck

883 (http://www.starbuck.org/exploring/) with permission.



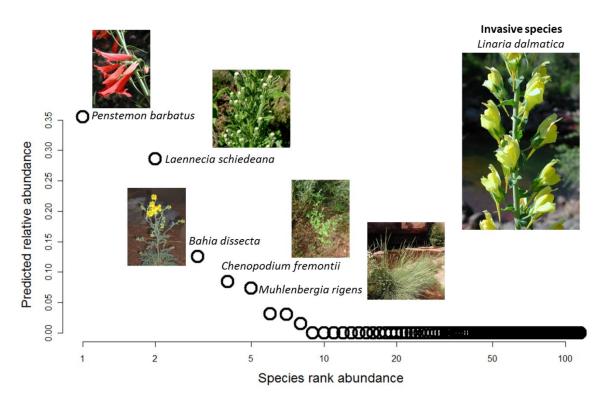
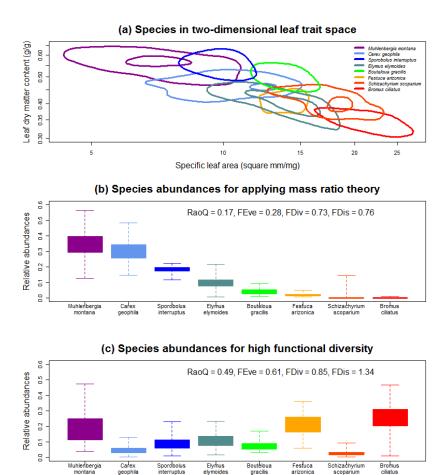


Figure 4. The top five native species that were selected from the native species pool of 115 herbaceous plants are illustrated here based on their similarity of 15 functional response traits with the non-native invasive species Linaria dalmatica (Dalmatian toadflax). To test the usefulness of applying limiting similarity to competitively exclude non-native invaders, these five species are the best candidates for seeding or planting into invaded habitats because they have the most similar response traits. The data were obtained on understory plant species growing in a ponderosa pine forest near Flagstaff, Arizona (Laughlin et al. 2010). All photos courtesy of Max Licher and the Southwest Environmental Information Network (SEINet).



902 Figure 5. (a) Bivariate contour density plots illustrating the location and intraspecific 903 variability of eight native graminoid species in trait space defined by specific leaf area and 904 leaf dry matter content (log-scale). (b) Traitspace model output (Box 2) illustrating the range of variability of species that would be appropriate to use in restoration projects if the desired 905 906 effect was to slow down litter decomposition and nitrogen transformations by applying mass 907 ratio theory. (c) Traitspace model output (Box 2) illustrating the range of variability of 908 species that would be appropriate to use in restoration projects if the desired goal was to 909 maximize functional diversity. Average functional diversity indices (Villéger et al. 2008; 910 Laliberté & Legendre 2010) are listed for each set of generated communities in panels b and c. 911 The trait data were obtained on understory plant species growing in a ponderosa pine forest 912 near Flagstaff, Arizona (Laughlin et al. 2010).

913 Text Boxes

914 Box 1. Underdetermined systems of linear equations

915 Systems of linear equations are useful for estimating species probabilities given a set 916 of constraints and have many potential applications in ecology. For example, systems of 917 linear equations have been used to estimate the probability that a species of prey was 918 consumed by a predator based on the isotopic ratios of both the predator and prey (Phillips & 919 Gregg 2003). A vector of unknown species relative abundances (p_i) can be estimated by 920 developing a system of linear equality and inequality constraints:

921
$$\sum_{i=1}^{S} t_{ik} p_i = \overline{T_k}$$
(Eqn 1),

922
$$\sum_{i=1}^{S} p_i = 1$$
 (Eqn 2),

923
$$p_i > 0$$
 (Eqn 3)

924 Equation 1 states that the linear combination of the kth trait from the *i*th species (t_{ik}) and unknown species relative abundances (p_i) is equal to the constraint $\overline{T_k}$, where $\overline{T_k}$ is the kth 925 trait value of an average unit of biomass or individual in a community. There are K (the 926 927 number of traits) of these constraint equations, and S is the size of the species pool. Equation 928 2 constrains the abundances to sum to one to reflect relative abundances or probabilities. 929 Equation 3 constrains the abundances to be non-negative (there are S of these equations). In 930 practice, there are typically far fewer traits than unknown species abundances, which results 931 in an underdetermined system of equations with many possible solutions. The CATS model 932 uses this framework, but chooses the distribution that maximises the entropy function to 933 obtain a single discrete relative abundance distribution (Shipley et al. 2006). However, the 934 range of potential species abundances provides us with valuable information about the 935 potential range of variability that also satisfies the trait constraints. How do we quantify this 936 range of variability?

937 The most straightforward approach to quantify the range of potential solutions to any 938 underdetermined system of linear equations is to obtain a sample from the solution set. Van 939 den Meersch et al. (2009) developed the R package limSolve for solving constrained linear 940 equations. The 'xsample' function uses a Markov chain Monte Carlo (MCMC) algorithm to 941 uniformly sample the solution set of any constrained linear problem. We can apply this 942 application of linear algebra to the problem at hand by rewriting Equations 1 and 2 in matrix 943 form Ax = b, where A is a matrix of coefficients (i.e., species mean traits), x is a matrix of 944 unknown relative abundances, and **b** is a vector of constants (i.e., trait constraints) 945 representing the right-hand side of the equations. As long as the constraints are consistent 946 (*i.e.*, either one or an infinite number of solutions exists), one can obtain a uniform sample 947 from \mathbf{x} , which will represent the range of species abundances that meet the functional trait 948 constraints. It is important to select reasonable trait targets that fall within the range of trait 949 values present in the species pool.

950 Multiple solutions exist for underdetermined systems of linear equations, but there 951 may not be a solution where all species abundances are non-negative, which would violate 952 the inequality constraint of Equation 3. It is therefore important to determine whether a 953 solution exists. It is possible to allow for the solutions to only approximately match the 954 constraints. The limSolve package can sample the solutions by selecting likely values given 955 approximate equations, where the constraints follow a Gaussian probability distribution with 956 a given standard deviation (Van den Meersche et al. 2009). In these situations, it is 957 recommended that Equation 1 be defined as an approximate equality, and Equation 2 be 958 defined as an exact equality. This procedure will greatly increase the flexibility of 959 determining species abundances for selected trait targets.

960 In order to determine an assemblage of native species that optimises trait similarity961 with a non-native species, the following modification to Equation 1 can be made:

962
$$\sum_{i=1}^{S} t_{ik} p_i = \overline{Invader_k}$$

where $\overline{Invader_k}$ is a vector of K mean trait values of the non-native invasive species. In this 963 case, a discrete probability distribution may be more useful than a range of species 964 965 abundances, so one can apply the maximum entropy formalism to obtain species relative 966 abundances (Shipley et al. 2006). Note that this differs from the standard application of the 967 model where the constants on the right-hand side of the equations are community-weighted 968 mean trait values. This approach allows us to determine the abundances of species that would 969 maximize functional trait similarity with the non-native species. Using the maxent function in the FD library of R (Laliberté & Shipley 2010), one can quantify the probability of each 970 971 species given the non-native trait constraints (Appendix S1).

972

973 Box 2: Trait-based models that apply Bayes Theorem

974 Systems of linear equations do not permit an elegant incorporation of intraspecific 975 variation and covariation, nor do they permit the derivation of functionally diverse 976 communities because they emphasize trait convergence. An alternative Bayesian framework 977 was recently proposed for predicting species relative abundances using inter- and intra-978 specific trait variation and covariation (Laughlin et al. 2012). Quantifying intraspecific trait 979 variation and covariation is required for understanding the functional trait space of a species. 980 The objective of the model is to estimate the relative abundance of the i^{th} species for a given 981 environment $P(S_i/E)$, by incorporating information about individual-level functional traits. 982 Details of the proposed method can be found elsewhere (Laughlin et al. 2012) and so are not 983 repeated here (see Appendix S1 for complete R code to fit the model). The major differences 984 with previous applications are within Step 1a and Step 2d.

In Step 1a, the trait targets are defined by determining the probability density functionof the trait target range. To apply mass ratio theory, one simply needs to define a unimodal

987 trait distribution centered on the trait targets. However, applying niche complementarity 988 requires a different approach. In contrast to the theories of environmental filtering and mass 989 ratio, which both imply convergence of traits within a community, the theory of niche 990 complementarity implies functional trait divergence. Functionally diverse communities are 991 often characterised by dominant species having contrasting trait values (Mason et al. 2005). 992 Therefore, to generate functionally divergent communities it is necessary to establish trait 993 targets that include multiple modes along the trait axes, rather than constraining by a single 994 optimal trait value. The 'mclust' library of R can be used to develop probability density 995 functions for any desired multi-modal trait distribution.

In Step 2d, the original formulation requires modification if it is to be used to quantify a range of variation in species abundances rather than simply compute a static relative abundance distribution. It is possible to explore the range of possible solutions by repeating the final step of the method J times. This step integrates the traits out using Monte Carlo integration to obtain the relative abundances of species given the environmental conditions, and is approximated as

1002
$$P(S_i|E)_j \cong \frac{1}{N} \sum_{k=1}^N P(S_i|T_k, E) P(T_k|E),$$
 (Eqn 5)

where $P(S_i|E)_i$ represents the *j*th repetition of this step, N = a relatively small (*e.g.*, 10 to 100) 1003 1004 number of Monte Carlo samples for each repetition, $P(S_i|T_k, E)$ represents the probability of 1005 the species given the traits and environment obtained by applying Bayes theorem, and $P(T_k|E)$ is the probability of the trait given the environmental conditions or the trait target 1006 1007 range. Repeat the inference stage a large number of times (e.g., J = 100) to obtain J estimates of the relative abundances. The range of $P(S_i|E)_i$ represents the range of potential relative 1008 1009 abundances of species that could occur within a particular environmental filter, or it can 1010 represent the range of potential relative abundances of species for influencing an ecosystem 1011 process.