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#### Accepted Manuscript

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# Grain Boundary Oxidation in Iron-Based Alloys, investigated by <sup>18</sup>O enriched Water Vapour - The Effect of Mixed Oxides in binary and ternary Fe-{Al, Cr, Mn, Si} Systems

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#### Abstract

Selective oxidation experiments at 700 °C in binary and ternary iron-based model alloys containing Al, Cr, Mn, and Si were carried out. The internal oxidation behaviour along grain boundaries and inside ferrite grains was analyzed by LOM, SEM and ToF-SIMS. Oxygen isotope exchange revealed the location of fast diffusion pathways in the alloy. Numerical calculations of oxide distributions were compared to experimental findings, revealing that oxygen transport within ferrite grains is significantly lower than reported from literature. Discrepancies between simulations and experiments were

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discussed. The presented hypothesis of oxygen trapping represents an important viewpoint to explain internal oxidation in metallic alloys.*Keywords:* A. Steel, A. Alloy, B. Modelling Studies, C. High temperature corrosion, C. Selective oxidation, C. Internal oxidation

#### 1 1. Introduction

Steels and other corrosion resistant alloys are an important backbone for 2 applications in the automotive and construction industry as well as for novel 3 energy conversion technologies [1, 2]. However, a safe application of those 4 materials in highly corrosive atmospheres such as for gas turbine blades [3], 5 propulsion engines, boiler steels [4, 5] or waste incineration plants [6] ne-6 cessitates the formation of a protective layer that hinders further corrosive 7 attack at elevated temperatures [1, 2, 7, 8]. Other than that, oxidation plays 8 an essential role during the manufacturing of steels for automotive applica-9 tions. Here, high-strength materials that often contain manganese (such as 10 TRIP/TWIP-steels [9]) are frequently used because of their unique mechan-11 ical properties. This allows a significant reduction of sheet thickness and 12 as a consequence the vehicle becomes lighter, which helps to reduce carbon 13 dioxide emissions and meets today's emission criteria as well as the ideas of 14 modern energy politics. 15

<sup>16</sup> During manufacturing of a sheet material, the alloy undergoes a series of high <sup>17</sup> temperature treatments in oxygen-rich atmospheres, which are often com-<sup>18</sup> bined with plastic deformation. Hot-rolling of slabs has proven to be quite <sup>19</sup> effective for quick size reduction and good mechanical properties of the final <sup>20</sup> sheet material. However, oxide formation underneath the materials surface

(so-called "internal oxidation" [10]) becomes problematic as the hot-rolled 21 sheet is only rapidly cooled down to temperatures between 600 and 800  $^{\circ}\mathrm{C}$ 22 before coiling. The hot coil is then left to cool in the ambient atmosphere. 23 which occurs during a time-frame of several hours. Here, the initially formed 24 wüstite layer (iron oxide at the surface) acts as an oxygen reservoir for in-25 ternal oxidation of the alloy additions - both inside the grains (termed "bulk 26 oxidation") and along grain boundaries [11, 12]. Whereas bulk oxidation rep-27 resents a rather complex discipline that combines reaction kinetics, element 28 transport, defects chemistries [13], nucleation, interface properties and many 29 more, grain boundary oxidation is strongly connected to the atomic struc-30 ture of grain boundaries [14, 15]. Especially oxides along grain boundaries 31 eaken the cohesion between individual grains, which may even fall off after W 32 ubsequent forming processes (e.g. for view parts in automotive industry). SI 33

herefore, an in-depth understanding of transport properties and oxidation Τ 34 at high temperatures becomes vitally important to invent novel protection 35 strategies against high temperature corrosion. Beside the many works on 36 high temperature oxidation of steels and nickel-based alloys, only little is re-37 ported on the corrosion properties of well-defined model systems [16, 17, 18]. 38 Hence, this work aims at providing a fundamental understanding of grain 39 boundary oxidation in iron-based alloys, including the four most important 40 metallic alloy additions in steel manufacturing - aluminium, chromium, man-41 ganese and silicon [9, 19]. Mixtures of hydrogen and water vapour were used 42 in this study to mimic the selective oxidation condition underneath the outer scale layer [20, 21], generating a scenario with maximal internal oxidation. 44 Furthermore, the exchange of the oxygen isotopes ( $^{16}O$  to  $^{18}O$ ) during ex-45

posure helps to determine the pathways of fast oxygen diffusion into the 46 material and to elucidate the origin of oxygen transport. Although the oxy-47 gen transport [22, 23] and the decomposition kinetics of the wüstite phase 48 [24] often determine the oxygen activity at the metal-scale interface - which 49 may differ from the experimental conditions in this study - this approach pro-50 vides a fundamental understanding of alloy composition on oxide formation 51 [18]. Numerical simulations were carried out to predict the internal oxidation 52 behaviour and local (oxide) phase distribution [21, 25]. The results from cal-53 culations are compared to the experimental findings and critically discussed. 54 55

#### <sup>56</sup> 2. Experimental

64

Hot rolled iron-based alloy samples (in house production; see table B.1) were cut into square shaped pieces of 15 mm  $\times$  15 mm  $\times$  1 mm in size. All surfaces were mechanically ground by using grinding papers from 400 down to 2500 grit size, to ensure an optimal compromise between sample roughness and the efficiency of sample heating in an infra-red furnace [26]. Prior to exposure, all samples were ultrasonically cleaned in ethanol and dried in a cold stream of dry air.

#### (TABLE 1)

Selective oxidation experiments were carried out at 700 °C for a total time of 60 min in a horizontal infra-red furnace, equipped with a vacuum pump and connected to a 8 L gas mixing chamber. Details of the experimental set-up have been published previously [27] and can also be found in

figure B.1. The sample temperature was measured by a Ni/CrNi thermocou-69 ple, which was pressed with a small quartz piston onto the sample surface. 70 Gas exchange after 30 min has been achieved by closing the first gas line. 71 evacuating the reaction chamber with a turbo-molecular pump and switching 72 to the gas mixture from the gas mixing chamber. Two mixtures of  $Ar/H_2$ 73 (97.5/2.5, v/v) with a humidity of +6 °C dew point (0.94vol-% H<sub>2</sub>O) were 74 used, which corresponds to an oxygen activity in the atmosphere closely be-75 low the onset of wüstite formation under these conditions  $(+13 \, ^{\circ}C \text{ dew point})$ 76 [34]. During the first 30 min at elevated temperatures, the argon/hydrogen 77 mixture was saturated with water vapor at +6 °C by bubbling 15 L h<sup>-1</sup> ( $\pm$ 78 0.5% [27]) dry gas through deionised water. The second gas mixture, contain-79 ing <sup>18</sup>O-enriched water vapour (high purity water from Sigma Aldrich, 99% 80  $H_2^{18}O$ , was prepared in a separate gas mixing chamber which had firstly been 81 evacuated to  $10^{-6}$  mbar. Degassed  $H_2^{18}O$  was evaporated into the evacuated 82 chamber until a pressure of 41.5 mbar was reached. After this, 112.5 mbar 83 hydrogen was added and the chamber was filled with argon to a total pressure 84 of 4.5 bar, yielding the same gas composition as before  $(97.5\% \text{ Ar}, 2.5\% \text{ H}_2,$ 85  $\approx 0.93$  vol-% H<sub>2</sub><sup>18</sup>O). To avoid condensation in the filled gas mixing chamber, 86 the vessel was thermally insulated, wrapped in aluminium foil and heated 87 up to 40 °C. The gas flow conditions from the gas mixing chamber were in 88 the range between 15 and 8 L  $h^1$ , depending on the actual pressure in the 89 chamber which varied from 4.5 bar in the beginning to  $\approx 2.5$  bar at the end of 90 the exposure. However, the pressure drop between reaction chamber and the 91 ambient atmosphere was constant during both oxidation steps and mostly 92 defined by the height of two filled water columns in the gas exhaust line, 93

that were installed to avoid oxygen back-diffusion. All process parameters 94 during the experiment were recorded fully automatically and stored in a sin-95 gle protocol file by using an in-house written software routine [27]. Great care 96 has been taken to reduce the oxygen impurity content in the reaction cham-97 ber below 10 ppm  $O_2$ . After thermal treatment, the samples were allowed 98 to cool in the flowing gas stream, covered with an electrodeposited nickel 99 layer (tampon galvanisation, 20-50 mA cm<sup>-2</sup>, Ni-Anode, commercial NiSO<sub>4</sub> 100 electrolyte [28]) and mounted in epoxy (PolyFast, Struers). Cross sections 101 of the samples were prepared with a  $10^{\circ}$  tilt angle relative to the specimen 102 surface to enlarge the oxidised zone depth by a factor of 5.67, polished with 103 1  $\mu$ m diamond paste and analysed by means of optical microscopy (Axiovert 104 405M, Zeiss, Germany), scanning electron microscopy (FEI Quanta 200 k, 105 FEI COMPANY, The Netherlands) and ToF-SIMS (TOF-SIMS 5, ION-TOF 106 GmbH, Germany). The operation mode of the ToF-SIMS has been set to 107 dual beam sputtering analysis by 25 keV Bi<sup>+</sup> (80  $\mu$ m  $\times$  80  $\mu$ m) and 2 keV 108  $Cs^+$  (500  $\mu m \times 500 \mu m$ ) presputtering, called "burst alignment" mode to 109 enhance the sensitivity of the two different oxygen isotopes <sup>16</sup>O and <sup>18</sup>O. 110

#### 111 3. Mathematical Modelling

Numerical simulations of the phase distributions were calculated by a subsequent two-step based algorithm "ASTRID" [29], consisting of element migration and thermodynamic reactions [21, 25, 26, 27, 30]. Transport of the atomic species (oxygen, iron and the alloying elements) has been derived from the set of partial differential equations. Diffusion coefficients were chosen from carefully selected literature sources and are listed in table B.2. Phase

diagrams of the alloy systems (see figures B.7 and B.8 in the appendix) 118 indicate that only the diffusion properties in the ferrite phase need to be 119 considered. This follows from the consequence that the crystal structure of 120 the iron matrix does not undergo a phase change ( $\alpha$ - $\gamma$  transition) during 121 heating or at the applied treatment temperature. The alloy content in the 122 samples of this study is either too low to stabilise the austenite phase or does 123 not stabilise the austenite phase at all. Hence, depletion of dissolved alloy 124 element additions (Al, Cr, Mn, Si) due to oxide formation does not lead to 125 a phase change in the experiments. 126

# (TABLE 2)

127

The oxygen concentration at the surface (upper boundary) is set to a 128 constant value, according to the maximum solubility of oxygen under given 129 temperature and oxygen activity in the reaction gas (0.65 ppm [O], see [32], 130 or table B.2). This corresponds to the constant source model firstly proposed 131 by Wagner [33], which reflects the actual conditions at the sample surface 132 in a sufficiently accurate manner. Hence, this assumption is most commonly 133 used in theoretical simulation studies of internal oxidation [21, 25, 30, 34, 35]. 134 The calculation of the diffusion has been carried out for small time intervals 135  $(\leq 1 \text{ min})$ . The results after each calculation step have been used to de-136 rive the local concentrations of each phase with thermodynamic subroutine 137 ChemApp (GTT-Technologies, Germany) [36]. The amount of each phase 138 from the equilibrium calculation was set as the starting value for the diffusion calculation in the next time interval. After the last simulation step, the 140 results were displayed as a two-dimensional concentration map, similar to a 141

cross section polish of the specimen, indicating the amount and spatial dis-142 tribution of each stable phase separately. For reasons of graphical simplicity, 143 the sample microstructure was composed by hexagonal grains, separated by 144 a 50 nm thick grain boundary domain. Theoretical results will be presented 145 as a density distribution with values between 1 (maximum concentration) 146 and 0. This mode of presentation has been chosen because the concentration 147 of the alloying elements, and hence the amount of formed precipitate phases, 148 is very low compared to the iron in the matrix. 149

#### 150 4. Results and Discussion

Very stable process conditions during the exposure could be achieved and 151 typical values are summarised in figure B.1. Slight overheating in the ini-152 tial stages of heating can be attributed to the extremely fast heating rate 153 of 7 K s<sup>-1</sup> (see the small temperature peak in Figure B.1 at 2 min). The 154 temperature however, quickly stabilises at 700 °C and remains constant dur-155 ing the remaining exposure time, except during the gas exchange where the 156 set-temperature has been lowered by 5 K for better visualisation. Although a 157 time frame of 7 min in the temperature program has been set for evacuation 158 and gas exchange in the reaction chamber, this process was often finished 159 within 4-5 min. Slight decreases of the gas flow of the <sup>18</sup>O-enriched atmo-160 sphere can be attributed to the drop of pressure in the gas mixing chamber 161 with time. Simultaneously, the content of oxygen impurities in the reaction 162 gas increases with time but always remains below a limit of 10 ppm  $O_2$ . After heat treatment, the samples show a grey-shiny or even metallic sur-164

face, indicating the absence of outer scale formation, which underlines the

<sup>166</sup> successful experimental conditions for avoiding the formation of an outer
<sup>167</sup> (iron) oxide scale [18, 37]. Results of the oxidation depths in all samples will
<sup>168</sup> be summarised in table B.3.

#### (TABLE 3)

#### 170 4.1. Binary Alloys

169

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Little to no signs of pure internal oxidation could be observed in the 171 SEM pictures of figure B.2, as the oxide precipitates, formed inside the fer-172 rite grains, are less than 100 nm in size. Similar to previous studies [18], a thin 173 layer of (Fe,Mn)O could be observed near the surface of Fe-2Mn. The oxida-174 tion depth appears to be quite uniform in Fe- $\{1,3\}$ Al and Fe-2Mn, whereas 175 fine patterns of 50 nm to 200 nm thick grain boundary oxides could only be 176 seen in Fe-0.8Cr and Fe-1Si. The structure of the grain boundary oxides in 177 Fe-1Si appears to be homogeneously distributed and uniform in thickness, 178 whereas in Fe-0.8Cr small oxide particles align at the grain-grain interface. 179 Some works theorize about the existence of a continuous network of alloy 180 precipitates ("seaweed-like structure" [38]), that forms between the metal 181 grains as a consequence of local changes of the grain boundary orientation. 182

#### (FIGURE 2)

The differences in the bulk oxidation depth between experiments and theoretical predictions are likely to be caused by the interaction of the elements with individual oxygen traps in the metal lattice (e.g. single defects). Trapping leads to a slower diffusion rate in reality [37], compared to the ideal crystal from theoretical predictions [39]. Segregation during sample preparation

or kinetic effects of oxide phase formation may also cause minor deviations.
However, grain boundary segregation of elements is quite small at elevated
temperature [40, 41] and diffusion lengths into the grain are extremely short
[14, 15] which would mainly affect the near-surface oxide distribution during
the initial stages of oxidation.

Surface and interface energies can have a detrimental influence on oxide nu-194 cleation, which may hamper the formation of individual phases. It is well 195 known that the formation of hexagonal chromia nuclei in a cubic ferrite en-196 vironment is kinetically hindered [1, 2]. Consequently, local supersaturation 197 of oxygen in the ferrite matrix and - in extreme cases - the direct conversion 198 of dissolved chromium into the spinel phase has been observed [42]. In the 199 case of aluminium, it is known that  $cubic \theta$ -Al<sub>2</sub>O<sub>3</sub> forms initially and then 200 slowly transforms into the more stable  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> phase (trigonal) [43]. Due to 201 this behaviour, the energy barrier for oxide formation and hence local oxygen 202 supersaturation in the metal are minimised. 203

Polycrystalline oxides such as MnO and spinels [44] possess a quite high oxy-204 gen diffusion coefficient, compared to pure  $Al_2O_3$ ,  $Cr_2O_3$  and  $SiO_2$  at given 205 oxygen activities in this study [7, 22, 45]. Hence their presence should not 206 block the internal oxidation process completely and would allow the observa-207 tion of pronounced grain boundary oxide formation in the material. Despite 208 the fact that manganese is an element that easily switches between its va-209 lency states, grain boundary oxidation in pure iron-manganese samples was 210 not observed. This indicates that the formation of defect-rich oxides with rel-211 atively high ion mobilities alone does not lead to enhanced grain boundary 212 oxidation. 213

#### 214 4.2. Ternary Alloys

232

Light optical micrographs of the metallographic sections revealed clear 215 signs of internal oxidation, as shown in figure B.3. Remarkably, the ternary 216 alloy compositions containing 2 wt-%Mn show a well-pronounced oxide for-217 mation along grain boundaries (figure B.3, left column) forming a wavy pat-218 tern of the oxide front. The total oxidation depth in these alloys is gov-219 erned by the large network of thin oxides along the intergranular region and 220 reaches values between 7  $\mu$ m (Fe-2Mn-0.8Cr) and 10  $\mu$ m (Fe-2Mn-1Si). A 221 homogeneous zone of bulk oxides can be seen in the cases of manganese-222 free alloys containing silicon, aluminium or chromium (figure B.3, right col-223 umn). Within this group of materials, indications of an enhanced oxide 224 formation along grain boundaries could only be seen in the case of Fe-3Al-225 0.8Cr (4.5  $\mu$ m). The presence of grain boundary oxides, however, has only 226 minor influence on the total oxidation depth, which is very similar to the 227 homogeneous layer of the bulk oxidation zone. Little to no signs of grain 228 boundary oxidation could be observed in the cases of Fe-3Al-1Si and Fe-1Si-229 0.8Cr where the cross section only shows a distinct 3-4  $\mu$ m thick band of bulk 230 oxides underneath the sample surface. 231

#### (FIGURE 3)

SEM analyses of the cross sections in figure B.4 revealed the presence of finely dispersed oxides inside the grains, that compose the bulk oxidation band (i.e. the grey shaded area in figures B.3 and B.4). Grain boundary oxides showed to be up to 250 nm thick and mostly continuously distributed along the grain boundaries. Individual oxide particles that align along the

grain boundaries could only be seen in the case of Fe-2Mn-0.8Cr. The mi-238 crostructure near the sample surface of all alloys seems to be composed of 239 smaller ferrite grains than in the interior near the oxidation front. This may 240 be attributed to grain boundary pinning by the newly formed oxides in the 241 early stages of the experiment [46]. As a consequence, recrystallisation dur-242 ing the heat treatment at 700 °C will be blocked as soon as oxides are present. 243 This effect is dominant in close proximity to the surface and loses its influence 244 towards the sample interior, since there has been more time for grain growth 245 prior to grain boundary pinning by oxides. Occasionally, extremely fine ox-246 ide structures in sub-grain boundaries could be detected. These structures 247 form as a tensile stress release mechanism that compensates the mechanical 248 energy caused by the local volume increase due to oxide formation. Since 240 the increase of molar volume is biggest in the case of silicon ( $\Delta V_m = 10.63 \times$ 250  $10^{-6} \text{ m}^3 \text{ mol}^{-1}$  for Si  $\rightarrow$  SiO<sub>2</sub> [18, 47]), the visibility of sub-grain boundaries 251 is dominant in silicon containing alloys. 252

#### (FIGURE 4)

253

Since oxygen diffuses from the surface towards the interior, the local oxygen activity decreases towards the sample interior and reaches a critical limit for oxide formation at the oxidation front. Close to the surface, the oxygen activity becomes higher which stabilises oxygen-rich precipitates such as spinels or mixed oxides of the alloy additions with iron (compare figure *B.5*). Such a behaviour could be confirmed by qualitative EDX analyses although this data is not shown because this technique detects a huge iron signal, owing to the relatively large measurement spot compared to the tiny oxide

particles. However, an increased alloy content near the oxidation front could
be observed. This points to the presence of a lower iron content in the oxides
(or even pure alloy element oxides) close to the oxidation front.

Calculations of the spatial phase distribution were carried out by using the 265 pure substance thermodynamic dataset from FactSage [48]. As a conse-266 quence, the calculated phases possess the stoichiometric composition that is 267 determined from the database. However, especially in the case of MnO, the 268 cation sites in the crystal lattice can easily be occupied by either manganese 269 or iron, which leads to the good miscibility of MnO and wüstite (FeO) [49]. 270 This leads to a variation of the minimal oxygen activity, needed for forma-271 tion of an oxide, depending on the local iron/manganese ratio. Assuming the 272 thermodynamics of ideal mixing, one would expect for the oxide composition 273 (1) an increased content of manganese towards the internal oxidation front 274 (2) the formation of mixed iron-manganese oxides near (or at [18]) the sur-275 face with a manganese content, corresponding to the oxygen activity in the 276 reaction gas. 277

#### (FIGURE 5)

278

Interstingly, the calculated oxidation depths are always larger than experimental observations. Whereas the biggest deviation of more than a factor of 2 has been measured in the absence of grain boundary oxidation, little to no deviation of the total oxidation depth could be observed for manganese containing alloys that show preferential grain boundary oxidation. Within this study, all alloys show a bulk oxidation behaviour that is significantly lower than expected from theoretical predictions. This strongly suggests inhibition

of the element transport inside the ferrite grains, which may again likely be 286 caused by defect structures and element trapping [13, 39]. Whereas defects 287 can decrease diffusion due to their trapping behaviour in a well-defined crys-288 tal lattice and also across grain boundaries in a wider sense, their influence. 289 almost vanishes along the diffuse grain boundary region at elevated tempera-290 tures [13, 15, 51] where the oxygen atoms "jump" from one defect site to the 291 other without interactions with the ideal crystall lattice. While theoretical 292 simulations only consider diffusion and local thermodynamic equilibria, oxy-293 gen isotope experiments help to shine light on the influence of substitution 294 reactions in the fine-grained oxide precipitates [52, 53, 54]. This helps to un-295 ravel the pathways of fast oxygen transport in the alloy and leads towards the 296 origin of the pronounced grain boundary oxidation in some alloys. Previous 297 studies show that two hypothetical and extreme mechanisms determine the 298 oxygen isotope distribution pattern - transport via diffusion (including fast 299 diffusion pathways) and oxygen isotope substitution in the already formed 300 oxide precipitates. A pure oxygen isotope substitution mechanism under the 301 conditions shown in figure B.6 would lead to two separated oxide regions. 302 where the upper layer near the sample surface just contains <sup>18</sup>O. This do-303 main "pushes" the <sup>16</sup>O-isotopes further inwards during thermal treatment, 304 which can then be found directly underneath the  $^{18}O$  layer. Consequently, 305 the presence of <sup>16</sup>O determines the total oxidation depth in the alloy. A pure 306 diffusion mechanism without substitution implies that <sup>18</sup>O-isotopes move in-307 wards and form oxides near the oxidation front. In this case, no changes 308 of the <sup>16</sup>O-distribution would be observed - <sup>16</sup>O being firmly bonded at its 309 original sites - and the total oxidation depth is then defined by the innermost 310

<sup>311</sup> presence of <sup>18</sup>O-isotopes.

312

#### (FIGURE 6)

Clear signs of fast oxygen diffusion along the grain boundary domain 313 could be seen in all manganese containing alloys (figure B.6, left column). 314 The majority of <sup>18</sup>O passes the region of previously formed <sup>16</sup>O-oxides along 315 the grain boundaries with little to no oxygen isotope substitution. This indi-316 cates that oxygen transport along grain boundaries has only little interaction 317 with oxygen diffusion through the ferrite grains. Slight signs of grain bound-318 ary oxidation could be detected in Fe-3Al-0.8Cr. Consequently we conclude 319 that the grain boundaries act as a channel of fast oxygen transport through 320 the internal precipitate zone, leading to an increased amount of <sup>18</sup>O-oxides 321 near the oxidation front. Similar to the pronounced diffusion mechanism 322 along grain boundaries (with little to no oxygen isotope substitution), <sup>18</sup>O 323 has to move through the ferrite grains and partially substitutes the oxy-324 gen isotopes ( $^{16}O$  by  $^{18}O$ ) in the bulk oxides. Consequently, the  $^{18}O$ -region 325 near the sample surface pushes the <sup>16</sup>O-oxide region further inwards, which 326 becomes now sandwiched between two clearly visible <sup>18</sup>O-regions. This be-321 haviour can nicely be seen in the case of Fe-3Al-1Si (figure B.6). 328

The fact, that predominant grain boundary oxidation could only be observed in the case of manganese alloys, suggests a significant influence of this element. In contrast to these findings, we found no signs of grain boundary oxidation in binary low alloyed iron-manganese (figure B.2) which has also been confirmed in previous studies [18, 55]. This leads to the consequence that not a single-element effect of manganese but the combination of manganese and additional alloy elements lead to the pronounced grain boundary

oxide formation. Manganese possess the unique possibility to quickly vary its 336 valency states, which may allow for relatively fast ion transport in the oxide 337 crystal. The fact, that surface energies may alter thermodynamic stability 338 (and hence phase diagrams) [56] is likely not to be responsible for the fast 339 oxygen diffusion along grain boundaries. The manganese free alloys in this 340 study do not possess such a high redox-flexibility of the alloy element's va-341 lency states (or do not form mixed oxides at all) and hence show little to no 342 oxidative attack along grain boundaries. Another possibility is to attribute 343 the result to a convolute effect of enhanced element transport along grain 344 boundaries and an overall lower oxygen diffusion due to interaction with de-345 fects in the ferrite lattice. Such an explanation, however, would imply that 346 the oxygen-trap-interaction in the diffuse grain boundary domain is similar 347 to interaction in the well-ordered crystal lattice, which seems very unlikely. 348 It needs to be emphasised that the experimental approach presented here 349 may not strictly reflect the behaviour underneath a dense wüstite layer for 350 typical industrial conditions. In an industrial process, the oxygen activity 351 at the metal-scale interface is defined by the oxygen transport properties 352 through the outer scale layer as well as by wüstite decomposition kinetics 353 [24]. These barriers may lead to a significant decrease of the local oxygen 354 activity in the metal and alter the formation of oxides. However, the present 355 study describes the internal oxidation behaviour of iron-based alloys at el-356 evated temperatures in such a pure and simple way that results may even 357 be used to extend theoretical knowledge by the synergies of ternary element 358 additions. 359

#### 360 5. Conclusions

Selective oxidation experiments at 700 °C in binary and ternary iron-361 based alloys, containing Al, Cr, Mn and Si have been performed. Cross 362 sections of all ternary iron-manganese based samples revealed a fine network 363 of up to 250 nm thick grain boundary oxides that separate individual grains 364 and show severest oxidative attack (7-11  $\mu$ m). The fact, that pronounced 365 grain boundary oxidation is absent in binary Fe-2Mn, may partially be due 366 to the formation of sparsely distributed oxides at the surface of the Fe-2Mn 367 samples. However, this is more likely to be an effect of mixed oxide formation 368 in ternary alloy compositions (Al, Cr or Si) with Mn. 369

Bulk oxidation behaviour (oxide formation inside the ferrite grains) became dominant in Fe-{1, 3}Al, Fe-2Mn as well as ternary manganese-free alloys, characterised by the presence of finely dispersed oxide particles that reach up to 4  $\mu$ m into the material. An intermediate case of bulk oxidation with slight signs of grain boundary oxidation was observed in Fe-3Al-0.8Cr.

Remarkably, only minor discrepancies of  $\leq 1 \ \mu$ m between simulations and experiments could be verified in alloys that show pronounced grain boundary oxidation. This behaviour is attributed to trapping reactions in the grain that effectively slow down bulk diffusion behaviour (predominantly oxygen diffusion). Hence, the diffuse crystal structure near grain boundaries at elevated temperatures favours fast oxygen transport along (but not across!) them, and does not exceed the limit of pure grain boundary diffusion data from literature.

<sup>383</sup> "Enhanced grain boundary oxidation" - which is often found in the literature <sup>384</sup> - would be misleading in the present case as one might expect a diffusion

mechanism that is faster than theoretical expectations. Findings in Fe-0.8Cr,
Fe-1Si and ternary iron-manganese alloys rather suggest that diffusion along
grain boundaries does not change much by crystal defects. However, pure
bulk oxidation was indeed slowed down in all other alloys.

Oxygen isotope exchange experiments prove the fast diffusion pathways along 389 grain boundaries and the small interaction between grain boundary oxides 390 and oxide particles inside the grains. Here, the <sup>18</sup>O-isotope signal along 391 grain boundaries in ternary iron-manganese based alloys was predominant, 392 compared to the <sup>16</sup>O signal. Oxygen isotope exchange becomes significant in 393 the absence of grain boundary oxides, leading to the formation of an internal 394 <sup>16</sup>O region. An intermediate situation between fast oxygen transport and 395 oxygen isotope substitution could be observed in Fe-3Al-1Si, where the <sup>16</sup>O 396 domain is sandwiched between two <sup>18</sup>O domains. 397

Since Al, Cr and Si form stiochiometric oxides due to their low flexibility in their valency state (compared to Mn), fast oxygen transport along grain boundaries may likely be caused by the formation of mixed oxides with Mn (ternary alloys) and/or the atomic structure in the metal near the grain-oxide interface (Fe-0.8Cr, Fe-1Si).

403 6. Acknowledgements

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<sup>415</sup> Appendix A. Binary Phase Diagrams

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#### (FIGURE 7)

(FIGURE 8)

417 Appendix B. Ternary Phase Diagrams

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Nominal Composition         Al (wt-%)         Cr (wt-%)         Mn (wt-%)         Si (wt-%)         Fe           Fe-1Al         1.10         <0.002         0.023         <0.005         bal.           Fe-3Al         2.70         *         *         *         bal.           Fe-3Al         2.70         *         *         bal.           Fe-0.8Cr         <0.003         0.80         <0.002         <0.005         bal.           Fe-2Mn         *         *         2.14         *         bal.           Fe-2Mn         *         *         0.98         bal.           Fe-2Mn-1Al         1.00         <0.002         2.22         <0.005         bal.           Fe-2Mn-0.8Cr         *         0.79         2.17         *         bal.           Fe-3Al-0.8Cr         2.84         0.82         *         bal.           Fe-3Al-0.8Cr         0.012         0.92         *         1.02         bal.           Fe-1Si-0.8Cr         0.012         0.92         *         1.02         bal.
Fe-1A11.10<0.0020.023<0.005bal.Fe-3A12.70***bal.Fe-0.8Cr<0.003
Fe-3Al       2.70       *       *       *       bal.         Fe-0.8Cr       <0.003
Fe-0.8Cr       <0.003
Fe-2Mn       *       *       2.14       *       bal.         Fe-1Si       *       *       0.98       bal.         Fe-2Mn-1Al       1.00       <0.002
Fe-1Si***0.98bal.Fe-2Mn-1Al1.00<0.002
Fe-2Mn-1Al       1.00       <0.002
Fe-2Mn-0.8Cr       *       0.79       2.17       *       bal.         Fe-2Mn-1Si       *       *       1.99       0.91       bal.         Fe-3Al-0.8Cr       2.84       0.82       *       *       bal.         Fe-3Al-1Si       2.82       <0.002
Fe-2Mn-1Si       *       *       1.99       0.91       bal.         Fe-3Al-0.8Cr       2.84       0.82       *       *       bal.         Fe-3Al-1Si       2.82       <0.002
Fe-3Al-0.8Cr       2.84       0.82       *       *       bal.         Fe-3Al-1Si       2.82       <0.002
Fe-3Al-1Si       2.82       <0.002
Fe-1Si-0.8Cr 0.012 0.92 * 1.02 bal.

Table B.1: Alloy compositions, measured by atomic absorption spectroscopy (AAS, values with \* were not measured).

Element Transport									
Element	bulk d	liffusion	grain bounda	Ref.					
	$D_0 / m^2 s^{-1}   Q / kJ mol^{-1} s$		${ m s}\delta { m D}_0~/~{ m m}^3~{ m s}^{-1}$	Q / kJ mol <sup><math>-1</math></sup>					
Iron (Fe)	$1.21 \times 10^{-2}$	281.6	$6.79 \times 10^{-13}$	174.0	[31]				
Oxygen (O)	$3.72 \times 10^{-6}$	42.40	$3.72 \times 10^{-12}$	$42.40^{a}$	[31, 32]				
Aluminium (Al)	$1.8 \times 10^{-4}$	228.2	$1.8 \times 10^{-10}$	$228.2^{a}$	[31]				
Chromium (Cr)	$8.52 \times 10^{-4}$	250.8	$6.02 \times 10^{-11}$	217.7	[31]				
Manganese (Mn)	$7.60 \times 10^{-5}$	224.6	$1.10 \times 10^{-12}$	$192.9^{b}$	[31]				
Silicon (Si)	1.7×10 <sup>-4</sup> 229.1		$1.7 \times 10^{-10}$ 229.1 <sup>a</sup>		[31]				
Solubility									
Element	$c_0 / \text{mol m}^{-3}$	$Q_L / kJ mol^{-1}$	$c_{(700^{\circ}C)} / \text{ mol m}^{-3}$		Ref.				
Oxygen (O)	$3.034 \times 10^4$	95.72	$0.2209 \times p_{(H_2O)}/p_{(H_2)}$		[32]				

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Table B.2: Diffusion values and solubilities, used for the simulations.

<sup>*a*</sup> values set to 100 times the bulk diffusion coefficient due to missing data ( $\delta = 10$  nm).

<sup>b</sup> values for polycrystalline Fe, 25wt-%Ni, 20wt-% Cr due to missing data in ferrite.

	Alloy	GB-oxides	$d_{exp}$ (µm)		$d_{sim} \ (\mu m)$		$d_{exp}/d_{sim}$		
			bulk	GB	bulk	GB	bulk	GB	
	Fe-1Al	No	1.5	*	7.3	8.8	0.210	*	
	Fe-3Al	No	1.2	*	4.0	5.3	0.305	*	
	Fe-0.8Cr	Yes	1.1	2.8	10.8	13.9	0.106	0.201	
	Fe-2Mn	No	1.7	*	9.8	11.7	0.177	*	
	Fe-1Si	Yes	1.5	3.1	5.5	7.3	0.273	0.419	
	Fe-2Mn-1Al	Yes	2.4	8.2	6.3	8.9	0.386	0.920	
	Fe-2Mn-0.8Cr	Yes	1.5	7.4	7.6	9.2	0.196	0.800	
	Fe-2Mn-1Si	Yes	1.5	10.2	5.3	10.2	0.281	0.993	
	Fe-3Al-0.8Cr	slightly	3.1	4.5	4.6	8.5	0.674	0.528	
	Fe-3Al-1Si	No	2.5	*	4.3	8.5	0.573	*	
	Fe-1Si-0.8Cr	No	2.5	*	5.8	9.9	0.433	*	
			1		1	1			
V									
P									

Table B.3: Experimental  $(d_{exp})$  and calculated  $(d_{sim})$  corrosion depth and presence of grain boundary oxides (GB-oxides) in binary and ternary iron-based alloys after heat treatment at 700°C for 60min in Ar / 2.5vol-%H<sub>2</sub> / 0.94vol-%H<sub>2</sub>O.



Figure B.1: Experimental set-up for high temperature reactions with fast gas changes (top) and parameters for high temperature oxidation of ternary iron alloys in Ar / 2.5vol-% H<sub>2</sub> with oxygen marked water vapour (0.94vol-% H<sub>2</sub>O, DP +6 °C,  $p_{(O_2)} = 2 \times 10^{-22}$  bar, bottom).



Figure B.2: SEM images of binary iron alloys, oxidised at 700°C in Ar / 2.5vol-% H<sub>2</sub> / H<sub>2</sub>O (DP+6°C, 9400 ppm,  $p_{(O_2)} = 2 \cdot 10^{-22}$ bar) for a total time of 60 min. The cross sections were prepared with a 10° tilt angle, to enlarge the vertical view of the oxidised zone by a factor of 5.67.



Figure B.3: Light optical microscopy images of ternary iron alloys, oxidised at 700 ° in Ar / 2.5vol-% H<sub>2</sub> / 0.94vol-% H<sub>2</sub>O (DP +6 °C,  $p_{(O_2)} = 2 \times 10^{-22}$  bar) for a total time of 60 min. The cross sections were prepared with a 10° tilt angle, to enlarge the vertical view of the oxidized zone by a factor of 5.67<sub>2</sub>

![](_page_34_Figure_1.jpeg)

Figure B.4: SEM images of ternary iron alloys, oxidised at 700°C in Ar / 2.5vol-% H<sub>2</sub> / 0.94vol-% H<sub>2</sub>O (DP +6 °C,  $p_{(O_2)} = 2 \times 10^{-22}$  bar) for a total time of 60 min. The cross sections were prepared with a 10° tilt angle, to enlarge the vertical view of the oxidized zone by a factor of 5.67.

![](_page_35_Figure_1.jpeg)

Figure B.5: Calculated spatial distribution of thermodynamically stable alloy element phases in ternary iron alloys, oxidised at 700 °C for 60 min in Ar / 2.5vol-% H<sub>2</sub> / 0.94vol-% H<sub>2</sub>O (DP +6 °C,  $p_{(O_2)} = 2 \times 10^{-22}$  bar). The values are normalised to the maximum amount of each phase ( $c_{max} = 1$ ).

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![](_page_36_Picture_1.jpeg)

Figure B.6: ToF-SIMS measurements of oxygen isotope distribution in ternary iron alloys, oxidised at 700 °C for 60 min in Ar / 2.5vol-% H<sub>2</sub> / 0.94vol-% H<sub>2</sub>O (DP +6 °C,  $p_{(O_2)} = 2 \times 10^{-22}$  bar). After 30 min, the gas mixture for the heat treatment was switched from H<sub>2</sub><sup>16</sup>O to H<sub>2</sub><sup>18</sup>O. The distributions are displayed for <sup>18</sup>O (green), <sup>16</sup>O (red) and Ni (blue). The top red part in the pictures is due to the embedding material. Cross sections were prepared with a 10° tilt angle, to enlarge the vertical view of the oxidized zone by a factor of 5.67.

![](_page_37_Figure_1.jpeg)

Figure B.7: Binary phase diagrams of iron-based alloys Fe-Al (a), Fe-Cr (b), Fe-Mn (c) and Fe-Si (d), calculated with FactSage [48]. The single phase regions are shown in blue. Compositions of the model alloys , Fe-0.8wt%Cr, Fe-1wt%{Al, Si}, Fe-2wt%Mn and Fe-3wt%Al are marked with green dots.

![](_page_38_Figure_1.jpeg)

Figure B.8: Ternary phase diagrams of iron-based alloys Fe-Mn-Al (a), Fe-Mn-Cr (b), Fe-Mn-Si (c), Fe-Al-Cr (d), Fe-Al-Si (e) and Fe-Cr-Si (f) at 700 °C, calculated with FactSage [48]. Phase regions are marked by following the suggestion of The American Society of Metallurgists (single phase region - blue, three phase region - yellow). Compositions of iron based model alloys, used for this study, are marked with green dots.

# Highlights for Review

• Selective oxidation at 700°C in iron-based model alloys

- Variation of the oxygen isotopes (<sup>16</sup>O/ <sup>18</sup>O) to determine fast diffusion pathways
- Mn containing ternary alloys show severe grain boundary oxidation
- Grain boundary oxidation similar to theoretical results but bulk oxidation smaller
- Substitution between bound (oxide) and mobile oxygen observed in Mn-free alloys