

1 ***Patient Oriented and Performance Based Outcomes following Knee Autologous***
2 ***Chondrocyte Implantation: a time line for the 1st year of recovery***

3

4 **Context:** It is well established that autologous chondrocyte implantation(ACI) can require
5 extended recovery postoperatively; however, little information exists to provide clinicians and
6 patients with a timeline for anticipated function during the first year following ACI. **Objective:**
7 To document the recovery of functional performance of activities of daily living following ACI.
8 **Patients:** ACI Patients(n=48, 29 males, 35.1±8.0yrs). **Intervention:** All patients completed
9 functional tests (Weight Bearing Squat, Walk Across, Sit-to-Stand, Step Up/Over, and Forward
10 Lunge) using the NeuroCom Long Forceplate(Clackamas, OR), and completed patient reported
11 outcome measures (IKDC, Lysholm, WOMAC, and SF-36) preoperatively and 3, 6, and 12
12 months postoperatively. **Main Outcome Measures:** A covariance pattern model was used to
13 compare performance and self-reported outcome across time and provide a time line for
14 functional recovery following ACI. **Results:** Participants demonstrated significant
15 improvement in Walk Across stride length from baseline(42.0±8.9 % height) at 6 (46.8±8.1%)
16 and 12 months(46.6±7.6%). Weight bearing on the involved limb during squatting at 30°, 60°,
17 and 90° was significantly less at 3 months as compared to pre-surgery. Step Up/Over time was
18 significantly slower at 3 months(1.67±0.69) compared to baseline(1.49±0.33s), 6
19 months(1.51±0.36s), and 12 months(1.40±0.26s). Step Up/Over, lift-up index was increased
20 from baseline(41.0±11.3 %BW) at 3 (45.0±11.7%BW) 6 (47.0±11.3 %BW) and 12
21 months(47.3±11.6 %BW). Forward lunge time was decreased at 3 months(1.51±0.44s) compared
22 to baseline(1.39±0.43s), 6 months(1.32±0.046s), and 12 months(1.27±0.056). Similarly,

23 Forward Lunge impact force was decreased at 3 months(22.2 ± 1.4 %BW) compared to baseline
24 (25.4 ± 1.5 %BW). The WOMAC demonstrated significant improvements at 3 months. All
25 patient reported outcomes were improved from baseline at 6 and 12 months post-surgery.

26 **Conclusions:** Patients' perceptions of improvements may outpace physical changes in function.
27 Decreased function for at least the first 3 months following ACI should be anticipated, and
28 improvement in performance of tasks requiring weight bearing knee flexion, such as squatting,
29 going down stairs, or lunging, may not occur for a year or longer following surgery.

30

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32 Autologous chondrocyte implantation (ACI)¹ has become an acceptable and common
33 treatment approach for the management of symptomatic articular cartilage defects.² As research
34 regarding ACI has advanced sizable efforts have been made to evaluate both disease and patient
35 oriented outcomes following ACI. Numerous studies have evaluated the utilization of patient
36 reported outcome measures (PROs) to document the recovery of function and return to activity
37 following ACI.³⁻⁵ Meta-analyses of more than 43 studies have revealed large effect sizes
38 demonstrating significant improvement for a variety of PRO scores following ACI.⁵ PROs
39 provide reliable and valid information regarding patients' perceived function and health related
40 quality of life (HRQL).⁶⁻¹³ An alternative to PROs is the use of performance based assessments
41 (PBAs) to document outcomes. PBAs provide a direct, objective measure of patient function and
42 involve measures of performance such as time, distance, or force for specified tasks or
43 movements. The relationship between PROs and PBAs has previously been reported as low to
44 moderate among a variety of knee patients.¹⁴⁻¹⁹ This discordance may be due in part to the
45 strong influence perceived pain may have on PROs. For example, PRO scores may increase

46 even in the absence of improved function if a patient's pain has been resolved.¹⁹⁻²² Recent
47 research involving total joint arthroplasty patients has provided further support for the inclusion
48 of PBAs as part of a detailed outcomes assessment protocol.¹⁸⁻²⁰ Combining PROs with PBAs
49 may provide a more complete picture of clinical outcomes after ACI than the utilization of either
50 type of outcome in isolation.

51 Few studies have utilized PBAs to document the return of function following ACI. Most
52 of those that have, have either examined very low demand activity such as the 6 minute walk
53 test,²³⁻²⁷ or very high demand activity via the single-limb hop.²⁸ No known studies have
54 examined the timeline for return to function following ACI using low to moderate demand PBAs
55 that recreate the demands and stresses of common activities of daily living such as squatting,
56 rising from sitting, or going up and down stairs, in addition to walking. A description of
57 functional recovery during the first year following ACI is imperative to provide evidence for
58 prescription of appropriate patient education, rehabilitation protocols, and understanding of the
59 recovery process. Therefore, the purpose of this study was to document serial changes in knee
60 function over one year following ACI using both PROs and PBAs. It was hypothesized that
61 PROs would demonstrate significant improvement from baseline at all postoperative time points.
62 It was also hypothesized that PBA measures for walking, rising from sitting, stepping up/over,
63 and lunging would demonstrate no improvements at the 3 month time point followed by
64 progressive improvement at 6 months and 12 months as compared to baseline measures of
65 function.

66

67 **METHODS**

68 **Patients**

69 Between July 2008 and July 2011 patients were prospectively recruited from an active
70 cartilage center. Inclusion criteria were planned ACI surgery to the medial or lateral femoral
71 condyle, trochlea, or patella; willingness to participate; no uncorrectable contraindications to
72 ACI such as extensive degenerative joint disease, insufficient meniscus, or unstable knee; and
73 ability to ambulate without use of assistive devices. There were no exclusions based on limb
74 malalignment if the malalignment was corrected prior to or at the time of surgery via high tibial
75 osteotomy or tibial tubercle transfer. Similarly, patients undergoing concomitant or staged
76 ligament reconstruction to correct joint instability were also eligible for study participation.

77 A total of 50 patients (31 males, 19 females, 35.0 ± 7.9 yrs, 180.34 ± 30.7 cm, 92.0 ± 20.6
78 kg) agreed to participate. During the enrollment period four patients were invited to take part of
79 the study, but declined to participate resulting in an enrollment rate of 93% of eligible patients.
80 Of the enrolled patients 24 underwent ACI to the patellofemoral joint with a tibial tubercle
81 transfer and the remaining 26 underwent ACI to the medial femoral condyle, of which 4 also had
82 a concomitant high tibial osteotomy and 2 underwent concomitant meniscal transplantation.
83 Mean number of defects treated per patient were 1.5 ± 0.6 with an average treatment area of 8.7
84 ± 6.8 cm² (range 1.96 to 39.0 cm²). All participants signed a university approved IRB consent
85 form at the time of enrollment.

86 **Procedures**

87 **Surgical Procedures and Rehabilitation**

88 All patients underwent a two-step ACI procedure performed by the same surgeon (CL).
89 During the first procedure a limited chondroplasty was performed and the lesion was evaluated
90 arthroscopically. At this time a biopsy was obtained from the intracondylar notch (100 to 200

91 mg cartilage). This sample was sent to a commercial laboratory where it was cultured and
92 expanded (Carticel, Genzyme Corp, Cambridge, MA). In a second surgical procedure
93 chondrocyte implantation was performed using a mini-arthrotomy. First the defect or defects
94 were prepared using a curette to debride down to the subchondral plate with stable edges. A type
95 I/III collagen membrane (Bio-Gide^(R), Geistlich Biomaterials, Wohousen, Switzerland) was
96 shaped to match the defect. Sutures and fibrin glue (Tisseel, Baxter Healthcare Corp., Deerfield,
97 IL) were used to adhere the membrane over the defect to form a water tight seal. The
98 chondrocytes in suspension were then injected beneath the membrane into the defect through a
99 small portal remaining at the edge of the collagen membrane. The portal was then closed and
100 sealed with sutures and additional fibrin glue.

101 All patients followed standardized rehabilitation protocols following surgery with
102 considerations for defect location and concomitant procedures.²⁹ All patients were braced in full
103 extension and were non-weight bearing for 2 weeks postoperatively. Toe-touch weight bearing
104 was permitted from 2 to 4 weeks with partial weight bearing from 4 to 6 weeks and progression
105 to full weight bearing between weeks 6 to 12. Continuous passive motion was prescribed for all
106 patients for 6 to 8 hours per day for 6 weeks. For defects in the tibiofemoral joint, knee braces
107 were gradually unlocked between 2 to 4 weeks as quadriceps control was gained. For defects to
108 the patellofemoral joint, knees were braced in full extension for weight bearing through 4 weeks
109 postoperative and then were gradually unlocked as quadriceps control was gained between weeks
110 4 and 6. Once good quadriceps control was gained all patients were transitioned to a hinged
111 knee sleeve. All patients were recommended to abstain from high intensity cutting or pivoting
112 activity until at least 12 months post ACI.

113 Patient Reported Outcomes

114 The PROs used in this study were the Medical Outcomes Study – 36 Item Short Form
115 Health Survey Physical Component Scales (SF-36 PCS),^{11, 30, 31} the Western Ontario and
116 McMaster Osteoarthritis Index (WOMAC),¹⁰ the International Knee Documentation Committee
117 (IKDC) Subjective Knee Evaluation Form,⁷ and the Lysholm scale.³² The SF-36,¹³ IKDC,¹³
118 Lysholm,⁸ and WOMAC^{8, 13} have all been evaluated for reliability among cartilage patients. A
119 researcher independent of the treating physician reviewed each instrument with the patients and
120 was available to answer any questions they may have had. All PROs were completed at the
121 following time points: prior to implantation (preoperation), 3 months, 6 months, and 12 months
122 post-surgery.

123 Performance Based Assessments

124 At each time point after completing PROs each participant completed a series of 6 PBAs
125 in a musculoskeletal laboratory setting. All PBAs were completed using the NeuroCom Balance
126 Master[®] and long forceplate (NeuroCom International, Clackamas, OR). This is a commercially
127 available system designed both as a training and evaluation tool for function and balance tasks,
128 and it has the ability to provide immediate feedback to clinicians and patients regarding quality
129 of task performance for a variety of activities of daily living (ADLs).³³ The only exposure study
130 participants had with the long foreplate was for research testing purposes and they were not
131 provided feedback during testing.

132 The long forceplate consists of a 45.72 cm x 152.40 cm force plate with data sampled at
133 100 Hz and a personal computer equipped with data capture software (Balance Master ver. 8.1).
134 These functional tasks were selected because of their direct relationship to activities of daily
135 living and the feasibility of patients being able to complete the task at each testing time point

136 (Table 1). Tests were completed in the order presented at all time points. This order was
137 subjectively determined during pilot testing to be from least to most demanding. All testing was
138 administered by the same investigator (JSH). For all single limb tests the uninvolved limb was
139 tested first. Three successful trials of each task were performed (except for the Weight Bearing
140 Squat which consisted of a single trial at each joint angle). Approximately 15s of rest was
141 permitted between each trial and 30s of rest between each task. For the purposes of this
142 manuscript all outcome variables are identified using the names assigned to them by the software
143 utilized. Definitions for these variables are presented in Table 1. The six tasks are described
144 below.

145 *Walk Across* (Figure 1.): Patients walked across the long forceplate using their freely chosen
146 standard gait speed and pattern.

147 *Weight Bearing Squat* (Figure 2.): Patients stood still on the force plate and force was recorded
148 with knee flexion angles of 0°, 30°, 60°, and 90. The percentage of body weight on the involved
149 limb was measured during a single trial with a duration .01s for each position. A standard
150 goniometer was used to verify knee joint angle at each position.

151 *Sit to Stand* (Figure 3.): Patients were seated on a 50cm box. Upon both visual and audio signal
152 from the computer they rose to full standing as quickly as possible without using their hands, and
153 then maintained a steady stance for the remainder of the 10 s trial.

154 *Step-Up/Over* (Figure 4.): Participants stood behind a 29cm high box and stepped up onto the
155 box with their test leg, then brought their non-test leg up and over the box, and then stepped
156 down with their test leg. Patients were instructed to complete this task as quickly as possible
157 while still maintaining control.

158 *Forward Lunge*: Patients in a standing position stepped forward on one leg and squatted down as
159 far as possible, and then returned to the initial standing position as quickly as possible.

160 Previous research has investigated the global components of function assessed by the
161 long forceplate. Using factor analysis methods, Chong identified the latent functional variables
162 assessed in several of the included tasks.³⁴ He concluded that the Sit to Stand assessed the
163 underlying factors of both “agility” and “weight transfer”, the Step up/Over assessed “force
164 control,” and the Forward Lunge assessed the underlying factor of “agility.”³⁴ Additionally,
165 Walk Across stride width and stride length evaluated “walking” factors not well represented in
166 the other functional tasks.³⁴ Outcomes utilizing the long forceplate have also previously been
167 reported for postoperative recovery following total knee replacement.¹⁹ Finally, the long
168 forecplate has been reported to be sensitive to functional deficits following anterior cruciate
169 ligament reconstruction.³⁵ This existing literature supports the use of the long forceplate as a
170 useful tool for the assessment of lower extremity function, particularly among postoperative knee
171 patients.

172 **Statistical Analysis**

173 A mixed model analysis using a covariance pattern model with an autoregressive
174 covariance matrix was used to compare changes in PROs and PBAs between preoperative, 3
175 month, 6 month, and 12 month postoperative evaluations. Significance level was set at $p \leq 0.05$
176 *a priori* and when a main effect was significant, protected least significant difference pairwise
177 comparisons were used to identify differences between individual time points.

178 **RESULTS**

179 Six participants were declared clinical failures at or before the one year time point and
180 were not medically cleared to complete functional testing at all follow-up time points; however

181 PRO scores were available for 4 of these patients who had yet to undergo reoperation at the one
182 year time point. An additional five participants were lost to follow-up. All available data for all
183 participants at all time points was incorporated into the statistical analysis.

184 **Patient Reported Outcomes**

185 There was a main effect ($p < 0.001$) for time for all four PRO instruments (Figure 5).
186 The WOMAC ($p=0.050$) was the only instrument to show significant changes between
187 preoperation and the 3 month time point. There were significant improvements from
188 preoperation to the 6 and 12 month follow-ups for the IKDC ($p < 0.001$, $p < 0.001$, respectively),
189 SF36-PCS ($p = 0.002$, $p = 0.001$), Lysholm ($p < 0.001$, $p < 0.001$), and WOMAC ($p < 0.001$, $p <$
190 0.001).

191 **Performance Based Assessments**

192 All PBAs demonstrated changes over time (Table 2.). For the Walk Across task there
193 was a significant increase in stride length observed at both the 6 and 12 month time points
194 compared to preoperation (6 month, $p = 0.002$; 12 month, $p = 0.005$) and when compared to 3
195 month values (6 month, $p < 0.001$; 12 month, $p = 0.001$). There was no main effect for time for
196 stride width ($p = 0.663$) or walking speed ($p = 0.051$).

197 For the Weight Bearing Squat a main effect for time was observed for squatting at 30° (p
198 < 0.001), 60° , and 90° . Post-hoc analyses revealed decreases in weight distribution on the
199 surgical limb between preoperation (48% body weight) and 3 months (43% body weight, $p =$
200 0.020) and 6 months (45% body weight, $p = 0.020$) for squatting at 30° . Decreased weight
201 bearing was also observed between preoperation and 3 months ($p < 0.001$) and preoperation and
202 6 months ($p = 0.048$) for squatting at 60° . Similarly, squatting weight distribution asymmetries

203 were observed at 90° relative to baseline at 3 months ($p < 0.001$) Although not statistically
204 different from preoperative values, at the 12 month time point mean weight distributions
205 remained below preoperative values at 30°, 60°, and 90°.

206 The Sit to Stand demonstrated the earliest positive effects of surgery with decreased
207 weight transfer time at 3 months ($p=0.016$) compared to preoperation. Weight transfer time
208 continued to improve at 6 months ($p=0.05$) and 12 months ($p=0.002$).

209 For the Step Up/Over there were significant increases in lift-up force between
210 preoperation and the 3 ($p=0.003$), 6 ($p = 0.005$), and 12 ($p=0.010$) month follow-up time points.
211 Time required to complete the Step Up/Over was also increased at 3months ($p=0.009$), but
212 returned to baseline at later time points. Similarly, Step Up/Over impact index was increased
213 over preoperation values at 3 months ($p=0.001$) and 6 months ($p=0.034$), possibly demonstrating
214 a loss of eccentric control when stepping down from the box.

215 Finally, results for the Forward Lunge showed a significant decrease in impact index
216 (peak vertical ground reaction force) at 3 months ($p = 0.007$), but returned to preoperative levels
217 and began to increase at the 6 and 12 month time points. Similar to the Step Up/Over, Forward
218 Lunge time was slower at the 3 month time point ($p = 0.006$) but gradually became quicker at
219 subsequent evaluations.

220

221 **DISCUSSION**

222 The primary purpose of this study was to provide a timeline for recovery that could be
223 utilized by both patients and clinicians in managing expectations regarding postoperative
224 recovery of function. A summary timeline of the functional recovery observed in the first year

225 following ACI can be seen in Figure 6. Overall, these results suggest that patients may
226 experience physical benefits such as decreased pain and symptoms as early as 3 months
227 following ACI, but some facets of functional performance may initially decline following
228 surgery, with significant improvements in functional performance of complex tasks such as
229 squatting and stepping not occurring until 12 months, or perhaps longer.

230 **Patient Reported Outcomes**

231 PROs have frequently been utilized to document functional outcomes following ACI.³⁻⁵
232 The observed results suggest that patients may experience functional improvements for simple
233 activities of daily living tasks such as those evaluated by the WOMAC as early as 3 months
234 following ACI. However, data from the other self-reported outcome instruments utilized suggest
235 that patients should not expect significant improvement prior to the 6 month time point. The
236 lack of significant improvement in most PRO scores at the 3 month time point is in agreement
237 with previous research by Henderson and Levigne and Ebert et al.^{23,36} However, both of these
238 authors observed decreases in self-reported function using the IKDC³⁶ and SF-36 PCS^{23,36} at the
239 three month time point, while we observed slight, but non-significant increases. In contrast
240 Tohyama et al. reported significant improvements in Lysholm scores as early as 3 months
241 following treatment with atelocollagen-associated ACI.³⁷

242 The improvements observed among patients in IKDC, Lysholm, and SF-36 PCS scores at
243 6 months were similar to the outcomes observed by Niemeyer et al. for the IKDC³⁸ and both
244 Niemeyer et al. and Kreuz et al. for the Lysholm.^{38,39} Other authors have observed even larger
245 improvements in IKDC³⁷ and Lysholm⁴⁰ scores as early as 6 months following ACI.

246 Across all PROs we observed improvements when preoperative scores were compared to
247 scores 12 months following ACI surgery. These results are in agreement with the findings of
248 others when utilizing the IKDC,^{36, 38, 39, 41-45} Lysholm,^{37-39, 41, 42} SF-36 PCS,³⁶ and WOMAC^{46, 47}
249 scores 1-year following ACI. Regardless of which outcome instrument is used, the IKDC,
250 Lysholm, SF-36 PCS, or the WOMAC, both clinicians and patients can anticipate improvements
251 in self-perceived function during the first year following ACI.

252 **Performance Based Assessments**

253 Limited improvements in PBAs were observed 1-year following ACI (Table 2.). In
254 general, a decrease in physical performance was observed at 3 and 6 months postoperatively,
255 followed by a return towards baseline at 12 months following ACI. This pattern of decreased
256 function followed by gradual return/improvement of function was particularly true for the
257 Weight Bearing Squat, Step Up/Over, and Lunge. The only measures to show positive
258 improvements from preoperative levels at or within the 12 month time period were Walk Across
259 stride length , Sit to Stand weight shift time, and Step Up/Over lift-up index. These results
260 suggest that improvements for simpler, less demanding tasks, such as walking or going up steps
261 can be seen as early as 6 to 12 months following ACI. However, for more complex tasks,
262 particularly those that require eccentric quadriceps control - such as squatting, going down steps,
263 or lunging - meaningful changes in function may not be observed within the first year following
264 ACI.

265 Decreases in physical performance at the 3 month time point have been previously
266 observed with the 6 minute walk-test following matrix-induced autologous chondrocyte
267 implantation (MACI)^{23, 25} and characterized chondrocyte implantation (CCI).²⁴ Similar to our
268 results, other researchers have observed slight improvements in walking performances at the 6

269 month²⁵ and 12 month^{24, 25} time points that continue to improve at 24 month follow-up.^{24, 25}
270 During laboratory gait analysis, improvements in gait speed and stride length, without significant
271 changes in stride width, were observed over 12 months following MACI.⁴⁸ These results support
272 our observation that, after an initial decrease in function, both patients and physicians can
273 anticipate improvements in gait beginning around the 6 month time point following ACI.

274 In examining more dynamic tasks, Van Assche et al. observed decreased functional
275 performance for a series of hopping and strength tasks (single-limb hop, cross-over hop, 6 m
276 timed hop, and isometric knee extension strength) at 6 months following CCI and no significant
277 improvements were observed as late as 24 months after CCI.²⁸ For example, these authors
278 observed a 9% decrease in the single-leg hopping limb symmetry index through 24 months
279 following surgery.²⁸ These results are in agreement with our observations demonstrating an
280 initial decrease in function for more dynamic tasks such as squatting and stepping, with few or
281 no significant or measurable improvements in functional performance at the 12 month time point
282 following ACI.

283 In comparison to normative data⁴⁹ it can be observed that some long forceplate variables
284 are below preoperative levels at baseline, but approach or achieve age group normative values
285 during the first year of postoperative recovery. These include the Step Up/Over lift-up index and
286 Forward Lunge distances. However, other variables such as Step Up/Over and Forward Lunge
287 times are below normal at baseline, become more abnormal at the three month time point and
288 despite some improvement continue to be below normative levels at the one year mark. These
289 results suggest that although patients may have improvements in the ability to successfully
290 perform the task, they continue to do so at a slower pace.

291 Across the literature and within our study sample, improvements in gait relative to
292 presurgery have been observed as early as 6 months following ACI.²⁵ However, improvements
293 in more dynamic activities such as squatting, lunging, stepping, and hopping have not been
294 observed within the first 12 months following ACI in the present study or elsewhere.²⁸ These
295 results support existing theory that although improvements in self-report measures may occur
296 early postoperatively, maximal defect healing and functional improvement continues beyond 12
297 months following ACI.⁵⁰⁻⁵³

298 The occurrence of changes in self-report measures of function prior to changes in
299 performance based measures of function may be a result of the large influence pain levels have
300 been observed to have on PRO scores.¹⁹⁻²² The observed improvement in PRO scores in the
301 absence of improved physical performance supports the importance of incorporating both types
302 of outcome measures when documenting patient outcomes. The importance of a patient's own
303 rating of function and subjective feelings towards joint health cannot be ignored. However,
304 when considering decisions such as ability to return to work or physical activity, or to evaluate
305 postoperative changes in biomechanics, performance based measures provide unique information
306 that cannot be fully and accurately captured by PROs along.

307 **Limitations**

308 A limitation of this study is the inclusion of a diverse ACI patient population. The study
309 sample included individuals undergoing treatment for lesions to the patella, trochlea, and/or
310 femoral condyle many of which also underwent concomitant realignment procedures.
311 Additionally, rehabilitation compliance was not tracked, and all patients were free to work with a
312 physical therapist of their choice. Because of this variability, the presented timeline for recovery
313 is not specific or precise for any one defect location and/or realignment procedure. Instead a

314 broad pattern of recovery has been presented that can be generalized to a variety of defect
315 patterns and sizes.

316 An additional limitation of this study is the lack of outcomes beyond 12 months post-
317 ACI. However, the purpose of this study was to provide a descriptive time line for changes in
318 self-perceived function and functional recovery in the first year following ACI. This time line is
319 intended to describe when patients can expect improvements in activities of daily living and
320 when patients will perceive a benefit from the surgery, two key pieces of information that may
321 be valuable to patients and physicians when deciding if and when to undergo ACI. Future
322 examination of these outcome variables for a longer period (> 1 year) will provide more
323 information regarding the long term course of recovery following ACI.

324

325 **CONCLUSIONS**

326 This study presents a descriptive timeline for changes in both PROs and PBAs during the
327 first 12 months following ACI. Self-perceived changes in function were observed as early as 3
328 months following ACI while performance based measures of function demonstrated functional
329 deficits compared to preoperative levels at both the 3 and 6 month time points. Specifically,
330 patients demonstrated increased asymmetry of weight distribution when squatting and rising
331 from sitting, decreased vertical ground reaction force production during lunging, and longer
332 performance times for lunging and stepping activities. At the 12 month time point performance
333 improvements were seen for walking speed, Sit to Stand weight transfer time and Step Up/Over
334 lift-up index: however, Step Up/Over time and Forward Lunge impact index and time remained
335 below previously reported norms. Overall, it was observed that patients' perceptions of
336 functional improvements may outpace true physical changes in function. The present results,

337 combined with those in the literature provide important information for both physicians and
338 rehabilitation specialists to consider when working with cartilage patients who desire to return to
339 high level physical activity. Clearly, recovery can be lengthy, and intense rehabilitation (beyond
340 the existing standard of care) may be necessary to improve beyond or even restore dynamic
341 function to preoperative levels.

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354

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513 **Table 1. Functional tasks evaluated on the NeuroCom Balance Master ® Long**
 514 **Force Plate.** All tasks were performed in the order presented by patients treated for articular
 515 cartilage defects to the knee.

Task	Parameter(s) Assessed	NeuroCom Outcome Variable	Definition
Walk Across	Characterization of Gait	Stride Length (cm)	Distance between contralateral heel strikes
		Stride Width (cm)	Lateral distance between center of pressure of left and right foot strikes
		Walking Speed (cm/s)	Speed of forward progression of the center of gravity (COG)
Weight Bearing Squat	Strength, Weight Distribution	% Body Weight (BW) at 0° (full extension), 30°, 60°, and 90° of knee flexion	% BW on the involved limb at each position (test duration .01s)
		Sit To Stand	Strength, Weight Distribution, Performance Time, Double Limb Balance
Step-up/Over	Concentric Strength, Eccentric Control, Performance Time	Rising Index (%BW)	Peak vertical force exerted through the legs when rising to full standing relative to stationary vertical standing force
		Weight Symmetry	% Difference in weight supported by each limb during the weight transfer phase
		Lift-up Index (%BW)	Peak vertical force occurring while stepping up onto the box as a percentage of body weight
		Impact Index (%BW)	Peak vertical force occurring while stepping down off the box as a percentage of body weight
Lunge	Concentric and Eccentric Control, Functional Range of Motion, Performance Time	Movement Time (s)	Time between initial weight shift (i.e. change in COP velocity by 5%) and contact with force plate on opposite side of box (determined by COP velocity dropping to within 5% of post-test resting velocity)
		Distance (% subject height)	Length of lunge step as a percentage of subject height
		Impact Index (%BW)	Peak vertical force occurring during lunge maneuver as a percentage of body weight
		Movement Time (s)	Duration of lunge phase during which lead leg is in contact with the force plate. Start and stop of a trial is determined by 5% change in COP velocity from pre-test and post-test resting velocity.

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Table 2. Patient Reported and Performance Based Assessments Over 12 Months Following Autologous Chondrocyte Implantation

Test	Preoperative		3 Months		6 Months		12 Months	
Variable	Mean (SD)		Mean(SD)		Mean (SD)		Mean (SD)	
Walk Across								
Width (% height)	1 0.1)	(2.8	9 .7	(2.5)	9.7 46.	(2.1)	9.5 46.	(2.5) (7.6)*
Length (%height)	4 2.0)	(8.9	4 2.1	(10.5)	8 88.	(8.1)*†	9 94.	†
Speed (cm/s)	8 2.6 8)	(16.	8 7.7	(24.6)	2 2	(19.3)	5	(18.2)
Double Limb Squat (% Body Weight (BW))								
0°	4 8	(5)	4 8	(3)	49 49	(3)	49	(5)
30°	4 8	(8)	4 3	(6)*	45 45	(6)*	46	(5)
60°	4 7	(8)	4 2	(7)*	44 46	(6)*	45	(6)†
90°	4 8	(6)†	4 4	(5)*	† (6)	(6)	46	(6)†
Sit to Stand								
Weight Transfer Time (s)	0. 51	(0.2 6)	0 .39	(0.32)*	0.3 6	(0.19)*	0.3 3	(0.20) *
Rise Index (% BW>100%)	2 3.3)	(9.4	2 2.0	(8.5)	24. 0	(8.4)	24. 6	(8.8)
Inv/Uninv Symmetry (-towards uninvolved)	- 6.24	(17. 6)	- 13.7	(15.2)*	- 9.9	(9.8)	- 8.37	(12.3)
Step Up/Over								
Lift-up Index (% BW>100%)	4 1.0	(11. 3)	4 5.0	(11.7)*	47. 0	(11.3)*	47. 3	(11.6) *
Time (s)	1. 49	(0.3 3)†	1 .67	(0.69)*	1.5 1	(0.36)	1.4 0	(0.26) †
Impact (% BW)	4 7.6	(17. 0)†	5 4.9	(18.2)*	54. 1	(19.3)*	50. 7	(16.9)
Forward Lunge								
Distance (% height)	4 4.9)	(7.1	4 6.8	(19.1)	50. 5	(19.0)	51. 3	(23.8)
Impact Index (% BW)	2 4.4)†	(7.0	2 1.8	(6.7)*	24. 4	(7.4)†	27. 2	(10.4) †
Time (s)	1. 39	(0.43)†	1 .51	(0.44)*	1.3 4	(0.28)	1.2 9	(0.39) †
Patient Reported Outcomes								
IKDC	3 8.43	(12.5)	4 1.62)	(15.68	51. 10)*†	(18.34	56. 21	(20.6) 4)*†
SF-36 PCS	3 7.39	(8.79)	3 7.98	(9.83)	43. 50	(9.16)	44. 22	(11.2) 8)*†
Lysholm	4 7	(18)	5 4	(21)	51 61	(23)*†	56 65	(24) *†
WOMAC	3 3	(17)†	2 8	(17)*	22 22	(19)*†	20 20	(19) *†

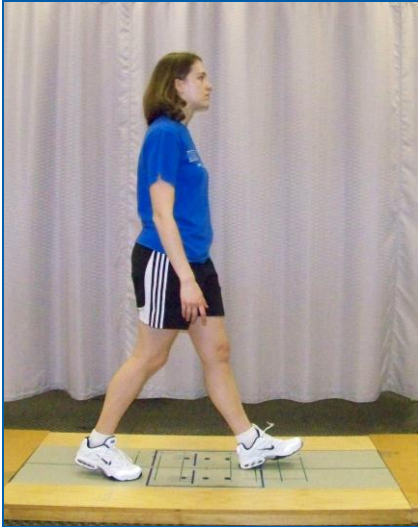
*significantly different from preoperative time point, †significantly different from 3 month time point,

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Figure 1. Walk Across



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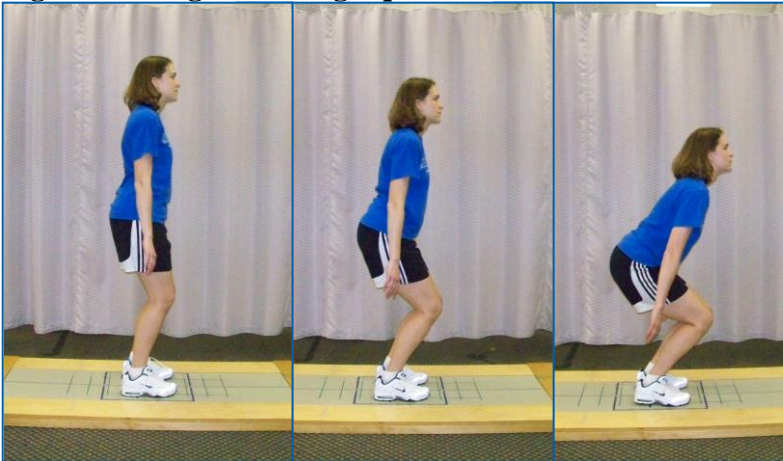
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Walk Across outcome variables included stride width, stride length, and speed

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Figure 2. Weight Bearing Squat



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Percentage of body weight on the involved limb was evaluated at 0 (not pictured), 30, 60 and 90 degrees of knee flexion.

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533 **Figure 3. Sit to Stand**

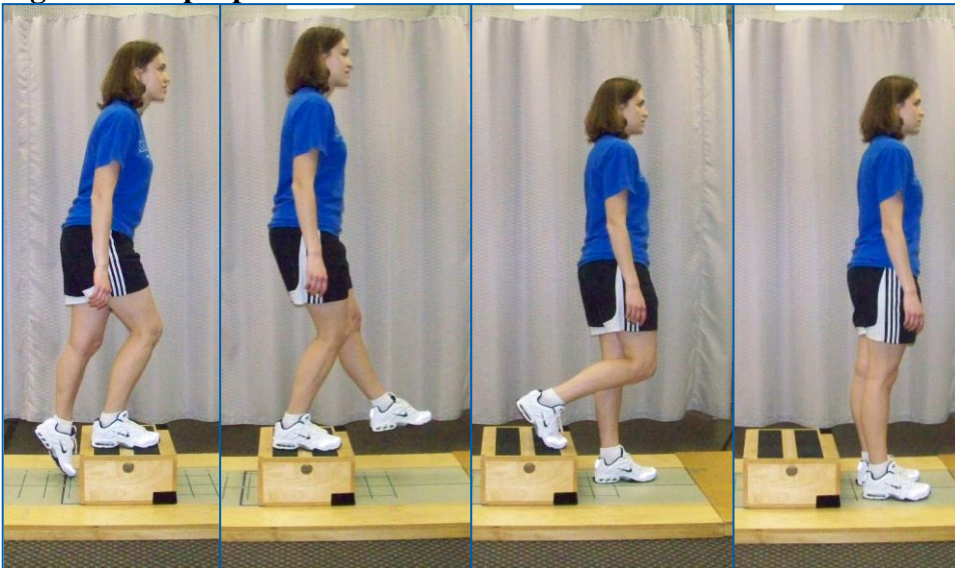


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535 Sit to Stand: Beginning from a sitting position, upon receiving a visual and audio cue
536 participants were instructed to rise from sitting as quickly as possible without using hands to
537 push off the box. Outcome measures included weight transfer time, rising index and weight
538 symmetry.

539

540 **Figure 4. Step Up/Over**



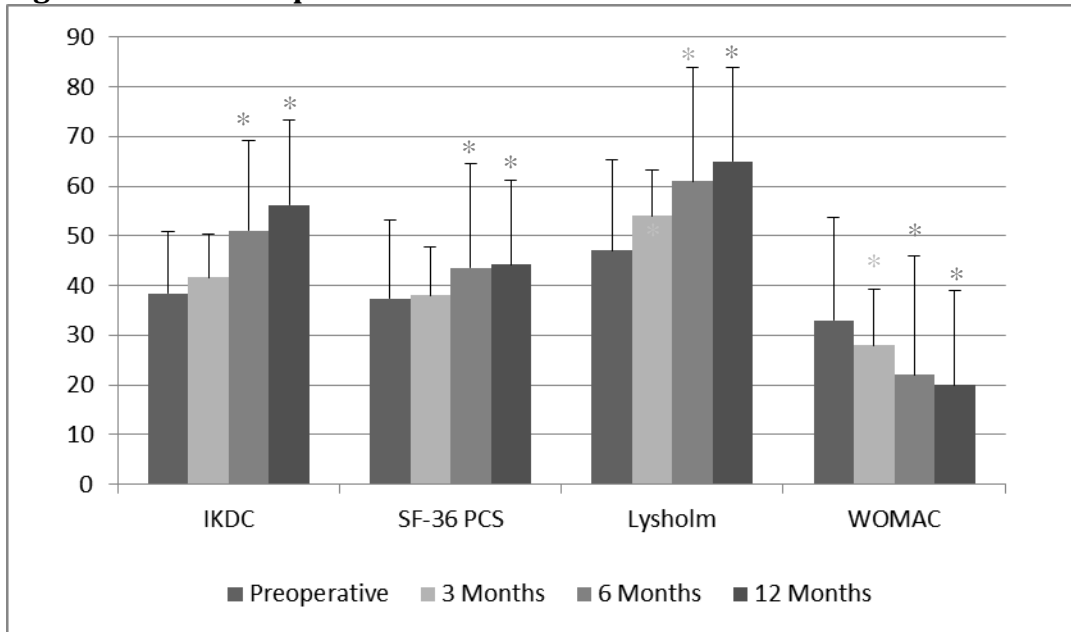
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542 Step Up/Over: Beginning with both feet behind the box, participants were instructed to step
543 up and over the box and return to stationary standing as quickly as they could do so while still
544 maintaining control. Outcome variables were lift-up index, impact index, and movement time.

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547 **Figure 5. Patient Reported Outcome Scores**

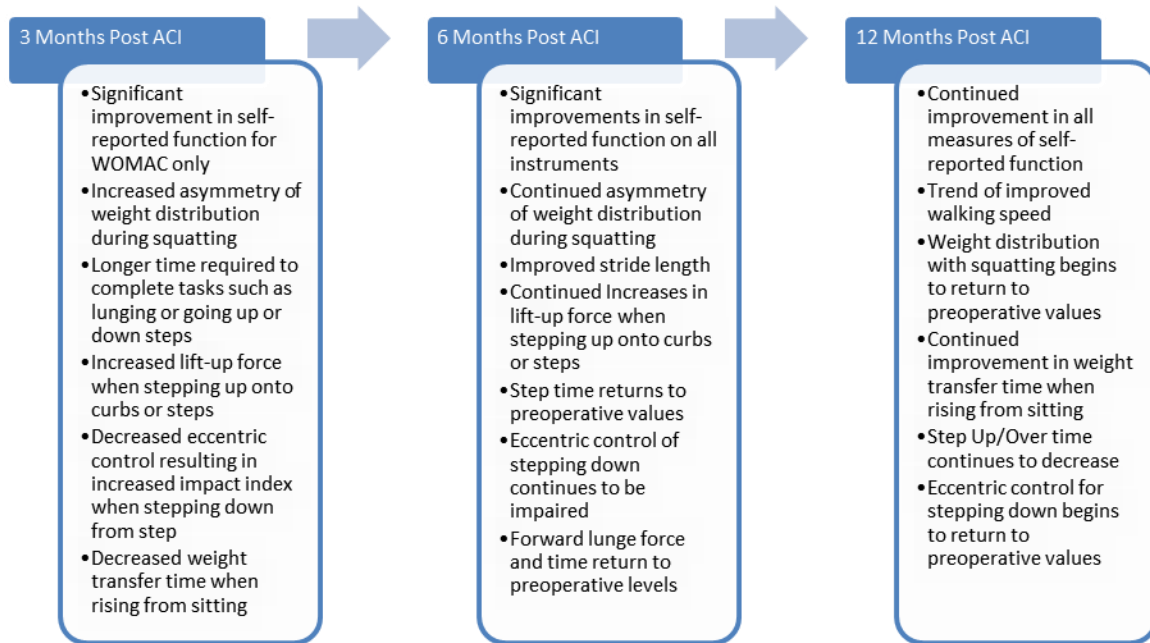


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549 * $p \leq 0.05$ compared to preoperative time point. IKDC and Lysholm are scored from 0 to
 550 100 with 100 representing an ideal score. SF-36 PCS uses norm based scoring system
 551 where 50 represents a mean score with a standard deviation of 10 and higher scores
 552 representing higher levels of function. The WOMAC is scored 96-0 with 0 representing no
 553 disability. Error bars represent standard deviation.

554

555 **Figure 6. Timeline of Functional Recovery Following Autologous Chondrocyte**
556 **Implantation**



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