# Splittings and C-Complexes 

Mahan Mj<br>Department of Mathematics, RKM Vivekananda University, Belur Math, WB-711 202, India email:mahan.mj@gmail.com<br>Peter Scott<br>Mathematics Department<br>University of Michigan<br>Ann Arbor, Michigan 48109, USA.<br>email: pscott@umich.edu<br>Gadde Swarup<br>718, High Street Road, Glen Waverley,<br>Victoria 3150, Australia<br>email: anandaswarupg@gmail.com

June 5, 2009


#### Abstract

The intersection pattern of the translates of the limit set of a quasiconvex subgroup of a hyperbolic group can be coded in a natural incidence graph, which suggests connections with the splittings of the ambient group. A similar incidence graph exists for any subgroup of a group. We show that the disconnectedness of this graph for codimension one subgroups leads to splittings. We also reprove some results of Peter Kropholler on splittings of groups over malnormal subgroups and variants of them.

AMS subject classification : 20F67(Primary), 22E40, 57M50(Secondary)


## Contents

1 Introduction ..... 2
1.1 Statement of Results ..... 2
1.2 Crossing ..... 3
1.3 C-complexes ..... 5
2 Non-crossing and splittings ..... 6
2.1 A non-crossing Lemma ..... 6
2.2 Splitting Theorem ..... 7
3 Some other applications ..... 8
3.1 Acknowledgements ..... 12

## 1 Introduction

Let $M$ be a closed 3-manifold and $f: S \rightarrow M$ an immersed least area surface such that not all complementary regions in $M$ are handlebodies. Thickening $f(S)$ in $M$ and filling in all compressing disks and balls, we obtain a codimension zero submanifold with incompressible boundary $F$. Then $\pi_{1}(M)$ splits over $\pi_{1}(F)$. An interesting special case occurs when $M$ admits two immersed least area surfaces which are disjoint, as the condition on complementary components of each of the surfaces is then automatically satisfied. The aim of this paper is to obtain group-theoretic analogues of these and related facts using the theory of algebraic regular neighborhoods developed by Scott and Swarup [11].

### 1.1 Statement of Results

Let $G$ be a group and $H$ a subgroup. A simplicial complex (termed $C$-complex) can be constructed from the incidence relations determined by the cosets of $H$ as follows (see [6]). The vertices of $C(G, H)$ are the cosets $g H$ and the $(n-1)$-cells are $n$-tuples $\left\{g_{1} H, \cdots, g_{n} H\right\}$ of distinct cosets such that $\cap_{1}^{n} g_{i} H g_{i}^{-1}$ is infinite. When $G$ is hyperbolic and $H$ quasiconvex, this is equivalent to the incidence complex where vertices are limit sets and $(n-1)$-cells are $n$-tuples of limit sets with non-empty intersection. (See [9] and [2] for related material.)

Let $e(G)$ denote the number of ends of a group $G$, and let $e(G, H)$ denote the number of ends of a group pair $(G, H)$. Our main Theorem states:

Theorem [2.2 Suppose that $G$ is a finitely generated group and $H$ a finitely generated subgroup. Further, suppose that $e(G)=e(H)=1$ and $e(G, H) \geq 2$. If $C(G, H)$ is disconnected, then $G$ splits over a subgroup (that may not be finitely generated).

Since we are only interested in the connectivity of $C(G, H)$, it is enough to consider the connectivity of its 1 -skeleton $C_{1}(G, H)$ which has the following simple description: the vertices of $C_{1}(G, H)$ are the essentially distinct cosets $H g$ of $H$ in $G$ and two vertices $H g$ and $H k$ are joined by an edge if and only if $g H g^{-1}$ and $k H k^{-1}$ intersect in an infinite set.

The principal technique used to prove Theorem 2.2 is the theory of algebraic regular neighborhoods developed by Scott and Swarup 11 and a lemma on crossings (in the sense of Scott [10]) which may be of independent interest. Our results have some thematic overlap with results of Kropholler 4] and Niblo [7], and this is discussed at the end of the paper. We also prove a slight
generalization of a theorem of Kropholler [4] and the following variant of that theorem:
Theorem 3.7 Let $G$ be a finitely generated, one-ended group and let $K$ be a subgroup which may not be finitely generated. Suppose that $e(G, K) \geq 2$, and that $K$ is contained in a proper subgroup $H$ of $G$ such that $H$ is almost malnormal in $G$ and $e(H)=1$. Then $G$ splits over a subgroup of $K$.

### 1.2 Crossing

We recall certain basic notions from [10] and 11]. We say that a subset $A$ of $G$ is $H$-finite if $A$ is contained in a finite number of right cosets $H g$ of $H$ in $G$. Two subsets $X$ and $Y$ of $G$ are said to be $H$-almost equal if their symmetric difference $(X-Y) \cup(Y-X)$ is $H$-finite. A subset $X$ of $G$ is said to be $H$-almost invariant if $H X=X$, and $X$ and $X g$ are $H$-almost equal, for all $g$ in $G$. We may also say that $X$ is almost invariant over $H$. Such a set $X$ is said to be nontrivial if both $X$ and its complement $X^{*}$ are not $H$-finite. The number of ends, $e(G, H)$, of the pair $(G, H)$ is $\geq 2$ if and only if $G$ has nontrivial $H$-almost invariant subsets.

The following simple result will be needed later. It is Lemma 2.13 of 11 .
Lemma 1.1 Let $G$ be a group with subgroups $H$ and $K$. Suppose that $X g$ is $K$-almost equal to $X$ for all $g$ in $G$, and that $X$ is $H$-finite. Then either $X$ is $K$-finite or $H$ has finite index in $G$.

We shall use the notion of crossing of almost invariant sets in the sense of Scott [10]. Let $G$ be a finitely generated group and $\Gamma_{G}$ the Cayley graph of $G$ with respect to a finite generating set. Thus the vertex set of $\Gamma_{G}$ equals $G$. Let $H$ and $K$ be subgroups of $G$, and let $X$ and $Y$ be almost invariant subsets of $G$ over $H$ and $K$ respectively. Let $X^{*}$ and $Y^{*}$ denote their complements.

Given two subsets $X$ and $Y$ of a group $G$, it will be convenient to use the terminology corner for any one of the four sets $X \cap Y, X^{*} \cap Y, X \cap Y^{*}$ and $X^{*} \cap Y^{*}$. Thus any pair $(X, Y)$ has four corners.

Definition 1.2 Let $X$ be a $H$-almost invariant subset of $G$ and let $Y$ be a $K$ almost invariant subset of $G$. We will say that $Y$ crosses $X$ if each of the four corners of the pair $(X, Y)$ is $H$-infinite. Thus each of the corners of the pair projects to an infinite subset of $H \backslash G$.

It is shown in that if $X$ and $Y$ are nontrivial, then $X \cap Y$ is $H$-finite if and only if it is $K$-finite. It follows that crossing of nontrivial almost invariant subsets of $G$ is symmetric, i.e. that $X$ crosses $Y$ if and only if $Y$ crosses $X$.

Next we recall some material from [11]. Let $G$ be a group with subgroups $H$ and $K$, and let $X$ and $Y$ be nontrivial almost invariant subsets of $G$ over $H$ and $K$ respectively. We will denote the unordered pair $\left\{X, X^{*}\right\}$ by $\bar{X}$, and will say that $\bar{X}$ crosses $\bar{Y}$ if $X$ crosses $Y$.

Now let $H_{i}$ be a subgroup of $G$ and let $X_{i}$ be a nontrivial $H_{i}$-almost invariant subset of $G$. Let $E=\left\{g X_{i}, g X_{i}^{*}: g \in G, 1 \leq i \leq n\right\}$, and let $\bar{E}=\left\{g \overline{X_{i}}: g \in\right.$
$G, 1 \leq i \leq n\}$. Thus $G$ acts on the left on $E$ and on $\bar{E}$. Define an equivalence relation on $\bar{E}$ to be generated by the relation that two elements $A$ and $B$ of $\bar{E}$ are related if they cross. We call an equivalence class of this relation a crossconnected component (CCC) of $\bar{E}$, and denote the equivalence class of $A$ by $[A]$. We will denote the collection of all CCC's of $\bar{E}$ by $P$. Note that the action of $G$ on $\bar{E}$ induces an action of $G$ on $P$.

We will first introduce a partial order on $E$. If $U$ and $V$ are two elements of $E$ such that $U \subset V$, then our partial order will have $U \leq V$. But we also want to define $U \leq V$ when $U$ is "nearly" contained in $V$. If $U$ is $L$-finite and $V$ is $M$-finite, we will say that a corner of the pair $(U, V)$ is small if it is $L$-finite (and hence $M$-finite). We want to define $U \leq V$ if $U \cap V^{*}$ is small. Clearly there will be a problem with such a definition if the pair $(U, V)$ has two small corners, but this can be handled if we know that whenever two corners of the pair $(U, V)$ are small, then one of them is empty. Thus we consider the following condition on $E$ :

Condition $\left(^{*}\right)$ : If $U$ and $V$ are in $E$, and two corners of the pair $(U, V)$ are small, then one of them is empty.

If $E$ satisfies Condition $\left(^{*}\right)$, we will say that the family $X_{1}, \ldots, X_{n}$ is in good position.

Assuming that this condition holds, we can define a relation $\leq$ on $E$ by saying that $U \leq V$ if and only if $U \cap V^{*}$ is empty or is the only small set among the four corners of the pair $(U, V)$. Then $\leq$ turns out to be a partial order on $E$. If $U \leq V$ and $V \leq U$, it is easy to see that we must have $U=V$, using the fact that $E$ satisfies Condition $\left(^{*}\right)$. It is proved in 11 that $\leq$ is transitive. We note here that the argument that $\leq$ is transitive does not require that the $H_{i}$ 's be finitely generated. Now there is a natural idea of betweenness on the set $P$ of all CCC's of $\bar{E}$. Given three distinct elements $A, B$ and $C$ of $P$, we say that $B$ lies between $A$ and $C$ if there are elements $U, V$ and $W$ of $E$ such that $\bar{U} \in A, \bar{V} \in B, \bar{W} \in C$ and $U \leq V \leq W$. Note that the action of $G$ on $P$ preserves betweenness.

For the remainder of this discussion we will assume that $G$ and the $H_{i}$ 's are all finitely generated.

An important point is that if one is given a family $X_{1}, \ldots, X_{n}$ of almost invariant subsets of $G$, the family need not be in good position, but it was shown in [8], using the finite generation of $G$ and the $H_{i}$ 's, that there is a family $Y_{1}, \ldots, Y_{n}$ of almost invariant subsets of $G$, such that $X_{i}$ and $Y_{i}$ are equivalent, and the $Y_{i}$ 's are in good position.

A pretree consists of a set $P$ together with a ternary relation on $P$ denoted $x y z$ which one should think of as meaning that $y$ is strictly between $x$ and $z$. The relation should satisfy the following four axioms:

- (T0) If $x y z$, then $x \neq z$.
- (T1) $x y z$ implies $z y x$.
- (T2) $x y z$ implies not $x z y$.
- (T3) If $x y z$ and $w \neq y$, then $x y w$ or $w y z$.

A pretree is said to be discrete, if, for any pair $x$ and $z$ of elements of $P$, the set $\{y \in P: x y z\}$ is finite. In [11], Scott and Swarup showed that if $G$ and the $H_{i}$ 's are all finitely generated, then the set $P$ of all CCC's of $\bar{E}$ with the above idea of betweenness is a discrete pretree. We define a star in $P$ to be a maximal subset of $P$ which consists of mutually adjacent elements.

It is a standard result that a discrete pretree $P$ can be embedded in a natural way into the vertex set of a tree $T$, and that an action of $G$ on $P$ which preserves betweenness will automatically extend to an action without inversions on $T$. Also $T$ is a bipartite tree with vertex set $V(T)=V_{0}(T) \cup V_{1}(T)$, where $V_{0}(T)$ equals $P$, and $V_{1}(T)$ equals the collection of all stars in $P$. It follows that the quotient $G \backslash T$ is naturally a bipartite graph of groups $\Theta$ with $V_{0}$-vertex groups conjugate to the stabilisers of elements of $P$ and $V_{1}$-vertex groups conjugate to the stabilisers of stars in $P$.

The CCC's of $\bar{E}$ form the $V_{0}$-vertices of the bipartite $G$-tree $T$ (Theorem 3.8 of [11]) with $V_{1}$-vertices corresponding to stars of $V_{0}$-vertices. The graph is minimal (Theorem 5.2 of [11) and does not reduce to a point if there is more than one $V_{0}$-vertex, i.e. more than one CCC.

### 1.3 C-complexes

The notion of height of a subgroup was introduced by Gitik, Mitra, Rips and Sageev in [2] and further developed in [5].

Definition 1.3 Let $H$ be a subgroup of a group $G$. We say that the elements $g_{1}, \ldots, g_{n}$ of $G$ are essentially distinct if $H g_{i} \neq H g_{j}$ for $i \neq j$. Conjugates of $H$ by essentially distinct elements are called essentially distinct conjugates.

Note that we are abusing terminology slightly here, as a conjugate of $H$ by an element belonging to the normalizer of $H$ but not belonging to $H$ is still essentially distinct from $H$. Thus in this context a conjugate of $H$ records (implicitly) the conjugating element.

We now proceed to define the simplicial complex $C(G, H)$ for a group $G$ and $H$ a subgroup.

Definition 1.4 Let $G$ be a group with subgroup $H$. Then the simplicial complex $C(G, H)$ has vertices ( 0 -cells) which are the cosets $g H$ of $H$ (or equivalently conjugates of $H$ by essentially distinct elements), and $(n-1)$-cells are $n$-tuples $\left\{g_{1} H, \cdots, g_{n} H\right\}$ of distinct cosets such that $\cap_{1}^{n} g_{i} H g_{i}^{-1}$ is infinite (in fact by [12] an infinite quasiconvex subgroup of $G$ ).

We shall refer to the complex $C(G, H)$ as the $\mathbf{C}$-complex for the pair $(G, H)$. (C stands for "coarse" or "Čeach" or "cover", since $C(G, H)$ is like a coarse nerve of a cover, reminiscent of constructions in Čeach cochains.)

If $G$ is a word hyperbolic group and $H$ is a quasiconvex subgroup, we give below two descriptions of $C(G, H)$ which are equivalent to the above definition. In this case, let $\partial G$ denote the boundary of $G$, let $\Lambda$ denote the limit set of $H$, and let $J$ denote the convex hull in hyperbolic space of $\Lambda$.

1) Vertices ( 0 -cells) of $C(G, H)$ are translates of $\Lambda$ by essentially distinct elements, and $(n-1)$-cells are $n$-tuples $\left\{g_{1} \Lambda, \cdots, g_{n} \Lambda\right\}$ of distinct translates such that $\cap_{1}^{n} g_{i} \Lambda \neq \emptyset$.
2) Vertices ( 0 -cells) are translates of $J$ by essentially distinct elements, and $(n-1)$-cells are $n$-tuples $\left\{g_{1} J, \cdots, g_{n} J\right\}$ of distinct translates such that $\cap_{1}^{n} g_{i} J$ is infinite.

## 2 Non-crossing and splittings

For the proof of Lemma 2.1 below, instead of using the notion of coboundary as in [11] we use terminology introduced by Guirardel in a different context. Let $A$ be a subset of $G$ (the vertex set of $\Gamma_{G}$ ). Define

$$
\partial A=\left\{a \in A \mid \text { there exists } a^{\prime} \in A^{*}, d\left(a, a^{\prime}\right)=1\right\} .
$$

Then

$$
\partial(A \cap B)=(\partial A \cap B) \cup(A \cap \partial B)
$$

By a connected component of $A$ we mean a maximal subset of $A$ whose elements (vertices of $\Gamma_{G}$ ) can be joined by edge paths of $\Gamma_{G}$, none of whose vertices lie in $A^{*}$. If $B$ is finite, $G \backslash B$ has finitely many components. Arguments similar to those in the following lemma occur in Kropholler's paper [4]. We give a topological argument which is also used later.

### 2.1 A non-crossing Lemma

Lemma 2.1 Let $G$ be a finitely generated group with finitely generated subgroups $H$ and $K$. Let $X$ and $Y$ be nontrivial almost invariant subsets of $G$ over $H$ and $K$ respectively. Suppose that $e(G)=e(H)=e(K)=1$, and that $H \cap K$ is finite. Then $X$ and $Y$ do not cross.

Proof: Let $\Gamma_{G}$ be the Cayley graph of $G$ with respect to some finite generating set. Thus the vertex set of $\Gamma_{G}$ equals $G$. Our first step is to thicken $X, X^{*}$, $Y$ and $Y^{*}$ in $\Gamma_{G}$ to make them connected. For any subset $A$ of $\Gamma_{G}$, we let $N_{R}(A)$ denote the $R$-neighborhood of $A$ in $\Gamma_{G}$. Now let $S$ be a finite system of generators of $H$ and let $F$ be a finite subset of $X$ such that $\partial X \subset H$.F. If $R$ is the diameter of the set $S . F$, then $N_{R}(\partial X)$ is connected. Since $N_{R}(\partial X) \subset N_{R}(X)$ and since any point of $X$ can be connected to a point of $\partial X$ by an edge path all of whose vertices lie in $X$, it follows that $N_{R}(\partial X) \cup X=N_{R}(X)$ and is connected. Since any point of $\partial X^{*}$ is within distance 1 of $\partial X$, it follows that $N_{R+1}\left(\partial X^{*}\right)$, and hence $N_{R+1}\left(X^{*}\right)$, is also connected. Hence for any $T \geq R+1$, $N_{T}(X), N_{T}\left(X^{*}\right), N_{T}(\partial X)$ and $N_{T}\left(\partial X^{*}\right)$ are all connected. Similar arguments apply to $Y$ and $Y^{*}$.

In what follows we will consider only sets $N_{R}(A)$, where $A$ is one of the above subsets of $G$, and $R$ is fixed so that each $N_{R}(A)$ is connected. Thus for notational simplicity we will denote $N_{R}(A)$ by $N(A)$.

Now $N(\partial X) \cap N(\partial Y)$ is the intersection of an $H$-finite set with a $K$-finite set, and is therefore $(H \cap K)$-finite. As $H \cap K$ is finite, it follows that $N(\partial X) \cap N(\partial Y)$ is finite. Let $U$ denote this intersection. Then $N(\partial X)$ can be expressed as the union of $U,(N(\partial X) \cap N(Y)) \backslash U$ and $\left(N(\partial X) \cap N\left(Y^{*}\right)\right) \backslash U$. Since $U$ is finite, $(N(\partial X) \cap N(Y)) \backslash U$ and $\left(N(\partial X) \cap N\left(Y^{*}\right)\right) \backslash U$ have finitely many components. As $e(H)=1$, it follows that $N(\partial X)$ also has one end, so that only one of these components can be infinite. Thus one of $N(\partial X) \cap N(Y)$ and $N(\partial X) \cap N\left(Y^{*}\right)$ must be finite. Without loss of generality, we can suppose that $N(\partial X) \cap N(Y)$ is finite. Similarly, by reversing the roles of $X$ and $Y$, one of $N(X) \cap N(\partial Y)$ and $N\left(X^{*}\right) \cap N(\partial Y)$ must be finite.

If $N(X) \cap N(\partial Y)$ is finite, then $\partial(X \cap Y)=(\partial X \cap Y) \cup(X \cap \partial Y) \subset$ $(N(\partial X) \cap N(Y)) \cup(N(X) \cap N(\partial Y))$, which is finite. Thus $\partial(X \cap Y)$ is finite. Since $(X \cap Y)^{*}=X^{*} \cup Y^{*}$ is infinite and $e(G)=1$, we see that $X \cap Y$ must itself be finite which shows that $X$ and $Y$ do not cross. Similarly if $N\left(X^{*}\right) \cap N(\partial Y)$ is finite, then $X^{*} \cap Y$ must be finite which again shows that $X$ and $Y$ do not cross. We conclude that in all cases $X$ and $Y$ cannot cross, as required.

### 2.2 Splitting Theorem

We will now apply the preceding non-crossing result and the material from 11 ] discussed in subsection 1.2 to prove the following splitting results.

Theorem 2.2 Suppose that $G$ is a finitely generated group and $H$ a finitely generated subgroup. Further, suppose that $e(G)=e(H)=1$ and that $e(G, H) \geq$ 2. If $C(G, H)$ is disconnected, then $G$ splits over some subgroup (that may not be finitely generated).

Proof: Let $X$ be a nontrivial $H$-almost invariant subset of $G$. By Lemma 2.1 applied to $X$ and $g X$, we see that if $H \cap g H g^{-1}$ is finite, then $X$ and $g X$ do not cross.

Hence if $X$ and $g X$ cross, then $H \cap g H g^{-1}$ is infinite, and $H$ and $g H$ must lie in the same component of the $C$-complex $C(G, H)$. As $C(G, H)$ is not connected, we must have more than one CCC. Thus the tree $T$ of CCC's does not reduce to a point, is a minimal $G$-tree and each edge of $T$ induces a non-trivial splitting of $G$. This completes the proof of the theorem. (Note, however, that though $V_{0}$-vertices have finitely generated stabilizers, the edges and $V_{1}$-vertices need not. Thus the splitting may be over an infinitely generated subgroup.)

Essentially the same techniques show
Corollary 2.3 Suppose that $H$ and $K$ are finitely generated subgroups of $a$ finitely generated group $G$, and suppose that $e(G)=e(H)=e(K)=1 ; e(G, H) \geq$ 2 ; $e(G, K) \geq 2$. If all the conjugates of $K$ intersect $H$ in finite groups, then $G$ admits a splitting.

The graph considered here is reminiscent of the transversality graph considered by Niblo [7, and Corollary 2.3 is similar to his Theorem D. The transversality graph considered by Niblo is dependent on the $H$-almost invariant set chosen, but if one chooses a set in very good position as in [8], one obtains the regular neighborhood graph considered above. Similarly, once we have the noncrossing lemma, by choosing almost invariant sets in very good position one can deduce Corollary 2.3 here from Theorem D of Niblo [7. See also the discussion on page 95 of 11 .

## 3 Some other applications

We recall that a subgroup $H$ of a group $G$ is said to be almost malnormal if whenever $H^{g} \cap H$ is infinite it follows that $g$ lies in $H$. In Theorem 2.2, if we assume in addition that $H$ is almost malnormal, then the graph $C(G, S)$ is totally disconnected and the proof shows that $G$ splits over a subgroup of $H$. However in this case we can do slightly better by more elementary arguments. First we recall the following criterion of Dunwoody:

Theorem 3.1 Let $E$ be a partially ordered set with an involution $e \rightarrow \bar{e}$ where $e \neq \bar{e}$ such that:
(D1) If $e, f \in E$ and $e \leq f$, then $\bar{f} \leq \bar{e}$,
(D2) If $e, f \in E$, there are only finitely many $g \in E$ such that $e \leq g \leq f$,
(D3) If $e, f \in E$, then at least one of the four relations $e \leq f, \bar{e} \leq f, e \leq$ $\bar{f}, \bar{e} \leq \bar{f}$ holds, and
(D4) If $e, f \in E$, one cannot have both $e \leq f$ and $e \leq \bar{f}$.
Then there is an abstract tree $T$ with edge set equal to $E$ such that $e \leq f$ if and only if there is an oriented path in $T$ that starts with $e$ and ends with $f$.

Next we recall the following result of Kropholler, which is Theorem 4.9 of [4]. We will discuss the definition of the invariant $\widetilde{e}(G, H)$ below.

Theorem 3.2 Suppose that $G$ is a finitely generated group with a finitely generated subgroup $H$, such that $e(G)=1=e(H)$.

1. If $H$ is malnormal in $G$, and $e(G, H) \geq 2$, then $G$ splits over $H$.
2. If $H$ is malnormal in $G$, and $\widetilde{e}(G, H) \geq 2$, then $G$ splits over a subgroup of $H$.

Our methods allow us to extend this result. First we give the following slight generalization of the first part of Kropholler's theorem. The only difference is that we have replaced malnormality by the weaker condition of almost malnormality. Later we will slightly generalize the second part in the same way, and will also prove a variant of Kropholler's result.

Theorem 3.3 Suppose that $G$ is a finitely generated group with a finitely generated subgroup $H$, such that $e(G)=1=e(H)$. If $H$ is almost malnormal in $G$, and $e(G, H) \geq 2$, then $G$ splits over $H$.

Proof. To prove this result, we will apply Dunwoody's criterion to the set $E=\left\{g X, g X^{*}, g \in G\right\}$, with the partial order $\leq$ discussed in subsection 1.2 . Recall that this partial order can only be defined if $X$ is in good position. We will show that this is automatic in the present setting. If two corners of the pair $(X, g X)$ are finite, it follows that $g X$ is equivalent to $X$ or to $X^{*}$. In particular this implies that $H^{g}$ and $H$ are commensurable subgroups of $G$. As $H$ is infinite and almost malnormal in $G$, this can only occur if $g$ lies in $H$, so that $g X$ equals $X$, and the two small corners are both empty. Thus $X$ is in good position, as required.

Next we observe that with this partial order on $E$, conditions (D1) and (D4) of Dunwoody's criterion are trivial. Condition (D3) holds, because our noncrossing lemma implies that for any $e, f \in E$ one of the corners of the pair $(e, f)$ is finite. Finally, as in the proof of Lemma B.1.15 of [11], condition (D2) holds because the set of $g \in G$ for which $X$ and $g X$ are not nested is contained in a finite number of double cosets $H g H$. This crucially uses the fact that $H$ is finitely generated and will be discussed in more detail in the proofs of the next theorems. Now Dunwoody's criterion gives us a tree $T$ on which $G$ acts and which is minimal. Since the stabilizer of $X$ is $H$, we see that $G$ splits over $H$. This completes the proof of Theorem 3.3.

Even though, the condition $e(G)=1$ in the above result is generic, the hypotheses of almost malnormality and having one end are not generic for the subgroup $H$ and we would like to slightly weaken this condition.

The statement of the second part of Kropholler's theorem involves the notion of the number of relative ends $\widetilde{e}(G, H)$ of a pair of groups $(G, H)$, due to Kropholler and Roller [3]. As discussed on pages 31-33 of [11], this is the same as the number of coends of the pair, as defined by Bowditch [1]. The following lemma (Lemma 2.40 of [11]) contains the only facts we will need about relative ends.

Lemma 3.4 Let $G$ be a finitely generated group and let $H$ be a finitely generated subgroup of infinite index in $G$. Then $\widetilde{e}(G, H) \geq 2$ if and only if there is a subgroup $K$ of $H$ with $e(G, K) \geq 2$. The subgroup $K$ need not be finitely generated.

Let $\Gamma$ be the Cayley graph of $G$ with respect to a finite system of generators. The number of coends of the pair $(G, H)$ can be defined in terms of the number of $H$-infinite components of $\Gamma-A$ for a connected $H$-finite subset $A$ of $\Gamma$. So we have

Lemma 3.5 Let $G$ be a finitely generated group and $H$ a finitely generated subgroup of $G$. Then $\widetilde{e}(G, H) \geq 2$ if and only if there is a connected $H$-finite subcomplex $A$ of $\Gamma$ such that $\Gamma-A$ has at least two infinite components. Moreover, we may assume that $A$ is $H$-invariant.

We now proceed to the statement and proof of a slight generalization of the second part of Kropholler's theorem (3.2), in which malnormal is again replaced by almost malnormal.

Theorem 3.6 Suppose that $G$ is a finitely generated group with a finitely generated subgroup $H$, such that $e(G)=1=e(H)$, and suppose that $\widetilde{e}(G, H) \geq 2$. If $H$ is almost malnormal in $G$, then $G$ splits over a subgroup of $H$.

Proof. As $\widetilde{e}(G, H) \geq 2$, there is a $H$-invariant, connected subcomplex $A$ of $\Gamma$ which is also $H$-finite, and such that $\Gamma-A$ has at least two $H$-infinite components. Denote one of these components by $X$ and let $K$ be the stabilizer of $X$. Thus $K$ is a subgroup of $H$. We will show that $E=\left\{g X, g X^{*}: g \in G\right\}$ equipped with the partial order $\leq$ described earlier satisfies the four conditions of Dunwoody's Criterion and thus $G$ splits over $K$.

Firstly, we observe that $X^{*}$ must be connected, since $A$ is connected. As $H$ preserves $A$ it must also preserve the components of $\Gamma-A$, so that, for all $h$ in $H$, we have $h X=X$ or $h X \cap X=\emptyset$. Thus the pair $(h X, X)$ is nested, for each $h$ in $H$. Now suppose that $g$ is an element of $G$ such that the pair $(g X, X)$ is not nested, so that $g$ must lie in $G-H$. Thus each of the four corners of the pair $(g X, X)$ is non-empty. We note that $\partial X$ must intersect both $g X$ and $g X^{*}$, and that $\partial g X$ must intersect both $X$ and $X^{*}$. As $\partial X$ and $\partial g X$ are contained in $A$ and $g A$ respectively, we see that $A$ and $g A$ must also intersect. As $A$ is $H$-finite, $g A$ must be $H^{g}$-finite, and $A \cap g A$ must be $H \cap H^{g}$-finite. As $H$ is almost malnormal in $G$, and $g \in G-H$, it follows that $A \cap g A$ is finite. Now recall that $e(H)=1$. As $A$ is $H$-finite, it follows that $A$, and hence also $g A$, is one-ended. Thus one of $A \cap g X$ and $A \cap g X^{*}$ is finite, and one of $X \cap g A$ and $X^{*} \cap g A$ is finite.

If the first of each pair is finite, we have $\partial(X \cap g X)=(\partial X \cap g X) \cup(X \cap$ $\partial g X) \subseteq(A \cap g X) \cup(X \cap g A)$ is finite. As $e(G)=1$, and the complement of $X \cap g X$ in $G$ is clearly infinite, it follows that $X \cap g X$ is finite. Thus one of the corners of the pair $(g X, X)$ is finite, and two of them cannot be finite since $H$ is almost malnormal in $G$. Similarly if one of the three other possibilities holds, then a different corner of the pair $(g X, X)$ will be finite. Hence $X$ is in good position, and we have the partial order $\leq$ on the set $E=\left\{g X, g X^{*} ; g \in G\right\}$. All the conditions in Dunwoody's Criterion are immediate except the finiteness condition (D2).

Let $L$ denote $\{g \in G$ : the pair $(g X, X)$ is not nested $\}$. We saw above that if $g \in L$, then $g A$ and $A$ have nonempty intersection. As $A$ is $H$-finite, it follows that $L$ is contained in a finite number of double cosets $H g H$. We want to show that $L$ is actually contained in a finite number of double cosets KgK . To see this, consider $l \in L$. Thus $l A$ and $A$ have nonempty finite intersection. Since $A \cap l A$ is finite, $l A-A$ is contained in a finite number of components of $\Gamma-A$. Thus $l A$ meets only finitely many translates $h X$ of $X$ with $h \in H$. It follows that $l X$ and $h X$ can be not nested, for only finitely many translates $h X$ of $X$ with $h \in H$, and hence that $h l X$ and $X$ are not nested, for only finitely many translates $h l X$ of $X$ with $h \in H$. As $l^{-1}$ also lies in $L$, the same argument shows that $h l^{-1} X$ and $X$ are not nested, for only finitely many translates $h l^{-1} X$ of $X$ with $h \in H$, and hence that $X$ and $l h X$ are not nested, for only finitely many translates $l h X$ of $X$ with $h \in H$. As the stabilizer of $X$ is $K$, it follows that the intersection $L \cap H l H$ consists of finitely many double
cosets $K g K$. Hence $L$ itself is contained in finitely many double cosets $K g K$. Choose $g_{1}, \ldots, g_{n}$ such that $L$ is contained in $\cup K g_{i} K$. Consider $Y$ in $E$ with $Y \leq X$, so that $Y \cap X^{*}$ is finite. If $Y \cap X^{*}$ is not empty, so that $X$ and $Y$ are not nested, then $Y$ must be of the form $g X$ or $g X^{*}$ with $g$ being one of the $g_{i}$ 's. Now $k g_{i} k^{\prime} X^{(*)} \cap X^{*}=k g_{i} X^{(*)} \cap X^{*}=k\left(g_{i} X^{(*)} \cap X^{*}\right)$. Choose $D$ such that the finite number of finite sets $\left(g_{i} X^{(*)} \cap X^{*}\right)$ all lie in a $D$-neighborhood of $X$. Then $Y$ also must lie in a $D$-neighborhood of $X$. Thus every element $Y$ of $E$ such that $Y \leq X$ lies in a $D$-neighborhood of $X$. Similarly every element $Y$ of $E$ such that $Y \leq X^{*}$ lies in a bounded neighborhood of $X^{*}$. By increasing $D$ if necessary, we can assume that this neighborhood is also of radius $D$.

Now we can verify condition (D2) of Dunwoody's criterion. Suppose that $U$ and $V$ are elements of $E$. We claim that there are only finitely many $W \in E$ with $U \leq W \leq V$. The first inequality implies that $W^{*} \leq U^{*}$, so that $W^{*}$ lies in a $D$-neighborhood of $U^{*}$. Hence we can choose $x \in U$ which does not belong to any such $W^{*}$. Similarly the inequality $W \leq V$ implies that $W$ lies in a $D$-neighborhood of $V$, so that we can choose $y \in V^{*}$ which does not belong to any such $W$. If $\omega$ is a path from $x$ to $y$, then $\omega$ should intersect $\partial W$. Since $G$ is finitely generated, there can be only finitely many such $W$. This completes the verification of Dunwoody's Criterion and thus completes the proof of the theorem.

Finally we give our variant of Kropholler's theorem (3.2).
Theorem 3.7 Let $G$ be a finitely generated, one-ended group and let $K$ be a subgroup which may not be finitely generated. Suppose that $e(G, K) \geq 2$, and that $K$ is contained in a proper subgroup $H$ of $G$ such that $H$ is almost malnormal in $G$ and $e(H)=1$. Then $G$ splits over a subgroup of $K$.

Remark 3.8 Lemma 3.4 shows that the hypotheses imply that $\widetilde{e}(G, H) \geq 2$. This is why we regard this result as a refinement of the second part of Kropholler's theorem (3.2).

Proof. We start by observing that the assumptions that $H$ is proper and almost malnormal in $G$ imply that $H$ has infinite index in $G$.

As $e(G, K) \geq 2$, there is a nontrivial $K$-almost invariant subset $X$ of $G$. As usual, we let $\Gamma$ denote a Cayley graph for $G$ with respect to some finite generating set. As the coboundary $\delta X$ of $X$ is $K$-finite, the image of $\delta X$ in $H \backslash \Gamma$ must be finite. As $H$ is finitely generated, we can find a finite connected subgraph $W$ of $H \backslash \Gamma$ such that $W$ contains the image of $\delta X$ and the natural map from $\pi_{1}(W)$ to $H$ is surjective. Thus the pre-image $A$ of $W$ in $\Gamma$ is connected, $H$-invariant and $H$-finite, and contains $\delta X$. As $W$ is finite, the complement of $W$ in $H \backslash \Gamma$ has only a finite number of components. In particular it has only a finite number of infinite components. We consider the components of their inverse images in $\Gamma$. Each such component must be contained in $X$ or $X^{*}$, since $\delta X$ is contained in $A$. As $X$ is $K$-infinite and $K$-almost invariant, and $H$ has infinite index in $G$, Lemma 1.1 implies that $X$ must also be $H$-infinite. Hence at least one component of $\Gamma-A$, say $Y$, is $H$-infinite and contained in $X$. The
stabilizer of $Y$ is a subgroup of $K$ since $X-A$ is preserved by $K$. Now we have the set up in the above proof of Theorem 3.6 but with $Y$ instead of $X$. Applying the same arguments as in that proof completes the proof of this theorem.

In many of the above proofs, the hypotheses are used in two steps. The hypotheses on the subgroup $H$ ensures that one of the corner sets of $(X, g X)$ has very small boundary and then the hypotheses on the big group ensure that the corner set is small. Another hypothesis which ensures one of the corner sets has a relatively small boundary is formulated in a conjecture of Kropholler and Roller (discussed on pages 224-225 of [11]). We give our formulation of the conjecture:

Conjecture 3.9 Let $X$ be a $H$-almost invariant subset of $G$ with both $G, H$ finitely generated. Suppose that $g \delta X$ is contained in a bounded neighbourhood of $X$ or $X^{*}$ for every $g \in G$. Then $G$ splits over a subgroup commensurable with a subgroup of $H$.

This time the hypotheses ensure that if $g$ does not commensurize $H$, then, one of the corner sets of $(X, g X)$ is an almost invariant set over a subgroup of infinite index in $H$. Dunwoody and Roller showed that one can get almost nesting with respect to the elements that commensurize $H$ by changing the almost invariant set, and changing the subgroup up to commensurability. (See Theorem B.3.10 of [11]. Note that almost nesting can be improved to nesting by using almost invariant sets in very good position.) This proof is one of the key steps in the proof of the algebraic torus theorem. Thus the obstructions to splitting $G$ over $H$ lie in almost invariant sets over subgroups of infinite index in $H$. One can wish away such sets by hypothesis or try to repeat the process and look for conditions under which it stops. A useful fact is that the corner sets obtained are right invariant under the action of $H$. This was originally used by Kropholler in the proofs of Theorems proved above to obtain nesting. Nesting ensures the finiteness property required in the use of Dunwoody's Criterion. In our proofs, we obtained almost nesting first and had to use the finiteness of double cosets to prove the finiteness property required in Dunwoody's criterion. It is possible that a combination of these different techniques will give a bit more information about splittings.

### 3.1 Acknowledgements

The first author would like to thank Michah Sageev for an extremely helpful conversation that led to the formulation of the problem we address in Theorem 2.2. The last author thanks Vivekananda University, Belur Math for hospitality during the preparation of this paper.

## References

[1] Brian H. Bowditch. Splittings of finitely generated groups over two-ended subgroups. Trans. Amer. Math. Soc., 354(3):1049-1078 (electronic), 2002.
[2] Rita Gitik, Mahan Mitra, Eliyahu Rips, and Michah Sageev. Widths of subgroups. Trans. Amer. Math. Soc., 350(1):321-329, 1998.
[3] P. H. Kropholler and M. A. Roller. Relative ends and duality groups. J. Pure Appl. Algebra, 61(2):197-210, 1989.
[4] Peter H. Kropholler. A group-theoretic proof of the torus theorem. In Geometric group theory, Vol. 1 (Sussex, 1991), volume 181 of London Math. Soc. Lecture Note Ser., pages 138-158. Cambridge Univ. Press, Cambridge, 1993.
[5] Mahan Mitra. Height in splittings of hyperbolic groups. Proc. Indian Acad. Sci. Math. Sci., 114(1):39-54, 2004.
[6] Mahan Mj. Relative rigidity, quasiconvexity and C-complexes. Algebr. Geom. Topol., 8(3):1691-1716, 2008.
[7] G. A. Niblo. The singularity obstruction for group splittings. Topology Appl., 119(1):17-31, 2002.
[8] Graham Niblo, Michah Sageev, Peter Scott, and Gadde A. Swarup. Minimal cubings. Internat. J. Algebra Comput., 15(2):343-366, 2005.
[9] Michah Sageev. Ends of group pairs and non-positively curved cube complexes. Proc. London Math. Soc. (3), 71(3):585-617, 1995.
[10] Peter Scott. The symmetry of intersection numbers in group theory. Geom. Topol., 2:11-29 (electronic), ibid. correction, 1998.
[11] Peter Scott and Gadde A. Swarup. Regular neighbourhoods and canonical decompositions for groups. Astérisque, (289):vi+233, 2003; errata at http://math.lsa.umich.edu/pscott.
[12] Hamish Short. Quasiconvexity and a theorem of Howson's. In Group theory from a geometrical viewpoint (Trieste, 1990), pages 168-176. World Sci. Publ., River Edge, NJ, 1991.

