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2 Fast dissolving paracetamol/caffeine nanofibers prepared by electrospinning

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16

17 Abstract

18 A series of polyvinylpyrrolidone (PVP) fibers loaded with paracetamol (PCM) and caffeine  
19 (CAF) was fabricated by electrospinning and explored as potential oral fast-dissolving films.  
20 The fibers take the form of uniform cylinders with smooth surfaces, and contain the drugs in  
21 the amorphous form. Drug-polymer intermolecular interactions were evidenced by infrared  
22 spectroscopy and molecular modelling. The properties of the fiber mats were found to be  
23 highly appropriate for the preparation of oral fast dissolving films: their thickness is around  
24 120 – 130  $\mu\text{m}$ , and the pH after dissolution in deionized water lies in the range of 6.7 to 7.2.  
25 Except at the highest drug loading, the folding endurance of the fibers was found to be > 20  
26 times. A flavoring agent can easily be incorporated into the formulation.

27 The fiber mats are all seen to disintegrate completely within 2 s when added to simulated  
28 saliva solution. They release their drug cargo within around 150 s in a dissolution test, and  
29 to undergo much more rapid dissolution than is seen for the pure drugs. The data reported  
30 herein clearly demonstrate that the electrospun PCM/CAF fibers comprise excellent  
31 candidates for oral fast-dissolving films, which could be particularly useful for children and  
32 patients with swallowing difficulties.

33

34 Keywords

35 Electrospinning, nanofiber, paracetamol, caffeine, fast-dissolving drug delivery system

36 Chemical compounds

37 Caffeine (PubChem CID: 2519); paracetamol (PubChem CID: 1983); polyvinylpyrrolidone  
38 (PubChem CID: 6917).

39 Abbreviations

40 CAF – caffeine; PCM – paracetamol.

41

## 42 1. Introduction

43 Fast-dissolving drug delivery systems (FD-DDSs) were first developed in the late 1970s and  
44 rapidly gained interest in the pharmaceutical industry (Chaudhary et al., 2013; Hoffmann et  
45 al., 2011). These delivery systems either dissolve or disintegrate in the mouth very rapidly,  
46 without requiring any water to aid in swallowing. By releasing their drug cargo directly in the  
47 mouth, they enhance bioavailability and deliver rapid onset of action (Seager, 1998). FD-  
48 DDSs are available in the form of tablets (Pathan et al., 2013), films (Yu et al., 2009), wafers  
49 (Boateng et al., 2010; El-Mahrouk et al., 2014) and buccal (Dinge and Nagarsenker, 2008) or  
50 sublingual patches (Vrbata et al., 2013). Examples currently in the market include Zuplenz®  
51 (an oral soluble film used for the prevention of chemotherapy-induced, radiotherapy-  
52 induced, and postoperative nausea and vomiting) and Suboxone® (a sublingual film for the  
53 treatment of opioid dependence). Various other oral dissolving film formulations are in the  
54 pipeline, for example to treat central nervous system conditions such as Parkinson's disease,  
55 schizophrenia or Alzheimer's disease (Hoffmann et al., 2011).

56 Sublingual films in particular have many advantages compared to other dosage forms: these  
57 include rapid onset of action, avoidance of first past metabolism, and convenient and non-  
58 invasive administration (Dixit and Puthli, 2009; Hearnden et al., 2012). There are however  
59 also some limitations. The relatively small surface area in the sublingual mucosa means that  
60 it is possible for the drug to be washed away with saliva before it can permeate the mucosal  
61 membrane. In addition, the tendency for involuntary swallowing of liquids greater in volume  
62 than 200 µL can lead to the dissolved drug entering the gastro-intestinal tract rather than  
63 being absorbed in the mouth. Dislodging of the formulation due to tongue movements can  
64 also lead to ineffective drug delivery (Squier and Wertz, 1993; Vrbata et al., 2013).  
65 Sublingual dosage forms are nevertheless highly beneficial for paediatric and geriatric  
66 patients, and also for any other patients with swallowing or digestion problems (Lam et al.,  
67 2014).

68 There are several classical methods used to formulate fast dissolving thin films: solvent  
69 casting, semi-solid casting, hot melt extrusion, solid dispersion extrusion and rolling have all  
70 been investigated (Hoffmann et al., 2011; Liang and Chen, 2001; Low et al., 2013; Nagy et  
71 al., 2012; Ramineni et al., 2013). In recent years, the electrospinning technique has begun to  
72 be explored as an alternative route to such systems (Illangakoon et al., 2014; Luo et al.,  
73 2012; Williams et al., 2012). Electrospinning is a simple, rapid, inexpensive and easily  
74 scalable technique (Persano et al., 2013). It uses an electric field to create a charged jet of  
75 polymer solution. As this jet travels in air, the solvent evaporates leaving behind a charged  
76 fiber that can be collected on a metal screen (Doshi and Reneker, 1995). Electrospun fibers  
77 show great promise for developing many types of novel drug delivery systems (DDS) owing  
78 to their high surface area, high porosity, and ability to encapsulate high drug loadings (Cui et  
79 al., 2010; Raghavan et al., 2012; Reneker and Chun, 1996). The electrospinning technique  
80 can also easily be used to encapsulate more than one active pharmaceutical ingredient (API)  
81 (Natu et al., 2010; Wang et al., 2010; Xu et al., 2009).

82 The combination of paracetamol (PCM) and caffeine (CAF) was first approved for medical  
83 use by the UK Medicines and Healthcare Regulatory Authority (MHRA) in 1991 (MHRA,  
84 1991). PCM is a centrally acting analgesic, which is used to relieve mild to moderate pain in  
85 the body; it also acts as an antipyretic to help reduce body temperature. CAF is a mild  
86 stimulant which is often used in combination with analgesics, augmenting their effect  
87 (Diamond et al., 2000; Migliardi et al., 1994). Renner *et al.* showed that in humans the  
88 analgesic effects of PCM or PCM/CAF together, but not CAF alone, caused a significant  
89 reduction of pain-related cortical potentials from 30 minutes after medication (Renner et  
90 al., 2007). The PCM/CAF combination demonstrated greater effects than PCM alone  
91 throughout the 3 hour observation period.

92 Recently Li *et al.* have fabricated poly(vinyl alcohol) fibers loaded with CAF or riboflavin by  
93 electrospinning (Li et al., 2013). In a dissolution study both drugs were released from the  
94 fiber matrices in a burst manner, with 100 % of the embedded CAF and 40 % of the  
95 riboflavin released within 60 s. Yu *et al.* have electrospun PCM with poly(vinyl pyrrolidone)  
96 (PVP) and compared the dissolution rate of the drug between electrospun, freeze dried,  
97 vacuum dried and heat dried membranes (Yu et al., 2010b). *In vitro* dissolution tests showed  
98 that the electrospun fibers released 93.8% of PCM within 2 minutes, with the dissolution  
99 rates observed being as follows: electrospun membrane > vacuum-dried membrane ≈  
100 freeze-dried membrane > heat-dried membrane.

101 Paediatric oral formulations can be scientifically challenging to develop, and the twin  
102 necessities of both preparing a measurable dosage form which can be administered based  
103 upon body weight, and also of taste-masking, are key challenges unique to such  
104 formulations (Strickley et al., 2008). PCM poisoning has also been increasingly recognised in  
105 children (Heubi et al., 1998). In this work therefore, we set out to prepare PCM-containing  
106 oral fast dissolving films which could be safely and effectively administered to children.

107 PVP K90 was selected as a film forming agent because it is a non-ionic, biocompatible, and  
108 biodegradable polymer featured on the FDA “generally regarded as safe” list (Bühler, 2005).  
109 The application of such polymers in DDSs is attractive because they have relatively well  
110 defined molecular weights and physicochemical characteristics (Ignatious et al., 2010). PVP  
111 also has mucoadhesive properties (Abdel-Hamid et al., 2007; Salamat-Miller et al., 2005). It  
112 has been widely used to prepare solid dispersions to improve the dissolution rates of poorly  
113 water-soluble drugs (Yu et al., 2009; Yu et al., 2010a). A range of commercial products such  
114 as Panadol ActiFast soluble tablets, Beechams’ cold relief orange flavor effervescent tablets,  
115 Hedex Extra, and Panadol Extra Advance all contain PVP.

116 In this paper, we report the fabrication of PCM and CAF loaded electrospun PVP fibers. The  
117 resultant materials underwent detailed physicochemical characterization, and their  
118 dissolution properties were explored. A flavoring agent was also incorporated to enhance  
119 palatability.

120

121 2. Materials and methods

122 2.1 Materials

123 Paracetamol (PCM; batch 096K0072), caffeine (CAF; lot 38H0147), and polyvinylpyrrolidone  
124 (MW 360 000; PVP K90) (see Fig. 1) were purchased from Sigma Aldrich (Gillingham, UK).  
125 Concentrated raspberry flavor was purchased from Cottles' Cordial (Tullamarine, Australia).  
126 All other chemicals used were of analytical grade and used as provided.

127

128 2.2 Preparation of the composite nanofibers

129 Anhydrous ethanol was selected as a spinning solvent, because it rapidly evaporates during  
130 electrospinning and both PCM and CAF are freely soluble in it. Ethanol is also classified by  
131 the FDA as a "Class 3" solvent, recommended for the formulation of oral fast dissolving thin  
132 films.

133 A 10 % (w/v) PVP K90 solution was prepared by dissolving the appropriate amount of  
134 polymer in ethanol under stirring overnight. The desired amounts of PCM and CAF were pre-  
135 dissolved in 1.4 mL of ethanol and added to 8.6 mL of the PVP solution. A series of solutions  
136 with varied PCM/CAF contents was prepared as listed in Table 1. The ratio of PCM to CAF  
137 was selected to match that in commercial formulations (Laska et al., 1983). Mechanical  
138 stirring was applied for at least 20 min at room temperature to obtain homogeneous  
139 solutions. The conductivities of the spinning solutions were recorded using a PRIMOS  
140 conductivity meter (Hanna Instruments, Woonsocket, RI, USA).

141 The spinning solutions were carefully placed into a plastic syringe (5 mL, BD, Sunderland,  
142 UK), with great care taken to avoid any air bubbles. A metal dispensing tip (spinneret; gauge  
143 20, 0.61 mm inner diameter, Nordson EFD, Dunstable, UK) was attached to the syringe. The  
144 positive electrode of a high voltage power DC supply (HCP35-35,000, FuG Elektronik,  
145 Rosenheim, Germany) was then connected to the spinneret. The grounded electrode was  
146 connected to a metal collector (17 x 17 cm<sup>2</sup>) wrapped with aluminum foil. Electrospinning  
147 was carried out under ambient conditions (22 ± 1°C and relative humidity 35 ± 3%). An  
148 electrical potential of 15 kV was applied across a fixed distance of 12 cm between the  
149 spinneret and the collector. The polymer solution was dispensed from the syringe at a feed  
150 rate of 1.2 mL/h using a syringe pump (78-9100C, Cole-Parmer, London, UK). Fibers were  
151 stored in a vacuum desiccator post-synthesis to facilitate the removal of residual organic  
152 solvents and moisture.

153

154

155 2.3 Characterization

156 2.3.1 Thickness of the fiber mat

157 2 mL of each spinning solution was spun onto Al foil, and three circular sections of 3 cm  
158 diameter cut out using a biopsy punch. The thickness of each section was measured by using  
159 a digital Vernier calliper. Results are reported as mean  $\pm$  S.D.

160

161 2.3.2 Folding endurance

162 The folding endurance gives a measure of the brittleness of a film. 3 cm diameter circular  
163 sections of each mat (produced as detailed in 2.3.1) were repeatedly folded by hand at the  
164 same line until they broke or a visible crack was observed. The number of times a film can  
165 be folded without breaking or visibly cracking is defined as the folding endurance (Mundargi  
166 et al., 2007). Experiments were performed in triplicate, and data reported as mean  $\pm$  S.D.

167

168 2.3.3 pH of the fiber solution

169 A 3 cm diameter section from each formulation was dissolved in 10 mL of distilled water and  
170 the pH was measured (pH 211 meter, Hanna Instruments, Woonsocket, RI, USA). Each  
171 experiment was carried out in triplicate and data are reported as mean  $\pm$  S.D.

172

173 2.3.4 Morphology

174 The fiber morphologies were assessed using a scanning electron microscope (Quanta 200  
175 FEG ESEM, FEI, Hillsborough, OR, USA). Prior to examination, the samples were gold sputter-  
176 coated (20 nm) under argon to render them electrically conductive. Images were then  
177 recorded at an excitation voltage of 5 kV. The average fiber size was determined by  
178 measuring their diameters at over 50 points in SEM images, using the ImageJ software  
179 (National Institutes of Health, Bethesda, MD, USA). The porosities of the fiber mats were  
180 calculated using the method of Ghasemi-Mobarakeh et al. (Ghasemi-Mobarakeh et al.,  
181 2007).

182

183 2.3.5 X-ray diffraction

184 X-ray diffraction (XRD) patterns were obtained on a MiniFlex 600 diffractometer (RigaKu,  
185 Tokyo, Japan) with Cu K $\alpha$  radiation ( $\lambda = 1.5148 \text{ \AA}$ ). Data were recorded over the  $2\theta$  range 5 -  
186 45° at 40 mV and 15 mA.

187

### 188 2.3.6 Differential scanning calorimetry

189 Differential scanning calorimetry (DSC) analyses were carried out using a DSC Q2000  
190 calorimeter (TA Instruments, New Castle, DE, USA). Sealed samples were heated at 10 °C /  
191 min from 40 °C to 300 °C under a 50 mL / min flow of nitrogen. Recorded data were  
192 analysed using the TA Instruments Universal Analysis software.

193

### 194 2.3.7 Fourier transform infrared spectroscopy

195 Fourier transform infrared (FTIR) spectroscopy was conducted using a Spectrum 100 FTIR  
196 spectrometer (Perkin Elmer, Massachusetts, USA) fitted with an ATR attachment. The  
197 scanning range was 4000 – 600  $\text{cm}^{-1}$ , and the resolution set at 1  $\text{cm}^{-1}$ .

198

## 199 2.4 HPLC analysis

200 A high-performance liquid chromatography (HPLC) method was developed in order to  
201 detect PCM and CAF simultaneously. HPLC was performed using an Agilent 1260 Infinity  
202 instrument (Agilent Technologies, Santa Clara, CA, USA). The mobile phase consisted of 20 %  
203 v/v acetonitrile, 0.8 % v/v trifluoroacetic acid, and 79.2 % v/v distilled water. Analysis was  
204 carried out under isocratic conditions using a C18 column (00G-4326-60, Phenomenex,  
205 Macclesfield, UK). The column temperature was set to 40 °C, and the flow rate at 1 mL /  
206 min. 10  $\mu\text{L}$  of each sample was injected, and chromatograms were recorded at 254 nm for 6  
207 min (to detect PCM) and at 276 nm for another 4 min (to detect CAF). The percentage drug  
208 loading was calculated using a pre-determined calibration curve prepared using a mixture of  
209 PCM and CAF.

210

## 211 2.5 Wetting assays

212 3 cm diameter circular sections were cut from the fiber mats using a biopsy cutter and  
213 placed in a Petri dish containing 15 mL of simulated saliva (NaCl 8.00g,  $\text{KH}_2\text{PO}_4$  0.19g,  
214  $\text{Na}_2\text{HPO}_4$  2.38g, in 1L of distilled water: pH 6.8) at room temperature. The disintegration and  
215 dissolution of the fiber mats was recorded at 1000 frames per second using a high speed  
216 video camera (Fastcam SA3, Photron, Tokyo, Japan).

217

218

## 219 2.6 Dissolution studies

220 The standard British Pharmacopoeia dissolution test is performed in 900 mL of a dissolution  
221 medium. However, this does not reflect the volume of the oral cavity (Hoffmann et al.,  
222 2011). Therefore a modified dissolution study was performed using a 1 cm long magnetic  
223 stirrer in a 7 cm diameter glass Petri dish. 15 mL of simulated saliva pre-warmed to 37 °C  
224 was placed in the Petri dish and stirred at 150 rpm on a multipoint stirrer (Cimarec™ iPoly  
225 15, ThermoScientific, Loughborough, UK). 200 µL of the supernatant was removed at pre-  
226 determined time points and replaced with 200 µL of pre-warmed simulated saliva to  
227 maintain a constant volume. Experiments were carried out in triplicate and results reported  
228 as mean ± S.D. The temperature remained at 37 ± 2 °C throughout the experiment.

229

## 230 2.7 Molecular modelling

231 Molecular mechanics *in vacuo* calculations were undertaken using HyperChem version  
232 8.0.10 (a molecular modelling software package). The structures of each of the compounds  
233 (Figure 1) were first generated with Accelrys Draw 4.1. A decameric PVP species was chosen  
234 to represent the polymer. Each structure was individually imported into HyperChem, and a  
235 3-D structure using preset bond angles and lengths produced (all hydrogen atoms were  
236 explicitly included). Initial geometric minimisation was next performed with the MM+ force  
237 field followed by a full energetic minimisation using the AMBER 3 (Assisted Model Building  
238 and Energy Refinement) force field. Nonbonded electrostatic interactions were calculated  
239 using bond dipole interactions in MM+ optimisation. For AMBER 3 minimisations, the  
240 distance-dependent dielectric constant was assigned a scale factor of 1, and the 1-4 scale  
241 factors (representing the nonbonded interactions between atoms separated by three  
242 atoms) were: electrostatic 0.5, and van der Waals 0.5. Both MM+ and AMBER 3 force fields  
243 were computed using a Polak-Ribiere conjugate gradient method finishing when the root  
244 mean square gradient reached 0.001 kcal / (Å mol). No cut-offs were applied. The energetic  
245 contributions to the total steric energy of the structures by bond stretching / compressing,  
246 bond angle deformations, torsional strain, van der Waals repulsions, hydrogen bonding and  
247 electrostatic repulsions were all considered. Combinations of the energetically minimised  
248 structures were then merged to create drug-polymer complexes. These complexes then  
249 underwent the same minimisation procedures.

250

## 251 3. Results and discussion

### 252 3.1 Electrospinning

253 The polymer/active pharmaceutical ingredient (API) spinning solutions for used to make the  
254 fiber materials F0, F1, F2 and F3 were transparent and clear. For F4, concentrated raspberry  
255 flavor (2 µL / mL spinning solution) was also added to fabricate flavored nanofibers. The



256 raspberry flavor is expected to act as a taste masking agent, hiding the bitter taste of PCM,  
257 and also as a colouring agent: the spinning solution turned slightly pink upon addition of  
258 raspberry flavor. Details of the solutions and resultant fibers are presented in Table 1. The  
259 conductivities of the spinning solutions were measured, and found to be approximately the  
260 same regardless of the drug content and the presence or absence of the raspberry flavor  
261 (see Table 2).

262

### 263 3.2 Thickness of the fiber mat

264 The mean thicknesses of 3 cm diameter circular sections cut from electrospun mats of each  
265 formulation lie between *ca.* 121  $\mu\text{m}$  and 131  $\mu\text{m}$  (data are given in Table 2). This is entirely  
266 appropriate for an oral fast-dissolving film, and can be adjusted very easily by varying the  
267 collection time (i.e. the volume of solution processed). These values are comparable with  
268 those in the literature; for instance, Londhe has reported films of *ca.* 50  $\mu\text{m}$  (Londhe and  
269 Umalkar, 2012), while Cilurzo et al. have generated films of 120 – 131  $\mu\text{m}$  thickness (Cilurzo  
270 et al., 2011; Cilurzo et al., 2010) and systems of 88 – 420  $\mu\text{m}$  were prepared by Ibrahim's  
271 team (Sayed et al., 2013).

272

### 273 3.3 Folding endurance

274 The folding endurance of 3 cm diameter circular sections of each fiber mat was assessed by  
275 hand-folding the sections along a fixed line, and the results are provided in Table 2. The  
276 folding endurance is seen to decrease as the drug loading is increased, indicating that the  
277 fiber mat becomes more brittle with increasing drug loading. F3 has a folding endurance of  
278 only 6.67 times, and hence is very likely to be too brittle for use as an oral film. However,  
279 the other fibres have high folding endurance of > 20 times, thus indicating their high  
280 potential in this area.

281

### 282 3.4 pH of the fiber solution

283 Solutions prepared by dissolving a 3 cm diameter circular section of the fiber mats in 10 mL  
284 deionised water were found to have pH values lying in the range 6.7 – 7.2 (see Table 2).  
285 Acidic or alkaline pHs may cause damage to the oral mucosa, and so the pH of the dissolved  
286 oral fast dissolving film should be close to the neutral pH of the mucosa (El-Mahrouk et al.,  
287 2014). Mucosal pH values have been found to vary between 6.28 (buccal mucosa) and 7.34  
288 (palate) (Aframian et al., 2006). The materials fabricated here hence give solutions with pHs  
289 close to those observed for the oral mucosa, and can be expected not to cause mucosal  
290 damage upon administration.

291

### 292 3.5 Fiber morphology

293 Scanning electron microscopy (SEM) images of the electrospun products are given in Figure  
294 2. The SEM data show that the composite fibers were cylindrical in shape, with smooth  
295 surfaces and no secondary particles visible. No bead-on-string morphology can be observed.  
296 This indicates that both PCM and CAF are encapsulated homogeneously in the PVP fiber  
297 matrices. The fabricated fibers are oriented in a random manner. The mean fiber diameters  
298 (Table 3) are F1:  $443 \pm 93$  nm; F2:  $750 \pm 222$  nm; F3:  $1553 \pm 435$  nm and F4:  $518 \pm 175$  nm  
299 respectively. The fiber diameter thus appears to increase with the drug loading [F1 contains  
300 PCM 10.27 % / CAF 1.37 % (w/w), while F3 is PCM 35.10% / CAF 4.56% (w/w)]. Both F2 and  
301 F4 comprise 21.87 % PCM and 2.89 % CAF (w/w), but the latter also incorporates a  
302 raspberry flavoring. Since the F4 fibers are somewhat narrower than the F2 material, it  
303 seems that the incorporation of even a small amount of flavoring causes a decrease in fiber  
304 diameter. The complex nature of the raspberry flavour, which is not a single chemical entity  
305 but rather a mixture of compounds, makes it difficult to ascertain the precise cause of this  
306 size variation. The porosities of the fiber mats were calculated to lie in the range of 81.8 –  
307 83.6 %, being largely invariant with API loading and the presence or absence of flavor.

308 The fiber mats were found to be very resilient to cutting, and could be formed into a range  
309 of different shapes appropriate for use as oral films. Photographs of the F4 fiber mat cut  
310 into different shapes are shown in Figure 3.

311

### 312 3.6 X-ray diffraction

313 X-ray diffraction was undertaken to examine the physical state of the components of the  
314 composite nanofibers. Characteristic reflections [see Figure 4 (a)] of CAF appear at  
315 diffraction angles  $2\theta$  of  $11.24^\circ$ ,  $25.64^\circ$  and  $26.24^\circ$ , and for PCM distinct reflections can be  
316 observed at  $17.18^\circ$ ,  $22.66^\circ$ , and  $25.58^\circ$ . A physical mixture of PVP, PCM and CAF in the same  
317 ratios as F2 (F5) shows the diffraction features of both PCM and CAF superimposed on a  
318 broad background from the amorphous PVP polymer. The pattern of fibers containing only  
319 PVP [F0; Figure 4 (b)] was characterized by the absence of any diffraction peaks, with only a  
320 broad halo observed: this confirms the PVP to be amorphous after electrospinning. In the  
321 patterns of the drug-loaded nanofibers, the characteristic reflections of PCM or CAF cannot  
322 be seen, while the characteristic humps of amorphous materials are observed. This suggests  
323 that both active ingredients were present in amorphous form in the fibers.

324

325

### 326 3.7 Differential scanning calorimetry

327 The differential scanning calorimetry (DSC) curves of pure PCM and CAF [see Figure 5(a)]  
328 each show a clear melting endothermic peak. The PCM form I melt can be seen at 169.4 °C.  
329 For CAF, the principal feature in the thermogram is the melting of form I of the API at 238.4  
330 °C. There is however a small additional endothermic peak at around 160 °C, attributed to  
331 the presence of a small amount of caffeine form II in the material provided (Hubert et al.,  
332 2011). The physical mixture (F5) shows a broad shallow endothermic peak below 100 °C due  
333 to the dehydration of PVP, followed by a broad peak believed to correspond to melting of  
334 PCM centred at around 150 °C. The CAF melting point cannot be observed, probably  
335 because of its low loading in the physical mixture.

336 The DSC thermograms of the composite nanofibers do not show any melting peaks, only a  
337 broad dehydration endothermic peak ranging from 40 to 110 °C, with a peak at 80 - 83 °C.  
338 This suggested that PCM and CAF were not present as crystalline materials, but had been  
339 converted into an amorphous state in the fibers.

340

### 341 3.8 FTIR spectroscopy

342 Compatibility between the drug and polymer is important for the formation of nanofibers  
343 during electrospinning and for the stability of the resultant materials. If the drug is not  
344 compatible with the polymer, then solid phase separation will be observed. The interactions  
345 between the drug and the polymer can be probed using IR spectroscopy.

346 The FTIR spectrum of pure PCM is shown in Figure 6(a). The broad peak between around  
347 3000 and 3700  $\text{cm}^{-1}$  is assigned as H-bonded O-H and N-H stretching vibrations.  
348 Absorptions at *ca.* 2880 and 2950  $\text{cm}^{-1}$  denote C-H stretches. The peaks at 1644, 1560 and  
349 1511 are assigned to the C=O stretching and N-H bending vibrations of the amide group. A  
350 very sharp peak at 835  $\text{cm}^{-1}$  is also visible. The infrared spectra of CAF [see Figure 6(a)]  
351 shows an absorbance at 1650  $\text{cm}^{-1}$  corresponding to the C=O stretch of the amide group.  
352 There are also peaks at around 1435 and 1504  $\text{cm}^{-1}$  (C=C stretching), and between 1330 and  
353 1105  $\text{cm}^{-1}$  which may be ascribed to the C-N amide stretches. Sharp bands at 835, 807, and  
354 796  $\text{cm}^{-1}$  are present in the fingerprint region. The spectrum of the pure PVP fibers F0  
355 [Figure 6 (b)] shows broad bands at 3650 – 3050  $\text{cm}^{-1}$  (H-bonded O-H stretches from residual  
356 water) and 2840 – 3010  $\text{cm}^{-1}$  (C-H stretching), as well as peaks at 1643  $\text{cm}^{-1}$  (C=O) and at  
357 1290  $\text{cm}^{-1}$  (C-N stretch) (Borodko et al., 2006).

358 The FTIR spectra of the medicated fibers comprise a composite of those from PVP and the  
359 drugs. They show two main peaks at around 1645 – 1650  $\text{cm}^{-1}$  and 1290  $\text{cm}^{-1}$  due to the  
360 C=O and C-N stretch from PVP. Peaks can also be seen corresponding to the PCM and CAF,  
361 for instance at 1550  $\text{cm}^{-1}$  (PCM N-H bend), 833  $\text{cm}^{-1}$  (PCM/CAF fingerprint), and 793  $\text{cm}^{-1}$   
362 (CAF fingerprint). It is hard to unambiguously assign peaks because of the complexity of the  
363 spectra, but small shifts in peak positions (*e.g.* from 1643 in pure PVP to 1650  $\text{cm}^{-1}$  in the  
364 fibers) indicate that there may be intermolecular interactions between the drugs and PVP.

365

### 366 3.9 Molecular modelling

367 Although interactions between the APIs and polymer are suggested by the IR spectra, the  
368 complexity of the spectra mean that it is impossible to characterise these in detail.  
369 Molecular models of PCM, CAF, PVP, and the API-polymer complexes were constructed  
370 using the Hyperchem software. The geometric preferences for the energetically minimised  
371 API-polymer systems are depicted in Figure 7. The energetic contributions to the overall  
372 steric energy for the drug-polymer complexes and the individual API molecules and PVP  
373 decamer are given in Table 4. Stabilisation of the complexes is indicated by a negative  
374 difference ( $\Delta E$ ) between the total steric energy of the complex and the sum of the total  
375 steric energies of the individual molecules. The  $\Delta E$  values for PVP-PCM, and PVP-CAF, and  
376 PVP-PCM-CAF are -19.126, -13.105, and -30.451 kcal mol<sup>-1</sup> respectively. These negative  
377 values clearly confirm that there are interactions between the PVP polymer and the APIs.  
378 The  $\Delta E$  value is more negative for PCM than CAF, indicating stronger interactions with the  
379 former.

380

### 381 3.10 Drug loading

382 The percentage drug loadings in the fibers were determined by HPLC. A bespoke method  
383 was devised to permit the observation of both APIs in the same experiment (see Section  
384 2.4). The resultant data are given in Figure 8. Solutions of PCM and CAF were first run  
385 separately and PCM observed at an elution time of 4.87 min, and CAF at 7.92 min. Similar  
386 results were observed for the mixture of PCM and CAF, where elution was noted at 4.78 min  
387 for PCM and at 7.62 min for CAF. The dissolved fiber formulations show peaks at the same  
388 retention times as the pure drug materials (see Figure 8), confirming that neither API was  
389 degraded during the electrospinning process. Following construction of a calibration curve,  
390 the drug loading was determined for the fibers: the results are presented in Table 5. It can  
391 be seen that the formulations generally show very high (> 90 %) loading of both drugs, with  
392 the exception of F3 where the PCM loading is slightly below 90 %.

393

394

### 395 3.11 Wetting assays and dissolution studies

396 The PCM/CAF-loaded fiber mats were found to be wetted and to disintegrate very rapidly in  
397 simulated saliva. The process was recorded using a standard video camera for all  
398 formulations, and using high-speed camera for F2 and F4. All the formulations appeared to  
399 disintegrate within < 3 s when recorded using the standard camera, but it proved impossible  
400 to discern the disintegration time more precisely. Further observations were thus carried

401 out using a high-speed camera for the F2 and F4 fiber mats. Both were seen to disintegrate  
402 within around 320 ms. This is clearly visible from the photographs given in Figure 9  
403 (depicting F4). These disintegration times are exceptionally rapid, and eminently suitable for  
404 the preparation of oral fast-dissolving films: other researchers preparing such systems  
405 report disintegration times of 10 – 20 s (Cilurzo et al., 2011; Cilurzo et al., 2010; Londhe and  
406 Umalkar, 2012).

407 Dissolution studies (see Figure 10) demonstrated that with the physical mixture (F5),  $48 \pm$   
408  $5.6$  % of the incorporated PCM and  $87 \pm 2.5$  % of the CAF were released within 6 minutes.  
409 Within 30 s, fibers F1, F4 and F5 respectively released  $38 \pm 12$  %,  $66 \pm 7.0$  % and  $4.5 \pm 1.8$  %  
410 of their PCM loading, and  $52 \pm 12$  %,  $72 \pm 11$  % and  $37 \pm 5.7$  % of the incorporated CAF. The  
411 poor folding endurance of the F3 fibers indicated that they were not suitable for oral films,  
412 and thus dissolution studies were not performed.

413 The rapid dissolution observed with the PCM/CAF loaded fiber mats can be attributed to the  
414 amorphous physical state of the APIs in the formulations, the high surface area and high  
415 porosity of the of the drug loaded fibers, and the exceptional hydrophilicity of PVP. API  
416 release from a formulation occurs at its interface with the buffer solution; the high surface  
417 area to volume ratio of the fiber mats ensures that this contact area is very high, thus  
418 accelerating release. The amorphous nature of the API removes the need to overcome any  
419 crystalline lattice enthalpy, again facilitating dissolution. Finally, the hygroscopicity of PVP  
420 also encourages the mat to disintegrate, dissolve, and free its drug loading into solution.  
421 Attempts were made to fit various kinetic models to the experimental data, but these were  
422 unsuccessful owing to the very rapid nature of the release processes.

423 For all the formulation studied, the CAF release is seen to be more rapid. This is consistent  
424 with its higher solubility under the dissolution conditions [the respective solubilities for CAF  
425 and PCM are *ca.*  $21.6 \text{ mg ml}^{-1}$  vs.  $14.0 \text{ mg ml}^{-1}$  in water at  $25 \text{ }^\circ\text{C}$  (<http://www.drugbank.ca/>)].  
426 It is also consistent with the molecular modelling results (Section 3.9) which show stronger  
427 interactions between PCM and PVP than between the polymer and CAF. The difference in  
428 release rate between PCM and CAF is very much less for the fiber formulations than for the  
429 physical mixture, presumably a result of the amorphous nature of the APIs in the former  
430 ameliorating any differences in lattice enthalpy. Similar results were recorded by Khan and  
431 Craig when they performed dissolution studies on solid dispersions of PCM and CAF (Khan  
432 and Craig, 2003).

433 Overall, the systems prepared in this work have great potential as oral fast dissolving films.  
434 Both drugs can be successfully loaded into the fibers in amorphous physical form, and very  
435 rapid disintegration ( $< 0.5$  s) and release of drug ( $< 150$  s) are observed. The pH of the fiber  
436 solution is close to neutral, and hence no mucosal irritation is to be expected. A flavoring  
437 can be incorporated into the fibers to ameliorate issues of bitterness. Such formulations  
438 could thus have great utility as paediatric medicines. The British National Formulary for  
439 Children (BNF-C) suggests that an appropriate dose of paracetamol for the treatment of pain  
440 a child of 8 years old is between 240 and 375 mg four times a day (BNF-C, 2014). The loading

441 in F4 is 21.87 % w/w; thus a mass of formulation of between 1100 and 1715 mg would be  
442 needed for each dose. For a child of six months to two years in age, the required dose is 120  
443 mg, demanding a fiber mass of *ca.* 550 mg. This mass of formulation could easily be  
444 prepared and applied by mouth, particularly at the lower end of the dosage regimen. In  
445 addition, further optimisation could increase the drug loading to reduce the formulation  
446 mass required.

447

#### 448 4. Conclusions

449 In this study we successfully produced fast-dissolving drug delivery systems for the  
450 simultaneous release of paracetamol (PCM) and caffeine (CAF). This was achieved by  
451 processing them into electrospun fibers using polyvinylpyrrolidone (PVP) as the filament  
452 forming agent. Scanning electron microscopy showed that the composite nanofibers had  
453 smooth surfaces and average fiber diameters between 400 – 1600 nm. IR spectroscopy  
454 results combined with molecular modelling demonstrated that there were clear  
455 intermolecular interactions between paracetamol, caffeine, and PVP. X-ray diffraction and  
456 differential scanning calorimetry studies indicated that both drugs were fully converted into  
457 the amorphous form in the fibers. Both APIs were observed to remain intact after spinning,  
458 with drug loadings close to 100 % of the theoretical value. In wetting tests, the drug loaded  
459 fiber mats disintegrated within 0.5 s, and dissolution studies revealed that all the embedded  
460 drug was freed into solution in less than 150 s: a significant improvement over the pure APIs  
461 and the physical mixture. A flavoring agent can easily be incorporated into the fibers to  
462 overcome problems with bitterness. These flavored fibers can be used as potential drug  
463 delivery systems, especially for the paediatric population.

464

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470

#### 471 6. Author contributions

472 UEI prepared and characterised fibers, undertook functional performance assays, and  
473 analysed experimental data. HG developed the HPLC protocol and analysed the resultant  
474 data. GCS performed molecular modelling simulations. MP and SM recorded the high-speed  
475 camera videos. NPC and GRW provided strategic guidance to the project and support to  
476 data analysis. All authors contributed to the writing of the manuscript.

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