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# Effect of interlamellar spacing on the elastoplastic behavior of C70 pearlitic steel: Experimental results and self-consistent modeling

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## A B S T R A C T

The effect of pearlite microstructure characteristics on strength and deformation of C70 pearlitic steel was investigated. Tensile tests under X-ray diffraction coupled with self-consistent model have been used to identify the role of interlamellar spacing on the ferrite plasticity parameters and residual stress induced by plasticity. Tests have been carried out on two pearlitic microstructures with interlamellar spacing  $S_p = 170$  and  $230$  nm respectively. Ferrite critical shear stresses ( $\tau_c^0(\alpha)$ ) are equal to 75–86 MPa for interlamellar spacing  $S_p = 230$  nm and 105–120 MPa for interlamellar spacing  $S_p = 170$  nm. Moreover, the compressive residual stress measured in ferrite phase is higher in elasto-plastically deformed sample (total strain of  $E_{11} = 1.2\%$ ) having larger interlamellar spacing ( $\sigma_{Fez}^R = -161$  MPa for  $S_p = 230$  nm and  $\sigma_{Fez}^R = -77$  MPa for  $S_p = 170$  nm).

### Keywords:

Pearlitic steel  
X-ray diffraction  
Self-consistent model  
Critical shear stress  
Residual stress

## 1. Introduction

Pearlitic steels have important applications when high strength and wear resistance are required. They are usually used in manufacturing of railroad rails, engineering springs, wire and suspension cables [1–3]. Nevertheless, the behavior of these materials is very sensitive to the microstructure characteristics like pearlitic colonies, early austenitic grain size and cementite volume fraction and shape. The effect of microstructure has been discussed on the basis of load partitioning between ferrite and cementite phases assessed by «in situ» tensile tests under X-ray [4,5], synchrotron [4–7] and neutron diffraction [8–11]. It has been established that for the fully pearlitic structures, the load partitioning is mainly controlled by cementite shape and the interlamellar spacing:

### 1.1. Globular pearlite

In the case of JIS SK5 and SK3 carbon steels, Lei et al. [12] showed various stress partitioning under loading and after unloading as a function of volume fraction and particle size of spheroidal cementite. Moreover, the authors observed an elastic behavior of cementite and ferrite when the spheroidized carbon steels are elastically loaded. However, at higher loaded condition, the softer fer-

rite plastically deforms whereas the harder cementite remains elastic in a linear manner until fracture [13]. Young et al. [6] studied a load partitioning between ferrite and spheroidal cementite during elastoplastic deformation of an ultrahigh-carbon steel and showed that, in the elastic range of deformation, no load transfer occurs between ferrite and cementite because both phases have nearly equivalent elastic properties. However, in the plastic range of deformation, after Lüders band propagation, load transfer takes place from the plastically deforming matrix (ferrite) to the elastic cementite particles. The load transfer between ferrite and cementite in the plastic deformation range of the steel has also been reported in other studies [9,11,14].

### 1.2. Lamellar pearlite

In the case of 0.8%C lamellar pearlitic steel Shinozaki et al. [15] showed that stress partitioning between ferrite and cementite is influenced by lamellar spacings. They reported that the phase stress is increased with decreasing the lamellar spacing and that the elastic strain in the ferrite matrix after the onset of plastic flow is increased with decreasing the lamellar spacing as well. Hyzak and Bernstein [16] showed that the strength of fully pearlitic steel is controlled primarily by the interlamellar spacing, while the toughness is strongly dependent on the prior-austenitic grain size. It has been reported by several investigators [17–19] that the strength of pearlitic steels follows a Hall-Petch type relationship with respect to the interlamellar spacing.

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The effect of interlamellar spacing on the strength of pearlite has been explained on the basis of a dislocation pile-up model and the mean free path of the mobility of dislocations [2,20,21]. Otherwise, Dollar et al. [18] showed that the work hardening of pearlite does not depend on the interlamellar spacing.

The quantitative evaluation of loading partitioning and phase behavior of pearlitic steels needs neutron [8–11] and synchrotron [4–6] diffraction methods which are restricted to a limited number of specialized laboratories. X-ray «in situ» tensile tests encounters difficulties associated with low intensity diffraction peak of cementite phase. They only provide information about ferrite behavior [4,5]. That is why, several studies have used self-consistent models [4,5,9,14,22] to identify the behavior of pearlitic steels at macroscopic and microscopic scales under monotonic [4,9,22] and cyclic [23] loading. The self-consistent model has been used by Inal et al. [4] in the case of pearlitic steel (88% ferrite and 12% cementite) to identify the elastoplastic parameters (critical shear stress and hardening parameter) of ferrite. Schmitt et al. [22] developed a model based on Mori–Tanaka scheme to describe the elastoplastic behavior of hypo and hyper-eutectoid steels. The transition of the single crystal phase to the polycrystal level is achieved by the self-consistent model. The elastoplastic parameters of ferrite and the residual stress in each phase were determined by this model.

Nevertheless, a few works have been published on the effect of interlamellar spacings on the critical shear stress  $\tau_c$  and hardening parameter  $H$  of ferritic phase that constitutes the pearlitic structure of carbon steels. Indeed, results of Shinozaki et al. [15] are only limited to the experimental assessment of stress partitioning between ferrite and cementite under tensile loading of various pearlitic structures without any attempt to identify the effect of interlamellar spacing on the elastoplastic parameters of ferrite.

For these reasons, the present study was undertaken to clarify the microstructure-tensile properties relationship of C70 fully pearlitic steel with similar prior austenitic grain size and pearlite colonies size but with different interlamellar spacings. X-ray diffraction coupled with self-consistent model is used to identify the role of the interlamellar spacing on the pearlite strength, the ferrite plasticity parameters and the residual stress induced by plasticity.

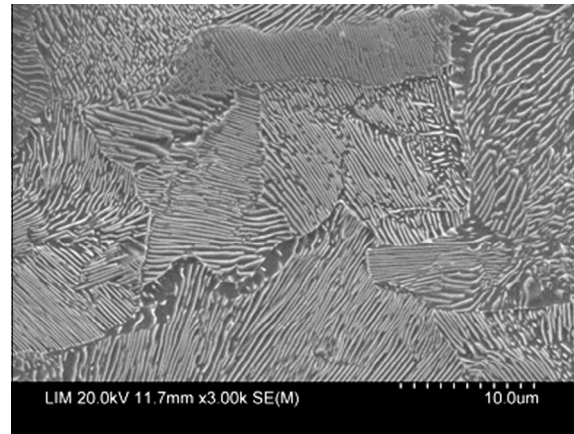
## 2. Material and heat treatments

### 2.1. Material

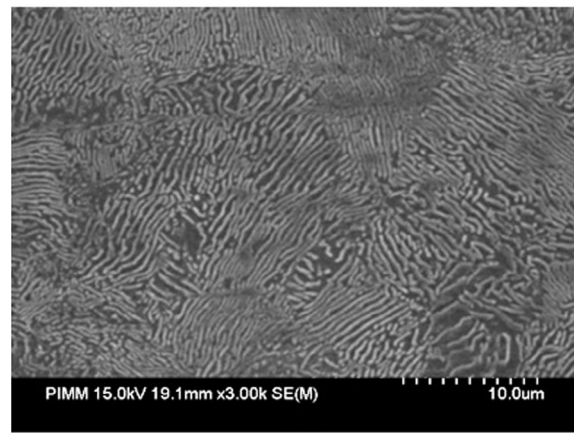
The pearlitic steel EN C70 (SAE 1070), studied in this work, was provided by ASCOMETAL France company in the form of cylindrical bars of 80 mm in diameter obtained by hot rolling. The chemical composition of this steel is given in Table 1.

### 2.2. Heat treatments and microstructure characteristics

Two annealing treatments have been selected to provide two different pearlitic microstructure configurations (Fig. 1). Specimens were polished successively using fine silicon carbide papers from 100 to 4000 grit, fine polishing with 3  $\mu\text{m}$  water-based diamond suspension and etched in 3% Nital solution. The random intercept method [24] was used to determine the prior austenite grain size whereas scanning electron microscope (SEM) examinations were used to provide colonies size. A circular line method



(a)



(b)

**Fig. 1.** Microstructures of C70 pearlitic steel: (a) austenizing at 1073 K for 0.5 h followed by cooling under calm air (HT1); (b) austenizing at 1323 K for 0.11 h followed by cooling under blowing air (HT2).

(CLM), which is valid for global randomly distributed lamellae [25] was used to measure the average interlamellar spacing (Fig. 2):

$$S_p = \frac{0.5L}{N} \quad (1)$$

where  $L$  is length of the circle and  $N$  is the number of intersections between the circular line and the lamellae. The analysis of at least 25 pictures resulted in an average interlamellar spacing. Table 2 summarizes the annealing treatment conditions and the resulting microstructures characteristics.

## 3. Experimental setup

### 3.1. Conventional tensile test

The mechanical properties of the C70 pearlitic steel, including the yield and tensile strengths as well as the percent elongation, were determined for the two microstructures by tensile tests.

**Table 1**  
Chemical composition of C70 pearlitic steel (wt%).

C	Si	Mn	S	P	Ni	Cr	Mo	Cu	Al	Fe
0.68	0.192	0.846	0.010	0.010	0.114	0.160	0.027	0.205	0.042	Balance

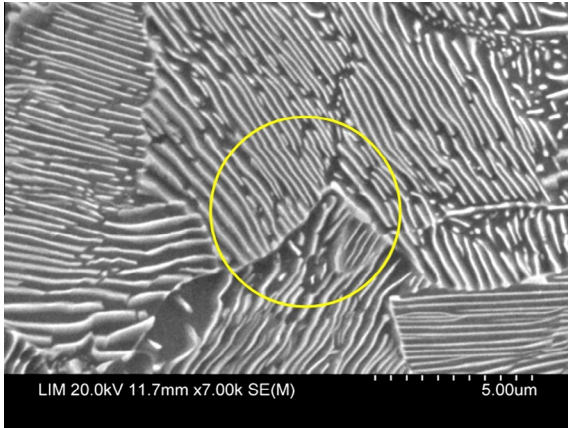


Fig. 2. Circular line method used for interlamellar spacing measuring.

Tensile specimens were machined by wire EDM (Electrical Discharge Machining) from the same circumference of a cylindrical bar. The tests were performed on an INSTRON 5881 mechanical test machine.

### 3.2. «In situ» X-ray tensile test

«In situ» tensile tests were performed on electropolished specimens (Fig. 3). The macroscopic force corresponding to the imposed displacement is measured by an annular force sensor mounted on the «in situ» tensile test device (Fig. 3). The total strain is measured using a strain gage mounted on the specimen surface. The ferrite stress values are determined by X-ray measurement for each increment of displacement on the electropolished surface. The  $\sin^2\psi$  X-ray diffraction method was used to determine the stresses in the ferritic phase. This method is based on the measurement of peak positions for a given  $hkl$  reflection and for various directions of the scattering vector with respect to the sample. A Set-X type diffractometer was used to measure interplanar spacings for the extended range of  $\sin^2\psi$  and for negative and positive  $\psi$  angles (Fig. 3). Measurements were determined using the operating conditions given in Table 3 and in accordance with NF EN 15305-2009 standard [26].

It is important to notice that the stress in cementite phase was not measured because the diffraction peak intensities from this phase (10% of total pearlitic volume) are very low.

## 4. Results

### 4.1. Experimental results

#### 4.1.1. Effect of interlamellar spacing on the pearlitic steel behavior

4.1.1.1. *Strength.* Tensile characteristics of the different microstructures are reported in Table 2. Both the yield and ultimate tensile strengths of the C70 pearlitic steel were observed to increase with decreasing the interlamellar spacing. The dependence of

mechanical properties on the pearlite interlamellar spacing ( $S_p$ ) is usually described by Hall–Petch equation (Eq. (2)).

$$\sigma_j = \sigma_0 + k \cdot S_p^{-m} \quad (2)$$

where  $\sigma_j$  can represent the yield strength or the ultimate tensile strength,  $\sigma_0$  is the friction stress, and  $k$  is a material constant.

The exponent  $m$  is classically equal to  $(-2)$  for hypo and hyper-eutectoid steels [2,27] and reconsidered to be equal to  $(-1)$  in the modified Hall–Petch relation in order to give a physical validation of friction stress  $\sigma_0$  in the case of eutectoid steels [17,18]. Indeed, the representation of experimental results using classical Hall Petch relation ( $S_p^{-2}$ ) leads to a negative intercept  $\sigma_0$ , which has no apparent physical meaning. However, using a modified Hall–Petch relation ( $S_p^{-1}$ ), the parameters  $\sigma_0$  and  $k$  for C70 pearlitic steel has been identified and compared to literature results in Table 4.

4.1.1.2. *Work hardening.* The results of tensile tests for the studied pearlitic structures were fitted by the Ludwik equation:

$$\sigma_f = \sigma_y + k' \cdot \varepsilon_p^n \quad (3)$$

where  $\sigma_y$  is the yield strength,  $\varepsilon_p$  is the true plastic strain,  $k'$  is a constant and  $n$  is a measure of work hardening rate.

The values of  $k'$  and  $n$  for different microstructures, reported in Table 5, show a similar values of work hardening rate for both annealed microstructures. This means that cold work hardening does not depend on interlamellar spacing in the range of lamellar spacings explored in the present paper.

#### 4.1.2. Effect of interlamellar spacing on the ferrite behavior

4.1.2.1. *Under loading.* The superimposition of macroscopic and microscopic (ferrite) stress-imposed strain curves reveals the effect of pearlitic microstructure characteristics, resulting from the two annealing treatments of C70 steel, on the tensile behavior of both ferritic softened phase and overall pearlitic steel (Fig. 4).

The beginning of plastic flow in ferritic phase is around  $\Sigma_{11} = 400$  MPa macroscopic applied stress for lower interlamellar spacing (HT2 treatment) and only  $\Sigma_{11} = 300$  MPa macroscopic applied stress for higher interlamellar spacing (HT1 treatment). This difference is mainly attributed to the pearlite microstructure ( $S_p$  values) since the initial residual stresses level is the same as reported in Table 7. Analyzing the stress evolution in ferritic phase it was found, that the ferritic intrinsic yield stress for HT2 microstructure is around  $\sigma_{11} = 280$  MPa, which is higher than the ferritic yield stress  $\sigma_{11} = 210$  MPa determined for HT1 microstructure.

4.1.2.2. *After unloading.* The difference between the two pearlite phases (ferrite and cementite) behavior under tensile loading is considered to be responsible for the residual stress induced by plasticity. Indeed, X-ray diffraction measurements; performed after tensile loading (stress ratio  $\frac{\Sigma_{11}}{R_e} \geq 1.1$ ) followed by total unloading showed a compressive residual stress generated in ferrite. The values of those stresses increases with increasing the total imposed strain (Fig. 5). However, the plastic induced compressive residual stresses are higher for the larger interlamellar spacing at the same imposed total strain (Fig. 6). This means that

Table 2  
Heat treatments, metallurgical characteristics and mechanical properties of the two microstructures.

Annealing treatment	Colonies size ( $\mu\text{m}$ )	Grains size ( $\mu\text{m}$ )	Interlamellar spacing $S_p$ (nm)	Yield stress (MPa)	Ultimate tensile strength (MPa)	Elongation (%)	Hardness $HV_{50}$
HT1: Austenizing at 1073 K for 0.5 h followed by cooling under calm air	7.4	19	230	396	875	17	220 $\pm$ 10
HT2: Austenizing at 1323 K for 0.11 h followed by cooling under blowing air	7.9	26	170	498	997	15	270 $\pm$ 15

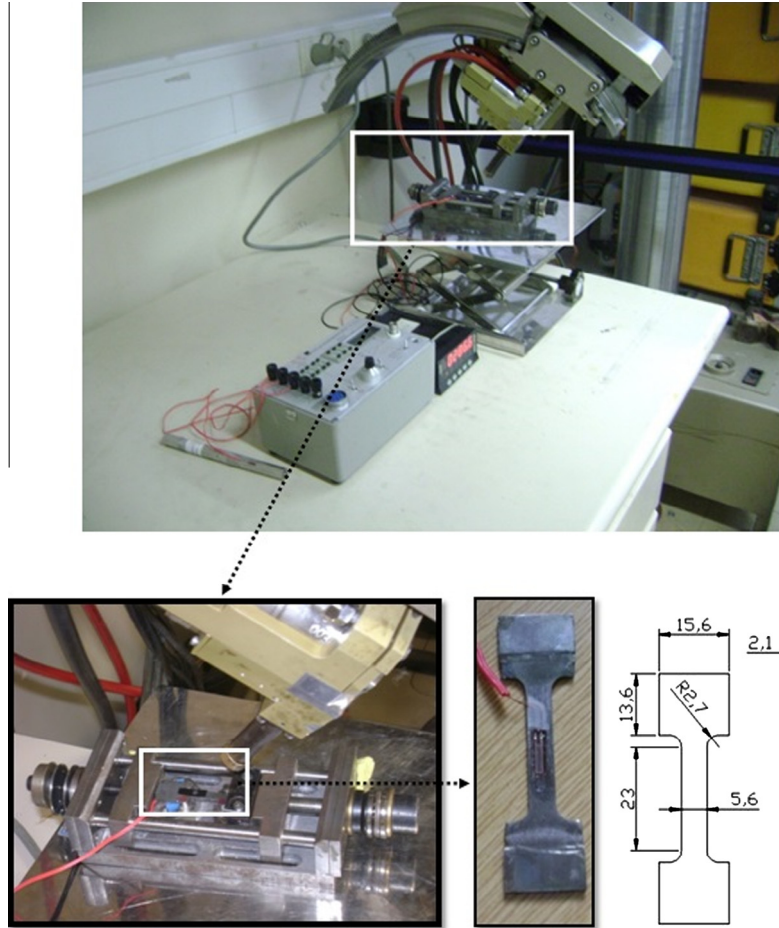


Fig. 3. Specimen shape and experimental setup used for the X-ray «in situ» tensile tests.

**Table 3**  
X-ray diffraction parameters.

Radiation	Cr K $\alpha$ $\lambda = 0.22911$ nm				
Voltage	20 kV				
Current	5 mA				
X-ray diffraction planes	{211}	$2\theta = 152^\circ$			
$\phi$ angles	$0^\circ$				
$\psi$ angles	$39.23^\circ$ $19.76^\circ$ $-22.21^\circ$	$35.84^\circ$ $13.83^\circ$ $-26.57^\circ$	$32.31^\circ$ $0.0^\circ$ $-30.47^\circ$	$28.56^\circ$ $-9.73^\circ$ $-34.10^\circ$	$24.46^\circ$ $-17.02^\circ$ $-37.55^\circ$

interlamellar spacing affects not only the stress state of each phase under the tensile loading but also the residual stress after unloading.

## 4.2. Modeling results and validation

### 4.2.1. Self-consistent model formulation

The self-consistent model is used in this study to identify the elastoplastic parameters (critical shear stresses  $\tau_c^0$  and hardening parameters H) of ferritic phase in the pearlitic steel C70.

In this work, one scale transition was adapted in which a local stress  $\sigma_{ij}$  is applied on each grain. According to Schmid's law, the

**Table 4**  
Hall-Petch parameters.

Steel	Re		Rm		Ref
	$\sigma_0$ (MPa)	$k$ (N/mm)	$\sigma_m$ (MPa)	$k$ (N/mm)	
C70	104	0.068	506	0.08	Present work
AISI 1080	205	0.06	-	-	[18]
0.81%C	142	0.05	-	-	[17]

**Table 5**  
The effect of microstructure on the parameters of Ludwik equation.

Steel	$k'$ (MPa)	$n$	Ref
C70 (HT1)	3398	0.8	Present work
C70 (HT2)	3769	0.8	Present work
0.8%C	3011	0.65	[18]

slip system  $[uvw](hkl)$  (the slip direction and plane are specified) is active when the resolved shear stress  $\tau [uvw](hkl)$  reaches the critical value  $\tau_c$ :

$$\sigma [uvw](hkl) = \tau_c \quad (4)$$

where  $\tau_c$  is the critical shear stress,  $\sigma [uvw](hkl) = (1/2) (m_i n_j + n_i m_j)$   $\sigma_{ij}$  is the resolved shear stress,  $n = [n_1, n_2, n_3]$  is the unit vector normal to the  $(hkl)$  plane and  $m = [m_1, m_2, m_3]$  is the unit vector parallel to the  $[uvw]$  direction.

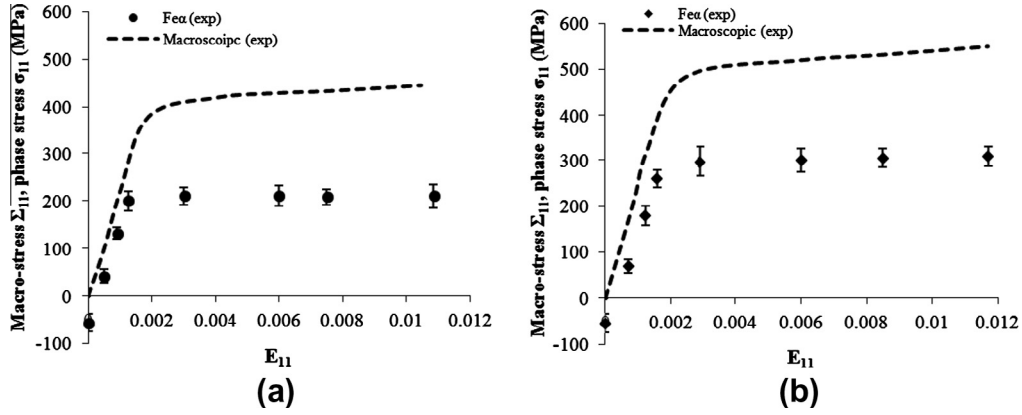


Fig. 4. Evolution of macroscopic stress  $\Sigma_{11}$  and phase stress  $\sigma_{11}$  vs. total imposed strain  $E_{11}$  measured using X-ray diffraction during «in situ» tensile tests: (a) C70: HT1 and (b) C70: HT2.

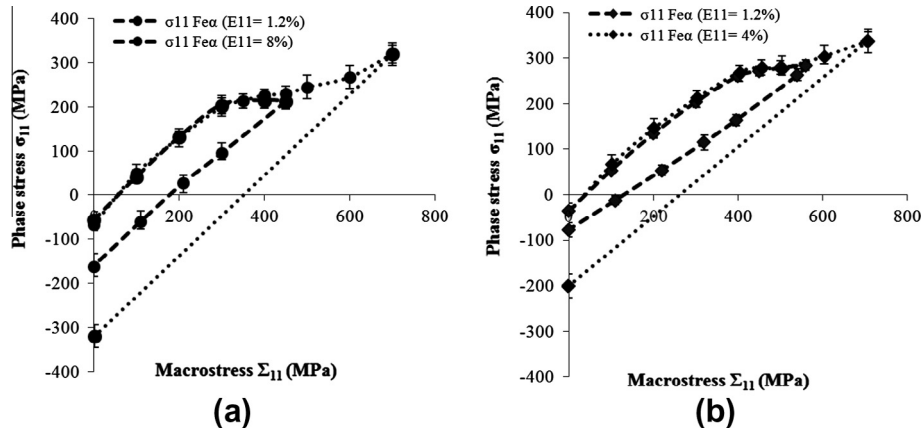


Fig. 5. Stress evolution in ferrite during loading-unloading paths for two annealed microstructures: (a) C70: HT1, (b) C70: HT2.

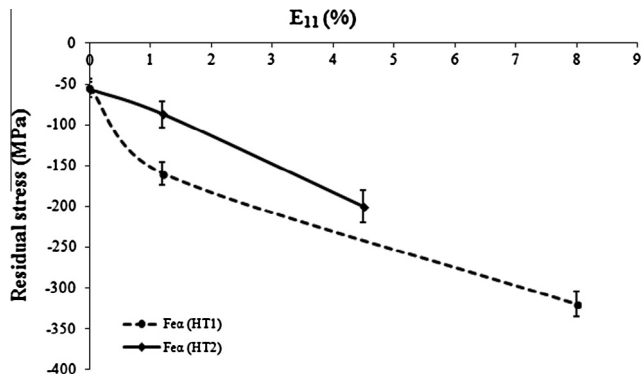


Fig. 6. Evolution of residual stress, induced by plasticity, in ferrite as a function of the total imposed strain  $E_{11}$  for the two annealed microstructures.

During plastic deformation, the dislocation multiplication and their spatial configuration evolution inside a grain lead to the hardening of slip systems ( $\tau_c$  increases with deformation), which can be approximately described by the work hardening matrix  $H^{ts}$  (i.e., the matrix reflecting the interaction between the slip systems). Consequently, the rate of the critical shear stress on the active system  $\dot{\tau}_c^t$  is equal to:

$$\dot{\tau}_c^t = \sum_s H^{ts} \dot{\gamma}^s \quad (5)$$

where  $\dot{\gamma}^s$  is the rate of the plastic slip in the active system.

In the current work, the self-consistent scheme [28,29] used for the scale transition and isotropic hardening was assumed, i.e., all elements of the  $H^{ts}$  matrix (Eq. (5)) are equal to the same value  $H$ . The initial critical shear stress  $\tau_c^0$  and the work hardening parameter ( $H$ ) were determined using the method proposed in work of Baczmanski and Braham [30].

#### 4.2.2. Model parameters

To predict the elastoplastic behavior of the two pearlitic microstructures, the calculations were carried out for 10.000 ellipsoidal inclusions representing grains of cementite (10%) and ferrite (90%). The average aspect ratios ( $a/b = 0.9$  and  $0.7$ ,  $a/c = 0.03$  and  $0.04$  for HT1 and HT2 microstructure respectively) of inclusions were determined from the micrographic sections presented in Fig. 7. The orientations of axes of cementite inclusion were randomly distributed, while spherical grains were assumed for ferrite. The components of single crystal stiffness tensor of ferrite used in calculations are reported in Table 6. Random orientations distribution of lattice in ferritic grains was assumed. In the case of cementite only theoretical (ab initio calculation) tensor of single crystal elastic constants ( $C_{ij}$ ) is available [31]. However, the theoretical data (averaged for polycrystalline aggregate) does not agree with experimentally determined Young's modulus ( $E$ ) and Poisson's ratio ( $\nu$ ) [32,33]. Finally, using synchrotron radiation during tensile test it was shown that  $\text{Fe}_3\text{C}$  crystal exhibit almost isotropic elastic properties [6]. Therefore, isotropic Young's modulus and Poisson's ratio (Table 6) given in [32] were attributed to the inclusions of cementite (the crystal lattice was not defined for the  $\text{Fe}_3\text{C}$  grains)

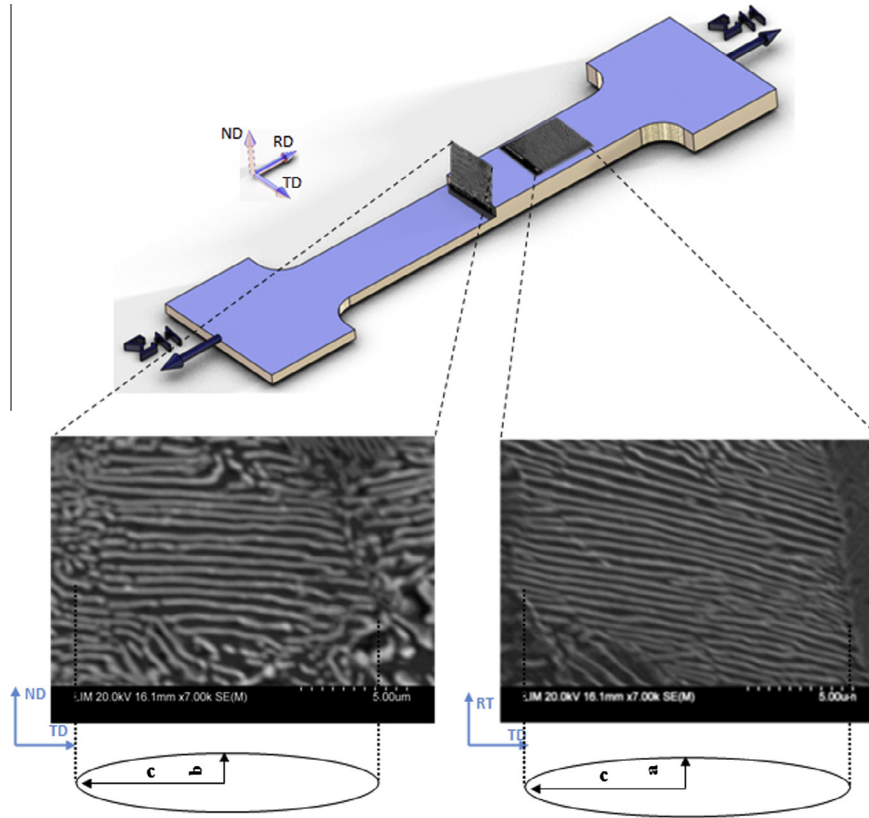


Fig. 7. The shape of the ellipsoidal inclusion used for model prediction.

**Table 6**  
Elastic properties of phases.

Phase	$C_{11}$ (GPa)	$C_{12}$ (GPa)	$C_{44}$ (GPa)	$G$ (GPa)	$\nu$	Reference
Ferrite	231.4	134.7	116.4	–	–	[4]
Cementite	–	–	–	90	0.275	[32]

**Table 7**  
Initial residual stresses.

Structure	Phase	$\sigma_{11}$ (MPa)
C70 (HT1)	Ferrite (measured)	$-56 \pm 13$
	Cementite (calculated)	504
C70 (HT2)	Ferrite (measured)	$-55 \pm 18$
	Cementite (calculated)	495

Two slip systems  $\langle 111 \rangle \{211\}$  and  $\langle 111 \rangle \{110\}$  in ferritic phase were used in calculations for the HT1 and HT2 microstructures. For both microstructure samples the initial residual stresses in ferrite were measured by X-ray diffraction and those of cementite were estimated using a mixture law [7,34]:

$$(1-f)\sigma_{Fez}^R + f\sigma_{Fe_3C}^R = 0 \quad (6)$$

where  $\sigma_{Fez}^R$  is the residual stress in ferrite,  $\sigma_{Fe_3C}^R$  is the residual stress in the cementite and  $f$  is the volume fraction of the cementite. The levels of the initial residual stresses are given in Table 7. The initial residual stresses, which are assumed to be equal for all grains in the same phase, were assigned to the inclusions.

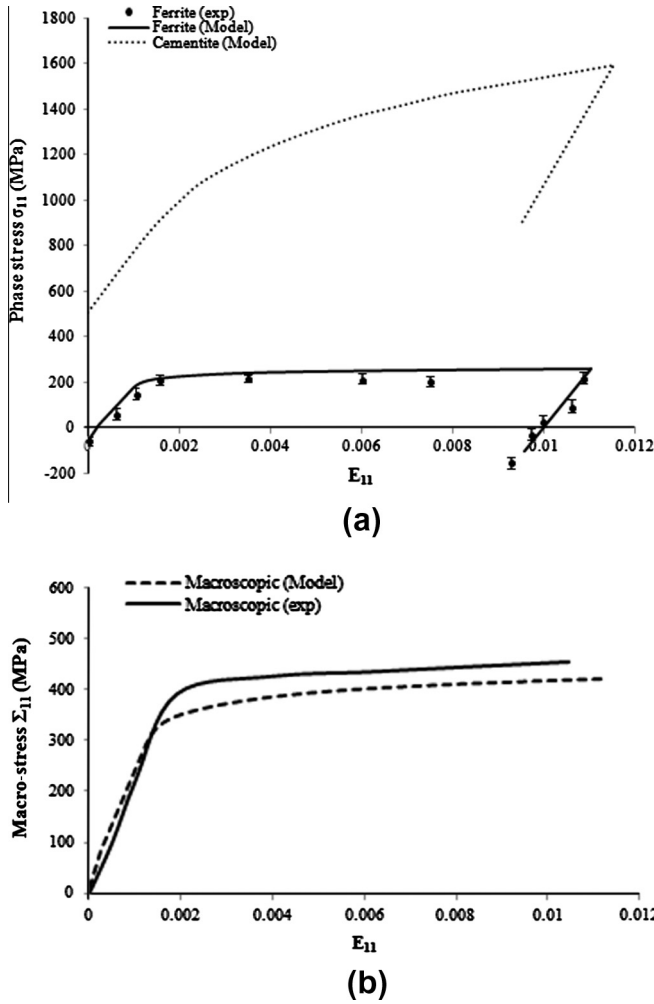
#### 4.2.3. Results and validation

**4.2.3.1. Plasticity parameters.** An elastic behavior of cementite was assumed for the whole range of deformation as indicated by the

literature data [6,13,14,22]. The ferrite shear stress  $\tau_c^0(\alpha)$  and the hardening parameter  $H$ , for both microstructures, were identified on the basis of the iterative choosing of plasticity parameters in calculations that ensure an optimal agreement with the «in situ» X-ray tensile data ( $\sigma_{11}-E_{11}$  plot) presented in Figs. 8a and 9a. In this case, due to shortage of experimental X-ray data for cementite, only the stresses measured in ferritic phase were compared with model prediction. The validity of the self-consistent calculations can be accessed from the comparison of macroscopic tensile test with model results, obtained with parameters for which the best agreement of X-ray data was achieved. Such comparison of the macroscopic  $\Sigma_{11}-E_{11}$  plots, shown in Figs. 8b and 9b, reveals a good agreement for the two annealed microstructures. However, a small underestimation of the theoretical macro-stresses can be observed.

We can conclude that the values of plastic parameters obtained by fitting model to the X-ray data are also underestimated (i.e. the lower bound for  $\tau_c^0(\alpha)$  was found, when value of  $H$  remains always close to zero). To obtain the upper limit of shear stresses the fitting of model macroscopic curve to the result of mechanical tensile test ( $\Sigma_{11}-E_{11}$  plot) was also done. Certainly in this case the stresses predicted in ferrite exceed the experimental X-ray data.

The limits of the optimal values of the parameters  $\tau_c^0(\alpha)$  and  $H$  ensuring the good agreement between experimental and theoretical data are given in Table 8. Indeed, the value of the ferritic initial critical shear stress  $\tau_c^0$  is much higher for the smaller interlamellar spacing of the pearlitic microstructure (HT2). The value of the parameter  $H$  identified by modeling is close to zero, which is conform with the low hardening of the ferrite phase as described by the experimental behavior of this phase (Fig. 4). Also, different values of yield stresses of ferrite (corresponding to determined  $\tau_c^0(\alpha)$ ) were found for both microstructures, i.e.:  $\sigma_{y1} = 210-230$  MPa for HT1 and  $\sigma_{y2} = 280-308$  MPa for HT2.



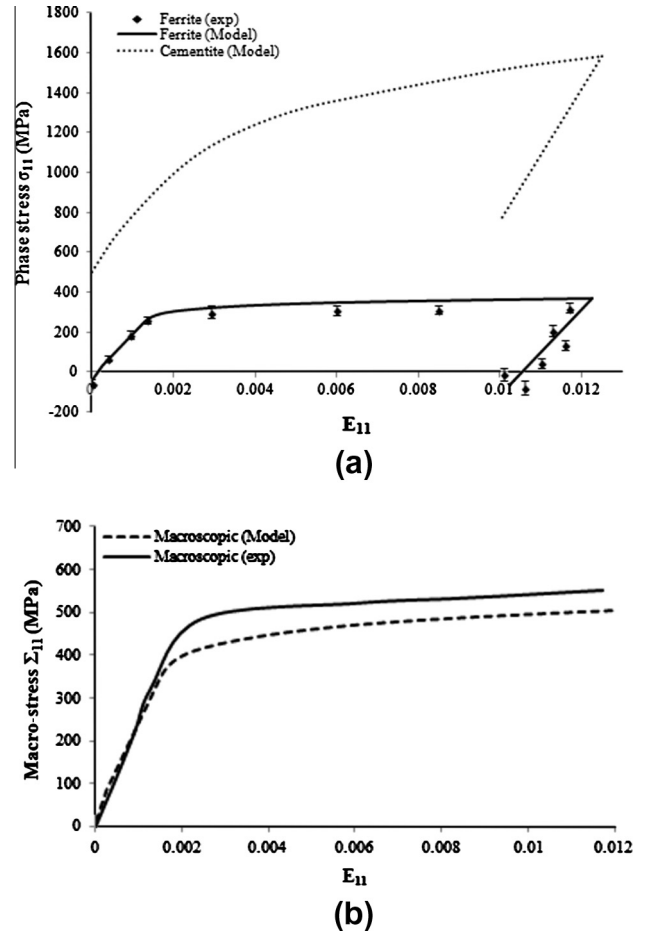
**Fig. 8.** The results of the «in situ» tensile test compared with the model prediction for C70 HT1 microstructure: (a) macroscopic stress  $\Sigma_{11}$  vs.  $E_{11}$  steel; (b) ferrite stress  $\sigma_{11}$  vs.  $E_{11}$ . Also, the evolution of theoretical stress in cementite vs.  $E_{11}$  is shown.

It is important to notice that for high macroscopic applied stress, a significant deviation between modeling and experimental behavior of ferrite has been observed (Fig. 10). The predicted stress in ferrite is lower than the value measured by X-ray for an imposed strain equal or higher than (7%). This deviation could be explained by the partial stress relaxation attributed to the cementite fracture occurring at the high applied stress level ( $\Sigma_{11} = 700$  MPa) (Fig. 11). Therefore, an increase of locally measured stress indicates that applied load is mainly transferred into the ferrite phase. The damage effect is not considered in the self-consistent model.

**4.2.3.2. Residual stress induced by plasticity.** The model can recover the experimental value of the compressive residual stresses in ferrite after loading (applied stress ratio  $\frac{\Sigma_{11}}{\Sigma_{11}^0}$  equal to 1.1) followed by unloading for both annealed pearlitic microstructures (Figs. 8(a) and 9(a)). Their levels increase with increasing the interlamellar spacing. Moreover, the self-consistent model can be used to predict the level of residual stress induced by plasticity in cementite phase. As expected, the residual stress in cementite phase is a tensile one.

**5. Discussion**

In this investigation, the influence of microstructure characteristics on the macroscopic and microscopic behavior of C70 pearlitic

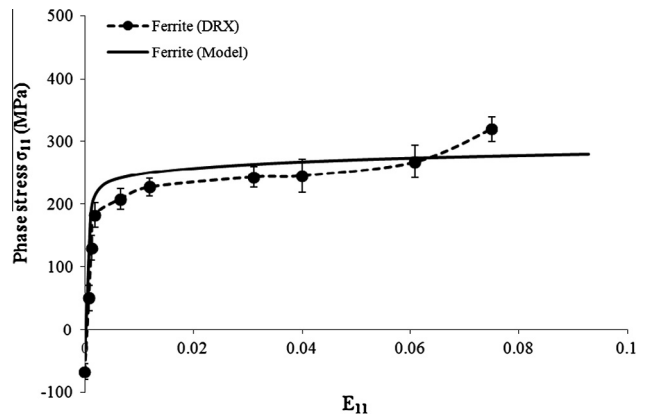


**Fig. 9.** The results of the «in situ» tensile test compared with the model prediction for C70 HT2 microstructure: (a) macroscopic stress  $\Sigma_{11}$  vs.  $E_{11}$  steel; (b) ferrite stress  $\sigma_{11}$  vs.  $E_{11}$ . Also, the evolution of theoretical stress in cementite vs.  $E_{11}$  is shown.

**Table 8**

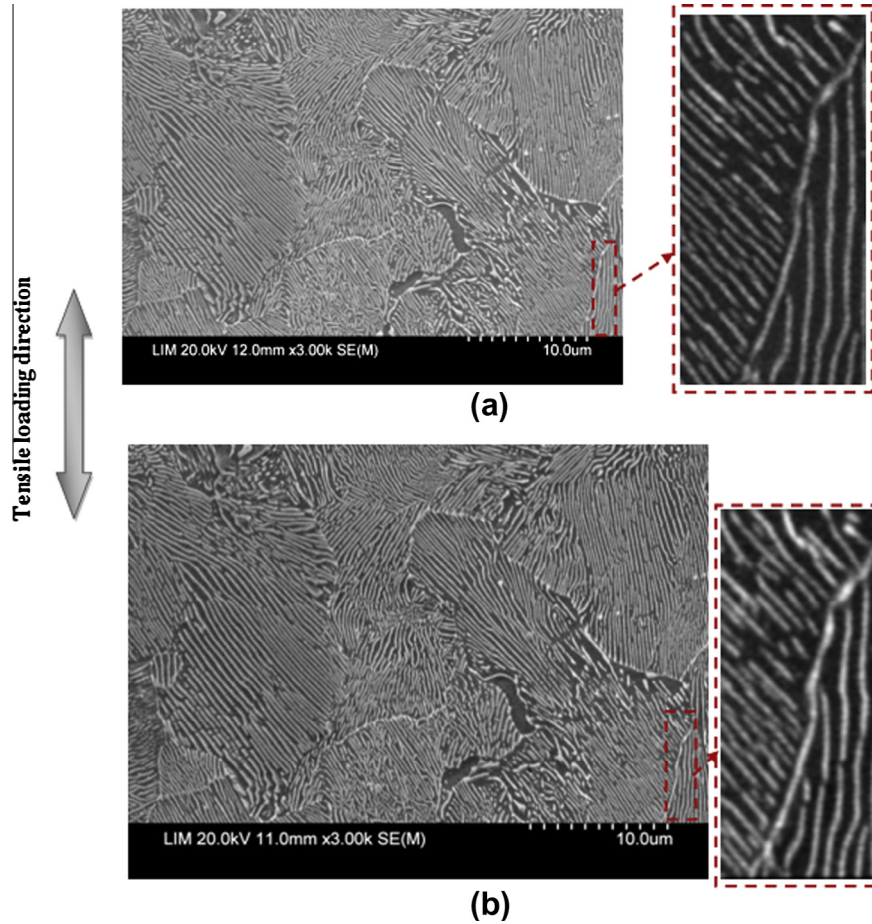
Ferrite parameters of plasticity identified by self-consistent model.

Phase	Structure	$\tau_c^0$ (MPa)	H (MPa)
Ferrite	C70:HT1	75–86	2
	C70:HT2	105–120	2
Cementite	C70:HT1/ HT2	Elastic behavior	



**Fig. 10.** The result of «in situ» tensile test is compared with the model prediction for ferrite in C70: HT1 microstructure (relatively large deformation range, until damage).





**Fig. 11.** Fracture of cementite lamellae at high stress loading ( $E_{11} = 7\%$ ,  $\Sigma_{11} = 700$  MPa), C70 (HT1) pearlitic steel: (a) unloaded pearlitic colonies ( $\Sigma_{11} = 0$  MPa); (b) ( $\Sigma_{11} = 700$  MPa).

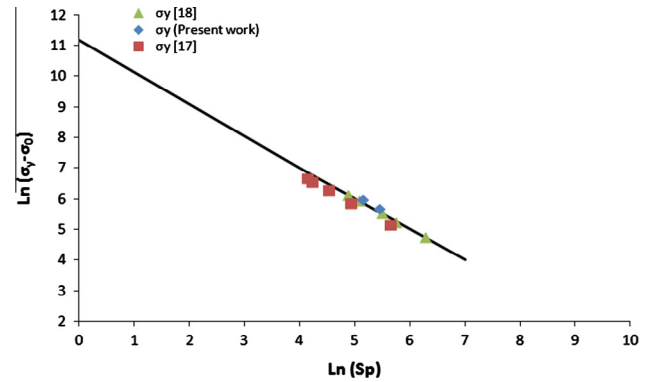
steel was established. It was found that, for a given cementite volume fraction, the strength of pearlitic steel as well as of ferritic phase is mainly controlled by the interlamellar spacing.

5.1. Effect of interlamellar spacing on pearlitic steel behavior

C70 steel tensile results, obtained in this study, show an increase in the yield stress and the ultimate tensile strength for smaller interlamellar spacing. These results are consistent with previous investigations on eutectoid [16], hyper-eutectoid [21,27] and hypo-eutectoid [2,19,21] steels.

It has been showed in this study that yield stress and ultimate tensile strength of pearlitic steel are controlled by the interlamellar spacing as expressed by a modified Hall–Petch relation (Eq. (2)). The linearity of relation is validated for a wider range of interlamellar spacing integrating results of C70 pearlitic steel and literature data [17,18] as confirmed by the diagram  $\ln(\sigma_y - \sigma_0) = f(\ln(Sp))$  (Fig. 12). In this diagram experimental values of yield stress vary linearly in accordance with a slope of (-1) with interlamellar spacing  $Sp$ .

The yield strength of pearlite is consistent with the stress necessary to move dislocations in ferrite between two impenetrable cementite lamellae, which increases with interlamellar spacing refinement leading to strengthening. This explanation is based on the assumption that dislocation sources are activated in cementite-ferrite interfaces and their displacement is controlled by a mean free path  $\langle L \rangle$  quite equal to the interlamellar spacing  $Sp$ . As a result, when the interlamellar spacing is large, dislocations move more freely in the ferrite zone, resulting in lower yielding limit ( $\sigma_y$ )



**Fig. 12.** Evolution of yield strength  $\sigma_y$  vs. interlamellar spacing  $Sp$ .

and ultimate tensile strength (UTS). This result is consistent with previous work performed on the eutectoid steels [2,16,18,27].

Ludwik strengthening parameter ( $n = 0.8$ ) for two microstructures indicate that the work hardening of pearlite does not depend on the interlamellar spacing. This value is quite similar to that of Dollar et al. [18] as shown in Table 5.

5.2. Effect of interlamellar spacing on ferrite behavior

The results of «in situ» X-ray tensile test show a high yield stress of ferritic phase for the small interlamellar spacing. This result is

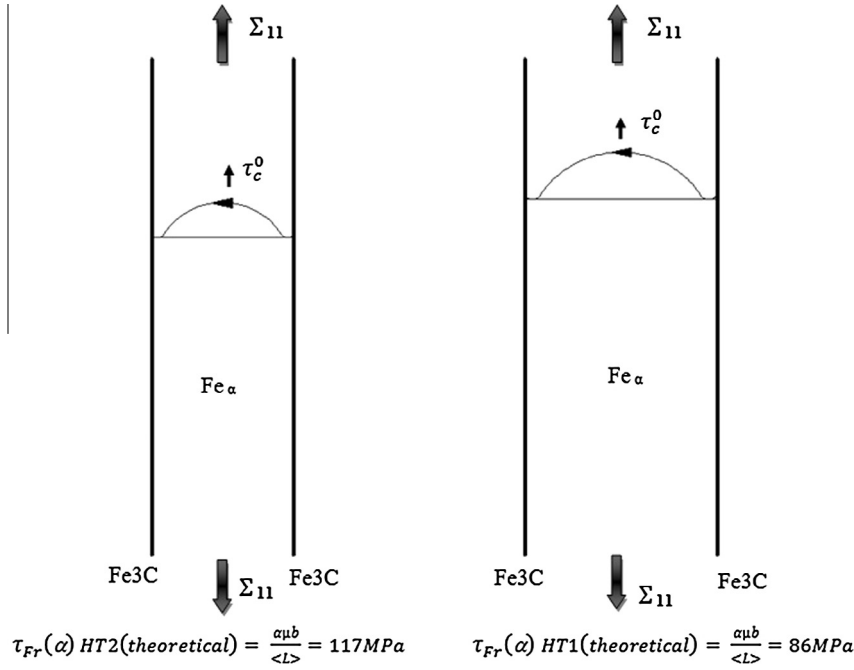


Fig. 13. Scheme showing the effect of interlamellar spacing on the theoretical ferrite critical shear stress  $\tau_{Fr}(\alpha)$ .

**Table 9**  
Critical resolved shear stress for ferrite.

Microstructure	$\tau_c^0(\alpha)$ (MPa) (self consistent model)	$\tau_{Fr}(\alpha)$ (MPa) (Frank-Read equation)
C70 (HT1)	75	86
C70 (HT2)	105	117

consistent with the ferrite critical shear stress ( $\tau_c^0(\alpha)$ ) identified by the self-consistent model for the two studied annealed microstructures. Indeed, the critical shear stress ( $\tau_c^0(\alpha)$ ) gets higher when the interlamellar spacing decreases. It can be explained by the mean free path of mobile dislocations ( $L$ ) controlling the critical shear stress according to substructure deformation analyzed by Li et al. [35]. The critical shear stress represents the stress necessary to activate the Frank-Read mechanism of a ferrite-cementite interface generated dislocation (Fig. 13). The interlamellar spacing  $S_p$  represents the line of initial dislocation pinned between two cementite lamellae. As the force resulting from the applied stress increases, the dislocation bows to the point where it equals  $S_p/2$  and a new dislocation is generated within the confine of a single pearlite lamellae. The dislocation enfranchisement is ensured when the resolved shear stress is equal to  $\tau_{Fr}$  as expressed by Eq. (7).

$$\tau_{Fr} = \tau_c^0 = \frac{\alpha \mu b}{\langle L \rangle} \quad (7)$$

In this equation:  $b$  is the Burgers vector =  $a/2 \langle 111 \rangle = 2.5 \times 10^{-10}$  m for ferritic steel,  $\alpha$  equals to 1,  $\mu$  is the shear modulus = 80,000 MPa for the steel and  $\langle L \rangle \approx S_p$  is the mean free path for mobile dislocations.

The calculated shear stresses for the two annealed microstructures, according to Eq. (7), are compared to those identified by the self-consistent model in Table 9. It confirms the role of cementite lamellae as an obstacle for dislocation movement that controls the deformation of ferrite phase. Using Eq. (7), the effect of interlamellar spacing on the ferrite strength has been shown by comparing the interlamellar spacing ratio  $\frac{S_p(HT1)}{S_p(HT2)} \approx 1.4$  to the critical shear stress ratio  $\frac{\tau_c^0(\alpha)(HT2)}{\tau_c^0(\alpha)(HT1)} \approx 1.4$  identified by the self-consistent model. In the same manner, the role of ferritic flow stress that depends on the critical shear stress, on the C70 steel yield stress has been determined by comparing the ratio  $\frac{\sigma_{y\alpha}(HT2)}{\sigma_{y\alpha}(HT1)} \approx 1.3$  to  $\frac{\sigma_{yC70}(HT2)}{\sigma_{yC70}(HT1)} \approx 1.3$ . This result is consistent with that of Dollar et al. [18] where it was indicated that yielding of pearlitic steel is mainly controlled by the deformation processes occurring in ferrite.

**Table 10**  
Residual stress partitioning in pearlite phases ( $E_{11} = 1.2\%$ ).

Steel	Residual stress (MPa)						Reference		
	Experimental results				Modeling results				
	Ferrite	Cementite	$\left  \frac{\sigma_{Fe3C}^R}{\sigma_{Fe\alpha}^R} \right $	Method	Ferrite	Cementite		$\left  \frac{\sigma_{Fe3C}^R}{\sigma_{Fe\alpha}^R} \right $	
C70	HT1	-150	-	-	X-ray	-120	900	7.5	Present work
	HT2	-77	-	-		-70	760	10	
Pearlitic steel		-150	720	5	X-ray Synchrotron	-	-	-	[4]
0.78%C		-	-	-	X-ray Synchrotron	-200	1000	5	[5]
0.48%C		-	-	-	-	-90	600	6	[22]

### 5.3. Effect of interlamellar spacing on residual stress induced by plasticity

X-ray diffraction measurements as well as self-consistent model show compressive residual stress in ferrite and the self-consistent model estimates the tensile residual stress in cementite for the loading–unloading path of the test performed in this study. The obtained experimental and model results are in the same order of magnitude as those available in the literature for various carbon steels and different assessment methods as it is shown in Table 10. It is important to notice that the residual stress partitioning ratio  $\left| \frac{\sigma_{Fe3C}^R}{\sigma_{Fez}^R} \right|$  is equal to 7.5 and 10 for C70 HT1 and HT2 microstructures respectively. Such difference in residual stress distribution between ferrite and cementite is consistent with that reported in the literature as shown in Table 10. This difference is attributed to a high contrast in the mechanical behavior between ferrite and cementite phases [4]. Moreover, the residual stress distribution is influenced by interlamellar spacing. It was found that the lower residual stress levels are associated with smaller interlamellar spacing for the same loading–unloading path. Indeed, the plastic deformation is always associated with the free dislocations movement in the softer ferritic phase while the cementite still deforms elastically until it breaks. Consequently, when the interlamellar spacing is larger, the dislocations move more freely in the ferritic phase and contribute to the high local cumulated deformation. Then, the created plastic incompatibility between the two phases is more important resulting in a higher residual stress level. That is why the compressive residual stress increases with increasing the interlamellar spacing.

## 6. Conclusions

In this study, the effect of interlamellar spacing on the behavior of C70 pearlitic steel was investigated by X-ray «in situ» tensile tests coupled with self-consistent model. The main results can be summarized as follow:

- Yielding of pearlite depends mainly on interlamellar spacing and follows the modified Hall–Petch relationship:  $\sigma_j = \sigma_0 + k_j S_p^{-1}$ . However, Ludwik work hardening coefficients ( $n = 0.8$ ) is not influenced by interlamellar spacing.
- Yielding of ferrite, studied by «in situ» X-ray tensile test results is controlled by the interlamellar spacing:  $\sigma_{y(Fez)} = 280$  MPa for  $S_p = 170$  nm and  $\sigma_{y(Fez)} = 210$  MPa for  $S_p = 230$  nm. This result is consistent with the ferrite critical shear stress identified by the self-consistent model:  $\tau_c^0(\alpha) = 105$ –120 MPa and  $\tau_c^0(\alpha) = 75$ –86 MPa for  $S_p = 170$  nm and  $S_p = 230$  nm, respectively.
- Plastic deformation of pearlitic steel induces compressive residual stresses in ferrite and tensile ones in cementite with respect to the ratio  $\left| \frac{\sigma_{Fe3C}^R}{\sigma_{Fez}^R} \right|$  that equals to 7.5 and 10 for C70 HT1 and C70 HT2 microstructures respectively. Residual stress levels of the two phases are higher for the largest interlamellar spacing.

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