

1 **Metatranscriptomes from diverse microbial**  
2 **communities: assessment of data reduction**  
3 **techniques for rigorous annotation**

4  
5 Andrew Toseland<sup>1,2</sup>, Simon Moxon<sup>3</sup>, Thomas Mock<sup>1</sup>, Vincent Moulton<sup>2</sup>

6  
7 <sup>1</sup>*School of Environmental Sciences, University of East Anglia, UK*

8 <sup>2</sup>*School of Computing Science, University of East Anglia, UK*

9 <sup>3</sup>*The Genome Analysis Centre (TGAC), Norwich, UK*

10

11 Email: [a.toseland@uea.ac.uk](mailto:a.toseland@uea.ac.uk), [simon.moxon@tgac.ac.uk](mailto:simon.moxon@tgac.ac.uk), [t.mock@uea.ac.uk](mailto:t.mock@uea.ac.uk),  
12 [v.moulton@uea.ac.uk](mailto:v.moulton@uea.ac.uk)

13

14 Correspondence: A. Toseland or V. Moulton, School of Computing Science,  
15 University of East Anglia, Norwich Research Park, Norwich, Norfolk, NR4 7TJ,  
16 United Kingdom. E-mail: [a.toseland@uea.ac.uk](mailto:a.toseland@uea.ac.uk) or [v.moulton@uea.ac.uk](mailto:v.moulton@uea.ac.uk)

17

18 The authors wish it to be known that, in their opinion, the first two authors  
19 should be regarded as joint First Authors.

20

## 21 **Abstract**

### 22 **Background**

23 Metatranscriptome sequence data can contain highly redundant sequences from  
24 diverse populations of microbes and so data reduction techniques are often  
25 applied before taxonomic and functional annotation. For metagenomic data, it  
26 has been observed that the variable coverage and presence of closely related  
27 organisms can lead to fragmented assemblies containing chimeric contigs that  
28 may reduce the accuracy of downstream analyses and some advocate the use of  
29 alternate data reduction techniques. However, it is unclear how such data  
30 reduction techniques impact the annotation of metatranscriptome data and thus  
31 affect the interpretation of the results.

### 32 **Results**

33 To investigate the effect of such techniques on the annotation of  
34 metatranscriptome data we assess two commonly employed methods: clustering  
35 and *de-novo* assembly. To do this, we also developed an approach to simulate  
36 454 and Illumina metatranscriptome data sets with varying degrees of taxonomic  
37 diversity. For the Illumina simulations, we found that a two-step approach of  
38 assembly followed by clustering of contigs and unassembled sequences  
39 produced the most accurate reflection of the real protein domain content of the  
40 sample. For the 454 simulations, the combined annotation of contigs and  
41 unassembled reads produced the most accurate protein domain annotations.

### 42 **Conclusions**

43 Based on these data we recommend that assembly be attempted, and that  
44 unassembled reads included in the final annotation for metatranscriptome data,

45 even from highly diverse environments as the resulting annotations should lead  
46 to a more accurate reflection of the transcriptional behaviour of the microbial  
47 population under investigation.

48

49 **Keywords:** Metatranscriptomics; sequence processing; data reduction;  
50 clustering; assembly

## 51 **Background**

52 The sequencing and *in-silico* analysis of messenger RNA (metatranscriptomics) is  
53 now routinely being applied to complex microbial communities in diverse eco-  
54 systems, including, but not limited to: soil [1], [2], [3], marine [4], [5], [6] and  
55 intestinal [7], [8] habitats. The typical goals of metatranscriptomics are to  
56 taxonomically classify transcripts, predict their functions and quantify their  
57 abundances, and to relate these to environmental data in order to reveal how  
58 environmental conditions impact microbial communities in different habitats.  
59 Metatranscriptome data sets typically consist of hundreds of thousands of 454  
60 sequences, or, more recently tens of millions of Illumina sequences per sample.  
61 Low taxonomic diversity and/or highly expressed genes can lead to a high  
62 degree of data redundancy; that is highly expressed multiple identical or nearly  
63 identical sequence fragments. In an investigation into the proportion of artificial  
64 and natural duplicates in pyrosequenced metatranscriptome data, Niu et al.  
65 reported that as much as 60% of all sequences in an early metatranscriptome  
66 data set were likely natural duplicates [9]. Therefore, some form of data  
67 reduction strategy is beneficial before running computationally intensive  
68 homology searches.

69 Two approaches that are commonly employed to reduce redundancy in large  
70 data sets are (a) assembly: where sequences are assembled into longer  
71 contiguous fragments (contigs) and (b) clustering: sequences are grouped into  
72 clusters sharing a defined degree of similarity.

73 The decisions as to whether to perform data reduction and which method to  
74 employ are influenced by several factors: (i) The availability of reference  
75 genomes: if sufficient reference genomes are available for a small number of  
76 dominant species then the sequences can be mapped to them and taxonomy  
77 and function inferred and the relative abundance of the transcripts calculated.

78 (ii) Read length - are the unprocessed reads long enough to return annotations?  
79 Current Illumina platforms produce shorter reads than 454 (up to 300bp for the  
80 Illumina MiSeq compared to ~1kb with the 454 GS-FLX Titanium) and are likely to  
81 return a lower hit rate to protein databases compared to longer 454 reads [10].

82 (iii) The diversity of the sample: although assembly can produce longer  
83 sequences and increase the accuracy of subsequent annotations, the variable  
84 coverage of transcripts in metatranscriptomics data sets and the presence of  
85 closely related organisms can lead to chimeric contigs. Indeed, for highly diverse  
86 metagenomic samples it has been recommended that assembly not be  
87 performed at all [11]. (iv) The aims of the analysis: if the read length is adequate  
88 for annotation and the intention is to count features (e.g. taxonomic affiliations  
89 of rRNA sequences) then clustering at high identities is a recommended  
90 alternative [12]. With the lower coverage but higher read length of 454  
91 metatranscriptome data, assembly is relatively uncommon and instead authors  
92 tend to either cluster or annotate sequences individually. Clustering is regularly  
93 used for detecting and removing sequencing artifacts from 454 data [13], [14],

94 grouping rRNA data into operational taxonomic units (OTUs) [15], [16], and  
95 grouping proteins into families [17], [18].  
96 In addition to the known benefits of a reduction in the size of the data set and  
97 therefore computation time, we set out to assess whether, by clustering  
98 translated metatranscriptome sequences and transferring protein domain  
99 annotation from cluster representatives to cluster members - some of which may  
100 only partially cover protein domains used for classification, we can accurately  
101 increase the number of classifiable reads.  
102 More specifically, we investigated some popular data reduction tools and  
103 assessed their performance on simulated 454 and Illumina metatranscriptome  
104 data in terms of the accuracy of resulting protein annotations. Note that although  
105 several approaches have been described to simulate metagenomic data sets  
106 [11], [19], [20], [13], [21] and RNA-SEQ data [22], to date only small scale  
107 attempts have been made to simulate metatranscriptome data sets based on a  
108 small number of species [23], [24].

## 109 **Results**

### 110 **Simulated 454 data**

111 The simulated 454 data sets contained 250,000 sequences each, totalling ~50  
112 megabases of sequence per diversity level. Between 12 and 14% of 454  
113 sequences from each data set returned matches to Pfam-A. When compared to  
114 the theoretical domain content, the correlation coefficients for all read  
115 annotation were 0.591, 0.605 and 0.576 for LD, MD and HD respectively (see  
116 Table 1).

117 Then, taking the parameter set that provided the largest increase in true

118 positives minus false positives, compared to the annotation of all unclustered  
119 reads, we found that the best clustering parameters were:  $\geq 60\%$  overall  
120 similarity and 100% coverage of cluster member sequences for the LD data set;  
121  $\geq 80\%$  similarity and 100% coverage of the cluster members for the MD data set;  
122 and  $\geq 60\%$  similarity,  $\geq 25\%$  coverage of the cluster representative and between  
123 0-50% minimum coverage of cluster members for the HD data set (see  
124 supplemental Figure S1).

125 While the best performing clustering parameters produced a net gain (TP – FP) of  
126 between 1,104 and 1,656 domains (see Figure S1), the correlation coefficients  
127 were slightly lower than for all read annotation (0.589, 0.601 and 0.573 for LD,  
128 MD and HD respectively (see Table 1)).

129 The MIRA assemblies incorporated  $\sim 50\%$  of all sequences into 24,858 and  
130 27,752 contigs for the LD and MD samples respectively, and  $\sim 30\%$  of sequences  
131 into 26,909 contigs for the HD sample. The average contig lengths were 298.6,  
132 298.3 and 257.3 base pairs for LD, MD and HD, respectively (see supplemental  
133 Table S2 for assembly statistics). The average contig entropy was 0.037, 0.0603  
134 and 0.0552 for LD, MD and HD respectively (see Figure 3) with 94.75%, 90.52%  
135 and 92.62% of contigs possessing an entropy of zero.

136 For the LD and MD data sets, the net gain of true positives (TP – FP) was a  
137  $\sim 100\%$  increase, and for the HD data set an increase of  $\sim 20\%$  was achieved  
138 (see Figure 1). The contigs alone had a weaker correlation with the theoretical  
139 domain content than all read or clustered read annotation (see Table 1). When  
140 combined with the debris sequences, the correlation coefficients for all three  
141 samples were higher than for all all-read or clustered annotations (0.610, 0.621

142 and 0.579 for LD, MD and HD respectively (see Table 1)). This could be due to  
143 two factors: firstly the low proportion of sequences incorporated into the contigs,  
144 (less than a third of all sequences were used for the HD contigs) and secondly  
145 the assemblies may be biased towards high-abundance transcripts (see Figure 2  
146 – top right).

147 Clustering of the 454 assemblies (combined contigs and debris) led to a very  
148 slight increase in the detection of true positives (~500) but the overall effect was  
149 a very slight reduction in the correlation with the theoretical domain content  
150 compared to the unclustered assembly (see Table 1).

### 151 **Simulated Illumina data**

152 Around 4% of the Illumina reads could be annotated with Pfam-A domains. The  
153 correlation coefficients for all read annotation with the theoretical domain  
154 content were (0.717, 0.734, 0.703 for LD and HD and MD respectively see Table  
155 1).

156 The Illumina data sets were clustered with the best performing parameter set for  
157 the equivalent diversity level identified in the 454 simulations described above.  
158 While clustering reduced the data sets by ~40% for LD and MD and ~25% for  
159 the HD data set the resulting annotations had a weaker correlation to the  
160 theoretical domain content of the sample (0.709, 0.728 and 0.698 for LD, MD  
161 and HD respectively see Table 1).

162 The Trinity assemblies incorporated ~40% of sequences from the LD and MD  
163 data sets into 31,799 and 41,191 contigs respectively with an average length of  
164 ~400nt. For the HD data set, ~14% of reads from the HD data set into 33,210  
165 contigs with an average length of 328nt. The average contig entropy was 0.037,

166 0.056 and 0.059 for LD, MD and HD respectively (see Figure 3) with 94.55%,  
167 91.1% and 92% of contigs possessing an entropy of zero.

168 The number of domains correctly identified increased by ~10 fold for the LD and  
169 MD data sets and by ~4 fold for the HD data set compared to individual  
170 sequence annotation (see Figure 1). The correlation between the annotation of  
171 the contigs alone and the theoretical domain content of the sample were higher  
172 than for all read annotation (see Table 1). Again it appears that the contigs  
173 capture the majority of the high-abundance transcripts and the unassembled  
174 debris capture the lower abundance transcripts (see Figure 2, Figure S2), a  
175 combination of the two provides a stronger correlation with the known domain  
176 content of the samples than either individually (0.842, 0.808 and 0.812 for LD,  
177 MD and HD respectively see Table 1).

178 Clustering of the Illumina assemblies (combined contigs and debris) produced a  
179 net gain of between 117,325 to 234,958 extra domains, however this made only  
180 a relatively small improvement to the correlations with the known domain  
181 content of each sample (see Table 1).

## 182 **Discussion**

183 The simulations show that the diversity of a metatranscriptome sample greatly  
184 impact the accuracy of protein domain annotations; with the high diversity  
185 simulations producing the weakest correlations with the known domain content  
186 of the sample. With a highly diverse population of organisms and transcripts, the  
187 average coverage of each transcript will decrease, thus clustering will result in  
188 many small clusters and fewer transcripts will be sequenced to sufficient depth



189 to allow extension into longer contiguous fragments.

190 However, regardless of the diversity level a better reflection of the domain  
191 content of the samples was achieved through applying data reduction  
192 techniques. The largest improvements in the correlation with the known domain  
193 content of the samples was achieved through assembly (contigs and debris  
194 combined) for the 454 simulations and assembly followed by clustering the  
195 contigs and debris together for the Illumina simulations. Using near default  
196 parameters, highly homogeneous (>90% of contigs with an entropy of 0 at the  
197 sequence level) contigs were recreated from both 454 and Illumina data.

198 It has been noted previously that assembly of 'omics data is likely to favour  
199 highly abundant organisms [12], and it therefore follows that it would also favour  
200 highly abundant transcripts. The results of our simulations suggest that the  
201 annotations of contigs alone are insufficient, and we therefore recommend that  
202 they should be combined with those of the debris sequences to provide a better  
203 reflection of the real domain content of the samples.

204 Overall, the simulated Illumina samples produced stronger correlations with the  
205 known protein domain content than the dollar cost-equivalent amount of 454  
206 sequence data. While we attempted to perform this analysis as consistently as  
207 possible, it was necessary to employ different assembly programs for the 454  
208 and Illumina data – (Although we did perform Trinity assemblies of simulated 454  
209 data, the results were poor; see supplemental Figure S3). However, the overall  
210 pattern of correlations from the different methods is fairly consistent and it  
211 seems likely that the stronger correlations of the Illumina simulations are due to  
212 the greatly increased coverage provided rather than any biases introduced by

213 the methods.

214 While these simulations have their limitations, the results achieved were  
215 consistent with trials on real metatranscriptome data. We applied the data  
216 reduction methods previously employed on simulated data to two real 454  
217 metatranscriptome data sets: the mid-bloom, marine metatranscriptome from  
218 [4]; and the 110m marine metatranscriptome from an oxygen minimum zone  
219 [14]. Although the genuine domain content of a real microbial  
220 metatranscriptome is unknown, the results obtained from the Gilbert and  
221 Stewart metatranscriptomes were, in terms of data reduction and annotation  
222 rates, consistent with the medium and high diversity 454 simulations (see  
223 supplemental Figure S4). Also, a recent study demonstrated that assembly of a  
224 simulated low diversity eukaryotic metatranscriptome could recreate a high  
225 number of contigs with low chimerism [25].

226 In the future, these methods could be extended to exploit the increasing  
227 availability of microbial genomes and transcriptomes. For example, in real  
228 metatranscriptome data, the most abundant transcripts are often associated  
229 with fundamental processes such as biosynthesis [26]. As more microbial  
230 transcriptome data become available (e.g. through sequencing efforts such as  
231 the MMETSP (<http://marinemicroeukaryotes.org/>)), it should be possible to refine  
232 these models of transcript abundance to reflect increased levels of transcripts  
233 involved in core processes and thereby produce more realistic simulations of  
234 metatranscriptome data.

## 235 **Conclusions**

236 Based on our simulations, it appears that older recommendations to omit the  
237 assembly stage when dealing with high-diversity samples do not extend to  
238 metatranscriptome data. Our results also show that including unassembled reads  
239 in downstream annotation can improve the overall accuracy and we would  
240 recommend that they should not be discarded after assembly. Therefore,  
241 whether dealing with 454 or Illumina data, we recommend combining  
242 annotations from contigs and unassembled (debris) sequences for 454 samples  
243 and employing a two-step data reduction of assembly followed by clustering of  
244 contigs and debris for Illumina.

245 The high coverage afforded by Illumina sequencing has made it an increasingly  
246 popular choice for sequencing microbial communities. As more purpose built de-  
247 novo transcript assemblers become available there is a need for a systematic  
248 assessment of assembly tools and sequencing protocols for Illumina  
249 metatranscriptome data.

## 250 **Methods**

### 251 **Simulated data sets**

252 To simulate microbial metatranscriptome data sets with varying degrees of  
253 diversity, we created three population profiles to represent low, medium and  
254 high diversity communities (referred to as LD, MD and HD respectively from here  
255 on). To tie in our simulations with previous simulation studies, we based them on  
256 the organism lists and genome coverage levels used in a simulated metagenome  
257 study [20]. The genome coverage values from the Pignatelli study were scaled to

258 create discrete organism abundances to give a total population size of  
259 approximately 1,000 for each sample (see supplemental Table S1 for list of  
260 organisms used).

261 For each diversity level, we then generated a set of species-specific transcript  
262 expression profiles. For each of the 112 species in the samples, we generated a  
263 Pareto-like, power law distribution ( $P(k) \propto k^{-r}$ ) to model the expression values of  
264 each gene. This distribution has been empirically demonstrated (based on  
265 genome-wide microarray data) to apply to gene expression from a range of  
266 model organisms such as *Escherichia coli* (bacteria) , *Saccharomyces cerevisiae*  
267 (yeast) , *Arabidopsis thaliana* (plant) , *Drosophila melanogaster* (insect) and  
268 *Homo sapiens* (mammal) [27], [28]. For each species we used J. Cristobal Vera's  
269 transcript simulator (<http://personal.psu.edu/jcv128/software.html>) to produce an  
270 expression profile using an  $r$  exponent of 1.69 (exponent for *E. coli* value as  
271 shown by [27]), where each gene could take an expression value between 1 and  
272 1,000 within a Pareto power law distribution, reflecting the number of transcript  
273 copies present in the cell, which is then scaled up by the total abundance of the  
274 organism in the sample.

275 Using the gene sequences for the 112 species from the Joint Genome Institutes  
276 Integrated Microbial Genomes database (JGI-IMG) [29] we then created the  
277 transcript pools. Briefly, for each diversity level we scaled each expression profile  
278 by the abundance of that organism (as defined in the population profile) and  
279 created a pool of full-length transcripts.

280 For the 454 data sets we randomly sampled 250,000 sequences from each  
281 transcript pool, taking fragments of up to 400bp. We then ran these fragments

282 through 454sim [30] using GS-FLX error models to introduce sequence errors and  
283 translated the resulting sequences into their longest open reading frames. We  
284 also used the same population and expression profiles to create a test data set  
285 for each diversity level consisting of sequence fragments taken directly from the  
286 manually curated, error-free amino-acid gene models for the same organisms.  
287 For the Illumina data sets we randomly sampled 7.5 million, 100bp single-end  
288 reads from each transcript pool. This equates to ~15X more bases sequenced  
289 with Illumina compared to 454, based on estimations by Mende et al. [13]. To  
290 introduce sequence errors the sampled transcripts were run through the Illumina  
291 simulator Art [31] using Genome Analyzer II settings.

## 292 **Clustering**

293 All nucleotide sequences were translated into their longest open reading frames  
294 and clustered with CD-HIT [32]. A nested loop was used to increment overall  
295 sequence similarity (C) from 40% to 100% (in 20% increments), and then  
296 percentage coverage of the cluster representative (aL) and cluster members (aS)  
297 increasing in 25% increments from 0 to 100%.

## 298 **Assemblies**

299 The simulated 454 nucleotide data sets and the two real metatranscriptomes  
300 were assembled using MIRA [33], in de-novo, accurate, EST mode, with non-  
301 uniform read depth, and all other parameters as default. Both the contigs and  
302 debris (reads not incorporated into any contig) were translated into their longest  
303 open reading frames.

304 The Illumina data sets were assembled using Trinity [34] with default settings for  
305 a single-end read assembly. As Trinity does not report the specific reads

306 incorporated into assembled transcripts, we aligned all reads back to the final  
307 Trinity assemblies with alignRead.pl script of the Trinity package using Bowtie  
308 [35] allowing us to scale protein annotation by contig coverage.

309 We combined the assembled contigs and debris (or unmapped reads for the  
310 Illumina data sets), translated them into their longest open reading frames and  
311 clustered them using a single parameter set to assess clustered assemblies.

## 312 **Annotation**

313 The original full-length genes of all JGI-IMG genes used, and the longest open  
314 reading frames of all individual sequences and contigs were compared against  
315 the Pfam-A database (Release 26.0) [36] with pfam\_scan.pl  
316 ([ftp://ftp.sanger.ac.uk/pub/databases/Pfam/Tools/OldPfamScan/HMMER2/pfam\\_sc](ftp://ftp.sanger.ac.uk/pub/databases/Pfam/Tools/OldPfamScan/HMMER2/pfam_scan.pl)  
317 [an.pl](ftp://ftp.sanger.ac.uk/pub/databases/Pfam/Tools/OldPfamScan/HMMER2/pfam_scan.pl)) using default gathering thresholds. Protein annotations were scaled by  
318 cluster size or the number of reads incorporated/mapped to a contig for  
319 clustered and assembled data respectively. To show how well the resulting  
320 annotations of each method (individual read/clustered reads/assembled reads  
321 etc.) reflected the real domain content of each sample, we calculated the  
322 Pearson correlation coefficient of annotated sequences/clustered  
323 sequences/contigs against the *full domain content* of the original sample - that  
324 is, the domain content of the equivalent number of full transcripts in the sample.  
325 For comparative purposes each unique domain was counted once per  
326 gene/contig/sequence.

## 327 **Contig entropy**

328 To investigate the extent of potential contig chimericity – that is, the level of  
329 heterogeneity in the set of reads incorporated into a contig - we took a similar

330 approach to [37] and measured contig entropy for both MIRA 454 and Trinity  
331 Illumina assemblies. We measured contig entropy as follows:

$$332 \quad \text{ENTROPY} = -\sum_{p=i} \log(p_i)/p_t$$

333 Where  $p_i$  represents the fraction of reads originating from transcript  $i$  and  $p_t$   
334 represents the total read set for the contig.

## 335 **Competing interests**

336 The authors declare no competing interests.

## 337 **Authors' contributions**

338 Conceived and designed the experiments: AT, SM, VM. Performed the  
339 experiments: AT. Analyzed the data: AT, SM. Wrote the paper: AT, SM, TM, VM. All  
340 authors read and approved the final manuscript.

## 341 **Acknowledgements**

342 We would like to thank J. Cristobal Vera for allowing us to use and adapt his Perl  
343 script. This work was supported by the Earth and Life Systems Alliance (ELSA)  
344 and the Natural Environment Research Council (NERC Grant No. NE/K004530).  
345 Finally, we thank our anonymous reviewers for their helpful comments.

## 346 **References**

- 347 1. Bailly J, Fraissinet-Tachet L, Verner M-C, Debaud J-C, Lemaire M, Wésolowski-  
348 Louvel M, Marmeisse R: **Soil eukaryotic functional diversity, a**  
349 **metatranscriptomic approach**. *ISME J* 2007, **1**:632–642.
- 350 2. Urich T, Lanzén A, Qi J, Huson DH, Schleper C, Schuster SC: **Simultaneous**  
351 **Assessment of Soil Microbial Community Structure and Function**  
352 **through Analysis of the Meta-Transcriptome**. *PLoS One* 2008, **3**:e2527.
- 353 3. Damon C, Lehembre F, Oger-Desfeux C, Luis P, Ranger J, Fraissinet-Tachet L,  
354 Marmeisse R: **Metatranscriptomics Reveals the Diversity of Genes**  
355 **Expressed by Eukaryotes in Forest Soils**. *PLoS One* 2012, **7**:e28967.
- 356 4. Gilbert JA, Field D, Huang Y, Edwards R, Li W, Gilna P, Joint I: **Detection of**  
357 **Large Numbers of Novel Sequences in the Metatranscriptomes of**  
358 **Complex Marine Microbial Communities**. *PLoS One* 2008, **3**:e3042.
- 359 5. Marchetti A, Schrueth DM, Durkin CA, Parker MS, Kodner RB, Berthiaume CT,  
360 Morales R, Allen AE, Armbrust EV: **Comparative metatranscriptomics**  
361 **identifies molecular bases for the physiological responses of**  
362 **phytoplankton to varying iron availability**. *Proc Natl Acad Sci* 2012,  
363 **109**:E317–E325.
- 364 6. Toseland a., Daines SJ, Clark JR, Kirkham A, Strauss J, Uhlig C, Lenton TM,  
365 Valentin K, Pearson G a., Moulton V, Mock T: **The impact of temperature on**  
366 **marine phytoplankton resource allocation and metabolism**. *Nat Clim*  
367 *Chang* 2013, **3**:979–984.
- 368 7. Gosalbes MJ, Durbán A, Pignatelli M, Abellan JJ, Jiménez-Hernández N, Pérez-



369 Cobas AE, Latorre A, Moya A: **Metatranscriptomic Approach to Analyze the**  
370 **Functional Human Gut Microbiota.** *PLoS One* 2011, **6**:e17447.

371 8. Xiong X, Frank DN, Robertson CE, Hung SS, Markle J, Canty AJ, McCoy KD,  
372 Macpherson AJ, Poussier P, Danska JS, Parkinson J: **Generation and Analysis of**  
373 **a Mouse Intestinal Metatranscriptome through Illumina Based RNA-**  
374 **Sequencing.** *PLoS One* 2012, **7**:e36009.

375 9. Niu B, Fu L, Sun S, Li W: **Artificial and natural duplicates in**  
376 **pyrosequencing reads of metagenomic data.** *BMC Bioinformatics* 2010,  
377 **11**:187.

378 10. Wommack KE, Bhavsar J, Ravel J: **Metagenomics: Read Length Matters.**  
379 *Appl Environ Microbiol* 2008, **74**:1453–1463.

380 11. Mavromatis K, Ivanova N, Barry K, Shapiro H, Goltsman E, McHardy AC,  
381 Rigoutsos I, Salamov A, Korzeniewski F, Land M, Lapidus A, Grigoriev I,  
382 Richardson P, Hugenholtz P, Kyrpides NC: **Use of simulated data sets to**  
383 **evaluate the fidelity of metagenomic processing methods.** *Nat Methods*  
384 2007, **4**:495–500.

385 12. Thomas T, Gilbert J, Meyer F: **Metagenomics - a guide from sampling to**  
386 **data analysis.** *Microb Inform Exp* 2012, **2**:3.

387 13. Mende DR, Waller AS, Sunagawa S, Järvelin AI, Chan MM, Arumugam M, Raes  
388 J, Bork P: **Assessment of Metagenomic Assembly Using Simulated Next**  
389 **Generation Sequencing Data.** *PLoS One* 2012, **7**:e31386.

390 14. Stewart FJ, Ulloa O, DeLong EF: **Microbial metatranscriptomics in a**  
391 **permanent marine oxygen minimum zone.** *Environ Microbiol* 2012, **14**:23–  
392 40.

- 393 15. Eilers KG, Debenport S, Anderson S, Fierer N: **Digging deeper to find**  
394 **unique microbial communities: The strong effect of depth on the**  
395 **structure of bacterial and archaeal communities in soil.** *Soil Biol Biochem*  
396 2012, **50**:58–65.
- 397 16. Rinta-Kanto JM, Sun S, Sharma S, Kiene RP, Moran MA: **Bacterial**  
398 **community transcription patterns during a marine phytoplankton**  
399 **bloom.** *Environ Microbiol* 2012, **14**:228–239.
- 400 17. Gilbert JA, Field D, Swift P, Thomas S, Cummings D, Temperton B, Weynberg  
401 K, Huse S, Hughes M, Joint I, Somerfield PJ, Mühling M: **The Taxonomic and**  
402 **Functional Diversity of Microbes at a Temperate Coastal Site: A “Multi-**  
403 **Omic” Study of Seasonal and Diel Temporal Variation.** *PLoS One* 2010,  
404 **5**:e15545.
- 405 18. Hurwitz BL, Deng L, Poulos BT, Sullivan MB: **Evaluation of methods to**  
406 **concentrate and purify ocean virus communities through comparative,**  
407 **replicated metagenomics.** *Environ Microbiol* 2013, **15**:1428–1440.
- 408 19. Richter DC, Ott F, Auch AF, Schmid R, Huson DH: **MetaSim—A Sequencing**  
409 **Simulator for Genomics and Metagenomics.** *PLoS One* 2008, **3**:e3373.
- 410 20. Pignatelli M, Moya A: **Evaluating the Fidelity of De Novo Short Read**  
411 **Metagenomic Assembly Using Simulated Data.** *PLoS One* 2011, **6**.
- 412 21. Garcia-Etxebarria K, Garcia-Garcerà M, Calafell F: **Consistency of**  
413 **metagenomic assignment programs in simulated and real data.** *BMC*  
414 *Bioinformatics* 2014, **15**:90.
- 415 22. Griebel T, Zacher B, Ribeca P, Raineri E, Lacroix V, Guigó R, Sammeth M:  
416 **Modelling and simulating generic RNA-Seq experiments with the flux**

- 417 **simulator**. *Nucleic Acids Res* 2012, **40**:10073–10083.
- 418 23. Larsen PE, Collart FR: **BowStrap v1.0: Assigning statistical significance**  
419 **to expressed genes using short-read transcriptome data**. *BMC Res Notes*  
420 2012, **5**:275.
- 421 24. Radax R, Rattei T, Lanzen A, Bayer C, Rapp HT, Urich T, Schleper C:  
422 **Metatranscriptomics of the marine sponge *Geodia barretti*: tackling**  
423 **phylogeny and function of its microbial community**. *Environ Microbiol*  
424 2012, **14**:1308–1324.
- 425 25. Cooper ED, Bentlage B, Gibbons TR, Bachvaroff TR, Delwiche CF:  
426 **Metatranscriptome profiling of a harmful algal bloom**. *Harmful Algae*  
427 2014, **37**:75–83.
- 428 26. Moran MA: **Metatranscriptomics: Eavesdropping on Complex Microbial**  
429 **Communities**. *Microbe Mag* 2009, **Issues**(July).
- 430 27. Ueda HR, Hayashi S, Matsuyama S, Yomo T, Hashimoto S, Kay SA, Hogenesch  
431 JB, Iino M: **Universality and flexibility in gene expression from bacteria to**  
432 **human**. *Proc Natl Acad Sci U S A* 2004, **101**:3765–3769.
- 433 28. Nacher JC, Akutsu T: **Sensitivity of the power-law exponent in gene**  
434 **expression distribution to mRNA decay rate**. *Phys Lett A* 2006, **360**:174–  
435 178.
- 436 29. Markowitz VM, Korzeniewski F, Palaniappan K, Szeto E, Werner G, Padki A,  
437 Zhao X, Dubchak I, Hugenholtz P, Anderson I, Lykidis A, Mavromatis K, Ivanova N,  
438 Kyrpides NC: **The integrated microbial genomes (IMG) system**. *Nucleic*  
439 *Acids Res* 2006, **34**(suppl 1):D344–D348.

- 440 30. Lysholm F, Andersson B, Persson B: **An efficient simulator of 454 data**  
441 **using configurable statistical models.** *BMC Res Notes* 2011, **4**:449.
- 442 31. Huang W, Li L, Myers JR, Marth GT: **ART: a next-generation sequencing**  
443 **read simulator.** *Bioinformatics* 2012, **28**:593–594.
- 444 32. Li W, Godzik A: **Cd-hit: a fast program for clustering and comparing**  
445 **large sets of protein or nucleotide sequences.** *Bioinformatics* 2006,  
446 **22**:1658–1659.
- 447 33. Chevreux B, Pfisterer T, Drescher B, Driesel AJ, Müller WEG, Wetter T, Suhai  
448 **S: Using the miraEST Assembler for Reliable and Automated mRNA**  
449 **Transcript Assembly and SNP Detection in Sequenced ESTs.** *Genome Res*  
450 2004, **14**:1147–1159.
- 451 34. Grabherr MG, Haas BJ, Yassour M, Levin JZ, Thompson DA, Amit I, Adiconis X,  
452 Fan L, Raychowdhury R, Zeng Q, Chen Z, Mauceli E, Hacohen N, Gnirke A, Rhind  
453 N, di Palma F, Birren BW, Nusbaum C, Lindblad-Toh K, Friedman N, Regev A: **Full-**  
454 **length transcriptome assembly from RNA-Seq data without a reference**  
455 **genome.** *Nat Biotechnol* 2011, **29**:644–652.
- 456 35. Langmead B, Trapnell C, Pop M, Salzberg SL: **Ultrafast and memory-**  
457 **efficient alignment of short DNA sequences to the human genome.**  
458 *Genome Biol* 2009, **10**:1–10.
- 459 36. Punta M, Coggill PC, Eberhardt RY, Mistry J, Tate J, Boursnell C, Pang N,  
460 Forslund K, Ceric G, Clements J, Heger A, Holm L, Sonnhammer ELL, Eddy SR,  
461 Bateman A, Finn RD: **The Pfam protein families database.** *Nucleic Acids Res*  
462 2012, **40**:D290–D301.
- 463 37. Charuvaka A, Rangwala H: **Evaluation of short read metagenomic**

464 **assembly**. *BMC Genomics* 2011, **12**(Suppl 2):S8.

465 **Table captions**

466 **Table 1.**

467 **Title:** Correlation coefficients between simulated data set annotations and  
468 known protein domain content.

469 **Legend:** Summary of Pearson correlation coefficients between processed data  
470 sets and the known domain content of sample for low diversity (LD), medium  
471 diversity (MD) and high diversity (HD) simulated 454 and Illumina  
472 metatranscriptomes. <sup>1</sup>Assembly includes annotation from both contigs and debris  
473 sequences.

	454			Illumina		
	LD	MD	HD	LD	MD	HD
<b>ALL</b>	0.591	0.605	0.576	0.717	0.734	0.703
<b>CLUSTERED</b>	0.589	0.601	0.573	0.709	0.728	0.698
<b>CONTIGS</b>	0.579	0.595	0.512	0.772	0.817	0.735
<b>DEBRIS</b>	0.551	0.554	0.578	0.688	0.702	0.692
<b>ASSEMBLY<sup>1</sup></b>	0.610	0.621	0.579	0.842	0.868	0.812
<b>CLUSTERED ASSEMBLY</b>	0.610	0.620	0.578	0.843	0.869	0.815

474

## 475 **Figure legends**

### 476 **Figure 1.**

477 **Title:** Results from Pfam-A annotated simulated metatranscriptomes.

478 **Legend:** Percentage of true positives, false positives, true negatives and  
479 potential domains (domains present in original full-length transcript) based on a  
480 comparison with the known domain content of the data sets for all reads (ALL),  
481 best clustering (CLS), assembly (ASS) and clustered assembly (CLA). a) results  
482 for simulated 454 data sets, from left to right: low, medium and high diversity. b)  
483 results for simulated Illumina data sets from left to right: low, medium and high  
484 diversity.

485

### 486 **Figure 2.**

487 **Title:** Correlation between high diversity simulations and known protein domain  
488 content.

489 **Legend:** Correlation plots of Pfam-A annotations of each processed data set  
490 compared to known domain content for a) high diversity 454 simulated data set  
491 and b) high diversity Illumina simulated data set. Top row, left to right: all reads  
492 unprocessed; clustered reads; assembly - contigs only. Bottom row, left to right:  
493 assembly – debris only; assembly – contigs and debris combined; clustered  
494 assembly. Pearson correlation coefficient shown in top left corner.

495

### 496 **Figure 3.**

497 **Title:** Contig entropy for assembled simulated metatranscriptomes.

498 **Legend:** Contig entropy plotted against contig length for a) MIRA assembled  
499 simulated 454 data sets and b) Trinity assembled simulated Illumina data sets.  
500 Plots represent, from left to right: low diversity (LD), medium diversity (MD) and  
501 high diversity (HD) data sets.



502 **Supplemental**

503 Table S1 – Summary of organisms used for simulations

504 Table S2 – Summary of assembly statistics

505 Figure S1– Histogram of increase TP and increase FP for 454 simulations

506 Figure S2 – Additional correlation plots

507 Figure S3 – Entropy plot for Trinity 454 assembly

508 Figure S4 – Plot of TP etc for real metatranscriptomes compared to simulations