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Stability, resolution and ultra-low wear amplitude modulation atomic force microscopy of DNA: small amplitude small set-point imaging

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Abstract

A way to operate fundamental mode amplitude modulation atomic force microscopy is introduced which optimizes stability and resolution for a given tip size and shows negligible tip wear over extended time periods (~24 hours). In small amplitude small set-point (SASS) imaging, the cantilever oscillates with sub-nanometer amplitudes in the proximity of the sample, and without the requirement of using large drive forces, as the dynamics smoothly lead the tip to the surface through the water layer. SASS is demonstrated on single molecules of double-stranded DNA in ambient conditions where sharp silicon tips ($R \sim 2-5$ nm) can resolve the right-handed double helix.

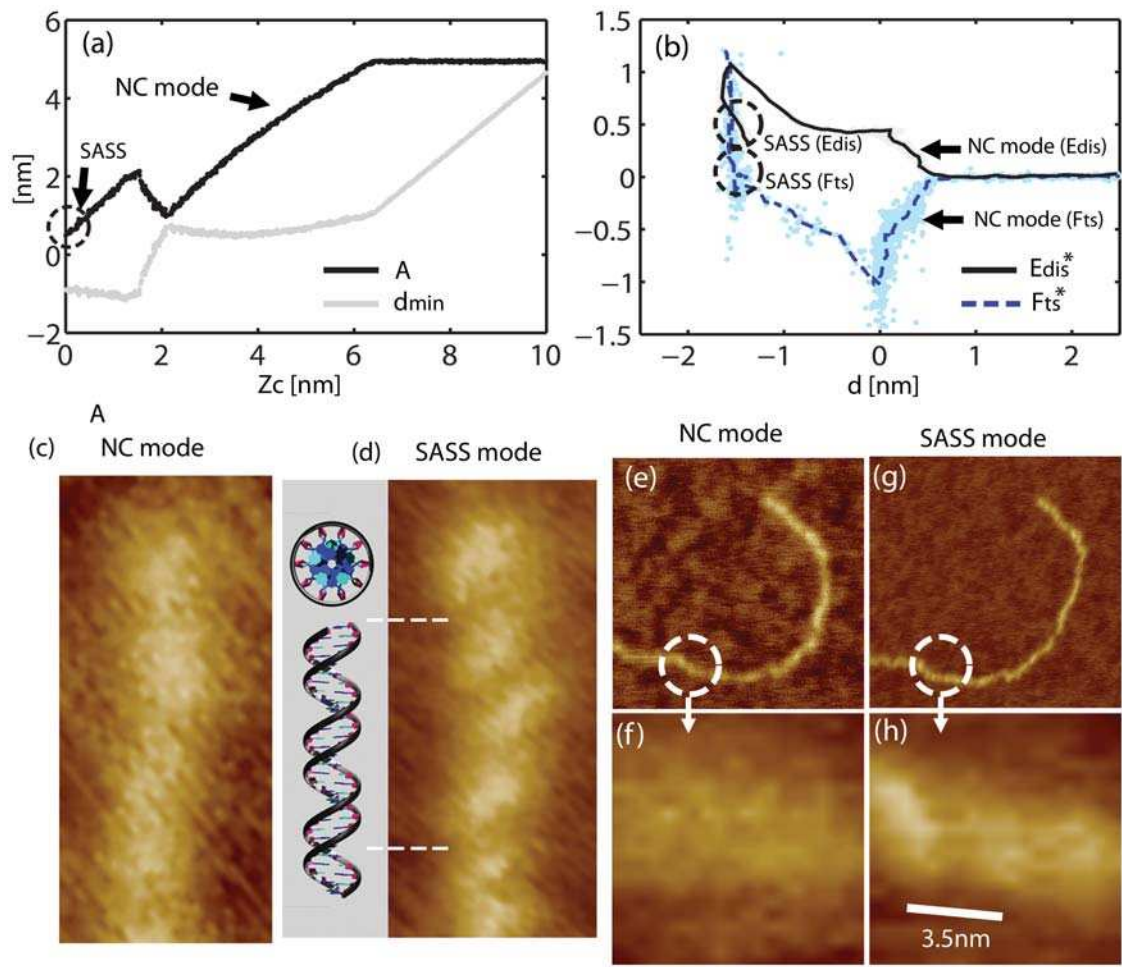
Amplitude modulation atomic force microscopy (AM AFM) is one of the most broadly employed imaging modes of the AFM family, particular for air and liquid environments, and especially on samples with significant topographical variations¹⁻⁶ since the AM AFM architecture and electronics are relatively simple and robust^{1,3,7}. Despite its rapid success on experimental grounds however, it has taken much effort to understand cantilever dynamics in fundamental frequency AM AFM. Bi-stability and the related issues involved with the interaction via a non-monotonic, non-linear tip-sample potential^{8,9}, the snap into contact problem³ and the possible perturbations related to capillary interactions^{10,11} have made achieving stability and resolution in ambient conditions particularly challenging¹² as compared to ultra-high vacuum where even bond order discrimination is now possible¹³.

In ambient AM AFM, bi-stability is usually prevalent for larger excitation amplitudes, once the critical amplitude to reach the repulsive force regime has been surpassed^{11,14}. Intermittent contact (IC) between tip and sample occurs at these larger amplitudes and often risks tip or sample damage and/or protracted wearing of the tip¹⁵. To circumvent these issues, one can significantly lower the excitation amplitude to enable non-contact (NC) imaging conditions^{16,17}. In NC mode, the tip is at much lower risk of damage since only attractive forces operate and the bi-stable region is not accessed. However, image resolution is generally compromised in NC mode because the tip is on average further from the sample, increasing the effective interaction area as a consequence of the long range van der Waals forces^{3,12,18}. In terms of resolution, the advantages of removing the large contact areas related to long range forces have been demonstrated both in ultra-high vacuum¹⁹, by placing atoms that interact covalently rather than ionically, and in liquid environments^{20,21}, since London dispersion forces are negligible in water as compared to air.

More recently, different schemes have been implemented in multi-frequency (MF) AFM that can provide a variety of imaging channels and can probe material properties of the sample²²⁻²⁴. MF AFM approaches often require specialized architectures and hardware to detect higher harmonics or to excite higher modes. Harmonic excitation however is strongly coupled to non-linearities and these provide detailed information about the tip-sample forces²⁵. Still, the excitation of higher harmonics requires tip-sample interactions that might be beyond what soft biological samples might withstand²⁶. From this point of view, understanding and optimizing fundamental mode AM AFM imaging is important in hydrating and liquid environments^{26, 27}. In this work, we use cantilevers with a relatively high Q factor (~ 500) and intermediate stiffness ($k \sim 40 \text{ N/m}$) where excitation of higher frequencies is suppressed and undetectable, using small free amplitudes ($\sim 1-5 \text{ nm}$).

Here, a path in ambient AM AFM is found that smoothly brings the tip through the water layer at very close tip-surface proximity where images are acquired with sub-nanometer oscillation amplitude. Typically^{11, 28}, in order to enable the tip to interact via the short-range repulsive forces from which high resolution originates^{18, 19, 25} large amplitudes (energies) were used to break through the water layer and overcome the prevalent capillary interactions. We report however, that provided the tip is sharp enough ($R < 5 \text{ nm}$), the tip can reach the surface at once and smoothly, i.e. avoiding bi-stability, with free amplitudes ($A_0 < 5 \text{ nm}$) an order of magnitude smaller than those in standard repulsive mode ($A_0 \sim 20-50 \text{ nm}$)^{11, 29-31}. In this way, stable imaging in the repulsive regime with small oscillation and free amplitudes can be achieved. SASS operates close to the zero (net) sample force. This implies that the average force per cycle is zero or close to zero and, since the oscillation amplitudes are of the order of 1 \AA and the free amplitudes minimized, peak repulsive forces are also minimized²⁶.

The energy dissipated per cycle in SASS ($\sim 1\text{eV}$) is an order of magnitude smaller than that of standard ($\sim 10\text{-}10^2\text{ eV}$) repulsive imaging. That is, the imaging conditions in SASS are optimal for high resolution imaging of soft matter since conservative and dissipative forces in SASS originate from the more localized repulsive interactions¹⁸, while peak forces and energy dissipation are minimized.



Consider a standard experimental amplitude curve (black line) obtained as a function of cantilever separation z_c with a standard AC160TS (OLYMPUS) cantilever on a mica sample (Figure 1a). Note that while the free or unperturbed amplitude A_0 is slightly less than 5nm, a

region of negative slope can be observed when $z_c \ll A_0$ (at $\sim z_c = 2 \text{ nm}$ in this case). For simplicity z_c has been arbitrarily set to 0 nm for the smallest value of A. This region of negative slope in A smoothly connects the two regions of positive slope in A where AM AFM can be stably operated. The region of negative slope simply brings the tip approximately 2 nm closer to the surface, relative to the first region of positive slope in A or the standard attractive regime of operation³¹, for values of oscillation amplitude as small as $A < 1 \text{ nm}$. This statement can be validated experimentally by monitoring the corresponding minimum distance of approach per cycle d_{\min} . Neglecting higher harmonics, $d_{\min} = z_c - A$ (grey line). Note that by monitoring d_{\min} it is observed that the negative slope in A serves the purpose of smoothly decreasing d_{\min} in that region (Figure 1a). That is, the negative region of slope in A smoothly drives the tip very close to the surface, past the long range forces, to where the more localized repulsive and dissipative forces are found¹⁸. It can also be readily observed in Figure 1a that, after the negative region in slope in A, d_{\min} slightly and also smoothly increases by fractions of nm with decreasing A. That is, the absolute minimum in d_{\min} coincides with local maximum in A. The slight increase in d_{\min} past the local minima is responsible for minimizing the tip-sample deformation, peak forces and energy past the region of negative slope in A. Practically, this is achieved in SASS by decreasing the oscillation amplitude below 1 nm past the region of negative slope, or local maxima in A, while keeping A_0 constant as is common in AM AFM. Experimentally, the physics just described can be demonstrated by employing the Sader-Katan formalism^{32, 33} (1) to reconstruct the conservative part of the force F_{ts}^* and the standard energy dissipation expression³⁴ (2) to reconstruct the energy dissipation per cycle E_{dis}^* . Asterisks imply normalizing with the use of the absolute in minima in F_{ts} - or adhesion force F_{AD} - and maxima in E_{dis} - or $E_{\text{dis}(M)}$ - respectively. For the example in Figure 1, $F_{AD} \approx -1.5 \text{ nN}$ and $E_{\text{dis}(M)}$

≈ 9 eV. Furthermore, for simplicity, d_{\min} is identified with d throughout. Ω is the normalized frequency shift³³.

$$F_{ts}^*(d) = \frac{2k}{|F_{AD}|} \int_{u=d}^{u=\infty} \left[\left(1 + \frac{A}{8\sqrt{\pi(u-d)}} \right) \Omega(u) - \frac{A^{3/2}}{\sqrt{2(u-d)}} \frac{d\Omega(u)}{du} \right] du \quad (1)$$

$$E_{dis}^* = \frac{\pi k A_0 A}{E_{dis}(M)Q} \left[\sin(\Phi) - \frac{A}{A_0} \right] \quad (2)$$

The above expressions have been solved numerically with Matlab³⁵. The force reconstruction in Figure 1b (dashed blue lines) can be used to describe the interesting physical phenomena related to the region of negative slope in A and what occurs past this region. Note that the distance d has been arbitrarily set to 0 nm when $F_{ts}=F_{AD}$ as discussed below. As stated, past the local maxima in A (Figure 1a) the minimum distance of approach d increases by a few Å with decreasing amplitude A . That is, the repulsive peak forces²⁶ and sample deformation decrease (\sim Å) in SASS as observed in Figure 1b. Note that for the smaller values of A , the peak forces lie around the 0 net force region, or below the 0 net region³⁶, i.e. SASS mode for F_{ts}^* (circle) (Figure 1b). For the same values of A , the energy dissipated E_{dis}^* (black lines) also decreases for two reasons: 1) because of the increase in d and 2) because of the decrease in tip velocity with decreasing A , i.e. SASS mode for E_{dis}^* (circle). The net effect is the reduction of peak forces, sample deformation and energy dissipation in the SASS mode with decreasing A . The meaning of the value $d=0$ nm in Figure 1b is discussed next.

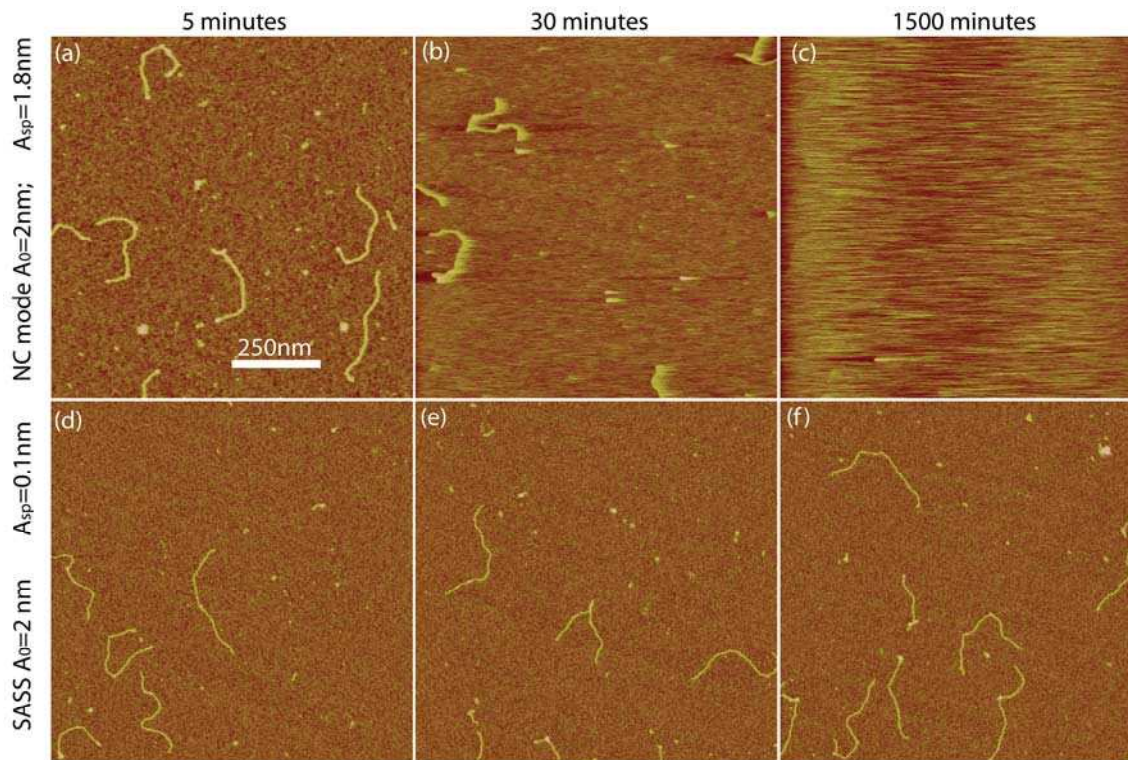
In Figure 1b $d=0$ has been set when minima, i.e. F_{AD} , in force occurs. Also note that Figure 1b indicates that SASS might be operating with the tip inside the water layer (Figs. 1S-3S)³⁶. This can be deduced from the fact that there are two distinct negative slopes in F_{ts}^* for $d<0$.

The region of larger slope can be identified with the true surface. The region of negative slope in F_{ts}^* where $-1.5 < d < 0$ nm (approx.) could then be identified with the region where water layers from tip and sample overlap^{11, 37}. Very recent work suggests that this interpretation and phenomena is also observed in frequency modulation AFM³⁸. Imaging inside these nanometric water layers could thus provide a protective effect to the tip and the surface and may be a consequence of lubricity in the nanoscale contact. The tip size in this work was independently determined using a recent *in situ* tip sizing method which uses the onset of bi-stability¹⁴. The low-wear involved with imaging in the SASS mode, and possibly related to imaging inside the water layers, is discussed later in Figure 2 experimentally. Suffice it to say however that such low wear imaging mode might have implications in other fields such as data storage³⁹.

In the context of soft matter imaging, the water layers on the hydrophilic mica surface also ensure that the DNA retains sufficient hydration to remain in the B-form helix. Arguably, imaging single DNA molecules is also a bench mark for high resolution imaging of soft matter with the AFM⁴⁰. Fig. 1c-d shows a comparison of the resolution for a region of an individual double-stranded DNA molecule on a mica surface in NC mode (Figure 1c) and in SASS mode (Figure 1d). In Figure 1c (NC mode) the outline of the molecule is observed but sub-molecular features are not readily resolved. With the SASS mode however³⁶ (Figure 1d) the right-handed helix of the DNA is resolved and compared to a molecular model of B-form DNA at the same magnification. The parameters in the figure were $A_0 \approx 3$ nm and $A \approx 2.5$ nm (NC mode) and $A \approx 0.1$ nm (SASS mode). Another example and a similar comparison is provided in Figures 1e-h. While SASS can resolve the DNA helical periodicity and correct chirality to a high degree of precision, it is as yet not possible to routinely resolve the double helix along its entire length. This is principally for two reasons, 1) current sample preparation

procedures for ambient tend to leave salt deposits over parts of the molecules and 2) that commercial silicon tips might, even is slightly, i.e. ~ 1 nm, vary in sharpness. Moreover, ingenuity by the user is still required in SASS since even small, i.e. pm, variations in A and A_0 will result in similar variations in d_{\min} that control resolution for a given tip radius.

SASS also conveys another important advantage over typical AM modes: it is very insensitive to changes in the cantilever resonance due to thermal or other effects. This is demonstrated in Figure 2 which compares NC mode with SASS mode. The NC mode (Figure 2a), is in the small amplitude regime ($A_0 = 2$ nm) and after 30 minutes or less the tip is no longer tracking the surface. In SASS however, the tip can scan across the surface for ≈ 24 hours with no loss of resolution or loss of surface tracking. Monitoring the tip in situ¹⁴ while performing the experiments further showed that there was negligible tip damage after acquiring the images in Figure 2. As a final note, the physics behind the requirement of sharp tips for SASS imaging, i.e. with sub-nanometer oscillation amplitudes and in the proximity of the surface, might relate to the increase in tip-sample forces with increasing tip radius R for a given tip-sample distance. That is, at a given distance the tip-sample forces escalate with R . Thus, if sufficient energy is not provided by the driving force (free amplitude in AM AFM) and sufficient energy is not stored in the cantilever (related to oscillation amplitude in AM AFM) the dynamics of the cantilever cannot be controlled by the feedback system and SASS fails. We have in fact observed (data not shown) that the sharper tips allow employing SASS with the smallest values of oscillation and free amplitude.



In summary, for SASS the tip oscillates in the region close to the surface where resolution is maximized, while tip-sample deformation, peak forces and energy dissipation is minimized by approximately an order of magnitude relative to standard repulsive mode. Recall that the area of interaction due to van der Waals forces rapidly increases with separation^{18, 19}. A region of relatively large tip-sample distances is where NC mode operates. If the distance is decreased to the point where deformation occurs, initially the short range forces decrease the interaction area but further decreasing the distance results in deformations for which the effective area of interaction increases again. This is where standard repulsive or IC mode imaging operates. There is thus a location that lies in between these two where a high

resolution instrument should operate. This is in fact the location which we show SASS operates, although the actual resolution obtained depends on the tip size, the local sample conditions and slight variations in d_{\min} controlled by A and A_0 . In addition to the small force and energy interactions, in ambient conditions the SASS region might further coincide with the overlapping of the nanometric tip-sample water layers apparently largely increasing its low tip-wear capabilities. Low tip-wear has implications in all fields of metrology by SPM including data-storage, as well as high resolution imaging of soft matter.

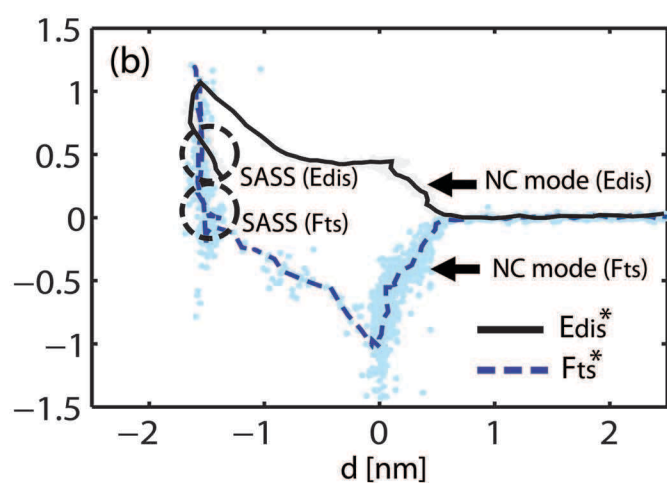
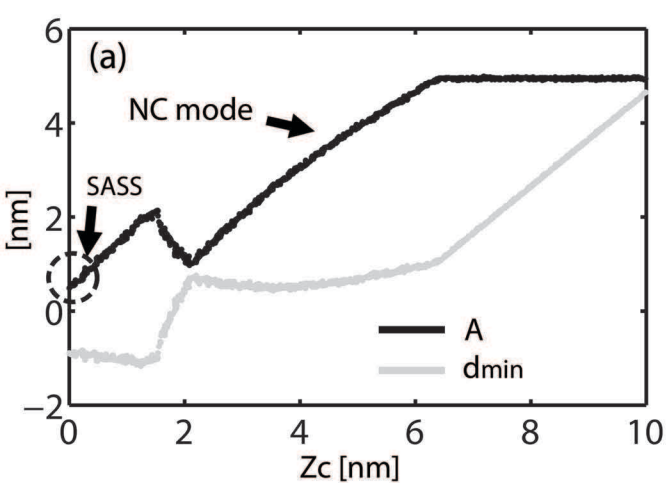
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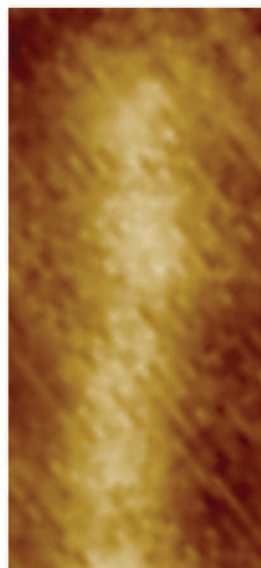
Figure 1. Experimental a) amplitude A (back lines) and minimum distance of approach d_{\min} (grey lines) and b) normalized tip-sample force F_{ts}^* (dashed blue lines) and energy dissipation E_{dis}^* (black lines) as a function of cantilever separation z_c and distance d respectively. c-h) Experimental examples of images of dsDNA on mica obtained in the NC and SASS modes respectively. Higher resolution is obtained in the SASS mode provided the tip is sharp enough. Here $R < 5\text{nm}$.

Figure 2. Experimental example of images of dsDNA on mica obtained in the a-c) NC and d-f) SAAS modes respectively. Only the SASS mode allows stable imaging for 25 hours without signs of tip

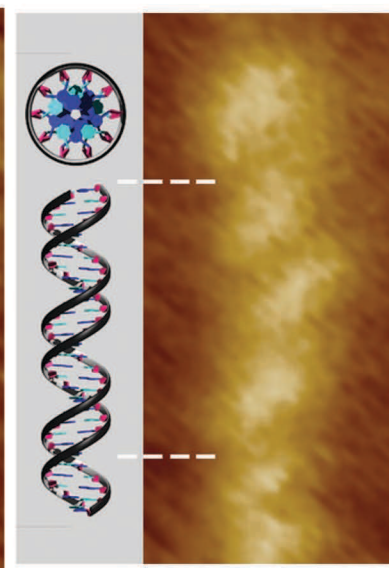
wear or problems related to thermal drift of the cantilever resonance curve. The total distance that has been travelled by the tip in (f) without tip wear is 36 cm.



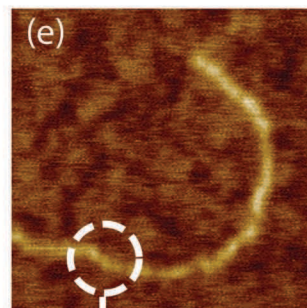
(c) A NC mode



(d) SASS mode



NC mode



SASS mode

