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# Modelling Harmonic Generation Measurements in Solids

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## Abstract

Harmonic generation measurements typically make use of the plane wave result when extracting values for the nonlinearity parameter,  $\beta$ , from experimental measurements. This approach, however, ignores the effects of diffraction, attenuation, and receiver integration which are common features in a typical experiment. Our aim is to determine the importance of these effects when making measurements of  $\beta$  over different sample dimensions, or using different input frequencies. We describe a three-dimensional numerical model designed to accurately predict the results of a typical experiment, based on a quasi-linear assumption. An experiment is designed to measure the axial variation of the fundamental and second harmonic amplitude components in an ultrasonic beam, and the results are compared with those predicted by the model. The absolute  $\beta$  values are then extracted from the experimental data using both the simulation and the standard plane wave result. A difference is observed between the values returned by the two methods, which varies with axial range and input frequency.

*Keywords:* Harmonic generation, Sound beam, Aluminium

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## 1. Introduction

2     Effective damage detection methods are of vital importance to the ageing power plants used  
3     in the nuclear industry. Nonlinear ultrasonics represent a means of monitoring damage in metallic  
4     components which are routinely subject to demanding operating conditions. Under such condi-  
5     tions, metals are known to undergo fatigue mechanisms which lead, through the accumulation  
6     of dislocations, to microcrack initiation, and ultimately terminal cracking. In the early stages,

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7 before any cracks or voids have materialised, there are nonetheless changes in the bulk prop-  
 8 erties of the material. One such property is the nonlinear response of the material, which is a  
 9 quantity related to the third-order elastic constants. Through the use of nonlinear ultrasonics, we  
 10 are able to measure changes in a material's nonlinear response, and therefore track the onset of  
 11 early-stage damage.

12 The nonlinear harmonic generation technique makes use of the acoustic nonlinear parameter,  
 13  $\beta$ , which is related to the third-order elastic constants of the material as follows (Beyer, 1998)  
 14 [1]:

$$\beta = -\left(\frac{3}{2} + \frac{\mathcal{A} + 3\mathcal{B} + \mathcal{C}}{\rho_0 c_l^2}\right) \quad (1)$$

15 where  $\rho_0$  is the equilibrium density of the solid,  $c_l$  is the longitudinal sound speed, and  $\mathcal{A}$ ,  $\mathcal{B}$ ,  
 16  $\mathcal{C}$  are the third order elastic constants of Landau and Lifshitz [2]. Note that this value of  $\beta$  is a  
 17 factor of two smaller than the version often quoted (e.g. [3, 4, 5]) for solids, a fact also recently  
 18 noted by Pantea et al. [6]. Eq. (1) is shown to be consistent with the nonlinear parameter for  
 19 fluids when the equivalent constants are used [1], and it is the definition of  $\beta$  used throughout this  
 20 paper.

21 Currently, most practical attempts to measure the nonlinearity of solids, e.g. [7, 8, 9, 10],  
 22 have made use of the plane wave theory of nonlinear elasticity to derive a means of calculating  
 23  $\beta$  from experimental measurements. The resulting expression is that derived by Zarembko and  
 24 Krasil'nikov (1971) [3]:

$$\beta = \frac{4 A_2}{k^2 x A_1^2} \quad (2)$$

25 Here a single-frequency continuous excitation at the source is assumed, with wave number  $k$ .  $A_1$   
 26 and  $A_2$  represent the displacement amplitudes of the first and second harmonic components of  
 27 the captured signal, and  $x$  is the propagation distance. In the case of non-zero attenuation in the  
 28 material, Eq. (2) is modified to:

$$\beta = \frac{8\alpha}{k^2(1 - e^{-2\alpha x})} \frac{A_2}{A_1^2} \quad (3)$$

29 where  $\alpha$  is the attenuation value at the fundamental frequency. Note that Eq. (3) assumes a  
 30 thermoviscous damping law, whereby the attenuation value at the second harmonic is four times

31 that at the fundamental frequency. Liu et al. [11] recently made use of this result to measure  
32 nonlinearity in a fatigued aluminium specimen, and also applied a correction for a windowed  
33 excitation.

34 The issue with using Eqs. (2) and (3) to measure  $\beta$  is that they are based on a plane wave  
35 assumption, and do not fully account for the behaviour of the acoustic field. Considering a  
36 typical experimental set up, a transmitting device is normally required to generate an ultrasonic  
37 signal in the specimen. This is often a circular transducer coupled to the surface of the specimen,  
38 and at the frequencies generally used for ultrasonic measurements (1-50MHz), the acoustic field  
39 emitted from such a source is likely to exhibit diffraction. This produces complex pressure  
40 patterns in the acoustic field, which vary with transducer size, input frequency, and propagation  
41 distance. An additional consideration is the receiving transducer used for a measurement. In the  
42 case of a non-planar incident field, the received signal is an average over the finite area of the  
43 receiver. Depending on the receiver size, therefore, the averaged amplitude can differ greatly to  
44 that which would be measured by a point receiver; that is, the actual physical amplitude. Under  
45 these circumstances, it is therefore questionable whether either of Eqs. (2) and (3) can be used  
46 to make accurate measurements of absolute  $\beta$ . This may also apply to the case in which relative  
47 measurements of  $\beta$  are required, but using different transducer sizes, input frequencies, or sample  
48 dimensions.

49 These issues have received limited attention in the literature. Earlier, Blackburn & Breazeale  
50 [12] corrected for the combined effects of field diffraction and receiver integration when mak-  
51 ing nonlinearity measurements in small samples. This combined correction, referred to as the  
52 diffraction correction, was derived by Rogers & Van Buren [13] by calculating the integrated  
53 amplitude of the linear field over the receiving transducer surface. However, this could only  
54 be accurately applied to the fundamental amplitudes, leaving the second harmonic amplitudes  
55 uncorrected. A further instance of correcting for diffraction is the work by Hurley & Fortunko  
56 [4], who used a similar correction to Rogers & Van Buren for the linear field, and included an  
57 additional approximate correction for the second harmonic. More recently, in the field of fluids,  
58 both Labat et al. [14] and Chavrier et al. [15] used numerical models of sound beam propagation  
59 to make nonlinearity measurements. In doing this, the model parameters were matched to those  
60 of the experiment, and the predicted trends were scaled to match the experimental results.

61 In this paper we develop a simulation intended to capture all of the variables associated with a

62 typical harmonic generation measurement. These are the nonlinearity, diffraction and attenuation  
63 in the sound beam, as well as the integration of the receiver. We describe a sound beam model  
64 based on a quasi-linear assumption, which is similar to a solution of the Khokhlov-Zabolotskaya-  
65 Kuznetsov (KZK) equation [16]. The model is then augmented with a formula to calculate the  
66 receiver integration. Our overall aim is to determine the importance of the combined effects  
67 when measuring nonlinearity, when compared to the standard plane wave measurement. We  
68 devise an experiment to measure the axial variation of the fundamental and second harmonic in  
69 the vicinity of a real source, and compare the trends with those predicted by the simulation. The  
70 simulated results are then used to extract absolute  $\beta$  from the experimental data, which enables a  
71 comparison to be made with the corresponding values derived using the plane wave result.

## 72 2. Numerical model

73 The metallic materials of interest in non-destructive evaluation are known to exhibit low lev-  
74 els of nonlinearity. A typical harmonic generation measurement, for example, may show second  
75 harmonic signals which are up to three orders of magnitude smaller than the fundamentals. In  
76 these physical circumstances, it is valid to employ the quasi-linear approximation for modelling,  
77 which deals with nonlinearity using a perturbation approach. The fundamental response is cap-  
78 tured by linear analysis, the second harmonics then satisfy the nonlinear wave equation when  
79 the linear terms are used as a forcing. The physical mechanism of nonlinear generation in the  
80 quasi-linear approximation is treated as the emission of a second-order wave from each point  
81 in the domain of linear wave propagation. This is visualised as a field of virtual sources, the  
82 amplitude of each source being proportional to the square of the local first-order amplitude. To  
83 calculate the second-order field at a given point in a sound beam therefore requires integration  
84 over all sources in the three dimensional space. An early mathematical expression of this was  
85 reported by Ingenito and Williams (1971) [17]:

$$u_2(x, y, z) = C \int_0^Z \int_{-Y}^Y \int_{-X}^X u_1^2(x', y', z') G(x, y, z | x', y', z') dx' dy' dz' \quad (4)$$

86 Here,  $u_2(x, y, z)$  is the second-order velocity amplitude at a point with Cartesian position co-  
87 ordinates with respect to the centre of the source,  $z$  being the direction of propagation.  $u_1(x', y', z')$   
88 is the local linear amplitude associated with a virtual source, which has volume  $dx' dy' dz'$ . The

89  $G(x, y, z|x', y', z')$  terms are the Green's functions which describe the propagation from the virtual  
 90 source to the target point:

$$G = (1/R) \exp(2ikR - \alpha_2 R) \quad (5)$$

91 where  $\alpha_2$  is the attenuation coefficient at the second harmonic frequency, and

$$R = [(x - x')^2 + (y - y')^2 + (z - z')^2]^{(1/2)} \quad (6)$$

92 is the distance from the virtual source to the target. The constant  $C$  in Eq. (4) is determined  
 93 by swapping the fluid nonlinear parameter given in [17] for that associated with solids, giving  
 94  $C = k^2\beta/(2\pi c)$ . Note that this switch treats longitudinal wave propagation in solids as being  
 95 similar to that in liquids; that is, it ignores any mode conversion in the solid. This is a rea-  
 96 sonable assumption for a directional sound beam in which the energy is localised close to the  
 97 axis of propagation [18], and enables us to convert between longitudinal particle velocities ( $\mathbf{u}$ ),  
 98 and displacements ( $\mathbf{U}$ ) as  $\mathbf{u} = \partial\mathbf{U}/\partial t$ . The values  $X$ ,  $Y$  and  $Z$  in Eq. (4) are the imposed in-  
 99 tegration limits. Finally, it is worth noting that the original derivation of Eq. (4) [17] used an  
 100 inhomogeneous form of the Helmholtz equation, the solution of which was simplified using the  
 101 assumption of  $ka \gg 1$ . This is equivalent to invoking the parabolic approximation, which was  
 102 used to derive the well-known KZK equation [19]. Although the two formulations are similar to  
 103 a greater extent, we use the framework described above as it affords us two advantages. Firstly,  
 104 it is expressed in three dimensions, as opposed to the two cylindrical coordinates of the KZK  
 105 scheme, and therefore affords us greater freedom. Secondly, it makes use of an exact solution to  
 106 the linear Helmholtz equation, which is valid for all axial ranges. The first order KZK solution,  
 107 on the other hand, is not valid for small axial distances  $z \lesssim a(ka)^{1/3}$  [20].

### 108 2.1. Receiver Correction

109 Here we describe the correction associated with the integrated response of the receiving trans-  
 110 ducer. This is the effect previously referred to by Rogers [13] as the diffraction correction, though  
 111 here we term it the receiver correction. An exact integral expression exists for the receiver cor-  
 112 rection associated with the linear field when both transmitting and receiving transducers are the  
 113 same diameter - see Williams (1950) [21]. Based on this, Rogers & Van Buren [13] developed a  
 114 closed form solution, valid for  $(ka)^{1/2} \gg 1$ , which was later used by Blackburn & Breazeale [12].

115 This correction applied only to the fundamental amplitudes. An approximate analytic expression  
 116 for the correction to the second harmonic amplitudes was presented by Ingenito & Williams [17],  
 117 and subsequently used by Cobb [22] and Hurley & Fortunko [4].

118 Here, in order to retain accuracy as far as possible, the receiver correction for the axial second  
 119 harmonic value is calculated numerically. This is done by computing the transverse amplitude  
 120 profile associated with the radius of the receiving transducer, integrating over a circle, and then  
 121 normalising by the area of the circle. This corresponds to the following expression:

$$u_2(0, z) = \frac{1}{\pi b^2} \int_0^b u_2(r, z) 2\pi r dr \quad (7)$$

122 where  $b$  is the radius of the receiving transducer, and  $u_2(r, z)$  is computed using equation (4),  
 123 where  $r = \sqrt{x^2 + y^2}$ .

## 124 2.2. Implementation

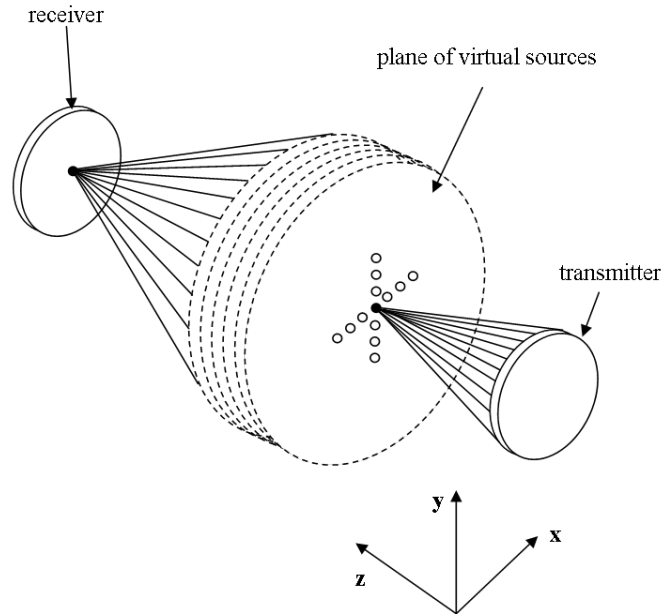


Figure 1: Schematic showing the numerical calculation process. Linear field amplitudes are calculated for each virtual source point  $(x', y', z')$  in a circular plane, then squared and propagated on to the target point  $(x, y, z)$ . This is repeated for all planes parallel to the transducer plane ( $z = 0$ ).

125 Previously, Ingenito & Williams [17] carried out further theoretical analysis, based on their

126 version of Eq. (4) which was limited to a few special cases. Here the focus is to solve Eq. (4)  
127 in as general a manner as possible. To this end, a computer code was written in MATLAB to  
128 perform the triple integration numerically. A schematic illustrating the computational process is  
129 shown in Fig. 1.

130 The linear field at any point in the space,  $u_1(x', y', z')$ , was calculated exactly by using the  
131 Rayleigh-Sommerfeld diffraction integral; here we used the algorithm of Zemanek [23] to solve  
132 this, adapting it slightly to include a linear attenuation coefficient. This was carried out for  
133 all points in a circular slice of the region parallel to the transducer plane. These first-order  
134 amplitudes were then squared and, using the appropriate Green's functions, propagated on to the  
135 target point. This was then repeated for all slices of the region, and the contributions from all  
136 slices were summed. Note that only forward travelling nonlinear contributions were included.  
137 That is to say, the virtual sources are assumed only to radiate second harmonic waves in the  
138 forwards direction, or the direction of wave propagation. This enabled the axial limit of the  
139 integration region to be set equal to the axial distance of the point of interest,  $Z = z$ , in Eq. (4).  
140 Neglecting backscattering in this way is generally thought to be a reasonable assumption, see [17]  
141 for a discussion on the matter. Within the parabolic approximation, or assumption of large  $ka$ ,  
142 the linear sound beam is known to be well collimated up to approximately the Rayleigh distance,  
143  $r_0 = (1/2)ka^2$ , beyond which it diverges spherically. The radial limits on the integration region  
144 were therefore imposed as follows:  $\sqrt{X^2 + Y^2} = a$  for  $z < z_0$ ;  $\sqrt{X^2 + Y^2} = z \tan \theta_b$  for  $z > z_0$ ,  
145 where  $\theta_b = \tan^{-1}(a/z_0)$  is the approximate beam angle in the far field.

146 Fig. 2 shows the results of an example simulation. The axial displacement amplitude profiles  
147 in a sound beam are calculated with a continuous source excitation of  $U_0 = 10^{-9}$ m (a typical  
148 ultrasonic excitation level),  $ka = 40$ , and  $\beta = 5$ . The dashed lines show the effect of integration  
149 over a 3mm radius receiver. Note that the receiver tends to smooth much of the oscillatory  
150 behaviour in the near field. The trends converge in the far field where the wave fronts become  
151 more uniform.

### 152 3. Experimental Validation

153 As a practical test of the model, an experiment was devised to measure the axial variation  
154 of fundamental and second harmonic amplitudes in a real sound beam. This involved taking a  
155 series of through-transmission measurements on a single sample whilst reducing its length in



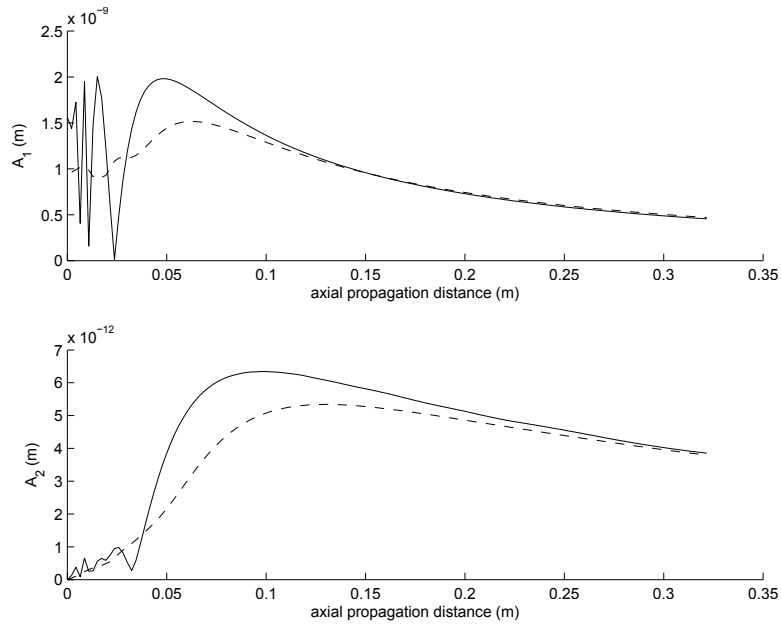


Figure 2: Simulated axial fundamental (top panel) and second harmonic (lower panel) displacement amplitude profiles. Point value trends are shown as solid lines, receiver-corrected trends are shown as dashed lines.

156 small decrements. A block diagram for the setup is shown in Fig. 3.

157 The test sample was a cylindrical block of aluminium alloy Al-2011-T3 of length 252mm  
 158 and radius 44mm. A 16mm diameter PCM41 1.1MHz piezoceramic disc (EP Electronic Com-  
 159 ponents) was bonded using a high strength adhesive to the centre of one end of the sample.  
 160 Hann-windowed 30-cycle tone burst signals of 3.67MHz, 6.10MHz, and 8.51MHz were gen-  
 161 erated using a handyscope digital oscilloscope (Tiepie Engineering) and transmitted into the  
 162 sample via a power amplifier (Amplifier Research, 75A250, 75 Watts). The transmitted signals  
 163 were recorded at the opposite side of the sample using either a 5- or 10-Mhz wide band receiving  
 164 probe (Panametrics V310 / V312, 6mm diameter), and fed back to the handyscope for signal  
 165 processing. After a series of five repeat measurements between which the probe was removed  
 166 and the surfaces cleaned, a thin (20mm) slice was sawn from the detection end of the sample,  
 167 and the resulting surface machined to ensure a smooth finish perpendicular to the sides. The  
 168 measurement process was then repeated. In total, signals were recorded at 12 distances from the  
 169 source.

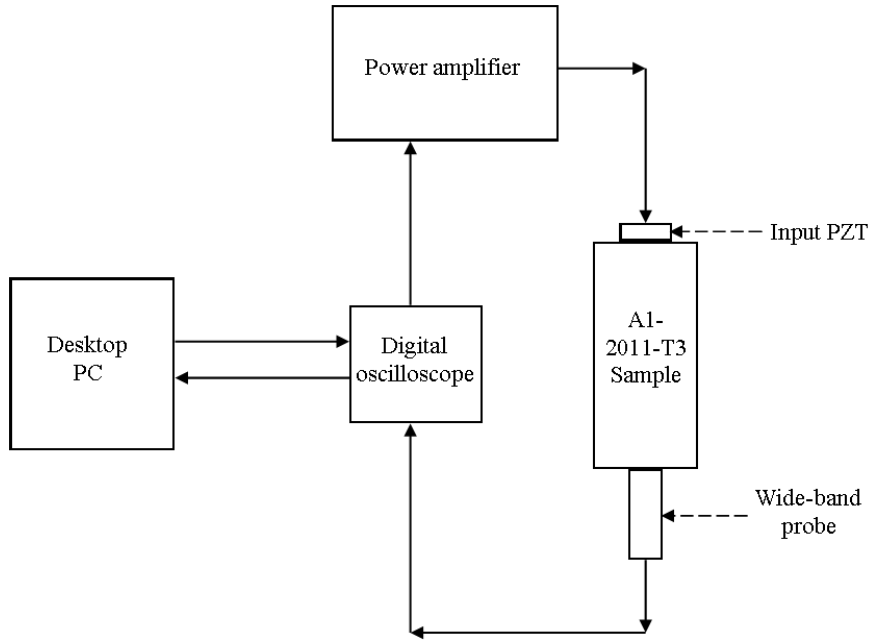


Figure 3: Block diagram for the experimental set up.

### 170 3.1. Optimisation

171 Making nonlinear measurements can be difficult to do reliably. This is particularly the case  
 172 with solids, as very low levels of nonlinearity and transducer coupling issues can lead to large  
 173 variability in the results. Therefore, before taking measurements, certain optimisation steps were  
 174 taken to ensure as much reliability as possible.

175 A major consideration was minimisation of any nonlinearity at the transmitting source. In an  
 176 ideal case, a harmonic generation experiment will conform to the boundary condition  $u_2(r, 0) =$   
 177  $0$ . That is to say, the second harmonic displacement at the source is zero. In reality, however,  
 178 small amounts of signal distortion may occur along the path to the sample at various stages.  
 179 Transmission of this spurious nonlinearity could therefore compromise the results. To minimise  
 180 this effect, two steps were taken. Firstly, the amplifier gain was varied whilst monitoring the  
 181 nonlinearity,  $(A_2/A_1^2)$ , of its output directly. By minimising this value, the effective output non-  
 182 linearity of the amplifier was reduced. Secondly, the fundamental input frequencies were selected  
 183 such that the second harmonics coincided with troughs in the PZT's natural frequency response.  
 184 This 'natural filter' effect is described in more detail by Yan et al. [5].

185 The next optimisation steps were concerned with the receiving probe, which was coupled to  
186 the specimen using a small amount of commercial coupling gel. It was important to ensure that  
187 the probe was aligned axially with the centre of the input PZT. This was achieved by transmitting  
188 a continuous stream of pulses into the sample, whilst calculating the peak fundamental ampli-  
189 tudes of the signals captured by the probe. By displaying the results in real time, the probe's  
190 lateral position could be adjusted to correspond with the field peak. Once in position, a small  
191 amount of pressure was applied to the probe to ensure good contact to the specimen surface and  
192 minimise the effects of any inhomogeneities in the coupling gel. This receiver coupling process  
193 was carried out as carefully as possible, but was inevitably a cause of some degree of variabil-  
194 ity in the results. A total of five repeat measurements were therefore taken at every distance to  
195 establish this variability.

### 196 *3.2. Amplitude extraction*

197 Certain processing steps were required to extract the amplitudes of the fundamental and sec-  
198 ond harmonic components,  $A_1$  and  $A_2$ , from the digitally recorded raw received signal data.  
199 Initially, two digital band pass filters were applied to the raw data to separate the linear and non-  
200 linear signals. The signals were then windowed so as to capture their full length, including the  
201 ringing which resulted from exciting the input PZT near a resonance peak. A Fast Fourier Trans-  
202 form (FFT) was then applied to the windowed signals, and the amplitudes were interpolated from  
203 the frequency spectrum. In order to account for the shape of the ringing signal, the measured am-  
204 plitude was scaled by dividing by the mean of the normalised signal envelope (calculated using  
205 the Hilbert transform). This correction process is explained and detailed by Liu et al. [11].

### 206 *3.3. Receiver calibration*

207 The wide band receivers used returned an electrical signal time trace in volts. For the purpose  
208 of calculating absolute  $\beta$ , however, the signals were required in the form of amplitude displace-  
209 ments. Although a physical formula exists for calibrating a piezoelectric device in this way (see  
210 Dace et al., 1991 [24]), it requires specific knowledge of many parameters which were difficult  
211 to measure. Therefore, for the purposes of this paper, the calibration was carried out by taking a  
212 series of ultrasonic measurements using the probes, then measuring the same signals using a laser  
213 interferometer (Polytec, OFV-505). This enabled a standard conversion from volts to metres at  
214 the frequencies of interest. There was, in general, a degree of uncertainty in using this calibration

215 method, but here we are not so interested in the precise value of  $\beta$  measured, as in the effect of  
 216 the method used to extract it. The calibration values are therefore only of secondary concern.

217 **4. Results**

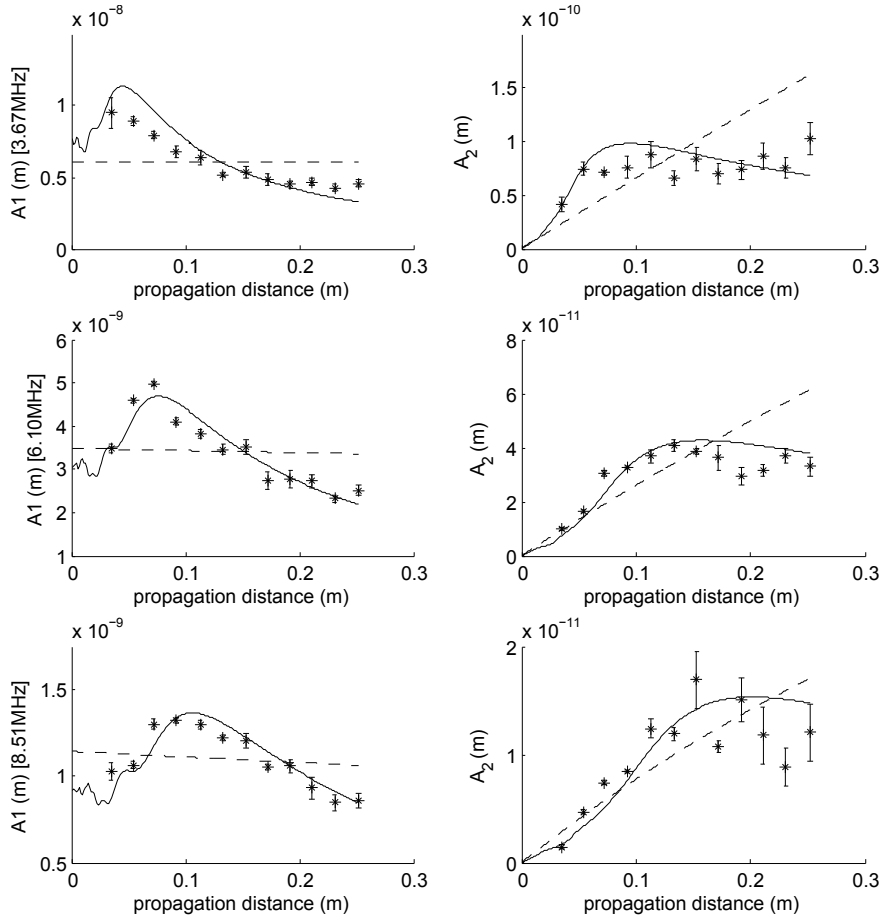


Figure 4: Axial amplitude profiles: experiment (starred points) vs simulation (solid lines) and plane wave prediction (dashed lines). Error bars on the experimental data represent the standard deviation of five repeat measurements

218 Fig. 4 shows a comparison of the experimental data with theoretical trends generated using  
 219 the simulation described in Section 2. Also included are trends calculated based on the plane  
 220 wave theory. The left hand panels show the variation of the fundamental amplitudes at the three  
 221 input frequencies used, while the right hand panels show that of the corresponding second har-  
 222 monic amplitudes.

223 *4.1. Theoretical trends*

224 Both sets of theoretical trends were calculated by matching the model parameters with those  
225 known from the experiment. However, one parameter which was not known, and which could  
226 not easily be measured, was the attenuation coefficient,  $\alpha$ . As a best estimate, we took its value  
227 to be  $0.4\text{Npm}^{-1}$  at a frequency of 10MHz in accordance with Ref. [25], and adopted a simple  
228 viscous damping law, such that:

$$\alpha_f = 0.4 \left( \frac{f}{10} \right)^2 \quad (8)$$

229 where  $\alpha_f$  is the is the attenuation coefficient at frequency  $f$  (in MHz). This was subsequently  
230 used in all theoretical calculations; we discuss the importance of the precise attenuation values  
231 in due course.

232 *4.1.1. Plane wave trends*

233 The plane wave trends, shown as the dashed lines in Fig. 4, were calculated using the damped  
234 expressions for  $A_1$  and  $A_2$  corresponding to Eq. 3 [18]:

$$|A_1(z)| = u_0 e^{-\alpha z}; \quad |A_2(z)| = \frac{k^2 u_0^2 \beta}{8\alpha} (e^{-2\alpha z} - e^{-4\alpha z}) \quad (9)$$

235 where  $z$  is the axial propagation distance, and  $u_0$  is the linear displacement amplitude of the  
236 transmitter. Note that this was not known, but for the purposes of the trends shown, it was  
237 calculated so as to provide a mean best fit to the experimental data. The  $A_2$  plane wave trends  
238 use the mean value of  $\beta$ , found by using Eq. 3 with all experimental data points. It is noted  
239 that due to the low damping values, both sets of  $A_1$  and  $A_2$  plane wave trends show only a slight  
240 curvature with respect to the would-be horizontal and linearly increasing lines expected for zero  
241 damping.

242 *4.1.2. Simulated trends*

243 For the simulated trends (solid lines in Fig. 4), the curve-fitting approach was much the  
244 same, in that the mean best fit to the experimental data was sought. The simulation was run with  
245 a fundamental input amplitude of 1, then the ratio of the observed and predicted  $A_1$  values was  
246 calculated. This gave a theoretical source amplitude corresponding to each experimental data  
247 point at distance  $z$ :

$$u_0(z) = \frac{A_{1,exp}(z)}{A_{1,sim}(z)} \quad (10)$$

248 The simulated  $A_1$  profile shown in Fig. 4 is scaled using the mean of all such  $u_0(z)$ . Running  
 249 the simulation with  $u_{0,sim} = 1$  and  $\beta_{sim} = 1$  enabled the experimental absolute  $\beta$  values to be  
 250 calculated as:

$$\beta(z) = \frac{A_{1,sim}^2(z)}{A_{2,sim}(z)} \left( \frac{A_{2,exp}(z)}{A_{1,exp}^2(z)} \right) \quad (11)$$

251 The simulated second harmonic profiles shown in figure (4) are scaled using the mean value of  
 252 all  $\beta(z)$ . All  $\beta$  values calculated in this manner are plotted later as a function of axial distance  
 253 (see Fig. 6).

#### 254 4.1.3. Attenuation value

255 While attenuation in aluminium is known to be low, we now assess the effects of the uncer-  
 256 tainty in the parameter. In Fig. 5, we include receiver-corrected  $A_1$  and  $A_2$  trends, calculated  
 257 for the frequencies shown in Fig. 4, now with damping levels which vary between 0 - 200% of  
 258 those used previously. The  $A_1$  trends are scaled to the un-damped case ( $\alpha = 0$ ), and the  $A_2$  trends  
 259 are scaled by the square of the same factor. It can be seen that in this range of relatively low  
 260 damping values around that used, the  $A_1$  trends are barely separable. The  $A_2$  trends show slightly  
 261 more variation, more so at the higher frequencies, but here the experimental error bars in the data  
 262 shown in Fig. 4 are correspondingly larger. Due to this, altering the damping level is unlikely to  
 263 affect the fit to the experimental data.

#### 264 4.2. Experimental data

265 At this stage, the main observation from Fig. 4 is that the plane wave trends fall short of  
 266 recreating the observed experimental data, while the simulated trends provide a reasonable level  
 267 of agreement. The deviation between simulation and experiment, in particular with regards to  
 268 the second harmonic trends, is most likely due to the inherent difficulties associated with making  
 269 measurements in solids; the measures intended to overcome these were described in section 3.1.  
 270 An interesting comparison can be made here with the work of Cobb (1983) [22], who made  
 271 similar axial pressure measurements, but using fluid nonlinear media.

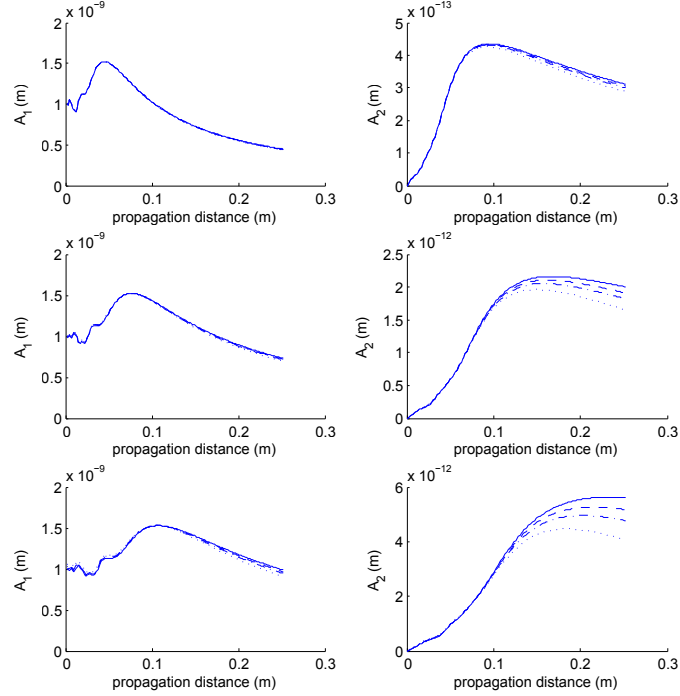


Figure 5: Receiver-corrected axial amplitude trends with varying levels of damping. In each case, the attenuation law follows  $\alpha_f = \alpha_0(f/10\text{MHz})^2$ , where  $\alpha_0 = 0$  (solid lines); 0.2 (dashed lines), 0.4 (dot-dashed lines) and 0.8 (dotted lines).

272 A theoretical consideration is that the input signals were not continuous sinusoids, as is as-  
 273 sumed for the theoretical trends, but were in fact bursts of finite length. Extending the simulation  
 274 to account for this excitation time-variability is possible, but would dramatically increase the  
 275 computation time. As a compromise, the bursts were intentionally generated with a relatively  
 276 large number of cycles to better approximate a continuous wave pressure field. Presumably,  
 277 however, this approximation cannot be ignored as a potential contributing factor to the observed  
 278 discrepancies.

## 279 5. Discussion

280 Fig. 6 shows both the  $\beta'$  (i.e.,  $A_2/A_1^2$ ) values (left hand panels) and absolute  $\beta$  values, ex-  
 281 tracted using the plane wave- and simulation-based approaches, as a function of axial distance  
 282 (right hand panels). The plane wave-derived absolute  $\beta$  values (dashed lines) are calculated using  
 283 Eq. (3); the simulation-derived values (solid lines) as discussed previously, using equation (11).

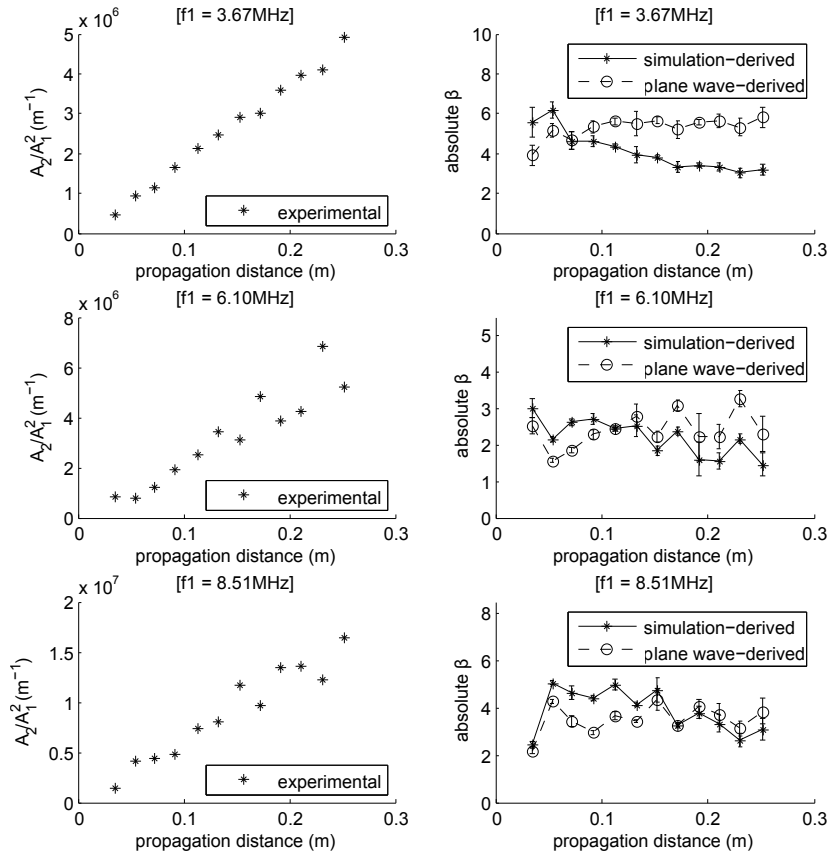


Figure 6: Axial variation of absolute  $\beta$ , calculated using both the simulation and the plane wave theory.

284 Firstly, it is interesting to note that the  $\beta'$  values show a tendency to increase linearly with  
 285 propagation distance. This fact is predicted by the plane wave model, but can also be explained  
 286 by considering the generation and decay mechanisms in three dimensions. It is therefore not  
 287 necessarily indicative of plane wave behaviour. Looking at the extracted absolute  $\beta$  values, it is  
 288 evident that neither method shows more of a tendency to produce a consistent  $\beta$  value than the  
 289 other. However, it is clear from Fig. 4 that the simulation predicts the axial variation of the  $A_1$  and  
 290  $A_2$  trends more accurately than the plane wave model. The fact that no identifiable improvement  
 291 is seen in the consistency of the  $\beta$  values shown in Fig. 6 must therefore be due to the variability  
 292 in the experimental data, which effectively masks the differences between the trends. Under  
 293 closer scrutiny, consistent features can be noticed between the subplots. Specifically, the trends  
 294 return different values in the near field, then coincide briefly, before diverging again.



295 These features can be seen more clearly when we consider the idealised case. That is, one  
296 in which the experimental results conform exactly to the predicted trends of the simulation. To  
297 illustrate this, we use the simulated trends shown in Fig. 4 as a theoretical set of data, and  
298 the plane wave expression is then used to extract the absolute  $\beta$  profiles as a function of axial  
299 distance. The results are shown in Fig. 7. The solid lines are calculated using the damped plane  
300 wave result, Eq. (3), while the dot-dashed lines use the undamped result, equation (2). The actual  
301 input value,  $\beta = 1$ , is included as a horizontal dashed line for reference.

302 The consistent features are now more apparent between the three subplots. In the near field,  
303 the extracted values fluctuate somewhat, reach a minimum, and then rise to coincide with the true  
304 value before continuing to diverge. The presence of the dip in the near field region is significant,  
305 as it offers an indication of the limitations of the common assumption of an approximately planar  
306 near field region. Here the underestimation of  $\beta$  is more significant at higher frequencies, as  
307 indicated by the slightly more pronounced dip. The distance at which the trends coincide, in  
308 each case, is around  $0.6r_0$ , where  $r_0 = (1/2)ka^2$  is the Rayleigh distance. Further out, it is  
309 known that the beam is characterised by spherically diverging waves, and here we expect the  
310 plane wave measurement to return diverging  $\beta$  values as shown. These features are also apparent  
311 to a certain extent in Fig. 6, where the real experimental data are used. Notably, both Fig.  
312 6 and Fig. 7 indicate that the plane wave measurement overestimates  $\beta$  by a factor of almost  
313 2 at the maximum axial distance when the lower input frequency of 3.67MHz is used. The  
314 corresponding value when the higher frequency, 8.51MHz is used is much less, around 20%.  
315 The neglect of attenuation seems to produce a small deviation in the extracted  $\beta$  value in Fig.  
316 7, which is consistent with the small attenuation values in aluminium. However, this will be of  
317 greater concern in materials such as steel, where the attenuation values are known to be much  
318 larger.

319 As a final remark, we refer to the actual values of extracted  $\beta$ , as shown in Fig. 6. As  
320 mentioned previously, the calibration procedure was subject to a significant degree of uncertainty,  
321 meaning the values indicated are not precise. What is more, each frequency used corresponds to  
322 a slightly different value. We note, however, that all the values fall within the approximate range  
323 1-6, which agrees with values published in the literature for measurements on similar aluminium  
324 alloys - see for example [26, 27, 28].

## 325 **6. Conclusion**

326 In this paper we have investigated the importance of certain features of a typical nonlinear  
327 measurement which are generally overlooked when calculating absolute  $\beta$ . We have described  
328 a numerical model of bulk harmonic generation which captures the effects of diffraction, atten-  
329 uation and nonlinearity in a sound beam. The additional effects of receiver integration were  
330 incorporated to provide a full representation of a typical practical measurement. Upon compar-  
331 ison with experimental data, the simulation was found to be a significant improvement on the  
332 plane wave model as a predictor of axial fundamental and second harmonic amplitude profiles.  
333 This, however, apparently did not translate into an immediately obvious improvement in ex-  
334 tracted values of absolute  $\beta$ . It was suggested that this fact was due in large part to the variability  
335 in the experimental data, something which is a problem typical to nonlinear measurements in  
336 solids. As an alternative consideration, a calculation was presented of the plane-wave extracted  
337 absolute  $\beta$  values based on idealised (simulated) data. Here it was seen that, in the near field, the  
338 plane wave based correction oscillates, at points underestimating  $\beta$  by a factor of up to 40% at the  
339 highest frequency used here, and around 25 % at the lowest frequency. The importance of this  
340 result, to some extent, depends on both the level of precision required, and that available. On one  
341 hand, an improvement of 40% in a measurement of  $\beta$  may represent a critical difference in the  
342 amount of damage suspected in a component. On the other hand, the experimental data shown  
343 here, for example, exhibit a degree of variability which is comparable with the suspected inaccu-  
344 racy in using the plane wave measurement. At large axial distances, the result is more clear-cut.  
345 In this region the plane wave value diverges from the true value due to its neglect of spread-  
346 ing in the acoustic field. The effect is particularly pronounced at the lowest frequency tested,  
347 where  $\beta$  is overestimated by around 80% at the largest axial distance. It is therefore apparent  
348 that care should be taken when measuring  $\beta$  using the plane wave correction at large distances,  
349 especially when using low input frequencies. Additionally, it is noted that attenuation should not  
350 be overlooked when measuring  $\beta$  in highly attenuating materials.

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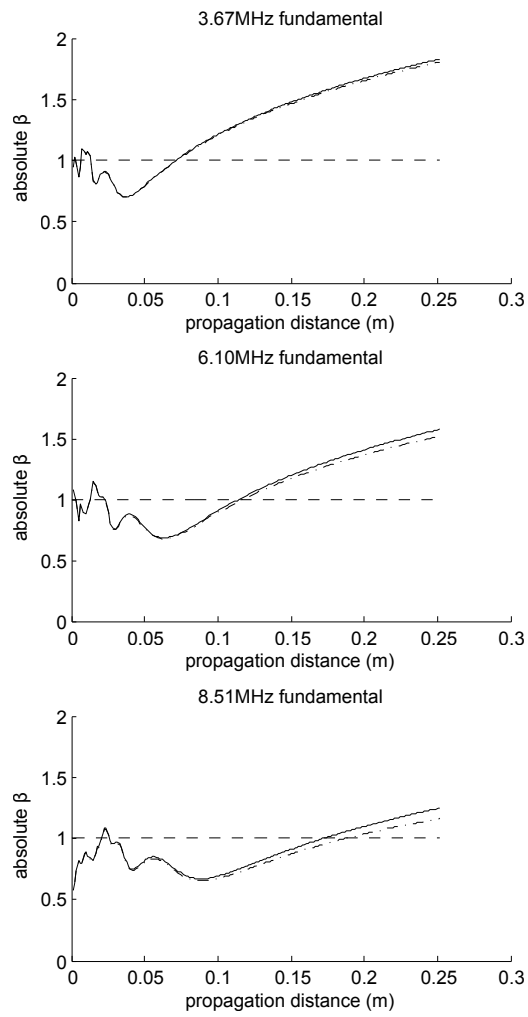


Figure 7: Theoretical axial variation of absolute  $\beta$  calculated using the plane wave theory. Undamped calculations are shown as dashed lines, damped calculations as solid lines. The calculations are based on idealised axial  $A_1$  and  $A_2$  data generated using the simulation.