



Tsoulos, G., Beach, M. A., & Swales, S. C. (1995). Performance enhancement of DS-CDMA PCS cellular networks with smart antennas. In *IEEE Global Telecommunications Conference (GLOBECOM '95)*. (Vol. 1, pp. 213 - 217). Institute of Electrical and Electronics Engineers (IEEE). doi: 10.1109/GLOCOM.1995.500354, 10.1109/GLOCOM.1995.500354

Link to published version (if available):

doi: [10.1109/GLOCOM.1995.500354](https://doi.org/10.1109/GLOCOM.1995.500354)
[10.1109/GLOCOM.1995.500354](https://doi.org/10.1109/GLOCOM.1995.500354)

[Link to publication record in Explore Bristol Research](#)
PDF-document

University of Bristol - Explore Bristol Research

General rights

This document is made available in accordance with publisher policies. Please cite only the published version using the reference above. Full terms of use are available:
<http://www.bristol.ac.uk/pure/about/ebr-terms.html>

Take down policy

Explore Bristol Research is a digital archive and the intention is that deposited content should not be removed. However, if you believe that this version of the work breaches copyright law please contact open-access@bristol.ac.uk and include the following information in your message:

- Your contact details
- Bibliographic details for the item, including a URL
- An outline of the nature of the complaint

On receipt of your message the Open Access Team will immediately investigate your claim, make an initial judgement of the validity of the claim and, where appropriate, withdraw the item in question from public view.

Performance Enhancement of DS-CDMA PCS Cellular Networks with Smart Antennas

George V. Tsoulos, Mark A. Beach, Simon C. Swales

Centre for Communications Research
University of Bristol, Bristol BS8 1TR, UK
Fax: +44 117 9255265, Tel: +44 117 9287740
e-mail: G.V.Tsoulos@bristol.ac.uk

Abstract: This paper considers the performance of a DS-CDMA system which employs adaptive antenna technology at the basestation site. By utilising the capability of ray-tracing to provide the complex channel impulse response, a new ray-based simulation methodology for an adaptive antenna in a DS-CDMA system is presented. Results for a typical microcellular environment highlight the behaviour of the adaptive antenna. Finally, with the help of a DS-CDMA capacity analysis, the potential spectrum efficiency enhancement is evaluated.

I. INTRODUCTION

The need for mobile radio systems with increased spectrum efficiency is paramount in the drive towards third generation systems [1-2]. Currently favoured solutions in today's systems, which are generally applicable to any modulation scheme or access technique, include the deployment of smaller cells as well as fixed sector, or multi-beam antennas at the basestation site. In terms of modulation schemes and access techniques the application of spread spectrum modulation techniques with Code Division multiple access (CDMA) and especially Direct Sequence (DS) CDMA is one of the currently favoured approaches.

Adaptive or intelligent antenna systems are currently receiving considerable attention as a means of increasing the spectrum efficiency of wireless networks [3-9]. Here the application of this technology is considered at the basestation site to support spatial separation of the spectrally and temporally overlapping mobile users. This can be exploited in a number of ways in a system employing DS-CDMA as the air interface technique [10], e.g. support mixed cell architectures, mitigation of the near-far effect and support of high data rate services

In this contribution the focus is on using the adaptive antennas in a microcellular environment with DS-CDMA. The work includes the development of a new detailed ray-tracing based simulation model for the adaptive antenna,

combined with the calculation of the capacity improvement of a DS-CDMA system through Monte-Carlo simulation.

II. ADAPTIVE ANTENNAS AND ANGLE OF ARRIVAL

The angle of arrival (AOA) of the radio signal, along with its multipath components, directly affects the degree of spatial selectivity that can be exploited by the antenna system, i.e. whether to steer a high gain beam towards some direction (direction finding), or adopt an optimum combining approach.

With optimum combining, the basestation antenna optimises the weights in order to enhance the overall output signal-to-interference ratio. This ideally corresponds to maximising the gain in the desired directions and placing nulls in the directions of the interference, with the maximum number of nulls determined by the number of elements in the array. Nevertheless, in a microcellular environment, the angular spread of the signal from a single user is very wide due to the lower height of the BS antenna and the close proximity of the scattering objects, and also, the AOA of the signals changes rapidly, with the dominant direction not always towards the desired user, as in a large cell case. However, since in large cells the mobile is usually travelling with speeds, the time available for the chosen algorithm to converge, is critically limited.

For the direction finding approach there is no adaptation problem but since most of the multipath signals in a microcellular narrowband DS-CDMA system are coherent [11], unless additional techniques which complicate even further the problem and are not always stable, are considered [12], performance degradation will occur.

All the above indicate that in a harsh environment like the environment for mobile communications, the choice of the best approach for an adaptive antenna is a complicated issue to resolve. Different environments have different propagation characteristics which suit different approaches.

III. SIMULATION MODEL

The developed simulation model can be separated into three basic blocks, as it is shown in figure 1:

a) **Multipath Channel Model:** Impulse responses from the environment under investigation are generated using a ray-tracing simulation tool [13]. The input parameters to this tool include a geographical data base of the service area, the number of reflections, transmissions and diffractions, the transmitted power, antenna radiation patterns etc. The output file includes the electric field, the time delay and the angle of arrival of the four most dominant received rays from each user.

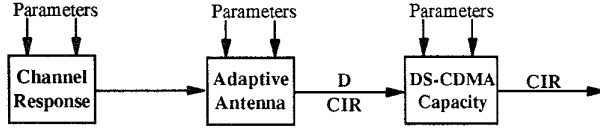


Figure 1: Simulation model block diagram

b) **Adaptive Antenna Array:** The type of adaptive antenna that is considered here, is an antenna array that is capable of modifying its radiation pattern, frequency response and other parameters by means of internal feedback control while the antenna system is operating, so as to maximise the signal-to-noise ratio of some desired signal which is received in the presence of noise and interference, at the receiver output.

A block diagram for an adaptive antenna array is shown in figure 2, where:

$x_n(k)$ is the sample of the total received signal at the n th element at instant $t = kT$, where T is the sampling interval, as well as being the chip duration of the PN sequence and k is the sample number. $x_n(k)$ consists of the desired and interfering DS-CDMA signals and random noise, and it can be expressed as:

$$x_n(k) = \sum_{m=1}^M \sum_{r=1}^R h_{mr} e^{jk_u d(n-1) \sin(\vartheta_r)} r_m(k - t_r) + N(k), \quad (1)$$

where h_{mr} and $r_m(k)$ are the elements of the vectors of the impulse response and the DS-CDMA signal from the m th user respectively:

$$\mathbf{h}_m = [h_{m1}, h_{m2}, \dots, h_{mr}, \dots, h_{mR}]^T,$$

$$\mathbf{r}_m = [r_m(k), r_m(k - t_1), \dots, r_m(k - t_r), \dots, r_m(k - t_R)]^T$$

$\mathbf{r}_m(k) = \mathbf{d}_m(k) \cdot \mathbf{PN}_m(k) \cdot e^{j\zeta_m}$, with $\mathbf{d}_m(k)$ the binary data and ζ_m the carrier phase of user m . $N(k)$ represents the

random Gaussian noise. M is the total number of users, R is the total number of rays, d is the interelement distance, k_u is the wave number, ϑ_r and t_r are the angle of arrival and the delay of each ray and $[\]^T$ denotes the transpose.

Although the total received signal at the n th antenna element is calculated by considering the interelement phase shift for each incoming ray, i.e. $(n-1)kd \sin(\vartheta_r)$, depending on the environment under investigation, it can also be calculated directly from the ray tracing tool.

The output from the adaptive array in vector notation is: $y(k) = \mathbf{w}^T(k) \mathbf{x}(k)$, where $\mathbf{w}(k)$ and $\mathbf{x}(k)$ are the weight and element vectors respectively. Using (1), this gives:

$$y(k) = \sum_{n=1}^N w_n(k) \left\{ \sum_{m=1}^M \sum_{r=1}^R h_{mr} e^{jk_u d(n-1) \sin(\vartheta_r)} r_m(k - t_r) + N(k) \right\}$$

where N the total number of antenna elements. The desired or reference signal $r_0(k)$ is simply the PN sequence from one user, and the error signal is defined as the difference between the array output and the desired signal $e(k) = y(k) - r_0(k)$.

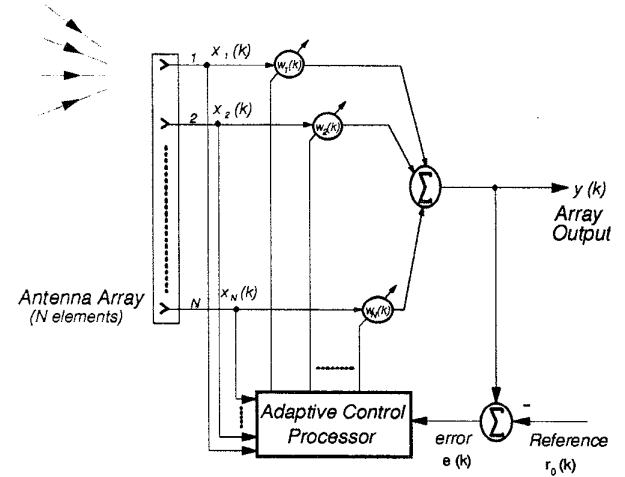


Figure 2: Adaptive Antenna Array

c) **DS-CDMA Capacity Analysis:** Based upon the Monte-Carlo technique described in [14], a simulation model was used for the capacity calculations of the DS-CDMA system. The basic idea behind this kind of simulation is to generate a large number of random deployments of mobile users under realistic loading conditions. Using the co-ordinates of the basestation antennas, it is then possible to assign mobiles to base

stations. The decision is based upon the shadowing and path loss experienced, and the selected basestation is the one which maximises the received signal power. For each deployment, a carrier-to-interference ratio SIR can be calculated and after many runs the complete cumulative distribution function of the SIR values can be produced. Given the SIR threshold for a particular BER requirement, the outage probability can then be generated, i.e. the percentage of time that the SIR falls below the given threshold. A commonly accepted value which determines the capacity of the DS-CDMA system is 1% outage. The probability of the distribution of the output SIR for the case of an omnidirectional is depicted in figure 3.

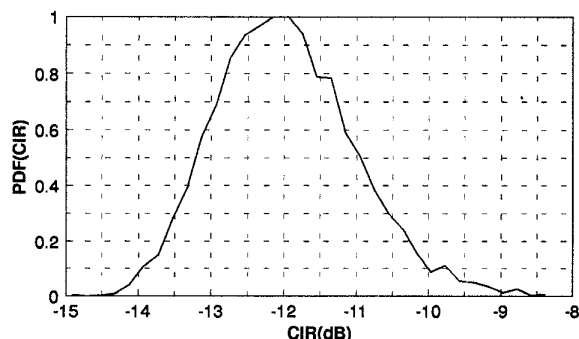


Figure 3: Probability distribution function for the output SIRs with an omnidirectional antenna.

The total interference seen by the central basestation due to both the in-cell and the out-of-cell interferers, is:

$$I_{tot} = \int_0^{(2Tiers+1)R_{mic}} \int_0^{2\pi} \frac{P(l,\varphi)}{\pi R_{mic}^2} D(\varphi) r l d l d \varphi = \frac{I}{D}$$

where $Tiers$ is the number of tiers of cells considered in the simulation, R_{mic} is the microcell radius, $P(l,\varphi)$ is the power transmitted by a user at distance l from the central basestation and angle φ , I is a constant which represents the value of the total interference seen by the BS before the spatial analysis is considered and D is the directivity of the BS antenna which is assumed omnidirectional in the vertical plane.

3. RESULTS

The model for the adaptive antenna offers the capability of selecting one from several adaptive processing algorithms. Table 1 summarises the parameters used in the simulations. In addition, each user in the simulation is stationary and ideally power controlled by the basestation.

Runs	5
Samples	500 or 1000
Array elements	8
Inter-element spacing	$\lambda/2$
PN Length	255 chips
Algorithms	LMS-NLMS-RLS-SQRLS-DMI
Step (LMS-NLMS) [15]	0.005
Forgetting Factor [15]	1

TABLE 1: SIMULATION PARAMETERS

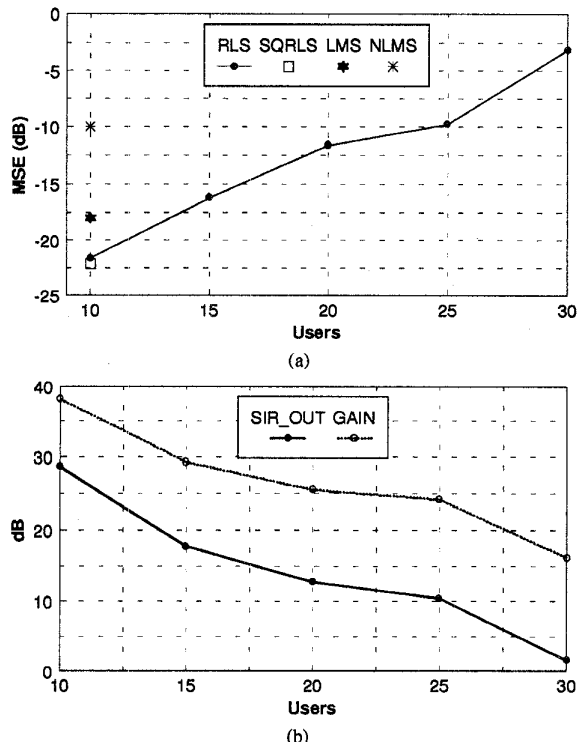


Figure 4: a) Mean Square Error and b) Output SIR and Gain for the RLS algorithm, as a function of the system's loading.

In figures 4 and 5, results from the adaptive antenna simulations for a typical microcellular environment and for different adaptive algorithms are presented.

From figure 4a, it can be seen that the RLS and the SQRLS algorithms outperform both the LMS and the NLMS algorithms, which use twice as many samples (1000), as the RLS, SQRLS. From figure 4b, the output SIR values are shown and also what is of most importance, the achieved by the adaptive antenna gain, i.e. the difference between the input and output SIR. It can be seen that although the actual output SIR values can be very small, the gain due to the adaptive antenna, is always substantial, even under very hard situations as that

depicted in figure 5(c). There, the interfering rays are overlapping with all the desired rays, but as it can be seen the adaptive antenna manages to produce an output which again offers significant gain.

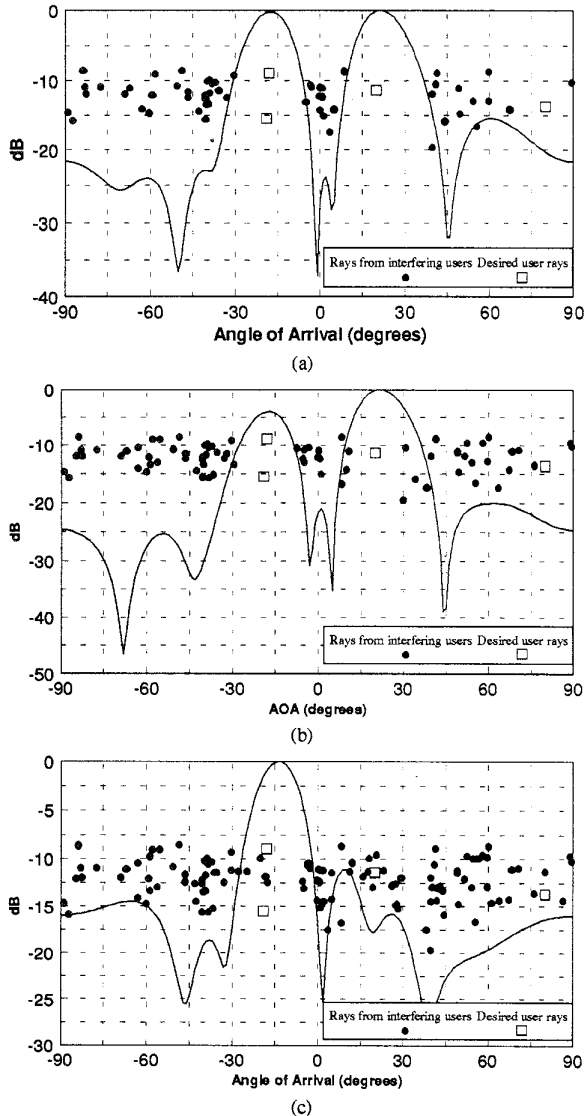


Figure 5: Radiation patterns produced for the cases of: a) 15 users, b) 20 users, c) 30 users.

Furthermore, by examining the graphs of figure 5 in connection with figure 4, the concept of an "intelligent antenna" can be illustrated. Given the spatial distribution of the interfering and the desired signals, the array always attempts to generate the optimum radiation pattern to optimise the output SIR. For example, in figure 5(a), the main beam has been steered towards the second strongest multipath whilst the strongest multipath is only supported

by a secondary lobe. This can be compared with figure 5(b) where as the number of interferers increases, the sidelobe level for the second strongest multipath is reduced, and with figure 5(c) where the mainlobe has switched to the strongest desired multipath and no other beams have been formed.

For different simulation scenarios and for different number of users, the above simulations were repeated and from the produced radiation patterns, values for the directivities ranging between 6dB and 9dB, were calculated.

The values calculated for the directivities were then used in the DS-CDMA capacity analysis. The following describes the key parameters for the DS-CDMA system analysed:

- Total number of base-stations: 37, (3 tiers)
- Path loss exponent: 4.
- Log-normal shadowing std. dev.: 8 dB
- Power control: Shadowing and Path loss
- Voice activity: 0.5
- Data rate: 8 kbps
- E_b / N_0 for BER $\leq 10^{-3}$: 7 dB
- Total spreading bandwidth: 1 MHz

These parameters were chosen for the purpose of initial simulations to enable a comparison to be made between an omnidirectional and an adaptive antenna.

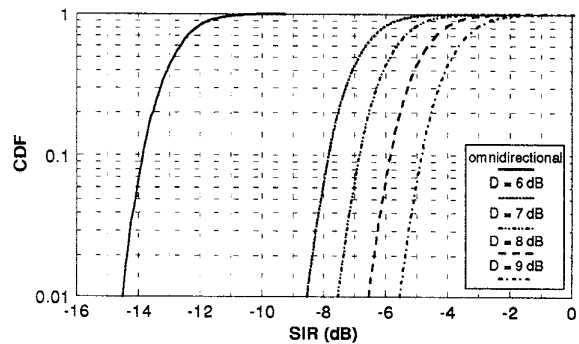


Figure 6: Cumulative distribution functions of the SIRs, for 24 users.

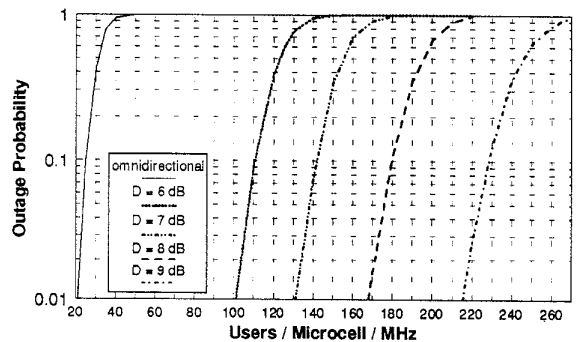


Figure 7: Capacity results.

In figure 6 the cumulative distribution of the SIRs for the case of 24 users for an omni-directional and for different directivity values, is shown. It is clear from that figure that the SIR improves as the directivity increases. In figure 7 the results from the capacity simulations are presented. It can be seen that the capacity of the DS-CDMA system is substantially increased when an adaptive instead of an omnidirectional antenna, is used at the basestation of a microcell. In order to find an approximate lower bound for the predicted improvement, we considered the worst case of the simulation scenarios, i.e. for the scenario that the adaptive antenna responds with the lowest directivity radiation pattern, (~ 6 dB), it can be seen that the capacity has increased from 21 users/cell/MHz to 102 users/cell/MHz, i.e. almost a five fold increase has been achieved. It has to be mentioned here that the above figure is clearly a lower bound for the predicted improvement since, one can use the offered by the adaptive antenna gain in SIR to drive the DS-CDMA capacity analysis, which will obviously produce much better results.

IV. CONCLUSIONS

A new ray based simulation model for an adaptive antenna in a DS-CDMA system was presented. Results for a typical microcellular environment highlighted the behaviour of an adaptive antenna when used in conjunction with DS-CDMA and showed that substantial gain in terms of output SIR can be achieved even in very hard situations. DS-CDMA capacity analysis for the scenarios considered showed a minimum of five fold increase in the overall spectrum efficiency. Finally, it was shown that less restrictive conditions for the critical for DS-CDMA power control mechanism can be achieved with an adaptive antenna.

ACKNOWLEDGEMENTS

George V. Tsoulos wishes to thank the Centre for Communications Research (University of Bristol) for his postgraduate bursary. The authors would like to thank Professor J.P.McGeehan for his continuous encouragement and the provision of laboratory facilities. Finally, many thanks to G.E.Athanasiadou for the provision of the ray tracing results.

REFERENCES

[1] IBC Common Functional Specification, "Mobile Communications: General Aspects and Evolution", Specification RACE D731, Issue D, Dec. 1993.

[2] J. Rapeli, "UMTS: Targets, System Concept, and Standardisation in a Global Framework", IEEE Personal Communications, Special Issue: *The European Path Towards UMTS*, Feb. 1995, vol. 2, No 1, pp. 20-28.

[3] S.C.Swales, M.A.Beach & J.P.McGeehan, "The performance enhancement of multi-beam adaptive base station antennas for cellular land mobile radio systems", *IEEE Transactions on VT*, Vol.VT-39, No.1, February 1990, pp.56-67.

[4] J.S.Winters, "Signal acquisition and tracking with adaptive arrays in digital mobile radio system IS-54 with flat fading", *IEEE Transactions on VT*, Vol.VT-42, No.4, November 1993, pp.377-384.

[5] A.F.Naguib and A.Paulraj, "Performance of CDMA cellular networks with base station antenna arrays", in *Lecture Notes in Computer Science*, no. 783, pp. 87 - 100, Springer - Verlag.

[6] G.V.Tsoulos, M.A.Beach, S.C.Swales, "Adaptive Antennas for Third Generation DS-CDMA Cellular Systems", 9th ICAP, 4 - 7 April 1995, Eindhoven, the Netherlands, vol. 1, pp.137-140.

[7] G.V.Tsoulos, M.A.Beach, S.C.Swales, "Adaptive Antennas for Third Generation DS-CDMA Cellular Systems", 45th Vehicular Technology Conference, July 25-28, 1995, Chicago, Illinois, USA, pp. 45-49.

[8] Race Tsunami Project, "Requirements for Adaptive Antennas for UMTS", R2108/ART/WP2.1/DS/I/ 004/ b1, 22 April 1994.

[9] J. Kennedy and M. Sullivan, "Direction Finding and "Smart Antennas" using Software Radio Architectures", *IEEE Communications Magazine*, May 1995, vol. 33, No 5, pp. 62-68.

[10] G.V.Tsoulos, M.A.Beach, S.C.Swales, "Application of Adaptive Antenna Technology to Third Generation Mixed Cell Radio Architectures", 44th VT Conference, June 8-10 1994, Stockholm, Sweden, pp 615-619.

[11] Qualcomm Inc., "An overview of the application of Code Division Multiple Access (CDMA) to digital cellular systems and personal cellular networks", Doc.No.EX60-10010, May 1992.

[12] *Array Signal Processing: Concepts and Techniques*, D.H.Johnson and D.E.Dudgeon, Prentice Hall, NJ 1993.

[13] G.E.Athanasiadou, A.R.Nix, J.P.McGeehan, "A Ray Tracing Algorithm for Microcellular Wideband Modelling", 45th Vehicular Technology Conference, July 25-28, 1995, Chicago, Illinois, USA, pp. 261-265.

[14] B.Gudmundson, J. Sköld, J.K.Ugland "A comparison of CDMA and TDMA systems", Proc. of the 42nd VTS Conference, May 10-13, 1992, Denver, Colorado, vol. 2. pp. 732-735.

[15] *Adaptive Filter Theory*, S.Haykin, 2nd edition, Prentice Hall 1991.