

Selective Delivery of Photothermal Nanoparticles to Tumors Using Mesenchymal Stem Cells as Trojan Horses

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The main challenge of cancer treatment is to avoid or minimize systemic side effects in off-target tissues. Mesenchymal stem cells (MSCs) can be used as therapeutical carriers because of their ability to migrate and incorporate into inflammation areas including tumors. Here, this homing ability is exploited by carrying therapeutic nanoparticles (Hollow Gold Nanoparticles (HGNs)) following a “Trojan-horse” strategy. Amongst the different nanoparticles to be employed, HGNs have the capacity to resonate in the near infrared region when irradiated by an appropriated laser (808 nm). By transforming this absorbed energy into heat, they are capable to produce locally induced hyperthermia. At this wavelength healthy tissues have a minimal light absorption being the effect restricted to the tissues containing HGNs. By placing HGNs inside MSCs, the recognition, excretion and immune response are minimized. We demonstrate that MSCs internalize HGNs and reach the tumors still containing HGNs. After laser treatment this loaded cells are able to eradicate tumoral cells *in vitro* and *in vivo* without significant toxicity. Also Ki67 expression, which is usually correlated with proliferation, is reduced after treatment. This approach enhances the effectiveness of the treatment when compared to just the enhanced permeation and retention effect (EPR) of the HGNs by themselves.

1 Introduction

2
3 Cancer is the leading cause of death worldwide, with 7.6
4 million deaths in 2013; data which are projected to continue
5 rising with an estimated 13.1 million deaths in 2030 according
6 to WHO.¹ The increase in the life expectancy, the presence of

7 mutagenic agents in the environment, and the lack of healthy
8 habits could influence this high incidence of the disease.²
9 Preventive medicine, surgery, chemotherapy and radiotherapy
10 are the main approaches to defeat cancer, but the three latter
11 therapeutical approaches have major side effects because they
12 affect both tumoral and healthy tissues. Therefore, there is a
13 need to develop new, more effective and safer treatments
14 able to reach homogeneously all the tumoral mass, including
15 the central hypoxic regions.

16 One of the nano-based emerging therapies proposed in recent
17 years involves the generation of hyperthermia or tumoral
18 ablation using either magnetic or near-infrared (NIR)
19 responsive nanoparticles which are able to generate localized
20 heat by the use of alternating magnetic fields or NIR light,
21 respectively. In this particular application, phototherapy
22 consists on an increase in the local temperature by using the
23 heat transmitted by the NIR-absorbing nanoparticles upon
24 laser irradiation, leading to the apoptosis or necrosis of the
25 tumoral cells depending on the laser fluence, pulse frequency,
26 wavelength of the irradiating light and exposure time.
27 Specifically, gold nanoparticles are good candidates to mediate
28 in this process because of their high light absorption efficiency,
29 low cytotoxicity at the doses used, good biocompatibility and

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1 their simple and scalable synthesis.³ Hollow gold nanoparticles
 2 (HGNs) are able to absorb energy in the NIR region of the
 3 electromagnetic spectrum and dissipate it as heat due to
 4 electron-electron and electron-phonon relaxations. Between
 5 650 and 1100 nm the absorption and scattering of incident
 6 light in biological tissues are minimized due to the reduced
 7 absorption by water and chromophores. In this way, external
 8 irradiation of tissues with single wavelength lasers in the
 9 region (i.e. 808 nm) under a controlled irradiance (usually
 10 below 200mW/cm²) produces heat only where the light
 11 absorbing nanoparticles are embedded, reducing the
 12 characteristic side effects of other conventional treatments
 13 such as chemo- or radiotherapy and to other hyperthermia
 14 based techniques such as microwave ablation, magnetic field
 15 ablation, radiofrequency, and focused ultrasound therapy.
 16 Compared to other plasmonic nanoparticles such as gold
 17 nanorods or core-shell SiO₂-Au nanoshells, HGNs are more
 18 efficient in photothermal therapy for shallow and deep
 19 cancers.⁴ However, even though there are very efficient
 20 transducers of the NIR light into heat, the use of generalized
 21 hyperthermia also produces damage in healthy cells and
 22 tissues, and therefore, it is important to obtain localized
 23 hyperthermia exclusively at the tumor site which is by no
 24 means an easy task.⁵ The well-known enhanced permeability
 25 and retention (EPR) effect and a defective lymphatic drainage
 26 contribute to the passive over-accumulation of nanoparticles
 27 at the tumor⁶ but experimental patient data corroborating this
 28 mechanism are reduced.⁷ Selective accumulation can be
 29 further increased by the use of suitable antibodies or other
 30 recognition biomolecules (carbohydrates, peptides, aptamers,
 31 etc.) grafted to the external nanoparticle surface.⁸ However,
 32 selective accumulation of nanoparticles requires sufficient
 33 circulation time in the bloodstream, but, as it is well known,
 34 after protein adsorption on their surfaces, nanoparticles are
 35 rapidly cleared from circulation by the macrophages of the
 36 reticulo-endothelial system⁹ and by the inherent filtration
 37 ability of the liver and the spleen.¹⁰ To reduce protein
 38 adsorption, stealth strategies (e.g. by coating with layers of
 39 poly-ethylene glycol, with biomimetic surface modifications
 40 using phosphatidylcholine, or with self-membrane proteins,
 41 etc.) have been developed to increase their circulation
 42 time by mimicking cell's glycocalyx.^{9,10} In addition,
 43 nanoparticles can also be delivered using cells as carriers
 44 evade the immune system recognition ability, in a so-called
 45 "Trojan horse" strategy.
 46 This concept of Trojan horse has been postulated in previous
 47 works using different nanoparticle-laden cells including
 48 dendritic¹¹, macrophages¹²⁻¹⁴ and T lymphocytes.¹⁵
 49 Kang et al.¹⁶ have recently demonstrated that pH-sensitive
 50 gold nanoparticles can be loaded within MSCs and
 51 administered intravenously showing a 37 fold increase in
 52 tumor targeting efficacy compared to the administration of
 53 free nanoparticles which resulted in a significantly enhanced
 54 photothermal effect. However, in this work, the excitation
 55 wavelength used (660 nm) shows a limited penetration in
 56 tissues, and a higher melanin and haemoglobin absorption
 57 compared to NIR wavelengths (i.e., 808 nm). In addition, the

consecutive nanoparticle loading doses (50 µg/mL) were
 administered to the MSCs to reach enough nanoparticle-
 loading to produce an efficient photothermal effect. However,
 in this work we prepare nanoparticles optimized for light
 absorption from a higher penetration 808 nm laser source and
 optimize the loading of HGNs in MSCs. In this way we are able
 to obtain an improved outcome using a lower nanoparticle
 loading (just 1 single dose of 50 µg/mL). We also used PEG-
 coated nanoparticles in order to reduce bioaccumulation and
 potential subsequent toxicity in off-target organs and also to
 make a meaningful comparison between the results obtained
 by systemic delivery of HGNs (EPR effect) and by the Trojan
 horse strategy pursued in this work.

Also, Liu et al.¹⁷ have developed a cell based method in order to
 accumulate NPs in tumors. In their work, gold nanorods
 functionalized with CXCR4 were loaded into induced
 pluripotent stem cells (iPS cells). However, it is known that
 intravenous injection of iPS in mice with primary tumors highly
 promoted tumor growth¹⁸ and also the formation of teratomas
 is a serious risk associated with iPS intravenous injection¹⁹. In
 addition, they assume the death of iPS embedded in tumors
 after NPs mediated hyperthermia by laser irradiation. As the
 authors mentioned, a percentage of the NPs were released by
 the cells, therefore a risk of tumoral escape of un-loaded iPS
 exists. They also showed that a small part of injected iPS was
 accumulated in liver and lungs.

In comparison with those previous vectors, bone marrow
 MSCs have a number of characteristics that make them
 superior, including their ability for self-renewal, potential to
 differentiate into different tissues and ability to migrate and
 integrate at sites of inflammation or into tumors.^{18,20,21}

Although the complete mechanism of this phenomenon is still
 unknown, it is recognized that the release of cytokines in the
 tumor stroma and their interaction with cytokine and
 chemokine receptors present on the cell surfaces are involved
 in the migration of MSCs as well as the intra-tumoral hypoxic
 environment which does not impair their migration.²² This
 homing ability is currently used in clinical trials using patient
 derived mesenchymal stem cells as virus carriers in the
 treatment of ovarian cancer.²³ Nanoparticle transport inside
 MSCs directed against brain tumors was previously
 demonstrated, although, in that case MSCs were directly
 injected on site, being the MSCs observed afterwards in the
 tumor periphery.²⁴ Dwyer et al.²⁵ demonstrated a successful
 engraftment of MSCs at the site of both primary tumors and
 nodal cancer metastases after systemic administration *in vivo*,
 although no nanoparticle was carried in that case.

Moreover, MSCs present a great advantage in relation to solve
 some of the problems found during the nanoparticle-based
 injection alone such as the previously mentioned rapid
 immune response and low permeance of free nanoparticles
 towards the interior of the tumoral mass due to the high
 pressure gradient. Regarding the immune response, the fact
 that they do not express MHC class II and have a very low
 expression of MHC class I,^{22,26} may favour the use of these
 cells in allografts. As it is also known that bone marrow MSCs
 are able to migrate to hypoxic areas,²² here we propose the

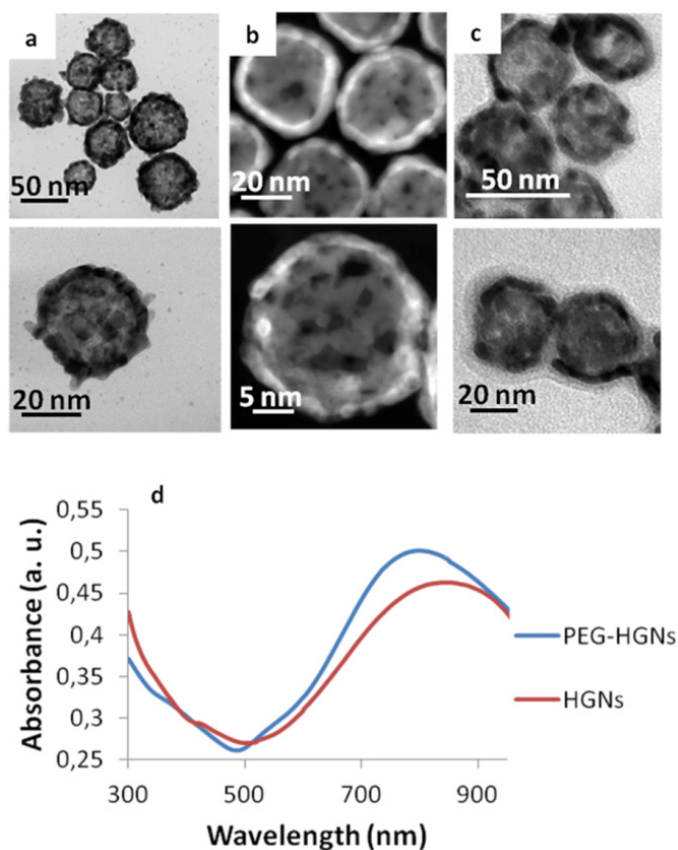
1 use of these cells as vectors for NIR-responsive nanoparticles
 2 to delivery treatment to the central hypoxic areas of tumors by
 3 applying NIR hyperthermia.

4 Results and discussion

5 Nanoparticle physico-chemical and biological characterization.

6 Spherical hollow gold nanoparticles with 40.5 ± 7 nm in
 7 diameter (Figure 1a-c), were obtained after galvanic
 8 replacement using cobalt oxide nanoparticles as templates.
 9 Both HGNs and PEG-HGNs showed a characteristic localized
 10 surface plasmon resonance peak in the NIR region (Figure 1d).
 11 A slight blue shift was observed for the pegylated
 12 nanoparticles, possibly attributed to a different dielectric value
 13 in the interfacial double layer coating the nanoparticles in
 14 agreement with the previous literature²⁷. The amount of PEG
 15 on the surface of the HGNs was $12 \mu\text{g}\cdot\text{g}^{-1}$, evaluated by TGA.

16 Compared to the control, PEG-HGNs did not decrease the cell
 17 viability at all the doses tested (20, 50, 100 and $500 \mu\text{g}\cdot\text{mL}^{-1}$)
 18 (Figure 2a). Our results are in agreement with previous studies



19
 20 **Figure 1.** Characterization of the photothermal nanoparticles (a) TEM
 21 images for Hollow Gold Nanospheres. (b) STEM-HAADF images. (c)
 22 TEM images of PEG-Hollow Gold Nanospheres. The halo around the
 23 nanoparticles demonstrates the PEG coating. In this case,
 24 phosphotungstic acid was used to dye the polymeric corona and allow
 25 TEM observation. (d) UV-Vis extinction spectra of HGNs and PEG-
 26 HGNs.

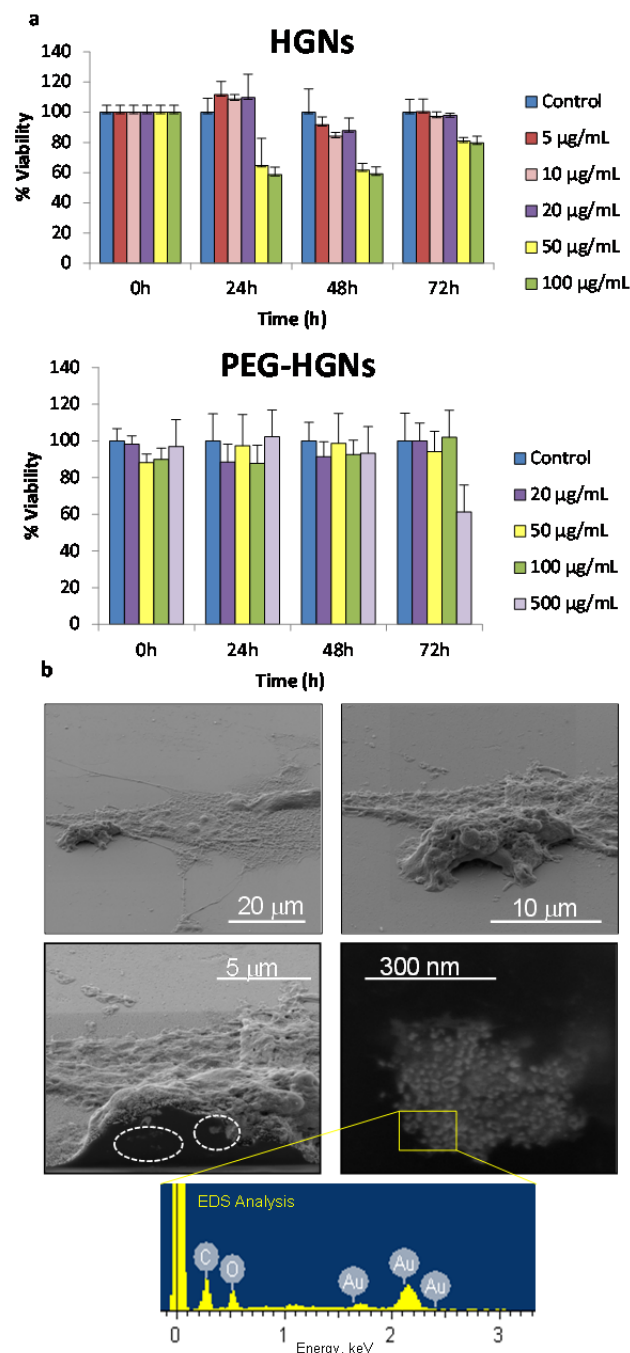


Figure 2. Cytotoxicity and cell trafficking studies (a) Graphs showed AlamarBlue assays results for bare HGNs and PEG-coated HGNs (PEG-HGNs), respectively. For the experiments with HGNs, $20 \mu\text{g}\cdot\text{mL}^{-1}$ was chosen as the highest dose which did not induce cytotoxicity. For the PEG-HGNs $50 \mu\text{g}\cdot\text{mL}^{-1}$ was chosen as limit for the sub-cytotoxic dose. (b) SEM Dual Beam images demonstrate that the nanoparticles are located inside the cells. The two images above correspond to a HGN-laden-MS-C at different magnifications. In the bottom left image a cross section of the same cell shows the presence of internal agglomerated HGNs, the zoom showed in the right panel shows the morphology of those aggregates. EDX analysis on those aggregates corroborated the presence of Au inside the cell.

which demonstrate that nanoparticle cytotoxicity is reduced after pegylation.²⁸ Also, this reduced cytotoxicity for MSCs harboring HGNs is in agreement with previous reports²⁹. In

1 contrast, a dose-dependent cytotoxicity was observed for the
 2 bare HGNs with a marked decrease in the cell viability at doses
 3 above $50 \mu\text{g mL}^{-1}$ after 24h of incubation in agreement with
 4 the previous literature³⁰. It is important to point out that the
 5 apparent increase in cell viability observed after 72h of
 6 incubation is just caused by the use of the total number of
 7 viable cells remaining after 72 h as control. To distinguish the
 8 cell death pathways a LIVE/DEAD® test was performed (see
 9 supporting information Figure SI1).

10 Nanoparticle cell trafficking was evaluated by using confocal
 11 microscopy, scanning electron microscopy, flow cytometry and
 12 ICP. HGNs internalization was also demonstrated by using SEM
 13 Dual-Beam that allowed examination of the cell interior after
 14 being sectioned by the focused ion beam (Figure 2b). For the
 15 confocal studies, cells were permeabilized and stained with
 16 Draq5 to label the nuclei and with phalloidin-Alexa488 to stain
 17 the cytoskeletal actin fibers. Due to their agglomeration in
 18 endocytic vesicles, HGNs were observed by using the reflection
 19 mode of the microscope exciting at 488 nm and collecting the
 20 emission between 479 and 498 nm. Z-stack orthogonal
 21 projections were carried out in order to demonstrate the
 22 presence of the nanoparticles inside the cytosol (Figure 3).

23 Nanoparticles were clearly internalized forming aggregates
 24 inside vesicles, probably following the endosomal route, and
 25 the gene expression was observed even after 7 days of
 26 incubation (see Supporting Information Figure SI2). Even EDX
 27 analysis on internalized aggregates corroborated the presence
 28 of Au inside the cells. Differences in the internalization
 29 dynamics were found using flow cytometry by labelling the
 30 bare and PEGylated nanoparticles with rhodamine123.
 31 maximum nanoparticle loading was reached at 24 and at
 32 hours for the PEG-HGNs and the HGNs, respectively. Using
 33 UVVIS spectrophotometry we calculated the amount
 34 internalized PEG-HGNs and we obtained a dose of $1.2 \cdot 10^{-6}$ mg
 35 of nanoparticles per cell after 24 h of incubation. It is
 36 important to point out that the chemical bond (Au-N) between
 37 rhodamine123 and gold through the lone pair electrons at the
 38 amino groups discards the potential detachment of the dye
 39 from the nanoparticles³¹. The differences in the loading
 40 kinetics may be attributable to the differences in the dynamics
 41 of the endocytic-exocytic route. This internalization process
 42 dynamic and part of the endocytosed nanoparticles are exocytosed
 43 following the lysosomal route, but those particles could be
 44 newly internalized within another MSC (see Supporting
 45 information Figure SI3 where live cell imaging was performed).
 46 In any case, while at a given time-point the amount
 47 internalized nanoparticles may vary, our results clearly indicate
 48 (Figure SI2) that internalized nanoparticles are present within
 49 the MSCs for several days after incubation, allowing time for
 50 their migration to tumor sites. In addition, in agreement with
 51 Kang et al.¹⁶, the presence of agglomerates within endosomes
 52 such as those found in figures 2 and 3 reduces gold

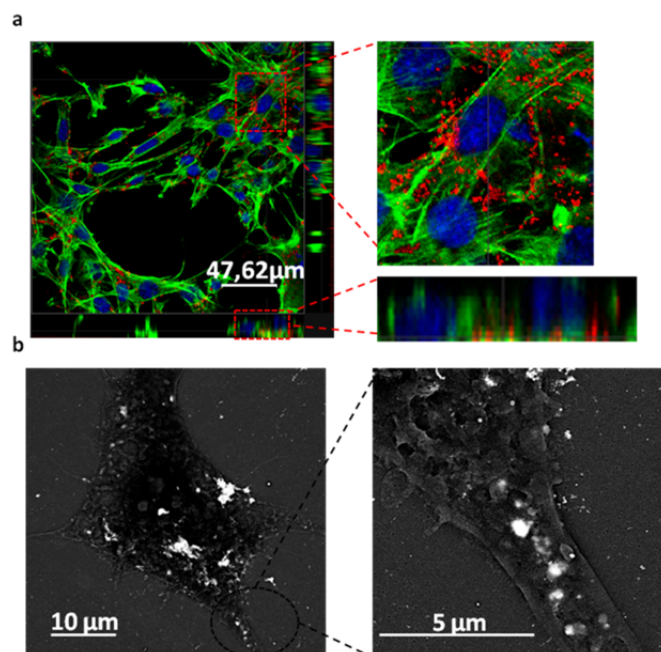


Figure 3. Nanoparticle internalization within MSCs. (a) Confocal Z-Stack. Red dots correspond to the labelled HGNs in the cell after 24 h of incubation with PEG-HGNs. The inset shows that red labelled nanoparticles are surrounded by green actin fibers (b) SEM backscattered image highlighting the presence of the gold nanoparticle agglomerates within the cells. EDX corroborated that the atomic composition of those aggregates corresponded to gold (results not shown).

nanoparticle exocytosis enhancing cellular retention. They showed how large internalized nanoparticle agglomerates (>200 nm) remained inside the MSC endosomes after 24h of incubation and, in our work, we showed that even larger sizes (Figure 2b) were achieved inside the MSCs when using HGNs as photothermal transducers.

In vivo photothermal studies. In the intratumoral injection (first) experiment, the treated groups were injected either with $50 \mu\text{g}$ of HGNs or with one million of MSCs co-incubated with $50 \mu\text{g}$ of HGNs during 72h. Tumors in Group 5 (HGNs+laser) reduced completely their sizes one week after irradiation (Figure 4). This result reflects the efficiency of the plasmonic HGNs in releasing heat locally when subjected to NIR irradiation. Since in this experiment, the HGNs were injected stereotactically, they are expected to be located inside or in the close proximity of the tumor. Tumor sizes in Group 4 (MSCs + HGNs +laser) strongly decreased ($\sim 80\%$ reduction in the projected area by day 12), but the tumor reduction was not as high as the one observed for Group 5. This is likely due to the lower dose (ca. 33wt.% less) of plasmonic nanoparticles transported by the MSCs since although the total HGN amount used for incubation ($50 \mu\text{g}$) is the same as that injected in group 5, about one third of the particles are not internalized (data not shown), and are

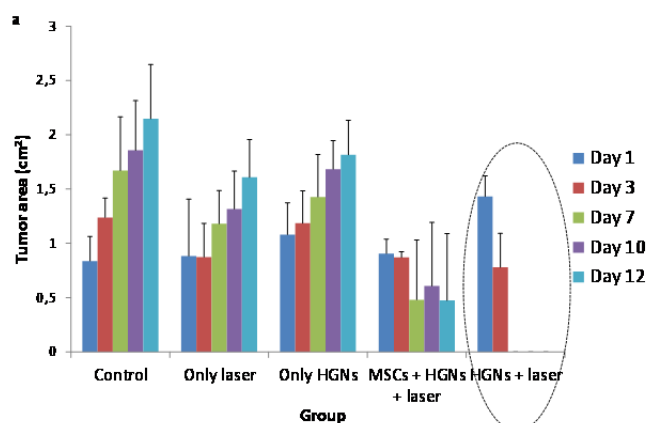


Figure 4. Photothermal therapy on HeLa cell-line xenograft tumors after intratumoral administration in vivo. (a) Tumor size evolution over time for both treated and control groups. (b) Photographs of HeLa xenografts at day 12 after laser irradiation ($1\text{W}\cdot\text{cm}^{-2}$ during 5 min). It is worth noting that the projected laser-spot size was larger than the size of the projected area of the tumors, i.e., the whole tumor area was irradiated at the same time.

removed during washing. In all other control groups tumor sizes increased. Figure 4a shows the evolution of the projected area of the tumor over time. Our results show that NIR hyperthermia reduces the size of the tumor in only one week after treatment when a direct intra-tumoral injection was used whereas, in other works, the combined use of intravenously administered NIR-responsive nanoparticles and chemotherapy produced also a complete tumor remission 20 days after irradiation³². Therefore, the route of administration plays a key role in the dynamic process of over-accumulating a therapeutic dose of plasmonic nanoparticles in the tumoral area and also depends on the tumor model. Obviously in a localized and accessible tumor a direct intratumoral injection of the plasmonic nanoparticles would be enough to achieve a complete tumoral eradication. However, for non-localized tumors or tumors in their early stages that are still too small to be detected the use of intravenously administered targeting nanoparticles would be a benefit. In this regard, in a second experiment (Figure 5) and after the demonstration that HGNs were able to mediate tumor regression, we administered the nanoparticles via intravenous injection in the corresponding mouse. In this case, in order to reduce the number of animals we divided the animals into four groups. The control group included the use of MSCs containing PEG-HGNs but without any laser application and one additional control group without any treatment. We either used PEG-HGNs or MSCs harboring PEG-HGNs in the other two experimental groups. As mentioned before, MSCs can migrate to sites of injury and

inflammation¹⁸, so we used these cells intravenously to analyze their capability to over-accumulate sub-cytotoxic doses of plasmonic nanoparticles in hypoxic and inflamed diseased tissues. Instead of bare HGNs, PEG-HGNs were used and delivered to tumors since these would be used in a hypothetical EPR application in order to reduce the recognition and fast clearance of the bare nanoparticles by the immune system. In this experiment we used U251MG glioma cells as a model tumor, because of the aggressiveness of this malignant tumor, which represents a greater challenge than the previous HeLa-based model used in the first experiment.

All groups were injected with 5×10^6 U251MG cells in one flank of the mouse for tumor induction. In this case, the weight of the PEG corona must be taken into account. Therefore, to have a similar amount of gold as in the previous experiment we injected in the tail of the mouse a higher dose of PEG-HGNs ($100 \mu\text{g}$ per animal) or one million MSCs per animal incubated with $100 \mu\text{g}$ PEG-HGNs. In this case, one day after the injection, tumors were irradiated. At this time point, before irradiation, a dark staining at the tumor site was discernible where PEG-HGNs were used, while this was not observed for

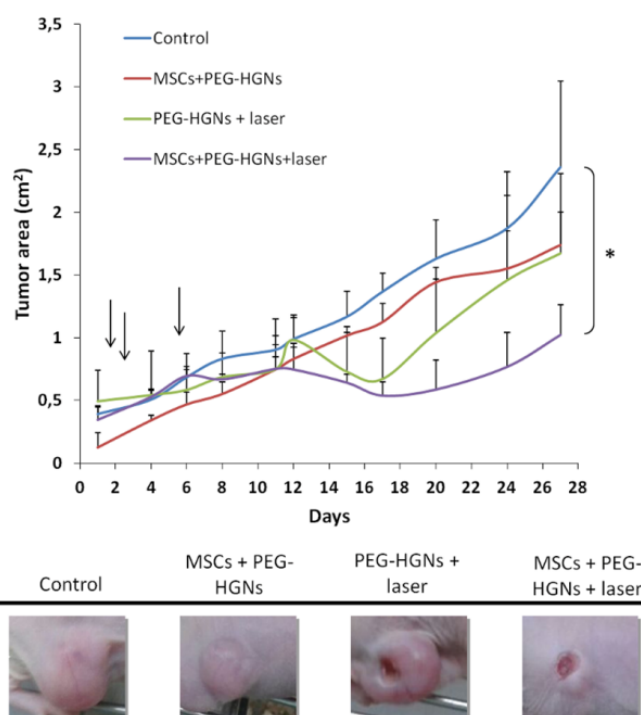


Figure 5. Photothermal therapy on U251MG cell-line xenograft tumors after intravenous administration. (a) Tumor size evolution over time for both treated and control groups. The first arrow indicates the time in which HGNs or HGNs-loaded in MSCs were administered, the second and the third arrows show the time span in which the laser irradiation took place. The difference between control and the group marked with * were statistically significant ($p < 0.05$) (b) Photographs of U251MG xenografts at day 12 after the first laser irradiation.

1 the control group. This indicates that part of the PEG-HGNs
 2 had already reached the tumor probably due to the EPR effect,
 3 in agreement with previous works using dynamic imaging
 4 studies in which it was demonstrated that metal nanoparticles
 5 accumulate immediately in the tumor *in vivo*^{33,34}. One week
 6 after the intravenous injection we irradiated the tumors again,
 7 because, as it was previously reported, it takes approximately
 8 one week for bone marrow MSCs to accumulate in the tumoral
 9 area³⁵.

10 Tumor development was followed until mice carried tumors at
 11 the ethically allowed size. At the end of the experiment the
 12 size of the tumors in the group with MSCs + PEG-HGNs and
 13 laser irradiation showed a higher decrease in the projected
 14 area compared to the group treated with just PEG-HGNs and
 15 irradiated laser without Trojan cells as carriers. This suggests
 16 that MSCs have been able to carry the nanoparticles to the
 17 tumors more efficiently than the well-known extravasation
 18 achieved thanks to the EPR effect when using free
 19 nanoparticles. The heterogeneity of the EPR effect in human
 20 cancers, and the uncertainty regarding the intensity of its
 21 effect in clinical cases has been widely reported in the
 22 literature³⁶ although experimental patient data corroborating
 23 its therapeutic mechanism are reduced⁷. Our results are
 24 agreement with the work of Zhang et al.²⁹ who demonstrated
 25 a co-localization of human MSCs loaded with HGNs around
 26 tumoral blood vessels. Between the control group and the
 27 group treated with loaded MSCs and laser the differences
 28 the tumor projected area at the end of the experiment were
 29 statistically significant ($p < 0.05$). In the group with MSCs + PEG-
 30 HGNs without laser no significant differences were observed
 31 the total tumor projected area compared to the one measured
 32 for the control. Smith et al.³⁶ have recently reported how
 33 intravenously administered carbon nanotubes might be
 34 uptaken by a specific subset of circulating inflammatory
 35 monocytes which carry them into the tumor. This means that
 36 in the case of free PEG-HGNs in addition to extravasation and
 37 accumulation due to the EPR a monocyte-based transport
 38 might also be contributing to HGN accumulation. However, the
 39 reported ability of MSCs to engraft in the tumoral stroma
 40 would likely deliver higher doses of therapeutic nanoparticles
 41 in the target. To corroborate this aspect, histological studies
 42 were carried out on the tumors (Figure 6).

43 **Histological and immunohistochemical analysis.** The
 44 histological studies demonstrated that all the tumors showed
 45 an expansive, non-encapsulated, highly cellular neoplastic
 46 proliferation composed of extensive sheets of polyhedral
 47 highly pleomorphic cells with atypical mitosis. Tumor cells
 48 showed round to ovoid nuclei, prominent nucleoli and
 49 moderated, ill-defined eosinophilic cytoplasm. In those cells
 50 the stroma was formed by a scant connective tissue.

51 As shown in Figure 6, all groups (B1-B4) exhibited tumor
 52 masses with a central necrotic area. Interestingly, whereas B1

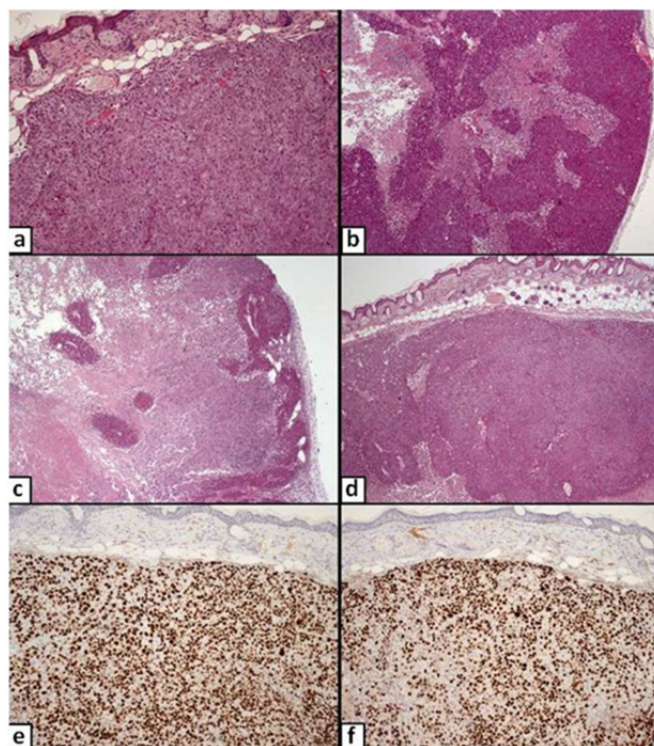


Figure 6. Hematoxylin-eosin staining results for histological sections recovered at the end of the experiment. (a-d). Ki-67 staining (e-f). (a) Control tumor (B1 group). Highly cellular pleomorphic neoplastic proliferation. H&E 10x. (b) PEG-HGNs+ laser treated tumor (B2 group). Multifocal necrotic areas on the tumor parenchyma. H&E 4x. (c) MSCs+ PEG-HGNs+ laser treated tumor (B3 group). Focally extensive necrotic area affecting most of the tumor parenchyma. H&E 4x. (d) MSCs+ PEG-HGNs (B4 group). Highly cellular, expansive neoplastic proliferation. H&E 4x. (e) Control tumor (B1 group). Positive staining for Ki-67 on 78% of neoplastic cells. IHC 10x. (f) MSCs+ PEG-HGNs+ laser treated (B3 group). Positive staining for Ki-67 on 59% of neoplastic cells. IHC 10x.

(control) and B4 (MSCs + PEG-HGNs without laser) tumors presented a single central area of necrosis, B2 (PEG-HGNs + laser) and B3 (MSCs + PEG-HGNs with laser) tumors showed additionally extensive multifocal necrotic areas within peripheral, viable tumor parenchyma. Regarding the mitotic activity index, B1 and B4 tumors showed a mean of 15 mitotic figures/10 HPF whereas the mean for B2 and B3 tumors was 9 mitotic figures/10 HPF. Globally, these results corroborate the efficiency of treatment using photothermal nanoparticles combined with laser irradiation.

The immunohistochemical analysis on the central area of the tumors recovered at the end of the experiment for Ki-67 expression indicated that B2 and B3 tumors exhibited lower expression of the protein when compared to control B1 and B4 tumors (see Supporting Information Table 1), being the difference on the protein expression between B2 and B3, B3 and B1, and B2 and B1, statistically significant ($p < 0.05$). Ki-67 is a nuclear antigen expressed only in the different phases of cellular division, and therefore, it is widely used as a

1 proliferation biomarker to estimate growth rates. In the
 2 regard, Ki-67 expression has been proposed as a prognostic
 3 indicator of cerebral high-grade glioblastomas³⁷. These
 4 histological results would suggest the possibility of a better
 5 prognosis for tumors subjected to this therapy, especially on
 6 the group treated with MSCs+ PEG-HGNs+ laser. 32

7 The histological study demonstrated that the liver from B2 and
 8 B3 animals presented scattered dark accumulations suggestive
 9 of HGNs. These deposits seem to be more abundant in B2
 10 Specific silver staining for HGNs was positive in tumors from B2
 11 and B3, predominantly in the periphery of the neoplastic
 12 proliferation. Furthermore, the complete blood count (CBC)
 13 analyses did not present any change in all animals. 41

14 No histopathological lesions were found in the organs studied
 15 (kidney, liver, lung and spleen), denoting the lack of systemic
 16 injuries by the proposed localized treatment (Figure 7). 43

17 ICP-OES analysis did not detect gold in post mortem analysis of
 18 the tumors of the mice administered with HGNs. This is likely
 19 due to the fact that, after 27 days (the total number of days
 20 from the injection) the nanoparticles were mostly cleared from
 21 the tumor site and any remaining gold was under the
 22 detection limit of the system ($50 \mu\text{g}\cdot\text{L}^{-1}$). This represents a very
 23 positive result considering that nanoparticles with optimized
 24 clearance characteristics will minimize toxicity risks. This result
 25 was also not surprising considering that it has been reported

that the gold content in the tumor tissue amounts to $\approx 1\%$ of
 its concentration in the injected suspensions³⁸. Bioaccumulation
 in other organs was observed mainly for the B2 animals (animals
 which received just the IV administration of PEG-HGNs + laser)
 with a larger accumulation in the liver and the spleen (1.2 and
 0.06 ppm, respectively 24h post injection) compared to the B3
 animals for which at the same time only 0.024 ppm were detected
 in the liver. Therefore, a reduced bioaccumulation was observed
 when using MSCs as carriers of the same nanoparticles. Since
 both liver and spleen are typical organs where macrophages of
 the mononuclear phagocyte system reside, the low bioaccumulation
 for B3 animals is consistent with a scenario where most of the
 HGNs remain inside MSCs and are therefore not subjected to
 detection and capture by macrophages. At the experiment end-
 point gold was not detected in the tumors for both B2 and B3
 groups.

Materials and Methods

Synthesis of Hollow Gold Nanospheres. Cobalt chloride
 hexahydrate (ACS reagent grade), sodium citrate tribasic
 dihydrate ($\geq 98\%$), poly(vinylpyrrolidone) (PVP, Mw = 55000
 Da), sodium borohydride ($\geq 99\%$), chloroauric acid trihydrate
 (ACS reagent grade) and poly(ethylene glycol)methyl ether
 thiol (SH-PEG; Mw = 1000 Da) were purchased from Sigma-
 Aldrich. All of these chemicals were used as received.
 Nanoparticle synthesis was performed following the protocol

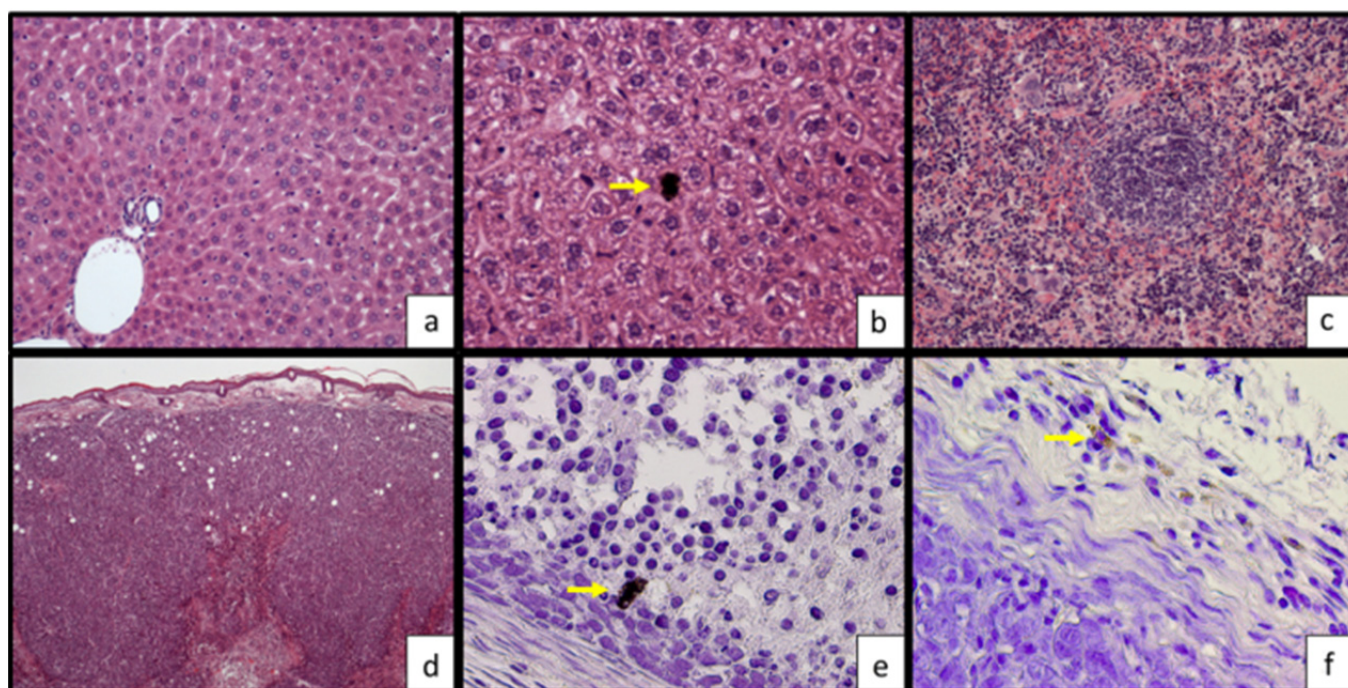


Figure 7. Histological sections recovered at the end of the experiment. (a) Liver (B3 group). Normal liver architecture with hepatocytes arranged in anastomosing plates. Note the regular portal triad. H&E 20x. (b) Liver (B2 group). Note the dark extracellular accumulation within the hepatocytes (arrow). Suggestive of PEG-HGNs deposit. H&E 60x. (c) Spleen (B3 group). The image shows normal organization of the white and red pulps. There are megakaryocytes scattered through the red pulp. H&E 20x. (d) Tumor (B2 group). Expansive highly cellular neoplastic proliferation with a central area of necrosis. H&E 2.5x. (e) Tumor (B3 group). Intracellular positive material (arrow) for the histochemical Silver Stain, compatible with PEG-HGNs deposit 40x. (f) Tumor (B3 group). Intracellular positive material (arrow) for the histochemical Silver Stain, compatible with PEG-HGNs deposit 40x.

- 46 penicillin/streptomycin and 1% amphotericin were used under
47 normoxic conditions.
- 48
49 **HGNs cytotoxicity evaluation.** The Alamar Blue[®] was the
50 colorimetric assay used to evaluate cell viability and
51 cytotoxicity. This method is based on the reduction of
52 resazurin to resorufin by mitochondrial oxidoreductases.
53 During the evaluation, MSCs were seeded into 96-well culture
54 plates at a concentration of 5×10^3 cells per well in 100 μL of
55 the above mentioned medium. After incubation at 37°C in a
56 5% CO₂-humidified incubator under hypoxic conditions for 24
57 h, the medium was changed to 100 μL of freshly prepared
58 medium enriched with HGNs (5, 10, 20, 50 and 100 $\mu\text{g mL}^{-1}$) or
59 with PEG-HGNs (20, 50, 100 and 500 $\mu\text{g mL}^{-1}$) and cells were
60 cultured for another 24, 48 and 72 h. At these different time
61 points cells were washed with PBS and then treated with 10%
62 (v/v) of resazurin dye reagent prepared in DMEM F-12
63 medium. Culture plates were then placed in a 37°C/5% CO₂
64 incubator under hypoxic conditions for 2 h. Afterwards,
65 fluorescence was evaluated at 530/590 nm
66 excitation/emission wavelengths using a Synergy HT (Biotek)
67 plate reader.
- 68
69 **Cellular uptake of nanoparticles.** Confocal microscopy
70 characterization (Spectral Confocal Microscope Leica TCS SP2)
71 was carried out to evaluate cellular uptake and trafficking on
72 the cells studied. In this case, cells were seed at a density of $3 \times$
73 10^4 cells on 20 mm cover slips (in a 24-well plate) and allowed
74 to grow for 2 days. Then, HGNs (50 $\mu\text{g mL}^{-1}$) in DMEM F-12
75 were added keeping the incubation for 1 day. Finally, cells
76 were fixed with para-formaldehyde 4% and stained with
77 phalloidin to label the cytoplasmic actin. HGN-based
78 agglomerates were directly observed by reflection in the
79 confocal microscope without the need of using fluorophores.
80 For obtaining the SEM Dual-Beam (FEI Nova 200 Dual-Beam
81 SEM/FIB) images cells were dehydrated, dyed and embedded
82 in resin before observation. The electronic observation was
83 combined with a focused ion beam (FIB) to visualize and cut
84 the MSCs. Gallium was used as liquid metal ion source to
85 produce cross-sections of the adherent cells. Both the ion
86 column and the electron column operated at accelerating
87 voltages of 30kV.
- 88
89 **Flow cytometry.** Nanoparticle internalization was evaluated by
90 using flow cytometry. In this case, 5 mL of HGNs or PEG-HGNs
91 (1 mg mL^{-1}) dispersions were maintained under agitation with
92 Rhodamine 123 (1 mg mL^{-1} , 25 μL) for 1 hour. To remove any
93 potential unbound dye, each dispersion was then dialyzed for
94 two days against distilled water. Afterwards, 1×10^6 cells were
incubated with the corresponding Rhodamine-labeled
- 1 described by Preciado-Flores et al.³⁹ with slight modification.
2 Briefly, in a two-necked round-bottom flask 400 mL of distilled
3 water, 400 μL of 0.4 M of cobalt chloride hexahydrate
4 ($\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$) and 1.6 mL of 0.1 M sodium citrate trihydrate
5 ($\text{Na}_3\text{C}_6\text{H}_5\text{O}_7 \cdot 3\text{H}_2\text{O}$) were mixed under an inert Ar atmosphere
6 to avoid a premature Co oxidation. After 40 minutes, 2 mL of
7 1 wt. % solution of poly (vinylpyrrolidone) (PVP) with
8 average Mw of 55000 Da and 400 μL of 1.0 M sodium
9 borohydride (NaBH_4) were added. The color change from pale
10 pink to brown was indicative of the cobalt nanoparticle
11 formation. Afterwards, 120 mL of distilled water and 180 μL
12 0.1M chloroauric acid trihydrate ($\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$) were mixed
13 with 360 mL of the previous cobalt-based dispersion used as
14 sacrificial template to promote the formation of CoCl_2 , Co
15 and the reduction of Au^{3+} rendering hollow Au-based shells.
16 The resulting HGNs were coated with poly-ethylene glycol
17 using an excess of monofunctional SH-PEG, to take advantage
18 of the strong chemical bond between Au and S⁴⁰. Any excess of
19 unbound PEG was removed by dialysis.
- 20 The concentration of the final dispersion was adjusted
21 centrifugation at 10000 rpm for 10 minutes and both HGNs
22 and PEG-HGNs were thoroughly characterized by transmission
23 electron microscopy (T20-FEI Tecnai thermoionic transmission
24 electron microscopy (TEM)) operated at 200 kV with a LaB₆
25 electron source fitted with a "SuperTwin[®]" objective lens
26 allowing a point-to-point resolution of 2.4 Å. Elemental
27 analysis was carried out with an EDS (EDAX) detector which
28 allows performing EDS experiments in the scanning mode.
29 Scanning electron microscopy (FEI Inspect F30), and
30 thermogravimetric analysis (TGA; Mettler Toledo TGA/STDA
31 851e) were also made. Samples were analyzed in
32 atmosphere (gas flow 50 mL/min) in a temperature range
33 between 30 and 850 °C with a heating rate of 20 °C/min. UV-
34 visible spectroscopy (Jasco V670) was also used in the HGNs
35 characterization.
- 36 **Cell culture conditions.** Murine mesenchymal stem cells (MSCs)
37 HeLa cells and human U251MG glioma cells were obtained
38 from Lonza and Cancer Research-UK cell services, respectively.
39 MSCs were cultured in Dulbecco's modified Eagle's F-12
40 medium (DMEM F-12, GIBCO) with 10% fetal bovine serum
41 (FBS, GIBCO), 1% penicillin/streptomycin and 1% amphotericin
42 and maintained at 37°C in a 5% CO₂-humidified atmosphere
43 under hypoxic conditions (3% O₂). For culturing U251MG and
44 HeLa cells Dulbecco's modified Eagle's medium (DMEM
45 GIBCO) with 10% fetal bovine serum (FBS, GIBCO),

- 1 nanoparticles and at different time points cells were washed
 2 twice with PBS and trypsinized. After centrifugation, cells were
 3 resuspended in PBS (1×10^6 cells·mL⁻¹) and analyzed by flow
 4 cytometry with an ImageStreamX (Seattle, WA, USA). 55
 59
- 5 **Cell irradiation.** To study the laser effect in the cells containing
 6 sub-cytotoxic doses of internalized HGNs, MSCs (50000
 7 100000 cells/well) were incubated with the HGNs (0.02 or 0.06
 8 mg·mL⁻¹) during one day. After that, those adherent cells were
 9 washed twice with PBS, to reduce any possible heating
 10 potentially produced by free, non-internalized nanoparticles
 11 present in the medium. MSCs were then irradiated in DMEM
 12 12 during 30 minutes with a NIR laser (808 nm) at $1\text{W}\cdot\text{cm}^{-2}$ of
 13 irradiance. Then, irradiated cells were incubated in a 37°C/5%
 14 CO₂ incubator under hypoxic conditions for 48 h. After that
 15 time cells were incubated with the LIVE/DEAD® fluorescent
 16 reagent (Life Technologies) following the manufacturer's
 17 protocol and visualized under an inverted fluorescence
 18 microscope (Olympus IX81). The Alamar Blue® assay was also
 19 used in order to compare the viability of the irradiated cells
 20 with and without internalized nanoparticles. 56
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- 21 **In vivo experiments.** All procedures were carried out under
 22 Project License PI 14/11 approved by the in-house Ethical
 23 Committee for Animal Experiments from the University of
 24 Zaragoza. The care and use of animals were performed
 25 accordingly with the Spanish Policy for Animal Protection
 26 RD53/2013, which meets the European Union Directive
 27 2010/63 on the protection of animals used for experimental
 28 and other scientific purposes. For these experiments six-
 29 eight-week-old female BALB/c nu/nu mice (Harlan Iberica)
 30 were used. All the animals received subcutaneous injections
 31 of 5×10^6 U251MG cells or HeLa cells suspended in 200 µl of PBS
 32 for the generation of subcutaneous xenograft tumors. When
 33 the tumor size was $>0.5\text{cm}^2$, the animals were divided into
 34 groups of four mice per group. 76
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- 35 Two independent experiments were performed in order to
 36 assess the efficiency of the photothermal therapy, gold
 37 nanoparticles biodistribution and toxicity. In the first
 38 experiment, direct intratumoral injections of the nanoparticle-
 39 laden cells (HGns and PEG-HGns) were used to demonstrate
 40 the efficiency of the photothermal therapy, with five
 41 experimental groups. In this case, all groups were injected with
 42 5×10^6 HeLa cells in one flank of the mouse for tumor
 43 induction. Group 1 included control animals which did not
 44 receive any treatment; Group 2 included animals that received
 45 laser irradiation but not HGns injection; Group 3 animals
 46 received HGns injection (50 µg) but not laser irradiation;
 47 Group 4 animals received HGns (50 µg nominal dose) carried
 48 by 10^6 MSCs and laser irradiation and finally group 5 received a
 49 direct injection of HGns (50 µg) and laser irradiation. After one
 50 day of nanoparticle or MSC administration these two last
 51 groups and the control group were irradiated with a NIR-laser
 52 (808nm) at an irradiance of $1\text{W}\cdot\text{cm}^{-2}$ during 5 min. Tumor size
 53 was periodically evaluated by using a caliper up to 15 days
 54 after irradiation. 78
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- The second experiment was aimed to assess the efficiency of
 MSCs as carriers of HGns compared to conventional
 intravenous administration of plasmonic nanoparticles. To this
 end, we divided the animals into four groups (B1-B4). The first
 group served as control (B1). The second group (B2) received
 an intravenous injection of 100 µg PEG-HGns in 100 µl of PBS
 through the tail vein. The third (B3) and fourth (B4) groups
 were injected with a suspension of 1×10^6 MSCs separately
 loaded with 100 µg PEG-HGns in 100 µL of PBS. Previously,
 cells were incubated with the PEG-HGns for 24 hours, and
 afterwards cells were washed, trypsinized and one million of
 MSCs containing nanoparticles were injected in the tail vein.
 One day after intravenous injection, the second and third
 groups were irradiated during 5 min with a NIR laser (808 nm)
 at $1\text{W}\cdot\text{cm}^{-2}$ irradiance around all the tumoral area using a spot
 size larger than the tumor projected surface. The fourth group
 did not receive any laser irradiation. One week after
 intravenous injection the same dose of irradiation was used
 again following the same protocol. Tumor size evolution was
 evaluated over two weeks, until the tumor size was as big as
 the maximum size permitted by the University ethics
 committee. At this time point, animals were euthanized by
 CO₂ inhalation. Tumor, kidney, liver, lung and spleen were
 collected from each animal for histopathological analysis and
 to evaluate gold bioaccumulation by ICP-MP-AES (4100,
 Agilent).
Statistical analysis. All experiments were repeated in triplicate
 unless otherwise stated. Statistical evaluation of data was
 carried out using the STATA SE 12 software. To study the
 statistic differences between groups, a Bonferroni test was
 carried out. $p < 0.05$ was regarded as statistically significant.
Tissue analysis. Tissues were formalin-fixed and processed
 using routine histological methods. Sections of 3 µm were
 prepared after paraffin embedding and the slides were stained
 with hematoxylin and eosin. Microscopic lesions were
 described and a mitotic activity index was calculated by
 counting mitotic figures in ten consecutive high-power fields
 (HPF, 40x) from the most densely packed cellular area of the
 corresponding sample. To evaluate the proliferation index, an
 immunohistochemical analysis with Ki-67 (FLEX monoclonal
 mouse anti-human Ki67 clone MIB-1 from DAKO, Denmark)
 was performed. Sections were incubated at room temperature
 with primary antibodies for 20 min at pH 6. The Envision
 Flex/Hrp Dako™ visualization system was used followed by a
 counterstaining with hematoxylin. Negative control sections
 received only antibody diluent instead of the primary
 antibody. Ki-67 expression was evaluated in all groups by
 counting positive and negative cells in ten consecutive HPF
 using the ImageJ software. On those images, the percentage of
 positive cells and their confidence interval (95%) were
 calculated. Silver staining (Sigma-Aldrich, Silver Enhancer Kit®)
 was applied in histological slides of the tumor for detecting
 HGns. This staining enlarges the gold colloid label by

1 precipitation of metallic silver to give a high contrast signal
 2 visible under a light microscope. 50
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 3 Organs and tumors were digested by dissolving them in nitric
 4 acid during 1 hour at 90°C and hydrogen peroxide for one
 5 more hour. Digested samples were diluted and gold content
 6 analyzed by ICP-MP-AES (4100, Agilent). Elemental gold
 7 standard (TraceCERT®, 1000 mg/L Au in hydrochloric acid,
 8 Fluka) was used for calibration. 52
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 9 **Conclusions** 57
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 10 Hollow gold nanoparticles act as efficient transducers *in vivo* 59
 11 near infrared light into heat when stereotactically injected in
 12 subcutaneous HeLa cell-line xenograft tumors. However,
 13 delivering a dose of HGNs to the tumor that is sufficient
 14 to achieve the desired thermal effects is challenging when the
 15 HGNs are intravenously administered. This was clear in the
 16 treatment of subcutaneous U251MG cell-line xenograft
 17 tumors, where a poor therapeutic result was obtained for PEG-
 18 HGNs injected intravenously in spite of their PEG coating. Two
 19 factors are likely contributing to this effect, on the one hand
 20 the intrinsic heterogeneity of the EPR effect that leads to a
 21 variable distribution of plasmonic nanoparticles within the
 22 tumor. On the other, the fact that a significant fraction of the
 23 free PEG-HGNs injected intravenously are detected and
 24 cleared by RES macrophages, as shown by the gold
 25 accumulation found in the spleen and liver of the test animals
 26 reducing the delivery of HGNs to the tumor. Both problems
 27 were alleviated by using MSCs as carriers of those
 28 nanomaterials. 60
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