End-Cretaceous marine mass extinction not caused by productivity collapse

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An asteroid impact at the end of the Cretaceous caused mass extinction, but extinction mechanisms are not well-understood. The collapse of sea surface to sea floor carbon isotope gradients has been interpreted as reflecting a global collapse of primary productivity (Strangelove Ocean) or export productivity (Living Ocean), which caused mass extinction higher in the marine food chain. Phytoplankton-dependent benthic foraminifera on the deep-sea floor, however, did not suffer significant extinction, suggesting that export productivity persisted at a level sufficient to support their populations. We compare benthic foraminiferal records with benthic and bulk stable carbon isotope records from the Pacific, Southeast Atlantic, and Southern Oceans. We conclude that end-Cretaceous decrease in export productivity was moderate, regional, and insufficient to explain marine mass extinction. A transient episode of surface ocean acidification may have been the main cause of extinction of calcifying plankton and ammonites, and recovery of productivity may have been as fast in the oceans as on land.

carbon cycle | Cretaceous/Paleogene boundary | pelagic ecosystems | cysts | inhibition of photosynthesis

At the Cretaceous/Paleogene (K/Pg) boundary (∼65.5 Ma) a large asteroid impacted the Yucatan Peninsula (Mexico), triggering severe but selective extinctions (1). Proposed causes of mass extinction resulting from the impact at different time-scales include global darkness due to emission of dust and aerosols, ozone destruction, global cooling or warming, and ocean acidification (1–3). Light levels sufficiently low to prevent photosynthesis for longer than the life cycle of oceanic phytoplankton (weeks to months), have commonly been seen as the prime cause of collapse of oceanic primary productivity and the subsequent mass extinction at higher levels of the marine food chain (e.g., ammonites, large predatory fish, mosasaurs) (2). A collapse in oceanic surface-bottom gradient in carbon isotope values (i.e., the difference in carbon isotope values in shells of benthic and planktic organisms) persisted for hundreds of thousands to a few million years (4–6), and has been interpreted as reflecting global collapse of primary productivity (Strangelove Ocean) (4) or export productivity (Living Ocean) (5, 6). Recovery of oceanic productivity was argued to have been much slower in the oceans than on land (7). Neither deep-sea benthic foraminifera nor deep-sea benthic ostracodes, however, suffered significant extinction (8–11), although these depend upon phytoplankton for their food (12) and should have suffered severe extinction if their food supply had been cut off for 10^5 to 10^6 years (13).

There is considerable evidence that there was no long-term, global collapse of primary productivity (5). Extinction in calcareous nannoplankton was severe, although geographically variable (14) and followed by low-diversity blooms. Extinction in other photosynthesizers, such as the related noncalcifying haptophytes (15), which may have been dominant photosynthesizers (16), the siliceous diatoms (17, 18), and the organic-walled and calcareous dinoflagellates (19, 20) was much less severe (21). Algal biomar-

kers indicate a rapid recovery of primary productivity (22). At least regionally, dinoflagellates (19, 20) and heterotroph and mixotroph plankton such as planktic foraminifera (23) and radiolarians (17) flourished after the K/Pg extinction, and benthic foraminifera indicated a high food flux (9) (Fig. 1). Postextinction planktic foraminiferal and nannoplankton assemblages indicate eutrophic conditions, with oligotrophic assemblages evolving later (24).

According to ecological theory, one would expect productivity in terms of biomass (though not biodiversity) to recover as soon as environmentally possible after the asteroid impact, probably with large opportunistic blooms reflecting nutrient availability and environmental instability (5). The collapse in vertical $\delta^{13}C$ gradient has been argued to represent only a slight increase (from 90 to 95%) in the fraction of total organic production remineralized in the upper 200 m of the oceans (5), but others invoked catastrophic decline of the organic flux to the sea floor (6). A regionally variable, moderate decrease in export productivity agrees with deep-sea benthic foraminiferal evidence (9, 25) (Fig. 1) and geochemical export productivity proxies (26), but a global collapse of export productivity for several millions of years (4) is in strong disagreement with foraminiferal and geochemical evidence.

We attempt to reconcile records of benthic foraminiferal assemblage change with bulk carbon isotope records (reflecting calcification by calcareous nannoplankton in the upper few hundred meters of the ocean, e.g., ref. 27) and bottom (benthic foraminiferal) records obtained on the same samples from four sites (Fig. 1).

Results and Discussion

Bulk $\delta^{13}C$ values increased in the latest 200 kyr of the Cretaceous (Fig. 2), reaching a maximum just before the K/Pg boundary (65.5 Ma). All sites show a sharp decrease in bulk carbonate $\delta^{13}C$ values at the boundary as reported earlier (1, 3–7), but the pattern of change varies geographically. Across the K/Pg boundary, benthic foraminiferal $\delta^{13}C$ values show an increase of about 0.8‰ at all sites, with Southeast Atlantic and Southern Ocean values reaching maximum values (∼2.25‰), higher than Pacific samples. The gradient between benthic and planktic values collapsed, at some locations even reversed (Fig. 3, Fig. 4).

The benthic faunal records also show geographically different patterns (Table S1, Table S2), with Pacific sites characterized by a sharp peak in benthic foraminiferal accumulation rates (BFARs) (Fig. 3) and high percentages of infaunal taxa in the earliest Paleocene (Fig. 4). Southern Ocean site 690 shows relatively little
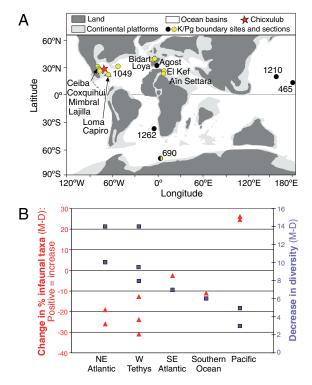
Fig. 1. Location map and changes in benthic foraminiferal diversity and infaunal morphogroups across the K/Pg boundary. Location of sections and drill sites discussed in the text. (*A*) Yellow circles indicate a decrease in food flux to the sea floor as estimated from benthic foraminiferal evidence, black circles an increase, and half black circles indicate no significant change. See *SI Materials and Methods* for construction of map. (*B*) Blue squares show the decrease in diversity from uppermost Maastrichtian (Cretaceous) to lowermost Danian (Paleogene) for locations shown in *A*, red triangles show the change in the percentage infaunal taxa, a productivity indicator; data sources are given in *SI Materials and Methods*.
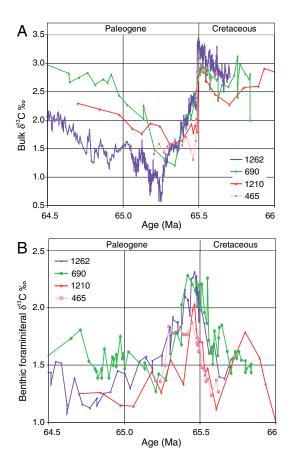

Fig. 2. Bulk (*A*) and benthic foraminiferal (*B*) carbon isotope records across the K/Pg boundary at sites in the Pacific, Southeast Atlantic, and Southern Ocean. See Fig. 1 for location.

difference in BFAR, with overall declining values of infaunal taxa. Southeast Atlantic site 1262 shows declining values in both proxies, with a further decline about 400 kyr after the boundary (Fig. 3, Fig. 4). In general, the benthic foraminiferal patterns agree with biogenic Ba records (26). There is thus disagreement between benthic foraminiferal and geochemical proxies and stable isotope records from the same samples: Where the vertical carbon isotope gradient collapsed (suggesting decreased export productivity), benthic foraminifera and biogenic Ba records either show little change (Southeast Atlantic, Southern Ocean), or an increase (Pacific).

It has been argued that benthic foraminifera survived because they are adapted to food-starved environments (4). We argue that it is precisely because the deep-sea is food starved that food supply is the most important ecologically limiting variable (12), and a long-term global collapse of the food supply would have caused massive extinction. We argue that the collapsed carbon isotope gradient (~500 kyr, Fig. 2) does not reflect a severe global collapse in export productivity. Extinction of fecal pellet producing zooplankton does not have to lead to a collapse in export productivity: Flocs of organic matter (marine snow) are transported to the sea floor more efficiently in the absence of zooplankton (28), because zooplankton's activity disaggregates these particles.

The bulk carbon isotope records probably represent complex, multiple signals (29). The rapid and sharp decrease coincident with the K/Pg boundary may well represent a moderate decrease in export productivity (5). Another part of the decrease reflects the extinction of the carriers of the isotopic record, calcareous nannoplankton, rather than a change in $\delta^{13}C$ of dissolved inor-

ganic carbon (DIC) in the oceans (29). Benthic $\delta^{13}C$ records are based on the same species throughout, but the bulk records before and after extinction are measured on different components because of the mass extinction of pelagic calcifiers (Fig. 2) (1, 5, 6, 14). Postextinction values in part show the isotopically light signature of calcareous dinocysts (Table S3), similar to the isotopic signature of the living *Thoracosphaera heimi* (30). Small biserial and triserial planktic foraminifera are abundant after the boundary and their fragments contribute to the bulk signal, and generally have a light isotopic signature, as does the living triserial planktic *Gallitellia vivans* (31). Planktic foraminiferal $\delta^{13}C$ values declined because smaller forms are generally isotopically lighter because of metabolic effects, and postextinction, nonsymbiont bearing species were isotopically lighter than preextinction symbiont bearers (32). In addition, the effect of the solubility pump on $\delta^{13}C$ of DIC, working in the opposite way as the biological pump, became more pronounced during decreased export productivity (33). Finally, the long-term (several 10^5 years) bulk carbon isotope record is influenced by orbital scale variability (34), in part linked to hyperthermal events with associated carbon isotope excursions, one of which might have occurred about 250 kyr after the K/Pg boundary (35), coeval with the minimum bulk value at site 1262 (Fig. 2). A discussion of hyperthermal events and variability in long-term $\delta^{13}C$ records is outside the range of this paper, but we conclude that the bulk carbon isotopic record cannot be explained by the effect of the K/Pg extinction on vertical gradients in DIC only.

What caused the marine mass extinction if we are correct in our argument that severe collapse in productivity (in terms of biomass) did not occur? Noncalcifying phytoplankton (dinoflagellates, diatoms, haptophytes) did not suffer severe faunal
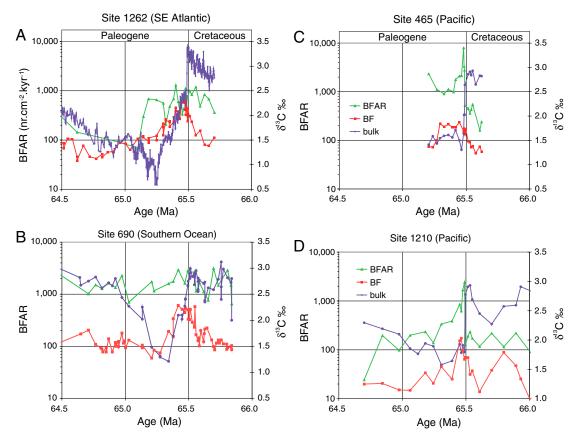
GEOLOGY

Alegret et al.

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Fig. 3. BFAR (nr·cm⁻²·kyr⁻¹), indicative of food supply to the sea floor, as compared to benthic (BF) and bulk carbon isotope records for sites in the Southeast Atlantic (*A*), Southern Ocean (*B*), and Pacific Ocean (*C* and *D*). See Fig. 1 for location.

turnover, suggesting that darkness may have contributed, but may not have been the most important cause of extinction, in agreement with arguments that not sufficient fine dust was generated by the impact to cause prolonged, severe darkness (36). Patterns of nannoplankton extinction as well as changes in the benthic foraminiferal assemblages confirm that phytoplankton extinction may have been less severe at high southern latitudes (14, 24) (Figs. 1, 3, and 4), possibly because the impact occurred during southern hemisphere winter when photosynthesizers would have been hibernating because of seasonal darkness. Changes in overall composition of oceanic phytoplankton, due in part to the extinction of calcareous nannoplankton and replacement by other photosynthesizers, could have severely affected higher levels of the food chain, even if primary productivity was high (37).

We speculate that rapid ocean acidification could have been a major causal factor (2, 3). Acidification probably was not caused by high atmospheric CO_2 levels (38), but nitric acid may have been generated by N_2-oxidation due to heating of the atmosphere by the impactor (with *N*-deposition contributing to nutrients for blooms), and sulfuric acid by an impact on gypsum-containing sediment (1, 3). Fragile, thin-walled foraminifera in the clay interval deposited during about 10,000 y following the extinction are preserved in pristine condition, but this observation does not preclude a transient (months to years) event of severe acidification of surface waters. A severe but short, rapidly buffered period of acidification of surface waters may well explain the extreme severity of extinction of short-lived, open-ocean unicellular calcifiers (1–6, 14), and possibly Caribbean rudists (39). There is insufficient evidence that the extinction of planktic foraminifera and calcareous nannoplankton was caused by their lack of resting cysts: non-cyst-forming groups such as radiolarians (17) and non-calcifying haptophytes (15) survived as well. Calcifying dinoflagellates survived and bloomed after the extinction (19, 20), but their

modern relatives have noncalcifying life stages. Hermatypic corals did not suffer extreme extinction (40), but these organisms could have survived short-term, ocean acidification (41), which was rapidly buffered in shallow waters.

Transient severe acidification could have been a factor in the differential extinction of ammonites and nautiloids. Both groups form aragonitic shells, but the planktivorous ammonites lived within the uppermost few hundred meters of the oceans, whereas nautiloids live deeper and form large lecitrophic eggs in protective egg capsules (42), in contrast to the small floating egg masses of ammonites. Nautiloids would therefore have been less affected by acidification. Extinction of such an important group of large invertebrates may have reverberated through the food chain, specifically affecting top-level predators such as large, active fish (43) and mosasaurs. Removal of the top-predators in its turn may have had cascading effects on the lower levels of the food chain (44). Calcifying organisms in coastal waters, from which living plankic foraminifera and nannoplankton evolved after the extinction, may have been protected from the short-term surface water acidification if the coastal regions became eutrophic (45), because of destruction of flora and resulting increase in nutrient-rich runoff.

Finally, rapid acidification could have been a cause of decreased export productivity in some regions because of its effects on the pelagic calcifiers, leading to increased remineralization (5), and thus to low oxygen conditions in eutrophic regions (46), as, e.g., observed in the Danish K/Pg sections (3, 19, 22).

The rapid onset of the acidification event due to an impact (more rapid than even anthropogenic acidification) may have led to a transient, severe acidification of the surface ocean followed by rapid buffering, but leading to the massive extinction of the short-lived pelagic calcifyers while providing for the survival of deep-sea benthos including ostracodes (10). This pattern of extinction contrasts with the much slower acidification at the
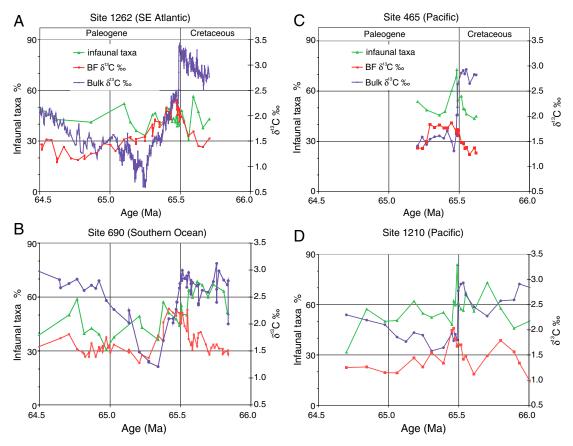
Fig. 4. Relative abundance of infaunal benthic foraminifera, indicative of food supply to the sea floor, as compared to benthic (BF) and bulk carbon isotope records for sites in the Southeast Atlantic (*A*), Southern Ocean (*B*), and Pacific Ocean (*C* and *D*). See Fig. 1 for location.

end of the Paleocene, when deep-sea benthos suffered severe extinction but calcifying plankton survived (47).

We conclude that it was not a collapse of primary or export productivity that caused the marine mass extinction after the asteroid impact at the K/Pg boundary. The oceans may have been relatively eutrophic, supporting plankton blooms while oceanic productivity in terms of biomass, but not in terms of diversity, recovered rapidly, as proposed for terrestrial productivity (7, 48). Mass extinction in the oceans may have been caused mainly by a transient period of extreme acidification of the surface oceans. Study of the effects of the end-Cretaceous extinction thus may assist in evaluation of the effects of extreme and rapid acidification, having more severe effects on calcifying plankton in the surface waters and less on deep-sea benthos than slower acidification.

Materials and Methods

Samples were provided by the integrated Ocean Drilling Program. Sediments were dried, then soaked in warm water with detergent, and wet-sieved over a 63-μm sieve. Benthic foraminifera were picked from the >63-μm size fraction, with taxonomy and assignment to infaunal and epifaunal groups and calculation of BFAR according to refs. 9, 12, and 25 (*SI Materials and Methods, Table S1, Table S2*). We use the percentage of infaunal taxa and the BFAR as independent proxies for food flux to the sea floor (12, 25) (*SI Materials and Methods*). Isotope analyses were performed at the University of Santa Cruz, Yale University, and the University of Michigan, and age models were developed following ref. 34 (*SI Materials and Methods, Table S3*).

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Alegret et al.