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### **A Physical Impact of Organic Fouling Layers on Bacterial Adhesion During Nanofiltration**

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1                   **A Physical Impact of Organic Fouling Layers on**  
2                   **Bacterial Adhesion During Nanofiltration**

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6                   R. Heffernan<sup>1</sup>, O. Habimana<sup>1</sup>, A.J.C. Semião<sup>2</sup>, H. Cao<sup>1</sup>, A. Safari<sup>1</sup> and E. Casey<sup>1\*</sup>

7  
8  
9  
10  
11                   <sup>1</sup>*School of Chemical and Bioprocess Engineering, University College Dublin, Co. Dublin, Ireland*

12                   <sup>2</sup>*School of Engineering, The University of Edinburgh, Edinburgh, United Kingdom*

13                   [\\*eoin.casey@ucd.ie](mailto:*eoin.casey@ucd.ie)

14  
15  
16  
17                   \*Corresponding author. Mailing address: University College Dublin, School of Chemical and  
18                   Bioprocess Engineering, Belfield, Dublin 4, IRELAND. Phone: +353 1 716 1877, Email:  
19                   [eoin.casey@ucd.ie](mailto:eoin.casey@ucd.ie)

## 22 **Abstract**

23 Organic conditioning films have been shown to alter properties of surfaces, such as  
24 hydrophobicity and surface free energy. Furthermore, initial bacterial adhesion has been  
25 shown to depend on the conditioning film surface properties as opposed to the properties of  
26 the virgin surface. For the particular case of nanofiltration membranes under permeate flux  
27 conditions, however, the conditioning film thickens to form a thin fouling layer. This study  
28 hence sought to determine if a thin fouling layer deposited on a nanofiltration membrane  
29 under permeate flux conditions governed bacterial adhesion in the same manner as a  
30 conditioning film on a surface.

31 Thin fouling layers (less than 50  $\mu\text{m}$  thick) of humic acid or alginic acid were formed on  
32 Dow Filmtec NF90 membranes and analysed using Atomic Force Microscopy (AFM),  
33 Confocal Microscopy and surface energy techniques. Fluorescent microscopy was then used  
34 to quantify adhesion of *Pseudomonas fluorescens* bacterial cells onto virgin or fouled  
35 membranes under filtration conditions.

36 It was found that instead of adhering on or into the organic fouling layer, the bacterial cells  
37 penetrated the thin fouling layer and adhered directly to the membrane surface underneath.  
38 Contrary to what surface energy measurements of the fouling layer would indicate, bacteria  
39 adhered to a greater extent onto clean membranes ( $24 \pm 3$  % surface coverage) than onto  
40 those fouled with humic acid ( $9.8 \pm 4$  %) or alginic acid ( $7.5 \pm 4$  %). These results were  
41 confirmed by AFM measurements which indicated that a considerable amount of energy ( $10^{-7}$   
42  $\text{J}/\mu\text{m}$ ) was dissipated when attempting to penetrate the fouling layers compared to adhering  
43 onto clean NF90 membranes ( $10^{-15}$   $\text{J}/\mu\text{m}$ ). The added resistance of this fouling layer was  
44 thusly seen to reduce the number of bacterial cells which could reach the membrane surface  
45 under permeate conditions.

46 This research has highlighted an important difference between fouling layers for the  
47 particular case of nanofiltration membranes under permeate flux conditions and surface  
48 conditioning films which should be considered when conducting adhesion experiments under  
49 filtration conditions. It has also shown AFM to be an integral tool for such experiments.

50 *Key words: Fouling layer, natural organic matter, atomic force microscopy, nanofiltration,*  
51 *bacterial adhesion*

## 52 1. **Introduction**

53 Since the first large-scale application at the Méry-sur-Oise water filtration plant in France  
54 (Cyna et al. 2002), nanofiltration (NF) has become a proven method of water purification. It  
55 provides an efficient method of cleaning water of metals, organic matter, organic trace  
56 contaminants and divalent salts. However, as these are retained by the NF membrane they  
57 build up on the membrane's surface forming a fouling layer which reduces membrane  
58 performance (Yuan and Kilduff 2010). Fouling remains the biggest obstacle for the NF  
59 industry today.

60 Bacteria present in the water and retained by the NF membrane threaten the most damaging  
61 form of fouling: biofouling. As bacteria adhere to the membrane's surface they bind together,  
62 excreting exopolymetric substances (EPS) forming a communal film: biofilm (Flemming  
63 1997). Bacteria within the biofilm grow and proliferate, expanding the biofilm's influence  
64 and further reducing the membrane's filtration capacity (Vrouwenvelder et al. 2008). Bacteria  
65 dissociating from mature biofilms pose a threat to further membrane modules or other  
66 processes downstream.

67 Efforts to combat this biofouling phenomenon have focused on three approaches: removal,  
68 nutrient removal and prevention. The first seeks a method by which existing biofilms can be  
69 detached or eliminated, restoring the performance of biofouled membranes using surfactants,  
70 chelating agents, chaotropic agents, chlorinated compounds or enzymes (Chen and Stewart  
71 2000, Liikanen et al. 2002). The second limits the amount of nutrients, such as carbon or  
72 phosphorous, available in water, restricting bacterial growth (Hijnen et al. 2009,  
73 Vrouwenvelder et al. 2010) . The third searches for a method by which bacterial adhesion  
74 onto virgin membranes can be mitigated. By using surface coatings or functional groups to  
75 alter the surface properties of membranes (Ba et al. 2010, Liu et al. 2010) it is thought that

76 the initial bacterial adhesion can be prevented, reducing the risk of biofilm development on  
77 the membrane's surface (Rana and Matsuura 2010). Mitigation of bacteria adhesion,  
78 however, requires a fundamental understanding of the complex mechanisms governing  
79 bacterial adhesion.

80 One of the complications to this preventative approach is the role of conditioning films on the  
81 membrane surface during bacterial adhesion. Despite pre-cleaning via coagulation and  
82 microfiltration, feed streams from fresh water sources will contain 1-3 mgC/L natural organic  
83 matter (Cyna et al. 2002, Ventresque et al. 2000). Within the first few seconds of exposure to  
84 the feed stream, a film of these organics a few molecules thick (Lorite et al. 2011) adsorbs on  
85 the membrane's surface which can have a significant impact on the surface's properties.  
86 Schneider showed the acid-base surface free energy components of conditioned hydrophilic  
87 and hydrophobic surfaces to be drastically different to the respective clean substrata  
88 (Schneider 1996). Conditioning films were also seen to have a strong influence on solid-  
89 liquid and solid-particle interfacial tensions as well as on the surface's free energy of particle  
90 adhesion.

91 A few studies have attempted to determine the influence of conditioning films on bacterial  
92 adhesion. Although the majority of these studies apply the Derjaguin-Landau-Verwey-  
93 Overbeek (DLVO) theory for predicting bacterial adhesion onto conditioned membranes,  
94 conflicting results have been reported from these investigations: in one set of studies, organic  
95 conditioning films were shown to increase the rate of bacterial adhesion (de Kerchove and  
96 Elimelech 2007, Hwang et al. 2012, Hwang et al. 2013), while other studies show the  
97 opposite for similar conditioning films (Garrido et al. 2014, Subramani et al. 2009). These  
98 opposing reports are due to the complexity of bacterial adhesion and the numerous  
99 differences between the experimental approaches taken. Feed composition, bacteria species,  
100 adhesion protocols (static or dynamic adhesion), cross-flow and permeation hydrodynamics,

101 as well as sample surface properties are all highly influential on bacterial adhesion and  
102 variable between studies (Habimana et al. 2014).

103

104 The inclusion of permeation hydrodynamics in some of the aforementioned studies might  
105 explain the observed large discrepancies in bacteria-membrane interactions. As additional  
106 molecules of the foulant deposit on the membrane surface (Tang et al. 2007), the film  
107 thickness will steadily grow over time resulting in the development of a thin fouling layer 10-  
108 50  $\mu\text{m}$  thick as opposed to a conditioning film of a few molecules of thickness. The question  
109 then arises as to whether a thin fouling layer governs initial bacterial adhesion under  
110 permeation conditions in the same way as a conditioning film created by the initial adsorption  
111 of organic matter molecules does?

112

113 The objective of this study was to determine if thin organic fouling layers (less than 50  $\mu\text{m}$  in  
114 thickness) govern initial bacterial adhesion in the same way as organic conditioning films (a  
115 few molecules thick) have been shown to, in an effort to explain previous conflicting results  
116 in the literature involving membrane conditioning during permeation. To achieve this, very  
117 thin fouling layers of humic acid (HA) and alginic acid (AA), two of the most predominant  
118 natural organic matter (NOM) foulants in fresh water filtration processes (Wilkinson et al.  
119 1999), were created and the rate of initial adhesion of *Pseudomonas fluorescens* (a common  
120 bacteria species, abundant in soil) onto clean and HA- or AA- fouled NF membranes was  
121 quantified.

122

123

## 124 2. Materials & Methods

### 125 2.1. Pure Water

126 Laboratory water of the highest quality is imperative when conducting monoculture bacterial  
127 studies with membranes (Semiao et al. 2013). The water used throughout this project was  
128 Grade 1 pure water ( $18.2 \text{ M}\Omega\cdot\text{cm}^{-1}$ ) obtained from an Elga Process Water System (Biopure  
129 15 and Purelab flex 2, Veolia, Ireland), hereafter referred to as MilliQ water.

130

### 131 2.2. Model Foulants

132 Humic acid (HA; purchased as sodium salt, Sigma-Aldrich, Ireland) and Alginic Acid (AA;  
133 purchased as sodium salt, Sigma-Aldrich, Ireland) were used to represent typical fresh water  
134 organic foulants. HA was purified of ash content and smaller molecules by performing a  
135 series of precipitation-centrifugation steps followed by a week of dialysis and freeze-dried as  
136 described by Elimelech et al. (Hong and Elimelech 1997). It was not necessary to further  
137 purify AA.

138

139 Fouling solutions were made by dissolving HA (1 mgC/L) or AA (2 mgC/L) in 5 L of MilliQ  
140 water. To these solutions 20 mM sodium chloride (NaCl; Sigma-Aldrich, Ireland), 1 mM  
141 sodium bicarbonate ( $\text{NaHCO}_3$ ; Sigma-Aldrich, Ireland) and 0.5 mM calcium chloride  
142 ( $\text{CaCl}_2\cdot\text{H}_2\text{O}$ ; Merck, Ireland) were added to mimic freshwater. The organic foulants were  
143 fully dissolved prior to salt addition to avoid calcium complex formation. The salt control  
144 used in this study was prepared with the same salt concentrations in MilliQ water without  
145 organics.

146

147 For confocal microscopy studies, 1 mg of DAPI (2-(4-amidinophenyl)-1H-indole-6-  
148 carboxamide; Sigma-Aldrich, Ireland) was added as a fluorescent staining agent to the 5 L



149 of AA solution (final concentration 0.2 µg/ml). This solution was kept protected from the  
150 light throughout preparation and experimentation. No staining agent was required for the  
151 naturally fluorescent HA solution.

152

### 153 2.3. Filtration Membrane

154 The membranes used in this study were flat sheet TFC polyamide NF90 membranes (Dow  
155 Filmtec, USA) received as a single flat sheet roll. At equilibrium, membrane samples had a  
156 permeate flux rate of  $8.7 \pm 0.6 \text{ L m}^{-2} \text{ hr}^{-1} \text{ bar}^{-1}$  and retained  $91 \pm 1.5 \%$  of  $\text{CaCl}_2$  and NaCl  
157 salts in the feed solution at 8 bar and 20°C.

158

159 Prior to experimentation, 27 cm x 5 cm rectangular samples were cut from the flat-sheet roll  
160 and soaked in MilliQ water overnight at 4°C to remove their preservative layer. They were  
161 subsequently soaked in 30% vol/vol Emsure® absolute ethanol (Merck, Ireland) in MilliQ  
162 water for 1.5 hours to disinfect them (Heffernan et al. 2013). The membranes were finally  
163 rinsed thoroughly to remove all traces of ethanol.

164

### 165 2.4. Model Bacterial Strain and Cell Preparation

166 Fluorescent mCherry-expressing *Pseudomonas fluorescens* PCL1701 (Lagendijk et al. 2010)  
167 was selected as the model strain in this study. *Pseudomonas* cultures were stored at -80°C in  
168 King B broth (King et al. 1954) supplemented with 20% glycerol. Cultured *Pseudomonas*  
169 *fluorescens* were obtained by inoculating 100 mL King B broth supplemented with  
170 gentamicin at a final concentration of 10 µg/mL using single colonies previously grown on  
171 King B agar (Sigma-Aldrich, Ireland) at 28°C. Subsequently, cultures were incubated  
172 overnight at 30°C with shaking at 100 rpm and left to grow to late exponential growth stages,  
173 corresponding to an Optical Density ( $\text{OD}_{600}$ ) of 1.0.

174

175 For the study of bacterial adhesion onto NOM-fouled NF90 membranes, *Pseudomonas* cell  
176 suspensions were standardized by diluting overnight cultures to a final  $OD_{600}$  of 0.2 in 200  
177 mL of a 0.1 M NaCl (Sigma-Aldrich, Ireland) solution. This ensured a standardized inoculum  
178 of approximately  $10^8$  cells/mL. Cells were then harvested by centrifugation at 5000 rpm for  
179 10 min using a Sorval RC5C Plus centrifuge (Unitech, Ireland) and a Fiberlite™ f10-6x500y  
180 fixed angle rotor (Thermo Fisher Scientific Inc., Dublin, Ireland). The supernatant was  
181 carefully discarded and the pellet re-suspended in a portion of the feed solution using a vortex  
182 shaker (Stuart®, Mason technology, Dublin, Ireland).

183

## 184 2.5. Filtration Setup

185 Filtration experiments were performed using a cross-flow system (Figure 1) comprising of  
186 three Membrane Fouling Simulators (MFSs) (Vrouwenvelder et al. 2008) operated in parallel  
187 with an active filtration area of  $0.008 \text{ m}^2$  each. The system operated in full recirculation mode  
188 using a high pressure pump (model P200, Hydra-Cell, UK). Two autoclavable feed tanks  
189 (Nalgene, VWR Ireland) were incorporated in the system, with one active at any time and  
190 valves in place to allow for switching between tanks without disturbing the flow or system  
191 pressure.

192

193 The pressure on the permeate side of the membranes was maintained at atmospheric pressure  
194 while the pressure on the feed side was controlled with a back-pressure regulator  
195 (KPB1L0A415P20000, Swagelok, UK) and monitored with two pressure transducers (PTX  
196 7500, Druck, Radionics, Ireland) on the feed and retentate lines. The feed flow rate was  
197 measured using a flowmeter (OG2, Nixon flowmeters, UK) and maintained at 0.66 L/min  
198 through each MFS yielding a cross-flow rate of 0.39 m/s. The temperature of the active feed

199 tank was kept constant ( $20 \pm 1^\circ\text{C}$ ) using a Julabo FP50 temperature control bath and a cooling  
200 coil. Temperature, flow rate and pressure measurements were recorded with a data-logger  
201 (Picolog 1000, PicoTechnology, Radionics, Ireland). Permeate flux measurements of each  
202 membrane were calculated by measuring the mass of liquid permeating each membrane in  
203 one minute. Permeate samples were obtained via the sample ports and feed samples were  
204 taken directly from the feed tank. Samples were not returned to the system after  
205 measurement.

206

## 207 2.6. Filtration System Cleaning Protocol

208 Prior to all filtration experiments the system was thoroughly cleaned. Feed tanks were  
209 routinely autoclaved at  $120^\circ\text{C}$ , scrubbed with bleach and rinsed repeatedly with MilliQ water  
210 to remove any adhered residual cells within the tanks' internal walls. The system was cleaned  
211 without a membrane by circulating lab grade IMS (Lennox Laboratory Supplies, Ireland) for  
212 one hour, and 0.1 M NaOH for two hours to remove bacteria and traces of the model foulants.  
213 The system was rinsed with MilliQ water after each phase of the cleaning regime. The pH of  
214 the system was adjusted to 7 by dropwise addition of 5 M HCL or 1 M NaOH over a two  
215 hour period, and then finally rinsed with MilliQ water. An additional one hour circulation of  
216 20 mM EDTA (VWR, Ireland) was performed prior to IMS circulation in experiments  
217 subsequent to those using AA to remove traces of TEPs within the system.

218

## 219 2.7. Membrane Fouling

220 MilliQ water was filtered overnight with a transmembrane pressure of 15 bar to compact the  
221 NF90 membranes and obtain a steady pure-water flux. The feed was then switched via valves  
222 to a tank containing the selected fouling solution or salt control solution, without disrupting  
223 the flow. The system pressure was adjusted to  $8 \pm 0.5$  bar for each solution to give a permeate

224 flux of  $42 \text{ L}\cdot\text{m}^{-2}\cdot\text{hr}^{-1}$  (LMH) from each of the three MFSs. Filtration occurred for 3 hours with  
225 the three MFSs in parallel, during which minimal change to the pressure was required to keep  
226 the permeate flux constant for each fouling solution despite the development of fouling  
227 layers. Samples were taken hourly to monitor and maintain a constant feed conductivity ( $2.6$   
228  $\pm 0.05 \text{ mS/cm}$ ) and pH ( $8.5\pm 0.5$ ), and to analyse membrane salt retention in the feed and  
229 permeate.

230

231 Once the fouling step was finished, one of the fouled MFS devices was removed from the  
232 cross-flow system in order to carry out fouling layer characterisation as described in the next  
233 sections. The other two MFS devices were left in the cross-flow system in order to carry out  
234 the bacterial adhesion experiments. The removed MFS was opened whilst submerged in  
235 MilliQ water to preserve the integrity of the fouling layer, and the fouled membrane was  
236 removed. For confocal studies three samples were cut from specific locations (inlet, mid-  
237 section and outlet) and placed in individual wells of a Lab-Tek® Chamber Slide™ 4-well  
238 system (Nunc®; ThermoFisher Scientific, Dublin, Ireland) previously filled with MilliQ water.  
239 Further samples were taken for fouling layer characterisation via Contact Angle and Zeta  
240 Potential. These samples were laid in petri dishes and left to dry in ambient conditions  
241 (covered to avoid air particle deposition). A sample for AFM was also taken and submerged  
242 in a petri dish of MilliQ water.

243

## 244 2.8. Adhesion Experiment and Quantification

245 After the removal of one MFS from the cross-flow system, as described above, the feed flow  
246 rate was adjusted to maintain a cross-flow velocity of  $0.66 \text{ L/min}$  ( $\text{Re} = 548$ ) in each MFS in  
247 order to keep the same hydrodynamic conditions as the ones used during the fouling step. A  
248 bacterial inoculum containing approximately  $10^8 \text{ cells/mL}$  was added to the fouling solution

249 in the feed tank and recirculated in the system for 30 minutes at the same constant filtration  
250 conditions as the ones used during fouling. Permeate flux and conductivity measurements for  
251 each membrane cell and a measurement of the feed's conductivity were taken every ten  
252 minutes. Every experiment (i.e. fouling step + fouling characterisation + bacterial adhesion)  
253 was repeated at least twice to ensure reproducibility.

254

255 The two MFS cells were separated from the system at the end of the bacterial adhesion  
256 experiments, and carefully opened whilst submerged in MilliQ water in order to preserve the  
257 integrity of the fouling layer. The fouled membranes were removed, three pieces cut from  
258 different locations (inlet, mid-section and outlet) of the membrane and each sample was  
259 placed at the bottom of small petri dishes submerged in MilliQ water. Bacterial cells adhered  
260 to the fouled membranes were then observed under an epi-fluorescence microscope  
261 (Olympus BX51) using a 10X objective. Fluorescent mCherry-tagged *Pseudomonas* cells  
262 were detected using the microscope's U-MNG or U-MWIB excitation/emission filter cubes  
263 systems. Ten micrographs were obtained at random points from each membrane sample. Cell  
264 surface coverage (%) was then determined, from grayscaled and thresholded acquired images  
265 for each membrane using ImageJ® software, a Java-based image processing program  
266 (<http://rsbweb.nih.gov/ij/>). At the concentration used, the HA layer's natural fluorescence did  
267 not interfere with mCherry fluorescence signals.

268

## 269 2.9. Structural Analysis of Fouled Membranes

270 To assess the organic fouling layers on the membranes, horizontal-plane images of fouled  
271 membrane samples in their Lab-Tec® wells were acquired using an Olympus Fluoview FV  
272 1000 Confocal microscope.

273

274 The excitation wavelength used for detecting DAPI-stained SA was 405 nm, and emitted  
 275 fluorescence was recorded within the range of 420 to 460 nm (Lee et al. 2011). For HA  
 276 conditioned membranes, an excitation wavelength of 488 nm and auto-fluorescence was  
 277 recorded at 500-550 nm. Images (1269  $\mu\text{m}$  x 1269  $\mu\text{m}$ ) were collected through a UPLSAPO  
 278 10x objective (numerical aperture NA 0.4) with a z-step of 1  $\mu\text{m}$ . 3D projections were  
 279 performed with Zen software (Zeiss). The structural quantification of the NOM conditioning  
 280 layer (biovolume, surface coverage, thickness and roughness) was performed using the  
 281 PHLIP Matlab program developed by J. Xavier  
 282 (<http://sourceforge.net/projects/phlip/>)(Mueller et al. 2006).

283

## 284 2.10. Surface Properties of Fouled Membranes

285 The Lifshitz-van der Waals ( $\gamma_{LW}$ ), electron-donor ( $\gamma^-$ ) and electron-acceptor ( $\gamma^+$ ) surface  
 286 tension components of dehydrated treated NF90 membrane samples (S) were determined by  
 287 measuring contact angles using the following expression:

$$288 \cos\theta = -1 + 2 \left( \gamma_S^{LW} \gamma_L^{LW} \right)^{\frac{1}{2}} / \gamma_L + 2 \left( \gamma_S^+ \gamma_L^- \right)^{\frac{1}{2}} / \gamma_L + 2 \left( \gamma_S^- \gamma_L^+ \right)^{\frac{1}{2}} / \gamma_L \quad (1)$$

289 Contact angles ( $\theta$ ) and surface energy measurements ( $\gamma_S$ ) of dehydrated compacted NF90  
 290 membrane were measured at room temperature using a goniometer (OCA 20 from  
 291 Dataphysics Instruments) with three static pure liquids (L): deionised water, diiodomethane  
 292 and ethylene glycol.

293 The Lewis acid-base component was deduced from:

$$294 \gamma_S^{AB} = 2\sqrt{(\gamma_S^+ \gamma_S^-)} \quad (2)$$

295 And the total surface energy was defined by:

$$296 \gamma_S = \gamma_S^{AB} + \gamma_S^{LW} \quad (3)$$

297 The interfacial free energy of adhesion ( $\Delta G_{132}$ ) was calculated from these derived  
 298 components using the method laid out by Brant and Childress (Brant and Childress 2002).

299 Values for bacterial surface components were taken from a study on *Pseudomonas*  
300 *fluorescens* by Smets et al.:  $\gamma^- = 34.9 \text{ mJ/m}^2$ ,  $\gamma^+ = 0.22 \text{ mJ/m}^2$ , and  $\gamma^{\text{LW}} = 30.8 \text{ mJ/m}^2$  (Smets  
301 et al. 1999).

302

303 Samples for zeta potential analysis were dried in air overnight, rehydrated in MilliQ water for  
304 an hour and then submerged in a 5 mM NaCl solution overnight as described previously by  
305 Elimelech (Xie et al. 2013). There was a slight dissolution of the fouling layer upon  
306 introduction into the salt solution but it was greatly minimised by the dehydration-rehydration  
307 step. Streaming potential measurements of the fouled membranes were conducted using a  
308 ZetaCad system (CAD instruments, France) with a 5 mM NaCl (pH 8, 0.5 mS/cm) solution  
309 streamed through a 150  $\mu\text{m}$  channel between two similarly fouled samples. By varying the  
310 flow rate through the channel and measuring the voltage difference across the chamber the  
311 zeta potential was calculated.

312

### 313 2.11. Atomic Force Microscopy

314 Surface layer stiffness and adhesive properties of fouled and clean membranes were  
315 characterised by analysing indentation and retraction curves obtained from AFM-based Force  
316 Spectroscopy measurements. Force measurements were performed using a JPK NanoWizard  
317 II BioAFM (JPK Instruments, Germany) integrated with an inverted optical microscope  
318 (Nikon, Japan) and a Hamamatsu CCD camera. This ensemble was enclosed in an acoustic  
319 isolation chamber, and placed on a vibration isolation table (TS-150, JRS Scientific  
320 Instruments, Switzerland).

321

322 A commercial silicon nitride cantilever with a sharp triangular silicon nitride tip of 60 nm  
323 radius (DNP-10, C type, Bruker, UK) was used in this study. The spring constant of the

324 cantilevers was calibrated as 0.142 N/m at the room temperature, using the thermal noise  
325 method. Force curves were measured while approaching within 0.5  $\mu\text{m}$  from the salt control  
326 membrane's surface and while approaching and penetrating the top 0.5 - 1  $\mu\text{m}$  of the created  
327 AA and HA fouling layers. The area between the two force curves for each sample was  
328 calculated computationally. In each case this area was subdivided into two areas by the  
329 horizontal line representing 0 N, the area above this line was recorded as the 'energy  
330 dissipated in approach' while the area below it was recorded as the 'energy dissipated in  
331 retraction'. For comparative reasons these area values were divided by the width of the  
332 curves (distance travelled by the tip) to correct the values to a full 1  $\mu\text{m}$ .

333

334 After several force curve measurements, several force curves were recorded on a clean  
335 surface (i.e. glass) in order to observe the possible residual forces on the retraction curves due  
336 to the tip contamination. When contaminated, the cantilever was carefully rinsed with ethanol  
337 and Milli-Q water, before being placed in UV Ozone cleaner (ProCleaner, Bioforce  
338 Nanosciences, USA). Force curves were collected at a velocity of 2  $\mu\text{m/s}$  up to a force set-  
339 point limit of 18 nN.

340

### 341 3. Results & Discussion

#### 342 3.1. Surface Characterisation of the Fouling layer

343 Images taken with the confocal microscope were combined to create image stacks from  
344 which the density, height and roughness of the created fouling layers were determined at  
345 three locations along the length of the flow channel (Figure 2).

346 The AA fouling layers created had an average thickness of  $25 \pm 4 \mu\text{m}$  and a surface coverage  
347 higher than 70% along the length of the membrane. This layer was very reproducible with  
348 less than 20% difference between fouling layers created for most parameters. The largest



349 variations were seen in the roughness measurements which may be associated with AA's  
350 tendency to bind with calcium to create clumps (Listiarini et al. 2009).

351

352 The HA fouling layer in contrast shows an increase in thickness in the middle section of the  
353 membrane; the fouling layer bulges by 66% from  $20 \pm 4 \mu\text{m}$  at the inlet to a maximum of  $34$   
354  $\pm 8 \mu\text{m}$  before returning to a thickness of  $25 \pm 7 \mu\text{m}$  at the outlet. This bulge is accompanied  
355 with a 20% drop in surface coverage and a steady rise in roughness along the length of the  
356 flow channel. It appears that HA deposits as a thin even layer at the inlet of the channel,  
357 becoming rougher and less evenly dispersed for the midsection and the outlet. This trend was  
358 evident in each of the membrane samples studied. The largest variations between experiments  
359 were again in the roughness measurements, especially at the outlet of the MFS.

360

361 The average roughness of each fouling layer was calculated by multiplying the layer  
362 thickness by the Fouling Layer Roughness factor presented in Figure 2d (Heydorn et al.  
363 2000). The AA layer has an average roughness along the length of the membrane of  $5.3 \pm 0.7$   
364 nm while the HA layer increases along the membrane length, from 2.3 nm at the inlet to 9.4  
365 nm at the outlet. These values are smoother than the values reported for a clean NF90  
366 membrane which has an average roughness of 60 nm (Xu et al. 2006).

367

368 The surface coverage and thickness measurements of the independent experiments show that  
369 the fouling layers created under the same fouling conditions are reproducible. The small  
370 variances between the layers may be associated with the large range of molecule sizes in each  
371 substance or with the heterogeneous nature of the membrane surface which can lead to flux  
372 hotspots (Ramon and Hoek 2013). Errors may also have occurred due to slight dissociation of

373 the fouling layer upon exposure to MilliQ water when the samples were transferred from the  
 374 MFS to the confocal sample chambers.

375

### 376 3.2. Surface Energy

377 Within 100 nm of a surface the forces dominating a single bacterial cell's movements will be  
 378 the van der Waal's force, the Lewis acid-base interaction and repulsion from the electrostatic  
 379 double-layer (Brant and Childress 2002).

380

381 *Table 1: Measured zeta potential ( $\zeta$ ) and contact angle values, and derived electron acceptor ( $\gamma^+$ ), electron  
 382 donor ( $\gamma^-$ ), Lifshitz van der Waals ( $\gamma^{LW}$ ), Acid-base ( $\gamma^{AB}$ ), total surface tension ( $\gamma^{total}$ ) and total interfacial free  
 383 energy of adhesion ( $\Delta G_{132}$ ) of the surface energies of NF90 membranes fouled with humic acid, alginic acid or  
 384 with a salt control. Contact angle measurements and derived components of surface energy were taken from 20  
 385 measurements on two independent samples. The average values and standard errors values are shown.*

	<b>Salt Control</b>	<b>Humic Acid</b>	<b>Alginic Acid</b>
<b>Contact Angle (<math>^\circ</math>)</b>	43.6 $\pm$ 2.75	43.5 $\pm$ 0.45	23.6 $\pm$ 0.5
$\gamma^-$ (mJ/m <sup>2</sup> )	43.0 $\pm$ 0.14	39.8 $\pm$ 0.4	38.0 $\pm$ 0.21
$\gamma^+$ (mJ/m <sup>2</sup> )	0.06 $\pm$ 0.011	0.35 $\pm$ 0.045	0.18 $\pm$ 0.037
$\gamma^{LW}$ (mJ/m <sup>2</sup> )	40.13 $\pm$ 3.48	51.79 $\pm$ 1.03	72.38 $\pm$ 0.95
$\gamma^{AB}$ (mJ/m <sup>2</sup> )	2.88 $\pm$ 0.3	7.22 $\pm$ 0.53	4.77 $\pm$ 0.54
$\gamma^{total}$ (mJ/m <sup>2</sup> )	43.02 $\pm$ 3.42	59.01 $\pm$ 1.48	77.15 $\pm$ 1.41
$\Delta G_{132}$ (mJ/m <sup>2</sup> )	19.1	14.7	11.38
$\zeta$ (mV)	-23.1 $\pm$ 0.71	-25.7 $\pm$ 0.007	-23.1 $\pm$ 1.23

386

387

388 The obtained results (Table 1) show that the addition of the HA fouling layer did not change  
 389 the shape of a droplet compared to the droplet shape on the clean membrane. The addition of  
 390 an AA layer, however, caused the membrane to become more hydrophilic with a reduction in  
 391 contact angle from 43.6  $\pm$  2.75 $^\circ$  to 23.6  $\pm$  0.5 $^\circ$ . To gain a better understanding of the forces  
 392 involved a more expansive analysis was undertaken.

393

394 The derived components of surface energy reveal numerous changes that have occurred upon  
395 addition of the fouling layer. The apolar Lifshitz-van der Waals component has increased  
396 from  $40.13 \pm 3.48 \text{ mJ/m}^2$  of the clean membrane to  $51.79 \pm 1.48 \text{ mJ/m}^2$  and  $72.38 \pm 0.95$   
397  $\text{mJ/m}^2$  for membranes fouled with HA and AA, respectively. The polar Lewis acid-base  
398 component was also higher for the two layers of fouling than for the clean membrane, but to a  
399 much lesser extent.

400

401 Calculations of the interfacial free energy of adhesion ( $\Delta G_{132}$ ) yielded lower resultant  
402 energies for HA and AA,  $14.7 \text{ mJ/m}^2$  and  $11.38 \text{ mJ/m}^2$ , respectively, than for the salt control  
403 membrane, with  $19.1 \text{ mJ/m}^2$ . Lower energies of adhesion indicate less bacterial repulsion and  
404 therefore less resistance to adhesion (Subramani and Hoek 2008). This suggests that based on  
405 contact angle measurements, bacteria should adhere to the largest extent on the AA fouled  
406 membrane, to a lesser extent on the HA fouled membrane and to the lowest extent on the salt  
407 control, non-fouled membrane.

408

### 409 3.3. Zeta Potential

410 The addition of a fouling layer did not appear to significantly affect the zeta potential of the  
411 membrane (Table 1), hence not contributing to differences in bacterial adhesion. While the  
412 HA layer exhibited a statistically more negative zeta potential, in the context of a study by Li  
413 et al. (Li and Logan 2004) who correlated bacterial adhesion to zeta potential over the range 0  
414 to - 60 mV, a difference of 2 mV is not expected to be great enough to have any appreciable  
415 effect on bacterial adhesion. There is a notable risk of error in these measurements, however.  
416 Fresh fouling layers subjected to the salt solution used in the streaming potential analysis  
417 tended to dissociate from the membrane. It was therefore necessary to allow the samples to

418 dry and re-soak the samples in MilliQ water prior to measurement, as has been shown in  
419 previous studies (Xie et al. 2013). This protocol leads to a compaction and re-expansion of  
420 the fouling layer; furthermore, it may also lead to leaching of certain salts from within the  
421 layer, which may have altered the zeta potential of the layer.

422

423 As the streaming potential measurements were taken with a liquid of different ionic strength  
424 than the fouling solution, the zeta potential values shown should not be used as a direct  
425 indication of bacterial adhesion; they are merely for comparative purposes.

426

### 427 3.4. Atomic Force Microscopy

428 AFM was employed to compare the physical properties of the fouling layers. Repulsive  
429 forces were measured when approaching the clean membrane samples and while penetrating  
430 into the top of the fouling layers, whilst adhesive forces were measured when retracting the  
431 probe from each sample (Figure 3) .

432

433 Approaching the clean membrane's surface required a small amount of energy ( $10^{-15}$  J/ $\mu\text{m}$ ) as  
434 the probe was repelled by the membrane's surface charge. With the addition of the fouling  
435 layers, however, the probe required a much larger magnitude of energy ( $10^{-7}$  J/ $\mu\text{m}$ ) per  
436 micron of movement. The probe in this case was still more than 20  $\mu\text{m}$  away from the  
437 membrane's surface and thus would not have felt the membrane's repulsion; the energy  
438 dissipated is hence related to the resistance to penetration of the relatively dense fouling layer  
439 itself.

440

441 When the probe was retracted from the fouling layers there was a notable strain as the sticky  
442 fouling layers resisted the probe's removal. The  $10^{-8}$  J/ $\mu\text{m}$  required to escape the HA and AA

443 layers is once again many orders of magnitude higher than the  $10^{-16}$  J/ $\mu\text{m}$  required to retract  
444 the probe from the salt control membrane.

445

446 On average the energy required to retract from the membrane or fouling layers was one order  
447 of magnitude lower than the energy required to approach or penetrate them. This agrees with  
448 the positive values of  $\Delta G_{132}$  shown previously that suggested the overall charge on the  
449 membrane's surface (fouled and not fouled) would most likely repel bacteria. These results  
450 show a notable resistance to penetration and escape from a fouled membrane that is not  
451 present for the salt control. This suggests that the layers may act as an obstacle resisting  
452 bacterial penetration leading to a lower rate of bacterial adhesion onto the NF membrane  
453 surface.

454 On average more energy was dissipated when penetrating the HA layer ( $2.33 \times 10^{-7}$  J/ $\mu\text{m}$ )  
455 than the AA layer ( $1.32 \times 10^{-7}$  J/ $\mu\text{m}$ ). The reverse was true when retracting the probe which  
456 exhibited a higher average dissipation of energy moving through the AA layer ( $3.3 \times 10^{-8}$   
457 J/ $\mu\text{m}$ ) than the HA layer ( $1.18 \times 10^{-8}$  J/ $\mu\text{m}$ ). These results would therefore suggest that  
458 bacterial adhesion would occur to a greater extent within the AA layer, as it is more likely  
459 that bacterial cells would penetrate the layer and less likely that they would be able to escape  
460 it. These differences are, however, of a much smaller magnitude than those described  
461 previously between fouled and clean NF90 membranes.

462

### 463 3.5. Bacterial Adhesion onto Fouled Membranes

464 A previous study showed that in the presence of a thin conditioning film of organic  
465 compounds on a surface (a few molecules of thickness), bacterial cells deposited on top of the  
466 film (Hwang et al. 2013). In contrast, microscopic analysis of bacterial adhesion onto organic  
467 fouled NF90 membranes under permeate flux conditions showed this was not the case. All of

468 the bacteria were seen to penetrate the HA and AA fouling layers and adhere directly to the  
469 NF90 membrane's surface. No bacteria were seen on top of the organic fouling layer or  
470 suspended within it. The surface coverage values shown in Figure 4 are hence representative  
471 of the bacteria adhered at the membrane's surface level, within the fouling layer in the case of  
472 HA and AA.

473

474 Despite indications from the surface energy measurements that the fouling layers would  
475 promote bacterial adhesion, the results of microscopic studies show considerably greater  
476 numbers of bacteria adhering to the unfouled salt control membrane (Figure 4). This suggests  
477 that the forces measured via AFM are a more accurate indicator of the extent of bacterial  
478 adhesion under permeate flux onto thinly organic fouled membranes than those measured via  
479 surface energy studies, the most commonly used technique to characterise conditioning film  
480 layers and explain bacterial adhesion (Hwang et al. 2013, Subramani et al. 2009).

481

482 Of the two fouling layers, HA is slightly more prone to bacterial adhesion ( $t(78) = 4.3$ ,  $p <$   
483  $0.001$ ;  $9.8 \pm 4\%$  surface coverage) than the AA layer ( $7.5 \pm 4\%$  surface coverage) as can be  
484 seen in Figure 4, while contact angle measurements indicated that bacterial adhesion was  
485 expected to occur mainly in the AA fouling layer. Despite AFM results also indicating a more  
486 prone adhesion to AA fouling layers compared to HA layers, the differences expected were  
487 very small ( $1.32 \times 10^{-7}$  J/ $\mu\text{m}$  and  $2.33 \times 10^{-7}$  J/ $\mu\text{m}$ , respectively). The difference of bacterial  
488 adhesion between the two types of fouling layers tested is however only one sixth of the  
489 difference between fouled and clean membranes.

490

491 Rougher membrane surfaces have been shown to have a higher propensity for bacterial  
492 adhesion as the heterogeneity of the surface yields rough features which are more favourable

493 sites for surface-bacteria bonding (Subramani and Hoek 2008). There is a positive correlation  
494 between cell surface coverage and average surface roughness for clean and fouled NF90  
495 membranes in this experiment. However the bacteria did not bond directly to the surface of  
496 the fouling layers, hence a correlation between adhesion and surface roughness would be  
497 misleading, as will be discussed in the next section.

498

499 Subramani and Hoek discussed the forces acting upon bacteria in their 2008 study with clean  
500 NF and RO membranes (Subramani and Hoek 2008). They described six forces which  
501 dominate bacteria adhesion in cross-flow configuration. These are: cross-flow lift ( $F_{CL}$ ),  
502 permeate drag ( $F_{PD}$ ), gravity ( $F_G$ ), Lifshitz-van der Waal's force ( $F_{LW}$ ), electrostatic double  
503 layer ( $F_{EL}$ ), and acid base force ( $F_{AB}$ ). At a distance greater than 100 nm from the  
504 membrane's surface the first three of these forces dominate bacterial movement. If the drag  
505 due to the permeating liquid is strong enough to counteract the lifting force associated with  
506 cross-flow, the bacteria will be drawn towards the membrane surface. Once the bacteria are  
507 within 100 nm of the membrane's surface their movement is subjected also to the short range  
508 forces such as Lifshitz-van der Waal's forces. If the additional attraction of the Lifshitz-van  
509 der Waal's force is enough to overcome the repulsion of the electrostatic double layer and the  
510 acid base interactions, the bacteria is likely to attach to the membrane (assuming both  
511 membrane and bacteria are negatively charged as is the case for this study).

512

513 Correlations between bacterial adhesion and hydrophobicity, or with other membrane surface  
514 energy properties, assume that bacteria have an equal probability of approaching within a  
515 distance of 100 nm from the surface of the membrane. This is an acceptable assumption for  
516 clean membranes or for studies of conditioning films, which are no more than a few  
517 nanometres thick. For fouling layers thicker than 100nm, however, bacteria first interact with

518 the fouling layer outside this 100 nm region. The additional physical force required to  
519 penetrate the fouling layer has a greater influence on bacterial transport than the surface  
520 energy effects, as shown above where fouling layers of different surface properties but  
521 similar thickness were subject to similar amounts of bacterial adhesion. The permeate drag  
522 force must now overcome the fouling layer's resistance as well as the cross-flow lift in order  
523 for bacteria to reach a proximity to the membrane surface whereby short range surface  
524 energy forces can take effect. In this case surface energy effects of the fouling layer of the  
525 membrane surface alone cannot be used to analyse bacterial adhesion through fouling  
526 layers thicker than 100 nm.

527

### 528 3.6. Bacterial Adhesion Profile Along the Length of the Membrane

529 Microscopic analysis of the fouled membranes showed a significant change in the number of  
530 bacteria adhered onto different sections along the length of the membrane. Despite the  
531 heterogeneous nature of NF membranes, the average surface properties on a micron scale  
532 should not change along the length of the membrane surface. Similarly, with an average  
533 bacteria count of  $10^7$  cells/mL in the feed tank, the feed solution flowing across the  
534 membrane surface should not change significantly in bacterial concentration along the length  
535 of the channel. It is therefore unexpected that bacteria would adhere to different extents at the  
536 inlet, mid-section and outlet.

537

538 One of the six forces mentioned previously will however change along the channel length. As  
539 water permeates the initial sections of the membrane the pressure within the channel slightly  
540 drops leading to a lower driving force for permeation and thus lower permeate drag forces in  
541 subsequent stages of the channel (Geissler and Werner 1995). This permeate drag gradient



542 could result in a gradient in initial bacterial adhesion, with a high concentration of bacteria at  
543 the inlet and a lower adhesion at the outlet.

544

545 Furthermore, Busscher and van der Mei described a pseudo-end phase to initial bacterial  
546 deposition whereby adhesion slows down due to inter-bacterial blocking (Busscher and van  
547 der Mei 2006) caused by the repulsion effect of bacteria adhered to the membrane. Bacterial  
548 cells approaching a densely populated membrane surface are likely to be repelled, adhering  
549 instead downstream to a more sparsely populated region. In this way an even lawn of  
550 bacterial cells eventually develops across the membrane surface.

551

552 This is seen for the salt control membrane which had an even  $24 \pm 3$  % surface coverage of  
553 bacteria on each section of the membrane (Figure 5). This is indicative that within the 30  
554 minutes of adhesion the system reached the pseudo-end stage. For the fouled membranes,  
555 however, a significant reduction in bacterial adhesion was seen along the membrane channel,  
556 indicating that a pseudo-end stage was not reached.

557

558 Adhesion through the HA layer fell from  $15.2 \pm 2$  % bacterial surface coverage at the inlet to  
559  $7.3 \pm 2$  % and  $7.0 \pm 2$  % in the mid-section and outlet, respectively. A lesser reduction was  
560 seen for adhesion through the AA layer:  $11.4 \pm 4.5$  % (inlet),  $6.5 \pm 2.4$  % (mid-section) and  
561  $4.5 \pm 1.2$  % (outlet). These reductions on the latter stages of the fouled membranes do not  
562 correlate with any of the trends in membrane properties quantified with the confocal  
563 microscope (Figure 2). The reducing trend in adhesion to the HA fouled NF90 membrane  
564 directly contrasts with the increasing trend in HA surface roughness (Figure 2 d): roughness  
565 is therefore not a dominant factor during bacterial adhesion onto organic fouled NF  
566 membranes. The same applies to AA: despite the AA roughness not changing along the

567 membrane length, bacterial adhesion decreases substantially from the inlet ( $11.4 \pm 4.5 \%$ ) to  
568 the outlet ( $4.5 \pm 1.2 \%$ ). This bacterial adhesion trend is instead indicative of the permeate  
569 drag force gradient along the channel length.

570

571 Bacteria in the initial sections of the membrane are subjected to the strongest permeate drag  
572 force and thus are most likely to overcome the penetration resistances of the fouling layers  
573 measured by the AFM. In the latter sections of the channel however the lower drag forces  
574 result in fewer bacteria penetrating the fouling layers.

575

576 As was the case with the AFM results, the differences in adhesion between the fouling layers  
577 for each section are insignificant compared to the differences between fouled and non-fouled  
578 membranes. With a maximum surface coverage of 15%, adhesion onto the fouled membranes  
579 has not reached the pseudo-end stage seen with the non-fouled membranes ( $24 \pm 3\%$  surface  
580 coverage along the entire membrane). The uneven distribution of bacteria along the channel  
581 length may therefore be due to absence of inter-bacterial blocking across the membrane  
582 surface.

583

#### 584 4. **Conclusion**

585 Fouling layers of Humic Acid and Alginic Acid between 20 and 35  $\mu\text{m}$  thick were shown to  
586 decrease bacterial adhesion in cross-flow filtration under permeate flux conditions. The  
587 opposite trend would be expected based on surface energy results obtained from contact  
588 angle measurements of the fouling layer deposited on the membrane surface and assuming  
589 the bacteria would adhere on the fouling layer surface. All adhered bacterial cells were  
590 instead seen to adhere directly onto the membrane surface in all experiments and were not  
591 entrained in the fouling layers. AFM proved to be a useful tool in this study as it showed that

592 bacteria require a much greater magnitude of energy to reach the membrane's surface when  
593 penetrating the NOM fouling layers.

594

595 This study has shown that bacterial adhesion in the presence of a fouling layer and permeate  
596 flux to be notably different from conditioning film experiments in which bacteria adhere onto  
597 an ultrathin conditioning layer. It is imperative that future studies of bacterial adhesion  
598 ontoconditioning films or fouling layers under permeate flux conditions are aware of this  
599 difference and monitor the created layer's thickness to avoid potential errors arising from  
600 layer resistance.

601

602 Further research in this area is required to study the impact of this decreased adhesion on  
603 biofouling development in the absence and presence of an organic matter fouling layer and  
604 for different environmental conditions such as the presence of absence of nutrients.

605

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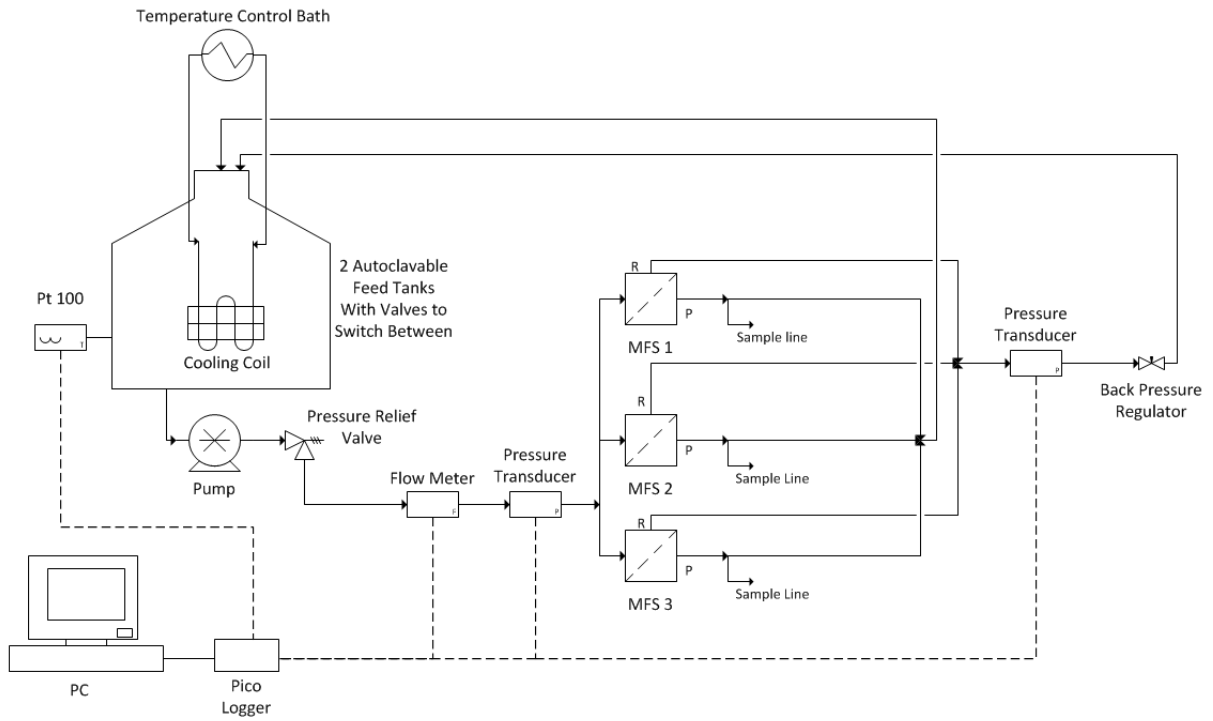
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732 **Figures**

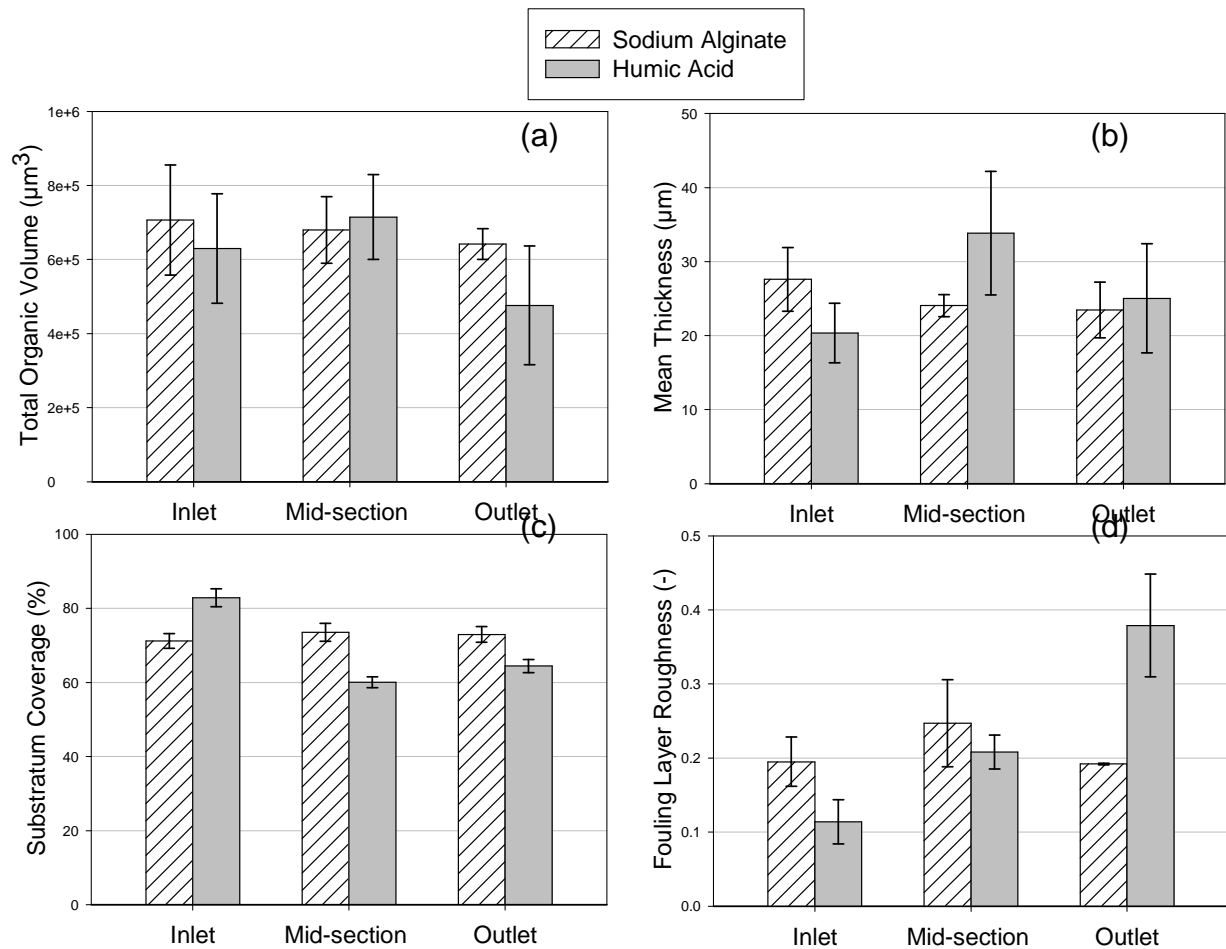
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735 *Figure 1: Crossflow Filtration System Setup*

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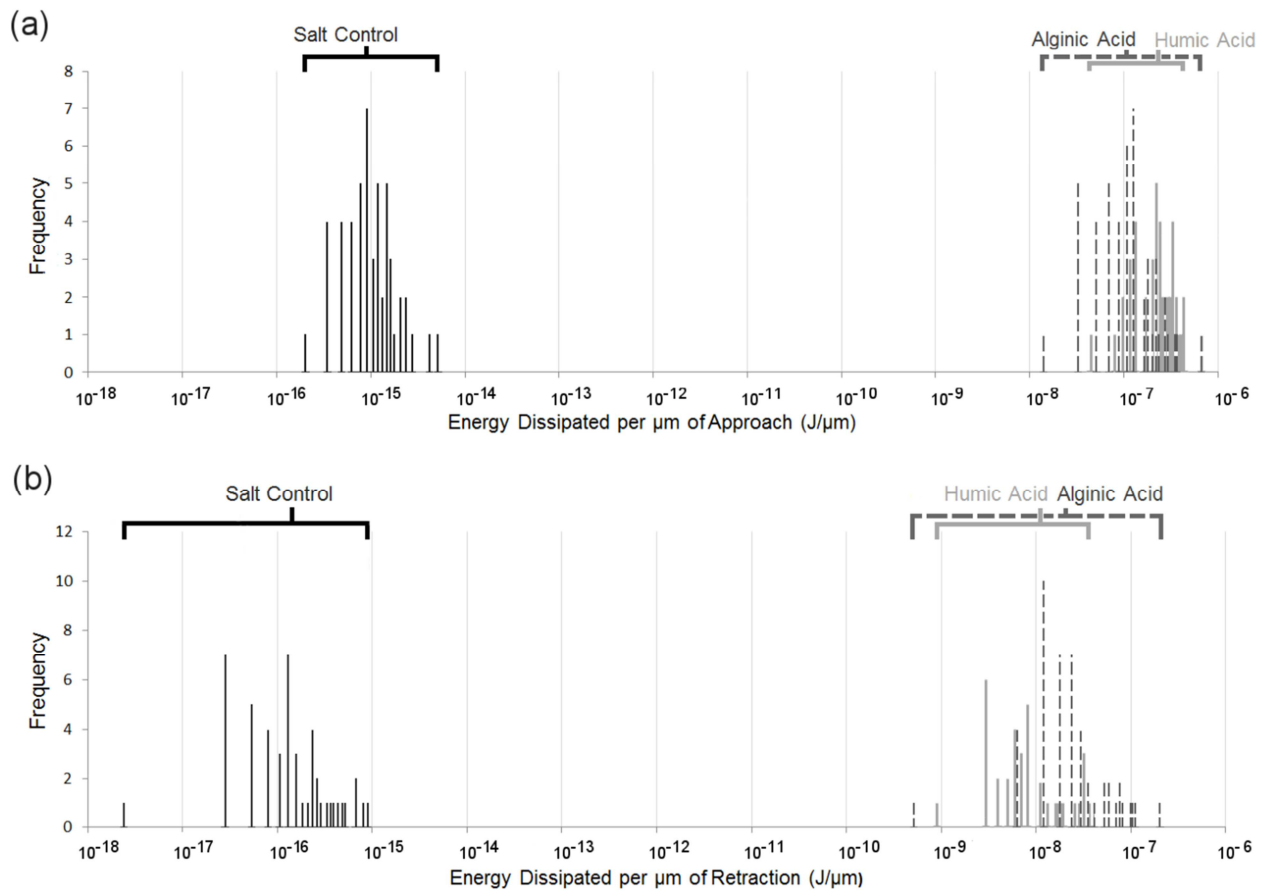


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739 *Figure 2: Confocal Microscopy results of NF90 membrane samples fouled with humic acid and alginic acid in*  
 740 *cross-flow. Layer properties shown are: (a) total organic volume, (b) layer thickness, (c) surface coverage by*  
 741 *the layer, (d) layer roughness. Fouling conditions: 42 LMH permeate flux, 0.39 m/s cross-flow rate. Feed*  
 742 *solution: 1 mgC/L humic acid or 2 mgC/L alginic acid, 20 mM NaCl, 1 mM NaHCO<sub>3</sub> and 0.5mM CaCl<sub>2</sub>, 20 ± 1*  
 743 *°C, pH 8.5.*

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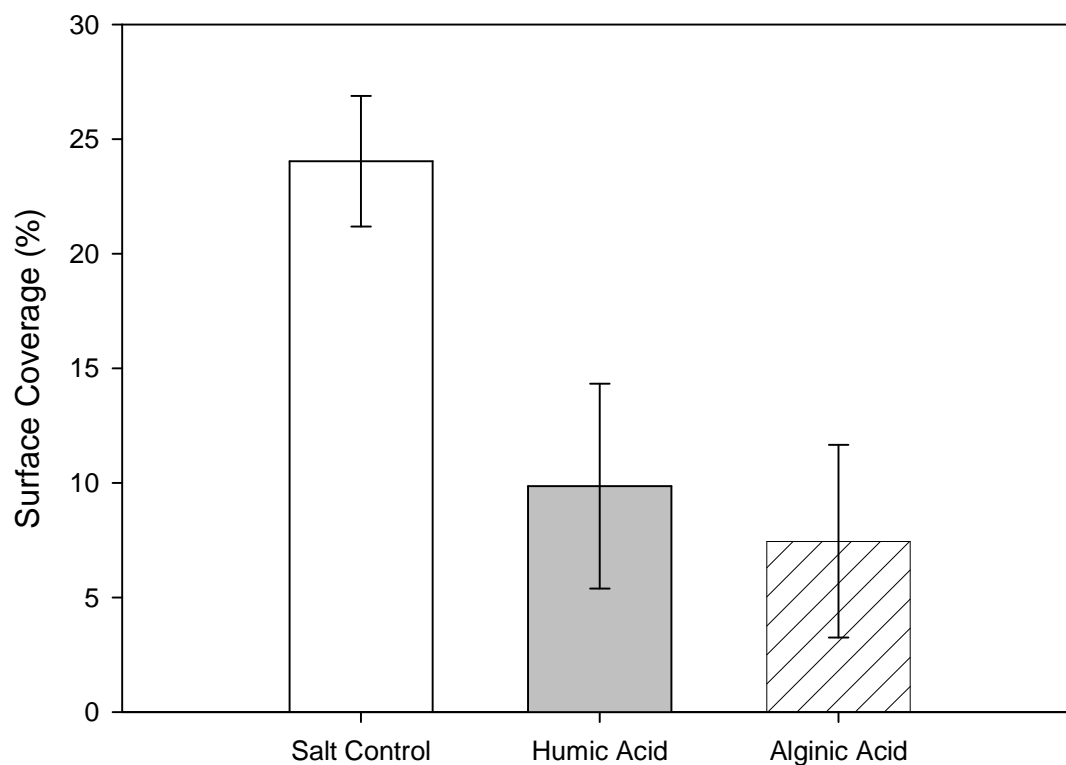


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746 *Figure 3: Population density diagrams of the energy dissipated during approach (a) and retraction (b) of a*  
 747 *triangular silicon nitride Atomic Force Microscopy probe through the top 0.5 - 1  $\mu\text{m}$  of fouling layers of humic*  
 748 *acid and alginic acid on NF90 membranes, or within 0.5  $\mu\text{m}$  of an unfouled salt control sample. 50 independent*  
 749 *measurements were taken from 8 membrane samples for each foulant and corrected to 1  $\mu\text{m}$  for comparative*  
 750 *purposes. Energy dissipated is presented on a  $\log_{10}$  scale.*

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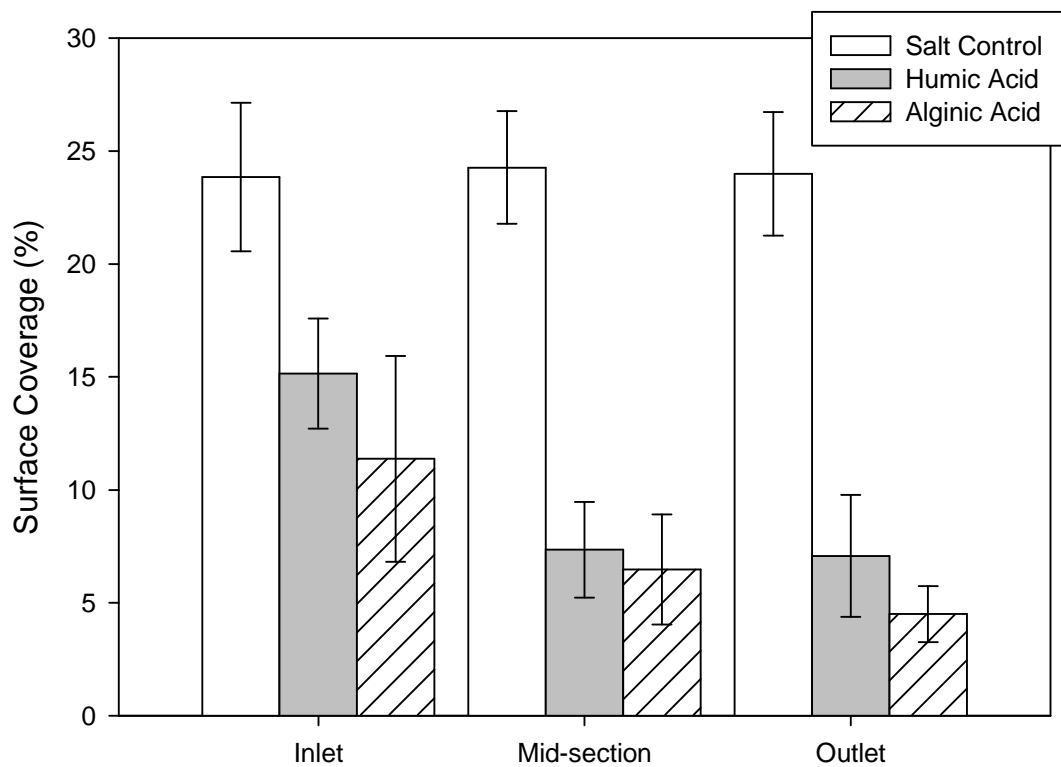
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754 *Figure 4: Surface coverage of Pseudomonas fluorescens cells on NF90 membrane samples fouled with humic*  
 755 *acid, alginic acid and a salt control under cross-flow conditions after 30 minutes of initial adhesion (42 LMH*  
 756 *permeate flux, 0.39 m/s cross-flow rate). Feed solution: 1mgC/L humic acid or 2mgC/L alginic acid, 20 mM*  
 757 *NaCl, 1 mM NaHCO<sub>3</sub> and 0.5 mM CaCl<sub>2</sub>, 20 ± 1 °C, pH 8.5. The results shown are the average of at least three*  
 758 *samples from all regions of the membrane (inlet, mid-section and outlet) for each feed with the standard*  
 759 *deviations shown.*

760



761

762 *Figure 5: Surface coverage of Pseudomonas fluorescens cells on NF90 membrane samples fouled with humic*  
 763 *acid, alginic acid and a salt control under cross-flow conditions (42 LMH permeate flux, 0.39 m/s cross-flow*  
 764 *rate) after 30 minutes of initial adhesion. Feeds solution: 1mgC/L humic acid or 2mgC/L alginic acid, 20 mM*  
 765 *NaCl, 1 mM NaHCO<sub>3</sub> and 0.5 mM CaCl<sub>2</sub>, 20 ± 1 °C, pH 8.5. The results shown are the average of at least three*  
 766 *samples from each region of the membrane (inlet, mid-section and outlet) for each feed with the standard*  
 767 *deviations shown.*

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