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### Outcome after conservative management or intervention for unruptured brain arteriovenous malformations

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7 Outcome after conservative management or intervention for unruptured brain arteriovenous8 malformations

9

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39	ABSTR	АСТ
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40

41 **Importance –** Whether conservative management is superior to interventional treatment

42 ('intervention') for unruptured brain arteriovenous malformations (bAVMs) is uncertain, because

43 of the shortage of long-term comparative data.

44 Objective – Long-term comparison of outcomes of conservative management versus intervention
 45 for unruptured bAVM.

46 Design – Population-based inception cohort study of adults resident in Scotland, first diagnosed

47 with an unruptured bAVM during 1999-2003 or 2006-2010, and followed prospectively using

48 multiple sources to assess handicap and to identify and validate outcome events over 12 years of

49 prospective follow-up.

50 **Exposures –** We compared associations with conservative management (without intervention)

51 versus intervention (endovascular embolization ± neurosurgical excision ± stereotactic

52 radiosurgery).

53 Main outcomes and measures – Cox regression analyses, with multivariable adjustment for

54 prognostic factors and baseline imbalances if hazards were proportional, to compare rates of the

55 primary outcome (death or sustained morbidity of any cause, Oxford Handicap Scale score [OHS]

56  $\geq$  2 for at least two successive years [0=no symptoms and 6=death]) and the secondary outcome

57 (non-fatal symptomatic stroke or death due to bAVM, associated arterial aneurysm or

58 intervention).

59 **Results** – Of 204 adults, 101 underwent intervention; they were younger, more likely to have

60 presented with seizure(s), and less likely to have large bAVMs than adults managed conservatively.

61 During a median follow-up of 6.9 years (94% completeness), the rate of progression to the primary

62 outcome was lower with conservative management during the first four years of follow-up [16

deaths (4.0 per 100 person-years) and 20 OHS 2-5 (5.5 per 100 person-years) versus 4 deaths (1.0

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64	per 100 person-years) and 35 OHS 2-5 (8.8 per 100 person-years), adjusted hazard ratio (HR) $0.59$ ,
65	95% confidence interval (CI) 0·35 to 0·99], but rates were similar thereafter. The rate of the
66	secondary outcome was lower with conservative management during 12 years of follow-up [14
67	events (1.6 per 100 person-years) versus 38 events (3.3 per 100 person-years), adjusted HR $0.37$ ,
68	95% CI 0·19 to 0·72].
69	<b>Conclusions and relevance</b> – Among adults diagnosed with unruptured bAVM, the use of
70	conservative management compared with intervention was associated with better clinical

71 outcomes over 4 years. Longer follow-up is required to understand whether this association

72 persists.

#### 73 INTRODUCTION

74

75	Unruptured brain arteriovenous malformations and their associated feeding/nidal arterial
76	aneurysms (collectively termed 'bAVM') have ~1% annual risk of intracranial hemorrhage, <sup>1,2</sup> which
77	has a one year case fatality of 12%, <sup>3</sup> in studies lasting up to ten years. <sup>4</sup> Interventional treatment
78	('intervention') by neurosurgical excision, endovascular embolization, or stereotactic radiosurgery
79	can be used alone, or in combination, to attempt to obliterate bAVMs, dependent on their
80	vascular anatomy. <sup>5</sup> Because interventions may have complications <sup>6</sup> and the untreated clinical
81	course of unruptured bAVMs can be benign, <sup>1-4</sup> some patients choose conservative management
82	(without intervention). Unruptured bAVM intervention has been compared with conservative
83	management in a concurrent control group in just one randomized trial (ARUBA
84	[ISRCTN44013133]) <sup>7-9</sup> and only a few observational studies, all of which have shown harm from
85	intervention in the short-term. <sup>10,11</sup> Guidelines have endorsed both intervention and conservative
86	management for unruptured bAVMs. <sup>12,13</sup> Therefore, we began a study in 1999 to assess the long-
87	term outcome for adults affected by bAVM, with or without intervention, in everyday clinical
88	practice. <sup>14,15</sup>
89	
90	METHODS
91	
92	The Scottish Intracranial Vascular Malformation (IVM) Study (SIVMS) is a prospective, population-
93	based cohort study that uses anonymized data extracts from the National Health Service Scottish
94	Audit of IVMs (SAIVMs). SAIVMs included adults who were aged ≥16 years and resident in Scotland
95	when first diagnosed with bAVM in 1999-2003 or 2006-2010 ( <u>www.saivms.scot.nhs.uk</u> ). The audit
96	protocol ( <u>www.saivms.scot.nhs.uk/pdf/2008_06_SAIVMs%20protocol_v2.pdf</u> ) and research
97	protocol ( <u>http://docdat.ic.nhs.uk</u> ) are published. SAIVMs identified patients through multiple

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98	overlapping sources of case ascertainment that included a Scotland-wide collaborative network of
99	neurologists, neurosurgeons, stroke physicians, radiologists, and pathologists and central registers
100	of hospital discharges and death certificates. <sup>15</sup>
101	
102	Ethical approval
103	
104	The Multicentre Research Ethics Committee for Scotland (MREC/98/0/48) and the Fife and Forth
105	Valley Research Ethics Committee (08/S0501/76) approved the conduct of observational studies
106	(to which an opt-out consent policy applied) and postal questionnaire studies (which required opt-
107	in consent).
108	
109	Eligibility criteria
110	
111	In this analysis, we included adults in SIVMS with a radiographically- or pathologically-confirmed
112	first-in-a-lifetime definite diagnosis of a bAVM in 1999-2003 or in 2006-2010 inclusive, which was
113	unruptured when diagnosed. The term 'bAVM' included associated nidal/feeding arterial
114	aneurysms, but not intracranial aneurysms remote from the bAVM its arterial supply. We
115	classified adults as receiving intervention if they underwent any of the following treatments for
116	their unruptured bAVM, either alone or in any combination, before the end of follow-up:
117	microsurgical excision, stereotactic radiosurgery, or endovascular (glue or coil) embolization. We
118	classified adults as undergoing 'conservative management' if they did not receive any of these
119	interventions. Decisions about intervention were left to patients and their physicians.
120	
121	

122

123 Diagnostic verification

124

Four experienced neuroradiologists verified certainty of bAVM diagnosis on diagnostic brain
 imaging that had been performed in clinical practice (supported by the Systematic Image Review
 System tool; <u>http://www.neuroimage.co.uk/sirsinfo/</u>). They determined surgical eloquence of
 nidus location<sup>16</sup> and used catheter angiography to describe vascular anatomy<sup>10</sup> or MRI to measure
 nidus size.<sup>17,18</sup>

130

#### 131 Baseline characteristics

132

133 We reviewed family (general) practitioner and hospital medical records to establish demographics, 134 medical histories, and the consequences of bAVM presentation on the Oxford Handicap Scale 135 (OHS), which is a derivative of the modified Rankin Scale, ranging from 0 (no symptoms) to 6 (death).<sup>19</sup> We reviewed these medical records, brain imaging and reports of pathological 136 137 examinations to classify the mode of bAVM presentation and clinical outcome events during 138 follow-up. When assessing clinical events at presentation and during follow-up, we also classified 139 whether they were definitely, possibly, or definitely not attributable to the bAVM or an intervention complication. We classified events as possibly attributable to the bAVM when clinical 140 141 features were anatomically consistent with bAVM location, but another cause (e.g. ischaemic 142 stroke) was possible and neuroradiological investigation had identified neither bAVM hemorrhage 143 nor an alternative cause. We regarded presentations as 'incidental' if the adult had been 144 asymptomatic or if we could not definitely relate their symptoms to the underlying bAVM (e.g. 145 headache); we attributed presentations to epileptic seizure(s) if a seizure was neither 146 symptomatic of a concomitant intracranial hemorrhage nor more likely to be due to another 147 cause.

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148

#### 149 Follow-up

150

151	The inception point for conservative management was an adult's presentation, which was the date
152	of symptom onset or medical consultation (if asymptomatic) that led to an investigation
153	diagnosing the bAVM. The inception point for intervention was the date of the first intervention
154	for an unruptured bAVM that proceeded after presentation. Follow-up occurred prospectively on
155	an uninterrupted annual basis, using a postal questionnaire to every adult's family practitioner and
156	annual surveillance of family practitioner and hospital medical records, to identify outcome events
157	that had occurred over the preceding year. Consenting participants completed postal
158	questionnaires on each anniversary of bAVM diagnosis, to identify outcome events and assess
159	handicap on the OHS. Two investigators (CPW or RA-SS) independently assessed symptomatic
160	clinical outcome events, <sup>10</sup> using all the contemporaneous clinical, radiographic and pathological
161	records available. In attributing the mode and cause of death we reviewed death certificates,
162	autopsy reports if performed, and clinical records and brain imaging if death occurred in hospital.
163	Extent of bAVM obliteration was assessed from reports of angiographic brain imaging after
164	intervention. We gave precedence to obliteration confirmed by catheter angiography, otherwise
165	we relied on magnetic resonance angiography.
166	
167	Statistical methods
168	

- 169 Baseline characteristics
- 170
- 171 For analyses of clinical covariates, age was a continuous variable, OHS at presentation was
- 172 dichotomized into 0-1 versus 2-5, and mode of presentation was dichotomized into seizure(s)

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173	versus other (although, if following presentation a clinical event occurred which led to
174	intervention, this subsequent event became the mode of presentation in the intervention group).
175	We dichotomized bAVM nidus location into deep (involving the basal ganglia, internal capsule,
176	thalamus, hypothalamus, limbic system, or corpus callosum) versus other. We dichotomized
177	venous drainage into exclusively deep versus other, and bAVM nidus maximum diameter into
178	<3cm versus ≥3cm. We separately derived the bAVM Spetzler-Martin grade, which predicts the
179	likelihood of morbidity from bAVM excision based on bAVM size, venous drainage pattern, and
180	eloquence of surrounding brain (grade 1 lowest risk to grade 5 highest risk). <sup>16</sup>
181	
182	Follow-up

183

The primary outcome was the first occurrence of handicap (OHS 2-5, signifying, "some restrictions to lifestyle, but the patient can look after themselves" or worse) sustained for at least two successive years *after* inception (i.e. the baseline OHS rating was not included in the outcome measure) or death (OHS 6) of any cause. The secondary outcome was non-fatal symptomatic stroke (intracranial hemorrhage, cerebral infarction, or focal neurological deficit persisting or progressing for >24 hours) or death due to the bAVM or intervention.

- 190
- 191 Sample size
- 192

193 The number of adults diagnosed with unruptured bAVM in our population over ten years

194 determined our sample size, but the timing of our analyses during follow-up was determined by

195 the accumulation of sufficient primary and secondary outcomes to power the multivariable model

196 to include five important covariates without over-fitting.<sup>20</sup>

197

198 Analytical methods

199

200 RA-SS conducted analyses according to a statistical analysis plan approved by the Steering 201 Committee before data extraction (www.saivms.scot.nhs.uk/pdf/resPaper/2013 07 05 SAP.pdf). 202 Completeness of follow-up data was quantified as a proportion of all the potential follow-up time that could have been accrued prior to death or the last available follow-up.<sup>21</sup> Survival analyses of 203 204 time to first event started at inception and stopped at the date of the first outcome or the date of 205 censoring, whichever occurred sooner. For the primary outcome censoring occurred at last 206 available follow-up, before which we disregarded missing OHS scores. For the secondary outcome, 207 censoring occurred at last available follow-up or death (possibly or definitely not attributable to 208 bAVM). Adults managed conservatively who had a secondary outcome event that led to 209 intervention remained in the conservative management group for outcome analyses. 210 211 Bivariate analyses were performed using life tables and Kaplan-Meier estimates to analyze followup data accrued by 12 years (when  $\sim 10\%$  of the cohort remained under follow-up<sup>22</sup>) with 212 213 differences between intervention and conservative management determined by the log-rank test 214 and hazard ratio (HR) from Cox regression, with intervention as the referent category. We pre-215 specified multivariable analyses to adjust HRs when proportional hazards assumptions were satisfied.<sup>23</sup> Covariates were selected from the following list, in the following order which was 216 217 determined by the clinical relevance and likely completeness of the covariates, until the number of outcomes per covariate would be below ten with the addition of another covariate<sup>20</sup>: clinical 218 influences on functional outcome ([1] age at inception, [2] mode of clinical presentation,<sup>24</sup> and [3] 219 220 baseline OHS score [for the primary outcome only]) and vascular anatomy that influences either 221 the risk of bAVM hemorrhage ([4] bAVM nidus location and [5] bAVM venous drainage pattern<sup>1,2</sup>) or the risk of intervention ([6] maximum bAVM nidus diameter<sup>10,16</sup>). Covariates were entered 222 JAMA 13-9714R Page 9 of 27

223	simultaneously into the regression model. In a supplementary analysis, we derived a model to
224	predict the occurrence of intervention (using age at presentation, receipt of a catheter angiogram,
225	and sex) and adjusted the multivariable models of the primary and secondary outcomes for these
226	propensity scores.
227	
228	RA-SS used IBM SPSS Statistics (version 19.0), Stata (version 11.2), StatsDirect (version 2.7.8), and
229	Confidence Interval Analysis software to calculate: parametric statistics for between-group
230	comparisons when continuous data obeyed a normal distribution and non-parametric statistics
231	when they did not; exact tests in the analysis of categorical data; and HRs with Cox regression
232	analyses. All reported P values are two-sided ( $\alpha$ =0.05).
233	
234	RESULTS
235	
236	Baseline characteristics
237	
	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite
237	
237 238	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite
237 238 239	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite unruptured bAVM, of whom 204 were eligible for analysis (Figure 1). 103 underwent intervention.
237 238 239 240	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite unruptured bAVM, of whom 204 were eligible for analysis (Figure 1). 103 underwent intervention. 101 underwent conservative management (five of whom had a bleed during follow-up and
237 238 239 240 241	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite unruptured bAVM, of whom 204 were eligible for analysis (Figure 1). 103 underwent intervention. 101 underwent conservative management (five of whom had a bleed during follow-up and subsequently underwent intervention). Adults receiving intervention were younger, more likely to
237 238 239 240 241 242	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite unruptured bAVM, of whom 204 were eligible for analysis (Figure 1). 103 underwent intervention. 101 underwent conservative management (five of whom had a bleed during follow-up and subsequently underwent intervention). Adults receiving intervention were younger, more likely to present with seizure(s), more likely to have a catheter angiogram and less likely to have a
237 238 239 240 241 242 243	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite unruptured bAVM, of whom 204 were eligible for analysis (Figure 1). 103 underwent intervention. 101 underwent conservative management (five of whom had a bleed during follow-up and subsequently underwent intervention). Adults receiving intervention were younger, more likely to present with seizure(s), more likely to have a catheter angiogram and less likely to have a
237 238 239 240 241 242 243 243 244	During 1999-2003 and 2006-2010, 213 adults were newly diagnosed with at least one definite unruptured bAVM, of whom 204 were eligible for analysis (Figure 1). 103 underwent intervention. 101 underwent conservative management (five of whom had a bleed during follow-up and subsequently underwent intervention). Adults receiving intervention were younger, more likely to present with seizure(s), more likely to have a catheter angiogram and less likely to have a

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**Conservative management** 

250	101 adults were managed conservatively, which involved usual care (e.g. pharmacological
251	treatment of seizures) but no intervention. In this group, embolization was attempted but did not
252	proceed in two adults (because of spontaneous bAVM obliteration 12 days after presentation in
253	one adult and the demonstration of unsuitable vascular anatomy on superselective angiography in
254	another) and three adults underwent intervention for a remote intracranial aneurysm, but the
255	bAVM was not treated. A second bAVM spontaneously obliterated 2.4 years after presentation.
256	
257	Intervention
258	
259	103 adults received their first intervention after median 13 months (inter-quartile range [IQR] 7-
260	19, range 0-97) following presentation (eFigure 1). Embolization was attempted but did not
261	proceed because of unsuitable vascular anatomy in four adults (subsequently embolization was
262	possible in one and three underwent stereotactic radiosurgery). Two-thirds received single-
263	modality intervention and one-third received multi-modality intervention over median 12 months
264	(eFigure 2 and eTable 1). 83 adults had catheter angiography and 14 had magnetic resonance
265	angiography following their last intervention, demonstrating bAVM obliteration in 63% after
266	single-modality and 71% following multi-modality intervention (eTable 1). Adults undergoing
267	stereotactic radiosurgery had their most recent imaging study after mean 32±15 months following
268	their most recent intervention.
269	
270	

- 273 Outcome after intervention or conservative management
- 274

We followed 204 adults with bAVM who were alive at presentation for a median of 6·9 years (IQR 4.0-11.0) and a total of 1,479 person-years (of 1,567 potential person-years; overall completeness 94%<sup>21</sup>). The median duration of follow-up was longer after intervention (9·4 years, IQR 5·0-11·9) than during conservative management (5·2 years, IQR 3·0-9·7; p=0·002) because three-quarters of the 41 deaths occurred during conservative management (Figure 1 and eFigure 3 and eFigure 4).

280

281 For the primary outcome, the proportional hazards assumption was met over the first four years 282 of follow-up. During this time the rate of progression to the primary outcome was lower during 283 conservative management than after intervention (36 vs. 39 events, 9.5 vs 9.8 per 100 person 284 years, adjusted HR 0.59, 95% confidence interval [CI] 0.35-0.99; Table 2 and Figure 2), but rates 285 were not different when subsequent time periods were analysed separately (4-8 years, 8 vs. 8 286 events, adjusted HR 1.07, 95% CI 0.37-3.16; 8-12 years, 5 vs. 1 event, adjusted HR 4.70, 95% CI 287 0.29-77.42). Over 12 years, the death rate was higher during conservative management than after 288 intervention (31 vs. 10 events, 3.7 vs 1.1 per 100 person years, HR 3.64, 95% Cl 1.78-7.43; eFigure 289 3). This was unrelated to bAVM or intervention (log-rank p=0.29) but attributable to deaths from 290 other causes (log-rank p<0.001); these differences disappeared after age-adjustment (eTable 2).

291

For the secondary outcome, the proportional hazards assumption was met over 12 years of follow-up, during which time the rate of progression to the secondary outcome was lower during conservative management than after intervention (14 vs. 38 events, 1.6 vs 3.3 per 100 person years, adjusted HR 0·37, 95% CI 0·19-0·72; Table 2 and Figure 3), largely because of symptomatic strokes due to intervention (Figure 1), 7 of which occurred within 30 days of first intervention.

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After these first events, there were 12 more secondary outcomes in the intervention group, andone during conservative management.

299

- 300 Sensitivity and supplementary analyses
- 301

302 In pre-specified sensitivity analyses, the association of conservative management with the primary 303 outcome remained the same over four years after removing adults who experienced outcomes 304 before bAVM intervention (34 vs. 39 events, 9.0 vs 9.8 per 100 person years, adjusted HR 0.58, 305 95% CI 0·34-0·99) or when the two adults who had intervention attempted but not given were re-306 allocated to the intervention group (34 vs. 41 events, 9.3 vs 10.0 per 100 person years, adjusted 307 HR 0.53, 95% CI 0.32-0.90). The association with the secondary outcome was similar whether 308 including pre-intervention clinical course in the conservative management group (18 vs. 39 events, 309 2.1 vs 3.4 per 100 person years, unadjusted HR 0.27, 95% CI 0.16-0.47), including pre-intervention 310 clinical course in the intervention group (14 vs. 33 events, 1.5 vs 2.8 per 100 person years, adjusted HR 0.50, 95% CI 0.25-0.98),<sup>25</sup> including secondary outcomes that were possibly due to the 311 312 bAVM (18 vs. 39 events, 2.1 vs 3.3 per 100 person years, adjusted HR 0.43, 95% CI 0.23-0.78), or 313 reallocating the two adults who had intervention attempted but not given to the intervention 314 group (14 vs. 38 events, 1.6 vs 3.2 per 100 person years, adjusted HR 0.42, 95% CI 0.22-0.79).

315

We pre-specified a supplementary analysis of ARUBA's primary outcome (the composite event of death from any cause or symptomatic stroke). However, the proportional hazards assumption was violated (eFigure 5) precluding multivariable analysis, because of the excess of deaths of any cause in the conservative management group in our study (Figure 1 and eFigure 3).

320

A post hoc analysis restricted to adults who were OHS 0-1 at baseline did not change the association between conservative management and the primary outcome (12 vs. 24 events, 5.5 vs 9.0 per 100 person years, adjusted HR 0·42, 95% CI 0·20-0·89 over four years) or secondary outcome (7 vs. 20 events, 1.3 vs 2.5 per 100 person years, adjusted HR 0·35, 95% CI 0·14-0·87).

325

326 In post hoc analyses, we found differences between the two cohort epochs in some covariates. 327 Therefore, we added a cohort epoch term to our multivariable models, which had sufficient 328 outcomes to allow the addition of another covariate. The strength and statistical significance of 329 the associations in our multivariable analyses of the primary and secondary outcomes (Table 2) did 330 not change, but the 2006-2010 cohort was associated with faster progression to the secondary 331 outcome (27 vs. 25 events, 4.6 vs 1.8 per 100 person years, adjusted HR 2·37, 95% Cl 1·28-4·36). 332 Post hoc multivariable analyses also adjusted for scores modelled on propensity to intervention 333 did not change the association between conservative management and the primary outcome (36 334 vs. 39 events, 9.5 vs 9.8 per 100 person years, adjusted HR 0.50, 95% CI 0.27-0.94; eTable 3) or 335 secondary outcome (14 vs. 38 events, 1.6 vs 3.3 per 100 person years, adjusted HR 0·39, 95% CI 336 0.20-0.74; eTable 4).

337

#### 338 DISCUSSION

339

In a prospective, population-based inception cohort study of adults with unruptured bAVM, we
found that conservative management was associated with a lower rate of progression to sustained
handicap or death of any cause over four years, and a lower risk of bAVM-related symptomatic
stroke or death over 12 years, having adjusted for baseline imbalances and performed several
sensitivity analyses.

345

346 One randomized controlled trial comparing conservative management with intervention for unruptured bAVMs (ARUBA) was published recently.<sup>8,9</sup> Non-randomized observational studies and 347 randomized trials sometimes concur,<sup>26,27</sup> and in this case the similarities support the 348 349 generalizability of the results: treated participants were similar in age, sex, incidental mode of 350 presentation, lobar bAVM nidus location, superficial venous drainage pattern, and Spetzler-Martin 351 grades (Table 1), and they received multi-modality intervention with the same frequency (eTable 1).<sup>9</sup> Furthermore, the association between conservative management and stroke or death related 352 353 to bAVM or its intervention over 12 years in this observational study (adjusted HR 0.37, 95% CI 354 0.19-0.72) was similar to the effect of conservative management on stroke or death of any cause over six years in the ARUBA as-randomized analysis (HR 0.27, 95% CI 0.14-0.54).<sup>9</sup> The similarity of 355 356 the results of this observational study and ARUBA and the persistent difference between the 357 outcome of conservative management and intervention during 12-year follow-up in our study 358 support the superiority of conservative management to intervention for unruptured bAVMs, 359 which may deter these patients and physicians from intervention.

360

The strengths of this study include: thorough case ascertainment<sup>15</sup>; a population-based sampling 361 362 frame to maximize external validity; a concurrent control group; sufficient time to allow the 363 effects of multi-modality intervention and stereotactic radiosurgery to be complete by the end of 364 follow-up; internal validity from using independent imaging review and outcome assessment with 365 reference to published criteria; minimisation of bias by using outcomes that were rated and 366 adjudicated independently of the doctors caring for these adults in clinical practice; and 94% 367 completeness of the entire duration of follow-up for all adults. The clinical outcome and proportions of bAVM obliterated by intervention in Scotland appear generalizable, by being at 368 least as good as reports in systematic reviews<sup>6</sup> and the USA Nationwide Inpatient Sample 369

database.<sup>28</sup> Furthermore, the rate of hemorrhage from unruptured bAVMs (18%, 95% CI 11-30

after 12 years; Figure 3) was consistent with reported rates.<sup>1,2</sup>

372

373 This study also has several limitations. Our comparison of intervention and conservative 374 management was not randomized, so selection bias led to adults undergoing intervention being 375 younger, presenting more often with seizure(s), and having smaller AVM nidus diameters (Table 376 1). Confounding by indication may affect our results, but the bAVM intervention group appeared 377 to have favourable prognostic factors, and adjustment for propensity to intervention did not 378 change our findings. Both the robustness of our findings in sensitivity analyses, as well as 379 consistency between our findings and ARUBA<sup>9</sup> are reassuring. The primary outcome did not 380 include the baseline measurement of handicap (and therefore allowed recovery from initial 381 presentation) and crucially it allowed for recovery from the known early complications after 382 intervention by requiring handicap to be sustained for at least two successive years. The primary 383 outcome was difficult to interpret beyond four years, because of the high frequency of bAVM-384 unrelated deaths in the conservative management group, which was attributable to the imbalance 385 in age between the groups at baseline. Long-term follow-up in both this study as well as the 386 ARUBA trial is needed to establish whether the superiority of conservative management will 387 persist or change.

388

#### 389 Conclusions

Among adults diagnosed with unruptured bAVM, the use of conservative management compared
 with intervention was associated with better clinical outcomes over 4 years. However, longer
 follow-up is required to understand whether this association is persistent.

393 CONTRIBUTORS

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RA-SS and CPW designed the study, supported by the SAIVMs Steering Committee. RA-SS, JvB, CBJ,
TW, CJW, and ZS collected data. RJS, JdP, and PMW assessed brain imaging. RA-SS checked,
analysed and interpreted the data according to a statistical analysis plan developed and approved
by the SAIVMs Steering Committee. RA-SS drafted the paper, and all co-authors reviewed the final
version.

400

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#### 486 **CONFLICTS OF INTEREST**

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#### **FIGURE LEGENDS**

#### FIGURE 1 – Flowchart of included participants.

bAVM = arteriovenous malformation

\* Oxford Handicap Scale scores were not available for three patients. <sup>¶</sup> Five patients experiencing bAVM hemorrhage during conservative management subsequently had intervention, but remained in the conservative management group for analysis of the primary outcome

## FIGURE 2 – Progression to the primary outcome (first occurrence after inception of death of any cause or handicap [Oxford Handicap Scale Score 2-5] sustained for two or more successive years) during 12 years of prospective follow-up.

Error bars represent the 95% confidence intervals of the cumulative proportions at four and 12 years after inception.

# FIGURE 3 – Progression to the secondary outcome (first occurrence after inception of a non-fatal intracranial hemorrhage, cerebral infarct, or persistent/progressive non-hemorrhagic focal neurological deficit, or death, due to a brain arteriovenous malformation [bAVM] or intervention complication) during 12 years of prospective follow-up.

Error bars represent the 95% confidence intervals of the cumulative proportions at four and 12 years after inception.

	Conserva manager		Interve	ntion	Significanc (p value)	
	(n=101)		(n=103)	)		
Age at inception (mean ± SD), years	53	± 16	41	± 13	<0.001	
Female	39	(39%)	44	(43%)	0.551	
Mode of presentation					0.009	
Incidental	61	(60%)	40	(39%)		
Seizure(s)	33	(33%)	52	(50%)		
First seizure	15		26	j		
Epilepsy	18		26	j		
Focal neurological deficit	7	(7%)	11	(11%)		
Presentation Oxford Handicap Scale 0-1	61	(60%)	69	(67%)	0.097	
bAVM nidus location					0.404	
Brainstem	3	(3%)	1	(1%)		
Cerebellum	1	(1%)	2	(2%)		
Deep	3	(3%)	7	(7%)		
Lobar	94	(93%)	93	(90%)		
Eloquent bAVM nidus location	50	(50%)	54	(52%)	0.676	
Maximum bAVM nidus diameter (n=182)					0.012	
<3cm	45	(51%)	50	(54%)		
3-6cm	36	(40%)	43	(46%)		
>6cm	8	(9%)	0	(0%)		
Catheter angiogram done	46	(46%)	96	(93%)	<0.001	
Venous drainage pattern (n=142)					0.618	
Superficial	30	(65%)	69	(72%)		
Both deep and superficial	13	(28%)	20	(21%)		
Exclusively deep	3	(7%)	7	(7%)		
Spetzler-Martin Grade <sup>16</sup> (n=142)					0.212	
I	9	(20%)	21	(22%)		
П	15	(33%)	36	(38%)		
III	12	(26%)	29	(30%)		
IV	8	(17%)	10	(10%)		
V	2	(4%)	0	(0%)		
Co-existing intracranial aneurysms				- <b>-</b>	0.236	
Associated only	20	(20%)	19	(18%)		
Remote and associated	1	(1%)	6	(6%)		
Remote only	4	(4%)	2	(2%)		

TABLE 1 – Baseline characteristics of adults with a definite diagnosis of an unruptured brain arteriovenous malformation (bAVM).

	Primary outcome <sup>¶</sup>			Secondary outcome								
	Cases (n)				Outcomes (n)		d ratio ence interval)	Cases (n)	Outcomes (n)	Hazard ratio (95% confidence interval)		
			Unadjusted bivariate	Multivariable adjusted*			Unadjusted bivariate	Multivariable adjusted <sup>§</sup>				
Treatment												
Conservative management	98	36			101	14						
Intervention (referent)	103	39	0.82 (0.52-1.29)	0·59 (0·35-0·99)	103	38	0·31 (0·17-0·58)	0·37 (0·19-0·72)				
Age at inception (per year increase)	201	75	1·01 (0.99-1.03)	1.01 (0.99-1.03)	204	52	0.98 (0.96-0.99)	0.99 (0.97-1.01)				
Presentation												
Seizure(s)	85	33		0 74 (0 42 1 20)	85	26	1 42 (0 82 2 44)	1 21 (0 68 2 16)				
Other (referent)	116	42	1.04 (0.66-1.65)	0.74 (0.43-1.29)	119	26	1.42 (0.82-2.44)	1.21 (0.68-2.16)				
Presentation OHS												
2-5	74	39	2 22 (1 11 2 50)	2 40 (1 40 4 12)	-	-						
0-1 (referent)	127	36	2.23 (1.41-3.50)	2.48 (1.49-4.12)	-	-	-	-				
<b>bAVM</b> location												
Deep	10	3	0.75 (0.24.2.20)		10	5						
Other (referent)	191	72	0.75 (0.24-2.39)	0.73 (0.23-2.39)	194	47	1.99 (0.79-5.01)	1.71 (0.66-4.46)				

TABLE 2 – Bivariate and multivariable Cox proportional hazards analyses of the first occurrence of a primary or secondary outcome.

<sup>1</sup> first occurrence during four years of follow-up after inception of death or handicap [Oxford Handicap Scale Score (OHS) 2-5] sustained for two or more successive years; <sup>J</sup> first occurrence during 12 years of follow-up after inception of a non-fatal intracranial hemorrhage, cerebral infarct, or persistent / progressive non-hemorrhagic focal neurological deficit, or death, due to a brain arteriovenous malformation [bAVM] or intervention complication; \* adjusted for intervention, age at inception, mode of presentation, bAVM location, and OHS at presentation; <sup>§</sup> adjusted for intervention, age at inception, mode of presentation, and bAVM location

#### FIGURE 1 – Flowchart of included participants.

bAVM = arteriovenous malformation

\* Oxford Handicap Scale scores were not available for three patients. <sup>¶</sup> Five patients experiencing bAVM hemorrhage during conservative management subsequently had intervention, but remained in the conservative management group for analysis of the primary outcome

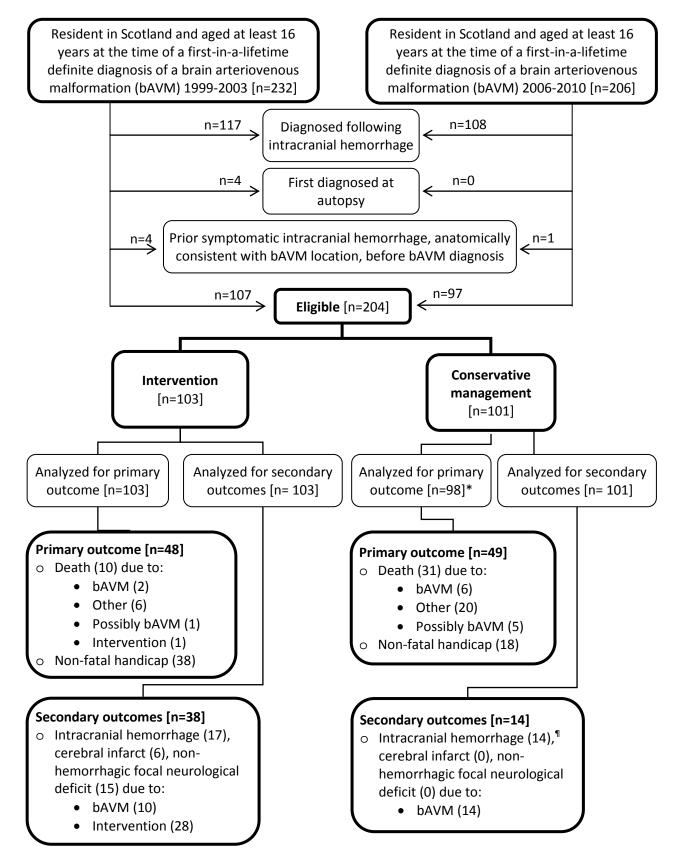
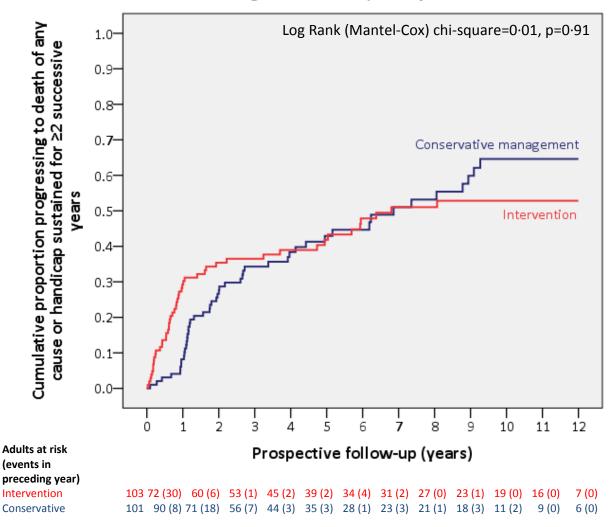
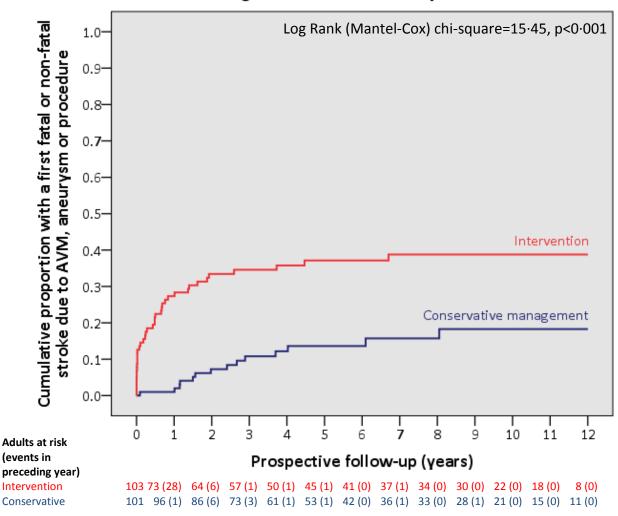


FIGURE 2 – Progression to the primary outcome (first occurrence after inception of death of any cause or handicap [Oxford Handicap Scale Score 2-5] sustained for two or more successive years) during 12 years of prospective follow-up.



Progression to the primary outcome

FIGURE 3 – Progression to the secondary outcome (first occurrence after inception of a non-fatal intracranial hemorrhage, cerebral infarct, or persistent/progressive non-hemorrhagic focal neurological deficit, or death, due to a brain arteriovenous malformation [bAVM] or intervention complication) during 12 years of prospective follow-up.



Progression to the secondary outcome

#### **ONLINE-ONLY SUPPLEMENT**

**eFIGURE 1** – Time to first intervention for an unruptured brain arteriovenous malformation or associated arterial aneurysm after initial presentation among the 103 adults in the intervention group

**eFIGURE 2** – Time between first and last intervention for an unruptured brain arteriovenous malformation or associated arterial aneurysm among the 103 adults in the intervention group

**eTABLE 1** – Type of intervention and extent of angiographic obliteration among the 103 adults in the intervention group

**eFIGURE 3** – Progression to death of any cause among the 204 adults with unruptured bAVM during 12 years of prospective follow-up

**eTABLE 2** – Bivariate and multivariable Cox proportional hazards analyses of the first occurrence of death of any cause among the 204 adults with unruptured bAVM during 12 years of prospective follow-up

**eFIGURE 4** – Stacked bar chart of the proportions of the 204 adults with unruptured bAVM who were followed-up in each year on the Oxford Handicap Scale, stratified by treatment group for comparison

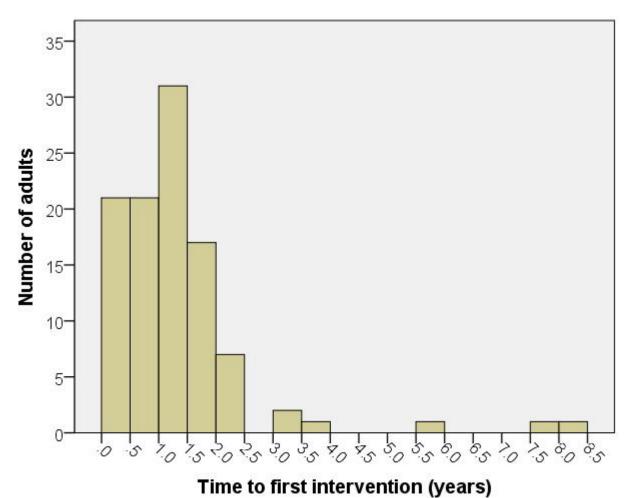
**eFIGURE 5** – Progression to death from any cause or symptomatic stroke among the 204 adults with unruptured bAVM during 12 years of prospective follow-up

**eTABLE 3** – Multivariable Cox proportional hazards analysis of the first occurrence of the primary outcome during four years of follow-up among the 204 adults with unruptured bAVM, adjusted for propensity score

**eTABLE 4** – Multivariable Cox proportional hazards analysis of the first occurrence of the secondary outcome during 12 years of follow-up among the 204 adults with unruptured bAVM, adjusted for propensity score

## eFIGURE 1 – Time to first intervention for an unruptured brain arteriovenous malformation or associated arterial aneurysm after initial presentation among the 103 adults in the intervention group.

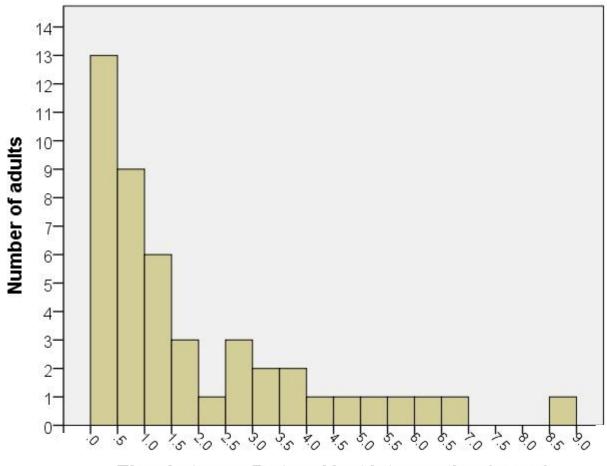
Each bin includes values greater than or equal to the lower limit and less than the upper limit.



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## eFIGURE 2 – Time between first and last intervention for an unruptured brain arteriovenous malformation or associated arterial aneurysm among the 103 adults in the intervention group.

Each bin includes values greater than or equal to the lower limit and less than the upper limit.

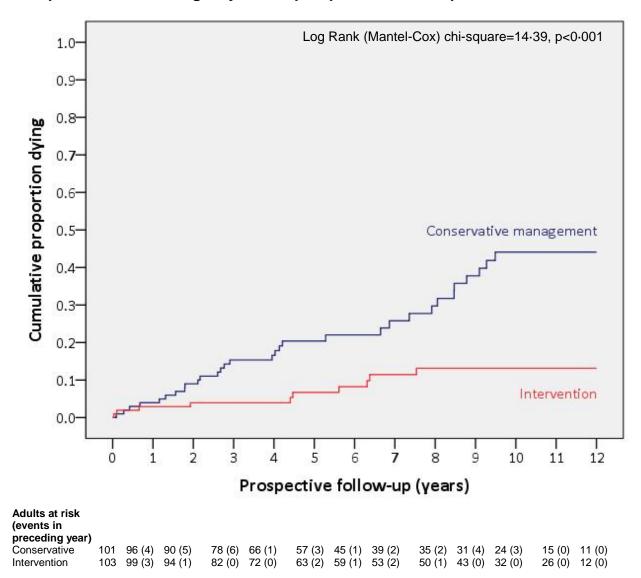


Time between first and last intervention (years)

## eTABLE 1 – Type of intervention and extent of angiographic obliteration among the 103 adults in the intervention group.

Intervention	n	Comp oblite		Partia obliter		No foll imagin	
Single modality							
Stereotactic radiosurgery	28	18	(64%)	8	(29%)	2	(7%)
Endovascular embolisation	22	10	(45%)	10	(45%)	2	(10%)
Microsurgical excision	18	15	(83%)	1	(6%)	2	(11%)
Sub-total	68	43	(63%)	19	(28%)	6	(9%)
Multimodality **							
Endovascular embolisation and stereotactic radiosurgery	20	11	(55%)	9	(45%)	0	(0%)
Endovascular embolisation and microsurgical excision	12	12	(100%)	0	(0%)	0	(0%)
Stereotactic radiosurgery and microsurgical excision	2	2	(100%)	0	(0%)	0	(0%)
Endovascular embolisation, microsurgical excision, and stereotactic radiosurgery	1	0	(0%)	1	(100%)	0	(0%)
Sub-total	35	25	(71%)	10	(29%)	0	(0%)

\*\* p<0.01 comparing the proportion completely obliterated in four multimodality approaches



### eFIGURE 3 – Progression to death of any cause among the 204 adults with unruptured bAVM during 12 years of prospective follow-up.

## eTABLE 2 – Bivariate and multivariable Cox proportional hazards analyses of the first occurrence of death of any cause among the 204 adults with unruptured bAVM during 12 years of prospective follow-up.

	Death of any cause						
	Cases (n)	Outcomes (n)	Hazard ratio (95% confidence interval)				
	Ur		Unadjusted bivariate	Multivariable adjusted*			
Treatment							
Conservative management	101	31	2 64 (4 70 7 42)	1 60 (0 70 0 65)			
Intervention (referent)	103	10	3.64 (1.78-7.43)	1.62 (0.72-3.65)			
Age at inception (per year increase)	204	41	1.07 (1.04-1.09)	1.04 (1.02-1.07)			
Presentation							
Seizure(s)	85	11	0.44 (0.04.0.00)	0.45 (0.00.0.00)			
Other (referent)	119	30	0.41 (0.21-0.82)	0.45 (0.20-0.98)			
Presentation OHS							
2-5	74	23	0.00 (4.70.0.40)	0 50 (4 77 0 00)			
0-1 (referent)	130	18	3.30 (1.76-6.16)	3.50 (1.77-6.89)			

\* adjusted for intervention, age at inception, mode of presentation, and OHS at presentation

#### eFIGURE 4 – Stacked bar chart of the proportions of the 204 adults with unruptured bAVM who were followed-up in each year on the Oxford Handicap Scale, stratified by treatment group for comparison.

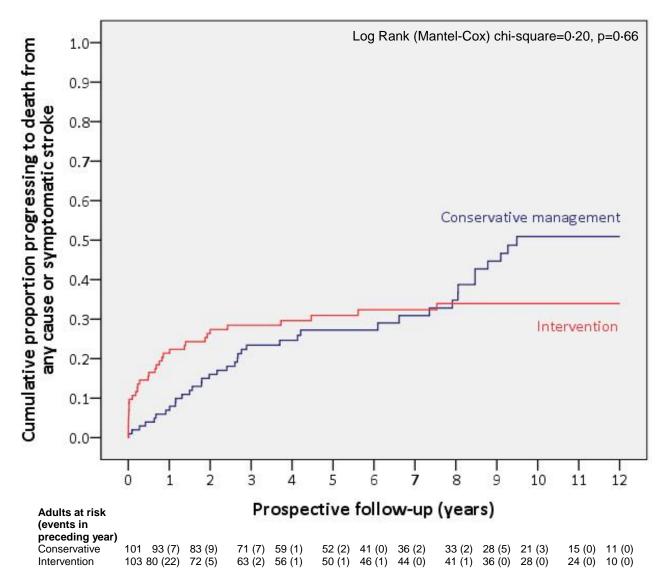
Intervention, year 12 (n=32) Intervention, year 11 (n=37) Intervention, year 10 (n=46) Intervention, year 9 (n=52) Intervention, year 8 (n=59) Intervention, year 7 (n=59) Intervention, year 6 (n=62) Intervention, year 5 (n=68) Intervention, year 4 (n=75) Intervention, year 3 (n=83) Intervention, year 2 (n=94) Intervention, year 1 (n=103) Intervention, presentation (n=103) Conservative, year 12 (n=41) Conservative, year 11 (n=45) Conservative, year 10 (n=51) Conservative, year 9 (n=50) Conservative, year 8 (n=49) Conservative, year 7 (n=56) Conservative, year 6 (n=58) Conservative, year 5 (n=70) Conservative, year 4 (n=70) Conservative, year 3 (n=84) Conservative, year 2 (n=89) Conservative, year 1 (n=74) Conservative, presentation (n=101) 0% 10% 20% 30% 40% 50% 60% 70% 80% 90% 100% ■OHS=0 ₩ OHS=1 OHS=6

■OHS=2

= OHS=4

OHS=5

Deaths are illustrated cumulatively so the annual case fatality rate is over-estimated.



### eFIGURE 5 – Progression to death from any cause or symptomatic stroke among the 204 adults with unruptured bAVM during 12 years of prospective follow-up.

eTABLE 3 – Multivariable Cox proportional hazards analysis of the first occurrence of the primary outcome during four years of follow-up among the 204 adults with unruptured bAVM, adjusted for propensity score.

	e (over four years), Cases	Outcomes	Hazard ratio
	(n)	(n)	(95% confidence interval)
			Multivariable adjusted
Treatment			
Conservative management	98	36	0.50 (0.27-0.94)
Intervention (referent)	103	39	
Age (per year increase)	201	75	1.01 (0.99-1.03)
Presentation			
Seizure(s)	85	33	0.69 (0.39-1.23)
Other (referent)	116	42	
bAVM location			
Deep	10	3	0.73 (0.22-2.41)
Other (referent)	191	72	
Presentation OHS			
2-5	74	39	2.48 (1.47-4.19)
0-1 (referent)	127	36	

eTABLE 4 – Multivariable Cox proportional hazards analysis of the first occurrence of the secondary outcome during 12 years of follow-up among the 204 adults with unruptured bAVM, adjusted for propensity score.

Secondary outcome (over 12 years), adjusted for propensity score				
	Cases (n)	Outcomes (n)	Hazard ratio (95% confidence interval)	
			Multivariable adjusted	
Treatment				
Conservative management	101	14	0·39 (0·20-0·74)	
Intervention (referent)	103	38		
Age (per year increase)	204	52	0.99 (0.97-1.01)	
Presentation				
Seizure(s)	85	26	1.15 (0.65-2.04)	
Other (referent)	119	26		
bAVM location				
Deep	10	5	1.69 (0.65-2.04)	
Other (referent)	194	47		