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Diagnosis of river basins as CO₂ sources or sinks subject to sediment movement

Citation for published version:

Yue, Y, Ni, J, Borthwick, AGL & Miao, C 2012, 'Diagnosis of river basins as CO₂ sources or sinks subject to sediment movement' *Earth Surface Processes and Landforms*, vol 37, no. 13, pp. 1398-1406., 10.1002/esp.3254

Digital Object Identifier (DOI):

[10.1002/esp.3254](https://doi.org/10.1002/esp.3254)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Other version

Published In:

Earth Surface Processes and Landforms

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1 **Soil erosion and sediment transport induced CO₂**
2 **fluxes in river basins**

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27 Abstract: Soil erosion causes ecological deterioration of river basins.
28 However, there is presently no consensus as to whether particular river
29 basins act as erosion-induced CO₂ sources or sinks. This paper introduces
30 a rule-of-thumb coordinate system based on sediment delivery ratio (*SDR*)
31 and soil humin content (*SHC*) in order to identify the net effect of soil erosion
32 and sediment transport on CO₂ flux in river basins. The *SDR–SHC* system
33 delineates erosion-induced CO₂ source and sink areas, and further divides
34 the sink into strong and weak areas according to the world-average line. In
35 the *SDR–SHC* coordinate system, the Yellow River Basin, as a whole,
36 appears to be a weak erosion-induced CO₂ sink (with an average annual CO₂
37 sequestration of ~ 0.235 Mt from 1960 to 2008, a relatively small value
38 considering its 3.2% contribution to the World’s soil erosion). The middle
39 catchment overlapping the Loess Plateau is identified as the main source
40 area, while the lower, the main sink. Temporal analysis shows that the
41 Yellow River Basin was once an erosion-induced CO₂ source in the 1960s,
42 but changed its role to become a weak erosion-induced CO₂ sink in the past
43 40 years due to both anthropogenic and climatic factors. The soil-related
44 CO₂ fluxes are also examined for eight other major river basins in four
45 continents. The basins considered in the Northern Hemisphere appear to be
46 erosion-induced CO₂ sinks, while the two in the Southern Hemisphere act as
47 erosion-induced CO₂ sources.

48 KEYWORDS: CO₂ flux; soil erosion; sediment transport

49

50 **Introduction**

51 Although it has long been acknowledged that soil erosion in river basins
52 leads to ecological deterioration, the influence of soil erosion on the global
53 carbon cycle has only recently been recognized. Regarded as a huge active
54 carbon pool over the World's surface (Smith *et al.*, 2001), soil exchanges
55 carbon dioxide with the atmosphere through three mechanisms: chemical
56 weathering of inorganic substances, organic carbon formation, and
57 decomposition via biotic agents, all of which are affected greatly by soil
58 erosion during the three processes of detachment, transport and deposition.
59 Inorganic components like silicate or carbonate minerals in soil or rocks are
60 weathered by runoff, consuming 0.26 to 0.30 Gt C annually (Berner *et al.*,
61 1983; Meybeck, 1987; Amiotte Suchet *et al.*, 1995). Compared to the
62 inorganic processes, soil organic carbon (SOC) dynamics is more
63 complicated. At an eroding site where soil detachment takes place, the
64 newly exposed sub-layer containing less SOC has a tendency to absorb CO₂,
65 because the original pedogenic equilibrium is broken when the SOC
66 concentration is changed. Thus, the eroded carbon is partly replaced by
67 new photosynthate. For Example, Clay *et al.* (2011) found that gully floors
68 experience active photosynthesis during gully erosion. Recently, several
69 researchers (Berhe *et al.*, 2007; Quinton *et al.*, 2010) have suggested a
70 potential for stabilizing organic carbon at the freshly exposed mineral surface.
71 While the detached soil is being delivered to low-lying places of a watershed,
72 the soil aggregates break down, exposing previously encapsulated SOC to

73 microbial attack (Lal *et al.*, 2004) with an attendant increase in CO₂ emission.
74 The eventual fate of the eroded soil diverges, with a fraction re-deposited
75 within the catchment and the remainder ultimately washing into the sea. In
76 the depositional part of a watershed, the original top-layer is protected from
77 degrading by newly deposited sediment (Stallard, 1998). Meanwhile, the
78 new top-layer decomposes at a higher rate because of the enrichment of soil
79 carbon. Sediment in the anaerobic aquatic environment, on the other hand,
80 remains well preserved (Cole *et al.*, 2007). Anthropogenic factors also
81 greatly affect carbon transfer processes. For example, vegetation
82 restoration on bare land may benefit the carbon budget (by decreasing
83 sources or increasing sinks, Worrall *et al.*, 2011); conservation tillage
84 characterized by enhanced C inputs and reduced erosion rates leads to a
85 decrease of vertical C loss (Dlugoß *et al.*, 2011). A key point in
86 understanding such complicated SOC dynamics is the interactive process
87 between vegetation and erosion/deposition (Osterkamp *et al.*, 2011).

88 Although there is universal agreement that the global chemical
89 weathering of soil inorganic components is an important mechanism for CO₂
90 sequestration, the role of organic carbon loss remains controversial (Van
91 Oost *et al.*, 2004, 2008; Lal and Pimentel 2008; Kuhn *et al.*, 2009). Several
92 studies have concluded that the reduction of SOC in eroding soil represents a
93 net source of erosion-induced CO₂ because of accelerated SOC
94 mineralization. Polyakov and Lal (2008) carried out laboratory study of

95 run-off induced soil erosion of a hillside, and found that up to 15% SOC was
96 lost as CO₂ is released to the atmosphere. However, field observations
97 suggest a much smaller decomposition proportion of SOC (Van Hemelryck *et*
98 *al.*, 2011). Assuming a mineralization fraction of 20 %, Lal (1995; 2003)
99 estimated that globally 0.8–1.2 Gt C CO₂ is emitted every year. Taking a
100 mass balance approach, Jacinthe and Lal (2001) calculated that about 0.37
101 Gt C CO₂ is released annually due to water erosion of cropland. Other
102 studies have suggested a higher mineralization fraction from 50% to 100%
103 (see e.g. Schlesinger, 1995; Óskarsson *et al.*, 2004). Conversely, studies by
104 Smith *et al.* (2001), McCarty and Ritchie (2002), Quine and Van Oost (2007),
105 and Van Oost *et al.* (2007) have measured CO₂ sequestration due to erosion
106 and deposition and inferred that hardly any decomposition of SOC takes
107 place during sediment transport. Thus, the net flux is from the atmosphere
108 to the ground. Smith *et al.* (2001) estimated that 1.0 Gt C CO₂ is
109 sequestered per year. McCarty and Ritchie (2002) devised a conceptual
110 model which indicated that deposition in the wetland ecosystem might
111 promote carbon sequestration at rate of 1.6–2.2 t C ha⁻¹ yr⁻¹. Quine and Van
112 Oost (2007) carried out field scale experiments and found that erosion
113 induced a CO₂ sink of 9–14 g C m⁻² yr⁻¹, the range of which was quite similar
114 to previous model predictions by Liu *et al.* (2003). Van Oost *et al.* (2007)
115 undertook further measurements at watershed scale, and extrapolated the
116 findings to estimate the World's consumption of CO₂ to be ~0.12 Gt C.

117 Dymond (2010) estimated that the erosion-induced CO₂ sink in New Zealand
118 could compensate for as much as 45 % of fossil emission. Hilton *et al.* (2011)
119 also found that at a time scale of less than 100 yr, landslides in 13 rivers in
120 New Zealand would lead to carbon sequestration.

121 There is an ongoing debate as to whether soil loss leads to an
122 erosion-induced CO₂ source or sink, because different researchers have
123 focused on certain aspects of the whole erosion process while ignoring others.
124 The present paper aims to answer this question by considering both the
125 stimulated pedogenic CO₂ sequestration in the newly-exposed carbon-poor
126 top layer, and the accelerated CO₂ emission during sediment transport. Two
127 parameters, the sediment delivery ratio (*SDR*) and the soil humin content
128 (*SHC*), are used to indicate via a simple formula whether an erosion-induced
129 CO₂ sink or source is likely to occur in a given river basin by calculating the
130 vertical flux of CO₂ between the atmosphere and ground. A coordinate
131 system based on *SDR* and *SHC* is used to visualize the net effect of soil
132 erosion and sediment transport on CO₂ flux at basin scale. Particular
133 attention is given to the Yellow River Basin, China, given that its middle reach
134 passes through the Loess Plateau, one of the most severely eroding regions
135 in the world. This model only considers continental processes. The flux
136 generated by sediments exported into oceans has not been taken into
137 account.

138

SDR–SHC system for assessing soil-induced CO₂ flux

Net CO₂ flux during the whole erosion process has three components: one from the eroding sites when topsoil is removed, a second induced by eroded soil that re-deposits, and a third related to the process of sediment transport. The net CO₂ flux budget is represented by

$$F_T = F_1 + F_2 + F_3, \quad (1)$$

where F is CO₂ flux (a positive value representing sequestration, a negative value indicating emission), and the subscripts T, 1, 2, and 3 refer to the total CO₂ flux, eroding soil CO₂ flux, re-deposited soil CO₂ flux, and sediment transport CO₂ flux. Van Oost *et al.* (2007) found a linear relationship between the vertical and lateral carbon fluxes at both erosion and deposition areas of a watershed,

$$F_1 = \alpha \cdot E_S \cdot C_{SOC}, \quad (2)$$

$$F_2 = \beta \cdot D_S \cdot C_{SOC}, \quad (3)$$

where α and β are the linear coefficients, E_S and D_S are the mass erosion and deposition of soil per annum respectively, and C_{SOC} is the ratio of SOC content to the total soil mass. Van Oost *et al.* did not measure the sediment transport flux. Although various researchers (Smith *et al.*, 2001; Renwick *et al.*, 2004; Van Oost *et al.* 2008) believed that the oxidation fraction of SOC during transport process is extremely low, Jacinthe *et al.* (2002) carried out field scale experiments which indicated that almost all the labile carbon contained in soil does degrade into CO₂ after erosion. Based on these

161 experiments, Lal (2003) calculated the transport flux as the product of the
162 mass loss of SOC ($E_S \cdot C_{SOC}$) and the decomposition proportion (P_D). However,
163 the formula should be modified by replacing E_S with T_S (mass per annum of
164 sediment transport), since Van Oost *et al.* (2007) has proved that sediments
165 re-deposited within the basin hardly generate any fluxes,

$$166 \quad F_3 = - T_S \cdot C_{SOC} \cdot P_D, \quad (4)$$

167 where the negative sign is used to indicate that the flux is from ground to
168 atmosphere. Although there is disagreement in the published literature as to
169 how much SOC contained in the exported sediment will be oxidized (see e.g.
170 Schlesinger, 1995; Lal, 2003; Óskarsson *et al.*, 2004), the core ideas are
171 similar: namely, that labile soil carbon decomposes into CO₂ whereas
172 recalcitrant carbon remains stable. Raymond and Bauer (2001) analyzed
173 radiocarbon data obtained at the estuaries of four rivers at different scales,
174 and discovered that most of the young organic carbon in riverine sediments
175 was selectively degraded, while the old and refractory components were
176 exported into the ocean. Jacinthe *et al.* (2002) examined runoff sediments
177 and found that 100 % of the labile organic carbon was decomposed within an
178 observation period of 100 days, and about 50 % was degraded within 20 days.
179 Óskarsson *et al.* (2004) summarized the oxidation fraction of sediments from
180 different rivers discharging into the Gulf of Lions, the Gulf of Mexico, the Arctic
181 Ocean, and the North Atlantic during sediment transport, and concluded that
182 the decomposition proportion depends on the decomposability of organic

183 carbon. Óskarsson *et al.* also classified soil organic matter (SOM) into the
 184 following five categories: carbohydrates, lipids, lignin-derived substances,
 185 humic acid and humin. Of these, humin is usually recalcitrant and
 186 decomposes very slowly. Thus, Óskarsson *et al.* suggested that, in Iceland,
 187 the active components were oxidized, whereas the passive component
 188 persisted. Based on the same assumption, we make the approximation that

$$189 \quad P_D = 1 - SHC, \quad (5)$$

190 where *SHC* stands for the Soil Humin Content in the SOC, since the actual
 191 decomposition proportion is hard to estimate. Then, we obtain the deposition
 192 potential, i.e. the maximum risk of CO₂ emission. *SHC* is calculated as the
 193 ratio of humic carbon content to the total organic carbon mass, a
 194 dimensionless parameter varying from 0 to 1. Combining Equations 1 ~ 5,
 195 the net CO₂ flux in the entire erosion process is written:

$$196 \quad F_T = \alpha \cdot E_s \cdot C_{SOC} + \beta \cdot D_s \cdot C_{SOC} - T_s \cdot C_{SOC} \cdot (1 - SHC), \quad (6)$$

197 We define the sediment delivery ratio (*SDR*) as the ratio of transported mass
 198 to eroded soil mass; in other words,

$$199 \quad SDR = T_s / E_s. \quad (7)$$

200 Noting that,

$$201 \quad D_s = E_s - T_s, \quad (8)$$

202 Equation (6) can then be written as

$$203 \quad F_T = \alpha \cdot E_s \cdot C_{SOC} + \beta \cdot E_s \cdot C_{SOC} (1 - SDR) - E_s \cdot C_{SOC} \cdot SDR \cdot (1 - SHC). \quad (9)$$

204 Defining the vertical flux ratio *VFR* as the ratio of vertical carbon flux to the

205 lateral carbon flux, we have

$$206 \quad F_T = E_S \cdot C_{SOC} \cdot VFR. \quad (10)$$

207 Hence, by comparing Equation (9) with Equation (10),

$$208 \quad VFR = (\alpha + \beta) - SDR(1 - SHC + \beta). \quad (11)$$

209 Equation (11) indicates whether a basin acts as an erosion-induced CO₂

210 source or sink. For $VFR > 0$, soil erosion results in an erosion-induced CO₂

211 sink; whereas for $VFR < 0$, the basin is a CO₂ source. A critical condition

212 occurs when $VFR = 0$, and the basin neither emits nor absorbs CO₂. For a

213 given value of lateral carbon flux, the larger the magnitude of VFR the greater

214 the strength of the erosion-induced CO₂ sink or source (depending on the

215 sign of VFR). So, VFR is a single parameter that characterizes the strength

216 of the CO₂ flux, and whether it is an erosion-induced CO₂ source or sink.

217 Van Oost *et al.* (2007) parameterized α and β to be 0.26 and 0 respectively

218 and further used the two values to calculate the world's total erosion-induced

219 CO₂ flux. As the sampled soil profiles covered a wide variety of climatic and

220 pedogenic conditions, Van Oost *et al.*'s estimates of α and β can approximate

221 the World's average level, if no better estimates are available. Thus,

$$222 \quad VFR = 0.26 - SDR(1 - SHC), \quad (12)$$

223 for the World's average condition. Figure 1 plots the critical line for $VFR = 0$

224 on the SDR - SHC coordinate system, which divides the 1×1 square containing

225 all possible combinations of SDR and SHC into two parts. The region above

226 and to the left of the critical line represents all the erosion-induced CO₂ sink

227 areas, while the remainder represents the erosion-induced CO₂ source areas.

228 Using this approach, we can immediately determine whether any given basin

229 is an erosion-induced CO₂ sink or source provided *SDR* and *SHC* are known.

230 The world average level of *VFR* = 0.21 (supposing *SDR* = 0.1 and *SHC* =

231 0.5; Lal, 2003; Óskarsson *et al.*, 2004) indicates that the World's river basins

232 act together as a carbon sink. In Figure 1, the World-average value of *VFR*

233 is used to provide another demarcation line, whereby the erosion-induced

234 CO₂ sink region of the *SDR–SHC* system is further divided into two parts.

235 The sub-region above and to the left of this demarcation line represents

236 basins with above World-average CO₂ sequestration potentials (i.e. strong

237 erosion-induced CO₂ sink), whereas the central sub-region represents basins

238 with lower sequestration potentials (i.e. weak erosion-induced CO₂ sink).

239

240 **CO₂ flux in the Yellow River Basin**

241 The Yellow River Basin is one of the major contributors to the World's

242 river sediment exchange. Its catchment area is huge, and contains regions

243 that are suffering intense soil erosion. In this section, we investigate

244 whether the enormous sediment yield of the Yellow River Basin (3.2 % of the

245 World's total) contributes an equally significant CO₂ flux to the total World flux

246 induced by soil erosion, and whether the Yellow River Basin affects the

247 climatic system through emitting/absorbing CO₂ to the same extent as it does

248 the ecological environment.

249

250 Study Area

251 The Yellow River flows through seven provinces and two autonomous
252 regions of northern China, and is of length 5464 km. Its annual discharge at
253 the river mouth, averaged over the period from 1960 to 2008, is about $52 \times$
254 10^9 m³, 63 % of which is from the upper reaches. The Yellow River Basin
255 (Figure 2) extends from 96 to 119 ° E longitude and from 32 to 42 ° N in
256 latitude, has an area of 0.752 million km², and supports a population of 107
257 million. It has a continental monsoon climate, with annual precipitation
258 ranging from 300 mm in the northwest to 700 mm in the southeast (Ni *et al.*,
259 2008). The middle reach of the Yellow River passes through the Loess
260 Plateau which is experiencing major environmental degradation through
261 advanced soil erosion. In the 1970s, the mean annual yield of sediment of
262 the Yellow River Basin was 1.40×10^9 tons. Soil conservation measures
263 implemented by the Yellow River Conservancy Commission reduced the
264 mean sediment discharge in the period from 2000 to 2008 to about 0.36×10^9
265 tons. In spite of this, there remains a considerable risk of the sediment
266 discharge rising to its former high values should the runoff increase.

267

268 Data Presentation

269 Data on sediment yield, soil distribution and composition were utilized in
270 estimating the CO₂ flux of the Yellow River Basin. Sediment discharge data

271 from 1960 to 2008 at Sanmenxia and Lijin (Figure 3) provided by the Yellow
272 River Conservancy Commission (YRCC) were used to quantify the soil
273 erosion and sediment yield. The sediment discharge data series display a
274 significant decreasing trend, due to the successful implementation of soil
275 conservation projects over the past 30 years. A 1:1000000 map (Figure 2) of
276 soil distribution in the Yellow River Basin has been digitalized and the area of
277 each type obtained using ArcGIS. Soil composition data were supplied by
278 the Soil Survey Office of China, and the key properties are listed in Table 1.
279 The content of SOC and humin, as a function of soil type, local environment
280 and depth, vary widely across the Yellow River Basin. There are 24 types of
281 soils in the basin, of C_{SOC} ranging from 2.24×10^{-3} to 29.50×10^{-3} . The more
282 fertile soil is primarily distributed in the southwest of the basin, whereas
283 infertile soil is found in the central and eastern areas.

284

285 *SDR*, *SHC* and *SOC* content of the Yellow River Basin

286 The sediment delivery ratio (*SDR*) is the ratio of sediment yield to the
287 total erosion. *SDR* is affected by many factors such as the geological and
288 morphological conditions, scale, runoff, river configuration, soil structure,
289 vegetation and land use of the basin (Walling, 1983; Ebisemiju, 1990).
290 Previous studies of *SDR* in the Yellow River Basin have focused on the
291 middle reach where more than 90% of the total sediment in the river is
292 supplied from the eroding Loess Plateau. In this region, the sediment

293 comprises fine silt with particle diameters mostly < 0.05 mm, the stream-wise
294 bed slope of the middle reach is steep, $SDR \sim 1$ (Xu, 1999), and sediment
295 yield almost equals sediment erosion. Thus, the sediment discharge at the
296 downstream end of the middle reach at Sanmenxia can be taken as an
297 approximate measure of the total amount of erosion in the whole basin.
298 Given the measurements of estuarine sediment discharge at Lijin, the SDR of
299 the Yellow River Basin is determined as the ratio of sediment yields at Lijin to
300 Sanmenxia. In the period from 1960 to 2008, the average sediment
301 discharges at Sanmenxia and Lijin are 0.641 and 0.926 Gt, and so the
302 average SDR of the Yellow River Basin is 0.692. Table 1 summarizes SHC
303 and C_{SOC} according to soil type in the Yellow River basin, from which the
304 area-weighted average SHC and C_{SOC} of the Yellow River Basin are
305 6.15×10^{-3} and 0.684.

306

307 Magnitude of CO_2 flux

308 Given the known values of C_{SOC} , SHC , SDR and T_s , the average
309 erosion-induced CO_2 flux from 1960 to 2008 in the Yellow River Basin is
310 calculated to be a net erosion-induced CO_2 sink of strength 0.235 Mt.yr^{-1} using
311 Equation (10) and Equation (12). By also assuming that the total sediment
312 discharge in the world is 20 Gt per year (Smith *et al.*, 2001), the SOC content is
313 2%, the SDR is 0.1 (Lal, 2003), and the VFR is 0.21, Equation (10) gives the
314 World's total CO_2 flux to be a net erosion-induced CO_2 sink of 0.84 Gt C per

315 year. This confirms our previous observation that most river basins in the
316 World act as erosion-induced CO₂ sinks. However, the magnitude of CO₂ flux
317 absorbed by the Yellow River Basin is very small considering its great
318 contribution to World sediment yield. Table 3 provides a quantitative
319 comparison between the values of CO₂ flux, sediment delivery ratio, etc. for
320 the World and the Yellow River Basin. The small magnitude of CO₂
321 sequestration by the Yellow River Basin is related to its small value of *VFR* and
322 the loess SOC content, as well as a *SDR* significantly above the
323 World-average level.

324 Since *SHC* mainly depends on climate, and *SDR* is related to the area of
325 the drainage basin, spatial analysis of CO₂ flux is necessary to answer the
326 question as to whether there is a progressive shift from source to sink from
327 the river source to mouth. With this in mind, five hydrometric stations at
328 Hekou, Longmen, Sanmenxia, Huayuankou, and Lijin, are selected at
329 different locations along the main channel. Hekou is located close to the
330 interface between the upper and middle reaches of the Yellow River,
331 Sanmenxia is close to the interface between the middle and lower reaches,
332 and Lijin is the mouth of the river. To calculate the CO₂ flux of the upper and
333 middle reaches, Equations 7, 10, and 12 are combined to give:

$$334 \quad F_T = T_S C_{SOC} [0.26 - SDR (1-SHC)] / SDR, \quad (13)$$

335 where T_S can be estimated as the difference between sediment transport at
336 two adjacent stations. Average *SHC* and C_{SOC} can be derived from DEM

337 data and soil distribution map, using the ArcGIS hydrology and intersect tools.
338 Generally, *SDR* tends to decrease downstream as the slope gets less steep
339 in the lower part where deposition occurs. Nevertheless, this is not the case
340 for the Yellow River which passes through the Loess Plateau and generates a
341 very high *SDR* (~1, Xu, 1999) in the middle catchment. In the upper region
342 of the basin, however, *SDR* is about 0.95 (Li and Liu, 2006). Since the bed
343 elevation of the lower reach is higher than the adjacent ground beyond the
344 river banks due to sediment deposition on the riverbed between dykes, no
345 lateral flow enters. Therefore, this region is the main depositional area of the
346 basin. Though having experienced mineralization during delivery process
347 before settling, the depositional sediments neither absorb nor emit CO₂ in the
348 long run (Dymond, 2010). This fact implies that the decomposed SOC can
349 be recovered after deposition. So, the CO₂ flux into the lower catchments is
350 as much as the previous SOC decomposition during sediment transport:

$$351 \quad F_T = D_S C_{SOC} (1 - SHC), \quad (14)$$

352 where D_S can be calculated from the difference of sediment transport rates at
353 two adjacent stations. Figure 4 plots the accumulative CO₂ flux from river
354 source to mouth using Equations 13 and 14. The plot shows that the upper
355 region of the Yellow River Basin acts as a faint source of 0.03 Mt/yr, the
356 middle catchment is the main source (0.18 Mt/yr) of the basin, and the
357 depositional region brings about a 0.42 Mt/yr sink. Such results imply that
358 application of soil conservation measures (such as sediment check dams) to

359 the Loess Plateau might have the additional benefit of reducing CO₂ emission
360 in the basin.

361 Supposing that the average *SHC* of the Yellow River Basin remains
362 constant, the decadal changes of *VFR* and *SDR* can be derived from
363 recorded sediment discharge data (provided by YRCC) covering a period
364 from 1960 to 2008 (Figure 5). The results imply that although the Yellow
365 River Basin has acted as a net erosion-induced CO₂ sink over the past 49
366 years, it was once a CO₂ emitter during the 1960s, and has been altered to
367 become an erosion-induced CO₂ sink since the 1970s. Despite the
368 erosion-induced CO₂ sink apparently weakening slightly in the 1980s, it
369 strengthened in the 1990s and 2000s. The increase/decrease of *VFR* is
370 primarily due to the decrease/increase of *SDR*. In the 1970s, the
371 construction of large reservoirs, such as those at Liujiaxia, Guxian,
372 Qingtongxia, and Longyangxia, significantly reduced the *SDR* of the Yellow
373 River Basin. Since the 1990s, with the climate in the Yellow River Basin
374 becoming drier, the discharge at the estuary has sharply decreased (Miao *et*
375 *al.*, 2011). Consequently, the capability of sediment transport has become
376 smaller.

377

378 Global Role of Yellow River Basin

379 The (*SDR*, *SHC*) coordinates of the Yellow River Basin, the Yangtze
380 Basin, the Ganges Basin in Asia, the Congo Basin, the Niger Basin, the

381 Orange Basin, the Senegal Basin in Africa, the Mississippi Basin in North
382 America, and the Rhine Basin in Europe are plotted in Figure 1, along with
383 solid lines that demark the erosion-induced CO₂ source, weak sink, and
384 strong sink regions. Since the soil survey data is lack in river basins other
385 than the Yellow River Basin, the ratio of POC (Particulate Organic Carbon)
386 flux to the total SOC flux is used to approximate to *SHC*. Considering the
387 fact that only a small proportion of the un-decomposed SOC other than humin
388 exists in the POC discharge (10% ~ 20%, Chen, 2006), such approximation
389 may lead to a slight over-estimation of *SHC*. However, considering that the
390 replacement of the decomposition proportion (P_D) with the non-humin content
391 in the SOC (i.e. $1-SHC$) in Equation 4 introduced an over-estimation of CO₂
392 emission by giving the maximum emission risk, deviation from *SHC* might
393 close the gap between the emission potential and the real flux to some extent.
394 The raw data are listed in Table 2. Here, we take $F_{POC}/C_{SOC}Fs$ (the ratio of
395 Particulate Organic Carbon flux to the total SOC content defined as flux) to be
396 an approximation to *SHC*. It is interesting to see that the Congo Basin and
397 the Orange Basin in the Southern Hemisphere are erosion-induced CO₂
398 sources, while the Yellow River Basin, the Yangtze Basin, the Ganges Basin,
399 the Niger Basin, the Senegal Basin, the Mississippi Basin, and the Rhine
400 Basin in the Northern Hemisphere are erosion-induced CO₂ sinks. Among
401 the nine basins, the Senegal Basin in the West Africa is the sole basin to be a
402 strong sink of erosion-induced CO₂ flux.

403 The uncertainties on the estimation of soil erosion, sediment delivery, soil
404 properties, and the two linear coefficients, α and β , were quantified using a
405 Monte Carlo analysis. SDR , SHC , α , and β were varied randomly using a
406 normal distribution. The standard deviations of α and β were calculated
407 according to Van Oost *et al.*'s experimental data. For SDR and SHC , it was
408 assumed that the standard deviation $\sigma = \mu/4$ where μ is the expected value.
409 1000 independent simulations were carried out for every one of the nine
410 basins. The world average level of VFR was re-calculated every time for
411 each pair of α and β . The simulation results listed in Table 4 show that the
412 confidence probabilities of the discrimination for erosion-induced CO_2 sinks or
413 sources are all above 53.5%. The probability levels are even above 75% in
414 the Yangtze Basin, the Congo Basin, the Niger Basin, the Orange Basin, the
415 Senegal Basin, and the Mississippi Basin.

416

417 **Discussion**

418

419 **Error Analysis**

420 Computation of SHC of the Yellow River Basin as an area-based
421 weighted average introduces error, given that SHC is plotted against SDR of
422 the entire catchment. The contribution of sediment from each soil type listed
423 in Table 1 is not proportional to their area. In other words, most of the
424 sediment probably originates from land under cultivation or grazing while

425 sediment originated from other types of soil might not be present at all.
 426 However, as the contribution of each soil type to sediment yield is hard to
 427 estimate, the area-weighted-averaging method provides a means of
 428 approximating the average SHC based on sediment yield. In this section,
 429 the error introduced by such approximation is analyzed.

430 The average SHC based either on sediment yield or on distribution area
 431 is estimated from:

$$432 \quad \overline{SHC} = \frac{\sum Y_i SHC_i}{\sum Y_i} \quad (15)$$

433 and

$$434 \quad \overline{SHC}' = \frac{\sum A_i SHC_i}{\sum A_i}, \quad (16)$$

435 where Y_i , A_i , and SHC_i represent sediment yield, area, and SHC , respectively,
 436 in the i -th basin unit (with uniform sediment yield intensity and soil distribution).

437 The sediment yield is the product of sediment yield modulus and area:

$$438 \quad Y_i = M_i A_i. \quad (17)$$

439 By substituting Equation 17 into Equations 15 and 16, the relative error of

440 \overline{SHC}' compared to \overline{SHC} is:

$$441 \quad Error = \frac{|\overline{SHC}' - \overline{SHC}|}{\overline{SHC}}$$

$$442 \quad = \frac{|\sum Y_i / \sum A_i \cdot \sum A_i SHC_i - \sum M_i A_i SHC_i|}{\sum M_i A_i SHC_i}. \quad (18)$$

443 Let:

$$444 \quad \sum Y_i / \sum A_i = \overline{M}, \quad (19)$$

445 where \bar{M} is the average sediment yield modulus of the basin. Thus,

$$446 \quad Error = \frac{\sum A_i SHC_i |\bar{M} - M_i|}{\sum A_i SHC_i M_i}. \quad (20)$$

447 Suppose that:

$$448 \quad |\bar{M} - M_i| \leq 2\sigma\bar{M}, \quad (21)$$

449 where σ is the standard deviation. So,

$$450 \quad |1 - 2\sigma| \bar{M} \leq M_i \leq |1 + 2\sigma| \bar{M}. \quad (22)$$

451 Combining Equations 20, 21 and 22, we have:

$$452 \quad Error \leq \frac{2\sigma}{|1 - 2\sigma|}. \quad (23)$$

453 That is to say, the replacement of sediment-yield-based average *SHC* with

454 the area-weighted average leads to a maximum relative error of $\frac{2\sigma}{|1 - 2\sigma|}$.

455

456 Comparison with High Standing Islands

457 High standing islands (like Taiwan, Indonesia, Malaysia, etc.) comprise
458 only 3 % of the world's land mass, but contribute to 17 % ~ 35 % of the total
459 POC flux (Lyons *et al.*, 2002). This implies that carbon cycling may be very
460 active at high standing islands, and the erosion process in such areas can
461 exert important influence on the CO₂ flux between the soil and the
462 atmosphere. For example, New Zealand (which can be viewed as
463 representative of high standing islands) is characterized by high precipitation,
464 severe erosion, and consequently, considerable sediment transport. To
465 calculate the erosion-induced CO₂ flux in New Zealand, Dymond (2010) has

466 considered soil regeneration at erosion sites, CO₂ release during sediment
467 delivery, and carbon transfer related to soil deposition, which are also the
468 three key processes described in the *SDR-SHC* model. The results show
469 that New Zealand acts as net erosion-induced CO₂ sink, with annual carbon
470 absorption of 3.1 (-2.0/+2.5) Mt. Given that the SOC erosion over the
471 country is 4.8 Mt/yr, the *VFR* of New Zealand is 0.65 (Equation 9), far above
472 the World-average level of 0.21. By comparison, the *VFRs* of the nine large
473 continental rivers in Table 2 are well below the World-average level. Even
474 the Senegal River which acts as the single “Strong Erosion-induced Sink” of
475 the nine rivers has a CO₂ sequestration capacity (*VFR*) only one-third that of
476 New Zealand. The relatively larger CO₂ sequestration capacity probably
477 results from the higher marine burial efficiency of SOC on high standing
478 islands, which brings about a smaller SOC decomposition coefficient
479 compared to large river systems (Masiello, 2007; Dymond, 2010). On the
480 other hand, the biological productivity in New Zealand is also large, which
481 means a higher soil regeneration rate (Dymond, 2010).

482

483 **Conclusions**

484 In the Abstract, we noted that there is disagreement between experts on
485 the role of river basins in carbon exchanges with the atmosphere during soil
486 weathering, erosion, and transport. The present paper has proposed a
487 conceptually simple method for assessing carbon flux emissions from, and

488 capture by river basins. It should be noted that the method is essentially
489 rule-of-thumb, and makes several rather sweeping assumptions about the
490 processes related to erosion-induced SOC balance. Nevertheless, we
491 believe the approach is useful as a guide to carbon flux exchanges in river
492 basins, provided the results are treated critically. The paper develops an
493 identification system based on sediment delivery ratio (*SDR*) and soil humin
494 content (*SHC*), which indicates whether a given region is either an
495 erosion-induced carbon source or sink, and its relative strength. A single
496 parameter, the vertical flux ratio, $VFR = 0.26 - SDR(1 - SHC)$ can be used to
497 demark the carbon flux characteristics of single or multiple river basin(s).
498 The Yellow River Basin, as a whole, has acted as a weak erosion-induced
499 CO₂ sink on average over the past 49 years. The middle catchment
500 overlapping the Loess Plateau appears to be the main source area, whereas
501 the lower reach is the main sink. Temporal analysis indicates that the Yellow
502 River Basin was once an erosion-induced CO₂ source. However, the
503 combination of human activities related to the construction of large reservoirs
504 and climate change caused CO₂ emission to decrease in the 1960s, after
505 which the Yellow River Basin changed role to become a weak
506 erosion-induced CO₂ sink. Analysis of published data using the *SDR–SHC*
507 system has shown that of nine major river basins considered, all appear to be
508 erosion-induced CO₂ sinks except the Congo Basin and the Orange Basin in
509 the Southern Hemisphere. Compared to large river basins, the high

510 standing islands comprising a very small proportion of the World's land mass
511 seem to have considerable capacity of CO₂ sequestration. The present
512 study represents a step towards resolving the controversy on whether
513 erodible river basins are either erosion-induced CO₂ sinks or sources. Yet,
514 the lack of data on soil properties as well as erosion and sediment transport of
515 large-scale basins introduce uncertainties into the present research. For
516 example, the approximation to average *SHC* of the Yellow River Basin based
517 on an area-weighted method would introduce a maximum relative error of
518 $\frac{2\sigma}{|1-2\sigma|}$, where σ is the standard deviation of sediment yield modulus.
519 Moreover, the assumption that deposited sediments act as neither a
520 significant sink nor source (Van Oost *et al.*, 2007) still needs more substantive
521 evidence, given that there is no consensus as to whether SOC deposited on
522 land is sequestered or not.

523

524 Acknowledgements – Financial support from the National Basic Research
525 Program of China (2007CB407202) is gratefully acknowledged.

526

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670 Bristol, UK.

671

Table 1. Soil properties in the Yellow River Basin *

Soil Type	Distribution Area (10 ³ km ²)	C _{SOC} (g·kg ⁻¹)	SHC
aeolian soils	66	3.93	0.565
black loess soils	19	6.75	0.404
black soils	2	26.15	0.623
brown caliche soils	29	n.a.**	n.a.
brown earths	19	2.31	0.628
castano-cinnamon soils	19	n.a.	n.a.
castanozems	42	8.60	0.628
chernozems	8	29.50	0.713
cinnamon soils	76	6.88	0.619
cold calcic soils	1	9.76	0.766
dark felty soils	44	n.a.	n.a.
felty soils	70	n.a.	n.a.
fluvo-aquic soils	41	n.a.	n.a.
frigid calcic soils	17	19.72	0.749
frigid frozen soils	5	7.80	0.769
gray desert soils	2	2.96	0.769
gray-cinnamon soils	23	n.a.	n.a.
irrigation silting soils	10	7.00	0.757
loessial soils	166	2.24	0.789
neo-alluvial soils	28	n.a.	n.a.
sierozems	34	13.60	0.772
skeletal soils	23	n.a.	n.a.
solonchaks	8	3.31	0.547
Average		6.15	0.684

673 *: C_{SOC} and SHC data of each soil type are obtained from analytical
674 experiments on typical soil profiles sampled by the Soil Survey Office of
675 China. Soil distribution areas are extracted from 1:1000000 digital map
676 supplied by the Institute of Soil Science, Chinese Academy of Sciences.

677 **: n.a. stands for not available.

Table 2. Data for nine river basins

Continent	No.	Name	Area ^a 10 ⁶ km ⁻²	F _s ^a t·km ⁻² ·yr ⁻¹	E ^b mm·yr ⁻¹	SDR	F _{POC} /C _{SOC} F _s	VFR
Asia	1	Yangtze	1.817	250		0.174 ^d	0.473	0.168
	2	Ganges	1.648	668	1.179	0.378	0.403	0.034
Africa	3	Congo	3.704	11	0.016	0.458	0.086	-0.159
	4	Niger	1.54	33	0.133	0.165	0.354	0.153
	5	Orange	0.716	100	0.143	0.466	0.154	-0.134
	6	Senegal	0.369	8	0.133	0.040	0.095	0.224
America	7	Mississippi	3.243	120		0.203 ^e	0.216	0.101
Europe	8	Rhine	0.156	11.7		0.150 ^f	0.599	0.200

680 a: From Ludwig *et al.*, 1996. F_S stands for the annual sediment flux. F_{POC} is

681 the Particulate Organic Carbon flux (t·km⁻²·yr⁻¹) at the estuary.

682 b: From Zhang *et al.*, 1998. E represents the annual erosion intensity.

683 c: From the Yellow River Conservancy Commission, China.

684 d: From Li and Liu, 2003.

685 e: From Smith *et al.*, 2005.

686 f: From Asselman *et al.*, 2003.

687

688 **Table 3.** Annual mass of sediment transported, soil organic carbon content,
 689 *SDR*, *SHC*, *VFR*, and CO₂ flux for Yellow River Basin and the world

690

Region	T_s (Gt·yr ⁻¹)	<i>SDR</i>	C_{soc} (g·kg ⁻¹)	<i>VFR</i>	F_T (Mt·yr ⁻¹)
Yellow River	0.657	0.692	6.15	0.0413	0.235
World	20	0.1	20	0.21	840
Percentage	3.1%		30.8%	19.7%	0.03%

691

692

693 **Table 4.** Confidence probabilities provided by a Monte Carlo analysis for
 694 nine river basins

Basin Name	Frequency of Occurrence			Confidence Probability
	Source	Weak Sink	Strong Sink	
Yellow River	360	536	104	0.536
Yangtze	122	805	73	0.805
Ganges	420	578	2	0.578
Congo	861	139	0	0.861
Niger	146	834	20	0.834
Orange	814	186	0	0.814
Senegal	79	90	831	0.831
Mississippi	246	751	3	0.751
Rhine	87	535	378	0.535

695

696

697 **Figure Captions**

698 **Figure 1.** *SDR–SHC* system for identification of CO₂ source or sink and its
699 strength, on which are plotted results for actual river basins, including the
700 Yellow River Basin.

701 **Figure 2.** Soil distribution in the Yellow River Basin.

702 **Figure 3.** Time series of sediment yield for the Yellow River at Sanmenxia
703 and Lijin, 1960~2008.

704 **Figure 4.** Accumulative erosion-induced CO₂ flux from source to mouth in
705 the Yellow River Basin.

706 **Figure 5.** Decade-averaged *VFR* and *SDR* in the Yellow River Basin from
707 1960 to 2008.

708

709

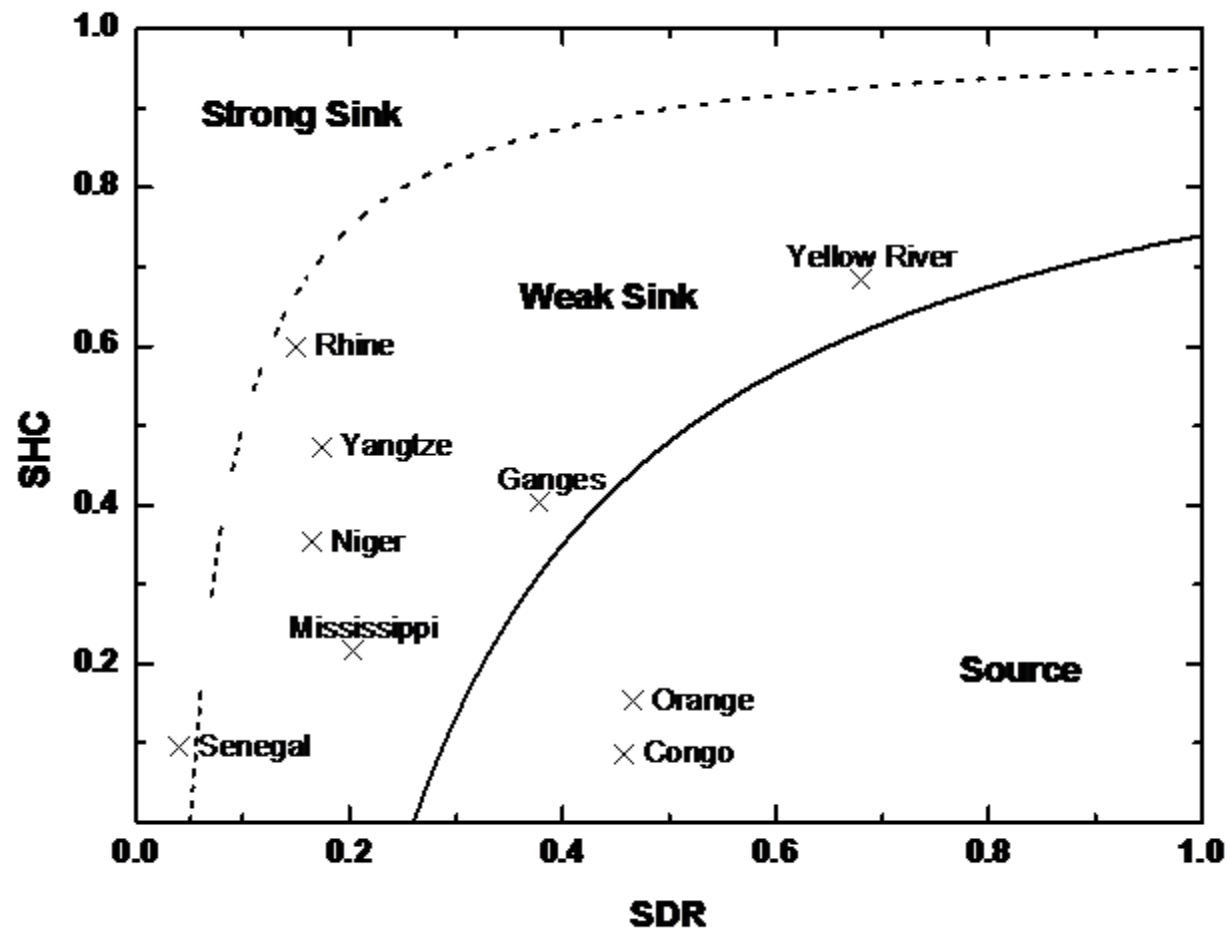
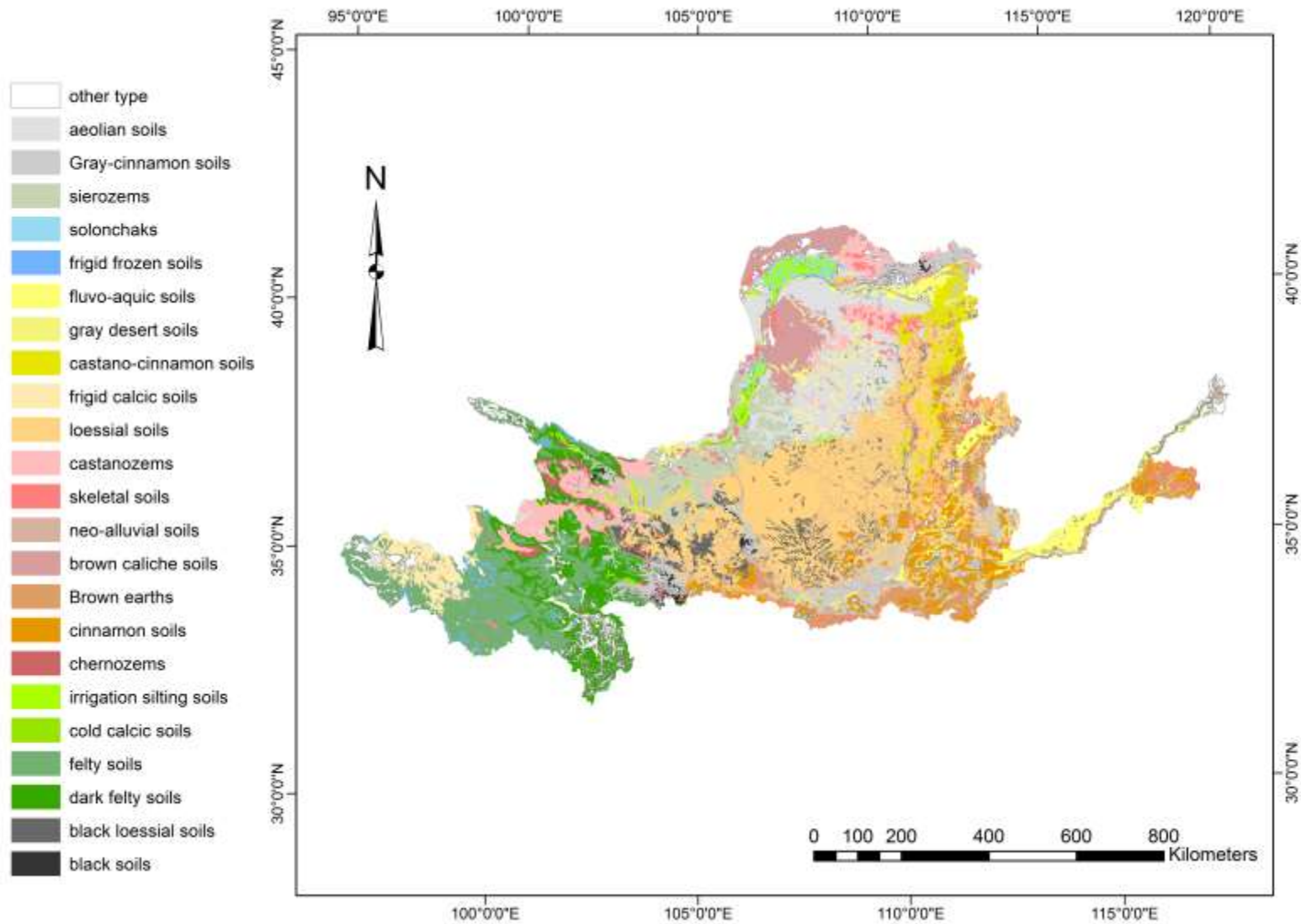
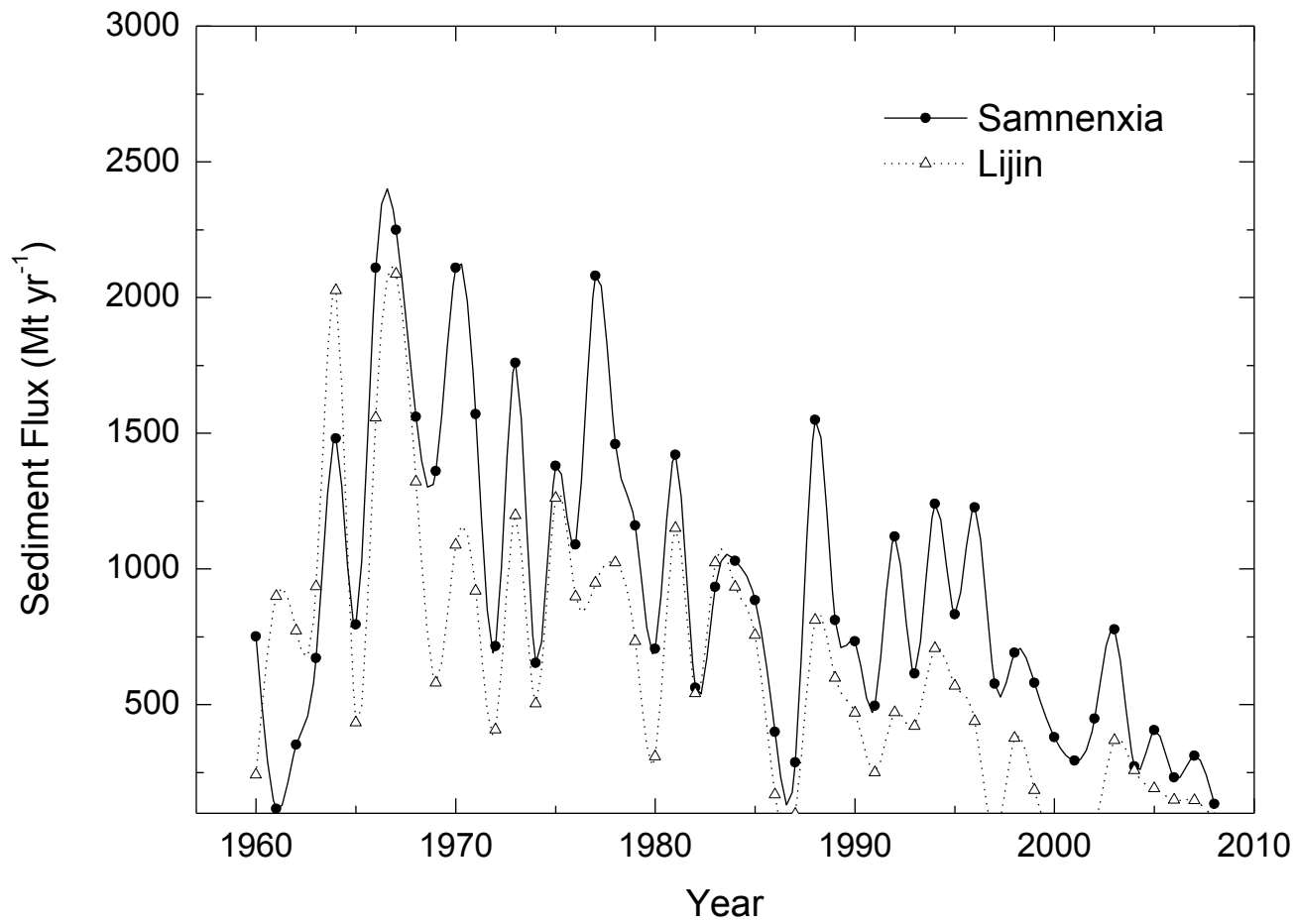


Figure 1. SDR-SHC system for identification of CO₂ source or sink and its strength, on which are plotted results for actual river basins, including the Yellow River Basin.



3
4 **Figure 2.** Soil distribution in the Yellow River Basin.



5
6 **Figure 3.** Time series of sediment yield for the Yellow River at Sanmenxia and Lijin, 1960~2008.

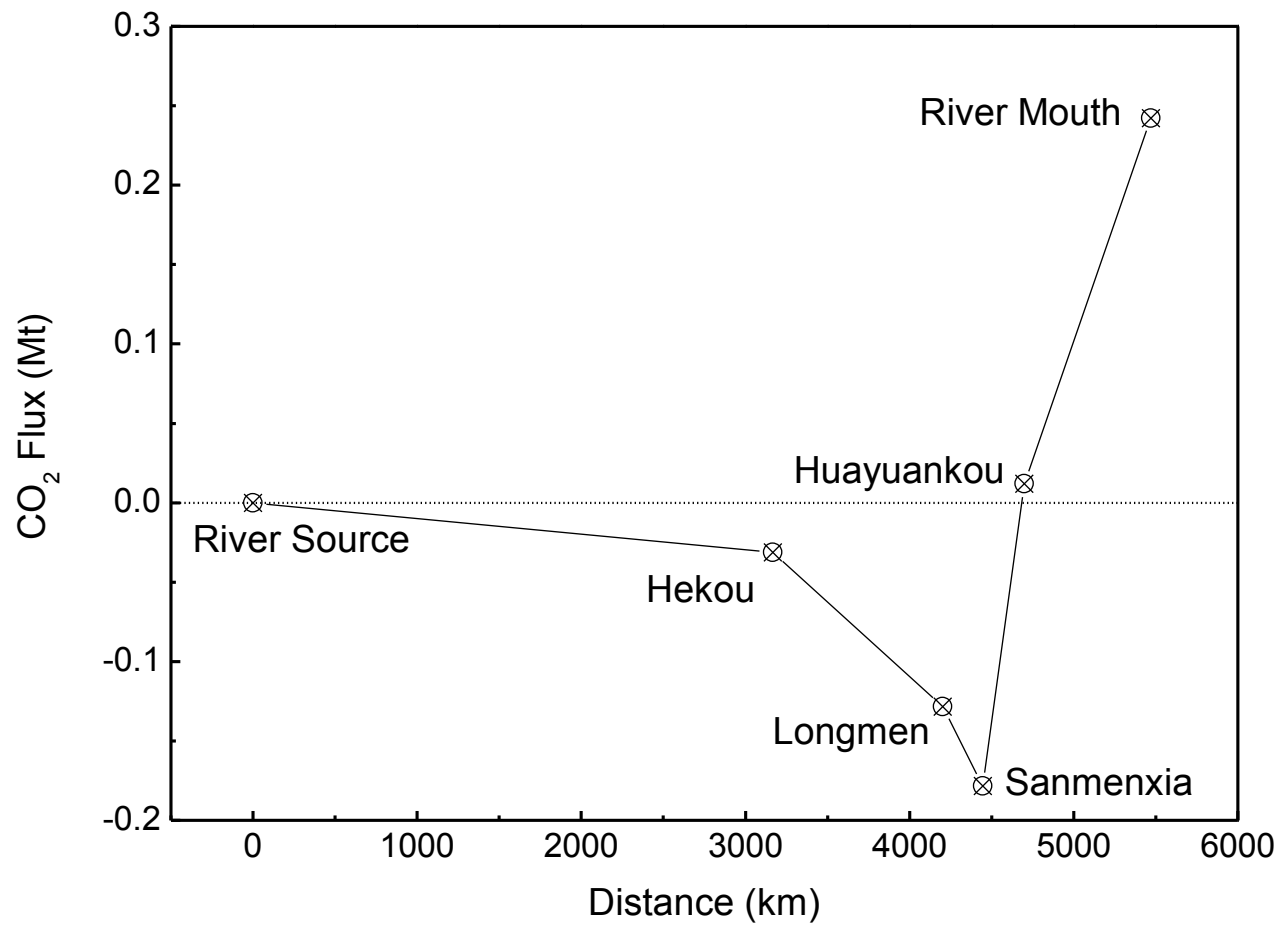
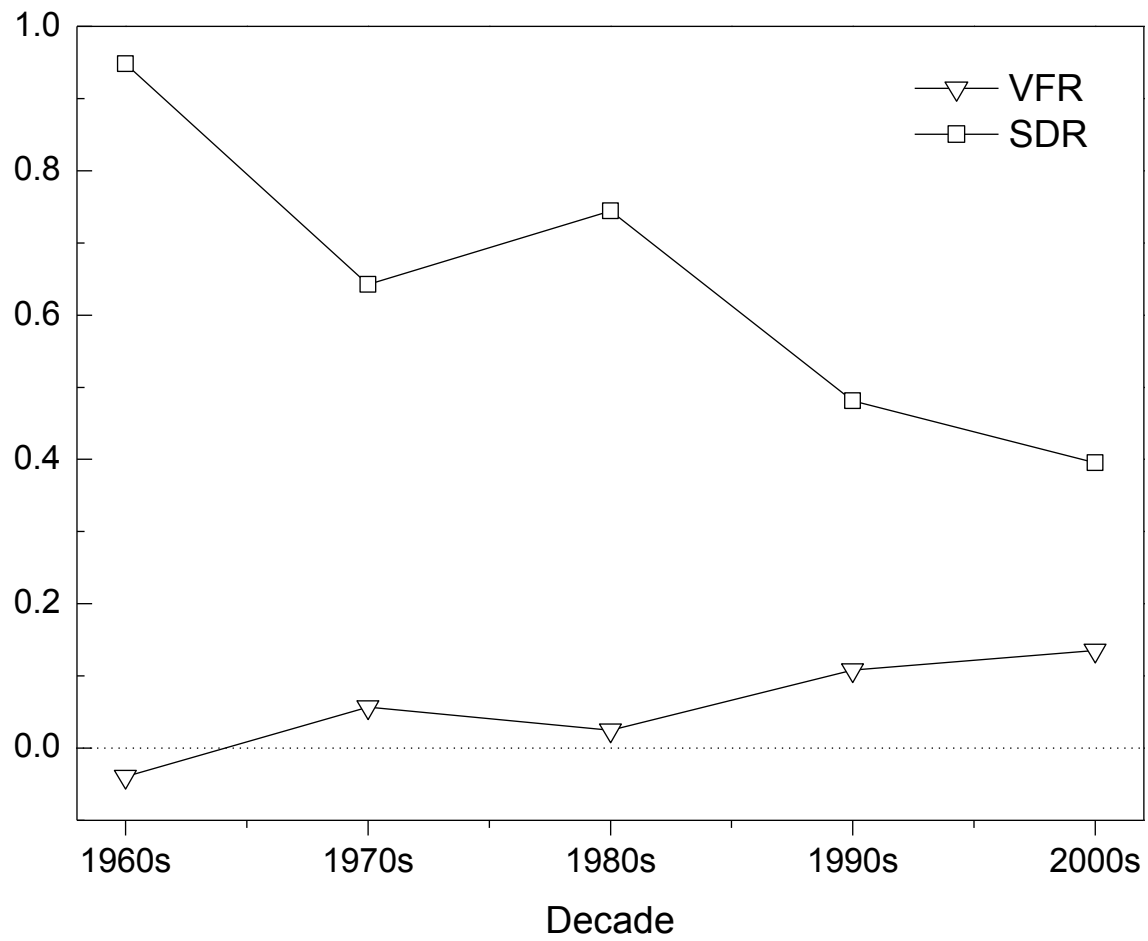


Figure 4. Accumulative erosion-induced CO₂ flux from source to mouth in the Yellow River Basin.



20
21 **Figure 5.** Decade-averaged *VFR* and *SDR* in the Yellow River Basin from 1960 to 2008.