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# Common genetic variation in the *HLA* region is associated with late-onset sporadic Parkinson's disease

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#### Abstract

Parkinson disease (PD) is a common disorder that leads to motor and cognitive disability. We performed a genome-wide association study (GWAS) with 2000 PD and 1986 control Caucasian subjects from NeuroGenetics Research Consortium.1<sup>-5</sup> We confirmed *SNCA2*·6<sup>-8</sup> and *MAPT3*·7<sup>-9</sup>; replicated *GAK*9 (P<sub>Pankratz+NGRC</sub>=3.2×10<sup>-9</sup>); and detected a novel association with *HLA* (P<sub>NGRC</sub>=2.9×10<sup>-8</sup>) which replicated in two datasets (P<sub>Meta-analysis</sub>=1.9×10<sup>-10</sup>). We designate the new PD genes *PARK17* (*GAK*) and *PARK18* (*HLA*). PD-*HLA* association was uniform across genetic and environmental risk strata, and strong in sporadic (P=5.5×10<sup>-10</sup>) and late-onset (P=2.4×10<sup>-8</sup>) PD. The association peak was at rs3129882, a non-coding variant in *HLA-DRA*. Two studies suggested rs3129882 influences expression of *HLA-DR* and *HLA-DQ*.10·11 PD brains exhibit up-regulation of DR antigens and presence of DR-positive reactive microglia.12 Moreover, non-steroidal anti-inflammatory drugs (NSAID) reduce PD risk.4·13 The genetic association with *HLA* coalesces the evidence for involvement of the immune system and offers new targets for drug development and pharmacogenetics.

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Authors have no competing financial interest.

#### **Author Contributions**

HP established and directs the NGRC in collaboration with CPZ, SAF and JN. The GWAS was designed by and funded through HP. Subjects were ascertained, diagnosed, and characterized by NGRC investigators AS, AG, JR, SAF, JN and CPZ. DNA and phenotype preparations, data base operations, and final subject selection for GWAS were carried out by JM, DY, DMK, and VIK under the supervision of HP and CPZ. KFD was in charge of GWAS genotyping and genotyping quality control. THH performed all statistical analyses with critical feedback from AT, JP, EP, and HP. VIK and RC contributed to bioinformatics and graphic presentations. WKS provided an independent GWAS dataset for replication. AL uncovered the regulatory function of rs3129882 using bioinformatics. HP, THH and AT wrote the paper. All authors participated in reviewing results and assisting with manuscript preparation.

Late-onset sporadic PD was long believed to be environmental with no genetic component. 14·15 The initial samples that eventually coalesced to form the NGRC dataset was the basis for the first of a series of family studies that revealed the genetic component in PD.1·16 In the last decade, mutations in several genes were identified as causes of early-onset and Mendelian forms of PD, and polymorphisms in the *SNCA* (chromosome 4q21) and *MAPT* (17q21.1) regions were established as risk factors for common non-Mendelian PD.2·3·6<sup>-</sup> 9·17 PD risk is also associated, inversely, with cigarette smoking, caffeinated-coffee consumption and NSAID use.4·13·18 Common late-onset PD is currently thought to result from an interplay of genetic susceptibility and environmental exposures, and data in support of this notion is beginning to emerge.5

A GWAS conducted in Japan identified two novel PD loci.19 Five GWAS have been performed in Caucasians, most confirmed the known associations with *SNCA* and *MAPT*, but none identified any new genes that reached genome-wide significance.7<sup>-9</sup>·20·21 We investigated whether the genetic component in PD in Caucasians was due to the genes that have already been identified. Using NGRC data, we estimated heritability before and after excluding the known pathogenic and susceptibility loci. Heritability of PD declined from 0.6 (P<0.0001) to 0.4 (P=0.01), but was still significant, suggesting additional unidentified genes exist.22

We performed a GWAS with 2,000 PD patients, 1,986 control subjects, and 811,597 single nucleotide polymorphisms (SNPs) (Supplementary Table 1). Subjects were recruited from the NGRC clinics in Oregon, Washington, New York and Georgia, using uniform criteria for diagnosis, 23 subject selection, data collection and DNA preparation. 2-5 25% of PD diagnoses change within the initial 5.4 years;24 therefore follow-up substantially reduces heterogeneity. Mean disease duration at enrollment was 8 years, eliminating most early misdiagnoses, and with an additional mean follow-up of 4 years, we were able to exclude another 47 misdiagnoses before GWAS. Controls were selected by the same investigators and from the same geographic regions as patients. At enrolment, they were on average 12 years older than the patients' onset age, which increased power by reducing the likelihood that controls were at risk but too young to have developed symptoms. We used the Illumina HumanOmni1-Quad\_v1-0\_B genotyping array and achieved call rate of 99.92% and reproducibility rate of 99.99%. Association analyses were performed using PLINK V1.07.25 We adjusted all analyses for four covariates: age to avoid survival bias, sex because PD affects more men than women, and two principal components (PC1, PC2) which marked significant genetic substructure among Caucasian Americans of European descent (Supplementary Figure 1). Our GWAS confirmed the known PD-susceptibility regions at SNCA (P=3.4×10<sup>-11</sup>) and MAPT (P=1.3×10<sup>-6</sup>), as we had previously reported.2.3

We uncovered a novel genetic association with PD in the HLA region (chromosome 6p21.3). The peak significance was at the rs3129882 polymorphism in intron 1 of HLA-DRA gene. The association was genome-wide significance even after adjusting for four covariates (sex, age, PC1, PC2), P=2.9×10<sup>-8</sup> (Table 1, Fig. 1 & 2 and Supplementary Fig. 2). 107 HLA SNPs reached P<10<sup>-3</sup> for association with PD. We replicated association of rs3129882 in two independent data sets8·9 (Table 1,  $OR_{Meta-analysis}$ =1.26,  $P_{Meta-analysis}$ =1.9×10<sup>-10</sup>). The risk allele was the same in all datasets and was in Hardy-Weinberg equilibrium (HWE) in cases and in controls which in addition to the visual inspection of intensity plots supports no major problems with genotyping. Stratified analysis by family history, age at onset, gender and environmental exposures revealed ubiquitous associations across strata, and tests of heterogeneity across strata were not significant (Supplementary Table 2). Associations were particularly strong for sporadic PD (P=5.5×10<sup>-10</sup>), late-onset PD (P=2.4×10<sup>-8</sup>), and men (P=1.1×10<sup>-7</sup>). Most cases of PD are sporadic, late-onset and affect men more than women. There was no evidence for gene-

environment or gene-gene interaction between rs219882 and smoking (P=0.42), coffee (P=0.55), NSAIDs (P=0.65), SNCA rs356220 (P=0.78) or MAPT rs199533 (P=0.24).

To explore for other true signals that may not have reached GW significance, we performed in silico replication and Meta-analysis of the most significant ( $P<10^{-5}$ ) SNPs in NGRC, using a publically available dataset from dbGaP (CIDR: Genome Wide Association Study in Familial Parkinson Disease) which has been published by Pankratz et al9. SNPs that replicated were in *HLA* (six SNPs in addition to rs3129882), *SNCA* and *MAPT* regions (Supplementary Table 3). One SNP (rs2046571) in the Hyaluronan synthase 2 (*HAS2*) gene region on chromosome 8 was marginally significant in CIDR, but did not reach genomewide significance in Meta-analysis ( $3.6 \times 10^{-7}$ ).

We used NGRC to replicate findings of previous PD GWAS (Supplementary Table 4). We confirmed the association of PD with cyclin G-associated kinase (GAK, 4p16, Supplementary Figure 3), as suggested by Pankratz et al.9 (rs11248051  $P_{NGRC}$ =3.1×10<sup>-4</sup>,  $OR_{NGRC+Pankratz}$ =1.46,  $P_{NGRC+Pankratz}$ =3.2×10<sup>-9</sup>). Satake et al.19 reported PARK16 (1q32), PARK16 (1q32), PARK16 (1q32), PARK16 (1q32) as new PD risk factors in the Japanese, and the accompanying GWAS by Simon-Sanchez et al.7 suggested these associations extend to Caucasians. We did not replicate PARK16 (ORs were in opposite direction from Satake results, P=0.03-0.15). Two of the six reported PARK16 (ORs were not significant in NGRC (P=0.57 & 0.69); however, three PARK16 (P=0.57 & 0.69); however, three PARK16

*SNCA, MAPT, GAK* and *HLA* each have a modest effect on PD risk, but when considered together, the cumulative effect can be substantial (Supplementary Fig. 4). To explore the combined effects of the four genes, we classified the subjects by the total number of risk alleles that they carry (0 to 8). Compared to subjects who had one or no risk allele, the risk of PD was doubled for individuals who had four risk alleles (OR=2.49, 95%CI=1.79-3.47,  $P=6.5\times10^{-8}$ ), and was five-fold higher for individuals who had six or more risk alleles (OR=4.95, 95%CI=3.20-7.64,  $P=5.5\times10^{-13}$ ). Thus our data support the long held notion that PD risk is due to cumulative effects of risk factors with modest individual effect.

A persistent problem in genetic association studies is inconsistent reproducibility which often arises from hidden genetic variation, i.e., population substructure. We investigated population structure in depth, using Genomic Control ( $\lambda$ )27 and principal component analysis (PCA),28 augmented with self-reported ethnic and geographic origin (Supplementary Fig 1). Genomic inflation factor was  $\lambda$ =1.03 (Supplementary Fig 2). When compared to HAPMAP reference samples, NGRC clustered well with Caucasians. However, within NGRC, which is a typical mixed Caucasian European-American population, we found evidence for significant genetic diversity (PC1, P=2.9×10<sup>-5</sup>, Eigen-value=3.97; PC2, P=0.007, Eigen-value=1.26). Using self reported data on ancestry, we determined that the primary clusters correlate with Ashkenazi-Jewish and non-Jewish ancestry, and that the residual diversity in the larger non-Ashkenazi population correlates with the European country from which the subjects' ancestors had immigrated to the US (Supplemental Fig 1 a-d). Demonstrating the existence of a significant substructure within Americans of European descent, although not surprising, has not been reported before, and may be a major reason for inconsistent findings in genetic association studies. The frequency of rs3129882 risk allele varied significantly in controls (P=0.0007), from 0.36 in Washington to 0.46 in New York. Similarly, we observed a frequency gradient across Europe, low in subjects with

Northern-European ancestry and high in Southern-Europeans, particularly Italians (Supplementary Table 2). According to the US census, 14.4% of New Yorkers are Italian vs. 5.6% nationwide, which may explain the high allele frequency in NY. Within each subpopulation (US state, or original country) patients had a higher frequency of the *HLA* risk allele than controls, which supports association of HLA with PD. However, because of the variable allele frequency, if the population substructure within European Americans is not taken into account, other studies may find no difference or even an inverse association of this allele with disease depending on the mixed origins of their cases and controls. Future studies of *HLA* and PD will therefore require careful attention to ethnic and geographic origin of the American subjects of European descent.

To assure that the association with *HLA* was not confounded by population substructure, we corrected all analyses for the two significant PC that marked Jewish ancestry and European country of origin. Additionally, we confirmed that the association of PD with rs3129882 was present in genetically-defined core subsamples of both Jewish (0.04 PC1 0.055 and 0.001 PC2 0.013) and non-Jewish (-0.0075 PC1 0.0025 & -0.005 PC2 0.003) clusters (Supplementary Table 2, Supplementary Fig 1c). Lower-order PCs were not significant (PC3, P=0.32, Eigen-value=1.11) indicating that the bulk of genetic diversity within NGRC has been identified and accounted for. We investigated and ruled out the concern that the association of *HLA* with PD was driven by the association of *HLA* with PCs (Supplementary Fig. 5, 6, Supplementary Table 5).

The HLA variant that displays the strongest statistical association with PD, rs3129882, is a non-coding polymorphism in intron-1 of *HLA-DRA* gene (Fig. 2). The protein chains encoded by the closely linked HLA-DRA and HLA-DRB form the class II HLA-DR antigens, which are expressed by antigen presenting cells including microglia in brain, and interact with T-cell receptors. HLA-DRB chains are highly variable and have been associated with numerous disorders. HLA-DRA on the other hand is practically monomorphic and therefore has not been investigated for disease-association. The conventional explanation for our finding is that PD is associated with a classical polymorphic HLA antigen and that rs3129882 is a proxy. Alternatively, PD-association with an intronic DRA variant may represent involvement of regulatory elements, which would be in line with PD-specific over-expression of DR antigens in substantia nigra.29 We used University of Chicago's expression quantitative trait loci (eQTL) data repository (http:// eqtl.uchicago.edu/cgi-bin/gbrowse/eqtl/) to identify potential transcriptional variance associated with rs3129882. Two eQTL analyses, one using microarrays and the other RNAseq, had identified rs3129882 as a cis-acting regulatory variation that correlated significantly (P=10<sup>-7</sup> to 10<sup>-4</sup>) with expression levels of HLA-DRA, HLA-DQA2, and HLA-DRB5.10<sup>1</sup>11 One study reports the correlation as exon QTL which suggests the variant also affects alternative splicing expression. 10,11

The evidence for genetic association with *HLA*, particularly when obtained from a hypothesis-free GWAS, lends strong and independent support to the involvement of neuro-inflammation12 and humoral immunity30 in PD pathogenesis. Studies have shown elevated DR expression in the brain29 and cerebrospinal fluid31 of PD patients. Sustained presence of reactive DR-positive microglia has been observed in substantia nigra of PD patients,29 as well as animals32 and humans33 affected with 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) induced parkinsonism. It is postulated that the chronic immune activation and neuro-inflammation is in response to an initial trigger, possibly related to alpha-synuclein accumulation, and produce neurotoxins and oxidative damage that could kill neurons. From a therapeutic perspective, vaccination aimed at neutralizing neuro-immune dysfunction was recently shown to attenuate neurodegeneration in a PD model;34 furthermore, NSAID use is associated with reduced risk of developing PD in humans.4·13

The novel association with *HLA* highlights the involvement of an important biological pathway in the etiology of PD and a drug target that will stimulate research towards new therapies.

## **Methods**

### **Human Subjects**

Study was approved by Human Subject Committees at participating institutions. Patients and control subjects were recruited from eight NGRC-affiliated neurology clinics in Oregon, Washington, Georgia and New York. Methods were standardized across NGRC. Patients were diagnosed using the modified UK Brain Bank criteria.23 Controls were community volunteers and patient spouses; 353 (ages 67–90; mean 86.3±4.1 years) were evaluated by neurologists in Oregon and were free of neurodegenerative disease, the remaining 1633 (ages 21–90, mean 67.0±12.0 years) self-reported as neurologically healthy. GWAS subjects met seven criteria: (1) Self-reported Caucasian, non-Hispanic, of European origin. (2) Patients with current diagnosis of PD, excluding those whose initial PD diagnosis changed during the ~12 years of follow up. (3) DNA extracted from whole blood, unamplified, concentration 50ng/µl. (4) Age at blood draw 21 years. (5) Known gender. (6) Known age at onset (one missing). (7) No blood-relation to other subjects. We had data on smoking, coffee, and NSAIDs on ~3,000 subjects and on country of ancestral origin for 2,080 subjects. 112 subjects reported as Ashkenazi Jewish (129 were Jewish according to PCA).

## Genotyping

To reduce plate effects, DNA samples were randomized on genotyping plates by casecontrol status, recruitment site, control subjects who were healthy at age 85 years, DNA extraction method, and DNA storage time. Samples were genotyped at the Johns Hopkins Center for Inherited Disease Research (CIDR). Data was released for 4,013 study samples (99.5% of attempted samples). Study samples, 90 duplicates, and 170 HapMap controls (151 CEU; 12 YRI, 3 JPT, 4 CHB) were genotyped using Illumina HumanOmni1-Quad\_v1-0\_B BeadChips (Illumina, San Diego, CA, USA) and the Illumina Infinium II assay protocol. Genotype cluster definitions for each SNP were determined using Illumina BeadStudio Genotyping Module version 3.3.7 and the combined intensity data from all released samples. Genotypes were not called if the quality threshold (Gencall score) was <0.15. Genotype data was released for 1,012,895 SNPs (99.65% of attempted). SNP assay failure criteria were: call rate <85%, cluster separation <0.2, >1 HapMap replicate error, >3% (autosomal) or >4% (X) difference in call rate between genders, >0.3% male AB frequency (X), or >8.8% (autosomal) or >13.2% (XY) difference in AB frequency. Y chromosome and mitochondrial SNPs were manually reviewed and clusters adjusted or genotypes dropped as appropriate. The mean non-Y SNP call rate and mean sample call rate were both 99.9% for the released dataset. Study duplicate reproducibility was 99.99% (Supplementary Table 1).

#### Statistical Analysis

Sex was determined by estimating X chromosome homozygosity and compared to self-reported gender; there was no discrepancy. We identified and excluded 1 patient and 3 controls who were inadvertently enrolled twice, and 13 cases and 10 controls for cryptic relatedness (PI-HAT>0.15). The final N for analysis was 2,000 patients and 1,986 controls. SNPs were excluded if MAF<0.01, call-rate<99%, HWE<10<sup>-6</sup>, MAF difference in males vs. females >0.15, or missing rate in PD vs. control P<10<sup>-5</sup>. 811,597 SNPs passed quality-control; with mean call rate of 99.92%. PCA was conducted with HelixTree (www.goldenhelix.com) using a pruned subset of 104,064 SNPs. Pruning was carried-out using PLINK with autosomal SNPs (MAF 0.05, call rate 95%). We used a 50-SNP sliding-window that shifted 5 SNPs with each move and recursively removed SNPs with

 $\rm r^2~0.2$ , followed by a second round using a 138 SNP sliding-window, resulting in 104,064 SNPs.

Association was tested under an additive model using logistic regression in PLINK V1.07. The analyses were adjusted for sex, age, PC1 and PC2. Linkage disequilibrium (LD) was assessed using Haploview V4.135 and LocusZoom (http://csg.sph.umich.edu/locuszoom/). For replication of NGRC results, we chose datasets that (a) were published by peer review, (b) had genotyped rs3129882, and (c) were Caucasian. Among four publically-available GWAS only "CIDR: Genome Wide Association Study in Familial Parkinson Disease (PD)" by Pankratz et al.9 met our criteria. Two other GWAS have been published;7·8 one was available to us and was used as second replication.8 We applied the same sample and SNP quality-control filters to replication data sets as we did for NGRC, hence our results may vary slightly from their published results. Each replication dataset was individually tested using the tests and adjustments used by authors in their original report. Breslow-Day test-statistics was used to test heterogeneity across three datasets (P=0.6). We performed individual level Meta-analysis using Cochran-Mantel- Haenszel (CMH) test statistics accounting for study and gender. Chi-square test was used to test differences in MAF across disease, ethnic, and geographic strata.

To replicate previously reported GWAS findings, we used genotyped data when available and imputed SNPs that were not present on the array. We used PLINK for imputation, and included SNPs that had call rate 95% (information content metric value was >0.8). Meta-analysis was performed using CMH when individual level data was available, otherwise, we used aggregate (OR) data (PLINK was used). We used Breslow-Day test-statistics to test between-study heterogeneity for individual-level data and Q-test for aggregate-level data.

We used logistic regression to test combined effects of four loci (SNCA, MAPT, GAK, HLA). Subjects were classified by the total number of risk alleles (n) that they possessed (minimum=0, maximum=8); carriers of 0 or 1 risk allele were combined due to small numbers and set as the reference for comparison; carriers of 6 or more alleles were also combined due to small numbers at the extremes. Tests were performed comparing subjects with 'n' risk alleles to the reference group.

#### Access to material

Data are publically available via dbGaP (http://www.ncbi.nlm.nih.gov/gap).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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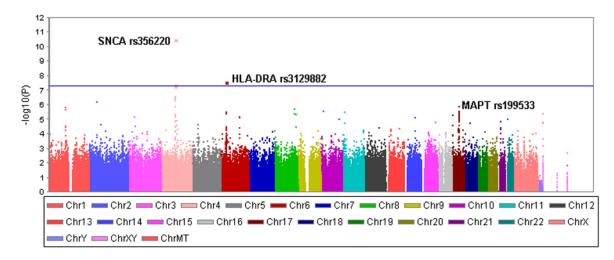


Figure 1. Genome-wide association P-values

The Manhattan plot shows the P-values for association of 811,597 SNPs with PD. SNPs that surpass genome-wide significance ( $P<5\times10^{-8}$ ) were on chromosomes 4 (*SNCA* region) and 6 (*HLA-DRA*). *SNCA* was known to be associed with PD, the *HLA* association is novel. The other known PD-associated region is on chromosme 17 (*MAPT* region) which replicated at  $P=1.3\times10^{-6}$ .

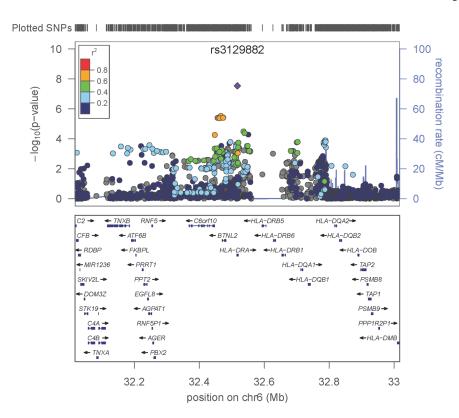


Figure 2. Signals of PD-association within HLA

A one megabase (MB) region was defined centered on the association peak, rs3129882 in intron 1 of *HLA-DRA*, spanning from base pair (BP) position 32,017,508 to 33,017,508. The top panel shows all SNPs in this region plotted according to the significance of their association with PD and color-coded according to their linkage disequilibrium (r²) with the most significant SNP, rs3129882. Note that rs3129882 is not strongly correlated (defined as r² 0.8)with any other *HLA* variant. The LocusZoom software used here calculates r² using the HapMap CEU data. SNPs shown in grey were on the Illumina OMNI chip but not on HAPMAP thus r² was not calculated with this method; however, using the Haploview software and the NGRC data to estimate r², none of the variants was strongly correlated with rs3129882 (r²<0.6, Supplementary Fig. 6). The bottom panel shows the genes in the region, including the closely linked polymorphic *HLA-DRB* and *DQB* loci, and *DRA*, *DRB5*, and *DRQA2* whose expression is correlated with the variation at rs3129882.

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Table 1

Association results for PD susceptibility genes $^{\ast}$ 

Locus		СН	CH SNP	Base-pair position	Base-pair position Minor/Major allele	Study	N Case	N Control	MAF Case	MAF Control	HWE P-value	N Case N Control MAF Case MAF Control HWE P-value Association P-value    OR (95% CI)	OR (95% CI)
PARK18 L	ILA-DRA	d9	HLA-DRA 6p rs3129882	32,517,508	G/A	NGRC	2000	1986	0.46	0.40	1.00	2.9×10 <sup>-8</sup>	1.31 (1.19–1.44)
						Replication 19	843	856	0.45	0.41	0.57	$0.01 (5 \times 10^{-3})$	1.21 (1.04–1.30)
						Replication 28	604	612	0.44	0.40	90.0	0.06 (0.03)	1.17 (1.00–1.37)
						Replication 1+2	1447	1468	0.45	0.40	0.09	$1.1 \times 10^{-3}$	1.18 (1.06–1.31)
	Nat					Meta-analysis	3447	3454	0.45	0.40	0.27	$1.9 \times 10^{-10}$	1.26 (1.17–1.35)
PARK17 C	S Gegge	4p	4p rs11248051 848,332	848,332	T/C	NGRC	2000	1986	0.12	60.0	1.00	3.1×10 <sup>-4</sup>	1.32 (1.14–1.54)
	<i>t</i> . Autl					Meta analysis	2843	2842	0.12	0.09	0.42	$3.2 \times 10^{-9}$	1.46 (1.29–1.65)
Known genes S	SNO	49	4q rs356220	90,860,363	T/C	NGRC	2000	1986	0.44	0.36	0.38	$3.4 \times 10^{-11}$	1.38 (1.25–1.52)
V	LA VII sci	17q	17q rs199533	42,184,098	T/C	NGRC	2000	1986	0.17	0.22	0.05	1.3×10 <sup>-6</sup>	0.74 (0.66–0.84)
Other 7,19 † B	rip <b>g</b> 277	4р	rs4698412	15,346,446	A/G	NGRC	2000	1986	0.58≠	0.55	0.01	0.01	1.13 (1.03–1.24)
7	akk KZ	12q	12q rs2708453	38,764,919	D/L	NGRC	2000	1986	0.17	0.15	0.86	0.03	1.15 (1.01–1.31)
	ole												

CH: Chromosome. Sign: Single nucleotide polymorphism. Minor/Major allele refers to the relative frequency of the two alleles. N: number of individuals. MAF: Minor allele frequency. HWE: Hardy Weinberg Equilibrium (M. CH: Confidence interval

\*BSTI minor allele (freq<0.5) in the Japanese was the major allele in Caucasians (freq>0.5). We used the same allele as reported for the Japanese to keep the risk allele consistent.

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