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The Spatial Structure of the Annual Cycle in Surface Temperature: Amplitude, Phase, and Lagrangian History

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(Article begins on next page)

1 **The spatial structure of the annual cycle in surface temperature:**
2 **amplitude, phase, and Lagrangian history**

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ABSTRACT

4
5 The climatological annual cycle in surface air temperature, defined by its amplitude and
6 phase lag with respect to solar insolation, is one of the most familiar aspects of our climate
7 system. Here, we identify three first-order features of the spatial structure of amplitude and
8 phase lag and explain them using simple physical models. Amplitude and phase lag (1)
9 are broadly consistent with a land and ocean end-member mixing model, but (2) exhibit
10 overlap between land and ocean, and, despite this overlap, (3) show a systematically greater
11 lag over ocean than land for a given amplitude. Based on previous work diagnosing relative
12 ocean or land influence as an important control on the extratropical annual cycle, we use a
13 Lagrangian trajectory model to quantify this influence as the weighted amount of time that
14 an ensemble of air parcels has spent over ocean or land. This quantity explains 84% of the
15 space-time variance in the extratropical annual cycle, as well as features (1) and (2). All
16 three features can be explained using a simple energy balance model with land and ocean
17 surfaces and an advecting atmosphere. This model explains 94% of the space-time variance
18 of the annual cycle in an illustrative mid-latitude zonal band when incorporating the results
19 of the trajectory model. The basic features of annual variability in surface air temperature
20 thus appear to be explained by the coupling of land and ocean through mean atmospheric
21 circulation.

1. Introduction

It has been long understood that the annual cycle in surface air temperature is largely controlled by the annual cycle in solar radiation, local surface conditions, and atmospheric circulation. Generally, oceanic climates have a small amplitude and large phase lag with respect to solar forcing, while continental climates have a large amplitude and small lag (Von Hann and Ward 1903), with additional structure associated with the direction and strength of prevailing winds (Ward 1906). This qualitative understanding of the systematic patterns in amplitude and lag of the annual cycle has also been supported by quantitative analysis, with a historical focus on obtaining a single measure of “continentality” that would reflect the relative influences of land and ocean.

Brooks (1917) used the land fraction in a series of concentric circles around a location as a predictor for the amplitude of the annual cycle, capturing the effects of nearby land or ocean, and Brooks (1918) went on to account for the direction of the prevailing winds. Similar methods aiming to determine the amplitude of the annual cycle from a regression of geographic variables were presented by Spitaler (1922), Brunt (1924), and Hela (1953). Other work focused instead on the lag of temperature behind solar radiation as a measure of continentality (Prescott and Collins 1951; van den Dool and Können 1981), but amplitude and lag were not unified into a single framework for describing continentality.

More recently, Stine et al. (2009) focused on the relationship between amplitude and phase lag of the annual cycle, and showed that observations of the annual cycle could be approximately described as a linear mixture of two sinusoids, interpreted as theoretical ocean and land end-members. This conceptual framework provided a link between amplitude and phase, and also demonstrated that each provides a slightly different picture of the spatial structure of the annual cycle (see Fig. 1a,b in Stine et al. 2009). They further demonstrated the important role of the prevailing winds; however, their description of the annual cycle was fundamentally algebraic.

A number of simple models based on energy balance principles have also been applied to

49 reproducing the annual cycle in surface temperature. Thompson and Schneider (1979) used
50 a two-layer zonal model with diffusive heat transport and reproduced the zonally-averaged
51 amplitude of the annual cycle, but the modeled temperature lagged the observations by
52 one to two months. North et al. (1983) expanded on the one-layer diffusive energy balance
53 model of North and Coakley (1979) and North et al. (1981) to include realistic continental
54 configurations, using heat capacity to distinguish between ocean, land, and coastal areas.
55 Subsequent work used comparable models with application to the annual cycle, with compa-
56 rable results (Hyde et al. 1989; Kim and North 1992). These previous models parameterized
57 atmospheric heat transport as a diffusive process and, while they do capture much of the
58 first-order structure in the annual cycle, do not account for the role of atmospheric ad-
59 vection (e.g. Brooks 1918; van den Dool and Können 1981). The influence of advection on
60 seasonality is readily discerned in the east-to-west structure across extratropical continents
61 and oceans (Stine and Huybers 2012).

62 Here, we analyze spatial variability in the climatological annual cycle in surface temper-
63 ature and seek to explain, using simple physical models, three of its primary features: a
64 first-order structure consistent with the extratropical annual cycle as a mixture of ocean and
65 land end-member sinusoids (Stine et al. 2009), an overlap in amplitude and phase between
66 ocean and land annual cycles, and a non-unique relationship between amplitude and phase,
67 where the ocean systematically has a later phasing for the same amplitude. While the first
68 feature can be well-predicted by westward distance from the coast (Stine et al. 2009), expla-
69 nation of both the second and third features requires a more complete framework. It will
70 be demonstrated that these features emerge from distinct ocean and land heat capacities in
71 conjunction with mean circulation patterns.

2. Structure of the annual cycle

We use monthly climatological temperature data from the Hadley Centre Climate Research Unit (HadCRU, Morice et al. 2012). The climatology is based on the period 1961–1990 and is reported at 5° spatial resolution. Data are provided as monthly averages, which, given the Nyquist criterion that observing a sinusoid requires sampling at just less than half its period, is more than adequate to constrain the amplitude and phase of the annual cycle (Thompson 1995). For example, our tests using the long (> 30 years) extratropical records in the Global Historical Climatological Network (Menne et al. 2012) indicate an average correlation coefficient between estimates of phase lag and amplitude calculated using daily and monthly average temperatures of greater than 0.99, and a root mean square difference across the domain of 0.07 days for phase lag and 0.05 $^\circ\text{C}$ for amplitude. Only gridboxes where the HadCRUT4 product (Morice et al. 2012) has at least five of the thirty years of observational data for all months of the year are included in the analysis. Each extratropical hemisphere is considered, but the tropics (23°N – 23°S) are excluded because the annual cycle there contains substantial twice-per-year variability, and variations in local incoming solar radiation are a less dominant control on surface temperature relative to higher latitudes.

Over 99% of the space-time variance in the annual cycle in surface air temperature across the extratropics can be explained by the annual Fourier component, allowing for efficient representation of the monthly climatology as its amplitude and phase. In order to account for differences in solar insolation, we define gain as the amplitude normalized by the latitudinally-variable amplitude of the annual Fourier component in solar insolation (Berger and Loutre 1991)¹, $G(x, y) = A_T(x, y)/A_{\text{sun}}(y)$, and lag as the difference in phase between the annual Fourier component of temperature and insolation, $\lambda(x, y) = \phi_T(x, y) - \phi_{\text{sun}}(y)$.

Gain and lag exhibit coherent spatial structure (Fig. 1). Gain is generally larger over Northern Hemisphere land masses, increases from west to east across continents, and increases more rapidly across North America than Eurasia. The smallest gains are found in

¹Code available at <http://www.ncdc.noaa.gov/paleo/pubs/huybers2006b/huybers2006b.html>

98 the Southern Ocean and the North Atlantic, while the largest are in northeastern Eurasia.
99 Lag exhibits a clearer land-ocean dichotomy, with an average lag of 28 days over land and 58
100 days over ocean. This structure can be efficiently represented in polar coordinates (Fig. 2),
101 where gain is indicated by the distance from the origin, and lag by the angle measured
102 counter-clockwise from the positive x-axis. The x-component is the gain that is in phase
103 with the sun, and the y-component is the gain that is in quadrature with the sun. Polar
104 coordinates offer the advantage that the mixing curve between two sinusoids is a straight
105 line, and we use this representation to define three characteristics of the annual cycle:

106 (1) *Linearity*: The first-order structure in polar coordinates is linear with both the in-
107 tercept and slope positive. This relationship is consistent with a mixing model framework
108 wherein a continental component that has high gain and small lag is linearly combined with
109 an oceanic component that has low gain and large lag (Stine et al. 2009).

110 (2) *Overlap*: Ocean and land values overlap for both lag and gain. Land gridboxes
111 are found with smaller gains and larger lags than ocean gridboxes, and the converse. This
112 overlap is not a result of the coarseness of the grid that we employ because it exists even
113 after excluding all gridboxes that contain mixed ocean and land, as indicated by a one-degree
114 land mask. For instance, the gain of the annual cycle is 47% larger in the East China Sea
115 (27.5°N , 127.5°E) than in central France (47.5°N , 2.5°E), and the lag in southern Nunavut,
116 Canada (62.5°N , 97.5°W) is approximately five days longer than that in the middle of the
117 Greenland Sea (77.5°N , 2.5°W). More generally, 29% of the extratropical gridboxes that we
118 analyze have gains ranging between the minimum land gain and maximum ocean gain, and
119 10% have lags ranging between the maximum land lag and the minimum ocean lag.

120 (3) *Offset*: For ocean and land with the same gain, ocean gridboxes have a systematically
121 higher lag. This indicates that the greater lag over ocean is not only due to a higher heat
122 capacity. The differing land and ocean behavior can most easily be seen when focusing
123 on a single latitude band (e.g. Fig. 2c). Rather than exhibiting a land-ocean symmetry,
124 the amount of change in lag related to a specified change in gain is dependent on whether

125 gain is increasing or decreasing in the direction of the prevailing Westerlies. Notably, this
126 second-order structure indicates that it is not possible to define a single scalar value for
127 continentality that completely describes the annual Fourier component of the climatological
128 annual cycle.

129 We seek to explain these three features of extratropical seasonality using as simple a
130 physical model as possible.

131 **3. Influence of atmospheric circulation**

132 We aim to quantify the predictive strength of the hypothesis that the large-scale spatial
133 structure of the annual cycle is a consequence of land-ocean coupling through atmospheric
134 circulation. An initial scale analysis supports the idea of a dominant role for atmospheric cir-
135 culation: an approximate mid-tropospheric wind speed of 10 m s^{-1} and a radiative relaxation
136 time of about a month (Goody and Yung 1989) suggests that atmospheric temperature prop-
137 erties can be advected on scales of tens-of-thousands of kilometers. This simplistic analysis
138 excludes latent heat processes and vertical adjustment, among other processes, but nonethe-
139 less indicates that oceanic influence can be present in continental interiors, and vice-versa.
140 Below we quantify this influence through evaluating the Lagrangian history of air parcels
141 over land and ocean and, subsequently, incorporating this information into an energy balance
142 model in the spirit of North et al. (1981).

143 *a. Lagrangian trajectory analysis*

144 To evaluate whether the basic features of the annual cycle can be explained as the re-
145 sult of atmospheric circulation coupling land and ocean, we employ the NOAA Air Re-
146 sources Laboratory HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT)
147 model (Draxler and Hess 1997, 1998; Draxler 1997). We estimate 9,901,440 air parcel back
148 trajectories, where 955 trajectories were initialized at each point on a 2.5° global grid. All

149 back trajectories begin at 1000 meters above ground level, a rough approximation of the top
 150 of the boundary layer. Individual trajectories are equally spaced throughout 2006–2010 to
 151 obtain a representative annual average distribution of the source regions for each location
 152 (Fig. 3). Parcels are followed for the 28 days preceding their initialization, or until they go
 153 above 200 hPa, approximating the tropopause.

154 Fig. 3 shows three illustrative examples of the spatial distribution of the back trajectories,
 155 with parcels initialized in southeast Russia (57.5°N, 117.5°E), coastal California (37.5°N,
 156 122.5°W), and off the coast of Chile (37.5°S, 82.5°W). Each of the seven contours encloses
 157 the 99% of air parcels that are closest to the source location in each of the seven days before
 158 initialization, indicating the extent of the source region.

159 *b. Relative Land Influence*

160 Using the ensemble of HYSPLIT parcel trajectories, we define Relative Land Influence,
 161 RLI, as the weighted average of land and ocean that a set of air parcels in the atmospheric
 162 column have previously passed over,

$$RLI = \frac{1}{N} \sum_{i=1}^N \frac{\sum_{t=0}^{28 \text{ days}} Z(t)e^{-t/\tau}}{\sum_{t=0}^{28 \text{ days}} e^{-t/\tau}}. \quad (1)$$

163 $Z(t)$ takes on values of zero or one depending on whether the parcel is over ocean or land,
 164 respectively, at time t , where a three hour resolution is used. τ is the globally averaged
 165 radiative relaxation time of the atmosphere, set at 25 days based on consideration of the
 166 lower 800 hPa of the atmosphere and using an emission temperature of 255 K (Goody and
 167 Yung 1989). The spatial structure of RLI is largely insensitive to reasonable choices of τ ;
 168 e.g., the pattern using a 15 day relaxation time is correlated at $R = 0.99$ to the one presented
 169 here.

170 To classify surface type, we use the NOAA GCOS one-degree land mask² and the ISLSCP

²Available at <http://data.nodc.noaa.gov/GCOS/software/>

171 II one-degree global sea ice concentration dataset³. The land mask is constant in time but
172 the sea ice mask is at monthly resolution. A gridbox is considered to be sea ice if its average
173 concentration is greater than 50% for the month, and sea ice-covered gridboxes are treated
174 as land.

175 A map of RLI (Fig. 4) shows the expected increase in land influence from west to east
176 across continents and the complimentary decrease across ocean basins. The most continental
177 region is in eastern Eurasia. No land gridbox is free from oceanic influence, and the converse.
178 Note that values of RLI show a substantial overlap between land and ocean because ocean
179 gridboxes off the eastern edge of continents have constituent air parcels mostly derived from
180 over land, whereas land gridboxes on the western edge of continents are heavily influenced
181 by oceanic conditions. This behavior is qualitatively consistent with the second feature of
182 the annual cycle data identified in Sec. 2.

183 One means of converting RLI into units that can be directly compared with the gain and
184 lag structure of the annual cycle is to define two time series for representative land and ocean
185 annual cycles, for which we use the highest and lowest gain amongst the observed annual cycle
186 climatologies, respectively. The land end member has a gain of $131 \text{ }^\circ\text{C (kW m}^{-2}\text{)}^{-1}$ and a lag
187 of 22 days, whereas the ocean end member has a gain of $5.01 \text{ }^\circ\text{C (kW m}^{-2}\text{)}^{-1}$ and a lag of 96
188 days. It is then possible to convert RLI into a real time series of temperature at each gridbox,
189 $T_{\text{RLI}}(x, y, m) = RLI(x, y)T_{\text{land}}(m) + (1 - RLI(x, y))T_{\text{ocean}}(m)$, where m indicates month, and
190 all temperatures are monthly averages. Albeit simplistic, this mixing model explains 84%
191 of the space-time variance in climatological temperature, with better performance over land
192 than ocean, where it explains 93% and 72% of the variance, respectively.

193 As can be seen when T_{RLI} is projected into components that are in phase and in quadra-
194 ture with the solar annual cycle (Fig. 4b), the mixing model captures the first two features
195 of the data: linearity and overlap. Linearity is reproduced by construction, whereas overlap
196 results from the aforementioned properties of RLI. The non-unique relationship between gain

³Available at <http://daac.ornl.gov/>

197 and lag is, however, not captured by this framework (see Fig. 4b), and this issue is returned
 198 to in the next section using a more physical model of the annual cycle. Including when
 199 the parcel has been in the upper or lower troposphere during its trajectory as an additional
 200 predictor adds little to no explanatory power with respect to the annual cycle.

201 The misfit between our Lagrangian metric of the annual cycle, T_{RLI} , and the observations,
 202 T_{HadCRU} , can be measured by the root mean square error, $\text{RMSE}(x,y) = (\frac{1}{12} \sum_{m=1}^{12} (T_{\text{HadCRU},(x,y,m)} -$
 203 $T_{\text{RLI},(x,y,m)})^2)^{1/2}$, normalized by the amplitude of the annual Fourier component of temper-
 204 ature (Fig. 4c). The RMSE relative to the annual amplitude is largest in regions of sea
 205 ice cover, indicating the importance of more fully accounting for this third surface type
 206 that is neither land nor ocean in future work. The importance of sea ice has recently been
 207 demonstrated by Dwyer et al. (2012), who showed that its loss can account for changes in
 208 the amplitude and phase of the annual cycle of temperature in general circulation model
 209 simulations. Globally, the majority of the misfit results from a mis-assignment of lag in the
 210 RLI model. Holding either lag or gain constant at the observed value for all gridboxes and
 211 changing the other to that predicted by RLI indicates that the error due to lag misfits is
 212 approximately twice that due to gain misfits.

213 4. Advection energy balance model

214 The Relative Land Influence metric accounts for the amount of time air parcels spend
 215 over land and ocean, but does not consider the physical interactions between surface and
 216 atmosphere along a trajectory. To explain the third feature of the annual cycle, offset, we
 217 turn to an energy balance model.

218 a. Model and idealized application

219 We present a simple energy balance model with east-west resolution of an advecting
 220 atmosphere atop a surface layer, permitting calculation of both atmospheric and surface

221 temperature, T_a and T_s . The surface radiative balance is driven by absorbed shortwave
 222 radiation, $(1 - \alpha)(1 - f_{abs})S(t)$, where $S(t)$ is the annual cycle of top-of-the-atmosphere
 223 incoming solar radiation at a fixed latitude, α is surface albedo, and f_{abs} is atmospheric
 224 absorption. $S(t)$ is time-dependent whereas α and f_{abs} are constant. The surface is either
 225 land or ocean, distinguished by differing heat capacities, C_s . These surfaces are coupled
 226 to the atmosphere through a “leaky greenhouse” framework, where the atmosphere absorbs
 227 and emits longwave radiation with an emissivity, ϵ , as well as through a linear diffusivity
 228 term, κ , that multiplies the local surface-atmosphere temperature difference and represents
 229 non-radiative heat exchange. The atmosphere flows west-to-east with velocity u , and has a
 230 heat capacity C_a , that together define a horizontal scale of influence. The advection energy
 231 balance model is expressed as,

$$C_s \frac{dT_s}{dt} = (1 - \alpha)(1 - f_{abs})S(t) + \kappa(T_a - T_s) + \sigma(\epsilon T_a^4 - T_s^4), \quad (2)$$

$$C_a \frac{\partial T_a}{\partial t} = -uC_a \frac{\partial T_a}{\partial x} + f_{abs}S(t) + \kappa(T_s - T_a) + \epsilon\sigma(T_s^4 - 2T_a^4). \quad (3)$$

232 Note that the model retains no vertical structure in either the atmosphere or ocean and that
 233 the temperatures in both can be viewed as representative of their respective mixed layers.
 234 The numerical values for all model parameters are in Table 1.

235 We apply the model to a simplified geometry, with constant, closed zonal flow around a
 236 latitude band consisting of one continent and one ocean basin of equal width. The modeled
 237 annual cycle along the band is shown in Fig. 5 and exhibits all three features discussed in
 238 Sec. 2: linearity, overlap, and offset. We are able to offer some perspective on the origins of
 239 the three features based on our simple model as follows:

240 (1) *Linearity*: The annual cycle in atmospheric temperature results from the relative
 241 influence of the land and ocean surfaces. The disparate heat capacities of land and ocean
 242 give differing basic annual cycles, in terms of gain and lag, that are then mixed through the
 243 advecting atmosphere.

244 (2) *Overlap*: The advective heat fluxes are of the same order of magnitude as the surface-
245 atmosphere heat fluxes (Fig. 6), allowing for the penetration of remote oceanic conditions
246 into continents, and vice-versa.

247 (3) *Offset*: Absent atmospheric circulation in the model, land surfaces and the overlying
248 atmosphere would have an annual cycle in temperature that lagged solar radiation by about
249 a month, whereas ocean surfaces would lag the atmosphere by about three months and the
250 overlying atmosphere by almost as much. Transport of heat by the atmosphere, represented
251 using a constant zonal velocity, has the counter-intuitive effect of making the lag over land
252 smaller and that over ocean greater. This broadening of land-ocean lags appears to be at
253 the heart of the offset, because it almost entirely disappears if the surface annual cycle is
254 prescribed to be equal to that attained in the no atmospheric circulation case.

255 The increased lag over ocean can be understood through considering the influence of
256 atmosphere-surface heat fluxes relative to solar fluxes. The annual cycle in atmospheric
257 temperature advected off the eastern edge of the continent into the western edge of the
258 ocean basin leads to atmosphere-surface heat fluxes having a larger magnitude than direct
259 radiative absorption. These fluxes of heat from the atmosphere generally lag behind the
260 solar forcing, leading to a greater ocean lag than would be the case without atmospheric
261 advection. As the continental influence decreases eastward, the solar heat fluxes become
262 dominant and seasonality approaches that obtained with no atmospheric circulation.

263 The situation differs slightly over land. The annual cycle of the atmosphere advected
264 onto the western edge of the continent primarily acts to damp the annual cycle over land,
265 which decreases both its gain and lag. This is in analogy with a linear system driven by
266 periodic forcing, e.g., $C \frac{dT}{dt} = A \exp[i\omega t] - \lambda T$, where the lag of T relative to the forcing
267 also decreases with greater damping, $\phi = \frac{1}{\omega} \arctan[\frac{\omega C}{\lambda}]$. Thus, the oceanic influence acts to
268 decrease the lag over land. These two effects combine to produce the lag offset in the model
269 (e.g. Fig. 5b-e).

270 Examination of the modeled heat fluxes (Fig. 6) gives more insight into the controls

271 on the annual cycle. The advective and surface-atmosphere heat fluxes are large and in
272 opposition. The shortwave forcing from atmospheric absorption is small compared to the
273 other heat fluxes, only becoming comparable in the eastern interiors of continents and ocean
274 basins, although it becomes a more dominant heating source when considering zonal mean
275 quantities (Donohoe and Battisti 2013). The tendency, which is proportional to but in
276 quadrature phase with the annual cycle in temperature, is the residual of these large heat
277 fluxes, and is generally an order of magnitude smaller than either the advective or surface-
278 atmosphere heat fluxes. Furthermore, because the tendency is the sum of multiple heat
279 fluxes in addition to solar forcing, the phase of air temperature is able to have a more-than-
280 90-degree phase lag from the solar forcing.

281 We find the structure of the modeled annual cycle to be largely insensitive to reasonable
282 variations in model design. For instance, although the majority of planetary albedo is
283 due to atmospheric rather than surface reflection (Donohoe and Battisti 2011), changing
284 this partitioning does not affect the result in the sense that the resulting amplitude and
285 phase structure are correlated with the base case at $R > 0.99$. Including a seasonally-variable
286 emissivity and varying albedo spatially or seasonally based on observations from the Earth
287 Radiation Budget Experiment (Barkstrom 1984) also has negligible influence on our results.
288 Varying the coupling constant, κ , between 10 and 50 $\text{W m}^{-2} \text{K}^{-1}$ has a slightly larger effect,
289 particularly on the rate of change of lag across ocean basins, but the general structure is
290 retained, and the gain and lag between the base case and these other choices for κ are
291 correlated with $R \geq 0.97$ and $R \geq 0.89$, respectively.

292 The zonal flow model can also be applied to the land-ocean configuration of a specific
293 latitude band, for which we choose 45-50°N, and compared to the observed annual cycle
294 there (Fig. 7c, d). The model captures the first-order structures in gain and lag over ocean
295 and land but does not reproduce differences such as those between North American and
296 Eurasia. The misfit between the model and the observations can likely be ascribed to two
297 obvious and related oversimplifications in the zonal flow model: the prescription of constant

298 velocity for the full latitude band and the use of the fixed land-ocean configuration at that
299 latitude band, as opposed to taking into account differences in surface type that would result
300 if the circulation was not purely zonal.

301 *b. Incorporation of HYSPLIT results*

302 To explore the idea that model mismatch arises because of deviations from pure zonal
303 flow, we incorporate HYSPLIT parcel trajectories. Consider a single trajectory that arrives
304 at a single gridbox of the zonal model, for which we are interested in estimating the annual
305 cycle. We construct a separate model to represent the 28 days preceding its arrival at
306 the gridbox, using the land-ocean sequence that the trajectory follows as the surface type
307 boundary condition in the model. Land and ocean boxes are determined from position using
308 the GCOS one-degree land mask, and model velocity, u , is specified as the average zonal
309 velocity of the trajectory. All other model parameters are in Table 1 and are identical to
310 those used in the idealized simulation in the previous section. To capture the full seasonal
311 cycle, the model is integrated to steady seasonality using solar forcing, $S(t)$ (as in Eqns. (2)
312 and (3)). The initial condition for the air parcel comes from the closed-loop zonal flow model
313 after also integrating it to a steady annual cycle under the same model parameters.

314 To sample a larger fraction of the distribution of parcel source regions (e.g. Fig. 3),
315 we integrate the model using 642 different trajectory paths for each of the 72 five-degree
316 gridboxes in the latitude band between 45-50°N. Trajectories are equally spaced across the
317 time period 2006–2010 and are a subset of those used to calculate Relative Land Influence.
318 The energy balance model outputs across each trajectory are then averaged to create a
319 climatology for comparison to observations.

320 The model climatology captures 94% of the space-time variance of the monthly tempera-
321 ture climatologies in the latitude band considered (Fig. 7a), including the more rapid changes
322 in lag across ocean than land, the rapid decrease in gain at the western edge of the Pacific
323 Ocean, and the more rapid increase in gain across North America than Eurasia. The energy

324 balance model that incorporates the HYSPLIT information captures basin-scale features
325 substantially better than is the case when a constant zonal flow is assumed (Fig. 7c,d).

326 The primary model-data misfit arises from the model producing too large a lag in the
327 interiors of ocean basins, and we speculate this is due to lack of model mixed layer dynamics
328 (e.g. Laepple and Lohmann 2009). One might also have suspected that major features of
329 the annual cycle would be controlled by processes such as temporal and spatial variability in
330 cloudiness (Weaver and Ramanathan 1997), intra-annual variability in atmospheric circula-
331 tion patterns (Stine and Huybers 2012), moisture availability over land (Stine et al. 2009),
332 or higher order Fourier components of the annual cycle (Legates and Willmott 1990). These
333 additional processes presumably also contribute, but the present results indicate that the
334 primary explanation for spatial variability in the the extratropical annual cycle structure is
335 the land-ocean contrast, mediated by the circulation of the atmosphere.

336 5. Conclusion

337 The extratropical annual cycle is characterized by (1) a first-order linear structure con-
338 sistent with an end-member mixing framework, (2) a land-ocean overlap in gain and lag, and
339 (3) a systematically higher lag over ocean than land. Previous analysis identified feature (1)
340 as due to relative land and ocean influences (Stine et al. 2009). We further find that ac-
341 counting for the source region of air parcels through a Lagrangian approach captures feature
342 (2), and that combining atmospheric advection with an energy balance model captures fea-
343 ture (3). Each component of our simple model appears necessary for describing these three
344 features, suggesting that it is, in a sense, a minimal representation. Atmospheric coupling
345 between land and ocean gives rise to a linear structure between gain and lag when plotted in
346 polar coordinates, the directional nature of atmospheric advection leads to overlap in gain
347 and lag, and the time-dependence of atmospheric interaction with land and ocean produces
348 a non-unique relationship between gain and lag.

349 These results support previous findings and have several further implications. Changes in
350 continental configuration over geological timescales are expected to have far-reaching effects
351 on the annual cycle, as the air parcel source region for a given location will become more
352 continental or oceanic. Loss of sea ice (e.g. Stroeve et al. 2012) is also expected to moderate
353 nearby annual cycles due to an increase in effective heat capacity (Dwyer et al. 2012). Further
354 work on the parameterization of sea ice in this framework may be helpful in understanding
355 the connection between sea ice loss and changes in the annual cycle. Finally, the coupling
356 of land and ocean via mean circulation patterns is not specific to annual period variability,
357 and this framework may also provide insight into the magnitude and timing of changes at
358 synoptic and interannual timescales.

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TABLE 1. Parameter values for the advection model. Zonal wind velocity is the average across the HYSPLIT trajectories at 45-50°N; see Sec. 4b.

Name	Var. name	Value	Units
Zonal wind velocity	u	6.1	m s^{-1}
Emissivity	ϵ	0.8	
Albedo	α	0.3	
Coupling coefficient	κ	50	$\text{W m}^{-2} \text{K}^{-1}$
Shortwave absorption	f_{abs}	0.18	
Ocean heat capacity	C_o	75	m.w.e.
Land heat capacity	C_l	1	m.w.e.
Atmosphere heat capacity	C_a	1	m.w.e.
meter-water-equivalent	m.w.e.	4.18×10^6	$\text{J m}^{-2} \text{K}^{-1}$
Latitude		47.5°N	

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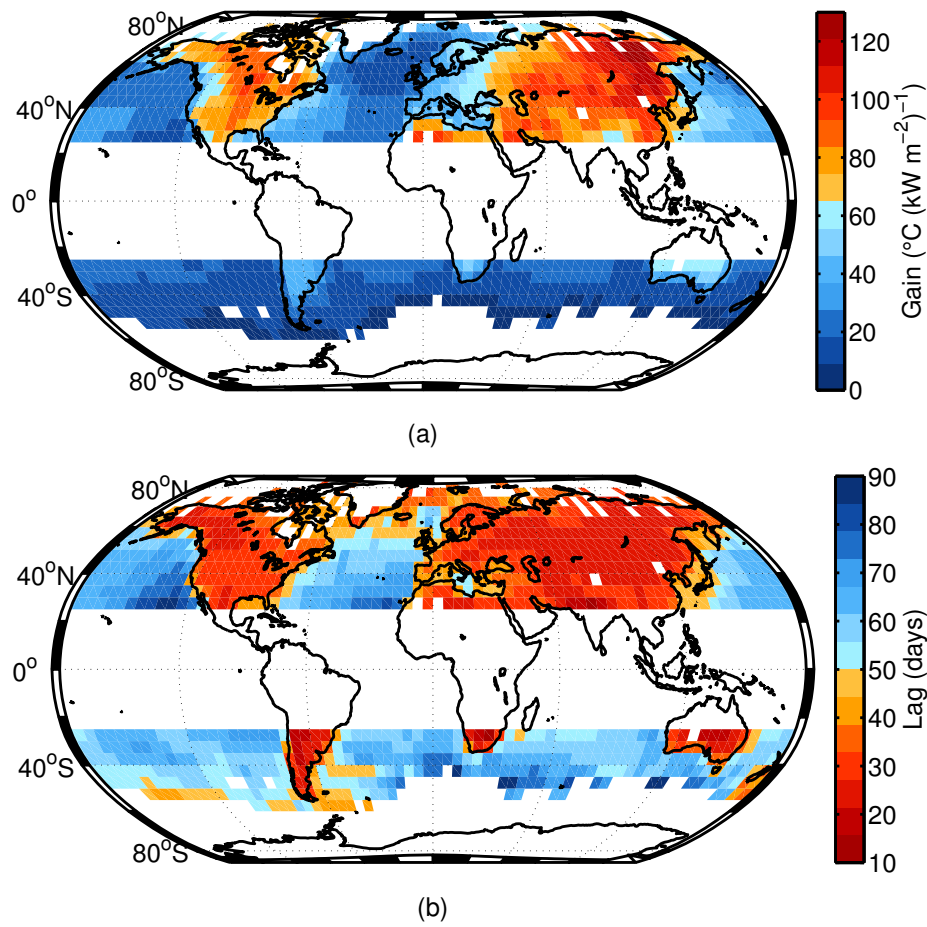


FIG. 1. (a) Gain and (b) lag of the annual Fourier component of the climatological annual cycle.

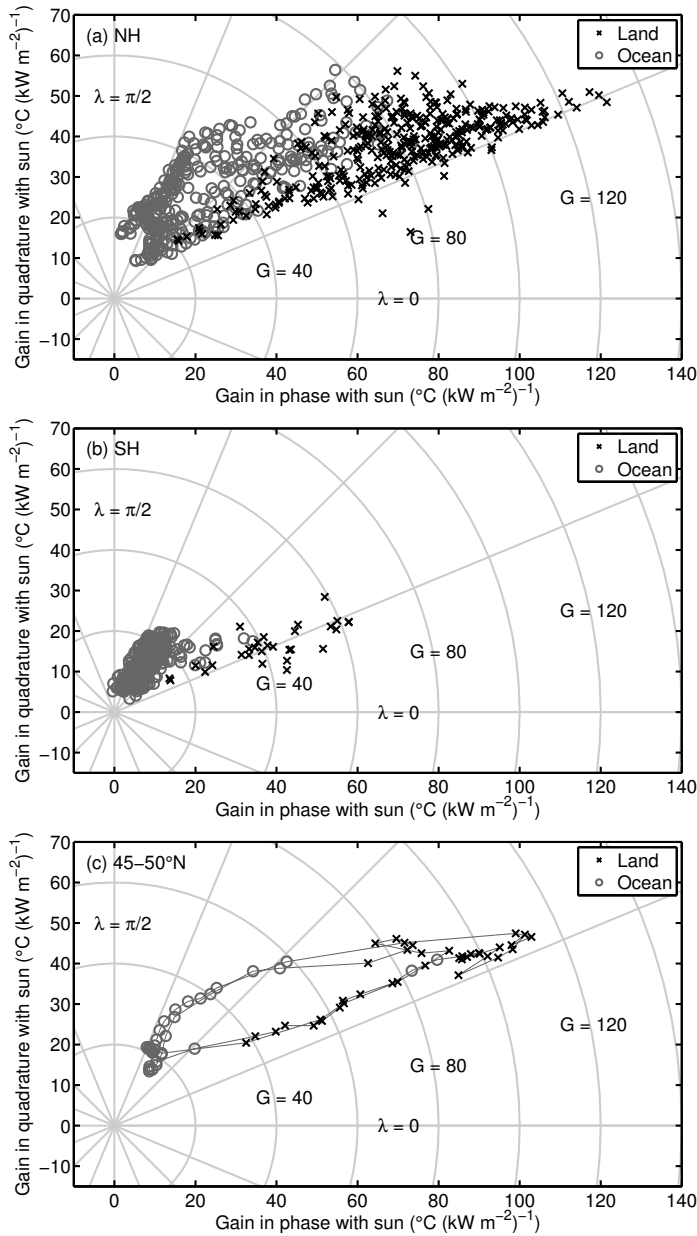


FIG. 2. The gain and lag of the annual cycle in polar coordinates in the (a) Northern and (b) Southern Hemispheres, and (c) at 45-50°N. In (c), neighboring gridboxes are connected via a thin gray line to illustrate the connection between the pattern in map view and in polar coordinates. Black X's indicate land, and gray O's indicate ocean. Contours of equal gain (G) and lag (λ) are the labeled concentric circles and lines emanating from the origin, respectively.

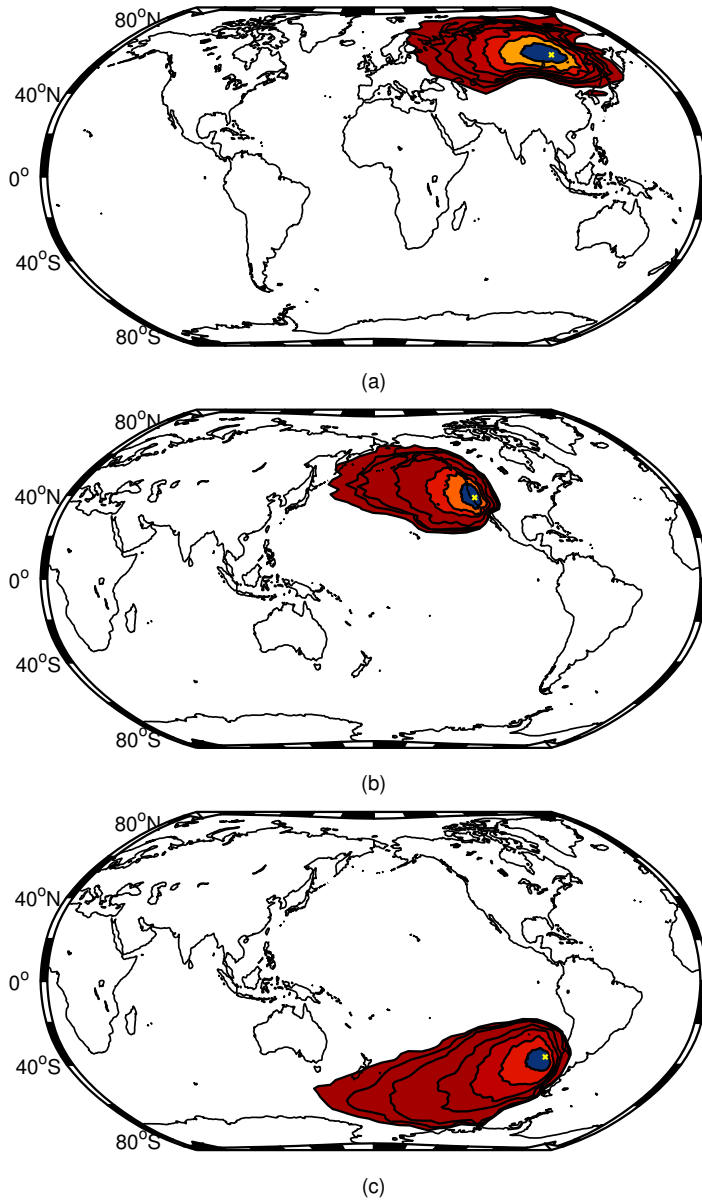


FIG. 3. Source regions for parcels at the yellow 'x'. Each of the seven consecutively larger areas enclosed by the contour lines contains the closest 99% of parcel locations for each of seven days before initialization of the back trajectories.

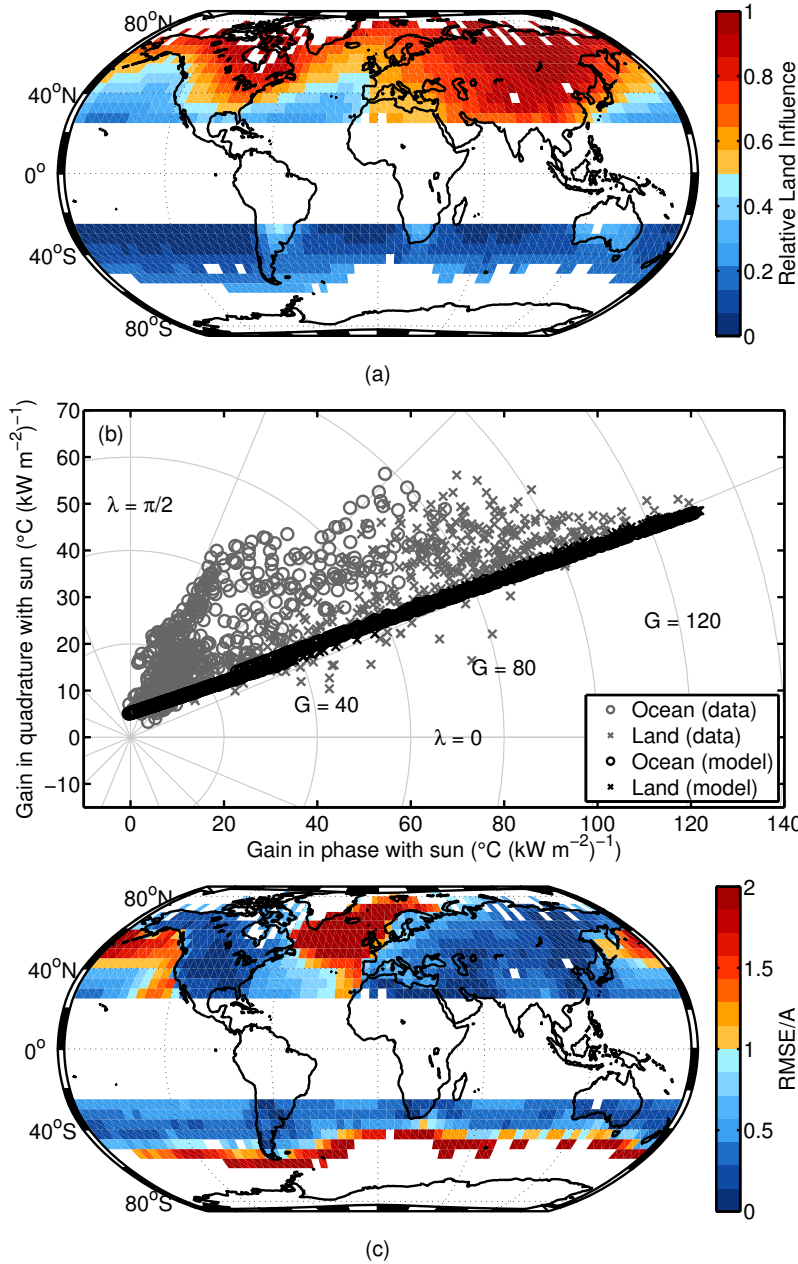


FIG. 4. (a) Relative Land Influence (RLI) calculated from the HYSPLIT trajectory paths using Eq. (1). (b) Gain and lag of the annual cycle from the RLI-weighted end member mixing model (black) and, for comparison, the data (gray). (c) The root mean square error between the model and the data, normalized by the local amplitude of the annual Fourier component in temperature.

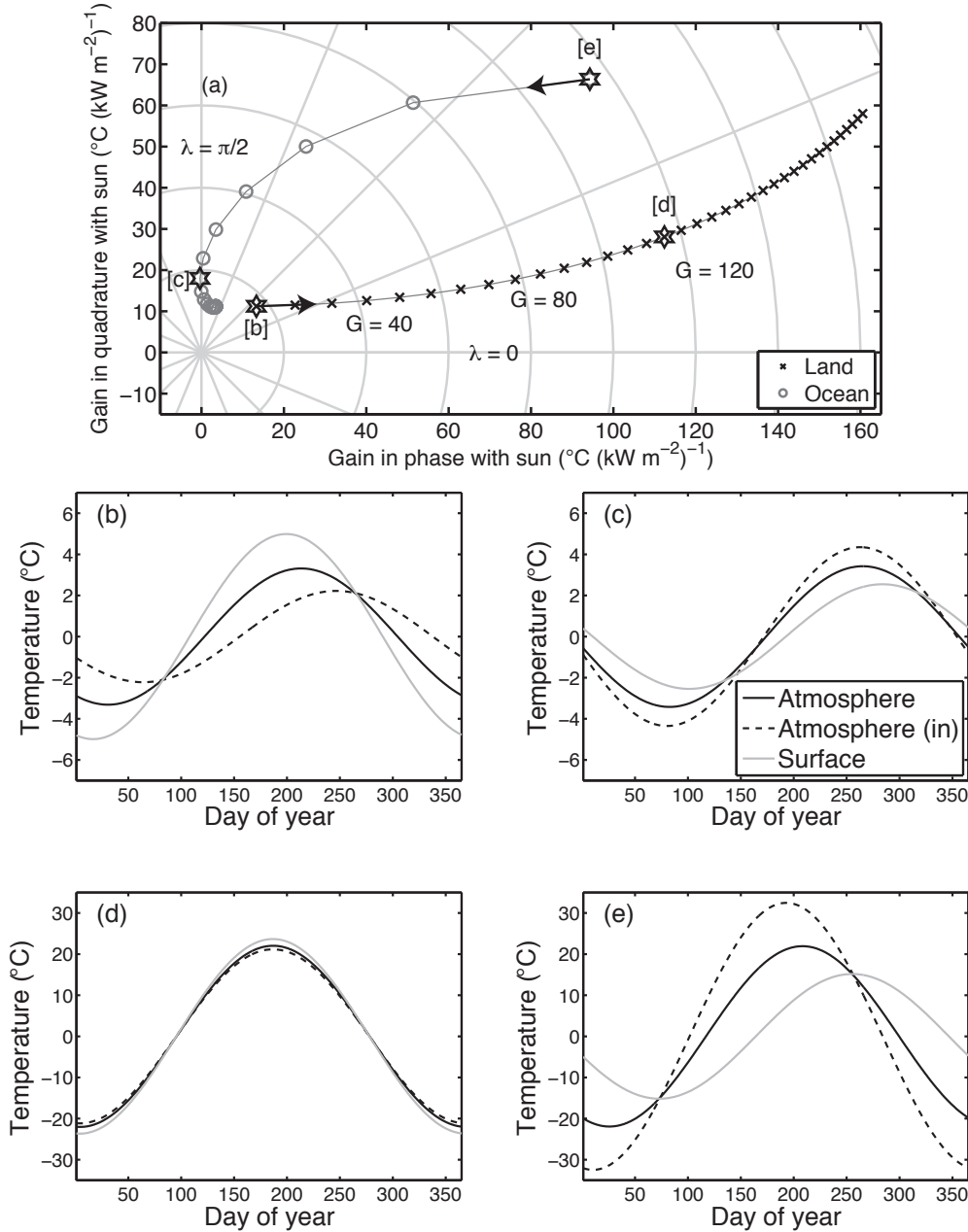


FIG. 5. (a) Gain and lag of the annual cycle in polar coordinates calculated from the advection energy balance model (Eqs. (2) and (3)) using an idealized geometry. Black X's indicate land, gray O's indicate ocean. Neighboring gridboxes of the same surface type are connected by a thin gray line, and arrows indicate west-to-east movement across longitude. (b)-(e) Modeled annual cycles for the atmosphere atop land (b, d) and ocean (c, e) at the locations marked by black stars in (a). 'Atmosphere (in)' is the annual cycle of the atmosphere for the gridbox immediately to the west. The amplitude is the same in each row, but the ocean points exhibit a greater lag than the land points by 52 (b, c) and 21 (d, e) days.

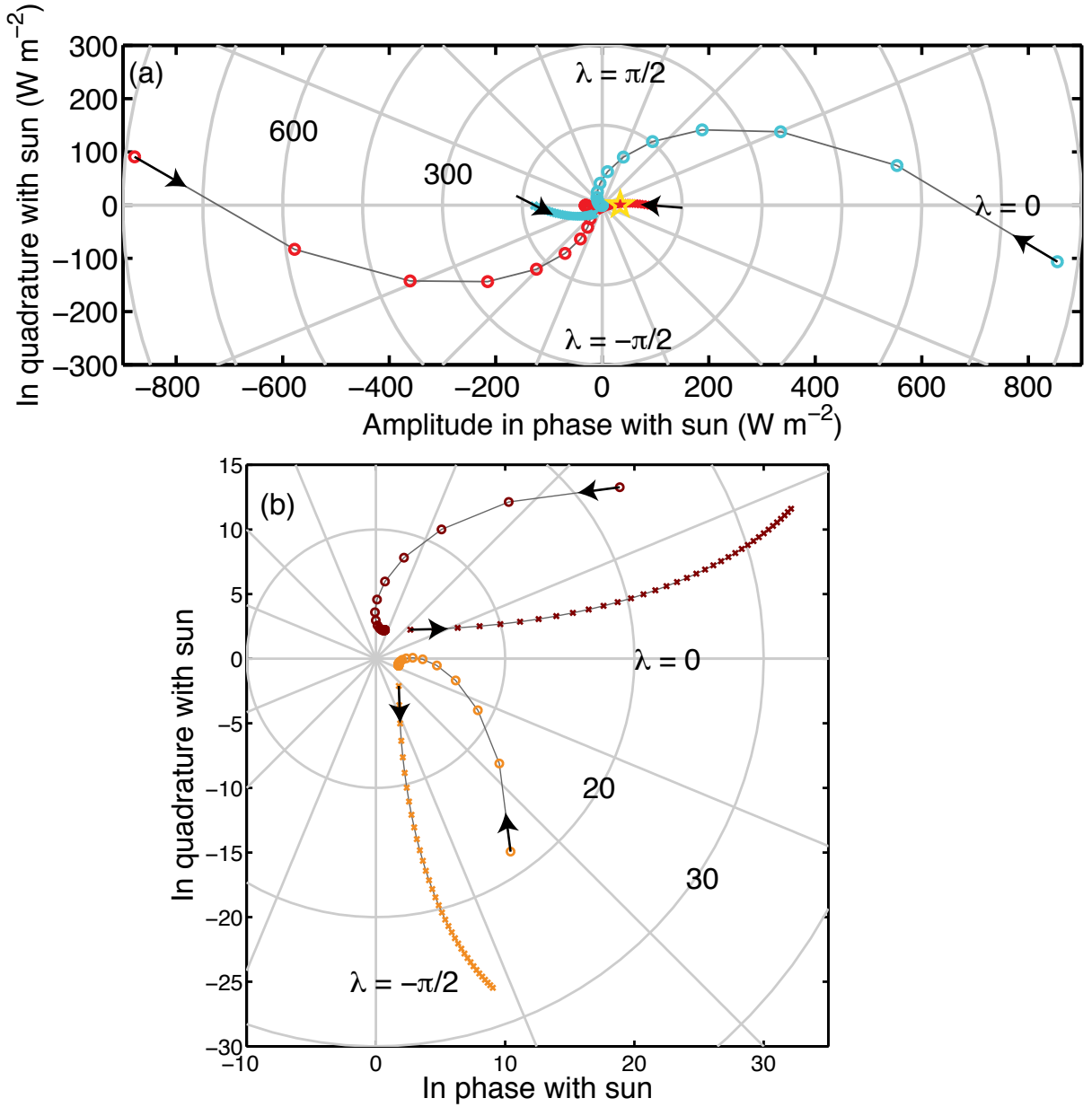


FIG. 6. The amplitude and phase of the heat fluxes to the atmosphere in the advection energy balance model shown in polar coordinates. (a) Energy flux amplitude and phase broken down into components: advective ($-uC_a \frac{\partial T_a}{\partial x}$, light blue); sensible, latent, and long-wave ($\kappa(T_s - T_a) + \epsilon\sigma(T_s^4 - 2T_a^4)$, red); and solar ($f_{abs}S(t)$, yellow star). Mathematical representations correspond to Eqn. (3). X's indicate land, O's indicate ocean, neighboring gridboxes of the same surface type are connected by a thin gray line, and arrows indicate west-to-east movement across longitude. (b) Net heating (orange, Wm⁻²) and the normalized temperature response (dark red, °C (kW m⁻²)⁻¹). The normalized temperature response is multiplied by a value of 0.2 to plot on the same axes as net heating.

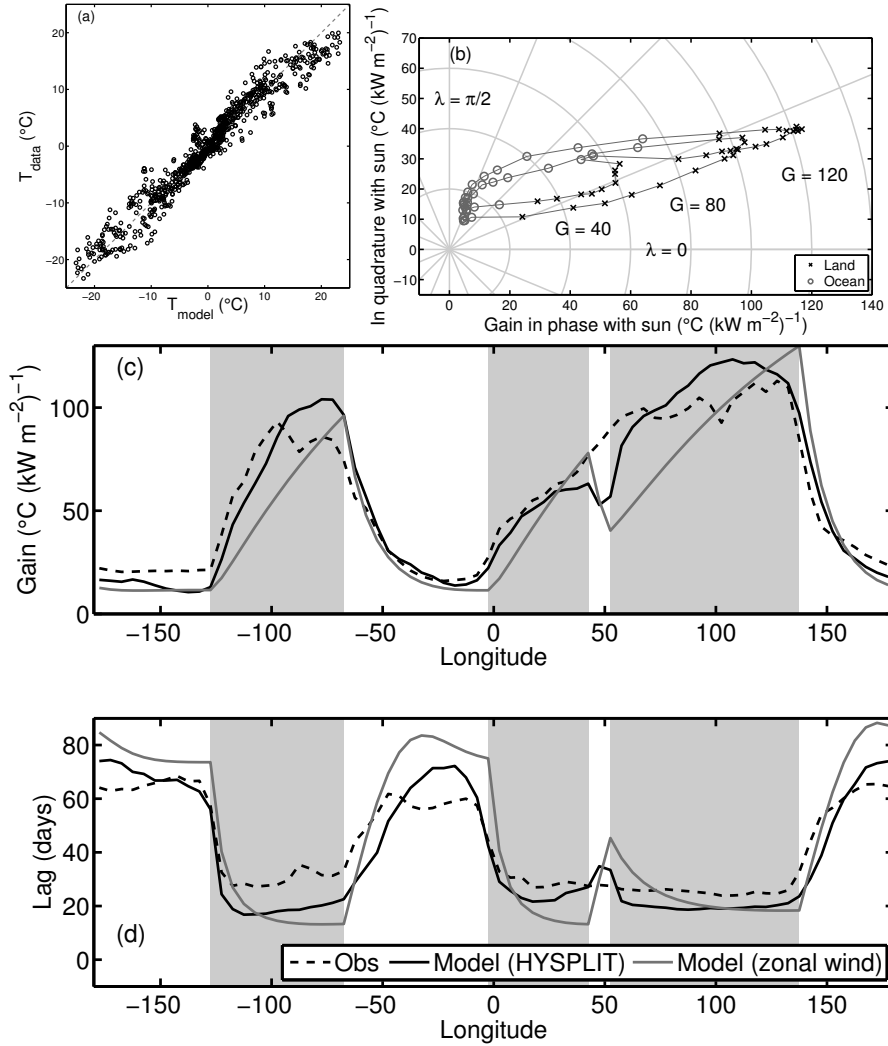


FIG. 7. (a) Monthly temperature anomalies in the latitude band 45-50°N from the advection model driven by HYSPLIT trajectories versus observations. (b) The gain and lag of the modeled annual cycle in polar coordinates showing land (X's) and ocean (O's) boxes. Neighboring gridboxes are connected via a thin gray line. (c) The gain of the modeled annual cycle across longitude at 45-50°N using a zonal wind (gray) and with the inclusion of the HYSPLIT trajectory information (black), as compared to the observations (dashed). Land regions are indicated by shading. (d) Similar to (c) but for lag.