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The Relative Contributions of Seed Bank, Seed Rain, and Understory Vegetation Dynamics to the Reorganization of *Tsuga Canadensis* Forests After Loss due to Logging or Simulated Attack by *Adelges tsugae*

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(Article begins on next page)

1 **The relative contributions of seed bank, seed rain, and understory vegetation dynamics to**
2 **the reorganization of *Tsuga canadensis* forests after loss due to logging or simulated attack**

3 **by *Adelges tsugae***

4

5 Elizabeth J. Farnsworth

6 New England Wild Flower Society

7 180 Hemenway Road, Framingham, Massachusetts 01701 USA

8 Email: efarnswo@mtholyoke.edu

9

10 Audrey A. Barker Plotkin

11 Harvard Forest, Harvard University

12 324 North Main Street, Petersham, Massachusetts 01366

13 Email: aabarker@fas.harvard.edu

14

15 Aaron M. Ellison*

16 Harvard Forest, Harvard University

17 324 North Main Street, Petersham, Massachusetts 01366

18 Email: aellison@fas.harvard.edu

19

20 *Author for correspondence

21

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23 **Abstract:** Profound changes are occurring in forests as native insects, nonnative insects, or
24 pathogens irrupt on foundation tree species; comprehensive models of vegetation responses are
25 needed to predict future forest composition. We experimentally simulated hemlock woolly
26 adelgid (*Adelges tsugae* Annand) infestation (by girdling trees) and preemptive logging of
27 eastern hemlock (*Tsuga canadensis* [L.] Carrière), and compared vegetation dynamics in
28 replicate 90 × 90-m treatment plots and intact hemlock stands from 2004-2010. Using Chao-
29 Sørensen abundance-based similarity indices, we assessed compositional similarities of trees,
30 shrubs, forbs, and graminoids among the seed bank, seed rain, and standing vegetation over time
31 and among treatments. Post-treatment seed rain, similar among treatments, closely reflected
32 canopy tree composition. Species richness of the seed bank was similar in 2004 and 2010.
33 Standing vegetation in the hemlock controls remained dissimilar from the seed bank, reflecting
34 suppressed germination. Recruits from the seed rain and seed bank dominated standing
35 vegetation in the logged treatment, whereas regeneration of vegetation from the seed bank and
36 seed rain was slowed due to shading by dying hemlocks in the girdled treatment. Our approach
37 uniquely integrates multiple regeneration components through time and provides a method for
38 predicting forest dynamics following loss of foundation tree species.
39

40 Introduction

41 A key aim of forest ecology is to elucidate factors that influence transitions of plants
42 from the seed to the canopy under a range of management conditions. Changes in forest species
43 composition through time are driven by several factors, including recruitment from the seed
44 bank, inputs from seed rain, interactions with standing vegetation, variable edaphic and climatic
45 conditions, and a range of mortality agents including insects and pathogens (Lovett et al. 2006;
46 Burton et al. 2011). Long-term, integrative studies of these ecological factors are needed to
47 predict the species composition of future forests, especially as herbivores irrupt and pathogens
48 become more prevalent. Pathogens and insects can damage or eliminate dominant and
49 foundation tree species (*sensu* Ellison et al. 2005), rapidly and radically altering the composition
50 of forest stands. Silvicultural practices and preemptive measures such as logging, undertaken to
51 remove vulnerable and/or economically valuable tree species before an infestation or infection
52 occurs, also affect seed-banking, regeneration, and forest dynamics (Graae and Sunde 2000;
53 Decocq et al. 2004).

54 Eastern hemlock (*Tsuga canadensis* [L.] Carrière) forests provide a model system in
55 which to examine these dynamics, specifically comparing responses to preemptive hemlock
56 logging or infestation by the hemlock woolly adelgid (*Adelges tsugae* [Annand]). Intact, mature
57 hemlock forests tend to be stable and long-lived, with depauperate understories suppressed by a
58 very shady microenvironment and acidic needle litter (Catovsky and Bazzaz 2000; D'Amato et
59 al. 2008). Palynological data illustrate that hemlock forests underwent a region-wide decline
60 ~5400 years ago caused by a combination of insect-driven defoliation and climatic change, but
61 they recovered to their current extent after 300-1200 years (Foster et al. 2006).

62 A similar process has been unfolding in the last 30 years. The hemlock woolly adelgid
63 has been spreading rapidly since the 1980s, defoliating trees and causing more than 95%
64 mortality in parts of its range (Orwig et al. 2008). Preemptive salvage logging has occurred in
65 many hemlock stands to extract economic value before the adelgid infests and kills the trees
66 (Foster and Orwig 2006). Slow loss of living hemlock due to the adelgid acts as a gradually
67 changing filter on vegetation recruitment, progressively suffusing the understory with light,
68 stimulating seed germination, and creating opportunities for plant colonization. For example,
69 Yorks et al. (2003) documented gradually increasing abundance of *Betula*, *Acer*, and four
70 monilophyte species in the five years following a hemlock girdling treatment.

71 In contrast, logging removes the canopy suddenly, greatly increasing light availability at
72 the forest floor in a single pulse (Krasny and Whitmore 1992). Logging also often leaves a large
73 amount of slowly-decomposing slash that initially suppresses regeneration, and effects of rutting,
74 scarification, and other disturbances can persist in second-growth hemlock stands (Smith 1986).
75 In either case, the composition of the forest eventually increases in species richness, with new
76 broad-leaved tree species coming to predominate in even-aged stands (Orwig and Foster 1998).
77 However, the near-term composition of the recovering forest is difficult to predict; stochastic
78 dynamics, coupled with a warming climate that favors increased herbivory or recruitment of
79 plant species adapted to warmer climate conditions (Paradis et al. 2008), may cause novel
80 assemblages to form (e.g., Spaulding and Rieske 2010).

81 In this paper, we present results of a seven-year study that documents species present in
82 the seed bank, seed rain, and tree, sapling, seedling, and herbaceous vegetation before and after
83 hemlock-dominated stands were subjected to three experimental treatments: (1) simulated attack
84 by *A. tsugae*; (2) preemptive logging; and (3) intact control. We ask four specific questions:

- 85 1. To what extent are the initial compositions of the seed bank, seed rain, canopy, and
86 existing forest-floor species similar? Comparative studies frequently report disparities
87 among the plant species compositions of the seed bank, seed rain, and standing
88 vegetation (Hopfensperger 2007). Such disparities pose challenges for predicting future
89 forest composition, but can also indicate the most important ecological filters operating
90 on particular species, life forms, and life stages (Myers and Harms 2011). Based on
91 previous studies, we expected to find little concordance in species composition among
92 these regeneration components.
- 93 2. Do the compositions of the seed bank, seed rain, canopy, sapling, seedling, and
94 herbaceous vegetation diverge or converge in similarity over time or among treatments?
95 We hypothesized that the composition of the developing forest-floor vegetation would
96 more closely reflect the inputs of seed rain and the seed bank as the girdled canopy
97 gradually ceased acting as a strong filter on germination and establishment of seedlings.
98 We also expected the 2010 seed bank to diverge in composition from the 2004 seed bank
99 in the logged treatment as new seed sources became available and the existing bank
100 became depleted as seeds germinated and recruited to the seedling layer.
- 101 3. Does the vegetation composition differ between stands undergoing mortality due to
102 simulated adelgid attack versus logging, and how does post-disturbance composition
103 compare with intact stands? We predicted that seed rain would continue to supply new
104 recruits as the canopy slowly died in the girdled treatment, while the upper layer (0-10
105 cm depth) of the forest seed bank would contribute most of the new recruits in newly
106 logged stands, as it would be most responsive to scarification during skidder activity. We
107 also expected the composition of the vegetation on the forest floor to remain stable and

108 dominated by shade-tolerant *T. canadensis* in the heavily shaded hemlock control
109 treatment (Catovsky and Bazzaz 2002).

110 4. Can we use these data to predict stand composition as post-hemlock succession proceeds?

111 The advance regeneration afforded by sapling, shrub, and herbaceous layers, plus
112 ongoing seed rain, should dominate the vegetation that develops in post-treatment years.

113 Figure 1 depicts a simple, conceptual null model in which these inputs contribute equally
114 to outcomes in stand composition. Observed departures from this model, reflected in
115 different treatment responses, would reflect contrasting ecological filters imposed by
116 processes such as insect or pathogen damage and logging. Although we anticipated that
117 the composition of the standing vegetation would differ between adelgid-impacted and
118 logged stands during early phases of stand regeneration, over much longer terms,
119 standing vegetation may converge in similarity.

120 Prior studies of regeneration in logged or adelgid-infested hemlock stands separately
121 have documented changes in plant species composition (Orwig et al. 2008), provided baseline
122 data on seed bank and understory vegetation (Catovsky and Bazzaz 2000; Yorks et al. 2000;
123 Sullivan and Ellison 2006), or used successional data to inform predictive models of tree species
124 abundance (Spaulding and Rieske 2010); ours is the first to integrate all these types of data with
125 information on temporal dynamics of seed bank and seed rain compositions in an experimental
126 context. Most previous plot-based studies have tended to focus on single guilds, such as forest-
127 floor herbs (Burton et al. 2011), or examined ecosystems other than temperate forests (e.g.,
128 Drake 1998); we document the emergence of both herbaceous and woody vegetation to present a
129 comprehensive profile of changing assemblages of forest plants.

130

131 **Methods**

132 **Harvard Forest Hemlock Removal Experiment**

133 This study took place in the Harvard Forest Hemlock Removal Experiment (HF-HeRE)
134 plots, located within the 121-ha Simes Tract at the Harvard Forest Long-Term Ecological
135 Research Site in Petersham, Massachusetts, USA (42.47°–42.48° N, 72.22°–72.21° W; elevation
136 215–300 m a.s.l.). The Harvard Forest lies within the hemlock/hardwood/white pine transition
137 forest region of eastern North America, and the Simes Tract itself is classified as “hemlock-
138 hardwoods” (Kernan 1980). The soils are predominantly coarse-loamy, mixed, active, mesic
139 Typic Dystrudepts in the Charlton Series that are derived from glacial till (USDA n.d.). Prior to
140 the experimental treatments described below, eastern hemlock comprised 50-69% of the (on
141 average) 50 m² ha⁻¹ (mean) basal area, and 55-70% of the mean 875 stems ha⁻¹ (Sullivan and
142 Ellison 2006). Other species that comprised >10% of the initial basal area in any of the plots
143 included white pine (*Pinus strobus* L.), black birch (*Betula lenta* L.), red oak (*Quercus rubra* L.),
144 and red maple (*Acer rubrum* L.).

145 Full methods and diagrams of the HF-HeRE are given in Ellison et al. (2010); salient
146 details are presented here. Canopy-level manipulations were performed in two 90 × 90 m (0.81
147 ha) plots in each of two blocks; an additional 0.81 ha plot in each block served as a control.
148 Blocks were chosen based on their size and capacity to accommodate 3 large treatment plots
149 without edge effects. The “valley” block is in undulating terrain bordered on its northern edge
150 by a *Sphagnum*-dominated wetland, whereas the “ridge” block is on a forested ridge (see site
151 map in Ellison et al. 2010). Blocks and plots were sited and established in 2003. Within each
152 block, the two treatment plots and the intact control plot were located within 300 m of each
153 other, with similar topography and aspect. In 2003, *A. tsugae* was not present in any of the

154 blocks; as of 2010, the insect was gradually colonizing hemlock stands at the Harvard Forest and
155 was present throughout the Simes Tract, but not yet causing hemlock mortality.

156 The first canopy manipulation, girdling, was designed to induce the gradual physical
157 decline (i.e., defoliation, biomass loss) caused by *A. tsugae* infestation. In this treatment, *all*
158 hemlock seedlings, saplings, and mature trees were girdled using knives or chainsaws over a
159 two-day period in early May 2005. The girdled hemlocks died over the course of the next two
160 years, and since have been slowly disintegrating in a pattern quite analogous to that observed
161 following heavy *A. tsugae* infestations (see also Yorks et al. 2003).

162 The second treatment, logging, was designed to mimic the effects of a commercial
163 hemlock-salvage operation involving removal of merchantable timber, pulp, and cordwood of
164 hemlock and other species (e.g., *Pinus strobus* L. and *Quercus rubra* L.). We applied a fixed-
165 diameter-limit cut. Between 65 and 70% of the stand basal area, including all *T. canadensis*
166 trees > 20 cm diameter (at breast height, 1.3 m) and at least half of the merchantable white pine
167 and hardwoods (maple, birch, oaks), was harvested by chainsaw and removed from the two plots
168 using a rubber-tired skidder between February and April 2005 when the ground was frozen. The
169 third plot in each block was left intact, to serve as a *T. canadensis* control.

170 In this paper, we focus on species composition and abundance before treatments were
171 applied in 2005 and vegetation regeneration and reorganization for five years following the
172 hemlock removal treatments. Data on microclimate, stand structure, litterfall, coarse woody
173 debris, distribution and abundance of ants, beetles, and spiders, and fluxes of carbon and nitrogen
174 also were collected for two years prior to the 2005 treatment and are reported elsewhere (Sackett
175 et al. 2011; Lustenhouwer et al. 2012; Orwig et al. in review). Overall, changes in these variables
176 in the girdled treatment have been similar in pace and magnitude to those resulting from adelgid

177 invasion throughout New England. For example, light availability increased gradually over time
178 in the girdled treatment (as in Yorks et al. 2003) but abruptly in the logged treatment
179 (Lustenhouwer et al. 2012). Average daily soil and air temperatures in the logged and girdled
180 treatments are 2 – 4 °C warmer in summer and cooler in winter relative to the hemlock control
181 plots, and both diurnal and seasonal variances in temperatures are highest in the logged treatment
182 (Lustenhouwer et al. 2012). Decline and loss of eastern hemlock in the logged and girdled
183 treatments at HF-HeRE also have led to reductions in overstory densities and basal area
184 comparable to those seen in sites long infested by the adelgid (Orwig and Foster 1998; Orwig et
185 al. 2002) or that have been salvage-logged (Kizlinski et al. 2002).

186

187 **Composition of the seed rain**

188 To characterize the composition of the seed rain, seeds were manually removed from
189 litter collected in five litterfall baskets that were placed at random coordinates throughout each
190 90× 90 m plot. Baskets (40.6 cm long × 33 cm wide × 25.4 cm deep) were constructed of
191 Sterilite[®] plastic with vent and drainage holes, and lined with no-see-um cloth (0.25 mm mesh)
192 that was fastened to the edges with clips and suspended slightly above the bottom of the basket
193 to keep the litter dry. Replicate baskets were placed in the field at the beginning of September
194 2005, and material was collected quarterly in early April, mid-June, mid-October, and early
195 December of each year. Samples were air-dried and seeds separated. Seeds were identified to
196 species (when possible; to genus when not) using dichotomous keys in Montgomery (1977);
197 vouchers are stored in the Harvard Forest Herbarium. Representative individual seeds of each
198 species were weighed, and numbers of seeds in each sample were determined from the mass of
199 total samples (Greene and Johnson 1994).

200

201 **Composition of the seed bank**

202 In June 2004, we marked five randomly-chosen points in the center 30 × 30 m subplot of
203 each of the experimental treatment plots (to avoid edge effects), and collected a single 60 cm ×
204 60 cm × 20 cm-deep soil monolith at each point (Sullivan and Ellison 2006). In May 2010, we
205 returned to the same points, chose a new sample location within 2 m of the original location, and
206 collected a single 15 cm × 15 cm × 20 cm-deep soil core at each point. In both years, we
207 returned the soil cores within five hours to the lab for planting. In both 2004 and 2010, all cores
208 were trimmed to 10 cm × 10 cm × 20 cm-deep blocks, and then sliced into 2-cm depth
209 increments. These samples were placed into separate 7.5 × 7.5 cm cells in a divided potting tray,
210 each cell first lined with a 1 cm deep layer of milled sphagnum to facilitate drainage. One
211 replicate reference cell for each of the cores was filled entirely with milled sphagnum and
212 monitored for recruitment of “weedy” species present in the greenhouse seed rain. All trays
213 were placed in the Harvard Forest greenhouse at full light, watered twice daily, and fertilized
214 once during each growing season with 1.7 g/L of 20:20:20 fertilizer (J. R. Peters, Inc.,
215 Allentown, Pennsylvania, USA). Trays were randomly repositioned twice during each growing
216 season.

217 Seed bank composition was assessed using the direct germination method, without prior
218 sieving. Opinions differ in the literature about the relative merits of direct germination versus
219 seed extraction by suspension and filtering of seeds in water for characterizing the seed bank.
220 Studies comparing these methods have indicated that seed extraction can be ineffective for
221 detecting small-seeded species and overestimates the viable seed bank because it does not
222 distinguish non-viable seeds (Price et al. 2010). With the caveat that the direct germination

223 approach also can discriminate against seeds not adapted for greenhouse conditions, we selected
224 this method as a more reliable estimator of seeds available for regeneration in the field treatment
225 plots. Trays were monitored on a weekly to biweekly basis from June to September in both 2004
226 and 2010; after September, no new germination occurred and existing seedlings were senescing.
227 Most newly-emerging seedlings were removed to prevent competition with later-emerging
228 plants, except where removal would disturb existing, as-yet-unidentified seedlings. Unidentified
229 specimens were out-planted to larger pots in September of year 1 (2004 or 2010) to ensure that
230 root-binding would not cause death or affect their growth in year 2 (2005 or 2011). Specimens
231 still unidentified at the end of the first growing season were hardened off and watered bi-weekly
232 from November to April, during which time greenhouse temperatures were kept at 4 °C.
233 Surviving seedlings were monitored throughout the second growing season (i.e., summers 2005
234 and 2011) until reproduction occurred or until plants were mature enough to identify
235 unambiguously. Haines (2011) was used for identifications and nomenclature, and Jenkins et al.
236 (2008) provided confirmation on species presence at Harvard Forest. Vouchers are stored in the
237 Harvard Forest Herbarium.

238

239 **Composition of the standing vegetation**

240 In 2003, two 30 m transects were established, running through the center 30 m × 30 m of
241 each plot, for the purposes of sampling the forest-floor vegetation. Five 1 m² subplots were
242 spaced evenly along each transect. Transects were permanently marked with stakes, and
243 subplots were marked at the northwest corner with flags. Percent covers of herbaceous species,
244 shrubs, and tree seedlings (< 1.3 m tall) were estimated, and numbers of tree seedlings were
245 counted in each subplot in July of each year. Saplings, defined as trees > 1.3 m tall but with

246 DBH < 5 cm, were identified to species, and all saplings in the 30 m × 30 m central plot were
247 counted in 2004, 2007 and 2009. Canopy trees (minimum size: 5 cm DBH) in the entire 90 × 90
248 m plot were identified, mapped, and labeled with numbered aluminum tags. Size (DBH) and
249 status (living/dead) was recorded in 2004 and 2009. Additional observations of plants within 3
250 m of the seed-bank core locations were made in May and August 2010. These species
251 occurrences were added to our list of taxa present in each treatment (Table 1), but were not used
252 for calculating relative abundances.

253

254 **Statistical analyses**

255 Data from all subsamples taken within an individual 90 × 90 m treatment plot – i.e.,
256 individual depth strata within seed bank cores, individual vegetation subplots, or individual litter
257 baskets – were pooled (normally averaged; summed in the case of seed rain) to yield a single
258 value for each variable for each plot. This pooling avoids pseudoreplication and inflation of
259 degrees of freedom and probability of Type I statistical errors (Gotelli and Ellison 2012). Except
260 in the multivariate analysis described at the end of this section, seed rain data were pooled for the
261 five years (2005-2009).

262 To standardize data across regeneration inputs (Fig. 1), we calculated the relative
263 abundances of each species in the seed bank, seed rain, and herbaceous and sapling layers as the
264 sum of all occurrences within each input – percent covers, numbers of seeds, or numbers of
265 germinating recruits – divided by the total number of all occurrences. Because the majority of
266 species were very rare (< 1% relative abundance), relative abundances were computed only for
267 the 14 most frequent genera observed in the combination of seed bank, seed rain, and field plots
268 (*Acer*, *Betula*, *Pinus*, *Prunus*, *Quercus*, *Tsuga*, *Mitchella*, *Rubus*, *Viburnum*, *Aralia*, *Lysimachia*,

269 *Maianthemum*, *Carex*, and *Juncus*; see Results). Although monilophytes and lycophytes
270 comprised a significant portion of the regenerating flora, they were largely undetected in the seed
271 bank (except for one species, *Dennstaedtia punctilobula*, that could not be ruled out as a weedy
272 greenhouse recruit), and thus were excluded from the analysis. When multiple species were
273 recorded in a given genus (i.e., *Betula*, *Rubus*, *Carex*, *Juncus*, *Aralia*, and *Viburnum*), species
274 were summed within that genus because they were very similar in physiognomy, successional
275 status, and shade-tolerance (with a possible exception of *Aralia hispida* and *A. nudicaulis*).

276 Initial analyses of block and treatment effects on germination were done using linear
277 mixed models, in which block was considered a random effect and treatment a fixed effect; F-
278 ratios for treatment effects were adjusted for the block term (Gotelli and Ellison 2012: 304).
279 Comparisons of total numbers of seeds germinating in the three treatments were done using a
280 Chi-square test. Kolmogorov-Smirnov tests were used to compare shapes of relative abundance
281 distributions among the three canopy-manipulation treatments. Kendall's coefficient of
282 concordance was used to determine whether the rank-abundance distributions of species in the
283 seed rain differed among the three canopy-manipulation treatments. Pair-wise Chao-Sørensen
284 abundance-based similarities (Chao et al. 2006) were computed among all possible pairings of
285 species compositions of the seed bank, seed rain, herbs, and saplings. The two abundances of
286 any pairwise comparison were considered significantly dissimilar if the bootstrapped 95%
287 confidence interval on the similarity index did not include 1.0.

288 In addition to exploring and analyzing the responses of individual species, we assessed
289 community-level responses using ordination and permutational multivariate analysis of variance
290 (PERMANOVA; Anderson 2001). We first computed a Bray-Curtis dissimilarity matrix from
291 the data consisting of the relative abundance of the 14 most abundant genera in the seed bank,

292 seed rain, herbaceous layer, and saplings from each treatment plot. We then modeled changes in
293 the dissimilarity as a function of regeneration component and canopy treatment (both of which
294 entered as fixed factors) and the continuous covariate was the time since treatment. The block
295 effect entered the PERMANOVA model as a “stratum” that constrained the permutations.
296 Significance tests were based on F-tests from sequential sums-of-squares from 1,000
297 permutations of the raw data.

298 Linear modeling was done with the lme function in the nlme library of the R statistical
299 software, version 2.12.2 (R Development Core Team 2007). Chi-square tests were done with the
300 chisq.test function in R’s stats library, Kolmogorov-Smirnov tests that adjust for ties were done
301 using the ks.boot function in R’s Matching library, and concordance of ranked abundances of
302 seed rain data among treatments was computed with the kendall function in R’s irr library.
303 Computations of Chao-Sørensen similarities and bootstrapped confidence intervals were done
304 using EstimateS version 8.20 (Colwell 2006). Ordinations and PERMANOVA were done,
305 respectively, using the cca and adonis functions in R’s vegan library. For clarity of presentation,
306 only means of the two replicate plots of each treatment are plotted for 2004 and 2010 seed-bank
307 and forest vegetation data and for the seed-rain data; within-treatment standard deviations are
308 included only for time series of herbaceous layer relative abundances. All raw data are available
309 from the Harvard Forest Data Archive (<http://harvardforest.fas.harvard.edu/data/archive.html>),
310 datasets HF-105 (seed-bank and seed-rain data), HF-106 (vegetation including herbs, shrubs, and
311 trees), and HF-126 (canopy tree data).

312

313 **Results**

314 **Composition of the seed rain**

315 Seed rain composition from 2005-2009 was similar among all three treatments (compare
316 graphs at the top of Figs. 2–4; inset of Fig. 5). Although rank abundances shifted among a few of
317 the rarer species among the treatments (Kendall’s $W = 0.848$, d.f. = 5, $p = 0.026$), there were no
318 significant pair-wise differences in the shapes of the relative abundance distributions between
319 treatments (2-sample Kolmogorov-Smirnov test: hemlock control vs. girdled, $D = 0.17$, $p = 1$;
320 hemlock control versus logged, $D = 0.33$, $p = 0.93$; girdled vs. logged, $D = 0.33$, $p = 0.93$), and
321 Chao-Sørensen indices ranged from 0.99 – 1.00. The seed rain consisted primarily of *Betula*
322 species (particularly *B. lenta*, relative abundance range 0.71 – 0.91), with much smaller
323 proportions (0.04 – 0.09) of *T. canadensis* (top graphs in Figs. 2 – 4; inset of Fig. 5). *Pinus*
324 *strobus*, *Q. rubra*, and *A. rubrum* also appeared in multiple seed rain samples, but at very low
325 relative abundances: 0.02 – 0.06, 0.002 – 0.01, and 0.01 – 0.03 respectively. Spikes in seed
326 production by *B. lenta*, reflected in absolute increases in seeds per quarter, occurred in 2006 and
327 2009, coinciding with more modest spikes in the same years by *T. canadensis* (data not shown).
328 The other, much rarer taxa found in the seed rain were: *Swida alternifolia* (L.f.) Small, *Fraxinus*
329 *americana* L., *Nyssa sylvatica* Marsh., *Ostrya virginiana* (P.Mill.) K. Koch, *Polygonatum*
330 *biflorum* (Walter) Elliott, *Rhus hirta* (L.) Sudworth, *Carex* sp., and *Vaccinium* sp.

331 Considering the common canopy tree species in our top 14 taxa, the similarity of the
332 relative abundances of canopy species (2006-2009) to the relative abundances of species in the
333 seed rain over the same period ranged from 0.998 – 1, so the relative abundance of seeds in the
334 seed rain could be considered to be a reasonable proxy for the composition of species in the
335 canopy (see also Table 1). *Quercus alba* and *Q. bicolor* were rare members of the canopy that
336 were not found in the seed rain, seed bank, or forest-floor vegetation. *Fraxinus americana*,

337 *Nyssa sylvatica*, and *Ostrya virginiana* were present in the seed rain (Table 1), but *N. sylvatica*
338 was never found in any of the treatment plots.

339

340 **Composition of the seed bank**

341 Thirty-seven taxa germinated in the seed trays during 2010, of which two immature
342 plants (*Gaultheria* cf. *hispidula* (L.) Muhl. ex Bigelow and *Carex* cf. *ovales*) could only be
343 identified definitively to genus (Fig. 6). Although the total species richness of the seed bank in
344 2010 (37 taxa) was greater than the 30 taxa recorded in 2004, the average per-treatment species
345 richness (24 in the hemlock controls, 21 in the girdled treatment; and 23 in the logged treatment)
346 was nearly identical to those estimated by rarefaction for the pre-treatment control plots (24
347 species) in 2004. Species richness of germinants did not differ significantly among the three
348 treatments in 2010 ($\chi^2 = 0.2$, d.f. = 2, $p = 0.9$).

349 A total of 529 seedlings emerged in the seed-bank trays in 2010; a linear mixed-effects
350 model on log-transformed total germinants (+1) yielded a significant effect of block ($F = 5.01$,
351 d.f. = 1, 56, $p = 0.03$) but no effect of treatment ($F = 2.01$, d.f. = 2, 56, $p = 0.14$). Germination
352 totals were similar between 2010 and 2004 ($\chi^2 = 6.0$, d.f. = 2, $p = 0.19$), with 195 seedlings in the
353 girdled treatment (vs. 162 in 2004), 143 in logged treatment (vs. 147), and 191 in the hemlock
354 control treatment (vs. 138). For the most common 14 genera identified among all of the
355 regeneration input groups, the rank-abundance distributions did not differ significantly between
356 2004 and 2010 in any of the treatments (hemlock controls, $D = 0.21$, $p = 0.69$; girdled treatment,
357 $D = 0.21$, $p = 0.58$; logged treatment, $D = 0.21$, $p = 0.68$). When we compared the composition
358 of the seed bank in 2004 to the composition of the seed bank in 2010 in the control and each of

359 the two canopy manipulation treatments, the seed banks of 2004 and 2010 were most similar in
360 the hemlock control and least similar in the logged treatment (Table 2).

361 Seventeen species were observed in the seed bank in both 2004 and 2010. Of these, 13
362 were comparatively common and abundant throughout all the samples (Fig. 6). Nine “new”
363 species appeared in the 2010 control samples, 8 in the samples from girdled treatment, and 14 in
364 samples from the logged treatment (Table 1). These recent arrivals in the seed bank were
365 infrequent germinants that were also rarely documented from the standing vegetation, with the
366 exceptions of *Phytolacca americana* (detected in one of the two girdled plots), *Rubus*
367 *occidentalis* (in all treatments), and *Viburnum nudum* var. *cassinoides* (in the logged and control
368 treatments).

369 To test our hypothesis that shallow seed bank layers would contribute more substantially
370 to standing vegetation than the deeper layers, we next compared the numbers and types of
371 germinants emerging from the upper 0-10 cm strata (roughly corresponding to the O+A
372 horizons) of each core with those emerging in the lower, 10-20 cm deep stratum (roughly
373 corresponding to the B horizon; Fig. 6). The majority (57%) of the dominant taxa were present
374 in both strata, including *B. lenta*, *Rubus* spp., *Lysimachia quadrifolia* L., *Carex pensylvanica*
375 Lam., and *Juncus tenuis* Willd. However, several other forb and graminoid taxa emerged only
376 from the 10-20 cm layer (Fig. 6), likely reflecting the agricultural past of these ± 70-year-old
377 hemlock stands (Kernan 1980; Bettmann-Kerson 2007). Similar species had germinated from
378 the seed bank in 2004 (Sullivan and Ellison 2006); however, none of these taxa was observed in
379 the standing vegetation of the treatment plots between 2004 and 2010 (Table 1). We also
380 detected no significant differences in the rank-abundance distributions of the most common 14
381 genera between the upper and lower strata (hemlock control, $D = 0.21$, $p = 0.69$; girdled

382 treatment, $D = 0.14$, $p = 0.94$; logged treatment, $D = 0.14$, $p = 0.91$), and Chao-Sørenson
383 similarities ranged from 0.652 (± 0.300 , S.D.) to 0.965 (± 0.057), so we concluded that the upper
384 horizons captured the most important species also found in the lower layer. We thus used data
385 on species composition from the upper stratum in subsequent analyses of relative abundance.
386 Examining the rank abundances of the top 14 genera germinating from the upper horizons, we
387 found no significant differences in the rank-abundance distribution between 2004 and 2010
388 (hemlock controls, $D = 0.43$; $p = 0.42$; girdled treatment, $D = 0.50$, $p = 0.36$; logged treatment, D
389 $= 0.38$, $p = 0.48$). As with the full taxon pool, a linear mixed-effects model yielded a significant
390 effect of block ($F = 7.6$, d.f. = 1, 56, $p = 0.008$) but no effect of treatment ($F = 2.8$, d.f = 2, 56, p
391 $= 0.064$) on total germinants in the upper layer.

392

393 **Composition of the standing vegetation**

394 The hemlock control treatment contained the fewest overall numbers of forest-floor
395 species (21 recorded over the six-year period), approximately half the species found in the
396 girdled treatment (50) and the logged treatment (42) (Table 1). The three treatments did,
397 however, share some species, including *Acer rubrum* seedlings, *Mitchella repens*, *Betula*
398 *papyrifera*, *Quercus rubra*, *Lysimachia borealis*, *Monotropa uniflora*, and several monilophyte
399 species (Table 1). The girdled treatment was most similar in species composition to the logged
400 treatment (Chao-Sørenson Index of similarity on species presence-absence $S = 0.696$), and least
401 similar to the hemlock control treatment ($S = 0.413$); the logged and control treatments shared
402 just under half of the species present ($S = 0.438$).

403

404 **Changes in vegetation composition from 2004 to 2010**

405 Star plots and time-series graphs of the relative abundances of the 14 common taxa in the
406 seed bank, seed rain, herbaceous layer, and sapling cohort illustrate the shifts in forest
407 composition occurring in the control and two canopy manipulation treatments (Figs. 2 – 4).

408 In the intact hemlock stands (Fig. 3), the forest-floor vegetation remained stable through
409 time, and was very similar in 2004 and 2010 (Table 2). *Acer rubrum* predominated, along with
410 slightly increasing proportions of *P. strobus* and occasional seedlings of *T. canadensis* and *Q.*
411 *rubra*. A few *T. canadensis* saplings were observed in 2004 and 2010; no other species were
412 present as saplings (Fig. 3). The vegetation in the control hemlock treatment in 2010 reflected a
413 moderate influence of the seed rain (similarity = 0.43; Table 2), which contained *T. canadensis*
414 seeds (produced by the canopy), as well as *P. strobus*, *A. rubrum*, and *Q. rubra*. Although a
415 large proportion of wind-dispersed *Betula* seeds were present in the seed rain (Fig. 2), the
416 majority joined the seed bank but did not emerge as seedlings in the heavily shaded understory.
417 The seed bank showed little similarity with the understory vegetation (similarity = 0.07; Fig. 2,
418 Table 2); light-demanding genera such as *Carex* and *Rubus*, though present in the seed bank,
419 never appeared under the dense *T. canadensis* canopy in the control treatment.

420 In the girdling treatment, the pre-treatment 2004 understory was composed of *T.*
421 *canadensis* seedlings, *P. strobus*, a lesser proportion of *A. rubrum*, and a small amount of *Q.*
422 *rubra* and *Mitchella repens* L. (Fig. 3). A few *Betula* saplings were also present in 2004 (Fig. 3);
423 the 49 *T. canadensis* saplings initially present in the two treatment plots were killed by girdling.
424 Although the composition of the seed rain recorded in the girdling treatment was very similar to
425 that of the hemlock control (compare Figs. 2 and 3), the proportion of *T. canadensis* seed
426 declined as the canopy trees gradually died. As in the hemlock control, the seed bank in the
427 girdled treatment bore little resemblance to the forest-floor vegetation in 2004 (Fig. 3), and also

428 reflected minimal influence of the seed rain, except for the preponderance of *Betula*. By 2010,
429 however, the seed bank may have contributed to the emergence of a small proportion of *Rubus*
430 species, and was much more similar to the forest-floor composition overall (similarity = 0.75;
431 Table 2, Fig. 3). As the canopy gradually opened, *B. lenta* seedlings became more prominent in
432 the regenerating vegetation as they germinated from the seed bank, joining *P. strobus*, *A.*
433 *rubrum*, and *T. canadensis* seedlings produced by the dying canopy trees. *Betula lenta*
434 comprised the majority of the sapling layer, with a small proportion of *Prunus* spp. recruiting
435 (Fig. 3).

436 The logged treatment showed a dramatic increase in vegetation between 2004 and 2010,
437 reflecting the sudden and nearly complete opening of the canopy (Fig. 4). Recruitment of new
438 species commenced in 2006, one year after the two plots in this treatment had been logged, and
439 accelerated in 2007. Before logging, the understory had comprised the same species as the other
440 two treatment types, dominated by *T. canadensis*, with lesser proportions of *P. strobus*, *A.*
441 *rubrum*, and *Q. rubra*. Seed rain over time, disproportionately dominated by *Betula*, was similar
442 to that observed in the hemlock and girdled treatments, with the exception of a small amount of
443 *Carex* spp. seed arriving in 2007, possibly transported by birds or wind. By 2010, the forest-
444 floor vegetation bore little resemblance to its former 2004 composition (similarity = 0.20; Table
445 2, Fig. 4). The relative abundance distribution of the seed bank became increasingly even from
446 2004 to 2010, and more similar to the regenerating vegetation, as *Rubus*, *Carex*, *Aralia*, and
447 *Lysimachia* became more prevalent over time (Fig. 4). Although *Prunus serotina* Ehrh. var.
448 *serotina* and *T. canadensis* were the only sapling species found in the logged treatment in 2004
449 (Fig. 4), and these persisted after logging, they were quickly joined by an influx of *B. lenta*
450 saplings and some *A. rubrum*. Thus, the 2010 sapling layer was highly dissimilar to the 2004

451 sapling profile (similarity = 0.03; Table 2, Fig. 4). Overall, recruitment of new species,
452 especially forbs and graminoids, took place much more rapidly in the logged treatment than in
453 the girdled treatment.

454

455 **Multivariate analyses**

456 Canonical correspondence analysis (Fig. 5) revealed similar patterns to those observed in
457 Figs. 2 – 4. After 6 years, the seed bank of the girdled and logged treatments showed increased
458 dominance of graminoids and forbs, but was essentially unchanged in the hemlock control
459 treatment. Similarly, vegetation trajectories in girdled and logged treatments moved towards
460 assemblages dominated by forbs, herbs, and early-successional trees. The understory vegetation
461 in the control treatment was similar in 2004 and 2010, but in the intervening years had moved
462 around ordination space because of year-to-year variability in seedling recruitment and mortality.
463 The first two axes of the ordination accounted for 43% of the variance in the data. Permutational
464 multivariate analysis of variance (with permutations constrained by blocks) of these data
465 identified significant differences through time ($p = 0.001$) among regeneration inputs ($p =$
466 0.001), canopy manipulation treatment ($p = 0.001$), and the regeneration input \times canopy
467 treatment interaction ($p = 0.001$) (Table 3).

468

469 **Discussion**

470 We have demonstrated here an approach that can be used to create a conceptual model
471 (Fig. 1) of the responses of a widespread temperate forest type to disturbances such as defoliation
472 irrupting insects, pathogens, or salvage logging. We have shown that reorganization of the herb,
473 shrub, and sapling layers has taken place more slowly in the girdled treatment, exhibiting the

474 gradual die-back typical of adelgid-infested stands, than in the logged treatment, where
475 conditions changed abruptly. Our findings parallel those of other long-term studies of declining
476 hemlock stands (Small et al. 2005; Eschtruth et al. 2006; Spaulding and Rieske 2010), and
477 accord with Kizlinski et al. (2002) and Orwig et al. (2008), who found that logging resulted in
478 faster and denser colonization by *B. lenta* than gradual mortality of the overstory due to the
479 adelgid. Observations from the girdled treatment will continue to provide predictions of the
480 responses of intact hemlock stands as the adelgid begins to infest them in coming years (Yorks et
481 al. 2003; Ellison et al. 2010).

482 Before the onset of treatments in 2005, all hemlock plots, regardless of block, were very
483 similar in species composition (Fig. 5). The canopy and understory both were dominated by *T.*
484 *canadensis* and most other understory species were absent or suppressed. Following treatments,
485 we asked if and how the current vegetation differed between logged and girdled stands. By
486 2010, plots in the girdled and logged treatments were broadly similar in species composition, but
487 differed in two important respects. First, because all seedlings, saplings, and mature hemlocks
488 were girdled, they slowly declined and by 2010, they comprised a negligible part of the
489 vegetation in the girdled treatment. Plots in the logged treatment gained a small number of *T.*
490 *canadensis* recruits, possibly contributed by seed rain from unharvested (< 20 cm diameter) but
491 reproductive trees or from trees just outside the treatment plots. Second, the abundance and
492 species richness of forbs and graminoids increased in the logged treatment quite rapidly,
493 beginning in earnest in 2007 (Figs. 4, 5). In contrast, the slowly declining canopy of hemlocks
494 in the girdled treatment suppressed recruitment of forbs and graminoids; even shrub recruitment
495 was comparatively low, but was accelerating as of 2010 (Figs. 3, 5).

496 Next, we explored whether the initial compositions of the seed bank, seed rain, canopy,
497 and forest-floor vegetation were similar within and among treatments, and observed whether the
498 composition of these regeneration components diverged through time or among treatments. The
499 seed bank composition in 2004 was dominated strongly by *Betula* spp., and bore little similarity
500 to the standing vegetation composition. The composition and richness of the 2010 seed bank
501 remained similar to the 2004 bank (Table 2), with some turnover in rare species and singletons
502 (species represented by only a single seedling). The 2010 seed bank continued to differ in
503 composition from the understory in the hemlock control treatment (Figs. 2, 5). Studies
504 comparing the composition of the seed bank and standing vegetation in intact forests usually find
505 little correspondence, with Sørensen similarities typically < 0.6 (reviewed by Hopfensperger
506 2007), and our data from our hemlock control treatment are no exception (Table 2, Figs. 2, 5).
507 Such disparities have been noted in previous studies of dense-canopy conifer forests dominated
508 by *T. canadensis* (Catovsky and Bazzaz 2000) or other species (e.g., Berger et al. 2004; Eycott et
509 al. 2006).

510 In the logged and girdled treatments, however, the composition of the standing vegetation
511 became more similar over time to the seed banks of 2004 (Table 2) and 2010 (Figs. 3, 4) as
512 more species were able to establish under the open canopy. As disturbances create new
513 opportunities for recruitment from the persistent seed bank, and the changing vegetation
514 contributes increasingly to the seed bank in turn, similarities between the seed bank and the
515 forest-floor vegetation increase, as we observed in the girdled and logged treatments (Figs. 3, 4).
516 The preponderance of *B. lenta* seeds, plus the relative rarity of other taxa, led to higher similarity
517 in the seed banks of all treatments between 2004 and 2010 (Table 2) than inspection of the
518 relative abundance plots might suggest (Figs. 3, 4). Nevertheless, the dissimilarity between 2004

519 and 2010 in the seed banks of the logged treatment was greater than in either the control or
520 girdled treatments (Table 2). This finding was consonant with our predictions, and leads to the
521 further prediction that the future seed bank will continue to diverge in composition from the pre-
522 treatment seed bank. In the long term, the seed bank composition of the girdled treatment should
523 eventually come to resemble that of the logged treatment. Likewise, the more speciose standing
524 vegetation in these two treatments will comprise a greater diversity of life forms than in intact
525 hemlock stands as forbs, shrubs, and graminoids become more important. We also predicted,
526 and observed, that the upper layers of the seed bank (0-10 cm depth) contributed more
527 germinants and showed higher similarity to the regenerating vegetation than the lower depths.

528 A closed hemlock canopy suppresses regeneration from seed rain, whereas newly opened
529 forests are conducive to regeneration from seed rain, especially if a few canopy trees or maturing
530 saplings remain. We predicted, and observed, that similarity between the seed rain and the
531 standing vegetation would increase as the canopy became a less important ecological filter, with
532 the seed rain more strongly influencing the vegetation when a partial canopy remains and woody
533 debris accumulates slowly (as in the girdled treatment). Overall, seed rain remained the
534 predominant factor contributing to regeneration in the girdled treatment, whereas both the seed
535 rain and seed bank contributed recruits in the logged treatment. As the last girdled or adelgid-
536 attacked hemlocks die, it will become more important to understand the composition of both
537 seed rain and seed banks to make predictions about future forest composition. Likewise, we
538 need to better understand the sources of recruiting monilophytes that can become very common
539 in newly opened stands (Yorks et al. 2003); propagules of these species were generally
540 undetected in the seed rain or seed bank.

541 Because we used consistent methods to measure the compositions of the seed bank, seed
542 rain, and standing vegetation among years, our data on these different inputs could be tracked
543 and compared through time to inform a general model of forest regeneration (Fig. 1). We
544 caution that integrated studies such as this one are challenging to undertake and to interpret. The
545 timing and frequency of monitoring of different vegetation pools in the Harvard Forest Hemlock
546 Removal Experiment differed somewhat; for example, seed-rain monitoring did not commence
547 until after treatments were imposed, whereas before-and-after data were available for both the
548 seed bank and the standing vegetation. Standing vegetation was censused annually, but seed
549 bank composition was assessed at a six-year interval – insufficiently frequent to permit
550 development of a path analysis linking inputs and outputs (cf. Caballero et al. 2008). Finally,
551 sampling took place at different spatial scales within treatment plots, from randomly-located
552 small soil cores for seed banks and litter baskets for seed rain, to transects of 1 × 1 m subplots for
553 seedlings and herbaceous vegetation and larger 30 × 30-m subplots for sapling counts. However,
554 these sampling methods were appropriate for yielding accurate estimates of relative abundances
555 and species composition of each vegetation component. Ideally, integrated long-term studies
556 should be tightly coordinated in time and space to permit more rigorous quantitative comparisons
557 and development of path models. Such coordination also will allow for species responses to be
558 more mechanistically related to broader ecosystem responses (Yorks et al. 2003).

559

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566

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- 689

690
 691 **Table 1.** Species found in the seed rain (R), seed bank (B), understory vegetation (V), and
 692 canopy (C) samples (excluding intermediate and suppressed trees in the canopy) within each of
 693 the three canopy manipulation treatments from 2005-2010. Asterisks indicate species that were
 694 detected in the 2004 seed bank samples (Sullivan and Ellison 2006), but not in the 2010 seed
 695 bank samples.

Species	Canopy treatment		
	Hemlock control	Hemlocks girdled	Hemlocks logged
Trees (including seedlings, saplings, and canopy)			
<i>Acer rubrum</i> L.	R,V,C	R,V,C	R, V,C
<i>Betula alleghaniensis</i> Britt.*	C	V	
<i>Betula lenta</i> L.	R,B,C	R,B,V,C	R,B,V,C
<i>Betula papyrifera</i> Marsh.	B,V	B,V,C	V
<i>Carya</i> sp. Nutt.	V,C	V	V
<i>Fraxinus americana</i> L.	R,C		
<i>Fraxinus nigra</i> Marsh.		C	
<i>Nyssa sylvatica</i> Marsh.	R		
<i>Ostrya virginiana</i> (P. Mill.) K. Koch			R
<i>Pinus strobus</i> L.	R,V,C	R,V,C	R,B,V,C
<i>Populus grandidentata</i> Michx.			B
<i>Prunus pennsylvanica</i> L. f. var. <i>pennsylvanica</i>		V	V
<i>Prunus serotina</i> Ehrh. var. <i>serotina</i>	V,C	V	V
<i>Quercus alba</i> L.	C	V,C	V,C
<i>Quercus bicolor</i> Willd.		C	
<i>Quercus rubra</i> L.	V,C	V,C	R,V,C
<i>Quercus velutina</i> Lam.			V
<i>Tsuga canadensis</i> (L.) Carr.	R,B,V,C	R,V,C	R,V,C
Shrubs			
<i>Amelanchier</i> sp. Medik.		V	
<i>Berberis thunbergii</i> DC.		V	
<i>Celastrus orbiculatus</i> Thunb.		V	
<i>Comptonia peregrina</i> (L.) Coult.		B	V
<i>Corylus cornuta</i> Marsh. ssp. <i>cornuta</i>		V	
<i>Crataegus</i> sp. L.		V	V
<i>Diervilla lonicera</i> P. Mill.			V
<i>Gaultheria</i> cf. <i>hispidula</i> (L.) Muhl. ex Bigelow		B	B
<i>Gaultheria procumbens</i> L.*		V	V

Species	Canopy treatment		
	Hemlock control	Hemlocks girdled	Hemlocks logged
<i>Ilex mucronata</i> (L.) M. Powell, Savol. & S. Andrews			V
<i>Ilex verticillata</i> (L.) Gray		V	V
<i>Mitchella repens</i> L.	V	V	B,V
<i>Myrica gale</i> L.			V
<i>Rhododendron periclymenoides</i> (Michx.) Shinnery		V	
<i>Rhus copallinum</i> L. var. <i>latifolia</i> Engl.		V	V
<i>Rhus hirta</i> (L.) Sudworth	R		
<i>Rubus allegheniensis</i> Porter	B	V	B,V
<i>Rubus flagellaris</i> Willd.	B	B,V	B,V
<i>Rubus hispidus</i> L.	B	B,V	B,V
<i>Rubus idaeus</i> L. ssp. <i>idaeus</i>		V	V
<i>Rubus occidentalis</i> L.	B	B,V	B,V
<i>Sambucus racemosa</i> L.		V	V
<i>Sassafras albidum</i> (Nutt.) Nees			V
<i>Sorbus americana</i> Marsh.		V	
<i>Swida alternifolia</i> (L. f.) Small	R	R	
<i>Vaccinium angustifolium</i> Ait.*		V	R,V
<i>Vaccinium corymbosum</i> L.			V
<i>Viburnum nudum</i> L. var. <i>cassinoides</i> (Torr.) A. Gray	B	V	B
<i>Vitis</i> sp. L.		V	V
Forbs			
<i>Aralia hispida</i> Vent.		V	B,V
<i>Aralia nudicaulis</i> L.	V		
<i>Chimaphila maculata</i> (L.) Pursh		V	
<i>Coptis trifolia</i> (L.) Salisb.		V	
<i>Epigaea repens</i> L.		V	
<i>Erichtites hieracifolius</i> (L.) Raf. ex DC. (<i>s.l.</i>)		V	V
<i>Eurybia</i> cf. <i>divaricata</i>	B	B	B
<i>Euthamia graminifolia</i> (L.) Nutt.			B
<i>Goodyera tessellata</i> Lodd.		V	
<i>Hypopitys monotropa</i> Crantz	V	V	
<i>Lobelia inflata</i> L.	B		
<i>Lysimachia borealis</i> (Raf.) U Manns & A. Anderb.	V	V	V
<i>Lysimachia quadrifolia</i> L.		B,V	B,V
<i>Maianthemum canadense</i> Desf.	B,V		
<i>Medeola virginiana</i> L.	V	V	
<i>Mollugo verticillata</i> L.		B	B
<i>Monotropa uniflora</i> L.	V	V	V

Species	Canopy treatment		
	Hemlock control	Hemlocks girdled	Hemlocks logged
<i>Phytolacca americana</i> L. var. <i>americana</i>		B,V	
<i>Polygonatum biflorum</i> (Walter) Elliott	R		
<i>Pyrola</i> sp. L.		V	
<i>Solidago</i> sp. L.		V	
<i>Taraxacum officinale</i> G. H. Weber ex Wiggers			B
<i>Trifolium repens</i> L.			B
<i>Trillium erectum</i> L.	V		
<i>Viola labradorica</i> Schrank	B		
<i>Viola sororia</i> Willd. var. <i>novae angliae</i> Duchesne	B	B	B
Graminoids			
<i>Agrostis hyemalis</i> (Walt.) B. S. P.	B		
<i>Brachyeletrum erectum</i> (Schreb.) Beauv.			V
<i>Carex</i> cf. <i>ovales</i> group	B	B	R,B,V
<i>Carex debilis</i> Michx. var. <i>rudgei</i> Bailey	B	B	
<i>Carex deweyana</i> Schweinitz		B	
<i>Carex laxiflora</i> L.	B		B
<i>Carex pensylvanica</i> Lam.	B	B,V	B,V
<i>Dichanthelium acuminatum</i> (Sw. Gould) C. A. Clark var. <i>fasciculatum</i> (Torr.) Freckmann	B		B
<i>Dichanthelium clandestinum</i> (L.) Gould	B		
<i>Digitaria ischaemum</i> (Schreb) Schreb ex Muhl.	B	B	B
<i>Juncus brevicaudatus</i> (Engelm). Fern	B		
<i>Juncus tenuis</i> Willd	B	B	B
<i>Scirpus cyperinus</i> (L.) Kunth		B	
Monilophytes and Lycophytes			
<i>Dendrocyopodium obscurum</i> (L.) A. Haines	V	V	V
<i>Dennstaedtia punctilobula</i> (Michx.) T. Moore	V	V	V
<i>Dryopteris carthusiana</i> (Vill.) H.P. Fuchs	V	V	V
<i>Dryopteris intermedia</i> (Muhl. ex Willd.) Gray		V	V
<i>Huperzia lucidula</i> (Michx.) Trevisan	V		
<i>Osmundastrum cinnamomeum</i> (L.) C. Presl	V	V	
<i>Polypodium virginianum</i> L.	V		
<i>Polystichum acrostichoides</i> (Michx.) Schott	V		

696

697

698 **Table 2.** Pair-wise similarities of seed bank composition (upper 10 cm stratum) in 2004 and
 699 2010, forest-floor vegetation and saplings in 2004 and 2010, seed rain from 2005 to 2009. Seed
 700 bank and understory vegetation data were averaged across samples within treatment plots, and
 701 seed rain was summed across years within treatment plots so as to avoid pseudoreplication and
 702 achieve an appropriate Type I statistical error rate (Gotelli and Ellison 2012). Values are Chao-
 703 Sørensen abundance-based similarities (Chao et al. 2006) for the given pair. Values in bold are
 704 significantly different from 1.00; pairs were significantly dissimilar at the $\alpha = 0.05$ level based
 705 on computation of bootstrapped 95% confidence intervals.

706

Hemlock control treatment			
	Seed bank 2010	Understory 2010	Saplings 2010
Seed rain 2005-2009	0.76	0.43	0.32
Seed bank 2004	0.94	0.07	0.00
Understory 2004	0.42	1.00	0.14
Saplings 2004	0.13	0.24	0.97
Girdled treatment			
	Seed bank 2010	Understory 2010	Saplings 2010
Seed rain 2005-2009	0.46	0.93	0.91
Seed bank 2004	0.93	0.75	0.59
Understory 2004	0.08	0.78	0.00
Saplings 2004	0.07	0.88	0.07
Logged treatment			
	Seed bank 2010	Understory 2010	Saplings 2010
Seed rain 2005-2009	0.77	0.63	0.98
Seed bank 2004	0.86	0.67	0.87
Understory 2004	0.05	0.20	0.25
Saplings 2004	0.00	0.06	0.03

707

708

709 **Table 3.** Summary table of the results of the permutational multivariate analysis of variance
 710 (PERMANOVA) with permutations constrained by block. This analysis models community-
 711 level responses (as a Bray-Curtis dissimilarity matrix) of the 14 most abundant genera in the seed
 712 bank, seed rain, herbaceous layer, and saplings in each treatment. Regeneration component is
 713 one of seed bank, seed rain, understory, or saplings; canopy manipulation is one of girdled,
 714 logged, or hemlock control; year is one of {2004, 2005, 2006, 2007, 2008, 2009, 2010}; the
 715 block effect constrains the permutations in the PERMANOVA by entering the model as a
 716 “stratum.”
 717

Parameter	df	SS	MS	F (model)	r^2	$P (> F)$
Regeneration component	3	8.1	2.70	20.66	0.32	0.001
Canopy manipulation treatment	2	1.9	0.96	7.36	0.08	0.001
Year	1	1.6	1.60	12.22	0.06	0.001
Regeneration component × treatment	6	2.7	0.46	3.49	0.11	0.001
Residuals	83	10.9	0.13		0.43	
Total	95	25.2				

718

719

720 **Figure legends**

721 Fig. 1. Conceptual model illustrating how seed banks (including spores and other propagules),
722 seed rain (also including spores and other propagules), and advance regeneration contribute to
723 plant species abundance in a recovering forest stand over time. Middle panel shows temporal
724 trends in relative abundance of species colonizing the forest floor following canopy
725 manipulations in 2005; the different line types illustrate relative abundances of different taxa.
726 Diagonal white parallelograms on the left show inputs; shaded parallelograms on the right show
727 outcomes.

728

729 Fig. 2. Composition and relative abundances of the seed rain, seed banks in 2004 and 2010,
730 understory layers and sapling layers in 2004 and 2010, and dynamics of forest understory species
731 between 2005 and 2009 in the hemlock control treatment. Star plots depict relative abundances
732 of the 14 most common genera in 2004 and 2010; seed rain relative abundances are summed
733 over 2005 to 2009. Taxa are color-coded by genus and life form (trees in greens, shrubs in
734 oranges, forbs in blues, and graminoids in reds); see color wheel legend at upper left. For the
735 purposes of visualizing rare taxa clearly, all relative abundances were square-root-transformed
736 prior to plotting; note that this transformation disproportionately magnifies the relative
737 abundance of rare species. The scale bar at upper left indicates the length of a radius
738 corresponding to 100% composition of a given species (relative abundance = 1). Note that no
739 graminoids appeared in the understory between 2005 and 2009. Graphs in the center illustrate
740 mean relative abundances (± 1 S.D.) of the 14 most common genera in the two replicate plots
741 within each treatment. Although all treatment plots were censused yearly at approximately the
742 same time, the mean points are shown here slightly offset to allow the points and error bars to be

743 distinguished. Taxa are grouped by life form (trees, shrubs, forbs, and graminoids), and color
744 coding is as shown in the color-wheel legend.

745

746 Fig. 3. Composition and relative abundances of the seed rain, seed banks in 2004 and 2010,
747 understory layers and sapling layers in 2004 and 2010, and dynamics of forest understory species
748 between 2005 and 2009 in the girdled treatment. Species codes, scales, and legends are as in
749 Fig. 1.

750

751 Fig. 4. Composition and relative abundances of the seed rain, seed banks in 2004 and 2010,
752 understory layers and sapling layers in 2004 and 2010, and dynamics of forest understory species
753 between 2005 and 2009 in the logged treatment. Species codes, scales, and legends are as in Fig.
754 1.

755

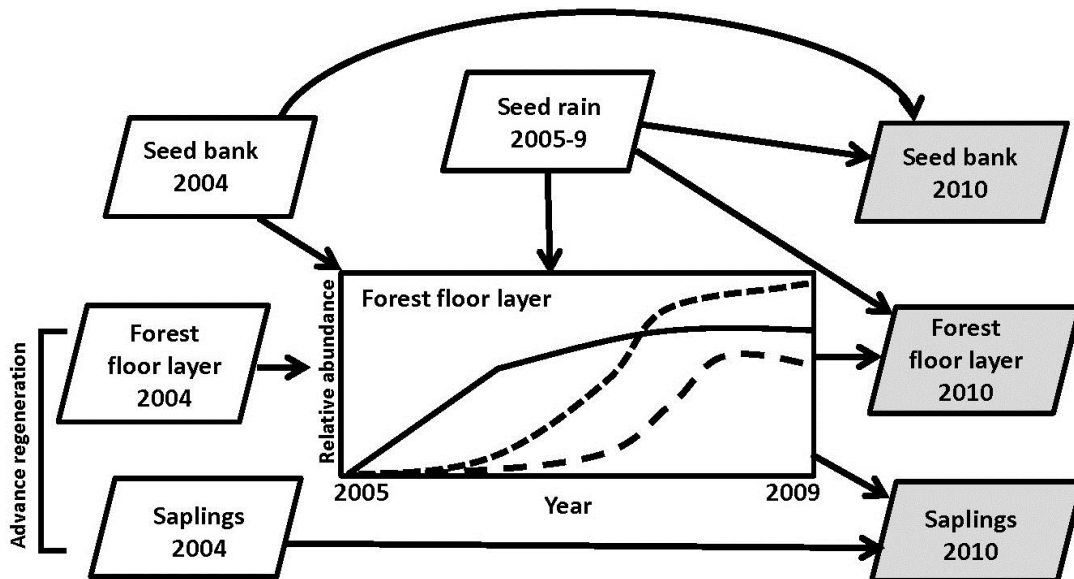
756 Fig. 5. Ordination bi-plot of the changes in the seed bank (▼:2004 versus 2010) and trajectories
757 of the seed rain (□), understory vegetation (▲), and saplings (●) (2004-2010; replicates pooled
758 across blocks). The different colors represent the different treatments: blue – hemlock control;
759 dark yellow – girdled treatment; red – logged treatment, and the start and end of each trajectory
760 are identified. Dotted lines indicate seed dynamics (seed rain, seed bank) and solid lines indicate
761 standing vegetation. The inset plot expands the lower right corner of the main bi-plot to more
762 clearly show the trajectories of the saplings and seed rain, which otherwise overlap extensively
763 in the main bi-plot. Species whose loadings are > 0.1 are shown along the x - and y -axes.

764

765 Fig. 6. Mean numbers of germinating seedlings (± 1 S.D.) in the upper (0-10 cm depth) and
766 lower (10-20 cm depth) soil strata in the 2010 seed bank trial, pooled across all treatment types
767 and replicates. Means on left show the plants emerging from the upper 10 cm of the core; those
768 on the right show those emerging from the 10-20 cm depth. Taxa are grouped by trees (greens),
769 shrubs (oranges), forbs (blues), and graminoids (reds) and ordered within groups from most to
770 least abundant in the upper stratum. Asterisks indicate taxa that were identified in both the 2004
771 and 2010 seed banks.
772

773

774 Fig. 1



775

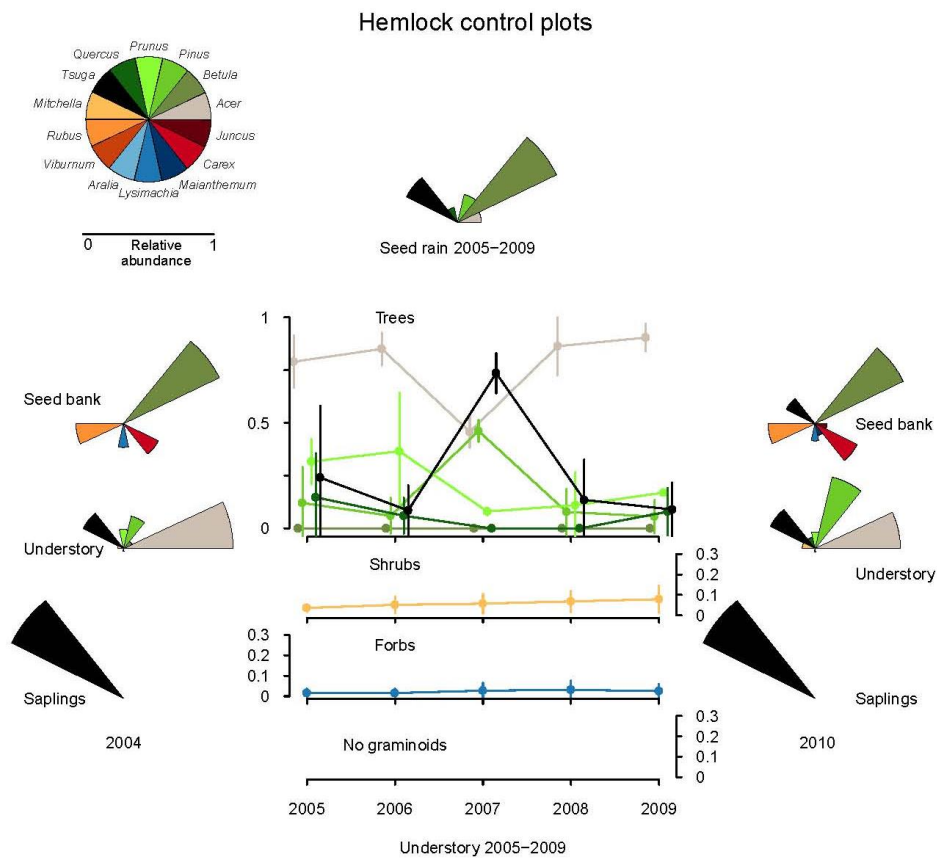
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780 Fig. 2

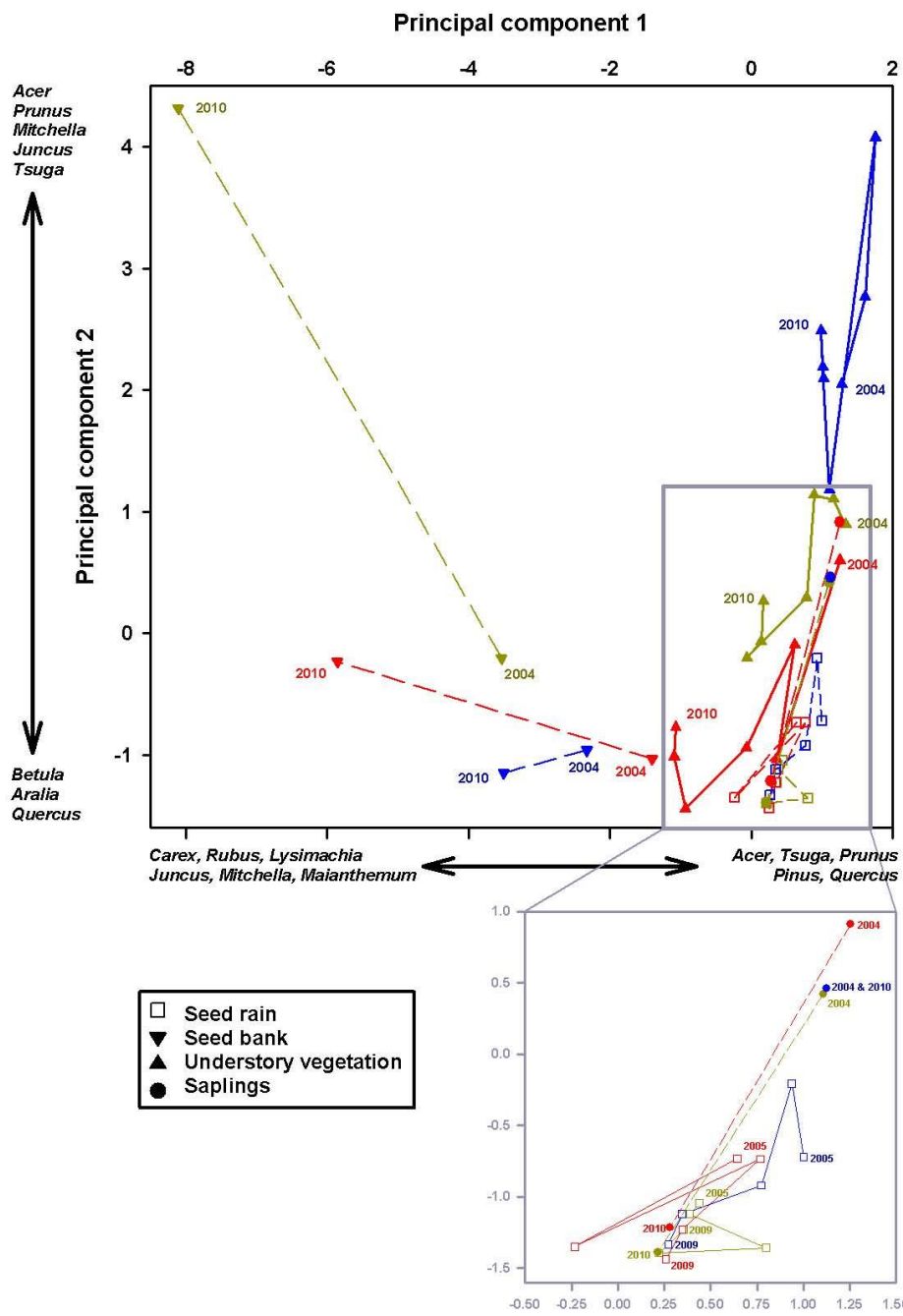


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795 Fig. 5

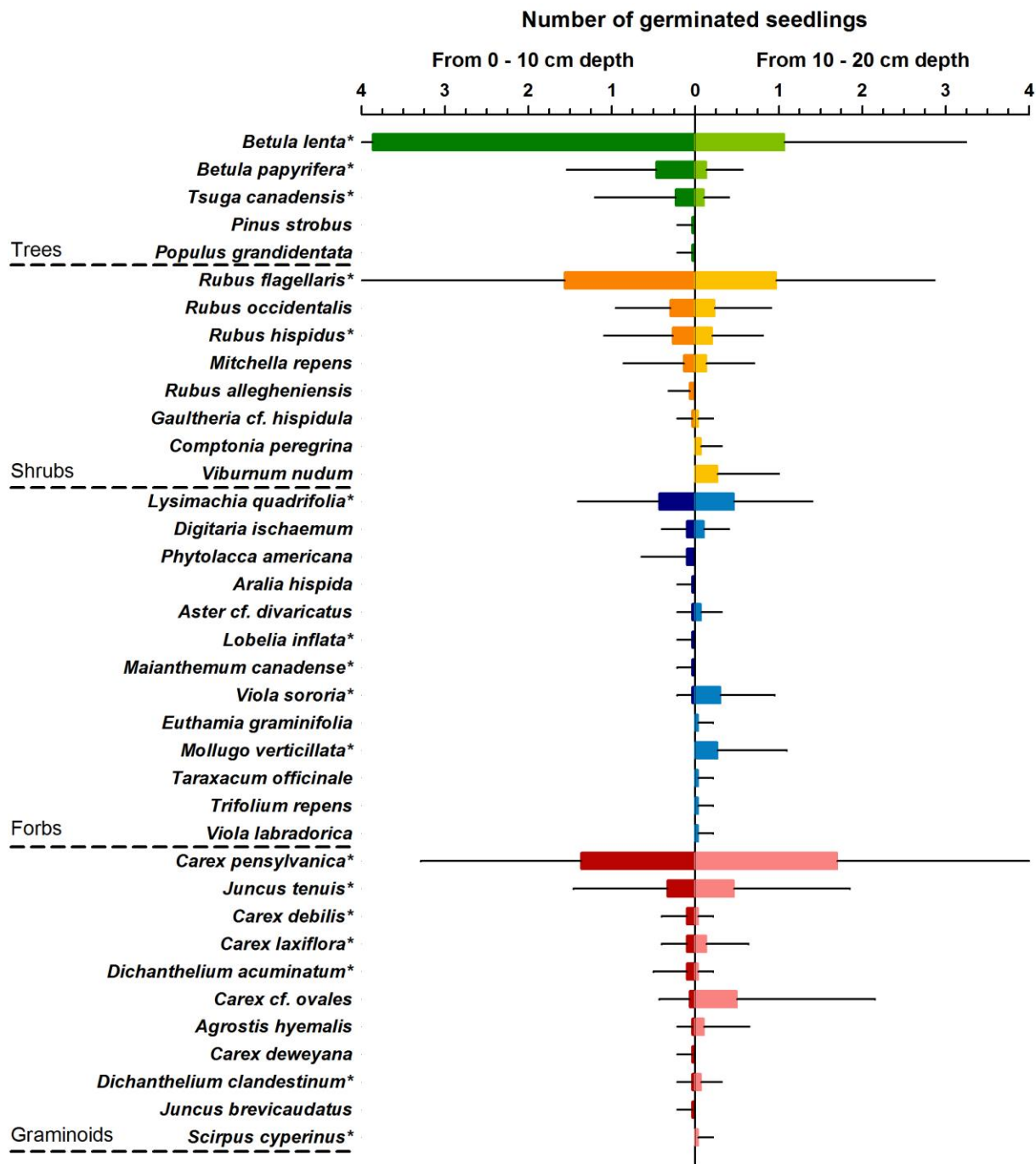


796

797

798 Fig. 6

799



800