



DIGITAL ACCESS TO SCHOLARSHIP AT HARVARD

Atomic Layer Deposition of Tin Oxide with Nitric Oxide as an Oxidant Gas

The Harvard community has made this article openly available.
[Please share](#) how this access benefits you. Your story matters.

Citation	Heo, Jaeyeong, Sang Bok Kim, and Roy Gerald Gordon. 2012. Atomic layer deposition of tin oxide with nitric oxide as an oxidant gas. <i>Journal of Materials Chemistry</i> 22(11): 4599-4602.
Published Version	doi:10.1039/C2JM16557K
Accessed	February 19, 2015 10:53:39 AM EST
Citable Link	http://nrs.harvard.edu/urn-3:HUL.InstRepos:10021582
Terms of Use	This article was downloaded from Harvard University's DASH repository, and is made available under the terms and conditions applicable to Other Posted Material, as set forth at http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA

(Article begins on next page)

Atomic Layer Deposition of Tin Oxide with Nitric Oxide as an Oxidant Gas†

Jaeyeong Heo,^a Sang Bok Kim^a and Roy G. Gordon^{a,*}

Received 12th December 2011, Accepted 16th January 2012

DOI: 10.1039/c2jm16557k

Atomic layer deposition (ALD) of tin oxide (SnO₂) thin films was achieved using a cyclic amide of Sn(II) (1,3-bis(1,1-dimethylethyl)-4,5-dimethyl-(4R,5R)-1,3,2-diazastannolidin-2-ylidene) as a tin precursor and nitric oxide (NO) as an oxidant gas. Film properties as a function of growth temperature from 130–250 °C were studied. Highly conducting SnO₂ films were obtained at 200–250 °C with the growth per cycle of ~1.4 Å/cycle, while insulating films were grown at temperatures lower than 200 °C. Conformal growth of SnO₂ in holes of aspect-ratios up to ~50:1 was successfully demonstrated.

Introduction

Tin oxide (SnO₂) is an n-type semiconductor with wide band gap of ~3.6 eV that shows high conductivity when doped with fluorine.^{1, 2} The combination of high transparency, infrared reflectivity, and conductivity has led to applications of SnO₂ such as energy-conserving windows for buildings,³ gas sensors,^{4, 5} and transparent electrodes/conductors in thin-film solar cells.^{6–8} Recently, there has been a need for making transparent conducting oxides on various nanostructures with high surface areas such as solar cells. High light absorption is enabled by nanostructures, which provides a large surface area to increase the conversion efficiency of the cells.^{6–11}

The authors recently reported a successful growth of SnO₂ by atomic layer deposition (ALD) at 60–250 °C by using a newly synthesized cyclic amide of Sn(II) (1,3-bis(1,1-dimethylethyl)-4,5-dimethyl-(4R,5R)-1,3,2-diazastannolidin-2-ylidene) and 50 wt.% hydrogen peroxide (H₂O₂).¹² The growth per cycle was as high as 1.8 Å/cycle and the lowest resistivity of ~2 × 10⁻² ohm-cm was obtained at a growth temperature of 120 °C. In order to get conformal growth of SnO₂ on structures with high aspect-ratios, the growth temperature needed to be lowered to ~60 °C to extend the lifetime of H₂O₂ molecules diffusing inside narrow features. The highest conformality of this approach, however, was only ~80% in a hole with aspect-ratio of ~50:1 (diameter ~200 nm). Also, it was found that the overexposure of H₂O₂ on growing SnO₂ surface increases the film's resistivity. Given the nonuniform profile of H₂O₂ concentration along the holes due to its decomposition, uniform resistivity along the structure cannot be guaranteed,¹² similar to films grown by plasma-assisted ALD.¹³ The usage of thermally sensitive H₂O₂ will be more

problematic when SnO₂ should be formed on nm-sized porous features of large surface area, where extremely long exposure time is needed to infiltrate highly torturous template structures.^{6, 14–18} Therefore, it is important to find an alternative oxidant gas that shows high reactivity toward the Sn(II) precursor without premature decomposition while making films highly conducting and transparent.

In this communication, we report that nitric oxide (NO) can be used as an effective oxidant gas with this Sn(II) precursor. No previous reports have been found for the usage of NO as an oxidant gas for ALD. Nitric oxide shows high reactivity toward the Sn(II) precursor and the growth per cycle was as high as ~1.4 Å/cycle at 250 °C. Conformal growth of conducting SnO₂ was achieved in holes with aspect-ratios up to ~50:1. This ALD SnO₂ process is expected to be widely useful for coating nanostructures with high surface areas and extremely narrow or complex features.

Experimental

SnO₂ thin films were deposited using a custom-built hot-wall ALD reactor. A stop-flow ALD injection scheme where the pulse step is divided into fill and hold was employed to obtain highly conformal SnO₂ films.¹⁹ Detailed operation procedure as well as the information on the Sn(II) precursor can be found in our previous report.¹² The tin precursor was held in a bubbler at 40 °C, which generated a vapor pressure of 0.42 Torr. Nitric oxide (Airgas) was used as an oxidant gas for the Sn(II) precursor. Nitric oxide was first filled into a trap volume (2.1 mL) and subsequently delivered to the reaction chamber, which enables the precise control over the dosage. The pressure of NO in the reaction chamber was set to be ~2 Torr and hold time was set to be 30s to facilitate its reaction with the Sn precursor and to allow deep penetration into a high-aspect-ratio structure. The purge time after Sn precursor and oxidant pulses was set to be 45s to completely remove unreacted species to prevent reaction in vapor phase. Growth temperature was changed from 130 to 250 °C based on a thermal decomposition result for the Sn precursor (Fig. S1†).

The film thickness, surface roughness, and density were evaluated by using X-ray reflectivity (XRR, PANalytical, X'Pert Pro) with commercial software (X'Pert Reflectivity ver. 1.1). A single-wavelength ellipsometry (LSE-W, Gaertner Scientific) was

used to obtain the refractive index of the films. The electrical resistivity, carrier type, carrier concentration, and mobility were determined by van der Pauw measurements of the Hall effect. The compositions of the films deposited on a glassy carbon substrate (Alfa Aesar) were measured by *ex-situ* Rutherford backscattering spectroscopy (RBS). The thermal decomposition of the precursor was tested by using X-ray fluorescence (XRF, Spectro, Xepos III). The microstructure of the films was evaluated by glancing-angle X-ray diffraction (GAXRD, $\omega = 0.4^\circ$, PANalytical, X'Pert Pro) and high-resolution transmission electron microscopy (HRTEM, JEOL, JEM-2100). A TEM grid with a supporting ~ 50 nm-thick silicon nitride membrane window (Ted Pella) was used as a substrate. Conformality of SnO₂ films on a hole structure of aspect-ratio of $\sim 50:1$ was tested by using field-emission scanning electron microscopy (FESEM, Zeiss, Ultra 55). Optical properties of the film were measured by a UV-Vis spectrophotometer (Hitachi, U-4100).

Results and discussion

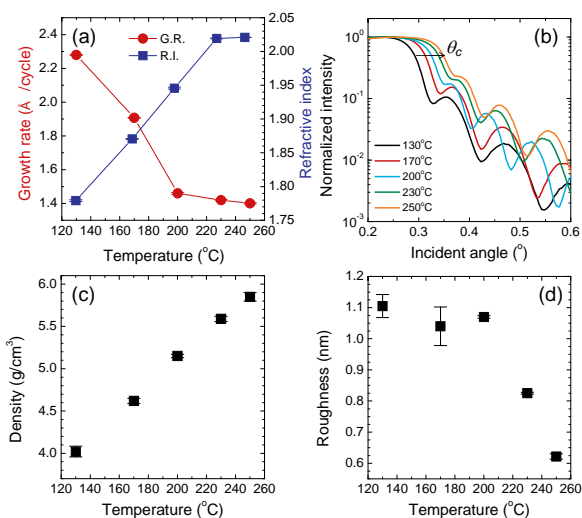


Fig. 1 (a) Growth per cycle and refractive index as a function of growth temperature. (b) Normalized X-ray reflectivity spectra for SnO₂ films grown at 130-250 °C. The critical angle (θ_c) increases with increasing growth temperature. (c) The simulated film densities and (d) surface roughness of the films.

The reactivity between the cyclic Sn(II) precursor and NO was studied as a function of growth temperature and the growth per cycle and refractive index are shown in Fig. 1a. From the growth per cycle curve the growth behavior can be divided mainly into two regions, below and above 230 °C. The growth per cycle is as high as ~ 2.3 Å/cycle at 130 °C and decreases sharply with increasing growth temperature up to 200 °C. Also, the refractive index in this low-temperature region is much lower (1.77-1.95) than the reported value for ideal SnO₂ (~ 2),¹⁷ suggesting the growth of low-density films at low temperatures. It appears that although the reaction between the Sn(II) precursor and NO occurs at low growth temperature (<230 °C), the resulting film density is lower than bulk SnO₂. For the films grown at 130 and 170 °C, a longer purge time of 90s was tested to check for a possible CVD reaction, but a similar growth per cycle was obtained. When the growth temperature was increased to 230-250 °C, the bulk

refractive index of ~ 2 is observed with the saturated growth per cycle of ~ 1.4 Å/cycle. This value of ~ 2 is even higher than the SnO₂ film (~ 1.95) grown by the combination of Sn(II) precursor and H₂O₂.¹² When a SnO₂ film was grown at 280 °C, we noted a small increase in refractive index (~ 2.05), which may be due to some incorporation of a SnO-rich phase ($n = 2.4$)¹⁷ coming from thermally decomposed Sn atoms (Fig. S1†).

The high reactivity of NO as an oxidant for ALD was suggested by Won et al., who observed complete monolayer growth of ZrO₂ (~ 1.8 Å/cycle) using a N₂O plasma.²⁰ The authors claimed that NO groups generated by the N₂O plasma are responsible for the enhanced growth per cycle based on an in-depth X-ray photoelectron spectroscopy study.

The film density was estimated by using XRR analyses on SnO₂ films grown at 130-250 °C on glass substrates. Here, all film thicknesses are 30-35 nm. Fig. 1b shows the normalized reflectivity spectra and it is seen that a critical angle (θ_c), where the intensity of reflected X-ray is at half maximum,^{15,21} increases with the growth temperature. This result confirms a gradual increase in film density with the growth temperature. The simulated film densities are summarized in Fig. 1c. When the growth temperature is 130 °C, the film density is ~ 4.02 g/cm³ and it increases up to ~ 5.85 g/cm³ for 250°C-grown SnO₂. The bulk density of SnO₂ is known to be 6.95-6.99 g/cm³ and the highest density of ~ 5.85 g/cm³ corresponds to $\sim 84\%$ of the bulk value.²² Interestingly, film shrinkage was observed when e-beam was irradiated on the films grown at 130 and 170 °C for SEM analysis, which is consistent with their low film densities. The growth per cycle calculated after film shrinkage of these films was ~ 1.5 Å/cycle which is close to ~ 1.4 Å/cycle for the films grown at 200-250 °C. Densification of the growing films is accelerated at higher growth temperature. Lower film roughness was also observed for films grown at higher temperatures (230 and 250 °C) as shown in Fig. 1d.

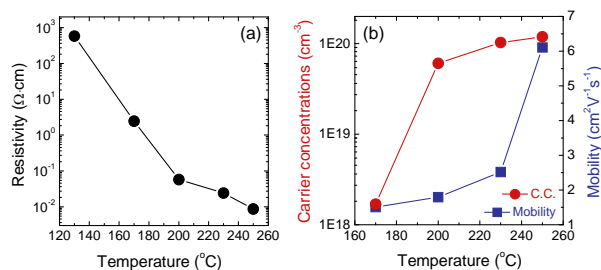


Fig. 2 (a) Electrical resistivity change as a function of growth temperature. (b) Carrier concentration and mobility obtained from the Hall measurements.

Electrical properties of SnO₂ films grown at different growth temperatures were accessed by Hall measurements. The plot of Fig. 2a shows that resistivity drastically decreases with increasing growth temperature from 130 to 200 °C. As suggested by refractive index (Fig. 1a) and density (Fig. 1c), high resistivity of the low-temperature films may come from their low density. Then, resistivity slowly drops further at above 200 °C. The film with the highest growth temperature of 250 °C has the lowest resistivity of $\sim 8 \times 10^{-3}$ ohm cm. The measured mobility and carrier concentration are summarized in Fig. 2b. Due to its high resistivity, the mobility and carrier concentration could not be

determined for the film grown at 130 °C. The increase in carrier concentration was responsible for about two orders of magnitude decrease in resistivity from 170 to 200 °C. The carrier

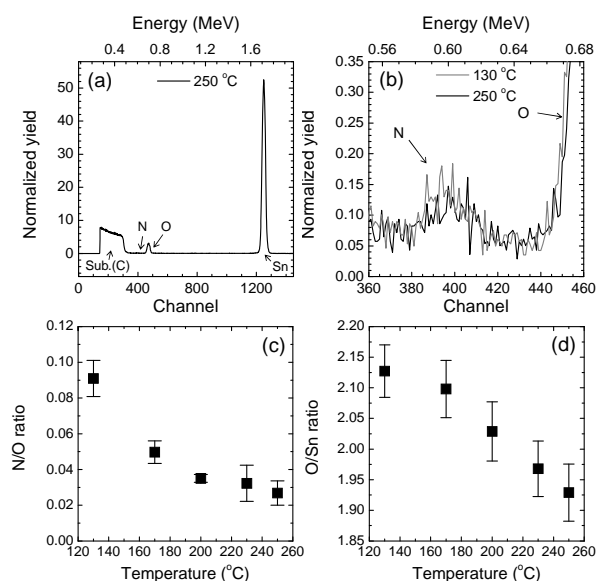


Fig. 3 (a) A representative RBS spectrum for a SnO₂ film grown on a carbon substrate at 250 °C. (b) The magnified spectra of the nitrogen region for SnO₂ films grown at 130 (gray) and 250 (black) °C. (c) The measured N/O and (d) O/Sn ratios. The error bars represent maximum and minimum values from simulations.

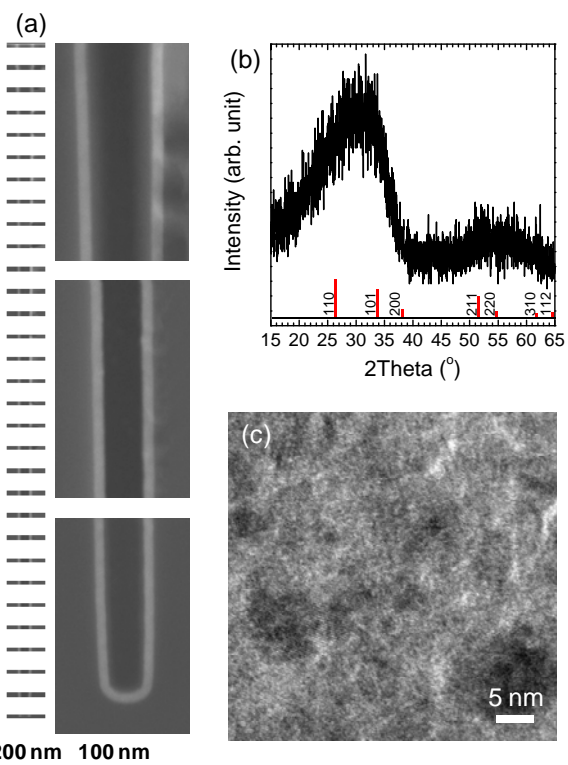


Fig. 4 (a) Cross-sectional SEM image of a hole with aspect-ratio ~50:1 coated by SnO₂ at 250 °C and magnified views of the top, middle, and bottom of the coated hole. (b) GAXRD spectrum for ~60nm-thick SnO₂ grown at 250 °C on a glass substrate. The bars at the bottom of the figure present the peak positions of SnO₂ rutile phase with their relative intensities (JCPDS #41-1445). (c) High resolution plan-view TEM image of a SnO₂ film grown on a silicon nitride membrane at 250 °C.

concentration of SnO₂ films grown at above 200 °C exhibits a saturated value of $\sim 1-2 \times 10^{20} \text{ cm}^{-3}$. The further drop in resistivity from 230 to 250 °C came mainly from the increase in mobility from 2.5 to 6.1 $\text{cm}^2 \text{ V}^{-1} \text{ s}^{-1}$.

The composition of SnO₂ the films was analyzed by RBS and a representative spectrum for a SnO₂ film grown at 250 °C is shown in Fig. 3a. In addition to tin and oxygen signals from the film, a weak nitrogen signal was also observed. Fig. 3b shows the magnified nitrogen signal of Fig. 3a. Here, a RBS spectrum from the film grown at 130 °C was also shown for reference (gray). The atomic ratio of nitrogen to oxygen was found to decrease from ~9 to ~2.7 % as the growth temperature increased from 130 to 250 °C, as shown in Fig. 3c. No carbon was detected in the RBS signal just above carbon in the substrate, although a percent of carbon in the film might not be observable above the ~1% ¹³C in the substrate. The nitrogen is more likely to come from the NO gas than from the ligand of the precursor, because otherwise a large amount of carbon would accompany the nitrogen. At least for the films grown at high temperature (200-250 °C) it appears that this nitrogen does not trap free carriers based on the high carrier concentrations ($\sim 1-2 \times 10^{20} \text{ cm}^{-3}$). The O/Sn ratio decreases from 2.13 to 1.93 as the growth temperature increases from 130 to 250 °C, as shown in Fig. 3d. The RBS analysis shows that the film becomes closer to stoichiometric SnO₂ as the growth temperature is increased up to 250 °C.

Fig. 4a shows the cross-sectional SEM images of the SnO₂ film grown at 250 °C on holes of aspect-ratio of ~50:1. Completely conformal growth of conducting SnO₂ was achieved. When 50 wt.% H₂O₂ was used, the decomposition of the oxidant led to the limited conformality of SnO₂ on holes with the same structure.¹² From the GAXRD analysis on ~60 nm-thick SnO₂ film grown at 250 °C on a glass substrate as shown in Fig. 4b, slightly crystallized rutile SnO₂ (JCPDS #41-1445) was identified as evidenced by broad peaks centered at ~30 and ~54°. The high resolution plan-view TEM image of Fig. 4c also confirms its nano-crystallinity. Here, the thickness of the films was ~35 nm and the growth temperature was 250 °C. Compared to the SnO₂ film grown at 120 °C by 50 wt.% H₂O₂, a less crystallized SnO₂ film was obtained.¹² This lower crystallinity might be due to the small amount of nitrogen in the film, which may inhibit crystal growth.

Optical properties of a SnO₂ film grown on a quartz substrate at 250 °C were evaluated (Fig. S2[†]). The average transmission in the visible region from 400 to 700 nm was 87.2%, which is comparable to other reports for ALD-grown SnO₂.^{12, 17} The estimated band gap of the SnO₂ film was 4.23-4.27 eV.

Conclusion

In summary, conducting SnO₂ films were formed using a newly synthesized cyclic amide of Sn(II) as a precursor and nitric oxide as an oxidant gas. It was found that growth temperature plays a crucial role on determining film's overall properties. Growth per cycle of ~1.4 Å/cycle was found at growth temperature of 200-250 °C with the lowest resistivity of $\sim 8 \times 10^{-3} \text{ ohm cm}$ and mobility over 6 $\text{cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ at 250 °C. The density of the film increased with growth temperature. The SnO₂ films were nano-crystalline. The average transmission for the visible range was as high as 87.2% for a film ~110 nm-thick with sheet resistance

~780 ohms per square. Conformal growth of SnO₂ in holes of aspect-ratios up to ~50:1 was successfully demonstrated.

Acknowledgement

This work was performed in part at the Center for Nanoscale Systems (CNS) at Harvard University, a member of the National Nanotechnology Infrastructure Network (NNIN), which is supported by the National Science Foundation under NSF award no. ECS-0335765. The authors acknowledge the assistance of Dr. David C. Bell (CNS) and Dr. Seung-Min Chung (currently at Samsung) for TEM observation.

References

^a Department of Chemistry and Chemical Biology, Harvard University, Cambridge, Massachusetts 02138 USA Fax: +1-617-495-4723; Tel: +1-617-496-5393; E-mail: gordon@chemistry.harvard.edu

[†] Electronic Supplementary Information (ESI) available: Growth temperature and optical transmission. See DOI: 10.1039/c2jm16557k /

1. R. G. Gordon, *MRS Bull.*, 2000, **25**, 52-57.
2. T. Minami, *Semicond. Sci. Technol.*, 2005, **20**, S35-S44.
3. R. G. Gordon, *J. Non-Crystalline Solids*, 1997, **218**, 81-91.
4. X. Du, Y. Du and S. M. George, *J. Phys. Chem. A*, 2008, **112**, 9211-9219.
5. A. Rosental, A. Tarre, A. Gerst, J. Sundqvist, A. Håsta, A. Aidla, J. Aarik, V. Sammelselg and T. Uustare, *Sens. Actuators B*, 2003, **93**, 552-555.
6. A. B. F. Martinson, J. W. Elam, J. Liu, M. J. Pellin, T. J. Marks and J. T. Hupp, *Nano Lett.*, 2008, **8**, 2862-2866.
7. J. B. K. Tennakone, P.K.M. Bandaranayake, G.R.A. Kumara and A. Konno, *Jpn. J. Appl. Phys.*, 2001, **40**, L732.
8. A. Delabie, J. Swerts, S. V. Elshocht, S.-H. Jung, P. I. Raisanen, M. E. Givens, E. J. Shero, J. Peeters, V. Machkaoutsan and J. W. Maes, *J. Electrochem. Soc.*, 2011, **158**, D259-D263.
9. E. J. W. Crossland, M. Kamperman, M. Nedelcu, C. Ducati, U. Wiesner, D. M. Smilgies, G. E. S. Toombes, M. A. Hillmyer, S. Ludwigs, U. Steiner and H. J. Snaith, *Nano Lett.*, 2008, **9**, 2807-2812.
10. C.-Y. Cho and J. H. Moon, *Adv. Mater.*, 2011, **23**, 2971-2975.
11. S. Gubbala, V. Chakrapani, V. Kumar and M. K. Sunkara, *Adv. Funct. Mater.*, 2008, **18**, 2411-2418.
12. J. Heo, A. S. Hock and R. G. Gordon, *Chem. Mater.*, 2010, **22**, 4964-4973.
13. H. B. Profijt, S. E. Potts, M. C. M. van de Sanden and W. M. M. Kessels, *J. Vac. Sci. Technol. A*, 2011, **29**, 050801.
14. S. O. Kucheyev, J. Biener, T. F. Baumann, Y. M. Wang, A. V. Hamza, Z. Li, D. K. Lee and R. G. Gordon, *Langmuir*, 2008, **24**, 943-948.
15. J. Heo, D. Eom, S. Y. Lee, S.-J. Won, S. Park, C. S. Hwang and H. J. Kim, *Chem. Mater.*, 2009, **21**, 4006-4011.
16. J. W. Elam, J. A. Libera, T. H. Huynh, H. Feng and M. J. Pellin, *J. Phys. Chem. C*, 2010, **114**, 17286-17292.
17. J. W. Elam, D. A. Baker, A. J. Hryn, A. B. F. Martinson, M. J. Pellin and J. T. Hupp, *J. Vac. Sci. Technol. A*, 2008, **26**, 244-252.
18. J. W. Elam, D. A. Baker, A. B. F. Martinson, M. J. Pellin and J. T. Hupp, *J. Phys. Chem. C*, 2008, **112**, 1938-1945.
19. S. K. Karuturi, L. Liu, L. T. Su, Y. Zhao, H. J. Fan, X. Ge, S. He and A. T. I. Yoong, *J. Phys. Chem. C*, 2010, **114**, 14843-14848.
20. S.-J. Won, J.-Y. Kim, G.-J. Choi, J. Heo, C. S. Hwang and H. J. Kim, *Chem. Mater.*, 2009, **21**, 4374-4379.
21. J. M. Jensen, A. B. Oelkers, R. Toivola, D. C. Johnson, J. W. Elam and S. M. George, *Chem. Mater.*, 2002, **14**, 2276-2282.
22. M. Batzill and U. Diebold, *Prog. Surf. Sci.*, 2005, **79**, 47-154.

[Supporting Information]

Atomic Layer Deposition of Tin Oxide with Nitric Oxide as an Oxidant Gas

Jaeyeong Heo,^a Sang Bok Kim^a and Roy G. Gordon^{a,*}

*Department of Chemistry and Chemical Biology, Harvard University,
Cambridge, Massachusetts 02138 USA*

E-mail: gordon@chemistry.harvard.edu

Growth temperature. The thermal stability of the Sn(II) precursor has not been investigated in detail since the early study in combination with 50 wt.% H₂O₂ was focused on low temperature growth lower than 200 °C.¹ In order to determine the starting temperature of precursor decomposition, growth conditions without any NO pulse were repeated at three different substrate temperatures of 250, 280, and 310 °C. Fig. S1 shows XRF spectra for these samples where characteristic Sn L_{α1} (3.443 keV) and L_{β1} (3.662 keV) peaks are monitored. The background spectrum as a control was collected on a SiO₂/Si substrate. It is clearly seen from the Fig. S1 that the spectrum for

250 °C is almost overlapped with the standard spectrum; however the one for 280 °C shows small increase in intensity for both peaks, which is a sign of partial decomposition of the precursor. When the temperature is further increased up to 310 °C, the spectrum obviously shows more intense tin peaks. Based on these observations, it appears that this Sn(II) precursor is stable up to 250 °C and its decomposition starts at ~280 °C. If not mentioned otherwise, the film growth and characterization were done on films grown at temperatures of 250 °C or less.

Optical transmission. Fig. S2a shows the optical transmission and reflection spectra of a ~110 nm-thick SnO₂ film deposited at 250 °C on a quartz substrate. The average transmission in the visible region from 400 to 700 nm was 87.2%, which is comparable to other reports for ALD-grown SnO₂.^{1, 2} The Fig. S2b shows a plot appropriate for a direct transition of $(\alpha hv)^2$ versus photon energy, where α is the absorption coefficient and hv is the incidence photon energy. Linear behavior is found at photon energies over 4.2 eV. The estimated band gap of the SnO₂ film was 4.23-4.27 eV. This band gap is wider than the value ~3.6 observed for undoped SnO₂.^{3, 4} This widening is known as the Burstein-Moss shift, which is due to the high carrier concentration in the conduction band.⁵

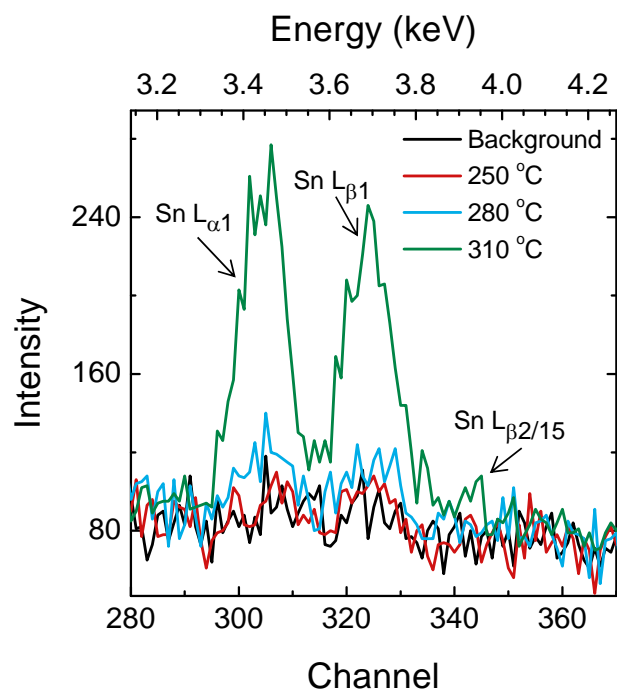


Fig. S1 XRF spectra for samples deposited at various temperatures. The background spectrum was obtained from a SiO₂/Si substrate. Three samples were exposed to Sn precursor vapor (250 cycles) without any oxidant pulse. Increases in the peak intensities of Sn L lines are noted for the 280 and 310 °C samples, which suggests thermal decomposition of the Sn(II) precursor.

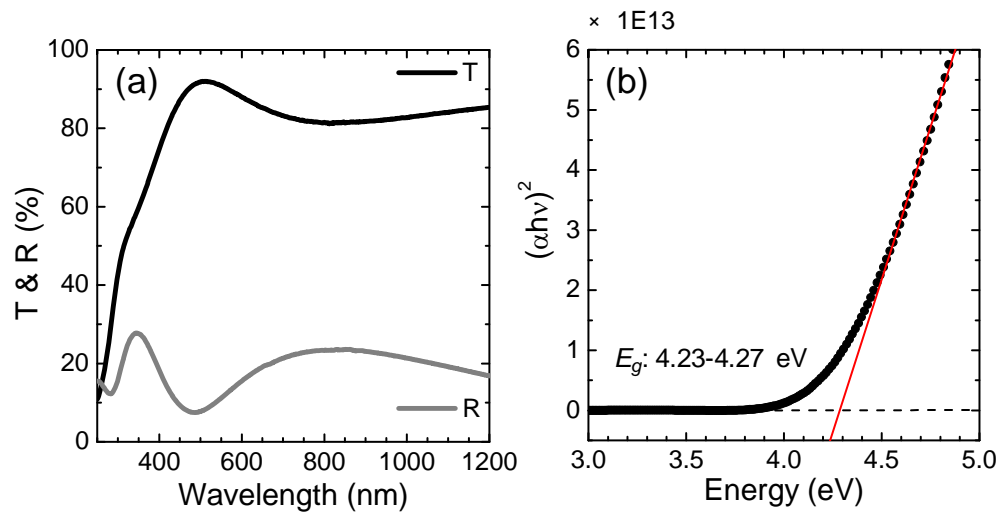


Fig. S2 (a) Transmission and reflection spectra for ~110nm-thick SnO₂ film grown at 250 °C. (b) Corresponding direct band gap plot.

References

1. J. Heo, A. S. Hock and R. G. Gordon, *Chem. Mater.*, 2010, **22**, 4964-4973.
2. J. W. Elam, D. A. Baker, A. J. Hryn, A. B. F. Martinson, M. J. Pellin and J. T. Hupp, *J. Vac. Sci. Technol. A*, 2008, **26**, 244-252.
3. R. G. Gordon, *MRS Bull.*, 2000, **25**, 52-57.
4. T. Minami, *Semicond. Sci. Technol.*, 2005, **20**, S35-S44.
5. M. Batzill and U. Diebold, *Prog. Surf. Sci.*, 2005, **79**, 47-154.