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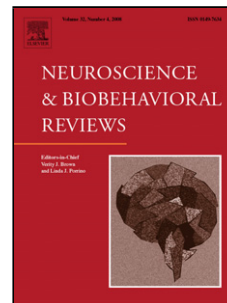
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11 **The free-energy self: A predictive coding account of self-recognition**
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Abstract

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2 Recognising and representing one's self as distinct from others is a fundamental component of self-
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4 awareness. However, current theories of self-recognition are not embedded within global theories
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6 of cortical function and therefore fail to provide a compelling explanation of how the self is
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8 processed. We present a theoretical account of the neural and computational basis of self-
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10 recognition that is embedded within the free-energy account of cortical function. In this account
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12 one's body is processed in a Bayesian manner as the most likely to be "me". Such probabilistic
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14 representation arises through the integration of information from hierarchically organised unimodal
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16 systems in higher-level multimodal areas. This information takes the form of bottom-up "surprise"
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18 signals from unimodal sensory systems that are explained away by top-down processes that
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20 minimise the level of surprise across the brain. We present evidence that this theoretical perspective
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22 may account for the findings of psychological and neuroimaging investigations into self-recognition
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24 and particularly evidence that representations of the self are malleable, rather than fixed as previous
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26 accounts of self-recognition might suggest.
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34 **Keywords:** Self-recognition, self-awareness, voice recognition, face recognition, body ownership,
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36 Bayesian, free energy, predictive coding, prediction error, rubber hand illusion, enfacement.
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Highlights

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- Self-recognition underpinned by Bayesian prediction and prediction error signals
 - Illusory ownership of others' bodies underpinned by multisensory explaining away.
 - Self-recognition is plastic and malleable during multisensory input
 - Self processed by multimodal-unimodal interactions in non-self-specific regions
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1. Introduction

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2 The awareness of one's self and the concepts used to depict it are steeped in intellectual and
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4 scientific history. The ability to recognise one's own physical features in a mirror, or know that a
5
6 voice is one's own is key for our self-awareness (Gallup, 1970), and also for our ability to
7
8 communicate effectively with others (Bertenthal and Fischer, 1978). Such abilities are purportedly
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10 possessed by only a small selection of primate species, including humans (Reiss and Marino, 2001;
11
12 Suarez and Gallup, 1981), and they are considered as behavioural markers of self-awareness. The
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14 question of what, if anything, makes the "self" special has led to a plethora of different research
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16 projects and hypotheses in psychological sciences and cognitive neuroscience (Devue and Bredart,
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18 2011; Feinberg and Keenan, 2005; Gillihan and Farah, 2005; Legrand and Ruby, 2009). Despite
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20 extensive discourse in the literature, there has been a failure to reach a consensus across -or to large
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22 extent within- disciplines as to how the brain self-recognises. As a result there is also an absence of a
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24 theoretical framework which produces hypotheses which can be tested experimentally using
25
26 neuroscientific methods. Despite the absence of a theoretical framework, attempts have been made
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28 to examine the neural mechanisms which underpin self-recognition (Legrand and Ruby, 2009). Such
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30 investigations have highlighted how many different areas, from primary unimodal sensory areas, to
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32 high-level multimodal association cortices are engaged when recognising one's self compared to the
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34 features of another (Devue and Bredart, 2011; Platek et al., 2008). However, recent reviews of this
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36 literature have concluded that the absence of a unifying theoretical framework has resulted in a
37
38 largely incoherent picture of the circuits and mechanisms which are engaged during self-recognition.
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40 Recently several reviews of the literature have noted the importance that efference copy (copies of
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42 multimodal sensori-motor commands which cause predictions across the brain about incoming
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44 sensory input) has in "self-processing", although not specifically in self-recognition (Legrand and
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46 Ruby, 2009). Specifically they argue that there are no self-specific networks in the brain, but that
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48 self-awareness and self-recognition result from the integration of motor efference (copies of the of
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1 motor commands which generate predictions of the multisensory consequences of an action) with
2 reafference (the actual sensory consequences of an action). Alternative accounts have suggested
3 that it is the integration of interoceptive efference and reafference that create the sense of a self or
4 a self “presence” (Seth et al., 2011). Such accounts provide a useful insight into how self-specific
5 information processing may arise in the brain, without the involvement of circuits that are
6 specialised for processing “self-information”. However, they do not deal with the more low-level and
7 basic concept of how the brain processes an incoming visual, auditory, somatosensory, or
8 interoceptive sensory input as “me” and how such input participates in the recognition of different
9 aspects of one’s physical self, such as one’s face, body and its movement, or voice. In addition, many
10 of the accounts of self-processing distinguish self information as special and therefore purportedly
11 phenomenologically unique. As a result, it has been particularly difficult to embed theories of self-
12 recognition and self-processing within theories of cortical function. Despite the aforementioned
13 limitations, the salience of “self-processing” in human cognition and the wide network of areas that
14 reported to be engaged during self-recognition, necessitates that theories of self-recognition are
15 integrated within broad theories of cortical function.

16 In this paper we attempt to highlight how the free-energy principle, a recent attempt at a unifying
17 theory of the brain, can explain many previous findings in self-recognition research (Friston, 2009).
18 Within this framework we argue that self-recognition arises as a result of the brain’s attempts to
19 minimize the amount of free-energy (or ‘surprise’) in sensory systems in order to be in states where
20 the environment is highly predictable. We outline how any aspect of the bodily self (e.g the physical
21 features of a face or one’s voice etc.), may be recognised as one’s own through the optimisation of
22 predictions about the sensory consequences of events occurring in the environment. Such
23 optimisation occurs through the dynamic updating of Bayesian sensory predictions, when there is a
24 discrepancy between a predicted sensory outcome and an actual sensory event (Clark, in press).

25 Such discrepancies are referred to as prediction errors. Like previous accounts of self-awareness, we

1 place importance on the processing of discrepancies between predicted sensory states and actual
2 sensory states (re-afference). However, by employing the free-energy principle as our conceptual
3 and mathematical toolbox, we suggest that recognising one's physical form goes beyond integrating
4 sensori-motor efference and reafference. Recognition of one's self will arise when predictions in the
5 visual or auditory system about upcoming sensory input are congruent with other body related
6 sensory information that includes, but is not exclusive to, predictions made based on corollary
7 discharge (for a description see below). Recognition of one's self will therefore arise through the
8 integration of sensory information creating multimodal representations of the self. Recently, it has
9 been suggested that important metapsychological processes such as self-awareness can be
10 explained within a free-energy framework (Fotopoulou, 2012). Here, we explain how this principle
11 may also be able to account for empirical studies investigating self-recognition, which act as
12 important behavioural markers of self-awareness.

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29 The theory presented here is embedded within the Bayesian theoretical and mathematical
30 framework of the free-energy principle. Within this article we will not provide a full treatment of the
31 mathematics of free-energy, as eloquent and thorough accounts have been provided elsewhere
32 (Friston, 2005; Friston, 2008a; Friston, 2009; Friston and Kiebel, 2009b). However, a description of
33 the theory is pertinent for our aims and thus the earlier sections of this paper will provide an outline
34 of the free-energy principle as a global theoretical account of cortical function. In later sections we
35 will then outline what predictions this theory's many components make about how the brain might
36 self-recognise. We will then discuss the extent to which this theory can account for the findings of
37 Psychological and Neuroscientific investigations of self-recognition.

51 **2. The Free-Energy Principle**

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54 The free-energy principle states that biological agents resist a natural tendency towards disorder in a
55 constantly changing environment (Friston, 2005). The phenotype of an organism defines the extent
56 of the physiological and sensory states that an agent can be in and therefore the boundaries of what
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1 states that an organism can occupy. There is therefore a high probability that an agent (and its brain)
2 will be in a small set of states and a low probability that it will be in a larger set of states. The often
3 used example is that of a fish. A fish will have a very low probability of being on land, but a high
4 probability of being in water. A fish on land is therefore in a very surprising and unlikely state.
5
6 Mathematically speaking, the brain (as the organ within an agent that evaluates information about
7 the external and internal milieu and resists disorder) must have a low level of *entropy* (Entropy being
8 the surprise averaged over all events encountered) (Friston, 2005). To do this the brain only needs to
9 minimize surprise associated with the current event by making predictions about what sensorial
10 consequences will be evoked by events in the environment. Predictions are updated and optimised
11 continuously over time in order that a low level of entropy is maintained across the brain. In the
12 long-term, this means that the brain as a whole minimises the average of surprise in all sensory
13 systems, learning how best to model and predict incoming sensory input. Additionally, it means that
14 short-term phasic surprises ('prediction errors'), which are processed locally at each node of each
15 sensory system, are avoided by actions that minimise surprise.
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36 What role does free-energy play and how can agents minimise and avoid surprise? Free-energy acts
37 as the upper bound on the level of surprise, which necessitates that surprise is minimised in two
38 ways (Friston, 2010b; Friston et al., 2012c). Firstly, agents can act upon the environment to alter the
39 incoming sensory events, sampling the environment in a manner that minimises prediction errors.
40 That is agents will perform actions with predictable consequences that are confirmatory of
41 expectations across the sensory systems. In turn, this minimises surprise across the brain in the long-
42 term, as actions with surprising sensory outcomes are avoided and consequently the prediction
43 errors evoked in each node in each sensory system are low. Secondly, prediction errors can cause
44 agents to update estimates about the causes of the sensory events in a Bayesian manner (Clark, in
45 press; Friston, 2005), in order that more optimal inferences about the actual causes of sensory
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1 events can be made. Prior to any event, expectations are made based on representations of the
2 probability of a sensory event occurring. These predictions are represented as a probability
3 distribution, which are coded for by the internal states of the brain prior to an event (i.e. the activity
4 of neurons and the strength of synaptic connections). When there is a sensory event which is
5 discrepant from the expected input, the prediction errors coded for by neurons in sensory systems
6 cause an update of the prior expectations dynamically, to give posterior probabilistic
7 representations. The updating of posterior probabilities or beliefs pertains not just to predicted
8 states of the world but also to contingencies between sensory events that determine how states
9 evolve. In neurobiologically plausible implementations of free energy minimisation (predictive
10 coding) this leads to a distinction between perceptual inference - in which the activity of population
11 of neurons that encode posterior beliefs about the states of the world minimise prediction error -
12 while changes in connection strengths or synaptic efficacy change over a slower timescale, to learn
13 associations and statistical relationships, which serve to minimise the average level of prediction
14 error over time. This leads to a distinction between perceptual inference and perceptual learning
15 that we will return to below

16 Thus, short-term inferences about the actual sensory causes of events, and therefore putatively the
17 content of conscious perception, will be made based on these posterior probabilities (Friston, 2005).
18 In turn, updating the estimates of the priors of the causes of a sensory event, modifies future
19 expectations (i.e., perceptual learning), such that similar sensory events in the future are predicted
20 (Friston, 2012a; Friston and Kiebel, 2009a; Friston, 2008b). The brain is therefore processing
21 dynamically shifting generative models of what is causing incoming sensory events, based on
22 probabilistic predictions about how likely something is to have happened and what the likely causes
23 are. In essence this means that a surprising sensory event, causes short-term phasic prediction
24 errors, which are avoided by actions with minimal predicted surprise, and by changes in the

1 representations (i.e., the probability distribution) of what was likely to have caused the sensory
2 input. In addition, future incidences of similar sensory events become less surprising as they are
3 represented as more probable and therefore more predictable as a result of the previous surprise
4 they evoked. In summary, the free-energy principle states that the brain has the overarching
5 functional property of minimising surprise by (i) optimising probabilistic representations at local
6 nodes in a network as a result of prediction errors and (ii) performing actions that have predictable
7 consequences in order to avoid prediction errors.
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10 **3. Hierarchical Predictive Codes.**

11 An important aspect of the free energy principle is that it makes assumptions about the organisation
12 of sensory systems and also about the flow of information in these systems (Friston, 2008a; Friston
13 and Kiebel, 2009b). These assumptions can be summarised within a “predictive coding” model, a
14 framework that can be used to explain the architecture of sensory processing (Clark, in press; Lee
15 and Mumford, 2003; Rao and Ballard, 1999). Previous accounts have discussed how visual, auditory
16 and interoceptive sensory signals may be explained by predictive codes (Gagnepain et al., 2012; Rao
17 and Ballard, 1999; Rauss et al., 2011; Seth et al., 2011; Summerfield and Egner, 2009; Wacongne et
18 al., 2012; Winkler et al., 2012), but none have related these directly to the experience of how self-
19 stimuli are recognised.
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22 Predictive coding argues for complimentary hierarchical top-down and bottom-up processes, which
23 are distinguished by the nature of the information that they process. Bottom-up information flowing
24 through the hierarchy reflects the impact of a sensory event, i.e. prediction errors. Top-down
25 information flowing through the hierarchy is in the in the form of predictions about the sensory
26 consequences of events. At the top of the hierarchies are multisensory areas that will process
27 abstract, supramodal representations of sensory input. Predictive coding also argues that such
28 information will be processed by two separate classes of neurons; representational units, which
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1 process probabilistic representations (or predictions) about upcoming sensory input and error units,
2 which code prediction errors when there is a divergence between expected and actual sensory
3 events (Clark, in press; Friston, 2005). Within each level of the hierarchy, there is a considerable
4 exchange of information between the representational and error units, such that surprising events
5 elicit a large, early response and locally update the prior probabilistic representations (i.e., they
6 create a *posterior* probabilistic representation). In addition to the local exchange, any unexplained
7 surprise in the error units is projected up the hierarchy to the representational units in the next
8 level. This causes surprising events to evoke prediction errors that flow up the hierarchy. However,
9 representational units dynamically update prior predictions and project these down the hierarchy.
10 As such, representational units “explain away” error in the immediately preceding level of the
11 hierarchy. As this dynamic process is bounded by minimising free-energy, the system iteratively and
12 rapidly minimises surprise (or prediction errors) in sensory systems by updating probability
13 distributions in the generative model, until the most probable cause of a sensory event is inferred.
14
15 In summary, predictive coding suggests that probabilistic representations act as a top-down
16 influence on expectations explaining away bottom-up prediction errors. The inferred cause of a
17 sensory event will be the posterior probability distribution when error has been minimised. At this
18 point it should be explicitly stated that the aim of this paper is not to discuss the validity or evidence
19 supporting the free-energy principle and predictive coding as overarching, unifying theories of the
20 brain. There is evidence in support of its claims (Brown and Friston, 2012; Friston, 2010a, b, 2012a;
21 Friston et al., 2012a; Friston and Ao, 2012; Friston et al., 2012b; Friston et al., 2012c; Friston et al.,
22 2010), although the theory is still in its infancy and therefore is neither largely supported or refuted
23 as yet (Clark, in press). In addition, there is considerable debate within the literature about whether
24 predictive coding models need to be bound by free-energy and even whether predictive coding
25 models need to operate within Bayesian principles (Clark, in press; Friston, 2012b). However, the
26 purpose of this article is to outline whether, and how, free-energy might explain self-recognition.

1 The aim of this discussion is therefore to determine how free-energy and predictive coding might
2 provide a useful theoretical framework of self-recognition that can account for existing results but
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4 also generate testable empirical hypotheses.
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10 11 **4. Free-energy Self-recognition.** 12

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14 The assumptions of the free-energy principle have implications for the neural and psychological
15 processes that might underpin self-recognition. In this section we wish to highlight how its
16 assumptions lead to several predictions about the mechanisms that will underpin self-recognition. At
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18 this point we will provide an overview of how the model could explain self-recognition in an abstract
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Perhaps the most important aspect of the free-energy principle is that sensory information is
processed probabilistically, with prior predictions and posterior inferences made based on Bayesian
optimised probabilities (Friston, 2005). Specifically, the Free-energy principle is underpinned by an
empirical Bayesian framework. In Bayes theorem, the level of evidence about the true state of the
world is expressed in terms of the level of belief, or the probability, in the occurrence of an event.
The level of belief is a function of the prior probability distribution (the probabilistic level of
uncertainty in the prediction of a sensory event) and the likelihood (the probability that the event
actually occurred given the evidence). In empirical Bayes, the posterior probability, which is the
result of the updating that occurs following sampling (i.e. a sensory event), reflects the degree of
belief in the current model of the world. In turn, the posterior probability becomes the prior
distribution the next time an event is sampled. Thus, the belief an agent has about what caused a
sensory event is a conditional, probabilistic estimation of what happened and what was predicted.

1 It follows that the mental representation of the physical properties of one's self are therefore also
2 probabilistic. That is, one's own body is the one which has the highest probability of being "me" as
3 other objects are probabilistically less likely to evoke the same sensory inputs. This information can
4 be considered as highly abstract with respect to the low-level properties of the stimuli and can only
5 be represented as "self" when different streams of multisensory information are integrated. That is,
6 the self-face will only be recognised as "self" when a visual stimulus has been processed
7 hierarchically for its low level visual properties, its configural features and then it's identity. The self-
8 face will therefore be represented as an abstract, supramodal representation of visual input e.g. this
9 is a face, that I have seen before, that I am familiar with, and that is associated with congruent
10 corollary discharge, vestibular, somatosensory and interoceptive information when seen on a
11 reflective surface.
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27 In predictive coding accounts, abstract information is encoded in terms of posterior beliefs at high
28 levels of a hierarchical model; i.e., probability distributions of abstract supramodal events (Clark et al
29 In Press). In hierarchical models, beliefs at intermediate levels of the hierarchy are referred to as
30 empirical priors because they are constrained and (plastically) optimised by both top-down and
31 bottom-up influences - in other words, they are prior beliefs that are sensitive to empirical sensory
32 evidence. High level empirical priors are essentially the same as low level empirical priors but
33 generally represent abstract multimodal beliefs about states of the world that change slowly over
34 time. Such beliefs are learned through associations being formed between congruent, low-level
35 sensory events from different systems, that over time result in one event having a high probability of
36 predicting another sensory event (Ballard et al., 1997; Friston, 2008b). However, to produce these
37 parallel (multimodal) predictions, there must be a high level representation (of self) that elaborates
38 descending predictions to multiple unimodal systems.
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56 This has implications for self-recognition processes, as it highlights how sensory events in one
57 system can become associated with events in another and therefore how abstract representations
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1 of one's body may be formed. To illustrate, visually observed touch on the skin that is temporally
2 congruent with touch detected by the somatosensory system will become associated with each
3 other, resulting in a prediction of a somatosensory event when contact to the skin is about to occur.
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5 In contrast, touch between two other non-corporeal objects will never evoke a somatosensory
6 event, and thus the prior probability of a somatosensory event following touch on such objects is
7 very low. So one's own body is probabilistically likely to become and be the object that touch is
8 predicted to be experienced upon. The visual properties of different body parts will also be
9 perceptually learned such that when any object approaches the body, a somatosensory event will be
10 predicted. Thus, perceptual learning within the free-energy and predictive coding frameworks leads
11 to generative models where aspects of one's body are processed as probabilistically the most likely
12 object (or collection of objects) that when touched, moved, threatened, or acted upon in any way,
13 evokes events in the other sensory systems that detect the state of the body. In short, the notion
14 that there is a "self" is the most parsimonious and accurate explanation for sensory inputs. In
15 mathematical terms, this parsimonious accuracy is exactly the quantity that is optimised when
16 minimising free energy or prediction error
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19 To illustrate, we use the example of recognising one's self in the mirror. At the ontogenetic level,
20 self-recognition in the mirror poses two challenges. First comes the challenge of matching the
21 sensorimotor experience of the body with the sensorimotor behaviour of the reflected image. The
22 second challenge relates to how a mental representation of facial and bodily appearance is acquired
23 in the first place. Given that the infant cannot have a priori knowledge of their appearance, the
24 infant encountering a mirror for the first time must succeed in matching their sensorimotor
25 experience with the observed sensorimotor behavior of the object seen inside the mirror. This
26 matching between felt and observed sensorimotor signals will lead to the formation of a mental
27 representation of visual appearance (i.e., "that is my body reflected in the mirror; therefore that is
28 what I look like"). This process of self-identification allows successful performance in the classic
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1 'rouge' task of mirror self-recognition, in which infants are exposed to their mirror reflection and
2 their response to a spot of rouge covertly applied to their nose is registered (e.g., they might
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4 respond by touching their own nose; see (Brooksgunn and Lewis, 1984). When looking in a mirror
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6 there are several surprising features that need to be explained away. First is the spatially surprising
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8 nature of reflective surfaces, as the agent perceives the visual (sensory) consequences of bodily
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10 movements in an allocentric frame of reference. Second is the temporally surprising nature of the
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12 event as there is an object (i.e. a body) which moves in a temporally congruent manner to the
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14 corollary discharge of the agent. In this setting, corollary discharge is no more, or less, than any
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16 other descending prediction other than that it produces movement by containing some
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18 proprioceptive and kinaesthetic components. Third, is the surprise that the body seen in the mirror
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20 has a specific visual form. How can these surprises be explained away? The surprises evoked during
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22 mirror exposure will be explained away in multisensory areas that integrate visual information with
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24 corollary discharge, updating the probability that actions will result in movement of that body in the
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26 mirror. This will explain away the visual surprise. In turn, perceptual learning will lead to the visual
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28 features of one's body being processed as a highly likely input when one looks in a reflective surface.
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30 Thus, the viewing of an agent's own actions in a mirror (including arm movements, facial expressions
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32 etc.) will lead to optimised high level empirical priors about one's body, which will in turn modulate
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34 expectations in the visual system about the expected visual consequences of one's own actions. The
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36 agent will also therefore begin to recognise her face as "me" because it is typically the face that is
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38 processed when looking in a mirror, and *that* face rarely violates expectations instantiated by the
39
40 agent's actions. One's body is therefore represented as the most probable to be "me" when seen in
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42 a mirror due to it being the most likely visual input when viewing a reflective surface.
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53 At this point it is pertinent to point out the distinctions between this theoretical framework and
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55 other accounts of self-recognition. Previous accounts have highlighted the importance of the
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57 congruency of motor (or interoceptive) efference, and sensory input for self-awareness and for self-
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1 recognition processes. It is notable that in the account we have given thus far and in the example of
2 the mirror, that the congruency of predictions driven by corollary discharge and incoming sensory
3 input is also important for driving self-recognition in our account. However, unlike previous
4 accounts, the free-energy framework provides flexibility, with fewer constraints on what types of
5 information can drive self-recognition.
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11 Within a predictive coding framework, top-down predictive information processed in multisensory
12 association cortices, plays an important role in altering the perception of sensory input. Prior beliefs
13 will therefore modulate how self-stimuli are recognised. In addition, predictive coding argues that
14 surprise in one system can be minimised by the top-down effects of multisensory nodes (Lee and
15 Mumford, 2003). This suggests that surprise in any system could be explained away by probabilistic
16 representations which are derived from information in any other system, if this is the optimal
17 manner in which free-energy can be minimised. The free-energy account discussed here is therefore
18 distinct from others in highlighting how information from any system can be used to explain away
19 information in any other system (Mitchell, 1993). This distinguishes our theoretical perspective from
20 previous accounts of self-recognition, which have argued that self-processing is tied to processing in
21 one “self” network (Northoff et al., 2006), or arises as a result of congruencies between sensory
22 input and motor efference alone (Legrand and Ruby, 2009). Our suggestion is that self-recognition is
23 more complex, with information from each and every sensory system potentially able to modulate
24 self-recognition. This is particularly important, given the evidence to suggest that the continuity of
25 the self may be underpinned by many different types of information, the integration of which leads
26 to a coherent sense of one’s body (Blanke, 2012; Tsakiris, 2010; Tsakiris et al., 2008).
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51 Furthermore, the free-energy principle reframes the nature of signals from the motor system,
52 further distinguishing our theoretical perspective from others. We have outlined how action can be
53 construed as minimising prediction error, as only actions that have predictable sensory outcomes
54 are performed. This is known as active inference and rests on minimising prediction error relating to
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1 proprioceptive expectations, through the use of classical motor reflexes. As such, the motor
2 efference is relegated to the motor commands arising in the spinal cord and cranial nerve nuclei
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4 (Friston and Ao, 2012; Friston et al., 2011). In other words, cortical signals that drive movement are
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6 descending predictions about the proprioceptive consequences of movement and are therefore
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8 better considered as corollary discharge (the predictions of the sensory consequences of movement)
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10 as opposed to efference copy (copies of the motor commands used to form corollary discharge). In
11
12 this sense, corollary discharge is just like any other descending prediction apart from the fact that
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14 one or more predictions will elicit movement and are therefore proprioceptive or kinesthetic in
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19 nature.
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25 **5. The Psychological Self**

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28 As stated above, an important aspect of the free-energy principle is that the brain can minimise
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30 surprise by updating probabilistic representations (Friston, 2005). Therefore, at the core of this
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32 theory is the notion that probabilistic representations are plastic and updated when new
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34 information reveals a discrepancy between a predicted sensory state and the actual sensory state.
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36 Self-recognition should therefore also be plastic, such that surprising sensory events may be
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38 explained away by changes in how sensory inputs that are “self” or “other” are processed.
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44 **5.1 Self Plasticity**

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46 Traditionally, self-recognition is measured using self-other detection tasks, self-other morphing
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48 tasks, (where participants stop a video morph between self and other when it looks more like “me”),
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50 or masked priming tasks where reaction times are compared between self and other related primes
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52 (Bredart, 2004; Devue and Bredart, 2008; Devue et al., 2009; Frassinetti et al., 2008; Heinisch et al.,
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54 2011; Keenan et al., 2000; Keenan et al., 1999; Kircher et al., 2001; Pannese and Hirsch, 2010, 2011;
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56 Rotshtein et al., 2005; Tsakiris, 2008). All of these methods converge on the notion of a self-bias,
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1 with self-stimuli being more salient and processed faster. However, these methodologies are
2 inherently examining unimodal representations of self-stimuli and therefore may violate the normal
3 conditions in which one's body is experienced. This has rendered most self-recognition studies
4 unable to examine the multisensory nature of self-processing.
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10 A number of studies have highlighted the plasticity of the bodily self by showing how
11 multisensory stimulation can modulate how one's own body, face and voice are recognised (Blanke,
12 2012; Botvinick and Cohen, 1998; Ehrsson et al., 2005; Ehrsson et al., 2004; Tsakiris, 2008; Zheng et
13 al., 2011) (for reviews see(Blanke, 2012; Tsakiris, 2010). Perhaps the best known illustration of such
14 plasticity is the "rubber hand illusion" (RHI) (Botvinick and Cohen, 1998). In the typical formulation
15 of this illusion a rubber hand is placed in front of a participant and their own hand is placed out of
16 view. The participant then receives tactile stimulation on their hand, whilst observing tactile
17 stimulation on the rubber hand. When the tactile stimulation is delivered in temporal synchronicity,
18 on congruent specular locations on the two hands, participants come to experience a sense of
19 ownership over the rubber hand (Tsakiris and Haggard, 2005). In addition, participants' perception
20 of the location of their own hand shifts to a spatial location closer to the rubber hand than its actual
21 location (Tsakiris et al., 2006). Thus, a simultaneous multisensory experience can update the
22 representation of the rubber hand as "not me", such that the probability that the rubber hand is
23 "me" increases.
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44 Similar effects of visuo-tactile synchrony have been shown to induce ownership for whole bodies.
45 Ehrsson (2007) used synchronous or asynchronous visuo-tactile stimulation while participants were
46 looking at their back with the perspective of a person sitting behind them with stereoscopic vision.
47 Synchronous but not asynchronous visuo-tactile stimulation induced a shift in the 1st person
48 perspective such that participants experienced being located at some distance behind the visual
49 image of their own body as if they were looking at someone else. In the study by Leggenhanger et al
50 (2007), participants viewed the backs of their bodies filmed from a distance of 2m and projected
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1 onto a three-dimensional (3D)–video head-mounted display. The participants’ backs were stroked
2 either synchronously or asynchronously with respect to the virtually seen body. Questionnaire and
3 behavioural measures showed that only after synchronous stimulation, participants felt as if the
4 virtual body was their body. These manipulations demonstrate the efficiency of current multisensory
5 input in determining the experience of a minimal 1st person-perspective (Ehrsson, 2007), self-
6 location (Leggenger et al., 2007) and self-identification (Petkova and Ehrsson, 2008) three
7 conditions that are critical for the experience of selfhood (Blanke and Metzinger, 2009).
8

9
10 More recently multisensory stimulation was used to show the plasticity of self-face recognition in
11 the “enfacement illusion” (Mazurega et al., 2011; Sforza et al., 2010; Tajadura-Jimenez et al., 2012;
12 Tsakiris, 2008). In the enfacement illusion tactile stimulation is applied to the participants face whilst
13 they observe the face of another being touched in a video. This experience is highly unusual, as it
14 simulates the situation where one’s own face is viewed in a mirror, however, in this case the
15 observed face is that of another person. The result of synchronous stimulation is that participants
16 begin to respond on self-other recognition tasks as if the others face was more like their own face
17 (Sforza et al., 2010; Tsakiris, 2008). Similarly, self-report measures indicate changes in the
18 phenomenological experience of one’s own and the other’s face, such that the face is experienced as
19 more like “me” than before (Tajadura-Jimenez et al., 2012).
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22 A similar “rubber voice” illusion has also been reported in the auditory system (Zheng et al., 2011). In
23 this illusion participants talked into a microphone, whilst receiving auditory feedback that was either
24 their own vocalisations or the voice of another in temporal synchrony. The result was that the
25 stranger’s voice is reported as a distorted version of one’s voice, not as the voice of another and also
26 modulated the pitch of their own speech.
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29 Each of these illusions illustrates how multisensory stimulation can lead to changes in how one’s
30 face, voice and body are processed, leading to an update of what is recognized as “me”. How can the
31

1 free-energy account explain changes in self-recognition that are driven by multisensory stimulation?

2 The free-energy principle highlights how surprising events in one sensory system can be explained
3
4 away by more parsimonious information in another by the convergence of information at
5
6 multimodal nodes in the cortex. In each of these three illusions there is considerable bottom up
7
8 sensory surprise evoked in one system. The somatosensory experience of touch on one's hand that
9
10 is temporally congruent with the vision of touch on the rubber hand is surprising, as prior to
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12 stimulation participants cannot see the touch on their own hand and would not predict that touch
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14 on the rubber hand would evoke a sensation of touch. Similarly, there is surprise in the
15
16 somatosensory system during the enfacement illusion and surprise in the auditory system during the
17
18 rubber voice illusion. This surprise will be explained away by top-down effects from multisensory
19
20 areas. In turn, perceptual learning processes will update representations of one's appearance or
21
22 voice, such that the probabilistic representation of one's body and voice is different after
23
24 synchronous multisensory stimulation. For example, it has been shown that only subjectively
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26 perceived physical similarity between the participant's hand and the rubber hand is influenced by
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28 the experience of the illusion (Longo et al., 2009). Participants who experienced the RHI perceived
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30 their hand and the rubber hand as significantly more similar, than participants who did not
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32 experience the illusion, suggesting that changes in ownership leads to changes in more abstract
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34 body image representations of one's appearance.
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43 These illusions highlight how representations of one's body are malleable and can be updated when
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45 expectations about multisensory events are violated. We argue that such effects can be accounted
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47 for by top-down explaining away of the bottom-up surprise evoked by an unexpected event. This
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49 treatment of illusions - in the context of self recognition - is entirely consistent with current
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51 understanding that illusory phenomena are a result of Bayes optimal inference. In other words,
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53 almost universally, illusions can be explained as an unusual set of sensory circumstances being
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1 interpreted under prior beliefs about their causes in a Bayes optimal fashion. In our examples, these
2 prior beliefs reflect the fact that most of our sensations are caused by ourselves.
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8 5.2 Probabilistic, predictive codes of the self. 9

10 An important point to note so far is that our account of the rubber hand illusion and also the
11 enfacement illusion is distinct from any previous account (there has to date only been one paper
12 that has reported the rubber voice illusion and so there has been no theoretical account). Previous
13 accounts suggest that multisensory stimulation leads to changes in “representations of the self”
14 through visual capture, and updates to bodily reference frames following the visual capture (Makin
15 et al., 2008; Petkova and Ehrsson, 2008; Tsakiris, 2010). However, there has not previously been a
16 theoretical perspective which can account for all three illusions, which are clearly driven by similar
17 multisensory processes. In our account we argue that all three illusions can be explained through the
18 principles of free-energy and predictive coding. In doing so, we argue that the driving effect for each
19 illusion is an increase in the probability that the other object (a face, voice or body part) will be
20 represented as part of the body and a decrease in the probability that one’s actual body will be
21 represented as “self”.
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41 In the free-energy framework, a change in the likelihood that a stimulus is represented as “me” is
42 reflected by an updating of the high level empirical prior probability distribution representing a face,
43 voice, or body being one’s own that is updated. In turn, the high level empirical prior probability that
44 one’s actual face, voice or body are one’s own is decreased. Interestingly, recent studies have
45 reported physiological changes to the real hand, including a reduction in temperature (Hohwy and
46 Paton, 2010; Moseley et al., 2008) and also an increase in histamine level (Barnsley et al., 2011)
47 during the illusory experience. These findings are consistent with the notion that the real limb is
48 being partially rejected and therefore there is a decreased likelihood that it is “self”. Thus, the
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1 likelihood that one's own body is "me" decreases, but the likelihood that a rubber hand is "me"
2 increases during the illusion.
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5 If there are probabilities of my actual hand being "mine" and another object being "me, how are
6 these two representations resolved in order that one's self is recognised? The answer to this lies
7 within predictive coding accounts of how competing models (i.e., explanations of the sensory input)
8 are selected among. In predictive coding, more than one model of an event is processed at a time,
9 with models with less evidence not being selected for and inferences based on the information they
10 code are not made (Clark, in press). Pools of neurons that process evidence in favour of a particular
11 model suppress the activity of neurons that process alternative models. As such, perceptual
12 experiences are a function of the relative fit of models of the environment to the actual sensory
13 input. To illustrate Friston et al., outlined how predictive coding accounts can explain the well-known
14 binocular rivalry phenomenon (Blake and Logothetis, 2002; Leopold and Logothetis, 1996; Lumer et
15 al., 1998; Tong et al., 1998). In binocular rivalry studies two different images are presented
16 simultaneously to each eye. For example, a face is presented to one eye and a house to another. The
17 resulting perceptual experience is a continuous flip from one percept to another. The free-energy
18 account suggests that as one generative model of the image presented to one eye (e.g. the house) it
19 becomes increasingly likely through the minimisation of surprise., the percept that is propagated by
20 its top-down probabilistic representations is experienced, i.e., I see a house and not a face. However,
21 when this percept is experienced, there is surprise evoked by the fact that the image presented to
22 the other eye (e.g the face) does not fit with the generative model of what could cause the evoked
23 sensory input. The probability that the cause of the sensory input is an image of a face therefore
24 declines as a result of the prediction error signals. The alternative generative model ("face") is
25 updated and the likelihood that the cause of the sensory input is "face" increases. As such, the flip
26 between the two percepts is a function of the balance between the two generative models of the
27 visual input (Hohwy et al., 2008). Each of these generative models will be processed by competing
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1 pools of neurons within regions, across competing regions within systems or across competing
2 systems. The probability that one inference will be made is a function of the relative difference in
3 the fit by one explanation of the world compared to another.
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8 The competition between alternative generative models of a stimulus as “self” offers an explanation
9 of the processes of self-plasticity that occur in the multisensory illusion outlined above (see figure 1).
10 For instance, when one’s own face is presented in a mirror, the likelihood that this will be processed
11 as “me” will be a function of the comparisons between two generative models of which face is “me”.
12
13 The difference in the likelihood that the self-face and the other face are actually one’s own is
14 reduced, leading to changes in the degree to which one’s own face is recognised as “self”. This is
15 consistent with the evidence that self-face recognition performance shows a difference before and
16 after stimulation in the enfacement illusion, as people perceive the other’s face as being more
17 similar to their own face after stimulation (Mazzurega et al., 2011; Sforza et al., 2010; Tajadura-
18 Jimenez et al., 2012; Tsakiris, 2008). Behaviour on self-recognition tasks will also be dependent on
19 the relative fit of the two generative models which code the probability of the other being me and
20 the probability my own body being me. Thus, the predictive coding account illustrates how changes
21 in the probability that another’s face is “mine” can lead to changes in the likelihood that one’s own
22 actual face is “me”.
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42 In summary, in the last two sections we have highlighted how the free-energy principle suggests that
43 one’s own body, face and voice are probabilistically represented as “me”. In addition, the nature of
44 the processing that leads to a representation of a body part as “me” is tapped into in each of the
45 multisensory illusions. As a result, the probability that another’s body part is “me” increases and as a
46 result the ability to distinguish between self and other is diminished. Such an effect is driven by
47 changes in the high level prior probability that another or a different body is “me”. Thus, in these
48 sections we argue that these illusions tap into the mechanisms that operate to create a sense that
49 one’s body is one’s own and that my body, face and voice are “mine”.
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5.3 Self priors and the self in context.

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3 In the previous sections we highlighted how the physical features of one's self are processed as
4
5 probabilistically the most likely to be "self" and particularly how incoming sensory input in self-
6
7 related illusions causes others' bodies faces and voices to be more likely to be one's own. However,
8
9 another important aspect of the free-energy principle is that top-down probabilistic representations
10
11 can influence information processing prior to incoming sensory input and as a result influence the
12
13 likelihood that an object will be recognised as "me". The context within which sensory stimulation is
14
15 perceived will therefore influence priors and high level priors, resulting in self-other distinctions
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17 being dependent on expectations prior to the presentation of a self or other stimulus.
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23 Contextual effects have been known for some time to significantly influence self-recognition.

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25 Indeed, self-related primes in one domain can decrease reaction times in self-other recognition tasks
26
27 in another (Pannese and Hirsch, 2010; Platek et al., 2004). This effect is still apparent when the self-
28
29 related priming stimuli are masked and therefore not consciously perceived (Pannese and Hirsch,
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31 2011). Priming with a self-stimulus can therefore influence phasic expectations about the prior
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33 probability of further self-stimuli. As such, a stimulus is processed within the context of stimuli
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35 immediately preceding it.
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41 There is also evidence of more long-term contextual influences on self-recognition related priors,
42
43 highlighted by the role that cultural and societal effects have on self-other decision-making. For
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45 instance, self-other face recognition has been shown to be different across cultures (Liew et al.,
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47 2011; Sui et al., 2009). Indeed, Westerners show a greater self-bias on such tasks than East Asian
48
49 individuals. In fact, a recent study has suggested that Chinese individuals show a reversal of the self-
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51 bias in the presence of a supervisor, with reaction times becoming faster for the face of the social
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53 superior than the self-face (Liew et al., 2011). Western individuals, however, maintain a self-bias in
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55 identical circumstances. Thus, self-face recognition is manipulated by cultural effects. Another
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1 illustration of the impact of social context upon self-face recognition has been shown by the fact that
2 religious individuals do not have as strong a self-other bias as atheists (Ma and Han, 2012). Cultural
3 and societal norms can therefore create differences in prior beliefs.
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8 Contextual effects are also found in the illusions outlined in the previous section. In the rubber hand
9 illusion, if the object placed in front of the participant is non-corporeal (Tsakiris et al., 2010a), is a
10 rubber hand of a different skin tone (Farmer et al., 2012) or is placed in a spatially incongruent
11 location (Bekrater-Bodmann et al., 2012; Cadieux et al., 2011; Costantini and Haggard, 2007;
12 Folegatti et al., 2012; Hohwy and Paton, 2010; Holle et al., 2011; Makin et al., 2008), the sense of
13 ownership over the object is modulated or not present at all. So it is clear that the context within
14 which stimuli are perceived modulates multisensory expectations about stimuli, leading to variability
15 in the likelihood that another body will be processed as “me”.
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20 How can self-recognition be modified by contextual effects within the free-energy account? In the
21 free energy principle, emphasis is placed on the importance of top-down probabilistic priors
22 processed before a sensory event. In each of the contextual effects that is outlined above, it is
23 information prior to the presence of a self-stimulus or to visuo-tactile stimulation that is modulating
24 the sense of ownership and recognition of a stimulus once it is perceived. Thus, objects or faces that
25 are placed within peripersonal space that are congruent in terms of their physical properties with
26 the learned probabilistic representation of bodies or faces, are more likely to be recognised and
27 processed as self. When the object violates contexts that are a necessity for objects to be processed
28 as “me” e.g. when the hand is spatially incongruent to the body, this results in a low probability that
29 the objects will be labelled as “self” even when there is congruent synchronous tactile stimulation.
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34 This is consistent with the view that contextual priors significantly influence whether a novel
35 stimulus, such as a rubber hand or another’s face, whether an object will be adopted into the model
36 of the body.
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1 To summarise, the free-energy account argues that information prior to an event will nuance
2 predictions about the likely sensory input, and when sensory input is received, the prior information
3
4 biases the probabilistic inferences that are made causes of an event. Here, we have suggested that
5
6 contextual effects can modulate the processing of stimuli, by influencing expectations before a
7
8 sensory event is perceived and also modulate the inferences that are made following the perception
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10 of a self-stimulus.
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18 **6. The anatomy of the self**

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21 So far we have argued that self-stimuli are recognised as “me” when surprise in one sensory system
22
23 is explained away at a multimodal node which processes information from a system in which there is
24
25 minimal surprise. The information processed at multimodal nodes will therefore be highly abstract,
26
27 i.e., they will process high level prior information about self-stimuli and explain away surprise in
28
29 unimodal sensory systems by labelling a stimulus as “me”. This view is therefore predicated on three
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31 assumptions about functional anatomy that will be recruited during the processing of self-stimuli.
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35 First, self-stimuli in any one domain will activate portions of a sensory system which are also
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37 engaged when processing non-self stimuli. Second, self-stimuli presented in one modality will
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39 engage multisensory areas that are involved in processing high-level empirical prior information
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41 about the stimulus being “self” i.e. multisensory areas will be activated during self-recognition.
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43 Third, when a self-stimulus leads to predictable sensory input, activity in response to the predicted
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45 stimulus will be suppressed due to the absence of prediction error.
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50 It should be noted that this is not the first discussion of Free-energy in the context of self-other
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52 information processing. Previously, Kilner and colleagues (Friston et al., 2010; Kilner, 2011; Kilner et
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54 al., 2007a, b) have offered a thorough account of how the free-energy framework can provide a
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56 compelling explanation of how the intentions and actions of others are learned within the mirror
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1 neuron system, through the predictive simulation of the others' corollary discharge, i.e. predicting
2 the kinematics of others' actions. Here, we extend beyond their work which discussed how we
3 process the corollary discharge of others, and discuss how any self-stimuli may come to be labelled
4 "self" and therefore not "other". Thus, whilst we make similar neural predictions, our discussion
5 relates to how self-stimuli are processed and not how other-stimuli are processed. Furthermore, we
6 make claims that more broadly define how stimuli are labelled as "self" in situations where actions
7 are not a driving factor for the minimisation of free-energy.
8
9

10 6.1 Bottom-up non-self specific processing

11 Our first prediction was that no unimodal areas will exclusively be engaged by self-stimuli. In brief, if
12 the emergence of self depends upon amodal high level empirical priors that send descending,
13 parallel, and divergent predictions down the hierarchy, then the representation of self must be
14 hierarchically distributed and recruit in all unimodal systems that register the consequences of self
15 made acts. This precludes the possibility that a unimodal system will be exclusively engaged by self
16 made stimuli.
17

18 A large body of neuroimaging research has investigated the functional anatomy of self-recognition.
19 Such studies suggest that a broad range of unimodal areas are engaged during self-recognition. Self-
20 face, voice and body recognition has been shown to activate regions within the core face processing
21 network including: the inferior occipital gyrus, the fusiform gyrus, as well as face selective areas in
22 the superior temporal sulcus (Kaplan et al., 2008; Platek et al., 2006; Platek et al., 2008; Sugiura et
23 al., 2008; Uddin et al., 2005; Verosky and Todorov, 2010), portions of the auditory system in the
24 superior temporal gyrus (Kaplan et al., 2008) and portions of extrastriate cortex that process body
25 parts (Sugiura et al., 2006; Vocks et al., 2010) . However, it is well established that these areas
26 process information about all faces, bodies or voices, even if the profile of their response is different
27 between self and other (Barraclough and Perrett, 2011; Belin and Zatorre, 2003; Formisano et al.,
28

1 2008; Grill-Spector et al., 2004; Kanwisher and Barton, 2011; Myers and Sowden, 2008; Perrett et al.,
2 1992; Perrett et al., 1982; Pitcher et al., 2009; Pitcher et al., 2011; Pitcher et al., 2007; Vocks et al.,
3
4 2010; von Kriegstein et al., 2005). This is consistent with the view that these regions are not
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6 processing anything that is specific to the self. The increased activity in unimodal areas when
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8 processing self-stimuli may therefore reflect the surprise evoked by self-stimuli that is passed up
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10 sensory hierarchies to multisensory areas i.e. self-stimuli may evoke more surprise than non-self-
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12 stimuli that needs to be explained away by multimodal top-down effects
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16 17 6.2 The multisensory self 18

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20 Our second prediction was that self-recognition of a stimulus in one modality and self-recognition
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22 during multisensory stimulation will engage multimodal areas of the brain. Neuroimaging studies
23
24 suggest that unimodal self face, voice and body recognition activates a broad range of multimodal
25
26 areas. The list of areas includes: the posterior cingulate gyrus, the anterior cingulate gyrus (ACC),
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28 medial portions of the superior frontal gyrus / paracingulate cortex, the temporo-parietal junction
29
30 (TPJ), the superior temporal sulcus (STS), the temporal poles, the hippocampus, the anterior insula
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32 (AI), mid-portions of the inferior frontal gyrus (IFG), the middle frontal gyrus (MFG), the intraparietal
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34 sulcus (IPS) and the inferior parietal lobule (Apps et al., 2012b; Devue and Bredart, 2011; Devue et
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36 al., 2007; Heinisch et al., 2011; Kaplan et al., 2008; Morita et al., 2008; Pannese and Hirsch, 2011;
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38 Platek and Kemp, 2009; Platek et al., 2009; Platek et al., 2006; Platek et al., 2008; Ramasubbu et al.,
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40 2011; Sugiura et al., 2008; Sugiura et al., 2006; Sui et al., 2004; Taylor et al., 2009; Uddin et al., 2006;
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42 Verosky and Todorov, 2010). Some have therefore argued that information processed in some of
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44 these regions is self-specific and it is processing in these areas that leads to a self-concept (Northoff
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46 et al., 2006; Platek et al., 2008). However, there is little evidence that these regions are engaged
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48 exclusively by self-recognition processes and indeed, each of these regions is not found to be
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50 activated exclusively during the processing of self-stimuli (Legrand and Ruby, 2009)
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1 Interestingly, several of these regions, including the TPJ, IPS, AI and the IFG are also activated when
2 participants experience the rubber-hand illusion (Ehrsson et al., 2005; Ehrsson et al., 2004; Tsakiris
3 et al., 2008; Tsakiris et al., 2007; Tsakiris et al., 2010b), and when participants experience similar
4 multisensory illusions where the perceived spatial location of the whole body is manipulated (Ionta
5 et al., 2011; Petkova et al., 2011). This evidence would seem to suggest that there is a core set of
6 regions that are crucial for recognising different aspects of one's self. There is also evidence that
7 posterior portions of the STS and adjacent portions of the supramarginal gyrus around the TPJ, both
8 have strong connections to the IFG, IPS and to the AI (Mars et al., 2012; Petrides and Pandya, 2009;
9 Seltzer and Pandya, 1989). There are also known to be connections between portions of the AI and
10 the IFG (Mesulam and Mufson, 1982; Mufson and Mesulam, 1982; Petrides and Pandya, 2006),
11 suggesting that these three regions may comprise a core circuit which is engaged when recognising
12 one's self and creates a sense of ownership over one's body.
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15 However, the connectional fingerprints of these regions and also the neuroimaging research
16 examining their functional properties, suggest that these regions are not specialised for processing
17 self-stimuli. The TPJ has afferent and efferent connections from the inferior temporal gyrus, caudal
18 portions of the superior temporal gyrus, ventral portions of the premotor cortex and the anterior
19 cingulate gyrus (Fletcher et al., 1995; Mars et al., 2012; Petrides and Pandya, 2009; Vogt and Pandya,
20 1987). Respectively, these regions are engaged by visual (Li et al., 1993), aural (Friederici, 2002),
21 motor (Dum and Strick, 1991; Gallese et al., 1996) and social (Apps et al., 2012a; Apps et al., in press)
22 information. The AI is sometimes referred to as primary interoceptive cortex due to its receipt of
23 interoceptive signals from the body. This area also receives projections from the amygdala (Mesulam
24 and Mufson, 1982; Mufson and Mesulam, 1982), the anterior cingulate gyrus (Augustine, 1996; Vogt
25 and Pandya, 1987), primary and secondary somatosensory cortices (Augustine, 1996) and portions
26 of the orbitofrontal cortex (Haber et al., 1995; Morecraft et al., 1992). The AI therefore receives
27 information about the internal state of the body (Craig, 2009; Seth et al., 2011), emotions (Adolphs,
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1 2002; Phillips et al., 2003), social information (Apps et al., 2012a), tactile stimulation (Avillac et al.,
2 2005) and rewards (Schultz et al., 2000). The IPS has connections to primary and secondary
3 somatosensory areas , to anterior and midportions of the IFG and the MFG, to posterior parietal
4 cortex and to the inferior and superior temporal sulcus (Pandya et al., 1981; Petrides and Pandya,
5 1999; Schmahmann and Pandya, 1992; Seltzer and Pandya, 1980, 1984, 1986, 1994). Thus, the IPS
6 has connections to areas involved in processing vestibular, somatosneosry , visuo-spatial (see
7 Blanke, 2012 for a review) and abstract motor information (Passingham et al., 2002). Finally the IFG
8 has connections to several areas of the motor system, including the Supplementary motor areas
9 (SMA), the Cingulate Motor Areas (CMAs) and primary motor cortex (Petrides and Pandya, 1999;
10 Picard and Strick, 1996), as well as portions of the frontal lobe and the cerebellum engaged in
11 abstract mappings from cognitive rules to motor plans (Kelly and Strick, 2003; Ramnani, 2006).
12 However, it also receives projections from portions of the parietal lobe engaged by vestibular and
13 tactile information (Avillac et al., 2005; Bremmer et al., 2002).

14 These connectional fingerprints are indicative of distinct functional properties, with the TPJ
15 processing the confluence of visual information and bodily related information, the AI processing the
16 confluence of emotional, interoceptive and motor information about the body, the IPS processing
17 visuo-spatial information about somatosensory input to the body and the IFG processing the
18 mappings between abstract rules and the body. However, despite their functional discrepancies, the
19 three regions are unified by the fact that none of them can be considered as processing unimodal
20 sensory information and also, that their functional processing extends beyond the processing of self-
21 related stimuli. We therefore argue that these regions are important for the process of recognising a
22 stimulus as self and integrating multisensory information, in the form of abstract empirical priors, in
23 order to explain away unimodal sensory surprise as “me”. However, processing in these areas is not
24 specific to the self, rather self-stimuli evoke surprise that must be explained away by processing in
25 these regions.

6.3 Self-predictive activity

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3 Our third prediction was that there will be a suppression of activity when a self-stimulus is
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5 predicted or when a self-stimulus leads to the expectation of a sensory event. Evidence is provided
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7 of such a notion by research examining self-touch. A seminal paper by Blakemore et al.,(Blakemore
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9 et al., 2000; Blakemore et al., 1998) found that participants cannot experience a tickling sensation
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11 when they apply tactile stimulation to their own skin, only when tactile stimulation is externally
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13 delivered. Functional imaging research has highlighted that this effect is purportedly driven by
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15 reduced activity in primary somatosensory cortex (SI), a unimodal area, when receiving self-
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17 delivered tactile stimulation (Blakemore et al., 1999a; Blakemore et al., 2000; Blakemore et al.,
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19 1999b). Other studies have also shown that self-generated actions result in attenuation in sensory
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21 systems, as compared to exogenously cued events that result in identical sensory events to the
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23 body. Similarly it has been shown that looking at one's own face whilst experiencing touch reduces
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25 activity in the (unimodal) somatosensory cortex and the multisensory Inferior Frontal Gyrus (IFG) , as
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27 compared to the activity evoked by looking at another's face and experiencing touch (Cardini et al.,
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29 2011). This is consistent with the view that a self-stimulus can act as a prediction of another sensory
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31 event in another modality. A multisensory node, in this case the IFG, instantiates a prediction of the
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33 upcoming stimulus reducing activation when that stimulus is presented. These studies point to how
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35 activity in unimodal and multisensory areas can become suppressed when a self-related stimulus
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37 results in a predictable sensory input
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7. Future Directions and Caveats

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48 The aim of this article was to provide a new theoretical perspective on the cortical mechanisms that
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50 underlie self-recognition, in order to account for previous findings and provide a novel framework
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52 for future research. In doing so, we have largely looked for and reported evidence that supports
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54 some of the principles of free-energy and elsewhere have simply stated what this theory would
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assume, with limited direct evidence. In this section, we raise some caveats related to this discussion. In conjunction, we also discuss how future research can begin to test the assumptions that we have made.

Perhaps the most important caveat is that there is, as yet, little direct evidence that predictions and prediction errors related to self-stimuli are processed anywhere in the brain. This may, at first, seem a somewhat damning appraisal of a core component of our theory. However, whilst there is little direct evidence that supports the claims, there is also none that refute it. At this point, to the best of our knowledge, there has not been a paper that has tested whether the brain processes information about self-stimuli in a manner that conforms to the principles of predictive coding. The lack of evidence is in part due to the novelty of this theory, but also due to the fact that the theory is hard to falsify using standard neuroscientific methods.

Why is the theory hard to falsify? The difficulty arises due to the fact that the BOLD signal measured using 3Tesla fMRI scanners, which are those most commonly employed in research, is likely to be a function of both prediction and prediction error neurons. Whilst each type of neuron is, according to the theory (Friston and Kiebel, 2009b) and also recent evidence examining connectivity in cortical microcircuits (Bastos et al., 2012), found in separate cortical layers, the BOLD signal measured in these commonly used MRI scanners will likely be a function of both sets of neuronal types. As a result, a highly surprising event and a highly predicted event may, although not necessarily (Alink et al., 2010), both evoke large responses in populations of neurons that typically cannot be resolved, using a 3T fMRI scanner. Typical fMRI scanning may therefore suffer from an inability to detect self-recognition related free-energy responses. However, recently, studies using carefully considered designs in which error-related and probabilistic information are orthogonal (Egner et al., 2010), or using advanced analysis techniques such as multivoxel pattern analysis, have been able to identify activity related to these two distinct processes (de Gardelle et al., in press). Future research could use such techniques, in conjunction with high-field fMRI which affords the spatial resolution

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1 necessary to distinguish between the BOLD signal evoked by neuronal responses in different layers
2 of the cortex. This could further elucidate whether regions such as the TPJ, receive error signals from
3 unimodal sensory areas of the cortex and whether information in the representational units is then
4 projected back down the hierarchy during self-recognition.
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10 Is the self-probabilistic? At the core of the free-energy is the concept that information is processed
11 in the form of probability distributions which form the basis of prior beliefs before a sensory event
12 and on which perceptual inferences are made after sensory stimulation. In several sections we have
13 provided the case in support of the notion that one's own face voice and body are probabilistically
14 the most likely to be recognised as one's own, but also that other features can be recognised as
15 one's own. However, there has yet not been a direct test of whether the likelihood of stimuli being
16 accepted as a part of one's body is probabilistic in nature, whether one's own body is represented
17 probabilistically as "self" and not deterministically, and whether recognition and ownership of
18 others' body parts are acquired during illusions in a manner that conforms to our predictive coding
19 account of plasticity in the representation of another as "me". To tackle such issues, future research
20 could use behavioural tasks in conjunction with predictive coding derived computational models
21 (Egner et al., 2010; Huys et al., 2011; Rushworth et al., 2009). Such an approach affords the
22 opportunity of testing whether self-recognition is truly probabilistic and Bayesian as we have
23 suggested here.
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44 Finally, we have suggested that self-recognition may be accounted for by the interaction between
45 bottom-up and top-down processes interacting in a predictive coding framework. Whilst there is
46 anatomical evidence to support the notion that multimodal areas may play an important role in
47 integrating information across systems to explain away bottom-up unimodal surprise, there is little
48 functional evidence. How might such functional evidence be provided? As stated before, the use of
49 computational models derived from predictive coding, in conjunction with self-other designs, may
50 provide a way forward to identify the functional anatomy. Applying novel functional imaging
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1 techniques, such as Dynamic Causal Modelling (Friston et al., 2003), to examine the effective
2 connectivity in these regions, may allow for the examination of whether self-stimuli induced error
3 signals are projected up the hierarchy and whether prior probabilistic predictions about self-stimuli
4 are projected down the hierarchy.
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10 11 12 13 **8. Summary**

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16 In this article, we have attempted to illuminate how the free-energy principle may account for self-
17 recognition. To conform to the principles of predictive coding and free-energy, we have suggested
18 that recognising one's self is a process of associating the unimodal properties of the body (i.e., the
19 visual properties of one's hand), with other information about the body from any sensory system.
20 Such associations will be probabilistic such that one's own body is the most likely to be one's own.
21 Representations of one's body are also plastic, such that other objects can become, probabilistically,
22 more likely to be a part of one's self. Whether an object will be processed as self will also be based
23 on contextual information that modulates prior beliefs about the likelihood that an object could be
24 "me". Such processing will be processed hierarchically with multimodal areas processing the
25 confluence of "self" information from different sensory systems and explaining away the surprising
26 incoming sensory information from unimodal areas. However, within the free-energy framework
27 that such processing will not be tied specifically to any particular circuit of brain. Rather, depending
28 on the context and task within which the body is processed, any processing in any sensory system
29 may ultimately be able to modulate how one's face is perceptually experienced.
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51 In this article we have provided evidence in support of our theory, although we note that to date,
52 empirical data neither largely supports nor refutes our account of self-recognition. However, this
53 work does provide a broad range and extensive set of prediction about the nature of self-recognition
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1 that can be tested empirically. We hope that such empirical investigation will generate important
2 and novel findings that elucidate more about the neural and psychological basis of self-processing.
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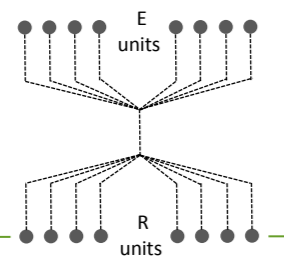
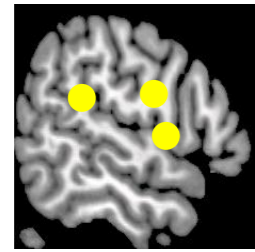
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10 **Figure Legend.**
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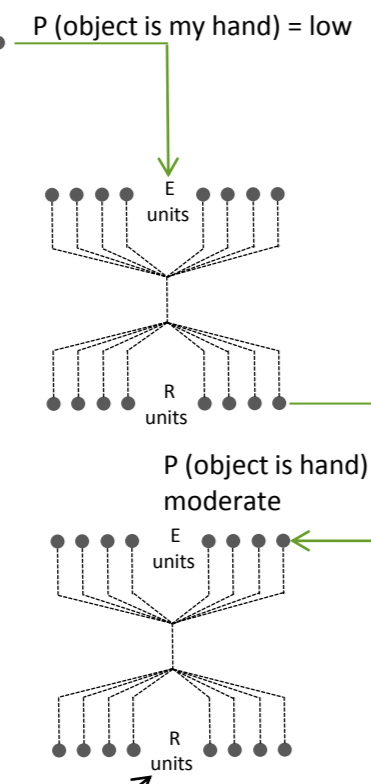
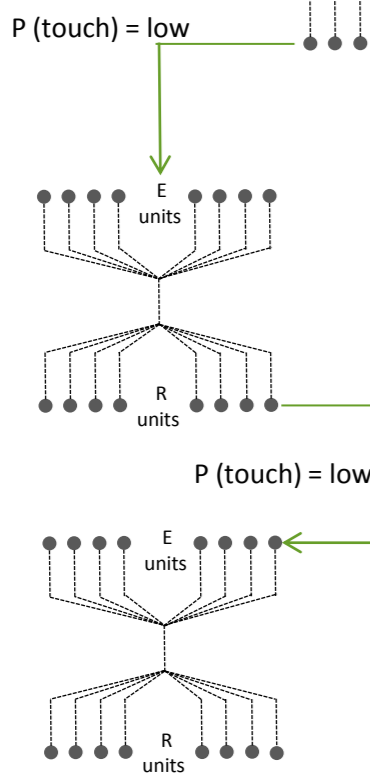
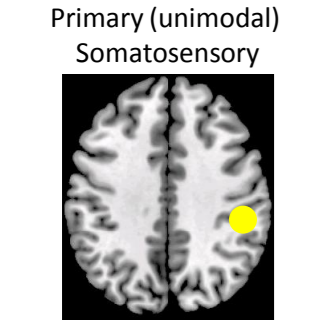
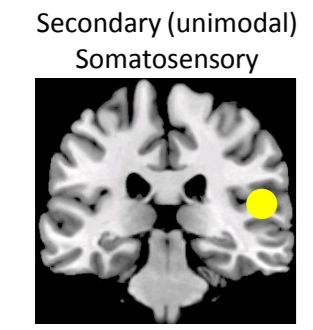
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13 *Fig.1 Predictive coding of ‘surprise’ and ‘explaining away’ during the RHI. The green lines indicate*
14 *top-down predictive information explaining away the bottom-up unexplained prediction errors or*
15 *surprise indicated by the red lines. The solid black lines indicate a sensory input. In predictive coding*
16 *this architecture dynamically reconciles predictive and unexpected information when a sensory event*
17 *is unexpected. In all three panels the information is organised hierarchically within the sensory*
18 *systems and this information converges on multimodal areas. In the left panel, before synchronous*
19 *stimulation, the sensory input to the visual system has instantiated predictions in the visual system*
20 *that one is seeing a rubber hand that has a low probability of being a real hand (but higher than a*
21 *non-corporeal object) and has a low probability of being one’s own hand (but higher than if the hand*
22 *was placed in a spatial location that is removed from the body). In the middle panel, the experience*
23 *of touch evokes surprise in the somatosensory system and its temporal and specular congruency with*
24 *touch on the rubber hand causes surprise in the visual system. This surprise is explained away by the*
25 *top-down influence from multimodal areas and perceptual learning processes in the unimodal areas.*
26 *As a result, the probability that the visually perceived rubber hand is part of ‘my’ body and is also a*
27 *real hand increases. In parallel, the probability that this object is part of the body updates the*
28 *probability that touch on the rubber hand will result in a somatosensory experience. As such, during*
29 *the experience of the illusion (right panel) touch on the rubber hand is no longer surprising, as the*
30 *object is perceived visually as part of one’s body and it is an object that touch upon evokes a*
31 *somatosensory event.*
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Before Multisensory Stimulation

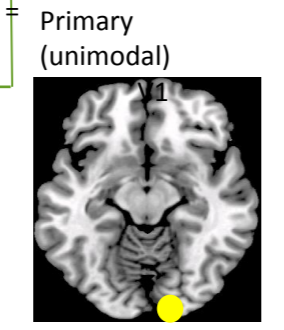
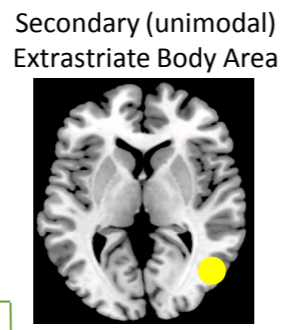
Multimodal areas (right TPJ, Premotor Cortex and AI)



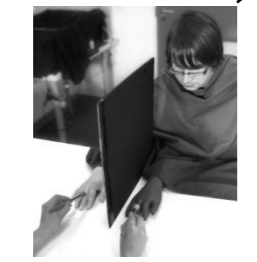
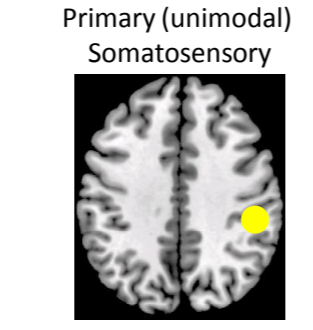
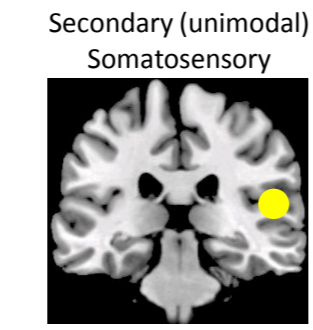
Somatosensory system



Visual System

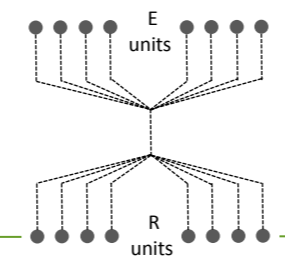
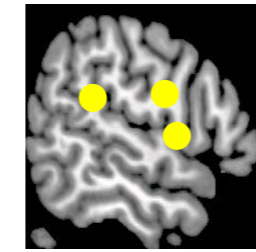


Somatosensory system

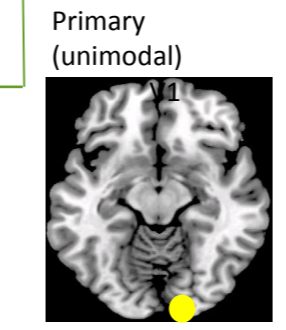
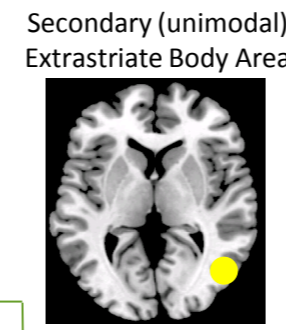


During Stimulation (before illusion)

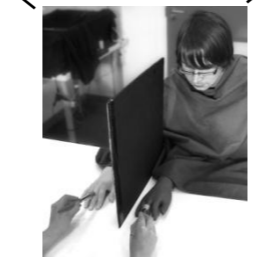
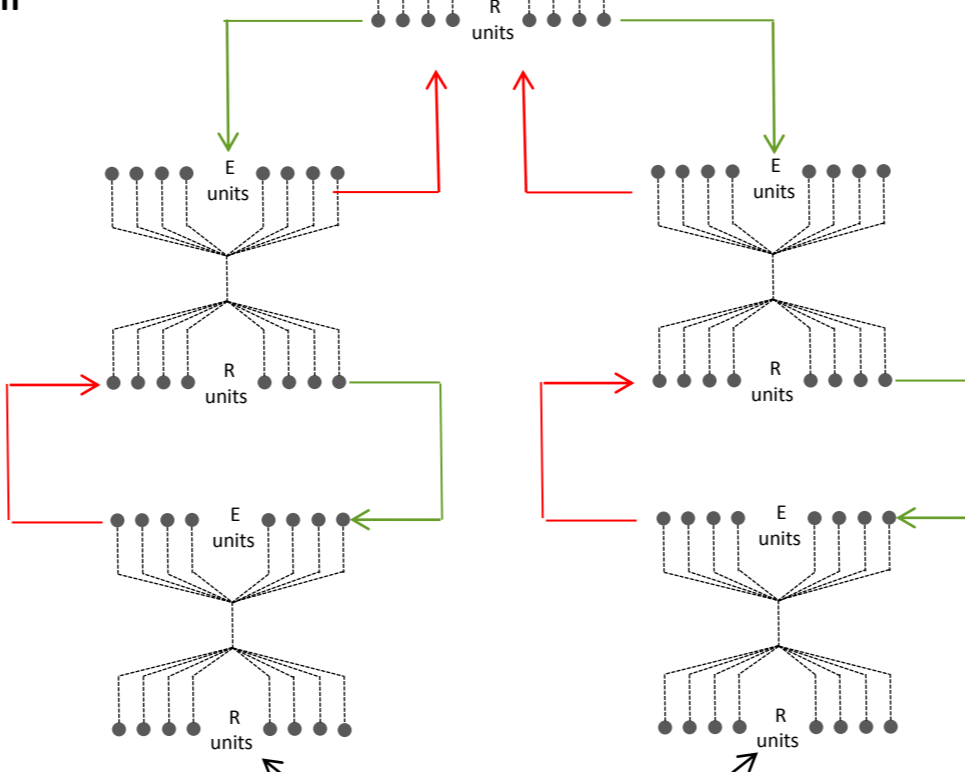
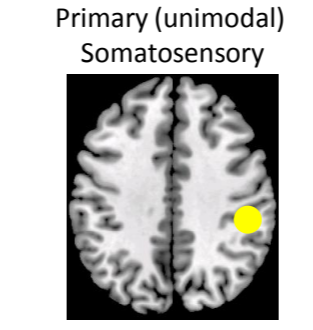
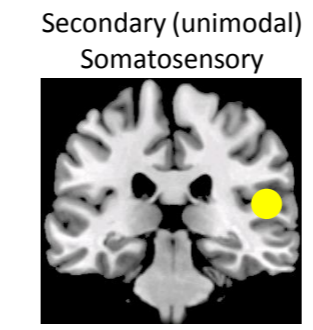
Multimodal areas (right TPJ, Premotor Cortex and AI)



Visual System

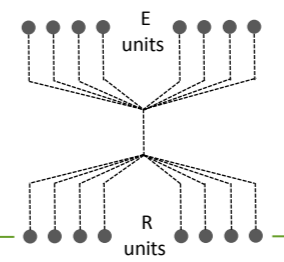
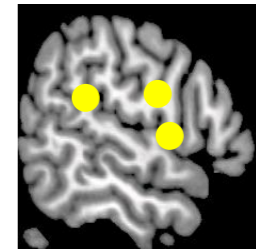


Somatosensory system



During Stimulation and Illusion

Multimodal areas (right TPJ, Premotor Cortex and AI)



Visual System

