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Experimental demonstration of a mode shape-based scour monitoring method for multi-span bridges with shallow foundations

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6 Abstract

7 This paper experimentally investigates a vibration-based scour monitoring approach applicable 8 to bridges with multiple simply supported spans on shallow foundations. A monitoring strategy 9 based on the relative changes in pier mode shape amplitudes due to scour is postulated. The 10 first global mode shape of a bridge structure with multiple spans is extracted from acceleration 11 measurements using an output-only approach, Frequency Domain Decomposition (FDD). The 12 relative changes of the pier mode shape amplitudes under scour are then tracked. Here, each 13 pier mode shape value is compared with the mean values of the remaining piers in a process 14 that creates a Mean-Normalised Mode Shape (MNMS). The approach is demonstrated on a 15 scaled model of a bridge with four spans, supported on sprung foundations, where scour is 16 simulated by the replacement of springs with springs of lower stiffness corresponding to a reduction in foundation stiffness. It is shown that at a given 'scoured' pier, significant increases 17 18 in the MNMS value occur, suggesting that the location of the scour can be identified. The 19 magnitude of the MNMS at a given pier also increases with an increase in stiffness loss due to 20 scour. In practice, the approach would work best by carrying out a visual inspection of the

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- 21 bridge to establish the initial health condition at the time of sensor installation. After this initial
- 22 process, the bridge can be monitored remotely for scour on an ongoing basis.
- 23 Keywords: Bridge scour; accelerations; mode shape; damage detection; SHM; vibrations

24 Introduction

25 Scour erosion, where soil is removed from around bridge foundations by the action of flowing 26 water (Hamill, 1999), remains a significant hazard to bridges worldwide (Wardhana and 27 Hadipriono, 2003, Maddison, 2012, Prendergast et al., 2018). There are three main forms of 28 scour, general, contraction and local. General scour occurs naturally in river channels and 29 includes the aggradation and degradation of the river bed that may occur as a result of changes 30 in the hydraulic parameters governing flow such as changes in the flow rate or changes in the 31 quantity of sediment in the channel (Forde et al., 1999). Contraction scour occurs due to 32 changes in the cross-sectional (flow) area of a river due to the presence of obstructions such as 33 piers or abutments. Local scour occurs in the direct vicinity of a bridge foundation where 34 downward flow is induced at the upstream end of bridge piers, leading to local erosion (Forde 35 et al., 1999).

36 In its simplest form, scour leads to a lowering of the soil elevation relative to foundation 37 elements of a bridge, which can increase the vulnerability to failure. Perhaps a more significant 38 issue occurs for bridges founded on shallow pad foundations, where scour can undermine the 39 pad, decreasing the soil-structure contact area. This leads to increased stress on the remaining 40 soil, increasing soil strains and ultimately reducing the shear stiffness of the soil beneath the foundation system (Oztoprak and Bolton, 2013). This type of scour mechanism is particularly 41 42 dangerous because many bridges have unknown foundation depths, meaning it is difficult for 43 bridge owners/operators to truly understand scour risk (Briaud et al., 2012).

The reduction in foundation stiffness as a result of scour can lead to excessive settlements, which pose issues to bridges and can affect their load-carrying capacity. In terms of load-rating of structures to identify carrying capacity, recent efforts have sought to include foundation settlements into assessment frameworks (Davis et al., 2018).

48 It is widely recognised that scour reduces the stiffness of foundations, which has given rise to 49 the area of vibration-based scour detection (Briaud et al., 2011, Foti and Sabia, 2010, 50 Prendergast et al., 2013, Chen et al., 2014, Klinga and Alipour, 2015, Prendergast et al., 2016a, 51 Xiong et al., 2018b, Kong et al., 2013, Fitzgerald et al., 2019a). The idea that changes in 52 stiffness manifest themselves as changes to modal properties is the original concept behind 53 monitoring dynamic properties for structural damage detection (Sohn et al., 2003). Many 54 researchers have investigated approaches to scour detection based on measuring changes in 55 various dynamic properties using sensors installed on the superstructure, or on passing vehicles 56 (Fitzgerald et al., 2019b). These studies include both numerical and experimental 57 investigations, and the majority of studies to date have focussed on bridges with deep foundations (piles). For a comprehensive overview of approaches based on changes in natural 58 59 frequencies, interested readers are referred to Bao and Liu (2017).

60 Using numerical modelling, Prendergast et al. (2016a, 2016b) investigate how scour around 61 the central pier of a two-span integral bridge influences the first natural frequency of the structure and study the ability to use changes in this frequency to detect scour. The influence 62 63 of parameters such as vehicle speed and mass, road surface roughness and sensor 'noise', on 64 the resulting lateral pier vibrations are studied to ascertain how robust the approach is for scour detection. They conclude that monitoring frequency changes shows potential to detect scour 65 66 erosion. The approach is extended in Prendergast et al. (2017) to detecting the location of scour on a two-span integral bridge, i.e. which pier or abutment is scoured, by analysing multiple 67 68 frequencies from the bridge with a focus on local element frequencies. Kong and Cai (2016)

69 numerically investigate the dynamic response of a continuous four-span bridge under wave 70 loads and demonstrate that scour has a significant effect on the lower frequencies of a bridge 71 pile. Furthermore, it is shown how scour affects the complete bridge-vehicle-wave system, 72 meaning that the response of the bridge deck or even a passing vehicle can also be used to monitor scour. Ju (2013) studies how the natural frequency of a bridge varied due to scour 73 74 using numerical modelling. It is shown how water-added mass surrounding the foundations influences the frequency values and it is concluded that its presence lowers the frequency. 75 However, accounting for water-added mass is difficult and it is recommended that it can be 76 77 ignored in bridge frequency analyses. Chen et al. (2014) present a scour monitoring approach 78 using velocity sensors and a finite-element model of a cable-stayed bridge. Combining sensor 79 measurements with FE updating enables scour of the pier to be quantified. Klinga and Alipour 80 (2015) perform numerical analyses on the performance of various bridge elements under extreme scour and conclude that scour reduces lateral stiffness and lowers the natural 81 82 frequency. Xiong et al. (2018a) propose a scour indicator based on the bridge flexibility matrix, 83 which is sensitive to scour-induced changes on the frequencies and mode shapes of the structure. Bao et al. (2017) perform numerical and experimental studies to investigate three 84 particular issues with frequency-based scour detection, namely (i) the physical meaning of the 85 86 predominant natural frequency (PNF), (ii) the optimal location for installed sensors, and (iii) the influence of scour hole shape. By comparing a modal PNF to one obtained from dynamic 87 88 testing, separation of bridge (structural) frequencies and soil (or computational domain) 89 frequencies is possible. In terms of optimal sensor location, they suggest locating sensors at a 90 point near maximum modal amplitude. For the structure considered in their paper, this is the 91 top of the pier (free end). Furthermore, they propose a new criterion to define scour depths in 92 asymmetrical scour situations to ensure a smooth variation of PNF with scour.

93 Several authors have trialled other types of (non-frequency) vibration-based scour detection 94 methods on both laboratory-scale and full-scale bridges. Foti and Sabia (2010) present a study 95 on a full-scale five span bridge where one of the piers experienced historical scour issues. By 96 monitoring the asymmetric dynamic behaviour of the pier (due to variations in upstream and 97 downstream scour) using the covariance of accelerations measured by an array of sensors along 98 the foundation, they conclude that scour presence is detectable (but the extent is not 99 quantifiable). Briaud et al. (2011) undertook experimental testing on a scaled-model bridge and 100 investigated the performance of a range of approaches at detecting scour. One particular 101 approach was to analyse the root-mean-square of acceleration signals measured in various 102 directions and to use this as an indicator of scour occurrence. The ratio of RMS values showed 103 sensitivity to scour development (Prendergast and Gavin, 2014).

104 The use of mode shapes to detect scour is a relatively recent development. However, mode 105 shapes have been used in other damage detection fields to detect general forms of structural 106 damage (cracks etc.). Damage detection methods based on changes in mode shapes are an 107 alternative to natural frequency-based approaches, and can be advantageous in detecting local 108 damage, and are not as prone to issues such as changes in temperature (Sohn, 2006). Structural 109 damage detection using mode shapes generally consists of either comparing two modes from 110 different health states of the structure, extracting features of the mode shape (e.g. curvature) 111 that are sensitive to damage, or applying signal processing techniques to mode shape data (Fan 112 and Qiao, 2011). Two common methods to compare shapes are Modal Assurance Criterion 113 (MAC) and Coordinate Modal Assurance Criterion (COMAC) (Allemang and Brown, 1982, 114 Dos Santos et al., 2000). MAC is a measure of the correlation between two modes with a value 115 of unity representing a perfect match and a value of zero representing no match between the 116 two modes. Hence, a reduction in MAC value may indicate the presence of damage. Salawu 117 and Williams (1995) test MAC on mode shapes obtained from a concrete bridge before and after repair and find that the MAC values change significantly in comparison to the measured frequency changes. COMAC is a pointwise comparison of two mode shapes, with a low COMAC value indicating possible damage at that point. Frýba and Pirner (2001) use COMAC in the repair of a segmentally constructed pre-stressed concrete bridge and show that the COMAC analysis of a repaired segment was similar to that of an undamaged segment.

123 Pandey et al. (1991) show, using an analytical model, that the mode shape curvature (i.e. the 124 second derivative of the mode shape) can detect damage in both a simply supported beam and 125 a cantilever beam. Wahab and De Roeck (1999) use a mode shape curvature-based method on 126 the Z24 bridge in Switzerland and develop an indicator based on the difference in curvatures before and after damage. Other authors have shown, however, that mode shape curvatures are 127 128 poor for detecting smaller amounts of damage (Ratcliffe, 2000). More detailed reviews of other 129 approaches using mode shapes are depicted in (Carden and Fanning, 2004, Fan and Qiao, 2011, 130 Moughty and Casas, 2017, OBrien and Malekjafarian, 2016, Malekjafarian and OBrien, 2017, 131 Kong et al., 2017).

132 Some previous studies have used mode-shape based approaches to detect and monitor scour 133 erosion. Elsaid and Seracino (2014) investigate the influence of scour on a scaled model of a 134 coastal bridge. Scour is modelled as an increase in the effective length of bridge piles extending 135 from the deck. Mode shape curvature, flexibility-based deflection and flexibility-based curvature are assessed to ascertain their performance at scour monitoring. The study concludes 136 137 that horizontally-displaced mode shapes show sensitivity to the modelled scour. Moreover, the 138 change in the mode shape curvature, flexibility-based deflections and curvatures showed 139 promise in identifying the existence, location and possibly the extent of scour. Xiong et al. 140 (2018b) investigate four scour indicators for a scoured cable-stayed bridge, namely frequency 141 change ratio, MAC, modal curvature and flexibility-based deflection. Flexibility-based 142 deflection is recommended as the most practical way to detect scour. In a separate study, Xiong et al. (2019) present a scour identification approach based on measuring the ambient vibration of the superstructure of a cable-stayed bridge. By analysing the change in the mode shapes at two different times, qualitative scour identification is possible. The authors furthered the procedure to enable quantitative scour identification using a companion FE model of the system, whose soil stiffness is updated to match the real system.

148 The majority of previous works on vibration-based scour monitoring have focussed on changes 149 in natural frequencies to detect scour presence. Approaches using mode shapes have generally 150 focussed on direct comparison of pre- and post-scour modes using MAC-type analyses or have 151 used modal curvature and flexibility-based deflection. The majority of these studies have been 152 applied to cable-stay bridges or bridges with piled foundations. The contribution of the present 153 work relates to the use of information from the mode shape as identified from output-only 154 modal identification to detect local reductions in stiffness resulting from scour-related stiffness 155 losses. The approach developed is applicable to vertical stiffness loss experienced at shallow 156 foundations, since a majority of previous works have focussed on identifying changes in lateral 157 stiffness as would be expected at deeper foundations. Furthermore, the approach is 158 demonstrated in this paper using scaled experimental testing. The first global mode shape of 159 an experimentally scaled bridge with multiple spans is extracted using Frequency Domain Decomposition (FDD) (Brincker et al., 2001). Accelerations from the bridge midspans and 160 161 piers are used as the input to the FDD algorithm, arising due to a model vehicle traversing the structure. 162

A novel scour indicator is proposed whereby the mode shape amplitude at one pier is compared to the mean of the mode shape amplitudes at the remaining piers in a process that creates a Mean-Normalised Mode Shape (MNMS). It is shown that at the scoured pier, the MNMS value increases due to a loss of stiffness as a result of scour. Moreover, the magnitude of the MNMS at a scoured pier increases with further decreases in stiffness. The approach is also capable of 168 detecting which pier is scoured by considering the nature of the changes in the MNMS. The 169 MNMS approach is an improvement on using the mode shape of the system alone, as it is more 170 sensitive to scour than changes in mode shape obtained from MAC analysis. Moreover, only 171 one mode shape is required, namely the damaged mode shape, to derive the required 172 information. This means that a reference (undamaged) mode shape is not required, as would 173 be the case when comparing modes using MAC. The method only requires sensors located at piers so does not suffer from the requirement of many sensors, as would be needed for accurate 174 estimates of modal curvature, for example. The method may be suited to output-only scour 175 176 identification for multi-span bridges founded on shallow pad foundations, which typically have 177 not received much attention in the literature.

178 Scour monitoring approach based on pier mode shape values



179 Numerical Model

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Fig. 1: Numerical model schematic

182 A numerical model is used to introduce the scour detection procedure and a schematic of this 183 is shown in Fig. 1. It represents a bridge with pinned connections (internal hinges) between 184 each of six spans. Each pier is assumed to rest on a shallow pad foundation with underlying 185 soil stiffness. Each span is modelled as a simply supported Euler-Bernoulli beam, the mass and stiffness matrices for which are available in (Kwon and Bang, 2000). The beginning and end 186 187 of the bridge are assumed to rest on undeformable abutments, which are modelled as pinned, and roller supports, respectively. Hence, there are five internal piers. Twenty 1 m long beam 188 189 elements are used for each span in the finite-element model. The beams are connected using 190 nodal hinges with a supporting pier at each connection, modelled as a single degree of freedom 191 (DOF) sprung-mass in the vertical direction.

Each pier is supported by a spring, k_f , which represents the vertical stiffness provided by a shallow pad foundation with notional length, *L* and width, *B* dimensions of 4 m and 2 m respectively. Using these pad dimensions, the stiffness of the spring is calculated using the approach in FEMA (2000), see Eq. (1),

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$$k_{f} = \frac{GB}{1 - \nu} \left[1.55 \left(\frac{L}{B} \right)^{0.75} + 0.8 \right]$$
(1)

where G is the operational shear modulus of the soil (kN m⁻²) and v is the small-strain Poisson 197 198 ratio. An elastic modulus, E = (2G(1+v)), corresponding to a medium dense sand (Prendergast 199 and Gavin, 2016) is assumed for the unscoured stiffness. Note, the expression in Eq.(1) is semi-200 empirical and there exists several formulations that take this approximate form (Pais and Kausel, 1988, Mylonakis et al., 2006). Table 1 lists the main geometrical and material 201 202 properties of the bridge. The second moment of area is calculated by assuming a 4 m wide 203 single-track railway bridge with a rectangular cross-section and the mass and stiffness of the 204 pier is calculated by assuming pier dimensions of 7 m (in y-direction), 1 m (in x-direction) and

- 205 2.5 m (into page) with a modulus of elasticity and density of 35×10^6 kN m⁻² and 2400 kg m⁻³
- 206 respectively.
- 207

Table 1: Properties of bridge used to introduce the scour identification approach

Property	Symbol	Unit	Value
Span length	L	m	20
Beam depth	d	m	1
Beam second moment of area	Ib	m ⁴	0.33
Beam modulus of elasticity	E_b	kN m ⁻²	$35 imes 10^6$
Beam mass per unit length	μ_b	kg m ⁻¹	9.60×10^{3}
Pier mass	m _{pier}	kg	42×10^3
Pier stiffness	k _{pier}	kN m ⁻¹	12.50×10^{6}
Vertical stiffness provided by shallow			
pad foundation	k_{f}	kN m ⁻¹	344.12×10^{3}

208

209 In this work, scour is modelled as a reduction in stiffness of a given vertical foundation spring. 210 It is worth noting that in the real case a loss of rotational stiffness could occur as a result of 211 scour which would result in rocking effects on the pad. This type of situation could arise in the 212 case of asymmetric scour affecting the foundation (Foti and Sabia, 2010). However, the present 213 study specifically focuses on vertical stiffness loss only (Eq. 1). The basis for scour-related 214 stiffness loss lies in the stress and strain dependency of soil stiffness, as discussed herein. The 215 shear modulus of soil (G) typically increases nonlinearly with mean effective stress. The 216 magnitude of this shear modulus at a given depth is a function of the amount of overburden 217 pressure at that location. Scour leads to a local reduction in soil elevation relative to a 218 foundation, which implies the overburden pressure reduces in the vicinity of scoured 219 foundations (Zhang et al., 2017). It can therefore be assumed that scour occurrence would 220 change the operational shear modulus at formation level, although by a small amount. In 221 extreme cases, however, scour can undermine a shallow pad (Scozzese et al., 2019). When this 222 occurs, the contact area between the remaining soil beneath the shallow foundation and the pad 223 is reduced, leading to increased stress on the remaining soil from the applied loads. This

224 increased stress subsequently increases the strain in the soil, due to the typically nonlinear stress-strain relationship of soil. Additionally, the shear modulus of soil is strain-dependant, 225 and typically reduces with strain (Oztoprak and Bolton, 2013, Hardin and Drnevich, 1972). In 226 227 this paper, both the aforementioned mechanisms are assumed to occur leading to a reduction 228 in the vertical stiffness of a foundation under scour. For the geometries considered in the 229 present study, a 30% example loss in stiffness would be expected if the foundation was undermined by scour reducing the soil-foundation contact area from $8m^2$ (4m x 2m) to $5.1m^2$ 230 231 $(3m \times 1.7m)$, with a corresponding reduction in soil shear modulus, G equating to 10% 232 reduction from the small-strain value G_0 (Oztoprak and Bolton, 2013).



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Fig. 2: First mode shape of system for healthy case - 3.70 Hz frequency

235 Fig. 2 shows the first global mode shape of the bridge corresponding to the first natural 236 frequency of the system when there is no scour. The mode shape is derived from the system 237 mass and stiffness matrices by solving the Eigenproblem (Clough and Penzien, 1993). As is 238 evident, each of the bridge spans exhibit a bending shape with each of the piers exhibiting 239 motion in the same direction for this mode. The central pier has the highest maximum mode shape amplitude relative to the remaining piers. The first mode shape of the bridge will be used 240 241 to develop a scour monitoring approach by investigating the sensitivity of this mode to scour 242 at various locations.



243 Mean-Normalised Mode Shape (MNMS) to detect Scour

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Fig. 3: First mode shape amplitude at pier locations of bridge system due to varying levels of stiffness loss as a result of scour at Pier 3 (60 m point).

247 Fig. 3 shows how the stiffness loss due to scour affects the first mode shape of the system for 248 scour at the central pier of the bridge. In this plot, the percentage scour refers to percentage stiffness loss as a result of scour, and is defined as the reduction in vertical foundation stiffness 249 250 with respect to the stiffness of a foundation with zero scour. Only the mode shape values at the 251 pier locations are shown here and the spans are simplified as straight lines. For each scenario, 252 the modes are normalised with respect to the system mass matrix so that they can be 253 quantitatively compared. In this work, a scour indicator based on the first mode shape 254 amplitudes at the locations of the piers is proposed. The first mode shape is used to develop the scour indicator because for this mode, all of the piers exhibit movement in the same direction 255 256 enabling a ratio-type indicator to be created. Fig. 3 shows that the largest change in the mode 257 shape amplitude occurs at the scoured pier. It is of note that the mode shape amplitude is 258 affected at unscoured piers also. At the scoured pier the absolute value of the modal amplitude 259 increases with an increase in scour (reduced stiffness). At unscoured piers, the opposite effect is observed whereby the absolute value of the amplitude decreases with an increase in scour. It 260 261 should be noted that the changes at the scoured pier are much greater than those at the

unscoured piers. Based on this premise, a scour indicator referred to as the Mean Normalised
Mode Shape (MNMS) is proposed to compare the mode shape value of a given pier with those
at the other piers. The mean value of the modal amplitudes of the remaining piers is used as
the metric to compare each pier mode shape value. In mathematical form, the MNMS at any
pier is represented as Eq. (2).

267
$$\{MNMS\}_{x} = \frac{\{MS\}_{x}}{\frac{1}{n-1}\sum_{\substack{k=1\\k\neq x}}^{n}\{MS\}_{k}}$$
(2)

where *n* is the total number of piers, which in this case is equal to five, *x* is the pier number such that $x \in \{1:n\}$, *MS* is a vector of pier mode shape amplitudes and the summation term represents the sum of the pier mode shape amplitudes excluding Pier *x*.





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Fig. 4: MNMS values for each pier for varying levels of scour at Pier 3

Fig. 4 shows how the MNMS at each pier is affected by the stiffness loss due to scour at Pier 3. At the scoured pier (Pier 3), the MNMS increases with an increase in scour severity from 1.46 when there is no scour affecting the bridge to 3.09 when scour corresponding to a 30% decrease in Pier 3 foundation stiffness affects the structure. At other (unscoured) piers, the MNMS values decrease with an increase in scour severity at Pier 3. For example, the MNMS
value at Pier 1 decreases from 0.62 to 0.35 where there is 30% scour at Pier 3.

279 It is clear that the MNMS pattern (Fig. 4) has a strong resemblance to the mode shape values 280 themselves (Fig. 3). The main advantage of using the MNMS over direct mode shape 281 comparison lies with the fact that for mode shapes, normalisation is required to facilitate 282 comparison. The mode shapes derived from an output-only modal method like FDD are not 283 mass-normalised as the input forces are unknown (Khatibi et al., 2012). This means that the 284 magnitude of the mode shape values depends on the amplitude of the input forces. For example, 285 a passage of a heavy vehicle may generate signals with higher modal amplitudes than a lighter 286 vehicle. The normalisation process could affect the observed changes due to scour. A common 287 practice for depicting operational mode shapes is to normalise them with respect to their 288 maximum value (Khatibi et al., 2012). However, normalising the mode shapes in this way 289 could lead to a situation where the modes exhibit no change at the location of the scoured pier 290 - which would be the case in Fig. 3. The metric defined in Eq. (2) avails of the relative changes 291 in the mode at various points, therefore it is insensitive to changes in modal magnitude resulting 292 from the passage of different vehicles.



Fig. 5: First mode shape amplitude at pier locations of bridge system due to varying levels of stiffness loss as a result of scour at Pier 5 (100 m point).



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Fig. 6: MNMS values for each pier for varying levels of scour at Pier 5

298 Fig. 5 shows how increasing stiffness loss at Pier 5 influences the mode shape amplitudes at 299 each pier. Fig. 6 shows the corresponding MNMS values at each pier. The MNMS values 300 defined in Eq. (2) experience a greater percentage change at the scoured pier than the raw mode 301 shape values at this location (335% as opposed to 200% for the 30% scour case). Note also that 302 the mode shapes in this case are mass-normalised mode shapes directly from Eigen-analyses. 303 In the real case, they would have to be computed from time domain data, making them less 304 reliable. Fig. 6 exhibits a broadly similar trend to that of Fig. 4 in that at the scoured pier, the 305 MNMS value increases while at the unscoured piers it decreases. However, in this case, Pier 4 306 which is closest to the scoured pier also exhibits an increase in MNMS value. The mode shape 307 itself also reflects this (see Fig. 5) as both Piers 4 and 5 show an increase in absolute mode 308 shape value due to scour at Pier 5.

309 Frequency Domain Decomposition (FDD)

It is not possible to extract the mode shapes using an eigenvalue analysis on a real structure. Instead, it is necessary to derive modal information by analysing time-domain signals measured from a target structure. In this work, Frequency Domain Decomposition (FDD) (Brincker et al., 2001) is used as a means to extract mode shapes from acceleration measurements. FDD is an output-only modal identification method, i.e. it enables estimation of the system dynamic 315 parameters without prior knowledge of the input excitation. The approach is suitable for a 316 scenario where a bridge is excited by unknown vehicle properties.

FDD begins with the estimation of the power spectral density (PSD) matrix, $\hat{G}(j\omega)$, from the various responses at discrete frequencies for $\omega = \omega_i$. Next, $\hat{G}(j\omega)$ is decomposed at each frequency by applying Singular Value Decomposition (SVD) (Brincker et al., 2001) to obtain Eq. (3).

$$\hat{G}(j\omega_i) = U_i S_i U_i^H \tag{3}$$

where U_i is a unitary matrix of singular vectors, S_i is a diagonal matrix holding the singular values and *H* denotes the complex conjugate of the matrix. Using the singular values obtained at each frequency, an SVD diagram can then be plotted. From this plot, the natural frequencies of the structure can be obtained from the dominant peaks and the corresponding singular vectors are the mode shapes.

327 Minimum stiffness loss that can be detected by the MNMS approach under

328 noisy conditions

329 It is of interest to assess the minimum stiffness loss that can be detected by the approach 330 postulated in this paper. To investigate this, a time-domain analysis is conducted whereby the 331 external excitation is by means of a simulated quarter car crossing the bridge model described 332 previously. A quarter car (with two degrees of freedom and crossing speed of 80 km/h) is coupled with the bridge model to form a vehicle-bridge interaction (VBI) model (Keenahan et 333 334 al., 2013, OBrien et al., 2017) and properties of the quarter car are taken from the literature 335 (Fitzgerald et al., 2019b). Forced vibration data and 5s of free vibration pier acceleration data 336 extracted from the VBI model is inputted to the FDD algorithm from which the mode shapes are extracted. Before they are inputted into the FDD algorithm, noise is added to the clean 337

acceleration signals from the model to generate more realistic accelerations. Random noise isadded to the acceleration signals using Eq. (4):

$$\{a\} = \{a_{calc}\} + E_p\{N_{noise}\}\{a_{\max}\}$$

$$\tag{4}$$

341 where a is the noisy acceleration signal, E_p is the level of noise, N_{noise} is a normally distributed 342 vector with a standard deviation equal to one, a_{calc} is the clean acceleration signal outputted 343 from the VBI model and a_{max} is the maximum value of the signal. The level of noise is chosen 344 to be 5%, which is consistent with values used in the literature (Zhu and Law, 2002, 345 Malekjafarian and OBrien, 2014). Keeping the same excitation source, the mode shape 346 extraction process is repeated 10 times, each for a healthy bridge case, and seven scour 347 scenarios ranging from a 2.5% to 17.5% stiffness loss at the central bridge pier. The MNMS is calculated for each run in every scenario, enabling mean and standard deviations of MNMS 348 values to be obtained for each case. Fig. 7 shows an error bar plot (mean +/- one standard 349 350 deviation) for the MNMS value of the central pier. It can be seen that there are overlaps in the 351 error bars for the lower stiffness loss cases and the healthy case (0% stiffness loss). At around 352 7.5% stiffness loss, there is a clear distinction relative to the error bars of the healthy case. 353 However, the error bars for stiffness losses between 2.5% and 12.5% show an overlap with one 354 another. This suggests that a more realistic estimation for the minimum stiffness loss that can 355 be detected would be greater than 10%. Here, for differences of 12.5%, there are no overlaps 356 between the error bars.







359 Experimental Model

The previous sections introduced the concept of MNMS and demonstrated it via numerical modelling. In this section, a scaled model of a bridge with multiple simply supported spans has been developed to experimentally validate the MNMS concept. The tests were conducted in a laboratory at Kyoto University in Japan.

364 Bridge



365

367 Fig. 8: Experimentally scaled multi-span bridge (a) full bridge (b) pier supported on four springs (in this case 368 Pier 1) (c) rigid support at bridge extremes 369 The model bridge consists of four spans supported on three piers - see Fig. 8(a). The bridge 370 was traversed by a scaled model vehicle to generate the external excitation. Each pier was 371 founded on four springs of equal stiffness, to provide vertical stability, and bearings were used 372 to create pin and roller supports (Fig. 8(b)). The start and end of the bridge rest on rigid supports 373 and do not deflect (Fig. 8(c)). Table 2 provides the properties of the beam used for each bridge 374 span.

Property	Unit	Value
Span length	mm	1300
Width	mm	300
Beam depth	mm	8.07
Second moment of area	m^4	1.31×10^{-8}
(rectangular cross section)		
Modulus of elasticity	N m ⁻²	2.05×10^{11}
Density	kg m ⁻³	7850

Table 2: Span details

377 The stiffness of the foundation springs was determined from load-displacement testing and 378 benchmarked against geotechnical analyses assuming small-strain linear behaviour, which is 379 appropriate for bridges traversed by moving vehicles. Spring values were defined for the scaled 380 model and a scaling criterion was applied to check compliance at full-scale dimensions, as 381 described herein. The stiffness of each spring used in the experiment (for the healthy bridge scenario) is 49 N mm⁻¹. As four springs were used in parallel beneath each pier, the 382 experimental equivalent stiffness under each support, $k_{t,EXP}$, was 196 N mm⁻¹. In order to 383 achieve compliance with an equivalent full-scale model, a scaling criterion is defined as the 384 385 ratio of (i) the midspan deflection of a simply supported beam with a unit static load applied 386 directly at midspan, and (ii) the deflection of the support spring when a unit static load is 387 applied directly over a pier. In the numerical model employed to introduce the procedure in the previous section, the stiffness of the pier (k_{pier}) is greater than the foundation stiffness (k_f) by a 388 389 factor of 36. Therefore, in this criterion the equivalent stiffness of the two in series is governed 390 by the stiffness provided by the shallow pad foundation.

In mathematical form, the midspan deflection of a simply supported beam due to a static unitload at the centre is shown in Eq. (5)

$$d_{mid} = \frac{L^3}{48EI} \tag{5}$$

where *L* is the beam span length, *E* is the Young's Modulus and *I* is the beam second moment of area. The deflection at a pier, d_{pier} , due to a unit static load immediately overhead, is simply the reciprocal of the stiffness provided by the shallow pad foundation (i.e. $1/k_f$). By maintaining the ratio of d_{mid} to d_{pier} between a full-scale numerical model and the scaled experiment, the experimental foundation stiffness can be represented as Eq. (6)

$$k_{f,EXP} = k_{f,NUM} \left(\frac{L_{NUM}^3 E_{EXP} I_{EXP}}{L_{EXP}^3 E_{NUM} I_{NUM}} \right)$$
(6)

400 where subscripts *EXP* and *NUM* denote the experimental and numerical full scaled model 401 respectively.

Using the scaling criterion defined in Eq. (6) and taking values of L, E and I from Tables 1 and 402 403 2, the stiffness provided by an equivalent shallow pad foundation in a full-scale case, $k_{f,NUM}$, is calculated to be 234×10^3 kN m⁻¹. In order to check the validity of this assumption, a 404 405 benchmark geotechnical case is considered. Using the approach in Fitzgerald et al. (2019b) and 406 FEMA (2000), and taking appropriate values for sand shear modulus from Prendergast and 407 Gavin (2016), the stiffness provided by a shallow pad foundation of length, 4 m and width, 2 m is 172×10^3 kN m⁻¹ for a loose sand and 344×10^3 kN m⁻¹ for a medium dense sand. The 408 409 scaled experimental spring stiffness used in the present study lies within this range and can 410 therefore be understood to represent a loose to medium dense uniform sand deposit. The mass 411 of each pier, m_{pier} , was 12.56 kg, obtained from measuring the approximate volume of steel 412 directly above the four springs.





415 Fig. 9: Accelerometer locations (a) schematic of positions on midspan and pier (b) picture of one pier Accelerometers were installed on each of the piers and at the midspans (Fig. 9). Seven bridge 416 417 acceleration measurements were recorded ($3 \times Piers$ and $4 \times Midspans$). Optical sensors were 418 also installed at the beginning and end of the bridge, enabling the timing of when each vehicle 419 axle arrived and departed the bridge be obtained. To model the reduction in stiffness due to scour at a pier, the springs under the pier (Figs. 8(b), 9(b)) were replaced with four springs of 420 421 a lower stiffness value for a given scour case. Two scour cases were considered, Case I where parallel springs, each of stiffness 37 N mm⁻¹, were used and Case II where parallel springs with 422 stiffness of 27 N mm⁻¹ were used. These cases equated to 24.5% and 44.9% stiffness reductions 423 from the healthy case where each parallel spring had a stiffness of 49 N mm⁻¹. 424

425 Vehicle







436 The vehicle speed was kept constant by an electronic controller as it traversed the bridge. Traversing speeds of 1.14 m/s and 1.26 m/s were used in this experiment. Two different tractor 437 masses, 24.3 kg and 26.3 kg were investigated to study potential sensitivity issues. The sprung 438 439 mass (i.e. the mass supported by springs) of the tractor for these two weights was 20.7 kg and 22.7 kg respectively. The trailer mass was 13.7 kg (of which 10.1 kg was sprung). The vehicle 440 441 was maintained on the bridge by two steel tracks, see Fig. 11. Accelerometers were installed on the tractor and trailer in the locations shown in Fig. 10(b) which allows the vehicle 442 443 frequencies to be calculated. The tractor had bounce and pitch frequencies of 3.1 Hz and 4.7 444 Hz respectively (for the 20.7 kg case) and the trailer had bounce and pitch frequencies of 6.6 Hz and 3.5 Hz respectively. These were obtained using free vibration vehicle acceleration 445 measurements (after the vehicle has come to a halt) which were subsequently analysed using 446

- 447 Frequency Domain Decomposition (FDD) (Brincker et al., 2001), enabling the pitch and
- 448 bounce modes be distinguished.



450

Fig. 11: Vehicle Tracks

451 **Experimental Results**

The concept of using relative pier mode shape amplitudes is introduced in a previous section using theoretical mode shapes extracted from Eigen-analyses (Clough and Penzien, 1993) and a brief numerical demonstration. In this section, the procedure is applied to the acceleration signals generated at various points on a scaled bridge structure (see previous section) to ascertain how successful the approach is when the modal information is extracted directly from time signals incorporating natural experimental error.

458 **Procedure**

In the experimental tests, the model vehicle traversed the bridge at a specified velocity resulting in four acceleration measurements from the midspans and three from the piers. The resulting accelerations contain components relating to both the vehicle-induced vibrations and the subsequent free vibration. The time-domain signals are analysed using FDD to identify the mode shapes. Two vehicle speeds and tractor masses are investigated to ascertain how experimental variation influences the results. The FDD processing is undertaken in the MATLAB programming environment.



466 Extraction of mode shapes for healthy case

467

468Fig. 12: Experimental FDD frequency picking from singular values of the spectral density matrix for vehicle
crossing at speed of 1.26 m/s (with tractor mass of 22.7 kg)

470 Fig. 12 shows the 1st singular values of the Power Spectral Density (PSD) matrix obtained by 471 applying FDD to the seven acceleration signals resulting from the vehicle (with tractor mass 472 of 22.7 kg) traversing the bridge at 1.26 m/s. As is evident, many peaks appear on the plot, each corresponding to a different mode of vibration. To demonstrate the process of deriving 473 474 the mode shapes, three peaks are identified herein at 9.77 Hz, 11.72 Hz and 14.06 Hz. There is 475 also a smaller peak visible at 6.25 Hz, which correlates to a pier rocking mode. Fig. 13 shows 476 the extracted mode shapes corresponding to the three frequency peaks selected in Fig. 12. For 477 ease of visualisation, a spline curve is fitted to the extracted points.

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478

479 Fig. 13: Mode shapes derived from experimental accelerations - (a) 9.77 Hz mode, (b) 11.72 Hz mode, (c) 14.06
 480 Hz mode

481 Fig. 13(a) shows the first mode of the structure, at a frequency of 9.77 Hz. This mode shape 482 resembles that of the numerical model of the full-scale structure shown in Fig. 2 in that all the 483 piers are moving in the same direction. This is the 'first' mode shape and is the primary focus 484 of the present work to detect a loss of stiffness due to scour. The 11.72 Hz mode (Fig. 13(b)) 485 differs from the 9.77 Hz mode in that Pier 2 (the centre pier) is moving in a different direction to Piers 1 and 3. Finally, in the 14.06 Hz mode (Fig. 13(c)), the piers exhibit negligible 486 movement in comparison to the midspans. Due to this, the 9.77 Hz and 11.72 Hz modes would 487 488 have an expected change due to scour but the 14.06 Hz mode would not (as the piers have 489 insignificant modal amplitudes in this mode).

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490

491 Fig. 14: First four mode shapes of system from numerical model - (a) 9.66 Hz mode, (b) 10.55 Hz mode, (c) 492 12.09 Hz mode, (d) 13.85 Hz mode

493 A numerical model of the scaled experimental arrangement is developed using the approach 494 described previously and using the experimental parameters in Table 2. Fig. 14 shows the mode 495 shapes of the first four frequencies derived from the numerical model by solving the 496 Eigenproblem of the system matrices (Clough and Penzien, 1993). It is worth noting that the pier stiffness, k_{pier} , in the model is assumed to be infinite compared to the foundation stiffness, 497 k_f . Here, the value of k_{pier} is selected by multiplying k_f by 10⁴ (i.e. an arbitrary large number). 498 499 The steel tracks are also included in the numerical model so the beam second moment of area and cross-sectional area are altered to account for this. With the tracks included, these 500 properties are 21.67×10^3 mm⁴ and 2549 mm² respectively. 501

502 A comparison of the experimental mode shapes (Fig. 13) derived from the time-domain 503 acceleration signals and the numerically calculated ones (with the experimental model 504 parameters - Fig. 14) shows clear similarities. The experimental and numerical modes of 9.77 505 Hz and 9.66 Hz (Figs. 13(a) and 14(a)), 11.72 Hz and 12.09 Hz (Figs. 13(b) and 14(c)) and 506 14.06 Hz and 13.85 Hz (Figs. 13(c) and 14(d)) show a clear correspondence, which provides a 507 reasonable level of confidence in the experimental results from the FDD algorithm. Given the 508 difficulties associated with accurately modelling the real experimental situation, the differences 509 in the frequencies between numerical and experimental cases are relatively minor. The 510 numerical mode of 10.55 Hz (Fig. 14(b)) is not sufficiently excited by the traversing model 511 vehicle in the experiment to show in the peak selection process in Fig. 12. Of note is the 512 frequency for the numerical mode in Fig. 14(d). The frequency of 13.85 Hz is the same as the 513 first natural frequency of a single span simply supported beam case. This is unsurprising as the piers do not show any deflection in Fig. 14(d), equivalent to pinned supports. 514



515 Extraction of mode shapes for scoured case

516

Fig. 15: First mode shape (derived from experimental accelerations) for different scour scenarios for vehicle
crossing at speed of 1.26 m/s (with a tractor mass of 22.7 kg) – (a) Healthy case, (b) 24.5 % stiffness loss at Pier
2, (c) 24.5 % stiffness loss at Pier 3

520 Fig. 15 shows how the first mode shape of the experimental bridge changes for the scour 521 scenarios equivalent to 24.5% stiffness loss at Pier 2 (with other piers remaining healthy) and 24.5% foundation stiffness loss at Pier 3 (with the other piers remaining healthy). For each 522 523 case, the change in mode shape amplitude is greatest at the location of the scoured pier. Table 524 3 shows the MNMS values which are defined in Eq. (2) for the scenarios in Fig. 15. The MNMS 525 value at the scoured pier increases due to scour stiffness loss while the MNMS values at the 526 other piers decrease. This generally corroborates the findings from the numerical study in a 527 previous section. Moreover, the percentage increases in the MNMS values are greater for the case of scour at Pier 3 than at Pier 2 - 0.93 to 2.78 (198.9% increase) vs 1.47 to 2.93 (99.3% 528

529 increase). This is in line with the findings from the numerical study in that the MNMS value

530 increases more for stiffness loss at an off-centre pier than at the central pier.

531 Table 3: Experimental MNMS values calculated for 24.5% stiffness reduction (using values marked in Fig. 15)

Scour Condition	MNMSPier 1	MNMSPier 2	MNMSPier 3
Healthy	0.70	1.47	0.93
24.5% Scour Pier 2	0.39	2.93	0.64
24.5% Scour Pier 3	0.32	0.77	2.78

532

533 Sensitivity of MNMS for different locations and severities of scour

534 considering vehicle condition variability

535 Fig. 16 shows the MNMS values for scour at Pier 2 calculated from different vehicle runs for a healthy case and stiffness losses due to scour of 24.5% and 44.9%. Two different tractor 536 537 masses and vehicle speeds are investigated with each repeated three times for each scenario. The tractor masses tested are 24.3 kg and 26.3 kg, and the vehicle speeds are 1.14 m s⁻¹ and 538 1.26 m s⁻¹. The MNMS values in Fig. 16 are shown relative to each vehicle run and the specific 539 540 conditions are shown below Fig. 16(c). The MNMS values are quite repeatable for each scour 541 case. This is not unexpected, as the indicators are based on a vibration mode of the structure, 542 so they should not be significantly affected by a change in vehicle parameters. The results for 543 the case considered (scour at Pier 2) show that the MNMS increases in value at the scoured 544 pier for the two scour magnitudes considered and decreases at the remaining piers (relative to 545 the healthy case).

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546

Fig. 16: MNMS values for scour at Pier 2 repeated for multiple vehicle runs where M1 and M2 refer to tractor
masses of 24.3 kg and 26.3 kg respectively and V1 and V2 refer to vehicle speeds of 1.14 m/s and 1.26 m/s
respectively (a) MNMS_{Pier1} (b) MNMS_{Pier3}



Fig. 17: Mean and one standard deviation error bar plots of scour scenarios at Pier 2 and Pier 3 for severities of 24.5% and 44.9%

553 Fig. 17 (left side) shows the mean of the MNMS values for the 12 runs considered in Fig. 16 +/- one standard deviation (shown by error bars on the plot). The same information is shown 554 555 on the right side of the plot for the case where the scour is at Pier 3. There is a clear distinction 556 between the regions defined by the error bars for each scour scenario. In other words, the error bars do not overlap, which shows that the effect of scour outweighs any variability effects 557 558 (within 1 standard deviation) due to the vehicle changes considered or natural variability due to measurement error. It can also be seen in Fig. 17 that the scale of the increases at the scoured 559 560 pier are far greater than the changes at the unscoured piers, making it clear which pier is scoured 561 for a given case. Similar to the findings in the numerical study, the MNMS experiences a 562 greater increase for off-centre piers than for central piers. This is shown in Fig. 17 for the 44.9% 563 scour case where there is a larger change in MNMS at Pier 3 for the case of scour at Pier 3 than 564 the change in the MNMS at Pier 2 for the case of scour at Pier 2.

565 **Performance of MNMS against Modal Assurance Criterion (MAC)**

566 The performance of the MNMS approach against traditional damage-detection methods based 567 on comparing healthy and damaged mode shapes using MAC is of interest. In this section, a 568 brief analysis is conducted to assess the relative performance of MNMS and MAC as indicators 569 of scour damage. The experimental results from vehicles crossing the model bridge are used to 570 derive the mode shapes for the case of the healthy bridge, and the bridge 'damaged' by scour with stiffness reductions of 24.5% and 44.9% at Pier 2. In total, six crossing of the healthy 571 572 case, and six crossings for each of the two damage cases are used to obtain the mean values of 573 the mode shapes for each condition. MAC is defined as in Eq. (7)

$$MAC = \frac{\left|\Phi_{healthy}^{t}\Phi_{damaged}\right|^{2}}{\left|\Phi_{healthy}^{t}\Phi_{healthy}\right|\left|\Phi_{damaged}^{t}\Phi_{damaged}\right|}$$
(7)

where Φ_{healthy} is the mode shape obtained from the healthy bridge, Φ_{damaged} is the mode shape obtained from the scoured bridge and "t" defines the matrix transpose. If the mode shapes are identical, the MAC will have a value of one, but if they are very different, the MAC value will be close to zero.

Table 4 shows the results of the MAC analysis. A MAC value of 0.9 between healthy and 578 579 damaged mode shapes is obtained for the case of 24.5% scour-related stiffness loss at Pier 2. 580 This reduces to 0.71 for an increased stiffness reduction to 44.9% at Pier 2. Table 5 shows the 581 MNMS derived for the same conditions. For 24.5% scour at P2, MNMS at P2 increases by almost 100%, and decreases by 41% and 34% at P1 and P3 respectively. For 44.9% scour at 582 583 P2, MNMS at P2 increases by almost 341%, and decreases by 61% and 71% at P1 and P3 584 respectively relative to the zero scour case. From this analysis, it can be seen that MNMS is 585 more sensitive to scour damage than MAC, and moreover the location of scour can be detected 586 by observing the relative changes in the MNMS value at each pier. MNMS is potentially a 587 better indicator than the traditional MAC value for scour type damage detection.

588

Table 4 MAC Analysis

	Modal Amplitudes (-)			
Case	Pier 1	Pier 2	Pier 3	MAC
Healthy	-0.23	-0.36	-0.28	-
24.5% Scour	-0.14	-0.45	-0.19	0.9
44.9% Scour	-0.10	-0.62	-0.10	0.71

589

590

Table 5 MNMS Analysis

Case	MNMS _{P1}	% Change	MNMS _{P2}	% Change	MNMS _{P3}	% Change
Healthy	0.71	-	1.41	-	0.96	-
24.5%	0.42	-41	2.82	100	0.63	-34
Scour						
44.9%	0.28	-60	6.24	341	0.28	-71
Scour						

592 **Conclusions**

This paper presents an approach to detect stiffness loss arising due to scour based on relative 593 594 changes of vertical pier mode shape amplitudes. The method is tested using a scaled 595 experimental model of a bridge traversed by a vehicle. The experimental mode shapes are 596 extracted from acceleration signals arising due to the vehicle crossing using an output only 597 modal identification technique, namely Frequency Domain Decomposition. A scour 598 monitoring feature (MNMS) is defined, based on the first global mode shape of the structure 599 and is shown to increase significantly at a scoured pier. At the location of the scoured pier the 600 magnitude of the MNMS also increases with scour severity, suggesting that progressive scour 601 development could potentially be monitored. As the algorithm used is an output-only one, it 602 has the advantage of negating the requirement of knowing any details about the vehicle 603 excitation forces. Furthermore, material and geometrical information about the bridge such as 604 second moment of area or density, do not need to be known in order to apply the method. 605 Repeated vehicle runs to excite the bridge allow the MNMS to be derived and monitoring 606 changes in this metric alone can potentially detect scour. In practice, an initial visual inspection 607 of the bridge may help to determine the scour condition at the time of instrumentation, and this 608 would be the benchmarked 'unscoured' case. Once instrumented, the bridge can potentially be 609 monitored on a continual basis using the method proposed in this paper.

It should be noted that while scour is the target damage in the present study, other forms of damage such as concrete spalling or corrosion will also lead to changes in stiffness of a structure. Separating the scour influence from other damage types is challenging, however by the very nature of scour occurring at supports, the relative changes in stiffness due to scour are expected to be larger than would arise under other damage types. Additionally, if the MNMS were to detect some form of stiffness loss (from scour or otherwise), this could be used to trigger a manual visual inspection. It is therefore not so important to separate scour from other damage as the end result for a bridge manager is to detect any issues arising in the structure tofacilitate the safe management of the asset.

619 The analysis in this paper considers scour at only one pier at a time to demonstrate the approach. 620 Scour at multiple piers simultaneously can cause issues with the method as it is derived using 621 the sum of the modal amplitudes at all piers to identify scour at a given affected pier. The 622 method therefore does not work well when scour affects multiple piers of a bridge 623 simultaneously. However, due to asymmetry in water-flow characteristics across a river 624 channel cross-section, it is unlikely for temporal scour development to be equal at multiple 625 piers, therefore the approach should still be capable of identifying scour occurrence once it 626 begins at a given pier.

While the approach was successfully demonstrated with an experimental scaled bridge in the present study, a full-scale deployment is recommended before firm conclusions on the efficacy of the method can be made. This is due to the natural differences that arise between 1g scaled experimental testing and full-scale applications.

631 The approach described in this paper is novel in terms of bridge scour detection and will be632 beneficial to the evolving vibration-based scour monitoring field.

633 Data Availability Statement

Some or all data, models, or code that support the findings of this study are available from thecorresponding author upon reasonable request. (Experimental Data.)

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