# A phase-sensitive optomechanical amplifier for quantum noise reduction in laser interferometers

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The sensitivity of future gravitational wave interferometers is expected to be limited throughout the detection band by quantum vacuum fluctuations, which can be reduced by quantum nondemolition methods such as squeezed vacuum injection. However, optical losses in the readout chain severely limit the effectiveness of such schemes. We propose an optomechanical device to be installed at the output of the detector that mitigates the effect of readout loss, thus allowing the detector to better exploit quantum noise evasion schemes.

# I. INTRODUCTION

The first direct detection of gravitational wave (GW) signals from outer space was achieved by the LIGO collaboration in 2015 [1], marking the beginning of gravitational wave astronomy. Since then, multiple other detections have been announced [2-7]. These signals were produced by some of the most violent events in the universe-the coalescence of compact objects such as black holes and neutron stars. They provide decisive tests of general relativity in the strong-gravity regime, may give clues about the rich nuclear physics within the ultra-dense cores of neutron stars, and most importantly have the potential to teach us many unexpected lessons about the universe. Some of the most interesting physics of these phenomena appear during and after these merger events, but the details of the signal wave forms detected so far have been masked by the photon shot noise in the interferometers' readout, as required by the Heisenberg uncertainty principle.

Despite the limitations imposed by the quantum nature of light, shot noise is not a fundamental limit of nature, and therefore can be mitigated by clever optical techniques. In the 1980's, Carlton Caves showed that the sensitivity of shot noise-limited interferometers can be improved by injecting 'squeezed vacuum' [8]. This paved the way for 'quantum non-demolition' technologies for GW detection, such as those explored in [9]. Since then, the squeezed injection has been implemented successfully both at GEO600 [10] and at LIGO [11]. However, the extent to which sensitivity can be improved by this technique is limited by losses incurred within the interferometer optics, leading to decoherence of the squeezed field.

In this work, we introduce the idea of modifying the interferometer with the addition of an optical layout that serves as an ultra-low noise, high gain, and phasesensitive optomechanical amplifier for the GW signal, as shown in Figure 1. We show that this amplifier can mitigate the effect of optical losses in the readout chain and therefore let us listen to the universe in higher fidelity.

This paper is organized as follows. We begin in Section II with a qualitative discussion of the role of quantum noise mitigation in specific future upgrade of LIGO (LIGO Voyager [12, 13] – a next generation upgrade for LIGO), and the need for an amplifier. Then, in Section III, we explain the physics of optomechanical amplification, and provide some simple formulae whose detailed derivations are postponed to Appendix A. We then propose, in the same section, an optical layout for the amplifier. In Section IV, we discuss noise sources within the amplifier, which necessarily limit the amplifier's performance. The effectiveness of the amplifier is further limited by noise sources within the main interferometer (IFO), the most serious of which are discussed in Section V. Finally, in Appendix B we discuss some parameter choices for the proposed design, and in Appendix C we discuss prospects for a more ambitious quantum amplifier.

# II. QUANTUM NON-DEMOLITION FOR LIGO VOYAGER

Voyager is a planned cryogenic upgrade to the terrestrial gravitational wave detectors [12]. The primary sensitivity improvement is realized by operating with silicon test masses and amorphous silicon optical coatings at 123 K. At this temperature, the coefficient of linear thermal expansion of silicon,  $\alpha$ , vanishes, which drives down phase noise imparted by thermally-driven fluctuations in the interferometer. Design studies and early R&D suggest that the sensitivity of Voyager will be limited throughout the detection band of 10-4000 Hz by quantum noise, provided that the Brownian noise of the optical coating is sufficiently mitigated (see Section V for more details). To reduce the quantum noise, squeezed vacuum is injected via the anti-symmetric port [8]. The rotation angle of the noise ellipse of the squeezed vac-

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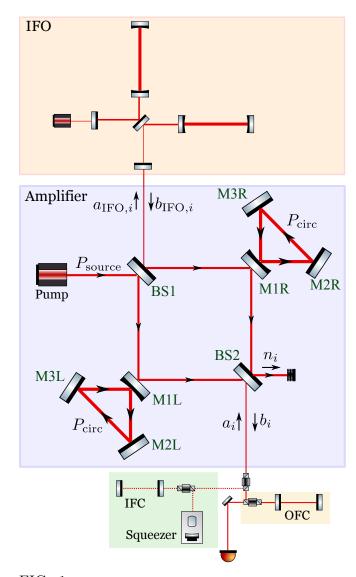


FIG. 1: Optical layout for the Mach-Zehnder amplifier (shaded in light purple) installed between the antisymmetric port of the IFO (shaded in light red) and the readout chain, with arrows indicating the direction of the amplifier pump. *The various subsystems are not drawn* to scale. IFC: input filter cavity; OFC: output filter cavity; BS1, BS2: 50/50 beam splitter; M1R, M1L: highly reflecting mirror; M2R, M2L, M3R, M3L: perfectly reflecting mirror

uum required for broadband sensitivity improvement is frequency-dependent. This frequency-dependence is realized by installing a filter cavity along the injection path [9]. We refer to this as the 'input filter cavity' (IFC), which is illustrated in Figure 1.

In principle, injecting more strongly squeezed vacuum improves the sensitivity monotonically. In practice, however, the improvement is limited by optical losses in various parts of the interferometer. At each point where loss occurs, the coherence of the squeezed vacuum is degraded. For instance, it is anticipated that optical losses in the Voyager arm cavities will be reduced to the tens of ppm level per round-trip, due to ultra high quality optical surfaces and coatings. However, the readout chain, consisting of an Output Faraday Isolator (OFI), Output Mode Cleaner (OMC), and balanced homodyne detection with photodetectors that have imperfect quantum efficiency, is expected to introduce significant loss, possibly at the level of 10%. Mitigating the readout loss directly would require substantial effort to improve multiple pieces of technology. However, requirements on these losses can be relaxed by pre-amplifying the GW signal, provided that the amplifier (a) has ultra-low noise, (b) has high gain in the frequency band of the GW signal, and (c) is phase-sensitive and therefore immune to the quantum mechanical limits of phase-insensitive amplifiers described in [14]. In the remainder of this paper, we show how an optomechanical amplifier installed between the GW interferometer and the readout chain achieves all three properties, and discuss the resulting impact on detector sensitivity.

# III. OPTOMECHANICS FOR PHASE-SENSITIVE AMPLIFICATION

In this section, we begin by reviewing the physics of optomechanical amplification in Section III A. Then we propose an optical layout and design parameters for the amplifier in Section III B, and explain how it should be incorporated in the main interferometer.

We analyze optomechanical interactions using the two-photon formalism developed by Caves and Schumaker [15, 16], and reviewed in Sec. II. A. of [9]. In particular, we use the notation and Fourier transform convention followed in the latter. We let  $\omega_0/2\pi$  denote the carrier frequency of the laser beam in the main interferometer, and  $\Omega/2\pi \leq 4$  kHz denote the signal sideband frequency.

### A. Optomechanical amplification

Optomechanical amplification [17] is a process by which a signal beam is amplified (i.e. anti-squeezed) via the radiation pressure coupling between the optical field and the mechanical modes of a suspended mirror. To enhance the coupling, the signal beam can be applied to the mirror together with a co-propagating pump beam. We decompose the signal into two quadratures in the usual way, referring to the quadrature in phase with the IFO pump amplitude as the 'amplitude quadrature', and the orthogonal quadrature as the 'phase quadrature'. Signal and pump fields interfere to produce amplitude and phase fluctuations in the light incident on the mirror. Incident amplitude fluctuations exert radiation pressure, which displaces the mirror, thus modulating the phase of the reflected light (cf. eqs. 1, 2). In the limit of a strong pump and low mirror mass, the induced phase fluctuations on reflection may be much larger than the amplitude fluctuations of the incident signal. Since this process only amplifies one quadrature, it is phase-sensitive and therefore not subject to the quantum mechanical noise limits of phase-insensitive amplifiers [14].

# B. Mach-Zehnder amplifier

For the optical layout of the amplifier, we propose a Mach-Zehnder (MZ) configuration to be installed between the anti-symmetric port of the main LIGO interferometer and the readout chain, as shown in Figure 1. The topology consists of two input ports, with the output signal from the LIGO interferometer (labeled as  $b_{\text{IFO},i}$ ) injected into one, and a pump with the same carrier frequency (labeled as  $P_{\text{source}}$ ) injected into the other. The pump and signal are combined at a 50/50 beamsplitter (BS1) and split into two beams, with each beam directed to a separate triangular ring cavity. In each ring, the beating between the pump and signal produces the optomechanical amplification discussed in Section III A. To enhance the gain, the mirrors of the ring are designed to weigh as little as possible, and the cavity length is locked to have the pump field be resonant, in order to achieve high circulating power. Finally, the output beams of the rings are recombined at a second 50/50 beamsplitter (BS2), with the amplified signal (labeled as  $b_i$ ) measured at one port and the strong pump field dumped at the other.

We now present the input-output relation for the MZ amplifier, where  $b_{\text{IFO},i}$  denote the field amplitudes for the input modes, and  $b_i$  the field amplitudes for the output modes, as shown in Figure 1. The normalization of the field amplitudes is defined in (6) of [9]. We assume that the GW signal is contained in only one quadrature,  $b_{\text{IFO},1}$ , which is the case for the Resonant Sideband Extraction (RSE) configuration in which Voyager is planned to be operated in. Our amplifier is then designed to amplify this quadrature.

We let  $R_{\rm A}, T_{\rm A}$  denote the power reflectivity and transmissivity respectively of the M1L & M1R mirrors, and  $L_A$  the round-trip length of each ring. A more exact calculation in the lossless limit is given in Appendix A, where additional assumptions are explained. Here we simply present the result in the limit  $\Omega L_A/c \ll 1$  and  $T_{\rm A} \ll 1$ , giving

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = e^{2i\eta} \begin{pmatrix} 1 & 0 \\ -\mathcal{K}_{\mathrm{A}} & 1 \end{pmatrix} \begin{pmatrix} b_{\mathrm{IFO},1} \\ b_{\mathrm{IFO},2} \end{pmatrix},$$
(1)

where

$$\mathcal{K}_{A} = \frac{4}{T_{A} \left[ 1 + \left( \Omega / \gamma_{A} \right)^{2} \right]} \kappa_{A},$$
  

$$\kappa_{A} = -\frac{18\omega_{0}P_{\text{circ}}}{c^{2}} \chi_{A},$$
  

$$\eta = \arctan\left( \Omega / \gamma_{A} \right), \qquad \gamma_{A} = \frac{cT_{A}}{2L_{A}}, \qquad (2)$$

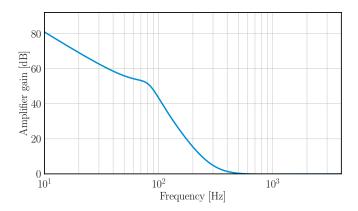


FIG. 2: Optomechanical gain of the amplifier as a function of signal frequency, as seen in the frequencydependent readout quadrature. The "bump" on the curve is simply due to filtering by the OFC.

with  $\gamma_{\rm A}$  as the cavity pole frequency,  $P_{\rm circ}$  as the power circulating in each ring,  $\chi_{\rm A}$  as the mechanical susceptibility of the movable mirror which for a mirror of mass  $m_{\rm A}$ suspended as a lossless pendulum of natural frequency  $\Omega_0/2\pi$  is given by

$$\chi_{\rm A} = \frac{1}{m_{\rm A} \left( -\Omega^2 + \Omega_0^2 \right)},\tag{3}$$

and c as the speed of light. Moreover, for the beam propagating through the amplifier in the reverse direction (i.e., from  $a_i$  to  $a_{\text{IFO},i}$ ), there is no amplification because the beam and pump counter-propagate. Hence, the inputoutput relation is a trivial phase shift (A8). We defer a more complete discussion of the counter-propagating mode for Section IV C. Finally, note that a complete calculation requires the input-output relation for the IFO (i.e., from  $a_{\text{IFO},i}$  to  $b_{\text{IFO},i}$ ) which was already derived in [18], including the effect of optical losses.

The MZ topology overcomes several challenges associated with optomechanical amplification, such as pump noise rejection (see Section IV B). One further advantage of the MZ configuration is that the pump and signal exit at separate ports. The signal can then be read out (e.g. using homodyne detection) without the strong amplifier pump field saturating the detection photoreceivers.

We quantify the amplifier gain by considering the limit of a low-mass mirror and a strong pump, in which case the gain is simply the magnitude of the transfer function  $\mathcal{K}_{\rm A}$  in (1). Furthermore, we take the limit where the signal frequency is high compared to natural frequencies associated with the suspended mirror (typically  $\lesssim 10 \,\mathrm{Hz}$ ) so that the mechanical susceptibility is of order  $|\chi_{\rm A}| \simeq (m_{\rm A} \Omega^2)^{-1}$ , and we assume a wide cavity bandwidth  $\Omega/\gamma_{\rm A} \ll 1$  so that

$$|\mathcal{K}_{\rm A}| \simeq \left(\frac{0.01}{T_{\rm A}}\right) \left(\frac{30\,\mathrm{g}}{m_{\rm A}}\right) \left(\frac{P_{\rm circ}}{40\,\mathrm{kW}}\right) \left(\frac{1.5\,\mathrm{kHz}}{f}\right)^2, \quad (4)$$

where  $f = \Omega/2\pi$  is the signal frequency, and we assume carrier wavelength  $\lambda_0 = 2\pi c/\omega_0 = 2\,\mu$ m. We find

that the gain scales as  $\propto 1/f^2$  with the unity gain at  $f \simeq 1.5 \,\mathrm{kHz}$  for the characteristic  $T_{\rm A}$ ,  $m_{\rm A}$ ,  $P_{\rm source}$  given in (4). As expected, we achieve high gain at low frequencies  $f \lesssim 500 \,\mathrm{Hz}$  due to the high mechanical susceptibility of a low-mass mirror.

The power  $P_{\text{circ}}$  circulating in each ring is related to the source power  $P_{\text{source}}$  by

$$P_{\rm circ} = \frac{2}{T_{\rm A}} P_{\rm source} = 40 \,\mathrm{kW} \left(\frac{0.01}{T_{\rm A}}\right) \left(\frac{P_{\rm source}}{200 \,\mathrm{W}}\right), \quad (5)$$

which is derived in Appendix A at (A9). Such a high source power can be achieved using a laser source of modest power by employing a power-recycling scheme (not shown in Figure 1).

One important difficulty with the MZ amplifier is that the anti-squeezing angle is frequency-dependent. In fact, the angle varies by as much as  $90^{\circ}$  over the signal bandwidth. Thus, by naively measuring the output quadrature  $b_2$  at all frequencies, the signal is actually attenuated at high frequencies where  $|\mathcal{K}_A| \ll 1$ , which is undesirable. Fortunately, this can be corrected by employing a frequency-dependent readout which measures the  $b_2$  quadrature at low frequencies and smoothly transitions to the  $b_1$  quadrature at high frequencies. This can be achieved by installing, between the amplifier and the readout chain, a filter cavity which we call the output filter cavity (OFC) (see Figure 1). The reflection coefficient of the filter cavity is given by the complex conjugation of (A6) in [19], whereby the conjugation is needed to correct for a difference in Fourier transform convention. We expect that a  $\simeq 40 \,\mathrm{m}$  scale OFC is needed, with more detailed design parameters given in Table I. We plot the OFC-filtered amplifier gain in Figure 2, showing no attenuation at high frequencies.

The performance of the amplifier is limited by (a) the amplifier noise to be discussed in Section IV, and (b) noise sources within the IFO to be discussed in Section V. Having taken these into account, we propose in Table I a set of design parameters for the amplifier, which were obtained by optimizing the total (amplifier & IFO) noise using a cost function emphasizing the mid-band region  $50 \text{ Hz} \leq f \leq 500 \text{ Hz}$ . The impact on the overall detector sensitivity is plotted in Figure 3, showing modest improvement in the mid-band range.

Finally, we point out that our calculations remain valid only in the limit where the *amplified* signal is much weaker than the pump, as expected since the pump is the source of the amplifier's energy. Since the signal is very weak to begin with, we are well within the range of validity.

### IV. AMPLIFIER NOISE SOURCES

In order for the amplifier to be effective, it must not introduce significant noise sources of its own. In this section, we discuss some of the dominant amplifier noise

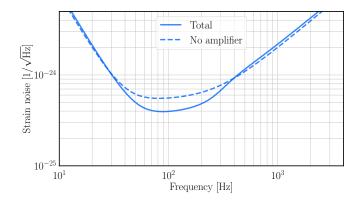


FIG. 3: Comparison of Voyager sensitivity with (solid curve) and without (dashed curve) the amplifier. In both cases we assume 15 dB frequency-dependent squeezed vacuum injection. The design parameters are given in Table I. Sub-budgets for noise contributions from the amplifier and IFO may be found in Figure 4 and Figure 5 respectively.

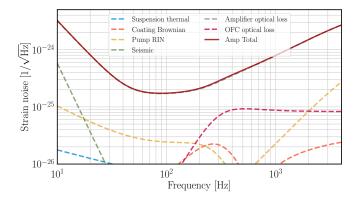


FIG. 4: Breakdown of the dominant noise sources in the amplifier, including the OFC, based on parameters given in Table I for 15 dB frequency-dependent squeezed vacuum injection. See Section IV for a detailed discussion of the various noise curves. The noise is dominated by optical loss in the ring cavities.

sources, such as optical losses in the rings, pump intensity fluctuations, backscattering of pump off amplifier optics, and finally the coating Brownian noise and suspension thermal noise of the amplifier optics. The associated noise curves are given in Figure 4, assuming the parameters given in Table I for 15 dB squeezed vacuum injection. We find, under these assumptions, that the amplifier noise is dominated by optical loss in the rings.

# A. Optical losses in the ring cavities

As the signal beam circulates within the the ring cavities, dissipative and transmissive losses are accumulated at each optic. The small amount of unsqueezed vacuum that enters the signal mode in this process is amplified

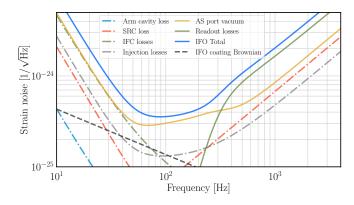


FIG. 5: Breakdown of the dominant noise sources in the IFO, assuming the parameters given in Table I for 15 dB frequency-dependent squeezed vacuum injection. The noise levels are signal-referred assuming the amplifier is installed. See Section V for a detailed discussion of the various noise sources.

optomechanically, thus causing the squeezed vacuum to decohere. It is therefore crucial to keep the total loss as low as possible.

Transmissive loss through each high-reflective (HR) mirror, labelled M2R, M2L, M3R & M3L in Figure 1, is limited to 5 ppm by a suitable dielectric bi-layer coating. Dissipative losses arise due to a number of mechanisms, but may broadly be grouped into absorption or scatter. We assume absorption in the amplifier mirror substrate and dielectric coatings will be  $\lesssim 1$  ppm per optic.

Modeling and characterizing loss due to scattering is an area of active research. An empirical scaling law has been found to describe the measured round-trip scatter loss in a variety of two-mirror optical cavities [20, 21], given by

$$\frac{\mathscr{L}}{\text{ppm}} = \left(\frac{4\pi}{\lambda/\text{nm}}\right)^2 \frac{A}{\text{nm}^2 \cdot \text{mm}} \frac{1}{\gamma - 1} \left(\frac{\text{mm}}{\sqrt{2\alpha w}}\right)^{1 - \gamma}, (6)$$

where  $A = 8 \times 10^{-3} \text{ nm}^2 \cdot \text{mm}$  and  $\gamma = 1.2$  are model parameters extracted from measurements, and w denotes the Gaussian beam radius. They parametrize the power spectral density (PSD) of micro-roughness on the mirror surface, which is assumed to obey  $\text{PSD}(f_s) =$  $A\left(\frac{f_s}{1/\text{mm}}\right)^{-\gamma}$  for spatial frequencies  $f_s$  greater than some cutoff. This cutoff frequency is modelled as  $f_s^{\min} = \frac{1}{\alpha w}$ , with  $\alpha = 2$  corresponding to the Gaussian beam diameter. The contribution to scatter from spatial frequencies smaller than the cutoff are neglected. With  $\lambda = 2 \,\mu\text{m}$ ,  $w = 5 \,\text{mm}$  and  $\alpha = 1$  in (6), we estimate  $\simeq 3 \,\text{ppm}$  of scatter loss per optic. Since understanding of the impact of scattered light is evolving [22], we choose instead the round number 5 ppm of scatter loss per optic.

In summary, we assume a *total* of 30 ppm loss per round-trip in each ring cavity, accounting for the various mechanisms described in this section. Classical amplitude fluctuations of the amplifier pump field produce radiation pressure fluctuations on the ring cavity mirrors, and are thereby amplified by the optomechanical feedback explained in Section III A. With perfectly symmetric ring cavities, the MZ topology has the advantage of separating the pump noise, which couples only to the field labelled  $n_i$  in Figure 1, from the signal, which couples only to the field labelled  $b_i$  in Figure 1. In practice, however, slight differences between the two rings lead to imperfect common-mode rejection.

For our simulations, we crudely model the amplitude spectral density of the relative intensity noise (RIN) of the amplifier pump according to

$$\operatorname{RIN}(f) = \left| \frac{f + f_0}{f} \right| \frac{1 \times 10^{-9}}{\sqrt{\operatorname{Hz}}}, \quad f_0 = 50 \, \operatorname{Hz}.$$
(7)

Meeting this requirement on laser intensity noise is expected to be challenging. In order for the relative intensity noise due to shot noise on a sensing photodiode at  $2 \,\mu \text{m}$  to be  $\lesssim 1 \times 10^{-9} \,\text{Hz}^{-1/2}$ , we would need to detect  $\simeq 300 \,\text{mW}$  of power on that photodiode, corresponding to a dynamic range of  $\gtrsim 10^9$ . Nevertheless, promising techniques have been demonstrated [23, 24], and we anticipate that sufficient progress will be made to achieve this level of stabilization.

Furthermore, we assume 60 dB common-mode rejection. In our simulations, the asymmetry is modeled as a difference in the ring cavity finesse. Realizing this level of common-mode noise rejection is challenging, but has been achieved in terrestrial gravitational wave detectors. Moreover, we expect to be able to tune the finesse of each cavity by  $\simeq 1 \%$ , for instance by changing the spot positions on the cavity mirrors to sample regions of slightly different optical loss.

### C. Noise from the counter-propagating mode

As discussed in Section IVA, surface roughness and point defects on the amplifier optics can scatter the high power circulating pump field out of the resonant cavity mode. Some portion of this scattered light then becomes resonant in the *counter-propagating* mode of the ring cavities. Subsequently, this field leaves the amplifier and is injected directly back into the main interferometer via the anti-symmetric port, where it mixes with the squeezed vacuum modes  $a_{\text{IFO},i}$  (see Figure 1). This gives rise to noise in the readout due to two effects: (1) displacement noise of the amplifier optics, and (2) amplifier pump noise. We expect that the amplifier optics are sufficiently well isolated from displacement noise, and focus instead on the latter with emphasis on the relative intensity noise discussed in Section IVB in the context of common-mode rejection.

Measurements on the Advanced LIGO output mode cleaner cavity, which has an angle of incidence of approximately 4 degrees, suggest that less than 1 ppm of the incident field is retro-reflected [25]. Since the rings in our design have a 30 degree angle of incidence at each optic, the back-scatter is expected to be smaller. For our calculations, we assume a fraction  $\mathcal{E}_{\rm bs} = 10^{-7}$  of the pump power is back-scattered into the anti-symmetric port. This field then adds noise to the input modes  $a_{\rm IFO,i}$ which we can estimate by

$$\sqrt{S_{a_{\rm IFO,i}}^{\rm (bs)}} = \sqrt{\frac{1}{2}} \mathcal{E}_{\rm bs} \left(\frac{P_{\rm source}}{2\hbar\omega_0}\right) {\rm RIN}(f), \tag{8}$$

where the overall factor  $1/\sqrt{2}$  splits the noise evenly into the two quadratures. With  $P_{\rm source} = 200$  W and RIN =  $10^{-9}/\sqrt{\text{Hz}}$ , we find that (8) evaluates to  $\simeq 7 \times 10^{-3}$  per quadrature, which is negligible even for the case of 20 dB (=  $10^{-1}$ ) squeezed vacuum injection. Hence, it is omitted from our analysis.

There are other possible mechanisms of scattered light degrading the interferometer sensitivity, particularly given the proximity of the high power amplifier pump field to the interferometer's anti-symmetric port. For instance, some of the scattered light could leave the ring cavity, scatter off the vacuum chamber walls, and recombine into the cavity's signal mode. Acoustic and seismic vibrations of the walls then lead to phase modulation of the back-scattered light. Problems of this nature may be addressed by installing baffles on the walls, as was done for the LIGO beam tubes. Another possible noise coupling mechanism is due to intensity fluctuations on the resonant counter-propagating mode displacing the amplifier mirrors via radiation-pressure. We find that the phase noise thus induced is below the level of the seismic noise for the amplifier systems considered in this paper, but this could become a significant noise source for amplifiers that use much lighter mirrors. We leave the detailed analysis of such noise coupling mechanisms to future work.

# D. Coating Brownian noise

Thermal fluctuations of the dielectric coatings on the amplifier optics produce phase fluctuations on the reflected beam, which manifests as noise in the amplifier readout. Multiple contributing effects are involved, as discussed in [26]. We expect that the dominant noise contribution is the Brownian motion of the dielectric layers. To mitigate this effect, we propose for the high reflectivity mirrors (i.e., M2L, M3L, M2R & M3R in Figure 1) a coating structure comprising of alternating layers of silicon nitride (SiN) and amorphous silicon (aSi). This choice was motivated by the promising mechanical loss of SiN at the proposed operating temperature of 123 K [27, 28]. Nevertheless, more work is needed to determine its feasibility and, in particular, to lower losses due to absorption in the SiN layers. Rather than using the canonical quarter wave stack to realize the HR coating, a numerical optimization

algorithm was used to identify the thickness of each layer in a 12 bi-layer pair stack in order to optimize the resulting noise [29]. For the assumed mechanical properties of aSi/SiN (see Table I), and meeting the coating power transmissivity requirement of  $\leq 5$  ppm, we estimate a Brownian noise contribution per optic that is  $\simeq 3.5$  dB below that of an  $\alpha$ -Si/SiO<sub>2</sub> coating with the same power transmissivity. Note that we neglect the Brownian noise contribution from the M1R & M1L optics in Figure 6, since they have higher transmissivity and therefore require fewer dielectric layers.

While coating Brownian noise in the amplifier ring cavities is not the dominant noise source, we find that in order to take full advantage of the sensitivity improvement offered by the amplifier, the coating Brownian noise of the test masses will have to be improved by a factor of  $\simeq 4-5$  from the current design. This is further discussed in Section V B.

#### E. Suspension thermal noise

To isolate the amplifier mirrors from seismic vibrations, we propose a double pendulum suspension for each optic in the ring cavities. Internal friction in the fibers couple environmental thermal fluctuations to mirror displacement. For this analysis, we consider only the thermal noise due to the lower suspension fiber. The key parameter characterizing internal friction in the fibers is the frequency-dependent loss angle  $\phi(\omega)$  which has contributions from (a) the surface, (b) the bulk and (c) thermoelastic effects [30]. For high-purity silicon fibers at 123 K, the thermoelastic and bulk loss contributions are negligible. The loss angle is then dominated by surface imperfections and defects, which can be modeled by a characteristic depth h and a surface loss angle  $\phi_s$ . We assume  $h = 1 \,\mu\text{m}$  and  $\phi_s = 10^{-5}$ , and follow the formalism described in [30] to evaluate the loss angle. Finally, the amplitude spectral density of this displacement noise can be obtained by applying the fluctuation-dissipation theorem [31], as

$$x(\omega) = \sqrt{\frac{4k_B T}{\omega m_{\rm A}} \left(\frac{\omega_0^2 \phi(\omega)}{\omega_0^4 \phi^2(\omega) + [\omega_0^2 - \omega^2]^2}\right)},\qquad(9)$$

where T is the equilibrium temperature of the system, and  $f_0 = \omega_0/2\pi$  is the resonant frequency of the suspension. A more thorough analysis requires Finite Element Analysis (FEA) calculations to validate the analytic approximations. In our present modeling, we have a large safety factor for this noise contribution, and so are immune to it being higher by a factor of a few (the analytic calculation is expected to be accurate to within this factor).

_	D	37.1
	Parameter	Value
IFO	Arm cavity round-trip loss	20 ppm
	SRC round-trip loss	300 (100) ppm
	Readout chain loss	10 %
	Carrier wavelength	$\frac{2\mu\mathrm{m}}{15(20)\mathrm{km}}$
zQz	Squeeze injection	15 (20) dB
	Injection loss	1(0.3)%
	IFC round-trip loss	20 (10, 10) ppm
	IFC length	500 (800, 800) m
	IFC detuning	-33.4 (-34.6, 4.96) Hz
	IFC input coupler transmission	0.14 (0.22, 0.22) %
AMP	Ring cavity round-trip loss, $\mathscr{L}$	30 (15)  ppm
	M1L/M1R transmissivity, $T_{\rm A}$	0.89~(0.90)~%
	Round-trip cavity length, $L_{\rm A}$	30 m
	Pump source power, $P_{\text{source}}$	220(230) W
	Mirror mass, $m_{\rm A}$	30 (10) g
	Mirror substrate	Si
	Common mode rejection	60 dB
	OFC round-trip loss	20 (10) ppm
	OFC length	40(25)  m
	OFC detuning	-80.4 (-77.8) Hz
	OFC input coupler transmission	
COAT	Refractive index of aSi, $n_H$	3.65 [27]
	Reflactive index of SiN, $n_L$	2.17 [27]
	Number of layer pairs	12
	Mechanical loss of aSi (123 K)	$3 \times 10^{-5}$ [27]
	Mechanical loss of SiN (123 K)	$2 \times 10^{-5}$ [27]
	Beam radius, $w_{\text{beam}}$	5 mm
SUS	Material	Silicon
	Width	$250 \ \mu \mathrm{m}$
	Thickness	$50 \ \mu m$
	Number of fibers	2
	Length of pendulum	60  cm
	Surface loss angle, $\phi_s$ (123 K)	$10^{-5}$
	Bulk loss angle, $\phi_{\text{bulk}}$ (123 K)	$2 \times 10^{-9}$
	Surface depth, $h$	$1 \mu \mathrm{m}$
	Young's modulus	155.8 GPa
	Coefficient of thermal expansion	$10^{-10}K^{-1}$
	$d\log Y/dT$	$-2 \times 10^{-5} K^{-1}$
	Heat capacity	$300 \text{ J kg}^{-1} \text{ K}^{-1}$
	Thermal conductivity	$700 \text{ W m}^{-1} \text{ K}^{-1}$
	Density	$2329 \text{ kg m}^{-3}$

TABLE I: Summary of design parameters used in our simulations for the main interferometer (IFO), squeezed vacuum injection path (SQZ), amplifier optomechanics (AMP), amplifier HR coating (COAT) and amplifier suspension (SUS), assuming 15 dB squeezed injection. Design parameters for 20 dB (see App. Appendix C) are also included in parentheses wherever they differ from 15 dB. Note that for 20 dB, two IFCs are required and so the two comma-separated numbers refer to the first and second (as seen from the squeezed vacuum source) IFCs respectively. Many of the material properties in the COAT and SUS subsystems are still under study, and so may turn out to be significantly different from what we have assumed.

# V. NOISE IN THE MAIN INTERFEROMETER

In order for the amplifier to be effective, the detector sensitivity must be limited by optical losses in the readout chain. In this section, we discuss some noise sources in the IFO, including a variety of other optical losses and coating Brownian noise of the test masses, which in the current Voyager design are at a level comparable to readout losses. A plot of these noise curves is given in Figure 5, assuming the parameters in Table I for 15 dB squeezed injection.

# A. Optical losses

In addition to readout loss, we consider a variety of optical losses within the main interferometer optics, consisting of four independent contributions: (a) 'injection losses' to be explained below, (b) input filter cavity (IFC) losses, (c) signal recycling cavity (SRC) losses, and (d) arm cavity losses.

The 'injection loss' lumps together the insertion loss of (i) two Faraday isolators (one double-pass and one single-pass) between the squeezed vacuum source and the interferometer, and (ii) mismatch between the SRC and IFC spatial modes. We assume a total injection loss of 1%, anticipating that the insertion loss of the Faraday Isolators can be improved to 0.2% per pass.

The SRC loss can be broken down further into (i) the spatial mode mismatch between the SRC and the interferometer's differential mode, (ii) absorption in the IFO beamsplitter (BS) and corner mirror (ITM) substrates, and (iii) reflection from the anti-reflective (AR) coatings on the BS and ITMs. In particular, we require less than 300 ppm total round-trip loss for the SRC. Assuming that substrate absorption in, and reflection from the AR coatings for the ITMs and BS add up to a total loss of 50 ppm, the requirement on the mode mismatch to the interferometer's differential mode is 250 ppm, which is expected to be challenging considering that the lowest achieved mode mismatch in the current generation of interferometers is  $\simeq 1\%$ .

A summary of our design requirements for the losses is given in Table I, with the corresponding noise curves given in Figure 5.

#### B. Coating Brownian noise in Voyager test masses

According to the current Voyager design, which only assumes 10 dB of squeezed vacuum injection [12], it is anticipated that coating Brownian noise of the interferometer's arm cavity optics will be the dominant noise contribution from 40-100 Hz. This would impose a serious limit on the performance of all quantum non-demolition schemes, not just the amplifier. Furthermore, in our analysis, we have considered even higher levels of squeezed vacuum injection, and so we expect an even broader band over which coating Brownian noise will be dominant. This is especially problematic since the amplifier is designed to be most effective in the same frequency band (see Figure 3). To fully take advantage of the sensitivity improvement offered by the amplifier, we have assumed that the coating Brownian noise can be reduced by a further factor of 4-5 at all frequencies. This more speculative coating Brownian noise is plotted in Figure 5 (and Figure 8 for 20 dB, as discussed in Appendix C). While achieving this reduction is a challenging prospect, several promising leads are being explored [32].

# VI. CONCLUSIONS AND EXPERIMENTAL OUTLOOK

In this work, we have presented a novel approach to amplify the GW signal to protect the signal to noise ratio against quantum decoherence.

It has been previously been proposed to use nonlinear crystals to amplify the interferometer output [33], and to use atomic systems to generate "negative inertia" that leads to back-action evasion [34]. This is a promising approach, but requires mitigating (i) backscatter noise due to the lower optical quality of crystals relative to supermirrors, (ii) high scatter loss induced decoherence due to the poor optical quality, and (iii) pump noise coupling due to a non-vacuum seed.

Promising future directions to consider include:

- 1. lighter masses in the amplifier (where the ponderomotive gain would extend to higher frequencies),
- 2. interferometers (with higher masses) which are limited by shot noise rather than radiation pressure at lower frequencies (where there is already significant ponderomotive gain),
- 3. a hybrid diplexed crystal-ponderomotive approach where a crystal amplifier is used to extend the ponderomotive amplifier gain to higher frequencies.

### ACKNOWLEDGMENTS

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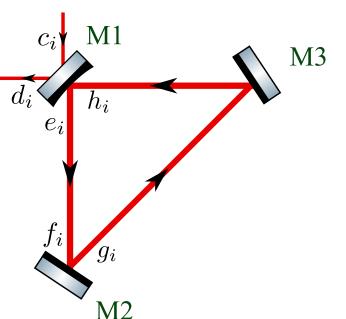


FIG. 6: A three-mirror triangular ring cavity with pump and signal co-circulating counterclockwise (not drawn to scale). We assume all three mirrors have the same mass  $m_A$ . Two of these rings are combined to form the MZ amplifier in Figure 1

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## Appendix A: Derivation for the input-output relations of the Mach-Zehnder amplifier

In this section, we provide a detailed derivation of the input-output relation for the MZ amplifier introduced in Section III B. While the effect of optical losses, mirror displacement noise, and other technical noise sources are neglected in the calculations presented in this section, they are included in our numerical simulations.

We begin by computing the input-output relation for one of the two triangular rings whose field amplitudes are labeled in Figure 6. We consider the case where the pump and signal co-propagate counterclockwise. We let  $c_{1,2}$ and  $d_{1,2}$  denote the incident and reflected signal field amplitudes, respectively, and we assume the incident pump (electric) field has the form  $E(t) = E_0 \cos(\omega_0 t)$  for some constant amplitude  $E_0$ . We let  $r_A = \sqrt{1 - T_A}$ ,  $t_A = \sqrt{T_A}$ denote the amplitude reflection and transmission coefficients of mirror M1, respectively, as seen from the cavity interior. The amplitude reflection coefficient of M2 and M3 is set to +1 (again as seen from the interior of the cavity). We let  $l_1$  denote the distance that the beam travels from M1 to M2, and  $l_2$  the distance that the beam travels from M2 to M3 and then to M1. Furthermore, we assume no cavity detuning. Under these conditions, the pump resonates in the cavity, thus enhancing the radiation pressure effect we are exploiting.

The beating between the pump and signal as they copropagate along the ring produces the optomechanical amplification discussed in Section III. The total amplification is equivalent to that produced by a cavity where M1 and M3 are fixed and where the boundary condition at the free mirror M2 is

 $g_1 = f_1, \quad g_2 = -\kappa_A f_1 + f_2,$ 

with

$$\kappa_{\rm A} = -\frac{8\omega_0 P_{\rm circ}}{c^2} \sum_{i=1}^3 \cos^2\left(\theta_{\rm inc,i}\right) \chi_i,\tag{A2}$$

(A1)

where  $P_{\text{circ}}$  is the power circulating in the ring, and for each optic  $M_i$  (i = 1, 2, 3) we have  $\theta_{\text{inc},i}$  as the angle of incidence and  $\chi_i$  as the mechanical susceptibility. For a detailed derivation of (A1) in the limit where the mirrors are free masses, see Sec. IV. A. of [36]. In our simulations, we assume that all three mirrors have the same mechanical susceptibility,  $\chi_A$ , and that the ring is arranged as an equilateral triangle configuration (i.e.,  $\theta_{\text{inc},i} = \pi/6$ ), in which case  $\kappa_A$  reduces to (2). Furthermore, the boundary conditions at M1 are given by

$$d_1 = t_A h_1 - r_A c_1, \quad d_2 = t_A h_2 - r_A c_2, e_1 = t_A c_1 + r_A h_1, \quad e_2 = t_A c_2 + r_A h_2,$$
(A3)

and the field amplitudes propagate along the ring as

$$f_1 = e_1 e^{i\Omega l_1/c}, \quad f_2 = e_2 e^{i\Omega l_1/c}, h_1 = g_1 e^{i\Omega l_2/c}, \quad h_2 = g_2 e^{i\Omega l_2/c}.$$
(A4)

Solving this system gives us the input-output relation for the ring as

$$\begin{pmatrix} d_1 \\ d_2 \end{pmatrix} = e^{i2\eta} \begin{pmatrix} 1 & 0 \\ -\mathcal{K}_{\rm A} & 1 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}, \tag{A5}$$

where

$$e^{i2\eta} = \frac{e^{i\Omega L_{\rm A}/c} - r_{\rm A}}{1 - e^{i\Omega L_{\rm A}/c}r_{\rm A}},$$
$$\mathcal{K}_{\rm A} = \left(\frac{t_{\rm A}^2}{1 - 2\cos(\Omega L_{\rm A}/c)r_{\rm A} + r_{\rm A}^2}\right)\kappa_{\rm A}, \quad (A6)$$

with  $L_A = l_1 + l_2$  as the round-trip length of the ring.

For the full MZ configuration of Figure 1, we need to analyze the input-output relations for both the 'forward' direction (i.e., from  $b_{IFO,i}$  to  $b_i$ ) and the 'backward' direction (i.e., from  $a_i$  to  $a_{IFO,i}$ ). The relations for the forward direction can be trivially derived from (A5) to be

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = e^{i2\eta} \begin{pmatrix} 1 & 0 \\ -\mathcal{K}_{\rm A} & 1 \end{pmatrix} \begin{pmatrix} b_{\rm IFO,1} \\ b_{\rm IFO,2} \end{pmatrix}.$$
 (A7)

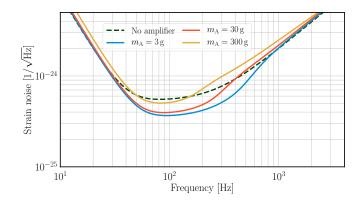


FIG. 7: Voyager sensitivity improvement as a function of the mass of the amplifier mirrors. In all cases, 15 dB of frequency-dependent squeezed vacuum is assumed to be injected into the anti-symmetric port of main interferometer.

In the limit  $\Omega L_A/c \ll 1$  where the cavity is short compared to a signal wavelength, and in the limit  $t_A^2 \ll 1$ where M1 is highly reflecting, the forward relation reduces to (1), whereby the results are expressed in terms of the power reflectivity  $R_A = r_A^2$  and power transmissivity  $T_A = t_A^2$ . For the backward direction, the beam is immune to the optomechanical effect since it counterpropagates against the pump, and so the relations are like (A7) but with the pump 'turned off', giving

$$\begin{pmatrix} a_{\rm IFO,1} \\ a_{\rm IFO,2} \end{pmatrix} = e^{i2\eta} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}.$$
 (A8)

While amplitude fluctuations of the pump induce mirror displacement fluctuations that couple to the phase of the counter-propagating mode, these fluctuations can be ignored since the counter-propagating mode is weak to begin with, and the mirror's displacement is small compared to a carrier wavelength. Finally, we must also deduce the relation between the power  $P_{\rm circ}$  circulating in each ring and the source power  $P_{\rm source}$  of the amplifier, as labeled in Figure 1. A simplified version of the above calculation gives

$$P_{\rm circ} = \frac{1}{2} \left( \frac{t_{\rm A}}{1 - r_{\rm A}} \right)^2 P_{\rm source},\tag{A9}$$

where the overall factor 1/2 takes into account the beamsplitting at BS1. In the limit  $T_{\rm A} \ll 1$  this reduces to (5).

# Appendix B: Effect of amplifier mirror mass on sensitivity

An important design consideration is the choice of mass of the amplifier mirrors. In Figure 7, we show the sensitivity for three different mass choices (all for the case of 15 dB frequency-dependent squeezed vacuum injection). The amplifier and OFC parameters for the

10

30 g case are those given in Table I. However, for 3 g and 300 g, the amplifier properties (except for optical loss and displacement noise) and OFC properties (except for optical loss and cavity length) were re-adjusted to achieve optimal sensitivity. Lighter mirrors offer more sensitivity improvement, mainly due to the higher amplifier optomechanical gain. We therefore propose to make the mirror as light as possible.

However, there are several practical difficulties involved in working with very light mirrors. Firstly, with extremely light mirrors, it is difficult to sustain large circulating power in the amplifier ring cavities. Another concern is related to suspension thermal noise, which scales as  $\propto m_{\rm A}^{-1/2}$ . The difficulty in realizing low  $\phi(f)$ for suspension fibers with a large surface-area-to-volume ratio motivates the choice of  $m_{\rm A} = 30$  g. This allows for a wide margin of safety since the suspension noise is  $\simeq 1/10$  of the limiting noises in our noise budget.

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# Appendix C: Prospects for 20 dB squeeze injection

We consider prospects for 20 dB of frequency dependent squeezed vacuum injection, for which the amplifier makes a much bigger impact on the detector sensitivity. To achieve the correct rotation of the vacuum noise ellipse as a function of frequency, we find that two input filter cavities in series are needed, unlike the 15 dB case, where only one is needed. Furthermore, noise sources within the main interferometer, both classical and guantum, must be maintained well below the squeezed vacuum noise, which would be extremely challenging at this level. Finally, to fully take advantage of the sensitivity improvement, we propose using even lighter mirrors for the amplifier  $(m_{\rm A} = 10 \,\text{g})$ , which results in higher optomechanical gain. The detailed design parameters for all the optics and associated losses are given in Table I, and the corresponding noise curves are shown in Figure 8.

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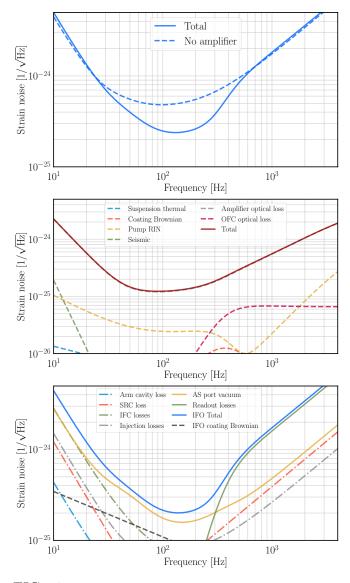


FIG. 8: Sensitivity of LIGO Voyager for a more ambitious design that incorporates 20 dB frequencydependent squeezed vacuum injection, and an amplifier with 10 g mirrors. The detailed parameter assumptions are given in Table I. The interpretation of the top, middle, and bottom subplots are the same as the 15 dB plots Figure 3, Figure 4, and Figure 5, respectively. The coating Brownian noise shown in the lower plot is a factor of 5 lower than the nominal Voyager design.

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