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Significant P wave conversions from upgoing S waves generated by very deep earthquakes around Japan

B. L. N. Kennett¹ and T. Furumura^{2*} 

Abstract

An important component of the seismic wavefield at moderate epicentral distances from deep earthquakes comes from seismic waves that are radiated upwards from the source. For very deep events, there is a range of distances at which upgoing S can convert into P waves that travel in the crust or in the upper mantle as the sPn phase. For a 600-km-deep event, sPn becomes a precursor to S from about 8°, and can have significant amplitude if the source radiation pattern is favourable. These conversions to crustal P have a very similar travel time property to S, and interfere strongly with S to produce complex wavetrains on both vertical and radial components. Where the locus of conversion falls on thicker continental crust, S waves can be coupled into partially trapped P waves in the crust that produce a long-period shear-coupled PL (s-PL) wave. Such longer period phases generated by large, very deep earthquakes can make a major contribution to sustaining large ground motion for considerable distances from the source. Observations of three very deep (> 575 km) events around Japan demonstrate the range of propagation effects associated with S to P wave (sP) conversion that plays an important role in shaping the later part of the recorded seismograms. The influence of sP conversion on the observed seismograms and the development of the s-PL wave depend on the variation of crustal thickness along the path and epicentral distance, and particularly on the locus of the conversion zone and the properties of the crust at that location.

Keywords: Deep earthquakes, S to P conversion, sP phases, Shear-coupled PL wave, Guided waves in subducting plate

Introduction

Most shallow earthquakes have a concentrated zone of strong ground motion in the immediate vicinity of the epicentre, but deeper events spread their effects more widely at moderate epicentral distances (> 1000 km). The largest very deep earthquakes such as the 2013 Sea of Okhotsk event (610 km, Mw 8.2) and the 2015 Ogasawara event (680 km, Mw7.9) produce significant effects across the whole span of the Japanese islands, though the hypocenters were located more than a thousand kilometres from the main Japanese islands.

In this study, we examine the nature of the seismic wavefield caused by three very deep earthquakes around

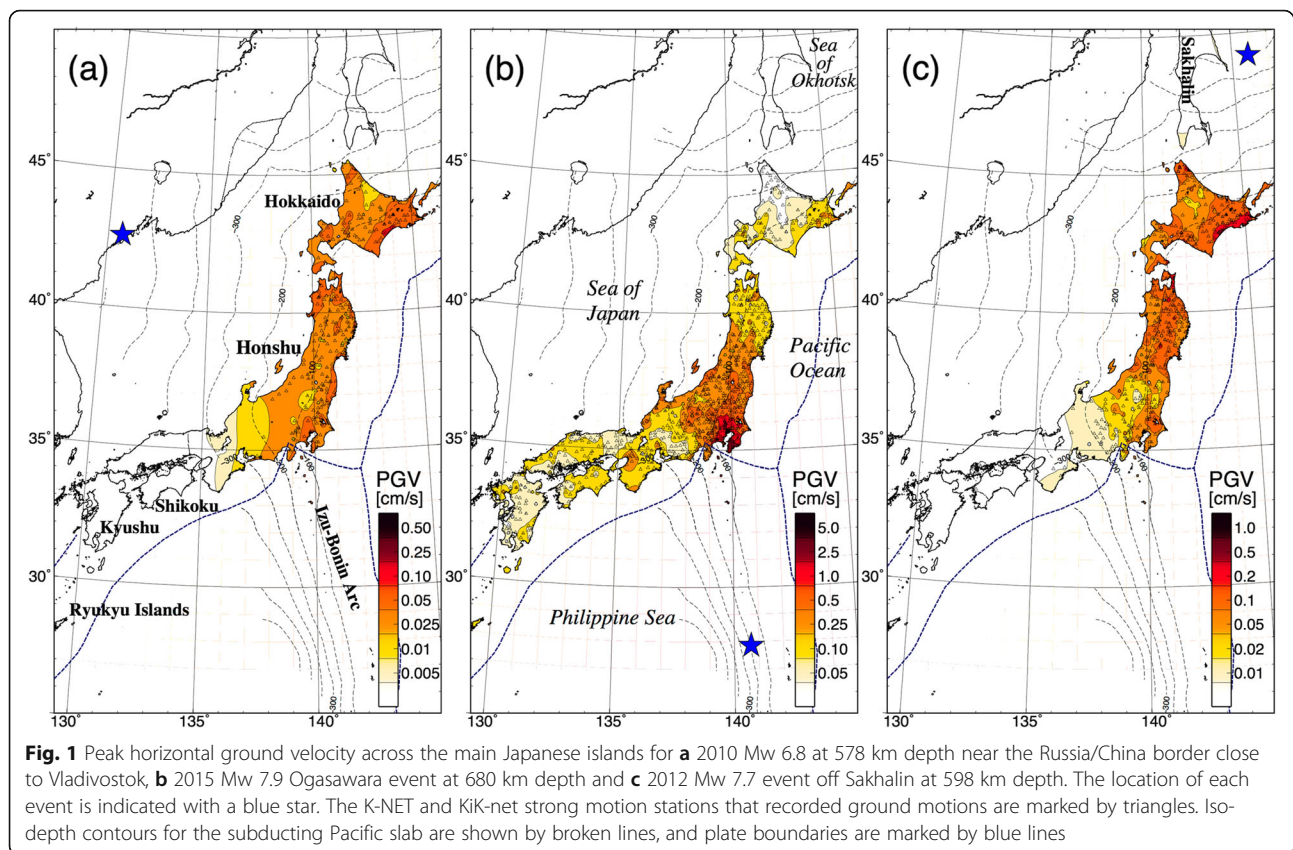
Japan that produce contrasting patterns of strong ground motion (Fig. 1).

Since such deep events are associated with subduction of the Pacific Plate, a component of the seismic energy release is ducted to the surface by the high-velocity and low-attenuation environment of the slab, leading to notable concentrations of ground motion on the Pacific Ocean side in the forearc zone of the slab, particularly for acceleration. The high-frequency (> 1 Hz) characteristics of such ground motion are consistent with the presence of a stochastic waveguide in the subducted plate, associated with a heterogeneity distribution with much longer correlation lengths along the slab than perpendicular (Furumura and Kennett, 2005). Such quasi-laminar heterogeneity in the Pacific plate acts as an efficient waveguide only for high-frequency signals and has no effect on longer wavelengths at low frequencies.

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The complex configuration of the subducting Pacific plate leads to variations in propagation efficiency for these high-frequency arrivals (Kennett and Furumura 2010). The largest effects occur in propagation directly up-slab. Oblique-guided wave propagation traversing the subduction zone nearly horizontally is less efficient, but still carries high-frequency P and S arrivals. By no means, all the seismic energy arriving at regional distances is involved with slab-guided propagation of high-frequency waves. Seismic energy travelling outside the slab from very deep earthquakes can make significant contributions to the overall ground motion (Chen et al. 2007), especially for lower frequencies (< 1 Hz). The onset of lower frequency energy travels by Fermat paths, i.e., the fastest possible path between source and receiver, and so constitutes the first arrival for each phase. Guided waves normally follow because they take a longer path even though most is in a higher wavespeed medium.

Frequently, a major contribution to the wavefield comes from sP conversions, with upward propagating S waves from the deep sources interacting with near-surface structure to produce a variety of propagation effects. When the surface interaction zone is in continental crust, S waves can couple into P waves in the thicker crust that interfere to produce a long-period wavetrain (shear-coupled PL; s-PL) following S, which can travel

for several hundred kilometres in the crustal waveguide (Furumura and Kennett 2017). Such longer period phases generated by large, very deep earthquakes can make a major contribution to sustaining large ground motion for considerable distances from the source. The s-PL phase can also be generated in the oceanic environment, but then the frequency is higher in the thinner crustal waveguide. More commonly, there is conversion from upgoing S to P body wave arrivals, travelling in the crust or uppermost mantle (sPn). The nature of the conversion process depends on the thickness of the crust, the depth of sea water and sea bed contrasts in a somewhat complex manner.

In this study, we will examine the properties of the longer-period P converted phases generated by upward travelling S wave from deep earthquakes, based on analysis of the F-net broadband, strong motion records across Japanese islands, and complemented by numerical simulation of seismic wave propagation. Observations of large, very deep events around Japan display a variety of behaviour in sP conversion and s-PL waves, which on occasion can carry the largest ground velocity. The variations in the patterns of ground motion carried by these sP converted phases can be expected to be linked to the location of the conversion zone, and the variations in crustal thickness across the region. We will examine this

by numerical simulation of seismic wave propagation and comparison with observed ground motions.

Methods/Experimental

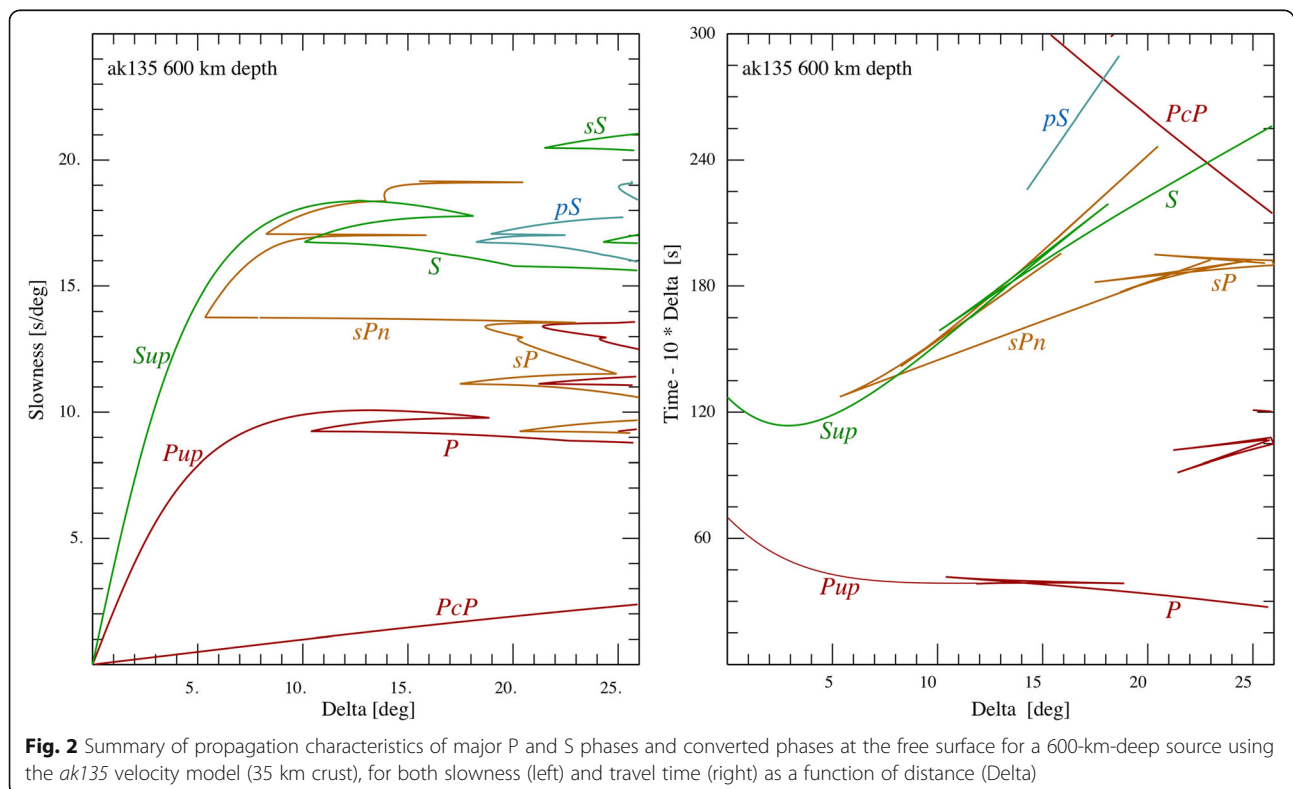
Our approach is to exploit the rich suite of observations of the deep events across the Japanese Islands using the broadband F-net stations, supplemented by the dense K-net and KiK-net strong ground motion stations. We use the strong ground motion results to build the patterns of ground velocity and acceleration associated with the events, and examine the detail of the wavefield from the broadband seismograms. We exploit the source characterization of the events from the global centroid moment tensor catalogue, and construct a 3-D model for the whole region using the best available information on crustal structure, the configuration of the subducting Pacific plate and tomographic results on the distribution of seismic wavespeeds. All this information is used in 2-D modelling along carefully chosen cross-sections using the OpenSWPC finite difference code (Maeda et al. 2017). The cross-sections are chosen to reflect the patterns of selected stations. The large events are likely to have complex source time functions, associated with multiple sub-events to create complex and long wave packets. However, we find that the major characteristics of the propagation processes, especially for the long-period wavefield, can be well represented by a point source with a relatively simple source pulse.

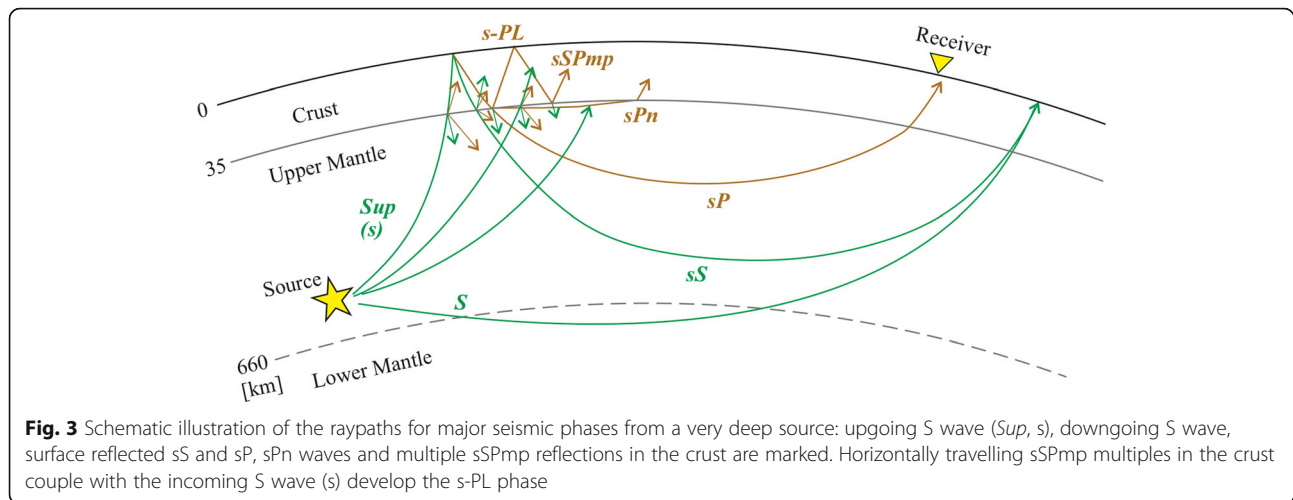
Nature of the seismic wavefield from very deep events

We here consider the character of the wavefield produced by very deep events, and look at the conditions that affect conversion of the upgoing S waves into P wave arrivals. The main phase arrivals expected are illustrated in Fig. 2, for an event at 600 km using the *ak135* model (Kennett et al. 1995) which has a continental crust 35 km thick. A schematic illustration of the ray paths for the major phases from the deep source is illustrated in Fig. 3.

Out to 10° epicentral distance, all contributions to the wavefield are associated with waves that are radiated upwards from the deep source (*Pup* and *Sup* in Fig. 2). These upgoing P and S waves extend out to close to 19° epicentral distance, but are overtaken at around 14° by waves radiated downward from the source. These downgoing waves are refracted back from beneath the source, with a triplication associated with interaction with the '660 km' discontinuity (the 'z' shape in the slowness and the multiple close arrivals in the travel time plot in Fig. 2). The result of the interaction of both upward and downward radiated waves is a steady change in the character of the P and S arrivals over the interval from 11 to 18°, which is captured by many stations in the Japanese Islands for deep events in the Pacific Plate.

From 4 to 6°, the upgoing S waves have appropriate slowness to be able to convert to P waves (*sP*) travelling in the upper mantle and from 6 to 8° to produce crustally





guided P waves. Coupling into the *sS* phase which is refracted back from the mantle structure starts at about 9° . But these *sS* arrivals do not reach the surface again until about 23° epicentral distance. The conversion zones enlarge with increasing source depth and can be mapped out by examining the distance range spanned by waves with slownesses between 13.7 and 13.2 s° , corresponding to upper mantle propagation, in an analogous way to the treatment of *s-PL* waves in the Fig. 13 of Furumura and Kennett (2017).

The position of the source relative to the 660 km discontinuity does not have any effect on the converted phases, but does modify the nature of the P and S waves that leave the source downwards. As the source approaches the 660 km discontinuity, the triplications in P and S weaken and the associated waveform becomes less complex.

The features of the seismograms recorded at the surface depend strongly on the conditions around the conversion zone for upgoing S. We illustrate a range of such effects in Fig. 4 with 2-D finite-difference method (FDM) modelling using modifications of the *ak135* velocity model in the top 50 km, varying the thickness of the crust (12, 20, 35 and 50 km). The oceanic crustal model has 5 km of sea water on top of a 7 km crust.

The calculation domain extended 4400 km horizontally and 4000 km radially (into the outer core), with a grid size of 0.4 km. The source employed was a combination of an explosion and a torque source at 600 km depth, which produces isotropic P and S radiation to avoid extra complications from source radiation effects. The pseudo-delta function source has a peak frequency of 0.5 Hz. The FDM simulation is conducted in a Cartesian-coordinate system. Earth flattening is applied to compensate for the sphericity of the Earth.

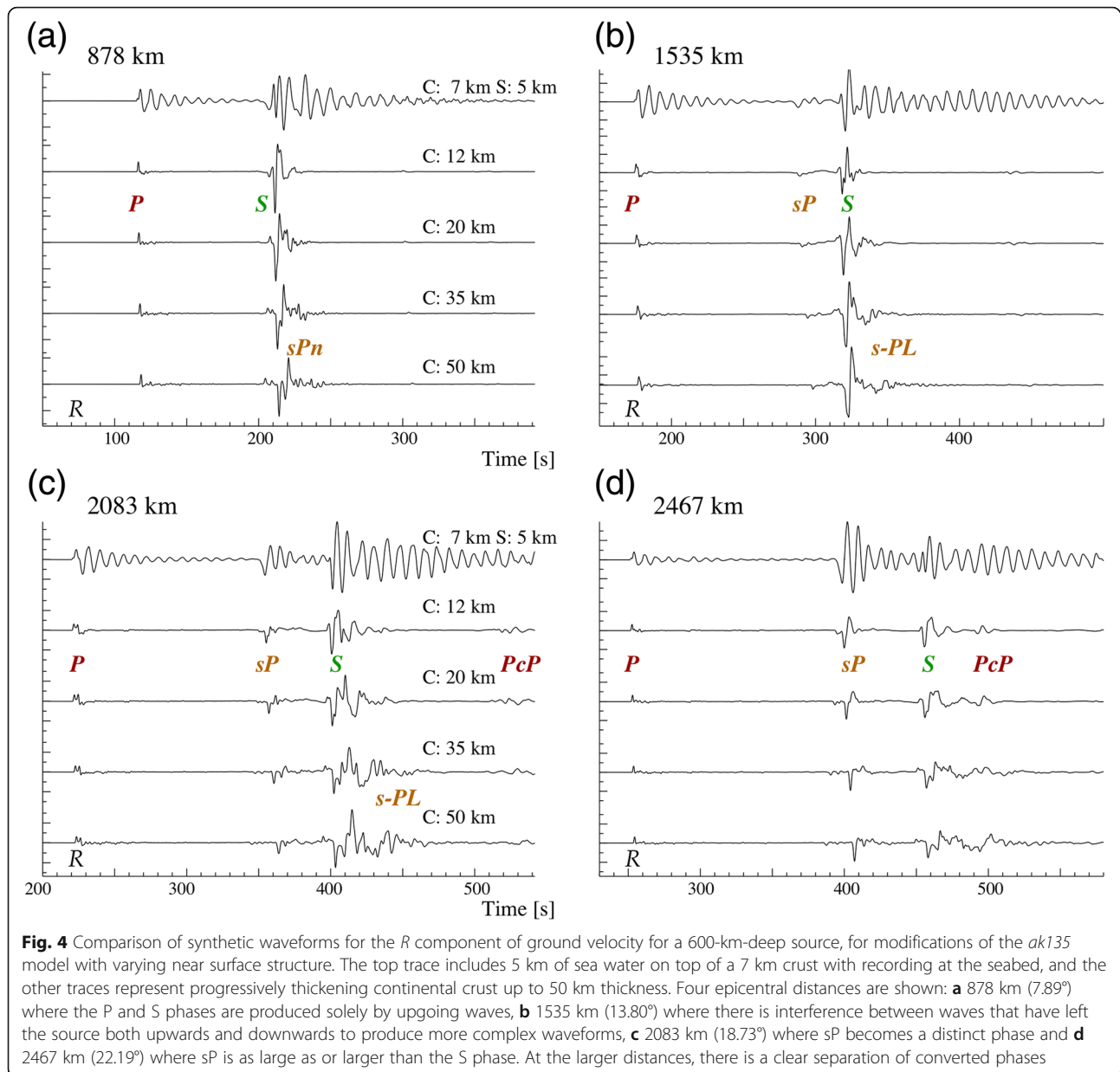
At the shortest epicentral distance (7.9° –878 km), all the arrivals are associated with upgoing P or S waves

from the source, so that the basic pulse is quite sharp as can be seen for the P waves with continental crust. In the presence of seawater—top trace in Fig. 4a—there is considerable reverberation with P waves reflected at both the sea bed and the sea surface; the result is an elongated wavetrain with lower frequencies associated with the wave interference in the water. For these calculations, we have assumed a sharp transition from water ($V_p = 1.5$ km/s) to hard rock ($V_p = 5.8$ km/s), but in the presence of sediments, we can expect the P reverberation train to be somewhat muted.

This epicentral distance (878 km) lies close to the crossover between S and *sPn* as first arrivals (Fig. 4a). As the thickness of the continental crust increases, the coda of the P waves becomes longer, and the S waveform more complex with S crustal reflections interacting with converted P waves. The separation of S and *sPn* is greater for the thicker crust, and the largest contribution to the complex wave shape comes from *sPn*. For thicker crust, there is also more interaction with crustal P leading a complex coda to S.

In contrast, at moderate epicentral distance (13.9° –1535 km), the converted phase *sP* is well separated from S (Fig. 4b). Both the P and S waveforms show broadening from the effects of the triplication associated with the 660 km discontinuity, and the switch over between arrivals from upgoing and downgoing radiation at the source. For the thicker crust, the longer period *s-PL* train forms a distinct follower to S itself. This *s-PL* wavetrain builds up away from the conversion zone and has longer duration for thicker crust (Furumura and Kennett 2017).

At larger epicentral distance (18.8° –2083 km), the triplication of the P waves becomes very evident giving a chain of P pulses (Fig. 4c). The conversion *sP* is now well separated from S and the normally dispersed *s-PL* wavetrain following S has longer duration for thicker



crust. Even further away from the source (22.2°–2467 km), the size of the *sP* conversion is as large as or larger than *S* phase (Fig. 4d). The *PcP* wave reflected back from the core arrives just behind *S* and can merge into the *s-PL* wavetrain for thicker crust.

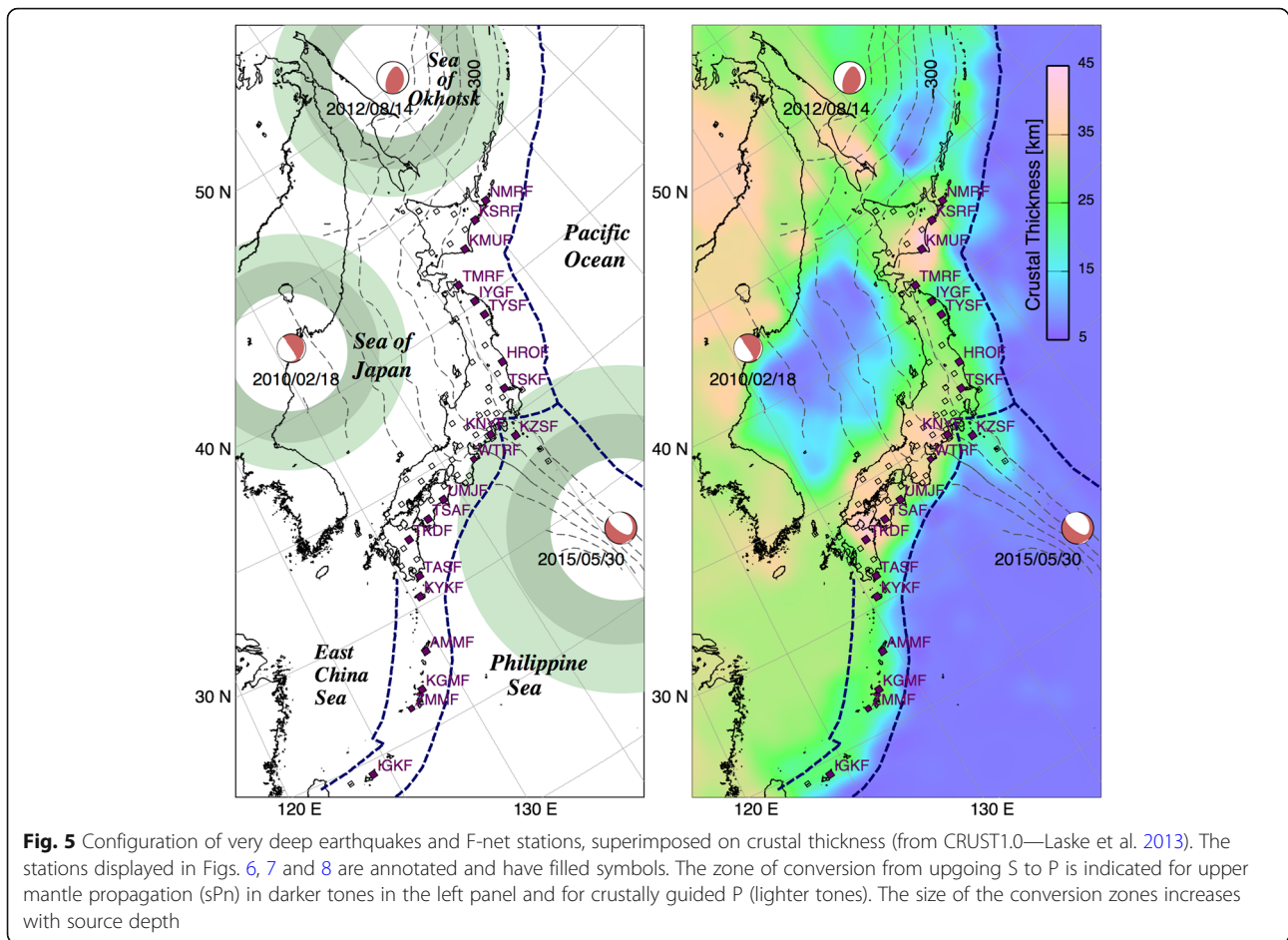
From a range of simulations for different crustal structure models, we can confirm that the characteristics of the *sP* converted phases are imprinted in the zone of conversion, and so are dictated by the character of the crust in that location. As the converted phases propagate to larger distances, they are influenced by the structures through which they pass, but unless they encounter very strong structural contrasts tend to retain their character.

Results and Discussion

Observations of very deep events across the Japanese Islands

We now examine the patterns of observed seismograms for the three events shown in Fig. 1, recorded at a common set of stations from northern Hokkaido through to the southern Ryukyu islands. These results confirm the characteristics of the wavefield from very deep earthquakes based on our theoretical results and numerical experiments. Conversions from upgoing *S* waves make a distinct contribution to the wavefield seen across the Japanese islands.

In Fig. 5, we show the configuration of the sources and the F-net broadband, strong-motion stations, and in



Figs. 6, 7 and 8 display the record sections for the three events. In the left-hand panel of Fig. 5, we indicate for each source the surface locations of the bands where conversion can occur from upgoing S to upper-mantle and crustal P arrivals (sPn). The darker zone is that for mantle coupling (sPn), and the lighter for crustal coupling (s-PL). The width of the conversion zones is significantly larger for the very deep event near the Ogasawara Islands (680 km). The right-hand panel of Fig. 5 displays crustal thickness from model CRUST1.0 (Laske et al. 2013), so that the locations of the conversion zones relative to crustal structure can be assessed.

For each event, we present record sections for the horizontal component recordings of ground velocity at a common set of stations, and track the balance of the wavefield between the components. In Figs. 6, 7 and 8, we show horizontal velocity records for traces rotated to the great-circle between source and receiver (R) and in the transverse direction (T). The times of the expected arrivals from the *ak135* model are indicated.

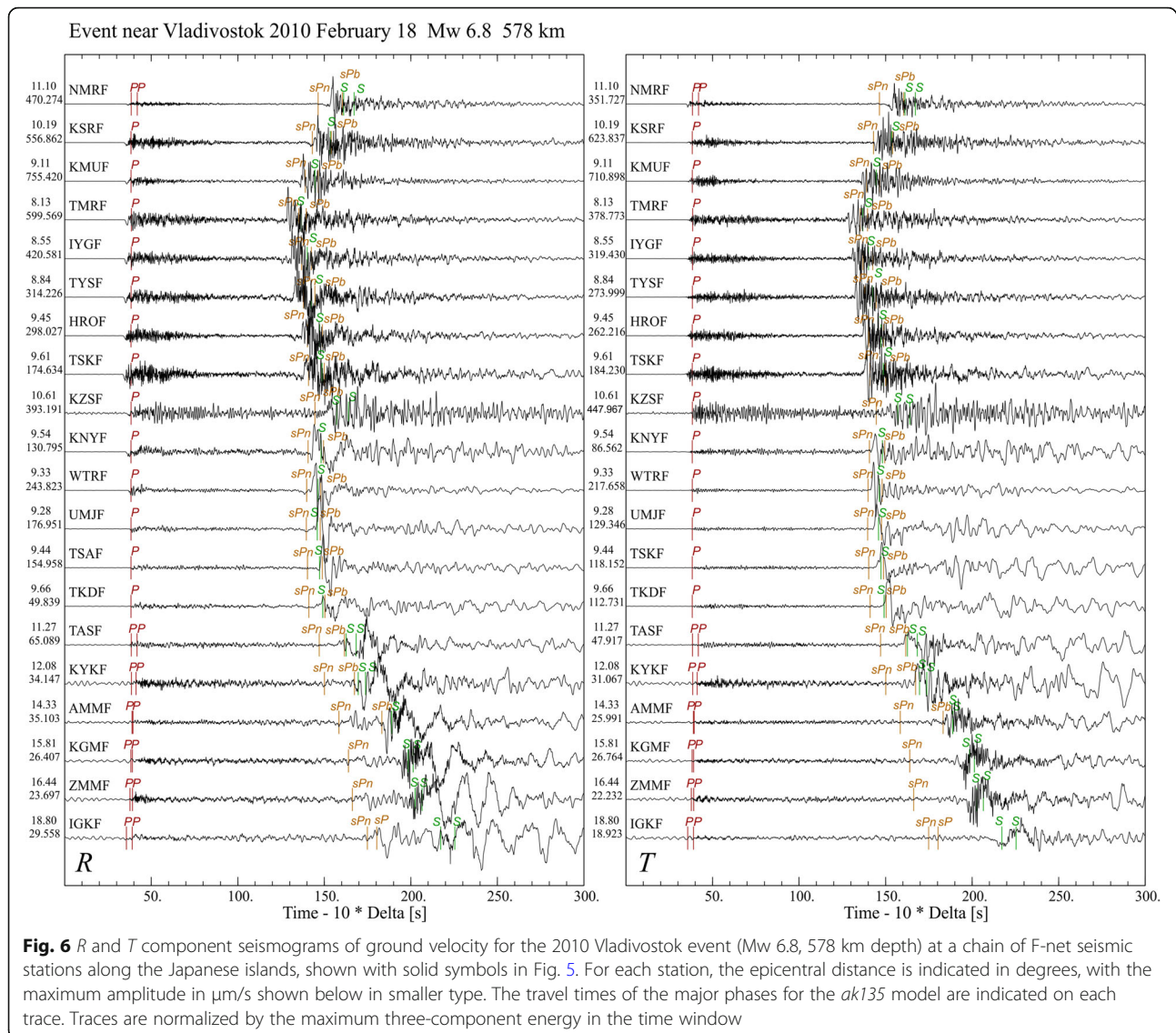
If Earth structure were purely one-dimensional, with just radial variation, the T component would comprise just SH waves, with P-SV interactions confined to the R

component. The effect of 3-D structure, in the presence of subducting plates, is to distort propagation paths with some P wave energy appearing on the T component. Yet, conversion from upgoing S to P is almost entirely confined to the R component. Vertical component records generally have a similar character to the R component, with somewhat enhanced P waves.

Vladivostok event

This modest size event (Mw 6.8) beneath the China-Russia border close to Vladivostok occurred at 578 km depth and produces a concentration of ground motion along the eastern seaboard of northern Honshu and Hokkaido (Fig. 1a), which is even more pronounced in peak ground acceleration (PGA), since the size of the guided energy traversing the slab suggest the presence of some amplification at depth probably due to low velocities associated with a modified olivine wedge (Furumura et al. 2016). The ground velocity shown in Fig. 1a is less strong on the back-arc side of northern Honshu and Hokkaido, and fades into western Japan.

The full suite of horizontal component seismograms at the chain of stations along the Japanese islands is displayed

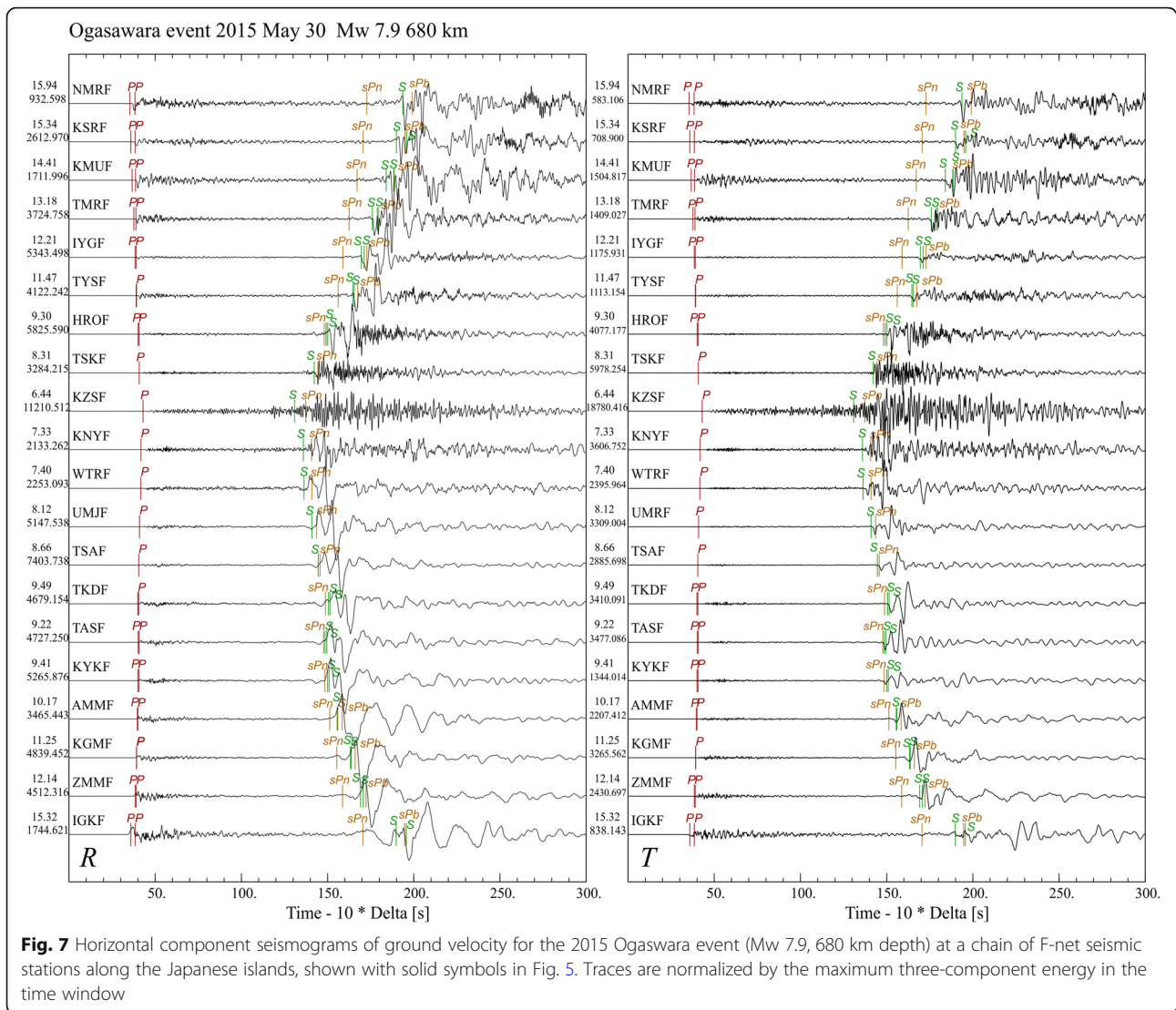


in Fig. 6. The slab-guided waves in northern Japan show markedly higher frequencies (> 1 Hz) than elsewhere; they also carry an elongated high-frequency coda. The onset of these seismograms is low frequency (< 1 Hz) representing waves travelling outside the slab, followed within a few seconds by high-frequency scattered waves trapped in the slab that appear on all components. This pattern is very clear in the *P* arrivals, and for *S* at stations NMRF and KSRF in Hokkaido. From stations IYGF to TSKF in Honshu, there is a medium-frequency precursor to the *S* wave train from *S* to *P* conversion at the base of the crust.

The waveforms for SH on the *T* component are relatively simple for western Japan (Honshu, Shikoku) with lower frequencies associated with propagation through the back arc region outside the subducted slab. However, the pulse forms are modified on the *R* component by the presence of

converted *P*, with the conversion zone lying beneath the Sea of Japan.

As the epicentral distance increases from western Kyushu into the Ryukyu islands, a distinct *sP* arrival emerges before *S* and is rather prominent at the most distant stations as *sPn*. The conversion zone for the arrivals at these far stations lies in the continental crust of the Korean peninsula. Distinct long-period *s-PL* trains are built up as the waves traverse the shallow seas behind the Ryukyu arc, with some elongation of the wavetrain from reverberations in deeper (> 5 km) water close to the receivers. There are also hints of higher-frequency slab-guided waves from energy that has coupled into the Philippine Sea plate, descending beneath western Japan, and then propagated to the surface. The paths to these southern stations avoid much of the enhanced attenuation in the mantle wedge for the Pacific



Plate, and are thus able to retain higher frequency components.

Ogasawara event

This very deep (680 km) earthquake produced significant ground motions across the whole of the Japanese islands (Fig. 1b). The source of this unusually deep event lies outside the main subducted slab, and Kuge (2017) from detailed analysis of P waveforms has suggested that it may lie below the local manifestation of the ‘660 km’ discontinuity. The location of the event below the main subducted slab means that coupling into slab-guided waves is inefficient, and across Japan much of the strongest ground motion is associated with conversion from upgoing S waves to multiple P reflections in the crust (sSPmP) and s-PL (Furumura and Kennett 2017).

Limited guided wave energy travelling rather obliquely through the Pacific slab reaches as far north as station

TSKF. The S waves couple into the near surface structure to give a weak contribution on the waveforms further north that travels at wavespeeds less than 4.5 km/s (akin to Sn and Lg). This arrival is present on both the R and T components. On the R component, between S and these crustally guided waves, a well-developed s-PL train with lower frequencies travels through the continental crust. The conversion occurs in the Kanto area around Tokyo, and the waves travel both north and west through Honshu. Dispersion builds up with distance to produce greater complexity of waveform (Furumura and Kennett 2017). The consequence of the presence of the P conversions produces a remarkable difference in the character of the S wavetrain between the R and T components.

In Shikoku, the S arrivals are comparatively simple for SH on the T component, but have large contributions a few seconds after S on the R component that arise from sPn (as in the examples in Fig. 4). This sPn arrival is

disrupted by the complex geometry of the transition into the Honshu section (Miller et al. 2006).

Noticeable changes in seismic waveforms of P and S occur at around 14° from the source, as a switch occurs from the earlier arrival of energy that left the source upwards to that which has been refracted back from below the source level, including interaction with the '660 km' discontinuity.

The conversion zone for the sP phases lies in the back-arc zone of the Kurile Slab in the Sea of Okhotsk. The nature of the sP conversion depends on the location. Where this occurs closer to the Kurile arc, there can be strong attenuation of the S wave leg below the Moho and the shallow part of the converted P wave path. The sP arrivals are quite strong from Shikoku into the northern Ryukyu, beyond 18° epicentral distance.

The southern stations show a prominent s-PL phase as well as sP. It appears that passage through the thinner crust beneath the Sea of Japan (< 15 km thickness) has not disrupted the multiple interference of the P waves in the crust that produces the longer-period resultant. The difference in frequency content in the coda of S at the southern stations between the R and T components is particularly striking.

The set of three very deep events in the area around Japan illustrated here all show a major contribution to the wavefield from waves generated by P wave conversion from upward travelling S, which are prominent on the R and Z components of ground motion. A striking difference from the T component with simpler SH wave behaviour and no conversion to P comes with the presence of strong low-frequency s-PL. Nevertheless, nearly all S wavetrains on the R component display significant modification by interference with the complex of sP arrivals travelling in the crust and mantle. Such longer-period phases generated by very deep earthquakes made a major contribution to sustaining large, long-duration ground motions for considerable distances from the source that can reach several thousand kilometres (Fig. 1).

The three very deep events around Japan that we have considered cover a range of epicentral distances, and this affects way in which conversions from upgoing S affect the wavefield. There is a broad azimuth range to the set of F-net stations for the Vladivostok and Ogasawara events, and in consequence we can see a range of different behaviour across Japan in the set of record sections. The azimuth range is somewhat smaller for the off-Sakhalin event, so that in this case there is more of an evolution with epicentral distance. The effects of interference between converted sP energy and S waves on any particular seismogram depend on the epicentral distance, the nature of the conversion zone and, to some extent, on the source radiation pattern.

Strong conversions from upgoing S waves are a feature of all very deep earthquakes, and tend to become more pronounced as the depth of the source increases because the size of the zones of potential conversion enlarge. Such converted energy at shorter epicentral distance has a very similar travel time to S and so complex interference can occur. As epicentral distance increases, sPn separates as a clear precursor to S, and where conversion has occurred in continental crust an elongated low-frequency wavetrain follows S on the R and Z components comprising s-PL waves partially trapped in the crustal waveguide. Thus, the character of the S arrivals is significantly modified by conversions that make a significant contribution to the patterns of ground motion.

The largest known very deep earthquake occurred on 2013 May 24 (630 km, Mw 8.3) in the Pacific Slab beneath the Sea of Okhotsk near Kamchatka. This event lies another 6° further away than the off Sakhalin earthquake, but still produced significant ground motion with the Japan Meteorological Agency's Intensity scale 3 (max 7) recorded in Hokkaido at a distance of 1500 km and also in Tohoku (2000 km). The area where the event was felt (Intensity > 1) spreads even further to western Kyushu (3500 km). The relatively large epicentral distance to the Japanese islands (1500–3500 km) means that the emergence of sPn starts in northern Honshu, and this phase is prominent in stations further south. The conversion points for upgoing S lie in the thinner crust of the Sea of Okhotsk and little long-period s-PL develops. Thus, the broad spread of the felt area across the entire Japanese islands is likely to be caused by large, near-caustic S waves and sP phases reflected from thinner crust beneath the Sea of Okhotsk (Furumura and Kennett 2019).

Conclusions

Upgoing waves from deep earthquakes make a major contribution to the seismic wavefield, from free-surface reflections and conversions. The epicentral distance range for significant conversion increases with source depth. This means that for very deep events, there is more chance for upgoing S waves to convert to P waves that interact with the crust and the uppermost mantle. The strength of the converted phases depends on the locus of the conversion zone and the properties of the crust at that location, and then on the variations of crustal thickness along the path.

At regional to far-regional epicentral distances, significant contributions to the wavefield come from converted P waves in the crustal waveguide and the sPn wave that has traversed just below the crust-mantle boundary. The crustal P phases have a very similar travel time to S, and their interference with the S coda produces an extended wavetrain of longer-period s-PL waves from partially trapped P waves in the crust.

With the aid of the F-net broadband, strong motion network deployed across Japanese islands, we have been able to demonstrate the significance of the P converted phases from upgoing S waves generated by three very deep (> 575 km) events associated with Pacific Plate subduction. Such converted P phases modify the character of the S wavetrain and can carry large, longer-period ground motion to considerable distances from the source.

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Availability of data and materials

The F-net broadband records and K-NET/KiK-net strong motion records used in this study are available at the NIED Web page (<http://www.seis.bosai.go.jp>).

Authors' contributions

BLNK conducted the analysis of seismic waves and interpreted the data, and wrote the manuscript. TF collaborated with the first author in the construction of the manuscript, and conducted numerical simulations and assisted with drawing figures. All authors read and approved the final manuscript.

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Competing interests

The authors declare that they have no competing interests.

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