# Participation on the Outcome of Carotid Endarterectomy

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Received April 10, 2019; Accepted for publication Aug. 14, 2019; Published online Jan. 31, 2020

### ABSTRACT

**Introduction.** The purpose of this study was to determine whether the in-hospital stroke rate plus deaths (SD) was adversely impacted by the participation of surgery residents during carotid endarterectomy.

**Methods.** A single board-certified vascular surgeon performed 5,663 carotid endarterectomies (CEAs) from September 1982 through December 2016. The surgeon prospectively recorded the data used in this report during the patient's hospital stay. These cases were done at five hospitals, three of which had general surgery residents participating in procedures and two that did not.

**Results.** Of the 5,663 CEAs, residents participated at three hospitals in 4,974 CEAs. In the two hospitals that did not have surgery residents participating, 689 CEAs were performed. Fifty-seven strokes and 12 deaths occurred in hospitals with resident participation (SD 1.39%). Six strokes (0.9%) and no deaths occurred in hospitals without resident participation. No significant difference in stroke rate, death rate, or combined stroke plus death rate (SD) were identified in comparing hospitals with or without resident participation.

**Conclusion.** This report corroborates others that senior general surgery residents did not have a significant impact on SD in patients undergoing CEA. *Kans J Med 2020;13:6-8.* 

### INTRODUCTION

Carotid endarterectomy (CEA) is a commonly performed vascular surgical procedure and is an important treatment modality in stroke prevention. It is technically demanding, requiring meticulous attention to detail to reduce perioperative stroke morbidity and mortality. Further, the surgery Residency Review Committee (RRC) of the Accreditation Council for Graduate Medical Education (ACGME) requires rotations in vascular surgery for general surgery residents. The impact of the participation of surgery residents on stroke morbidity and mortality during CEA has been addressed previously.<sup>1-11</sup> Nonetheless, these studies were not prospective, were not over the entire career of a single vascular surgeon, and did not evaluate a single surgeon's experience performing CEA both with and without residents during the same time span.

The purpose of this study was to determine whether the in-hospital stroke rate and deaths (SD) were impacted adversely by the participation of surgery residents during operation.

#### **METHODS**

**Patient Population**. A single board-certified vascular surgeon (ADA) performed 5,663 CEAs from September 1982 through December 2016. These operations included 246 redo CEAs. Patients

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undergoing concomitant CEA with another procedure, such as coronary artery bypass, were excluded. All data collected for this study came from records of the author's private practice, which is not a covered entity, and as such did not require institutional review board approval or patient informed consent. The data was hand-written into a casebook by the author. The author prospectively recorded the following information during the patient's hospital stay: name of patient, demographic data (age and sex), comorbidities, date of operation, hospital where performed, diagnosis, symptom status, procedure performed, and in-hospital complications including stroke and death.

Hospital Characteristics and Operative Procedure. The preoperative work-up, indications for surgery, technical aspects of performing CEA, perioperative care including preoperative and postoperative standing orders, and postoperative follow-up were similar at each of the five participating hospitals and have been reported previously.<sup>12-16</sup> The majority of operations were performed at two large, tertiary-care, community-based hospitals, as well as the Veterans Administration Medical Center (VA) in Wichita, Kansas, all affiliated with the University of Kansas School of Medicine-Wichita. The author was present for the entirety of every CEA with the exception of skin closure. When a senior (4th or 5th year) surgery resident was present in the operating room, the author assisted the senior resident in performing the majority of the operation depending on the resident's technical skills, as well as the complexity of the case. Although not specifically recorded, the author estimated that he performed the majority of the CEA in less than 10% of cases when a senior resident was present for the case, either because of difficult anatomy (such as high bifurcation, re-operations, or distal plaque) or junior resident first assist. Our surgery residency program trains six residents per year. There are no vascular fellows. At two hospitals, surgery residents did not participate.

All patients suspected of having clinical stroke (i.e., aphasia, contralateral hemiparesis, visual disturbances) while in the hospital had confirmatory computerized tomography or magnetic resonance imaging at all sites. These patients with clinical symptoms and physical findings plus a positive scan were considered to have a stroke.

Statistical Analysis. The author reviewed and tabulated all data manually. Data were complete for all patients. Data were stratified according to resident involvement. Hospitals A, B, and C were resident teaching hospitals and residents participated in CEA operations at these hospitals. Hospitals D and E were not resident teaching hospitals. Qualitative data were analyzed with Chi-Square analysis. All analyses were conducted as 2-tailed tests and the alpha value for statistical significance was set at  $p \le 0.05$ . All analyses were conducted using SPSS 19.0 (IBM Corp., Somers, New York).

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continued.

#### RESULTS

From 1982 through 2016, a total of 5,663 CEAs were performed at five hospitals in Wichita, Kansas. Demographic data are tabulated in Table 1. Demographics were comparable between the hospitals with and without resident involvement, although the hospitals with resident involvement treated more patients with hypertension, diabetes mellitus, and hyperlipidemia. The symptomatic status of patients was similar at both hospitals. The number of cases covered by surgery residents in the three resident hospitals with resident involvement was 1,763, 3,190, and 21, respectively (Table 2). In the two hospitals without resident involvement, 246 and 443 CEAs, respectively, were performed. Deaths from ischemic stroke were counted only under deaths and not both stroke and death so that each patient was counted only once.

#### Table 1. Patient demographics and comorbidities.

Parameter	Hospitals with Resident Involvement (n = 3) Number (%)	Hospitals without Resident Involvement (n = 2) Number (%)	p value
Number of observations	4,974 (87.8)	689 (12.2)	
Age, years (mean)	73.9	78.2	
Male sex	2,726 (54.8)	365 (53.0)	0.366
Hypertension	4,158 (83.6)	544 (79.0)	0.002
Dyslipidemia	3,482 (70.0)	455 (66.0)	0.034
Smoking (current or previous)	2,970 (59.7)	396 (57.5)	0.263
Symptomatic	2,830 (56.9)	384 (55.7)	0.564
Heart disease	2,641 (53.1)	359 (52.1)	0.625
Diabetes mellitus	1,442 (29.0)	168 (24.4)	0.012
Congestive heart failure	294 (5.9)	38 (5.5)	0.679
End-stage renal disease	112 (2.3)	15 (2.2)	0.901

In-hospital stroke and death rates at the various hospitals are outlined in Table 2. When comparing all five hospitals studied, the rate of stroke (ischemic and hemorrhagic) and death (from ischemic stroke, hemorrhagic stroke, and myocardial infarction) were similar between the five hospitals. The combined stroke plus death rates (SD) were not significantly different between hospitals: hospital A = 1.7%, B = 1.1%, C = 0.%, D = 0.8%, E = 0.9% (p = 0.361). In comparing the outcomes in hospitals with resident involvement to those without, the combined stroke plus death rates were similar (Table 3). Residents participated in the care of 4,974 patients resulting in 57 strokes (1.15%) and 12 deaths (0.24%; SD = 1.39%). This compared with the 689 patients cared for without resident involvement resulting in 6 strokes (0.9%) and no deaths (SD = 0.9%). The average hospital length of hospital stay during the author's career decreased from 2.5 days to 1.4 days.

# Table 2. Comparison of stroke and death rate by hospital.

Parameter	Hospitals with Resident Involvement			Hospitals without Resident Involvement		р	
	Hospital A	Hospital B	Hospital C	Hospital D	Hospital E	value	
Observations	1,763 (31.1%)	3,190 (56.3%)	21 (0.4%)	246 (4.3%)	443 (7.8%)		
Ischemic stroke	25 (1.42%)	29 (0.91%)	0 (0.0%)	2 (0.81%)	4 (0.9%)	0.506	
Hemorrhagic stroke	1 (0.06%)	2 (0.06%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0.979	
All strokes	26 (1.47%)	31 (0.97%)	0 (0.0%)	2 (0.81%)	4 (0.9%)	0.510	
Death from:							
Ischemic stroke	2 (0.11%)	2 (0.6%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0.912	
Hemorrhagic stroke	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)		
Myocardial infarction	3 (0.17%)	5 (0.16%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0.884	
All Deaths	5 (0.28%)	7 (0.22%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0.746	
Stroke + Death	31 (1.76%)	38 (1.19%)	0 (0.0%)	2 (0.81%)	4 (0.9%)	0.361	

Table 3. Comparison of stroke and death rate for resident and non-resident hospitals.

Parameter	Hospitals with Resident Involvement (n = 3) Number (%)	Hospitals without Resident Involvement (n = 2) Number (%)	p value
Number of observations	4,974 (87.8)	689 (12.2)	
Stroke	57 (1.1.5)	6 (0.9)	0.519
Death	12 (0.24)	0 (0.0)	0.197
Stroke + Death	69 (1.39)	6 (0.9)	0.266

# DISCUSSION

The training of general surgery residents is an important professional responsibility of attending surgeons. Knowledge of vascular surgery is a core component of the general surgical residency curriculum. Training programs must offer rotations in vascular surgery to meet requirements of the surgery RRC and the ACGME. Carotid endarterectomy is ideal for teaching the basics of the technical aspects of vascular surgery if patient safety is not sacrificed. Nonetheless, patients are at risk of stroke if the procedure is done carelessly.

Over the past three decades, medical management of carotid disease has improved with newer antiplatelet agents, statins and newer blood pressure medications. These medical advances should benefit patients similarly at all hospitals studied. Nevertheless, CEA was performed at five hospitals, three affiliated with the University of Kansas School of Medicine-Wichita, thus having resident involvement, and two without resident involvement. The author was afforded the opportunity to assess the impact of surgery resident participation in performing CEA. In-hospital stroke and mortality rates were similar at all five institutions, although the stroke rate appeared slightly higher, but not statistically significant, at hospital A. Therefore, it is reasonable to conclude that with adequate supervision, senior general surgery residents can participate in CEA without increasing SD. Our results corroborated several other reports that were not prospective, had fewer cases or completed by multiple surgeons, and were not conducted by assessing the results with and without residents during the same period of time.<sup>1-11</sup> This information should be helpful in supporting the involvement of surgery residents in performing vascular surgical procedures, particularly CEA.

This study had limitations. It was limited to the experience of a single surgeon; however, this also ensured that all CEAs were performed in a consistent manner throughout the study period. While hospital environments were similar, some bias may have been introduced, as the residents, which were the focus of this investigation, were confounded by hospital location as there were no cases performed with and without resident participation in each of the participating hospitals.

#### CONCLUSION

In conclusion, general surgery residents did not have a significant impact on the risk of ischemic stroke and deaths from ischemic stroke in patients undergoing CEA.

#### ACKNOWLEDGEMENT

The author thanks Stephen D. Helmer, Ph.D., for providing statistical analyses for this article.

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Keywords: internship and residency, operative surgical procedures, carotid endarterectomy, stroke