Upwash exploitation and downwash avoidance by flap phasing in ibis formation flight

3

Steven J. Portugal¹, Tatjana Y. Hubel¹, Johannes Fritz², Stefanie Heese², Daniela Trobe²,
Bernhard Voelkl^{2,3†}, Stephen Hailes^{1,4}, Alan M. Wilson¹ and James R. Usherwood¹

⁶ ¹Structure & Motion Laboratory, The Royal Veterinary College, University of London, Hatfield, Herts, AL9 7TA,

7 UK, ²Waldrappteam, Schulgasse 28, 6162 Mutters, Austria, ³Institute for Theoretical Biology, Humboldt

8 University at Berlin, Invalidenstrasse 43, 10115, Berlin, Germany, ⁴Department of Computer Science, University

9 College of London, Gower Street, London, WC1E 6BT, UK.

[†]Current address; ³Edward Grey Institute, Department of Zoology, University of Oxford, OX1 3PS, UK

Many species travel in highly organised groups¹⁻³. The most quoted function of these 11 configurations is to reduce energy expenditure and enhance locomotor performance of 12 individuals within the assemblage⁴⁻¹¹. The distinctive V formation of bird flocks has long 13 intrigued researchers and continues to attract both scientific and popular attention^{4,7,9-14}. 14 15 The well held belief is that such aggregations give an energetic benefit for those birds which are flying behind and to one side of another bird through using the regions of 16 upwash generated by the wings of the preceding bird^{4,7,9-11}, though a definitive account of 17 18 the aerodynamic implications of these formations has remained elusive. Here we show that individuals flying within a V flock position themselves in aerodynamically optimum 19 20 positions, in so far as they agree with aerodynamic theoretical predictions. Furthermore, we demonstrate that birds exhibit wingtip-path coherence when flying in V positions, 21 22 flapping spatially in phase enabling upwash capture to be maximised throughout the 23 entire flap cycle. In contrast, when birds fly immediately behind another bird - in a 24 streamwise position – there is no wingtip path coherence; the wing-beats are in spatial anti-phase. This could potentially reduce the adverse effects of downwash for the 25 26 following bird. These aerodynamic accomplishments were previously not thought possible for birds because of the complex flight dynamics and sensory feedback that 27

would be required to perform such a feat^{12,14}. We conclude that the intricate mechanisms involved in V formation flight indicate remarkable awareness of, and ability to either sense or predict, the spatial wake structures of nearby flock-mates; and suggest that birds in V formation have phasing strategies to cope with the dynamic wakes produced by flapping wings.

33 Fixed-wing aerodynamic theories have predicted the exact spanwise positioning that birds should adopt within a V formation flock to maximise upwash capture^{4,9-14}. The primary 34 35 empirical evidence to confirm that this mechanism is used is a reduction in heart rate and wingbeat frequency in pelicans flying in a V formation⁷. There is a general lack of experimental 36 data from free-flying birds, mainly due to the complications of measuring the intricate and 37 three-dimensional complexity of formation flight, and the lack of appropriate devices to 38 39 monitor and record such information. Therefore, the precise aerodynamic interactions which 40 birds employ to exploit upwash capture have not been identified. To investigate the purported aerodynamic interactions of V formation flight, we studied a free-flying flock of critically 41 endangered Northern bald ibises (*Geronticus eremita*) (Fig. 1*a*). We used novel technology^{15,16} 42 to measure the position, speed and heading of all birds within a V formation. We recorded 43 44 position and every wing flap of 14 birds during 43 minutes of migratory flight using backmounted integrated Global Positioning System (5 Hz) (GPS) and inertial measurement units 45 (300 Hz) (IMUs) (see Full Online Methods)^{15,16}. The precision of these measurements allows 46 47 the relative positioning of individuals within a V to be tracked, and the potential aerodynamic 48 interactions to be investigated at a level and complexity not previously feasible.

During a 7 minute section of the flight, where the majority of the flock flew in approximate V formation in steady, level and planar direct flight, (see Full Online Methods), we found wing flaps occurred at an angle of, on average, 45 degrees to the bird ahead (or behind), and approximately 1.2 m behind (Fig. 1*b*, *c*, *d*). The most populated 1 m by 1 m region was 0.49 m to 1.49 m behind ("streamwise") and to the side of the bird ahead. The centre of the most populated (0.25 m) spanwise region was at 0.904 m, resulting in a wingtip overlap⁹⁻¹³ of 0.115 m (Fig. 1*c*, *d*, wingspan b = 1.2 m). This falls within the bounds of fixed-wing theory predictions⁹⁻¹³ for maximising the benefits from upwash, which range from zero wingtip overlap (assuming no wake contraction⁴) to, maximally, 0.13 m (assuming elliptical loading over the pair of wings, and full wake contraction from wingspan *b* to $\pi b/4$)⁹.

59 During this 7 minute section of V formation flight, individual birds show a certain degree of 60 positional infidelity within the V flock (Fig. 2, see also Supplementary Figure 1 and 61 Supplementary Video 1). While individuals contribute to the statistical V formation, their 62 positioning is inconsistent. Certain individuals showed general preferences for a particular area 63 within the V formation, but the variability in positioning within the flock resulted in no clear 64 leader (see Supplementary Information for further discussion).

While we observe that, when flying in a V, ibises position themselves in fixed-wing 65 mathematically predicted positions^{4,9-11}, the wake of flapping birds (in this study, ibises spent 66 97% of their time flapping; Full Online Methods) is likely to be complex⁹⁻¹⁴. Wingtip path 67 coherence, where a flying object flaps its wings in spatial phase with that of the individual it is 68 69 following, has been proposed as a method that would maximise upwash capture in V formation flight of birds and flying robotic devices¹². Whether birds are able to take advantage of this 70 71 additional level of complexity present in flapping flight (in comparison to that of fixed-wing 72 flight) had previously remained unanswered.

Within the ibis flock, individual flaps for each bird were described from the dorsal acceleration signal from the IMU¹⁵. The temporal phase $\phi_{temporal}$ is defined here as the proportion of a flap cycle of a leading bird at which a following bird initiates a flap. Spatial phase $\phi_{spatial}$ makes use of the temporal phases, and takes account of the number of wavelengths, λ , between the bird ahead and the bird behind: 78 $\phi_{spatial} = \phi_{temporal} - 2\pi\lambda$

A spatial phase of zero would indicate that, were the birds to be directly following each other,the wingtip paths would match.

In the most populated 1 m by 1 m favoured V position (Fig. 1c), Rayleigh's test¹⁷ for circular 81 statistics indicates a significant unimodal bias in both temporal (Rayleigh, P = 0.018, mean 82 83 phase = 0.857; Hodges-Ajne, P = 0.012) and, more strongly, spatial (Rayleigh, P = 0.003, mean 84 phase = -1.155; Hodges-Aine, P = 0.004) phases (Fig. 3a, b) (see Supplementary Table 1 for 85 further statistics; Supplementary Figure 2a, 3a, 4a). Flapping in spatial phase indicates that the 86 wing of a following bird goes up and down following the path through the air previously 87 described by the bird ahead. The following bird then benefits from consistently flapping into 88 the upwash region from the preceding bird (Fig. 3b, c), presumably reducing the power requirements for weight support^{12,14} 89

90 In contrast, birds flying directly behind, tracking the bird ahead in a streamwise position 91 (sampled region 0.5 m across, 4 m streamwise, Fig. 1c) flap in close to spatial antiphase 92 (median = 2.897, where precise antiphase would be +/-3.142), significantly (P < 0.05) deviating from flapping 'in' spatial phase (see Supplementary Table 1 for further statistics; 93 94 Supplementary Figure 2b, 3b, 4b). As such, the wingtip paths of the following bird do not 95 match those of the bird they are following, and the wingtip paths are close to maximally 96 separated. Birds flying directly behind another bird in a streamwise location flap in spatial 97 antiphase (Fig. 3d, e, see also Supplementary Figure 2b, 3b), potentially reducing the adverse 98 effects of downwash (Fig. 3*f*), both in terms of magnitude and direction. If this position was 99 aerodynamically adaptive, it would be predicted to be favoured at higher speeds, where parasite power is relatively high¹⁸, compared with the induced power costs of weight support; forms of 100 slipstreaming can reduce the drag experienced by followers^{5,6,8,19}, even in cases where there is 101 102 zero net horizontal momentum flux in the wake (i.e. drag=thrust) - as in steady swimming due to temporal or local spatial^{5,20,21} fluctuations from mean wake conditions. Whether the 103

4

position immediately behind is accidental or intentional, and whether it offers any aerodynamic advantage or cost, is currently unclear. However, the wing-beat phasing observed when in this position would serve to displace the following bird's wings from regions of greatest downwash (presumably immediately inboard of the trailing wing tip vortices, close to wing tip paths described by the previous bird), through most of the flap cycle.

109 In transects both directly streamwise and in line favoured V position (Fig. 1c), temporal phase 110 increases in proportion with distance behind the focal bird (Fig. 3a, d), with a full 2π cycle 111 change in phase over a complete wavelength; spatial phase is approximately maintained up to 112 4 m behind the leading bird. Previously, there was much uncertainty about spatial wing-beat 113 phasing and wingtip path coherence in flapping organisms. The only prior biological evidence 114 of this phenomenon comes from tethered locusts, where distance manipulations between a leading locust and a follower altered the phase patterns of their wing-beats^{22,23}. Physical models 115 116 additionally support the potential for aerodynamic advantage due to phasing: appropriate timing between tandem flapping model dragonfly wings improves aerodynamic efficiency²⁴. 117 118 Theoretical engineering models have taken into consideration flapping flight, and the additional benefits a flapping wing may accrue in formation flight^{12,14}. Such models have 119 120 suggested that upwards of 20% variation exists in the induced power savings to be gained, if flapping is done optimally in spatial phase, versus out of phase¹² (Supplementary Figure 4). 121

122 Here, we show that ibis flight in V formation does, on average, match fixed-wing aerodynamic predictions (Fig. 1*c*, *d*), but that flock structure is highly dynamic (Fig. 2). Further, temporal 123 124 phasing of flapping relates to both streamwise and spanwise position. This indicates remarkable 125 awareness of, and ability to respond to, the wingpath – and thereby the spatial wake structure 126 - of nearby flock-mates. Birds flying in V formation flap with wingtip path coherence - the 127 wingtips take the same path – placing wings close to the oscillating positions of maximal 128 upwash. In contrast, birds flying in line flap in spatial antiphase - the wingtip paths are 129 maximally separated - consistent with avoidance of adverse downwash. This raises the possibility that, in contrast with conventional aircraft, following birds may be able to benefit
from 'drafting' while, to a certain extent, avoiding an increased cost of weight support by
evading localised regions of downwash. Optimal flight speeds would differ between solo flight,
V formation flight and (whether net-beneficial or not) in-line flight, potentially providing some
account for the unstable, dynamic nature of V formation flocks.

135 METHODS SUMMARY

136 Measurements: We equipped 14 juvenile Northern bald ibises with back-mounted synchronised GPS (5 Hz) and inertial measurement units (IMUs, 300 Hz), mass 23 g 137 (Supplementary Photo 1), which are custom made within our laboratory, and have been tested 138 and validated for accuracy and precision 15,16 . At the start of migration, the birds mass was 1.30 139 140 ± 0.73 kg, the 23 g loggers comprising approximately 3% of the body mass of the smallest bird. This is below the recommended 5% for flying animals²⁵. The ibises form part of a large-scale 141 conservation programme and had been hand-reared at Salzburg Zoo (Austria), imprinted onto 142 143 human foster parents, and taught to follow a powered parachute (paraplane) to learn the 144 migration routes (Full Online Methods). Experiment protocols were approved by the RVC local Ethics and Welfare Committee. A GPS trace of the ibis flight imposed over Google EarthTM 145 (Landsat) can be found in Supplementary Photo 2 as a KML file. GPS data was post-processed 146 using GravNav WaypointTM software^{15,26}, and IMU data via custom-written MATLAB 147 (R2012b, Mathworks, Natick, Mass., USA) programmes^{16,26}. Mean flap frequency, speed and 148 149 peak detection protocols are detailed in Supplementary Figures 5 and 6. For further details on post-processing, see Full Online Methods. 150

151 Statistical Analysis: Circular statistics¹⁷ were carried out in LabVIEW (NI, Austin, Texas, 152 USA). First order (Rayleigh test) and second order (Hodges-Ajne) statistics were employed to 153 test the phasing of wing-beats for significant deviations from random distribution. For further 154 details on statistical analysis, see Full Online Methods.

- 155 Full Methods and any associated references are available in the online version of the paper at
- 156 <u>www.nature.com/nature</u>.

157 **References**

165

166

169

170

173

174

175

176

177 178

179

180

181

182

183

184

185

186 187

188

189

194

- 158 1. Couzin, I. D., Krause, J., Franks, N. R. & Levin, S. A. Effective leadership and decision-making in animal groups on the move. *Nature* **433**, 513–516 (2004).
- 160
 2. Nagy, M., Akos, Z., Biro, D. & Vicsek, T. Hierarchical group dynamics in pigeon flocks. *Nature* 464, 890–894 (2010).
- 162 3. May, R. M. Flight formations in geese and other birds. *Nature* 282, 778–780 (1979).
- 163
 4. Lissaman, P. B. & Schollenberger, C. A. Formation flight of birds. *Science* 168, 1003–1005 (1970).
 - 5. Liao, J. C., Beal, D. N., Lauder, G. V. & Triantafyllou, M. S. Fish exploiting vortices decrease muscle activity. *Science*. **302**, 1566–1569 (2003).
- 167
 6. Bill, R. G. & Hernnkind, W. F. Drag reduction by formation movement in spiny lobsters. *Science* 193, 1146–1148 (1976).
 - 7. Weimerskirch, H., Martin, J., Clerquin, Y., Alexandre, P. & Jiraskova, S. Energy saving in flight formation. *Nature* **413**, 697–698 (2001).
- 171 8. Fish, F. E. Kinematics of ducklings swimming in formation: consequence of position.
 172 *J. Exp. Zool.* 273, 1–11 (1995).
 - 9. Badgerow, J. P. & Hainsworth, F. R. Energy savings through formation flight? A reexamination of the vee formation. *J. Theor. Biol.* **93**, 41–52 (1981).
 - 10. Cutts, C. J. & Speakman, J. R. Energy savings in formation flight of pink-footed geese. *J. Exp. Biol.* **189**, 251–261 (1994).
 - 11. Hummel, D. Aerodynamic aspects of formation flight in birds. J. Theor. Biol. 104, 321–347 (1983).
 - 12. Willis, D. J., Peraire, J. & Breuer, K. S. A computational investigation of bio-inspired formation flight and ground effect. In: Proceedings of the 25th AIAA. Applied aerodynamic conferences, Miami, FL, AIAA-4281, Reston.
 - 13. Hainsworth, F. R. Precision and dynamics of positioning by Canada geese flying in formation. *J. Exp. Biol.* **128**, 445–462 (1987).
 - 14. Maeng *et al.* A modelling approach to energy savings of flying Canada geese using computational fluid dynamics. *J. Theor. Biol.* **320**, 76–85. (2013).
 - 15. Usherwood, J. R., Stavrou, M., Lowe, J. C., Roskilly, K. & Wilson, A. M. Flying in a flock comes at a cost in pigeons. *Nature* **474**, 494–497 (2011).
 - 16. Wilson, A. M. *et al.* Locomotion dynamics of hunting in wild cheetahs. *Nature* **498**, 185–189. (2013).
- 190 17. Fisher, N. I. Statistical analysis of circular data. Cambridge University Press. (1993).
- 18. Pennycuick, C. J. Bird flight performance: a practical calculation manual. Oxford University Press. UK. (1989).
 19. Spence, A. J., Thurman, A. S., Maher, M. J. & Wilson, A. M. Speed, pacing and
 - 19. Spence, A. J., Thurman, A. S., Maher, M. J. & Wilson, A. M. Speed, pacing and aerodynamic drafting in thoroughbred horse racing. *Biol. Lett.* **8**, 678–681 (2012).
- 20. Chatard, J-C. & Wilson, B. Drafting distance in swimming. *Med. Sci. Sports Exerc.* 35, 1176–1181. (2003).
 21. Delextrat, A. *et al.* Drafting during swimming improves efficiency during subsequent
- 197 21. Delextrat, A. *et al.* Drafting during swimming improves efficiency during subsequent cycling. *Med. Sci. Sports Exerc.* 35, 1612–1619. (2003).
- 199
 22. Kutsch, W., Camhi, J. & Sumbre, G. Close encounters among flying locusts produce wing-beat coupling. *J. Comp. Physiol. A.* 174, 643–649 (1994).
- 201 23. Camhi, J. M., Sumbre, G. & Wendler, G. Wing-beat coupling between flying locusts
 202 pairs: preferred phase and life enhancement. *J. Exp. Biol.* 198, 1051–1063. (1995).

203 24. Usherwood, J. R. & Lehmann, F. Phasing of dragonfly wings can improve efficiency
204 by removing swirl. *J. Roy. Soc. Interface* 5, 1303–1307 (2008).

205 206

- 25. White *et al*. Implantation reduces the negative effects of bio-logging on birds. *J. Exp. Biol.* **216**, 537–542. (2013).
- 207 26. King, A. J. *et al.* Selfish-herd behaviour of sheep under threat. *Curr. Biol.* 22, R561–
 208 R562. (2012).
- 209
- 210 Supplementary Information is linked to the online version of the paper at
- 211 www.nature.com/nature.

212 Acknowledgements The Waldrappteam assisted with data collection and provided logistical

213 support (J.F., B.V.). We thank members of the Structure & Motion Laboratory for discussions

- and assistance, particularly J. Lowe, K. Roskilly, A. Spence and S. Amos, and C. White and R.
- 215 Bomphrey for reading an earlier draft of the paper. Funding was provided by an EPSRC grant

216 to A.M.W., J.R.U. and S. Hailes (EP/H013016/1), a BBSRC grant to A.M.W. (BB/J018007/1),

- and a Wellcome Trust Fellowship (095061/Z/10/Z) to J.R.U.
- 218 Author contributions S.J.P., S. Hailes., A.M.W. and J.R.U. developed the concept of the
- 219 paper. J.F., S.H. and D.T. reared and trained the birds. S.J.P., S.H., D.T., B.V. and J.F. collected
- the field data. S.J.P., T.Y.H. and J.R.U. undertook the data processing and analyses; J.R.U
- 221 performed the circular statistics. S.J.P., T.Y.H, A.M.W. and J.R.U wrote the manuscript, with
- input from all authors.
- Author Information: Reprints and permissions information is available at
 www.nature.com/reprints. Correspondence and requests should be addressed to S.J.P.
 (SPortugal@rvc.ac.uk). The authors declare no competing financial interests.

226 Figure Legends

Figure 1 V formation flight in migrating ibises. a, Northern bald ibises (*Geronticus eremita*)
flying in V formation during a human-led migratory flight (photo credit, M. Unsöld). b, 3D
location histogram of the 7 minute flight section, showing position of individual ibis within the
V formation, with respect to flock centroid, measured via a 5 Hz GPS data logger. The colour

231 scale refers to the duration (s) a bird was present in each 0.25 m x 0.25 m grid. A plot detailing 232 the formation shape for the duration of the entire flight can be found in Supplementary Figure 233 7. c, histogram of number of flaps (colour coded) recorded within each 0.25 m x 0.25 m region between all birds and all other birds. The majority of flaps occurred at an angle of 234 235 approximately 45 degrees to the bird ahead (or behind). Transects denoted by dashed lines, 236 directly behind or along the most populated V favoured position (just inboard of wingtip to wingtip), are the same as those detailed in Fig. 3. d, a histogram detailing the total number of 237 238 flaps recorded between each bird-bird pair, with respect to position of the following bird. The shaded area (i-ii) denotes the limits of optimal relative positioning, based on fixed-wing 239 240 aerodynamics.

Figure 2 Histograms demonstrating the positional infidelity for each Northern bald ibis
within the V formation during the migratory flight. The grey shaded V shape behind each
individual histogram (n = 14) denotes the structure for all individuals within the flock (see Fig.
1b). The colour code refers to the duration (s) a bird was present in each 0.25 m x 0.25 m grid.
While individual birds showed some bias towards the front, back, left or right regions of the V
formation, these positions were not maintained rigidly.

247 Figure 3 Geometric and aerodynamic implications of observed spatial phase relationships 248 for ibises flying in a V formation. Temporal phase increases as a function of position behind 249 more advanced birds (median +/- 95% CI of phase for each mean bird-bird interaction within 250 a region). When positioned at close to a wavelength in line with the V favoured position (a-251 c), wingtip paths approximately match: observed temporal phases agree with those predicted 252 from the significant spatial phase relationship (thick black lines, +/- 95% CI) at the most 253 populated 1 m x 1 m region, using the mean wavelength measured for each position. When 254 positioned directly in line (d-f), following birds flap in spatial antiphase, maximally separating 255 wingtip paths. In this case the model line is derived from the median spatial phase for all bird-256 bird interactions up to 4 m directly behind. Induced flow velocities (blue arrows, c, f), due to

257	the trailing wingtip vortices of the bird ahead (vortex cores denoted by grey circles), are
258	modelled as infinitely long, parallel vortex filaments. Birds flying in typical V formation keep
259	their wings close to the region of maximal induced upwash (c) throughout the flap cycle. Birds
260	flying directly behind flap in spatial antiphase, potentially reducing the adverse effects of
261	downwash (f), both in terms of magnitude and direction. For scale, the downwash directly
262	between the vortices would be (-) 0.3 m/s, between trailing vortices for a behind a bird of mass
263	1.3 kg, span 1.2 kg at a speed of 15 m/s (no account is taken of flapping, viscosity or wake
264	contraction). Alternative representations of (a) and (d) as Cartesian plots can be found in
265	Supplementary Figure 3, and Supplementary Figure 4 details the extended data array displayed
266	beyond the presented model line.
267	
268	
269	
270	
271	
272	

277 ONLINE METHODS

Birds. Northern bald ibises (*Geronticus eremita*) (n = 14) were hatched at Salzburg Zoo in 278 March 2011, and imprinted immediately onto human foster parents (S.H. and D.T.). At four 279 280 months of age, the birds began training flights behind a powered parachute (paraplane). 281 Training flights lasted between 1-4 hours, and were up to 5 km in length. At the end of July, 282 birds were fitted with dummy loggers to prepare them for being equipped with data loggers for 283 the long-distance migratory flights. The ibis flock comprised 5 females and 9 males. The mass of birds at the start of migration was 1.30 ± 0.73 kg. As such, the 23 g loggers comprised 284 285 approximately 3% of the body mass of the smallest bird. This is comfortably below the recommended 5% for flying animals^{25,27}. The loggers were externally attached, using VelcroTM 286 287 and a harness (Supplementary Photo 1). The dummy loggers remained on when birds were at 288 rest in the aviary, which was at all times bar the migratory flights. The first migratory flight 289 began in August. The total migratory flight plan was from the training site near Salzburg 290 (47.75377N, 13.052959E), to Orbetello, Italy (42.425484N, 11.232662E). Once en-route, birds were flown, on average, every 3rd day. During flights, the birds followed the paraplane, but 291 292 were typically to the side of the vehicle, on average 147 m laterally, consistently to the left, 293 except for one turn (see Supplementary Fig. 5 and 6). All loggers functioned fully. The birds 294 were flown early in the morning (6 am departure), due to later flight times increasing the 295 occurrence of thermalling and gliding, resulting in the birds not following the paraplane sufficiently. A GPS trace of the full flight, imposed over Google EarthTM (Landsat), can be 296 seen in Supplementary Photo 2 (as a KML file). The recorded flight was the 2nd stage of the 297 298 migration.

Data loggers. Further information pertaining to the loggers can be found in detail in Usherwood *et al.*¹⁵ and Wilson *et al.*¹⁶. Briefly, GPS was recorded at 5 Hz and data were postprocessed differentially over the short baseline between base station and ibises, using Waypoint GrafNavTM 8.10. L1 C/A code pseudo-range measurements were used to calculate the position of each GPS loggers, with velocity determined from L1 Doppler measurements. Using this

approach can provide positional accuracy to 0.3 m and speed accuracy better than 0.1 m/s.
Accelerometer data were recorded at 300 Hz.

306 Initial data processing. The flight was checked for any periods when the birds had maintained periods of circling flight (note we do include one circle in our sequence) through examination 307 308 of the GPS and accelerometer traces, and these sections were removed (less than 4 minutes of 309 the total flight duration). The remaining flight, therefore, consisted of straight-line flight. The 310 take-off and landing periods were removed, as, when taking off, it took approximately 4 311 minutes for the birds to form a coherent flock, and to follow the paraplane. Similarly, when the 312 paraplane began to descend at the end of the flight, the birds separated and begin to glide during descent. The position of the paraplane was recorded and tracked via a data logger (see 313 Supplementary Fig. 5 and 6). The GPS, recorded at 5 Hz, was interpolated (MATLAB, 314 R2012b, Mathworks, Natick, Mass., USA) to the same sampling rate as the accelerometer data, 315 at 300 Hz. The interpolation replaced missing values in the GPS. GPS and accelerometer data 316 were passed through a 4th order Butterworth filter (MATLAB). For the production of the 317 histograms (Fig. 1 b, c), the original GPS values were used after being interpolated to a constant 318 5 Hz sampling frequency. In Fig. 1b and Fig. 2, the colour scale refers to the duration a bird 319 320 was present in each 0.25 m x 0.25 m grid. For Fig. 1c, the colour scale refers to the number of flaps recorded in each grid. For Fig. 1c, the regional transect labelled 'directly behind' is offset 321 322 because, for display and analysis purposes, all data from the left side is mirrored to the right so 323 all data points are on one side, and so the centre of the first sampled region lies 0.125 m behind 324 the lead bird. Dorsal acceleration was used to determine each wing flap, and the upper reversal point²⁸ of the flap cycle (see Supplementary Fig. 5 and 6). Note that this reversal point in 325 326 acceleration of the back need not relate to peak wing elevation – or indeed any particular wing 327 kinematic – for the phasing analysis to function.

328 **Height.** Height was recorded. The precision of height measurements, however, is lower than 329 for horizontal positions²⁹. This is because there are no satellites below the birds, and this 330 geometry of the satellites causes a reduction in precision²⁹. We do not consider vertical position 331 due to the small 'signal' of interest (very slight vertical deflections) to the relatively high 'noise' 332 (inevitable due to GPS satellite geometry). We chose a section where, according to the 333 available error measurement calculated by WaypointTM (see 'Data loggers'), the height values 334 were relatively consistent and that during this flight portion, the birds were flying close to the 335 same horizontal plane.

336 Calculating flock formation and individual positioning (Figure 1b, 2, Main Article). In order to establish positioning of individuals and structure within the flock, a flock centroid was 337 338 determined. To calculate the centroid of the flock, the MATLAB function "centroid" was used. This function calculates the centroid of a polygon. The MATLAB centroid function treated 339 340 each bird as a point of a polygon, and determined the centroid for each time point. An average speed was calculated and any birds with a speed discrepancy higher than 3 m/s away from the 341 342 mean flock speed were removed for that time point. From this, the resultant centroid was 343 calculated now containing only birds close in position and speed. A rotation matrix was applied to the data to reorientate the heading so all birds were heading 'up', and the direction of the 344 centroid is always on the positive 'Y' direction. The resultant matrix comprised a position for 345 346 each bird for each sampling point. Theta (Θ) , the angle between each bird and the lead bird, 347 was calculated, transforming Cartesian to polar coordinates (Cart 2 Pole, MATLAB). For data 348 presentation in the histograms (Fig. 1b), the field of view being set to 15 m by 15 m, and the 349 area was divided in a 60-by-60 grid of bins (0.25 m x 0.25 m). Position 0/0 is the centroid. The 350 heat histograms are displayed as contour plots with 5 contour levels.

During the 7 minute section of V formation flight, individual birds show a certain degree of positional infidelity within the V flock (Fig. 2, see also Supplementary Figure 1 and Supplementary Video 1). While individuals contribute to the statistical V formation, their positioning is inconsistent. Certain individuals showed general preferences for a particular area within the V formation, whether left, right, front or rear, but the variability in positioning 356 resulted in no clear leader within the flock (Fig. 2). Navigational ability and kin selection have been proposed as major drivers of leadership in V formation flight³⁰, with more experienced 357 birds or parents of a family group taking the lead³⁰. The ibis flock in the present study 358 comprised birds of the same age (< 1 year old), with no prior navigational experience of the 359 360 route and no parent-offspring relationships. The absence of immediate kin selection and learnt navigational ability as possible factors determining a V formation structure in the recorded 361 flight strengthens the evidence for an aerodynamic function behind the V formation observed 362 363 in the ibis. The young age of the birds, however, may be the main factor as to why there is a 364 lack of a clear leader in the ibis flock, contrasting with previous observations of adult ibises, in which consistent leaders in flocks were identified³¹. Spontaneous and inconsistent leadership 365 has been identified in bird flocks either where no consistent social hierarchy exists³², or when 366 no prior knowledge of a route is known³³. For other 'classic' V formation fliers, the first 367 368 migration is a significant cause of mortality for young birds, even when migrating with parents. As such, aerodynamic mechanisms that reduce the energetic cost of (albeit only very 369 370 infrequent) migratory flight, may present considerable selection advantage.

371 Movement within flock (Supplementary Figure 1). Movement within the V formation was 372 investigated by taking a 45 degree line, the preferred angle for positioning with the V (Fig. 1c) as a transect from the apex of the V. The apex was determined by the intersection of two 45^{0} 373 lines, down each side of the V formation. For every bird for each time point, the distance was 374 375 taken for how far it is positioned from the 45 degree perpendicular transect line. For simplicity 376 of analysis, all data were flipped (mirrored) to all be plotted against one 45 degree line. For Supplementary Fig. 1 (a), the red circles represent the original positions of the birds, for all 377 378 birds and all times. From this, the shortest distance to the 45 degree line was calculated (blue 379 line) and the position was projected on the 45 degree line, and then the distance between 380 projected position and green circle (the centroid) was calculated. The standard deviations (SD) 381 are from the blue perpendicular line rather than the absolute distance, and represent how much the position varies with respect to the line. A mean SD was then calculated for each perpendicular/parallel relationship (Supplementary Fig. 1, b,c). The positioning of all individuals varies more along the line than out from the line (Supplementary Fig. 1*c*). If the changes in position were due only to logger measurement error, the variation in perpendicular and parallel distance and position would be expected to be equal. Because most of the variation is present along the line, the variation can be confidently attributed predominantly to bird movement, not logger noise.

389 **Circular statistics and phasing analysis.** Circular statistics were applied using LabVIEW (NI, 390 Austin, Texas, USA), following that of Fisher³⁴⁻³⁷. All height data were included for circular 391 statistical analysis, but the data were not filtered. The filtered *X* and *Y* data were used, as 392 detailed above. The *X* and *Y* positions were calculated with respect to the direction of travel.

393 The relative positions (in the direction of flight) and phase relationships (as a proportion of the 394 flap cycle of each 'ahead' bird) was determined for every bird following every other bird. Determining appropriate independent sample criteria when considering phases is vital³⁷, and 395 396 presents a challenge when analyzing phase relationships. Consider the case of two birds flying 397 at the same relative position and at the same frequency; they would maintain the same phase 398 relationship indefinitely. Each flap would certainly not be considered an independent sample. 399 As a conservative alternative, we take a mean phase for any bird-bird pairing for a given area 400 to be an independent sample; no account is taken of the length of time or number of flaps spent 401 within the area. Perversely, this technique actually makes use of the variability in relative position, and would be poor for absolutely rigid V formations. 402

403 Statistical tests³⁴⁻³⁷ were performed for two regions, combining left and right sides: one
404 representing V formation flight, (from 0.49 m to 1.49 m both spanwise and streamwise),
405 containing the highest density of flaps; the other for nose-to-tail, streamwise flight, covering a

406 volume 0.25 m spanwise from midline (so 0.5 m behind) and 4 m behind. This provided *n*=165

407 and *n*=160 bird-bird pairs for V formation and nose-to-tail regions respectively.

The Rayleigh test was applied to determine the presence of a single unimodal direction in phase without preconceptions of any mean direction. This found a significant departure from randomness – a significant unimodal bias – in phase (whether temporal or spatial) for the V formation region. Both Rayleigh's test (parametric) and Hodges-Ajne³⁴⁻³⁷ (a non-parametric test) on this region indicate that both the temporal phase and the spatial phase (taking into account the wavelength of whichever bird is ahead) are significantly different from those that would be found from a random distribution^{38,39}.

The median phase for a given region – and its 95% confidence intervals – allows a specified alternative to be tested against. Fig. 3a,d and Supplementary Fig. 3a,b shows the median statistics in graphical form for the two regions. Zero or 'in' spatial phase falls outside the 95% confidence intervals for the nose-to-tail region.

419 The median spatial phases for the two regions described above were used to predict the temporal phases for 0.25 m x 0.25 m along two streamwise transects using the wavelength 420 421 measured for each volume along the transect. If the median spatial phase was π – out of phase, 422 as it is close to in the nose-to-tail transect – we would predict it to be π every integer number of wavelengths, and 0 or 'in' temporal phase at $\frac{1}{2}$, $\frac{3}{2}$, $\frac{5}{2}$ etc. wavelengths. The model – with 423 424 bounding confidence intervals due the spatial median – is shown as lines in Fig. 3a,d. Measured median temporal phases (+/- 95% confidence intervals of the median) broadly match the 425 426 predicted values (see also Supplementary Fig. 3a,b, which gives the same data in Cartesian form). While the fit between model and observed temporal phases is visually convincing, 427 formal statistical treatment is avoided because of uncertainty over independence between 428 429 neighbouring spatial regions along the transects.

Modelled induced flow behind flapping birds. The implications of flap phasing in terms of 430 431 potential interaction with induced flows are shown in Fig. 3 (c,f). For this model, it is assumed 432 that the wingtip vortex left behind a bird ahead of a follower (the grey bird) follows the wingtip 433 path through space – the convection of the vortex core (which, on average, will be inwards and downwards) is neglected^{40,41}. Induced flow-fields are modelled following the Biot-Savart 434 law^{42,43}, treating the wingtip vortices as infinitely long, parallel filaments; no account is taken 435 of variation in lift throughout the wingstroke cycle. Induced flows near the vortex cores are not 436 437 modelled; these regions are represented by grey circles. That, while being correct given the reductions and assumptions described, should not be taken as accurate quantitative calculations 438 439 of the local flowfield. However, the principles they demonstrate - the strongest region of 440 upwash and downwash close outboard and inboard respectively of the wingtip path – meets basic aerodynamic expectations and recent modelling results^{42,43}. For scale, the downwash 441 442 directly between the vortices would be (-) 0.3 m/s, between trailing vortices for a behind a bird of mass 1.3 kg, span 1.2 kg at a speed of 15 m/s, (without modelling flapping or wake 443 444 contraction).

- 445 27. Barron, D. G., Brawn, J. D. & Weatherhead, P. J. Meta-analysis of transmitter effects
 446 on avian behaviour and ecology. *Method. Ecol. Evol.* 1, 180–187 (2010).
- 447 28. Norberg, U. M. Vertebrate flight: mechanics, physiology, morphology, ecology and
 448 evolution. Springer, UK. (2011).
- 449 29. Kaplan, E. & Hegarty, C. Understanding GPS: Principles and Applications. Artech
 450 House. 2005.
- 451 30. Andersson, M. & Wallander, J. Kin selection and reciprocity in flight formation?
 452 *Behav. Ecol.* 15, 158–162 (2003).
- 453 31. Petit, D. R. & Bildstein, K. L. Development of formation flying in juvenile white ibises
 454 (*Eudocimus albus*). Auk 103, 244 (1986).
- 32. Rands, S. A., Cowlishaw, G., Pettifor, R. A., Rowcliffe, J. M. & Johnstone, R. A.
 Spontaneous emergence of leaders and followers in foraging pairs. *Nature* 423, 432–457
 434 (2003).
- 33. Biro, D., Sumpter, D. J. T., Meade, J. & Guilford, T. From compromise to leadership
 in pigeon homing. *Curr. Biol.* 16, 2123–2128 (2006).
- 460 34. Fisher, N. I. Statistical analysis of circular data. Cambridge University Press. (1993).
- 461 35. Mardia, K. & Jupp, P. Directional Statistics. Wiley & Sons, New York, NY, USA.
 462 (1999).
- 36. Sprent, P. & Smeeton, N. C. Applied nonparametric statistical methods. Taylor &
 Francis. Boca Raton, FL. USA. (2007).
- 465 37. Batschelet, E. Circular statistics in biology. Academic Press. London. UK. (1981).

- 466 38. Wiltschko, W. et al. Lateralisation of magnetic compass orientation in a migratory bird. Nature. 419, 467–470. (2002). 467 468 39. Holland, R. A. et al. Testing the role of sensory systems in the migrating heading of a 469 songbird. J. Exp. Biol. 212, 4065-4071. (2009). 40. Hubel, T. Y. et al. Wake structure and wing kinematics: the flight of the lesser dog-470 faced fruit bat, Cynopterus brachyotis. J. Exp. Biol. 213, 3427-3440. (2010). 471 472 41. Hubel, T. Y. et al. Changes in kinematics and aerodynamics over a range of speeds in Tadarida brasiliensis, the Brazilian free-tailed bat. J. Roy. Soc. Interface 9, 1120–1130. 473 474 (2012).42. Kroner, E. Dislocations and the Biot-Savart law. Proc. Phy. Soc. 68, 53. 475 476 43. Griffiths, D. J. Introduction to electrodynamics. Prentice Hall. UK. (1998).
- 477

478 SUPPLEMENTARY TABLE 1

479 Statistical parameters and summary for circular statistical analysis of wing-beat phasing (see 480 Supplementary Information Text). P < 0.05 indicates a significant directional preference. When 481 flying in a favoured V position, birds exhibit significant temporal and spatial phasing of their 482 wing-beats, which is absent when in a streamwise position. For the favoured V position, 165 483 bird-bird pairs were analysed, which incorporated 3816 flap-flap interactions. For the 484 streamwise position, 160 bird-bird pairs were analysed, incorporating 3153 flap-flap 485 interactions.

486 SUPPLEMENTARY TABLE 2

487 Raw data used for phasing analysis.

488 SUPPLEMENTARY FIGURE 1

Confirmation that movement within the flock is not a result of potential logger measurement error. The red circles (**a**) represent the positions of the birds, for all birds and all times, with respect to the flock centroid. From this, the shortest distance (red lines) to the 45 degree line (blue line) was calculated and the position was projected on the 45 degree line, and then the distance between projected position and green dot (the centroid) was calculated (**a**). The standard deviations (SD) are from the blue perpendicular line rather than the absolute distance, and represent how much the position varies with respect to the line. A mean SD was then 496 calculated for each perpendicular/parallel relationship (**b**,**c**). The positioning of all individuals 497 varies more along the line than out from the line (**c**). If the changes in position were logger 498 measurement error alone, the variation in perpendicular and parallel distance and position 499 would be expected to be equal. Instead, there is greater variability along the 45° line, indicating 500 apparent motions within the V formation where not merely logger error artefact.

501 SUPPLEMENTARY FIGURE 2

502 Median spatial phase bounded by 95% confidence intervals (grey shading) for flying in the 503 most populated 1 m square (V formation, \mathbf{a} , n=165 bird-bird pairs) or in a 0.5 m region directly 504 behind for 4 m streamwise (\mathbf{b} , n=160 bird-bird pairs).

505 SUPPLEMENTARY FIGURE 3

Alternative representation of Fig. 3 plots in Cartesian coordinates. The black line indicates the 506 507 predicted temporal phase (directly upward being in phase - flapping at the same time) as a 508 function of distance from relative 'ahead' bird (indicated by radial distance). The temporal 509 phase is predicted from 1) the median spatial phase at the most populated 1 m x 1 m region (V formation, **a**) or the region directly behind each 'ahead' bird (0.5 m across, 4 m behind, **b**), and 510 511 2) the mean measured wavelength between birds for a 0.25 m x 0.25 m area along a transect in 512 line with the most populated region (a) or directly streamwise, nose-to-tail (b). Median 513 measured values for each area within each transect (grey dots; grey curves denote 95% 514 confidence intervals of the median) broadly match prediction up to 4 m between birds.

515

516

517 SUPPLEMENTARY FIGURE 4

518 Phase data as for Fig. 3 and Supplementary Fig. 3, but for an extended streamwise range, also 519 indicating the number of bird-bird pairs of flap-flap interactions has histograms, either in line

with the V favoured position (a), or directly streamwise, nose-to-tail (b). Note that the 520 underlying (grey) line is *not* the same as shown (black line) in Fig. 3 and Supplementary Fig. 521 522 3. In this case, it shows the phase predicted to be optimal through theoretical, supported by numerical modelling. Maximum benefit would be achieved in line with the V favoured position 523 524 if the following bird wings exactly followed the trailing wingtip vortices - and so, 525 approximately, the wingtip path – of the bird ahead; the wings should be 'in' spatial phase, or 'in' temporal phase every whole-integer wavelength behind the bird ahead. Minimum 526 527 detriment due to downwash for a bird flying directly behind another if the wingpaths were out of phase; the wings should flap in temporal antiphase every whole-integer wavelength behind 528 the bird ahead. 529

530 SUPPLEMENTARY FIGURE 5

Three panelled figure focusing on 43 minutes of one migratory flight for the 14 Northern bald ibises, detailing: (a) GPS trace of the 14 birds, the close proximity of the individuals precluding the identification of single traces. An example of a single flight trace, imposed over Google EarthTM (Landsat), can be seen in Supplementary Photo 2 as a KML file. Further details can be found in Supplementary Fig. 6. (b) average speed (\pm SD) and, (c) average flap frequency (\pm SD). The shaded grey area refers to the 7 minute section of clear V formation flight used for subsequent analysis (see Fig. 2, and Supplementary Fig. 6).

538 SUPPLEMENTARY FIGURE 6

Highlight of the grey shaded area in Supplementary Fig. 5, highlighting the 7 minute V formation section of migratory flight for the 14 Northern bald ibises, detailing: (a) GPS trace of the 14 birds. An example of a single flight trace, imposed over Google EarthTM (Landsat), can be seen in Supplementary Photo 2 as a KML file. The blue line represents the path of the paraplane containing the foster parent. (b) average speed (\pm SD) and (c) average flap frequency (\pm SD). (d) example dorsal acceleration trace taken from the 300 Hz Inertial Measurement 545 Unit. The black box positioned at 150 s refers to the zoomed in section displayed in (e), which 546 demonstrates how each flap was detected via our peak detect analysis (see Full Online 547 Methods). The red line denotes the raw trace, and the blue line the filtered data. The circles 548 highlight position of identified peaks.

549 SUPPLEMENTARY FIGURE 7

Location histogram of the 7 minute flight section, showing position of individual ibis within the V formation, measured via a 5 Hz GPS data logger. The grey scale refers to the duration (s) a bird was present in each 0.25 m x 0.25 m grid. The outer red line denotes the flock shape for the entire 43 minutes duration of the flight, superimposed around the 7 minute section (See Fig. 1*b*). The red line encloses 95% of available data points.

555 SUPPLEMENTARY PHOTO 1

Photograph of a Northern bald ibis, showing data logger attachment via a VelcroTM fastening
to a plate, which in turn is attached to a harness.

558 SUPPLEMENTARY PHOTO 2

Google EarthTM (Landsat, KML file) image displaying the full flight of the ibis flock, recorded
via the 5 Hz GPS data logger.

561 SUPPLEMENTARY VIDEO 1

562 An animated GIF showing a section of the ibis flight, taken from the 5 Hz GPS logger data.

563 Each individual bird is identified by a number displayed on the tip of the left wing. To play the

564 Video, download the file and open in a web browser. The Video will then play automatically.

565 SUPPLEMENTARY VIDEO 2

566 A short video clip of the ibis flying behind the paraplane during a training flight.