

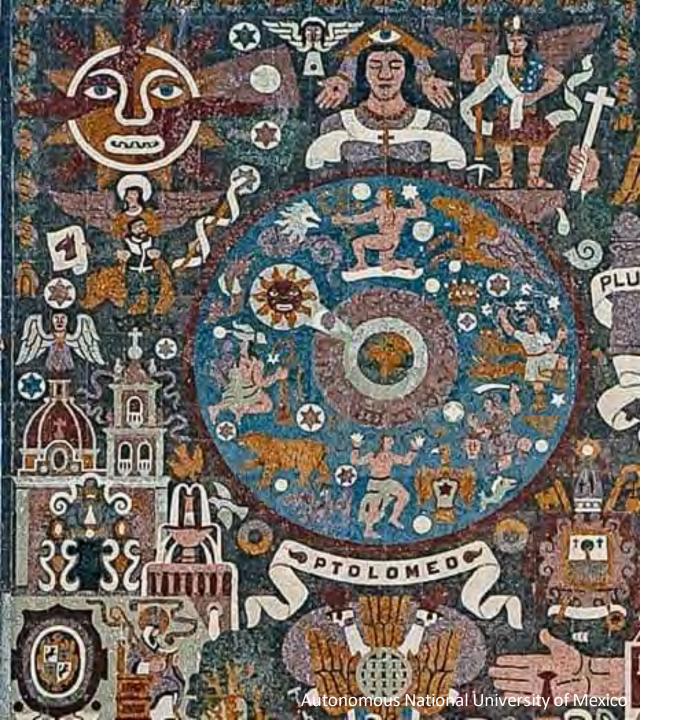
Arianna Becerril García

The value of the scholarly-led, non-profit business model to achieve Open Access and scholarly publishing beyond APC: the AmeliCA's cooperative approach



"The debate on Open Access is a debate about the future of academia. How should the future of academic publishing and academia look like?"

Christian Fuchs, Marisol Sandoval



Latin America has created and maintains a noncommercial structure where scientific publishing belongs to academic institutions and not to large publishers

Every institution supports journals that are driven by their own faculty members, and then that content is made available in OA.

Everyone gets benefit from everyone's investment.





# Open Access Environment in Latin America

### **Key factors:**

Cooperation
Networking
Crowdsourcing
Open source software
In-house software
Free software
International
collaboration

Nonprofit platforms of visibility, edition, quality assurance, metrics



Nonprofit institutional journal portals and repositories

Mainly public institutions

Nonprofit institutional journal publishing

Mainly public institutions

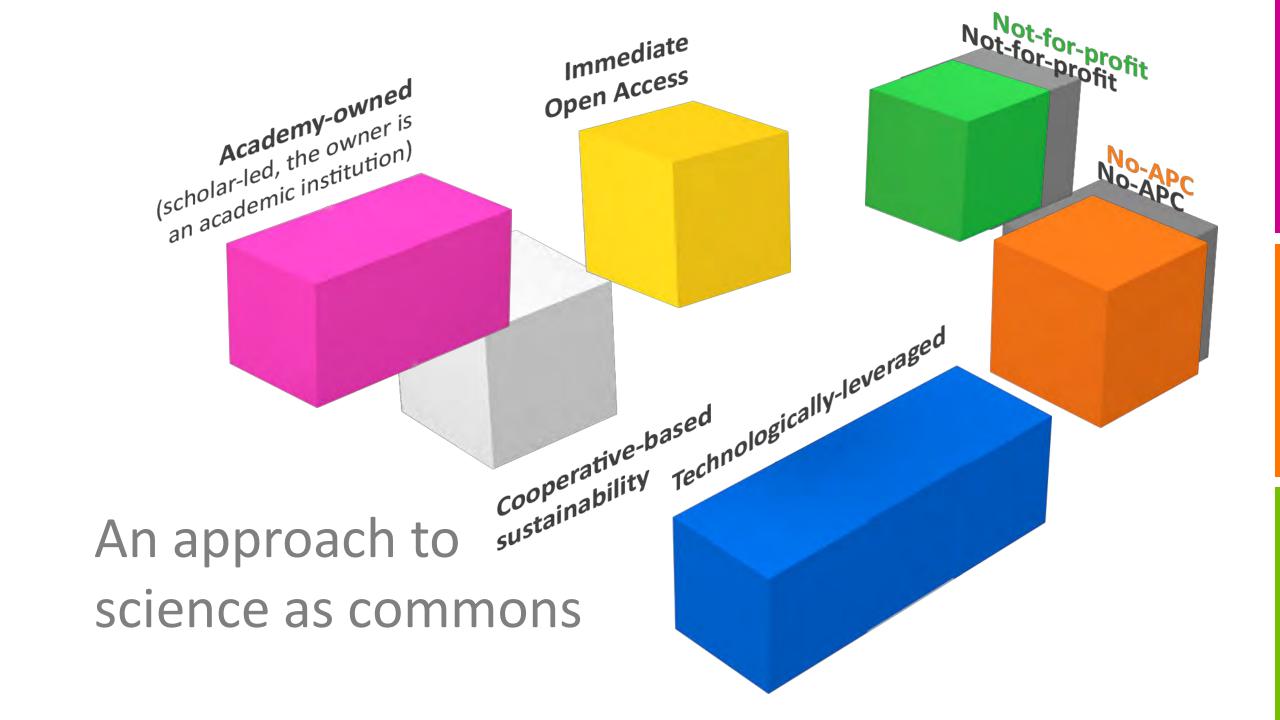


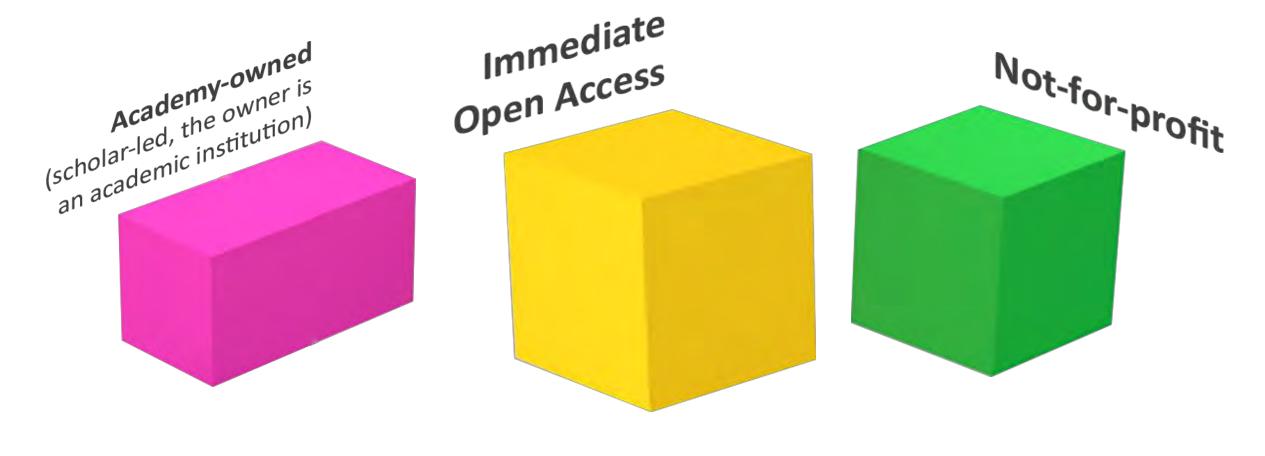
3.321 journal installations



Scholarly-led scientific communication system

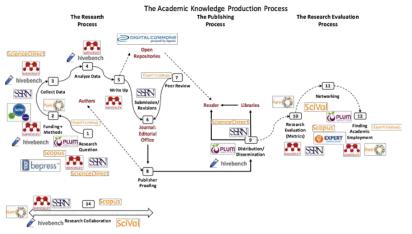
Nonprofit, mainly public – funded scientific communication system





An approach to science as commons

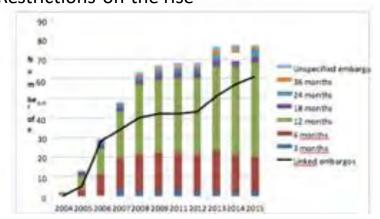
### Increasing control of the knowledge production circuit



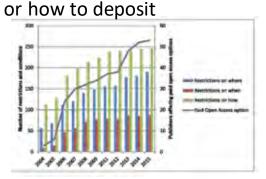
Preliminary Findings: Rent Seeking by Elsevier

Publishers are increasingly in control of scholarly infrastructure and why we should care A Case Study of Elsevier Written by: Alejandro Posada and George Chen, University of Toronto Scarborough Published on September 20th 2017

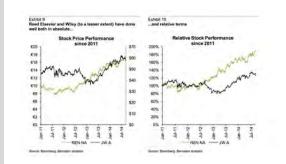
### Restrictions on the rise



# Restrictions on where, when



### Publishers' good financial health



Reed Elsevier: Goodbye to Berlin - The Fading Threat of Open Access (Upgrade to Market-Perform)

Claudio Aspesi, Helen Luong

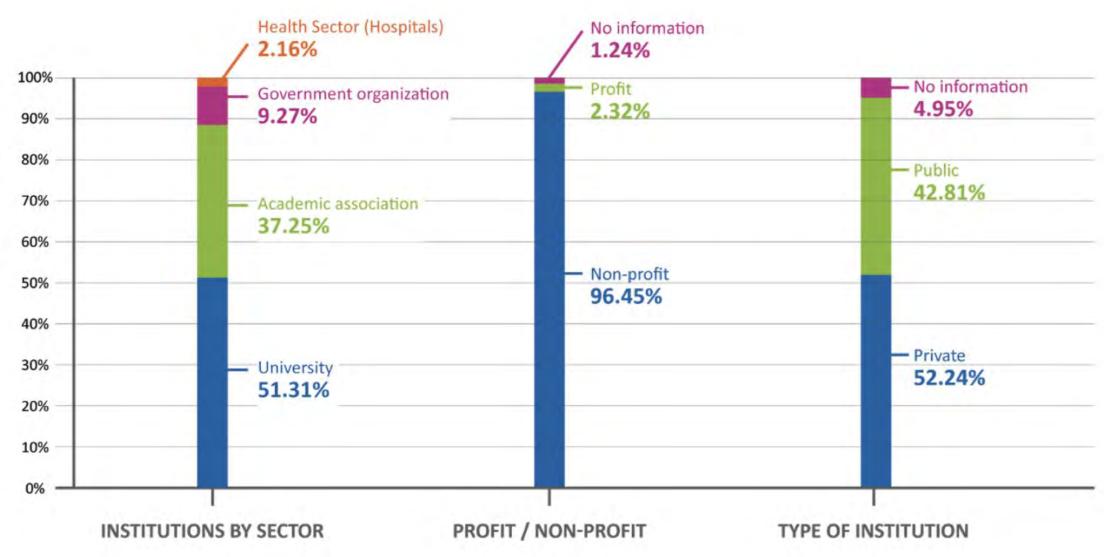
- .. from editorial services
- .. to publishers
- .. to analytics?

The cost of communicating scientific research is a tiny fraction of the cost of research, somewhere between 1% and 2%.

So why should we ask that particular phase of the research cycle to obey financial rules couched in terms of "sustainability" while the overwhelming part of scientific research has to be constantly subsidized?

Jean-Claude Guédon

### Who sustain the non-profit publishing in Latin America?



# Academy-owned publishing seems not to exist in the mainstream databases

### **Academy-owned journals in WoS**



6,3% Science Citation Index; 14,6% Social Sciences Citation Index; 33,8% Arts & Humanities Citation Index.

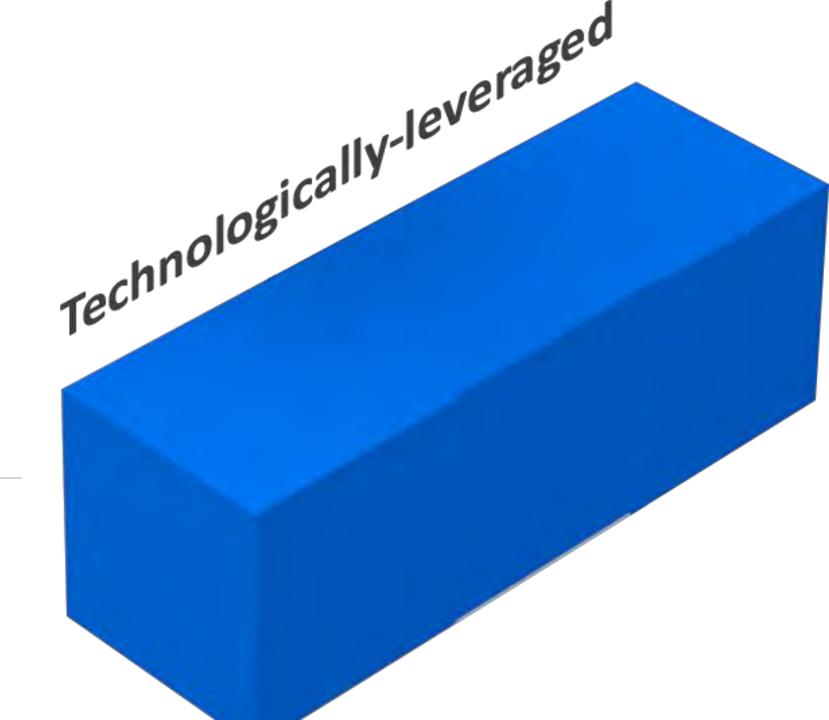
Repiso, Rafael; Orduña-Malea, Enrique; Aguaded, Ignacio (2019). "Revistas científicas e ditadas por universidades e n *Web of Science*: características y contribución a la marca universidad". *El profesional de la informa-ción*, v. 28, n. 4, e280405, https://doi.org/10.3145/epi.2019.jul.05

### **Academy-owned journals in Scopus**



CiteScore2019

An approach to science as commons



# "Too big to fail"

Large publishers enjoy economies of scale which makes them companies "too big to fail" and can be considered natural monopolies that have acquired a market power that impedes competition.

They reach an optimum production level to produce more at lower cost. However, the use of information and communication technologies (ICT) enables the stage for breaking that power.

ICT has the potential to redraw the landscape, and thus bring **new possibilities** for other models to be competitive and even disruptive...

will we be prepared for it?



# CONTEXT: ineffective transition to the digital age

346 years later ... Find the differences

Newton, Isaac (1671)
New theory
about light and colors.
Philosophical Transactions,
6(80)

(3075)

Numb, 80.

## PHILOSOPHICAL TRANSACTIONS.

February 19. 165.

C.

The CONTENTS.

A Letter of Mr. Ilaac Newton, Mathematick Professor in the University of Cambridge; containing his New Theory about Light and Concern there Light is delared to be not Similar or Homogeneal, but consisting of dissources, some of which are more refrangible than others: And Colors are assemble to not gualification of Light, derived from Refractions of natural Bodiers, (as its generally betweed;) but Original and Connate properties, which in divers rays are divers: Where several Observations and Experiments are alleaged to prove the faid Theory. An Accompt of some Books: I. A Description of the EAST-INDIAN COASTS, MALABAR, COROMANDEL, CETLON, &c. in Dutch, by Phil. Baldwas, II. Antonii le Grand INSTITUTIO PHILOSOPHIA, secundam principia Rensti Des-Cartes; novê methodo adornata & explicata. III. An Essay to the Advancement of MUSICK; by Thomas Salmon M. A. Avertischent about Thwon Smyrowus, An Index for the Trails of the Tear 1671.

A Letter of Mr. Isaac Newton, Professor of the Mathematicks in the University of Cambridge scontaining his New Theory about Light and Colors: fint by the Author to the Publisher from Cambridge, Febr. 6. 16%; in order to be communicated to the R. Society.

SIR,

O perform my late promife to you, I shall without further ceremony acquaint you, that in the beginning of the Year 1666 (at which time I applyed my self to the grinding of Optick glasses of other figures than Spherical.) I produced me a Triangular glass-Prisme, to try therewith the cylebrated Phanimena of Calour.

Hukhakto OliAkte, S. (2013). Regionalismo abiento y mieya integración, ¿que modelo genera más integración.

# Regionalismo abierto y nueva integración, ¿qué modelo genera más integración comercial en Sudamérica?

SUSANA HERRERO OLARTE M

Recibido: 04/10/2016 | Aceptado: 26/04/2017

### Resumen

Sudamérica ha experimentado recientemente dos modelos de integración en el proceso de globalización económica. En los noventa, el regionalismo abierto priorizaba el crecimiento del comercio y promovía para ello el libre mercado y la mínima intervención del Estado. En el siglo XXI, la nueva integración proponía un comercio intrarregional capaz de crecer y generar beneficios más allá de los económicos apoyándose para ello en la UNASUR. Ambos modelos conviven en el discurso y podrían llegar a complementarse en la práctica pero, más allá de la teoría ¿cuál ha generado una mayor integración comercial? La aplicación de varios índices muestra cómo el intercambio entre países sudamericanos era mayor en los noventa que en el siglo XXI por la irrupción de China en el mercado, que ha absorbido en los últimos años parte importante del comercio regional, lo que invita a considerar que el regionalismo abierto ha generado más integración comercial que la nueva integración, seducida por las oportunidades desde el exterior.

Palabras clave: Regionalismo abierto; nueva integración; comercio intrarregional; integración comparada; UNASUR.

### Abstrac

Open Regionalism and New Integration, What Model Generates More Trade Integration In South America?

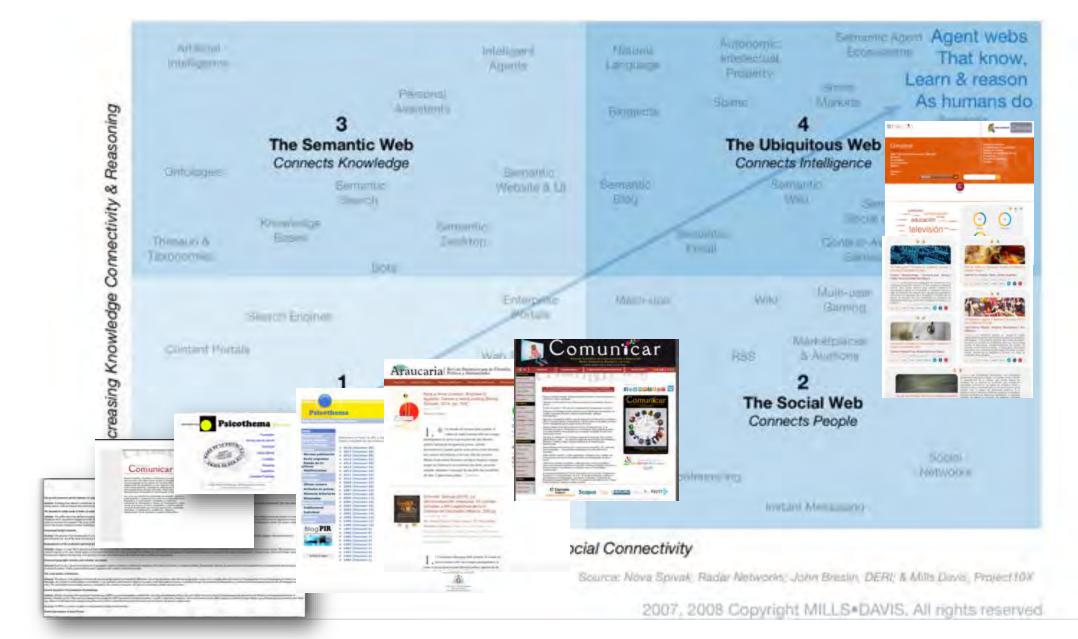
South America has recently experienced two models of integration in the process of economic globalization. In the nineties, opened regionalism prioritized growth in trade through free market and the minimum governmental intervention. In the 21st century, the integration model known as new integration proposed to raise intraregional generating benefits beyond the economic ones. Both models occurist and even they could complement each other but beyond the theory, which has generated a major commercial integration? The application of several indexes shows how the exchange between South American countries was major in the nineties that in the 21st century because of the irruption of China on the market, which it has absorbed in the last years part of regional trade. It invites to think that opened regionalism has generated more commercial integration than new integration, seduced by the opportunities from abroad.

Keywords: Open regionalism; new integration; intraregional trade; compared integration; UN-ASUR.

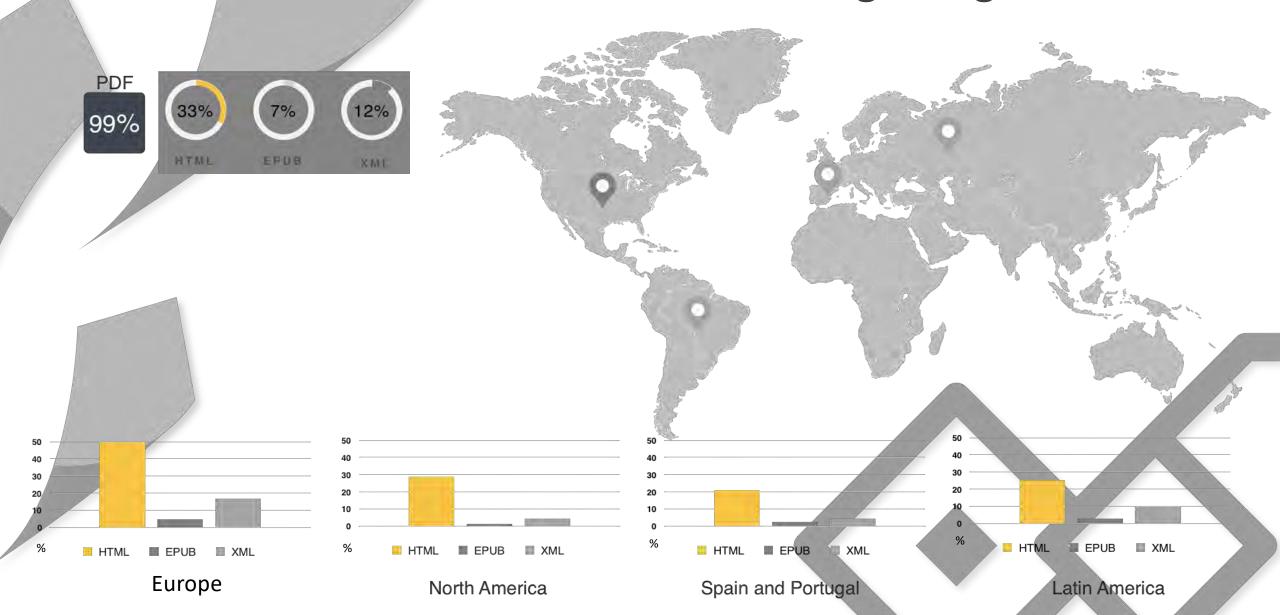
Herrero, Susana (2017) Regionalismo abierto y nueva integración (...) Cuadernos Geográficos, 56(2).

Centro de Investigaciones Econômicas y Empresariales (CIEE). Facultad de Ciencias Econômicas y Empresariales (FACEA).
 Universidad de las Américas, Quito, Ecuador, olarresusaria@hotmail.com

## At what stage of the web is scholarly publishing running?



# CONTEXT: ineffective transition to the digital age



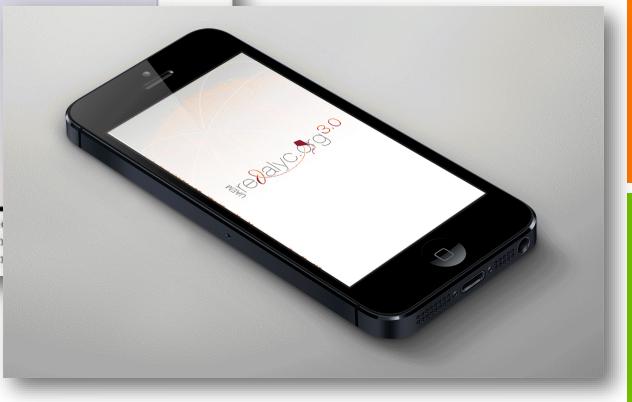




# Article Future

Homework: compare and contrast is an ongoing initiative to revolutionize the ments: presentation, content and con lesign the format before it was a







An infrastructure that supports academy-owned non-profit scholarly publishing by providing technology and sharing knowledge that contributes to the sustainability of non-commercial Open Access

# redalyc.org

Metrics & statistics Interoperability & discoverability OAI-PMH/XML/ Agreggators/
Nepositories/ RDF/ Semantics An OA platform for journal visibility, Journal production tools xml quality improvement, production tools <ext-li-(XML, PDF, HTML, Journal ePUB, desktop & mobile readers) Quality certification Enriched file formats Visibility Services Services

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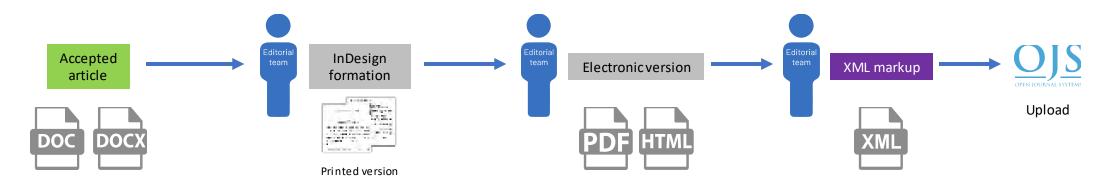
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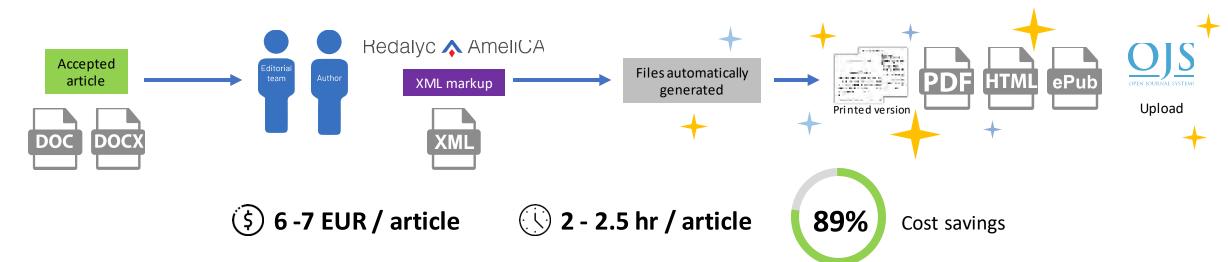
Redalyc AmeliCA

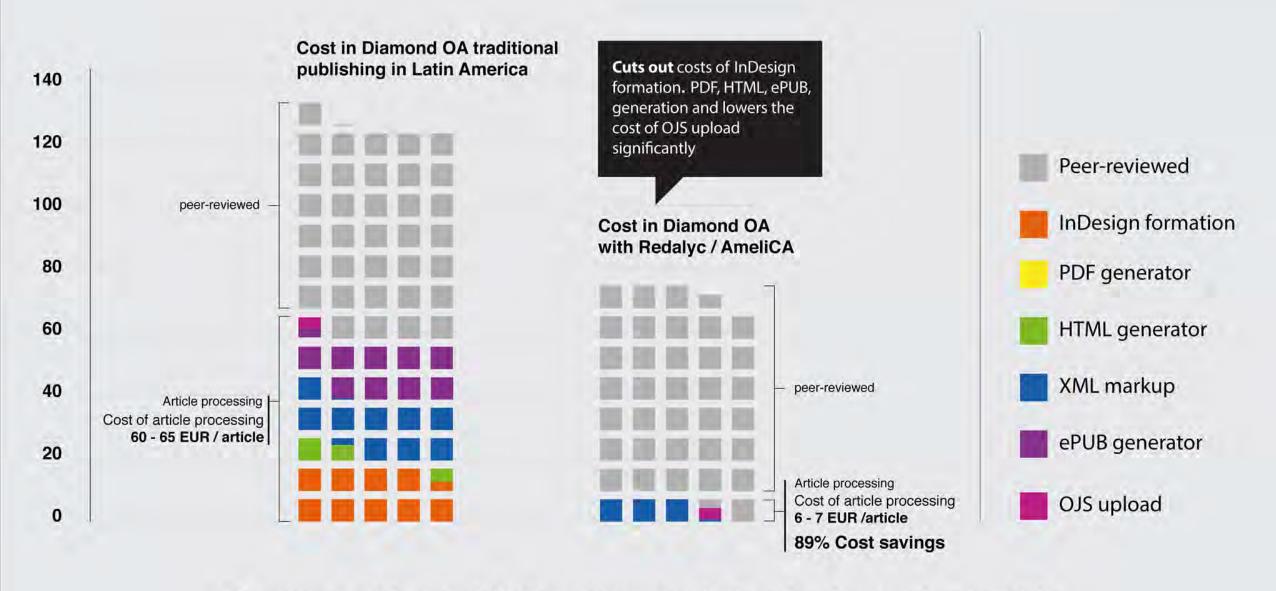
### **Traditional Article Processing**



- **\$ 50 60 EUR / article**
- **11 13 hr / article**

### **Article Processing with Redalyc/AmelicA as infrastructure**





Supported by publisher non-profit institutions along with Redalyc - AmeliCA as an infraestructure



Open Data

Replicability

necessary condition in science



Mathematical expressions tagging with MathML

XML tagging of tables and data

XML tagging of annexes and supplementary material





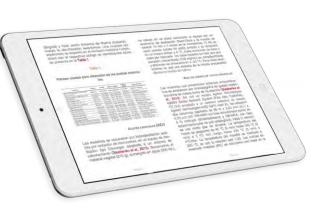




**PDF** 





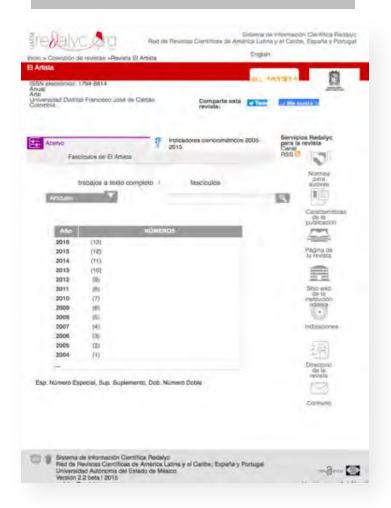


**ePUB** 

**XML JATS 4R** 

Self-classification of information Improved reading experience Illustration of items Intelligent information retrieval

### Before: without XML



### After: with XML



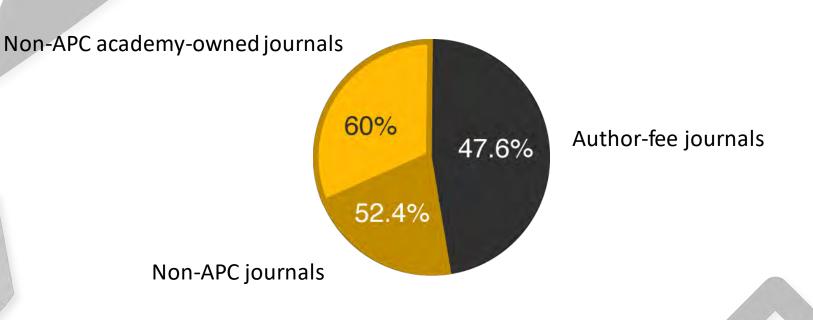
# redalyc.org

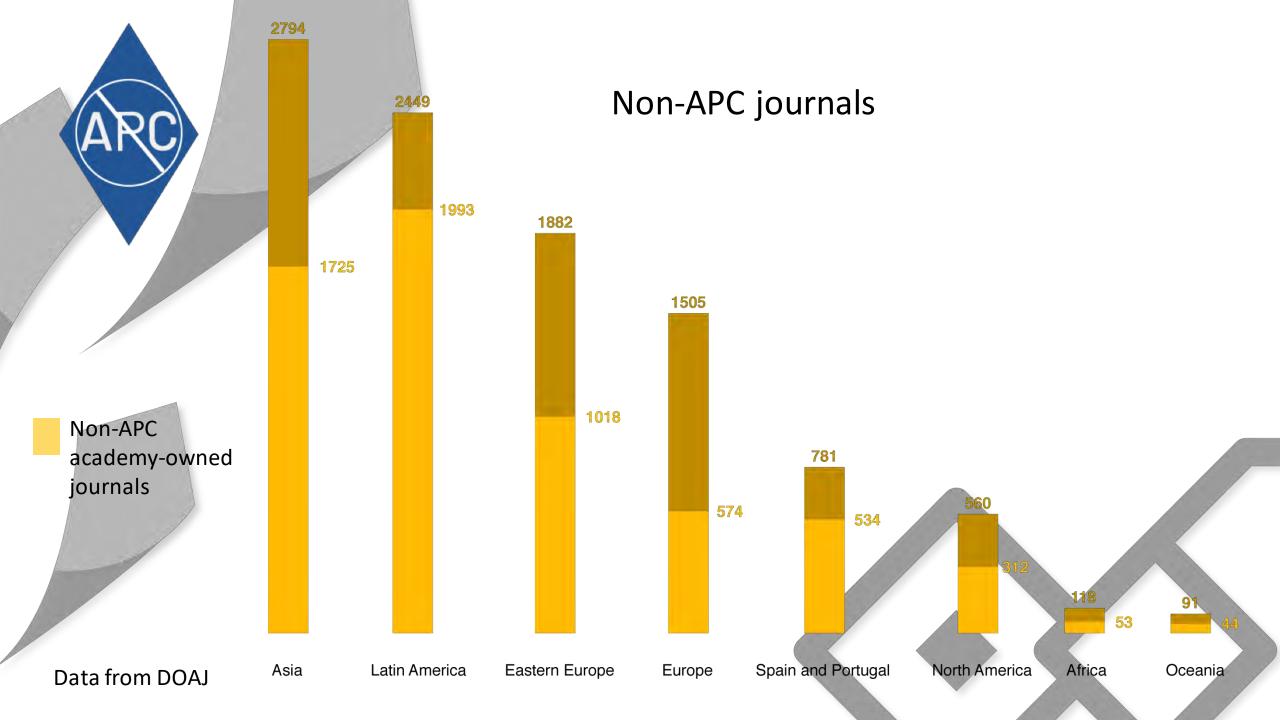


An approach to science as commons

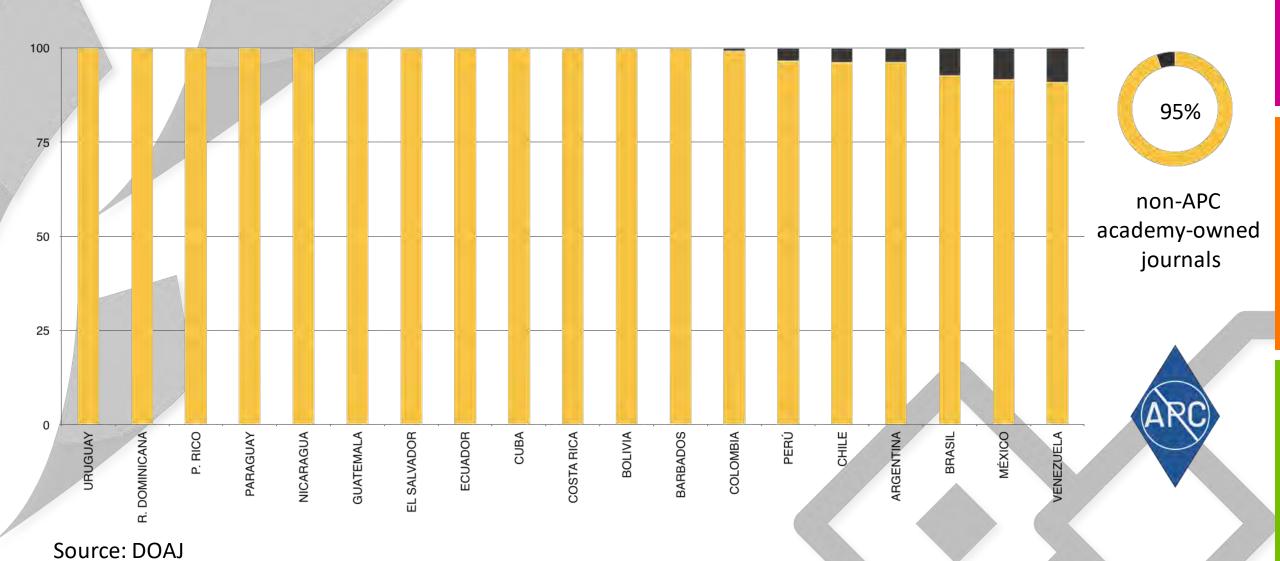


# Author-fee journals (based on DOAJ)





# Non-APC tradition in LatAm



### Plan S

Making full and immediate Open Access a reality Now a global flip is being intended based on a transformation from a pay-to-read to a pay-to-publish strategy.

However, the control of science will continue in the hands of corporations.

Countries, academic institutions and the research community do not have any control beyond commercial agreements

Non-APC model in LatAm

How could Latin America and other developing regions participate in the global scientific conversation when restrictions change from reading to publishing?

The APC model brings a risk of widening the gap between Latin American research and international publication; as well as a risk of breaking the open nature of scientific communication system in Latin America.



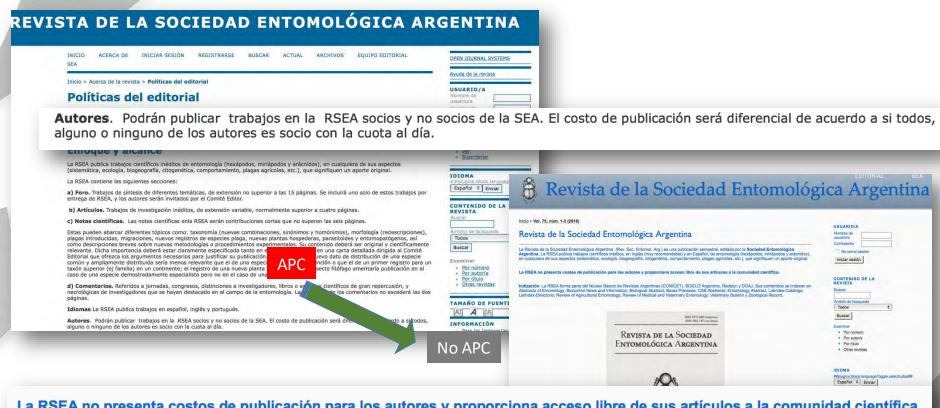
# Risks of the influence of APC in LatAm

- In a government-funded scientific communication system, where non-APC publication is a fact and sustainability is driven by public resources, which are the advantages in adopting a model to charge author fees?
- Wouldn't it be a risk of discouragement of governments and public institutions to keep supporting scientific research and publication?
- Wouldn't it be a risk of discouragement of non-profit Open Access platforms like Redalyc to keep strengthening publications?
- Could journals become self-sufficient through APCs in a region with no funds in research projects to publish results?

Successful case: journals generate its XML content with no-cost in Marcalyc, download the PDF, HTML, intelligent multimedia article reader, ePUB article versions and use them in their own websites



Successful case: APC to non-APC conversion Journal of the Entomological Society of Argentina eliminated its APC policy to apply for a user account in Marcalyc.



La RSEA no presenta costos de publicación para los autores y proporciona acceso libre de sus artículos a la comunidad científica.

# Challenges

It is critical to understand that the Journal Impact Factor has a number of well-documented deficiencies as a tool for research assessment.

Quantitative metrics cannot replace qualitative evaluation, nor can they make the contributions of local research visible.

The best ranked publications are usually for-profit and the research assessment systems reward publishing in them.

# Prevailing prestige construction

Exclusive and deficient research assessment

### Stop confusing the map with the territory

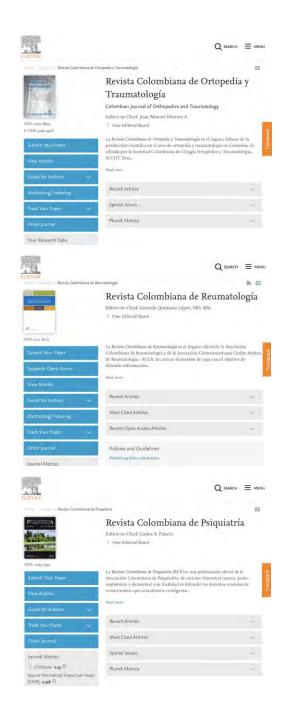


### Based on Scopus

Analyzing scientific collaborations

## Based on Redalyc

Analyzing scientific collaborations







# ELSEVIER

Why does a journal that can benefit for a cooperative infrastructure need this?

... for the pursuit of prestige



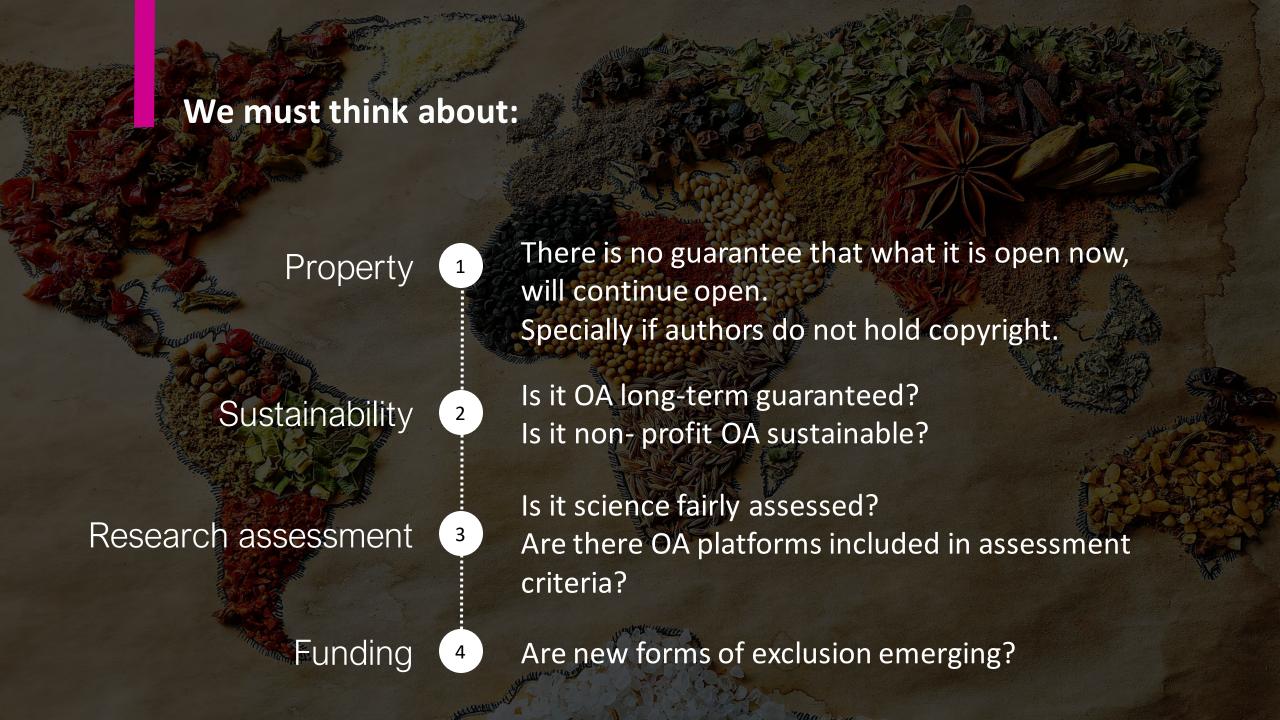




# **FISEVIER**

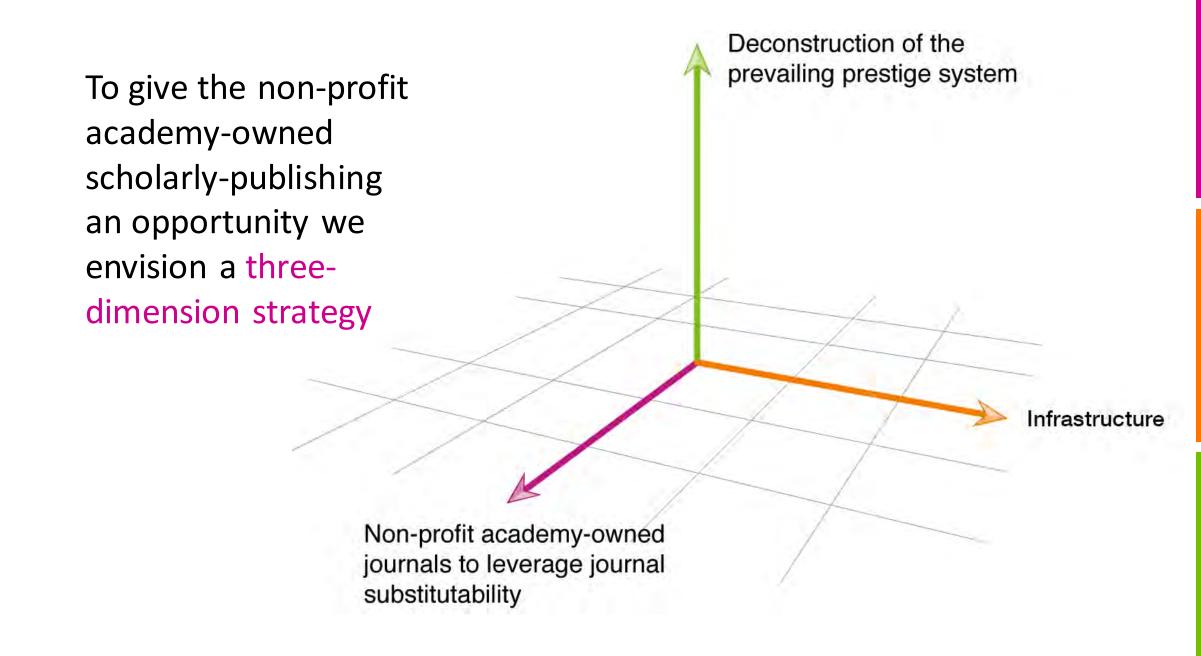


¿services? ¿appropriation?



# Our response ...

A decision made to take advantage of the regional ecosystem, technology, knowledge and experience of multiple organizations so that the scholarly communication remains in control of the academy and that avoids losing subsidies by choosing a shift to address Open Access with commercial mechanisms such as the APC.



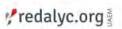




AmeliCA is a multi-institutional **community-driven** initiative supported by UNESCO and led by Redalyc and CLACSO, that arises in response to the international, regional, national and institutional contexts of Open Access, which seeks a cooperative, sustainable, protected and non-comercial solution for Open Knowledge.







































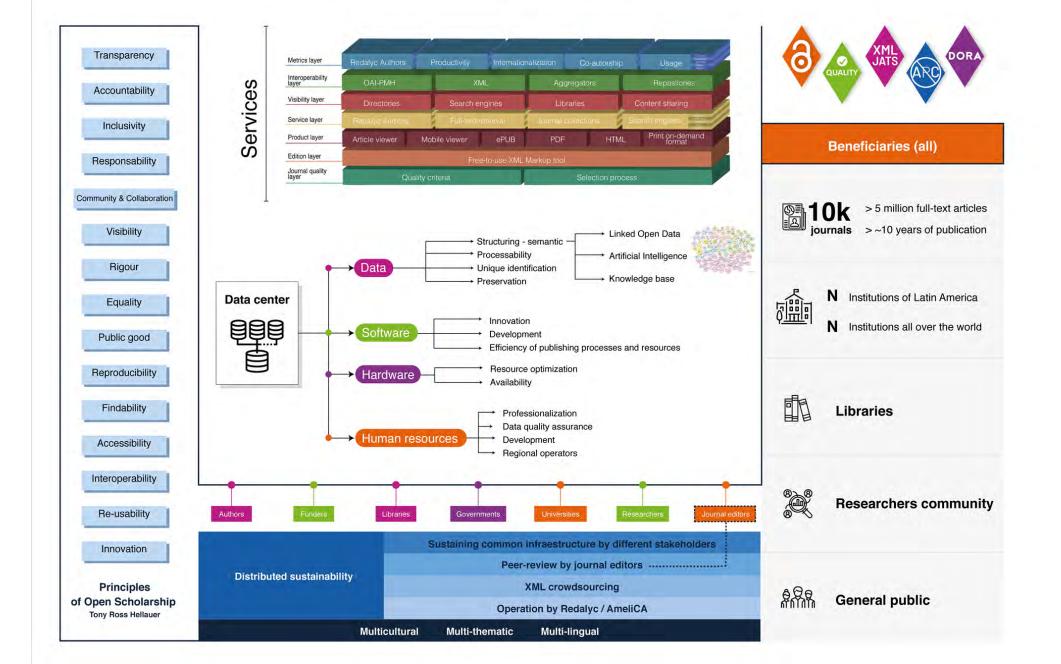






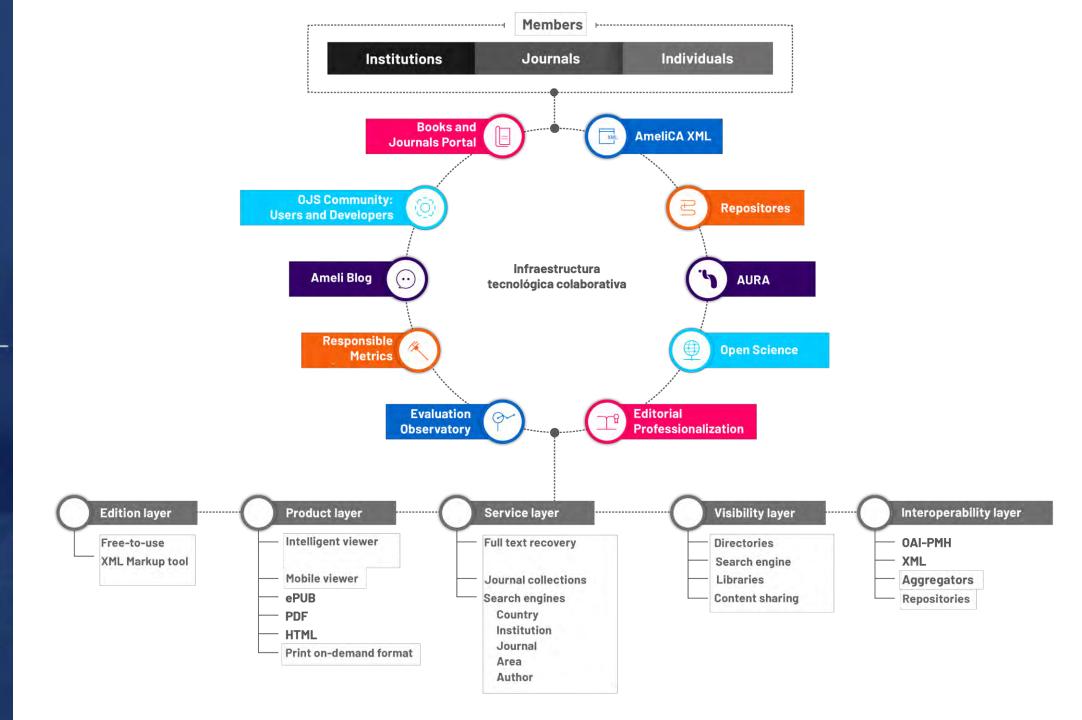


#### Cooperative infrastructure for science as a common good









## Journals participating in this model have the following:



Peer-review and editorial quality



Open Access policy free of publishing or processing costs (APC)



Digital publishing technology (XML JATS)



A vision to overcome the current assessment of science based on the Impact Factor aligned to the Declaration on Research Assessment (DORA)

## Plan S from AmeliCA's perspective (1/2)

Plan S and AmeliCA definitely share a common goal: achieve full and unrestricted Open Access to publications from publicly funded research.

AmeliCA is the evolution of fifteen years of Redalyc's work aim to build a cooperative infrastructure with a wider geographical scope.

**Plan S and AmeliCA also share the vision of DORA:** Research needs to be assessed on its own merits rather than on the basis of the venue in which the research is published.

Redalyc last year required -as mandatory criterion for indexing- that publisher institutions or journal editors sign DORA declaration. DORA recently confirmed that almost 50% of its signatures comes as a result of this Redalyc requirement.

Plan S and AmeliCA coincide that authors must retain copyright of their publication with no restrictions.

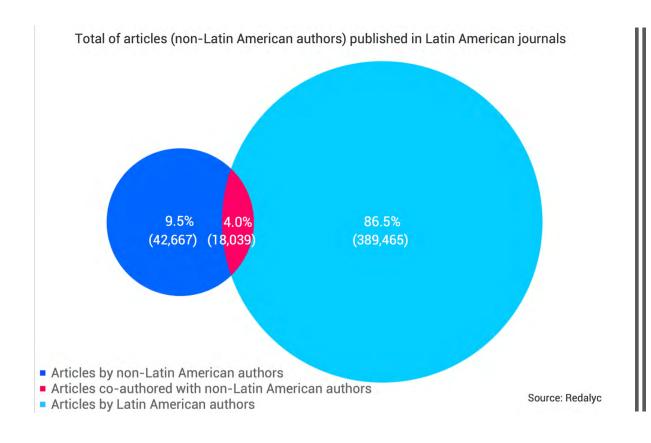
We celebrate cOAlition S is committed to fulfil the target. Our concerns about Plan S are not a matter of ends but of means.

# Plan S from AmeliCA's perspective (2/2)

Although Plan S is not focus on a single business model, the only one that is clearly identified for funding is the APC-based. If Plan S pursuit a global flip, the diverse business models should receive equal mentions and they deserve planned actions, including the definition of how funding could be given to organizations that implement them. (agree with point seventh of Martin Eve's response).

Latin America, publications in Redalyc 650,000 articles in 1,300 journals 620 publishers (universities and academic societies) from 22 countries

They are no-fee journals and free of cost platforms but they need funding to continue publishing and to be competitive





Approximately 13.5% of articles published in Latin American journals come from non-Latin American authors (~60K articles)

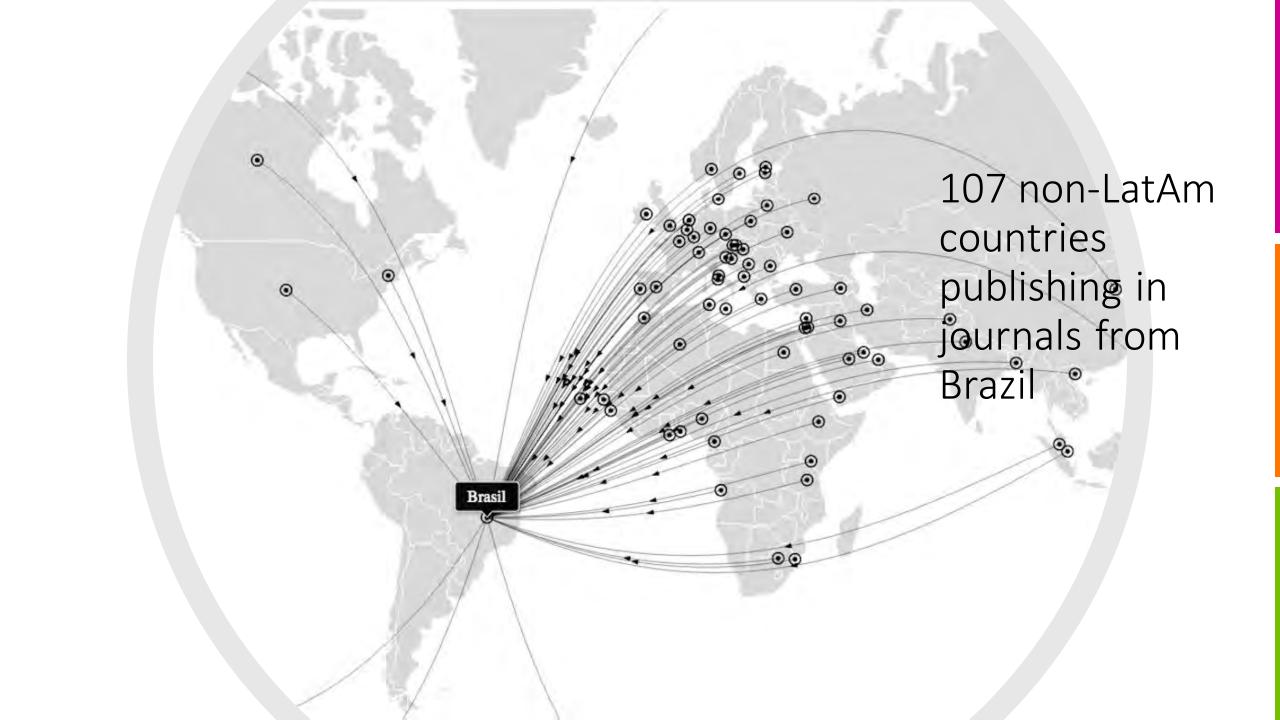
Applying an APC of 1,000USD funders would have paid 60,000,000 USD to publish them.

# Papers from non-latin authors published by LatAm journals. Data source: Redalyc



45 non-LatAm countries publishing in journals from Argentina





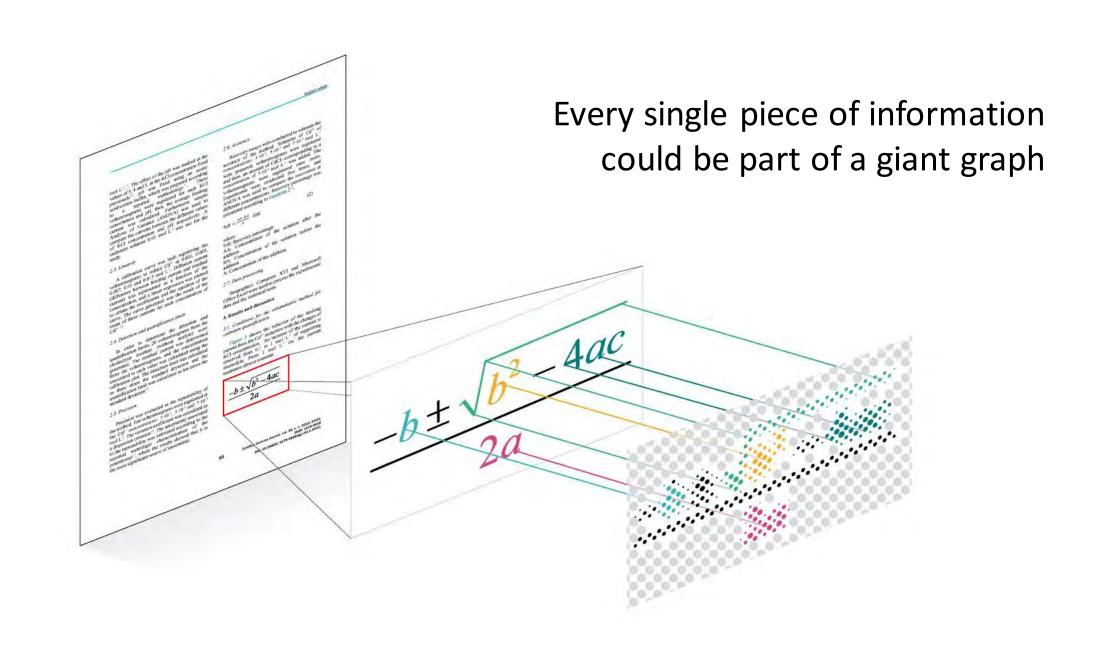
### The potential of Redalyc/AmeliCA model



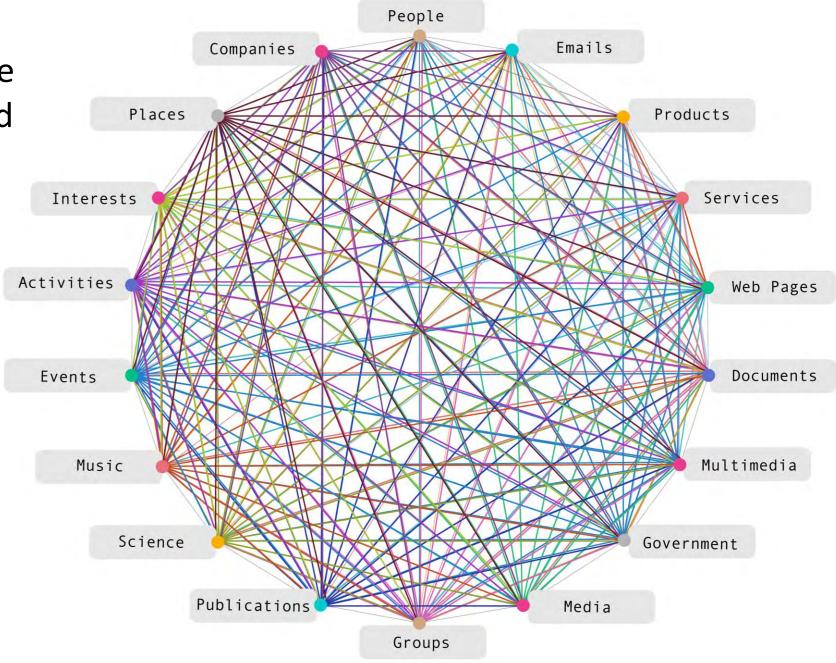
Technology and Artificial Intelligence for a participatory and inclusive science ecosystem.

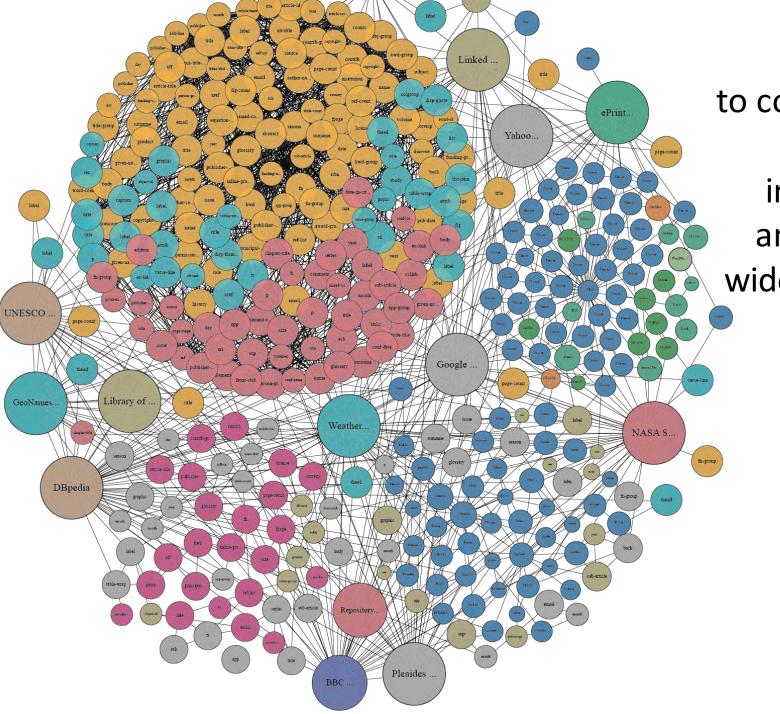
Organic visibility, discoverability and impact of science





What if every source of information could be a provider of a linked data?





to compose a structure
that expresses the
inherent knowledge
and to be linked to a
wider and unrestricted
knowledge cloud

# We imagine ...

A web of data for science, a cloud of scientific knowledge, sustainable and open that promotes a participatory and inclusive science communication.

We must seek as humanity a more equitable participation of all nations in the scientific discourse that comprehends local agendas, diversity and that contributes in the reduction of gaps.







# Thank you!

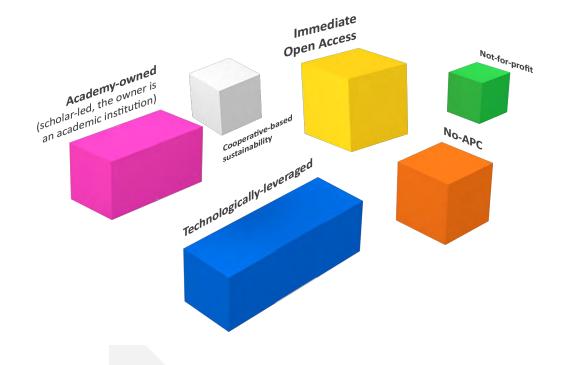
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@ariannabec

















For a non-profit academy-owned scholarly publishing to preserve and sustain the open nature of science communication