Domestic dogs respond correctly to verbal cues issued by an artificial agent
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### 21 Abstract

22 Human-canine communication technology for the home-alone domestic dog is in its infancy. Many criteria 23 need to be fulfilled in order for successful communication to be achieved remotely via artificial agents. 24 Notably, the dogs' capacity for correct behavioural responses to unimodal verbal cues is of primary 25 consideration. Previous studies of verbal cues given to dogs alone in the test room have revealed a 26 deterioration in correct behavioural responses in the absence of a source of attentional focus and reward. 27 The present study demonstrates the ability of domestic pet dogs to respond correctly to an artificial agent. 28 Positioned at average human eye level to replicate typical human-dog interaction, the agent issues a recall 29 sound followed by two pre-recorded, owner spoken verbal cues known to each dog, and dispenses food 30 rewards for correct behavioural responses. The agent was used to elicit behavioural responses in three test 31 conditions; owner and experimenter present; experimenter present; and dog alone in the test room. 32 During the fourth (baseline) condition, the same cues were given in person by the owner of each dog. The 33 experiments comprised a familiarisation phase followed by a test phase of the four conditions, using a 34 counterbalanced design. Data recorded included latency to correct response, number of errors before 35 correct response given and behavioural welfare indicators during agent interaction. In all four conditions, at 36 least 16/20 dogs performed the correct recall, cue 1 response, and cue 2 response sequence; there were no 37 significant differences in the number of dogs who responded correctly to the sequence between the four 38 conditions (p = 0.972). The order of test conditions had no effect on the dogs' performances (p = 0.675). 39 Significantly shorter response times were observed when cues were given in person than from the agent (p 40 = 0.001). Behavioural indicators of poor welfare recorded were in response to owners leaving the test 41 room, rather than as a direct result of agent interaction. Dogs left alone in the test room approached and 42 responded correctly to verbal cues issued from an artificial agent, where rapid generalisation of learned 43 behaviours and adjustment to the condition was achieved.

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Keywords: Dog, Dog-human communication, Dog training, Unimodal verbal cues, Artificial Agent, Welfare
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#### 48 **1. Introduction**

49 Domestic dogs (Canis familiaris) respond to multimodal stimuli during communication and in training with 50 humans where cues are sent and received based on collective visual, auditory and olfactory components 51 (Rowe, 2005). Information provided within a specific social and environmental context will condition a 52 required response then contingent upon a package of stimuli for its performance (Mills, 2005). As 53 multimodal cues provide several elements of salience, they are typically used when training pet dogs 54 general obedience behaviours (Lindsay, 2005). Subsequently, for learned behaviours to become controlled 55 by a unimodal component (verbal cue), literature suggests that it is necessary to systematically fade out the 56 remaining controlling stimuli (Reid, 1996). Multimodal communication is however, ubiquitous in many 57 instances of human-human interaction (Knap et al., 2014) and a wide spectrum of body language is often 58 unknowingly used during verbal communication with dogs, increasing difficulty of unimodal training for 59 both species. Furthermore, once a behaviour is under the control of a verbal cue, additional training 60 (proofing) is often needed in order to generalise responses to wider domains (Braem and Mills, 2010). 61 Verbal cues have been shown to be less salient to dogs than visual signals during training; Skyrme and Mills 62 (2010) reported that in pet dogs trained to perform a novel behaviour using both cue types, the verbal cue 63 was overshadowed by its visual counterpart, and Scandurra et al. (2016) found significantly more correct 64 responses to visual than verbal signals in behaviours previously trained bimodally. Working dogs are 65 however, already trained to respond to unimodal auditory, verbal or visual cues (McConnell and Baylis, 66 1985; McConnell, 1990; Bozkurt et al., 2014), and pet dogs have shown this ability following specific 67 training (Gergely et al. 2014; Fugazza and Miklósi, 2015). Seminal research has also revealed remarkable 68 word learning abilities in individual cases (Warden and Warner, 1928; Kaminski et al., 2004; Pilley and Reid,

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2004), although dog and human understanding of words may be incomparable (Markman and Abelev,
2004; Prichard et al., 2018).

71 Pet dog obedience training occurs in close proximity to a human whose attentional focus (eve contact, 72 head and body positioning), provides reliable indication to the dog that verbal cues are intended for them 73 and that their responses will be acknowledged and rewarded appropriately (e.g., Kaminski et al. 2012). 74 Previous research has revealed the impact on the ability to respond correctly to verbal cues when 75 subsequent, systematic removal of attention and multimodal information, using varied dissociative actions 76 has been applied (Fukuzawa et al., 2005; Pongracz et al., 2003; Virányi et al., 2004). Fukuzawa et al. (2005a; 77 2005b) found a significant decline in responses to tape recorded cues, to cues given by the experimenter 78 partially obscured by a screen, and when the experimenter's back was turned to the dog, compared to 79 when those cues were given in person, and poor responses when cue phonemes were altered slightly. 80 Similarly, Virányi et al. (2004) found a significant deterioration in responses to verbal cues when human 81 attention and cue were incongruent (eye contact or head position focused away from dogs) during cue 82 delivery. The salience of ostensive cues (eye contact, name calling) preceding pointing and gazing gestures 83 during dog or puppy-human cooperative food locating tasks is also well established (Miklósi et al., 1998; 84 Kaminski et al., 2012; Duranton et al., 2017). In contrast, Rossano and colleagues (2014) found that 85 unimodal human vocalisations can be used referentially in a similar task, with the experimenter out of sight 86 but present in the room, nonetheless. 87 Therefore, it is perhaps unsurprising that poor responses to unimodal verbal cues have been recorded 88 when dogs have been left alone in the test room. Pongracz et al. (2003) compared responses between

verbal cues given in person and issued to dogs alone via a loud speaker placed behind a screen, finding a
significant decline in correct responses to the latter. More recent research has revealed the positive impact
of a remote-controlled treat dispenser to ameliorate handler dissociation (distance) by enabling food
rewards to be delivered to dogs stationed in close proximity to a device (Gerencsér et al., 2016).

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93 Technology designed for human-dog remote interaction will, amongst many other factors, be dependent 94 on rudimentary conditioning of dogs to unimodal verbal cues issued from a novel agent. Rapid 95 generalisation of social competence towards artificial agents following positive (food acquisition) 96 interactions has been found in dogs (Gergely et al. 2013; Gergely et al., 2015; Abdai et al., 2015; Gergely et 97 al. 2016). Gergely and colleagues (2013) used an unidentified moving object (UMO; remote controlled car) 98 as a social agent that retrieved inaccessible food from a box when dogs glanced at the agent. Repeated 99 exposures revealed that goal directed interactivity is key in the rapid development and maintenance of 100 social behaviour towards a novel agent rather than familiarity of embodiment, such as human or dog-like 101 physical features (Abdai et al., 2018). The UMO was later deployed to indicate the location of hidden food 102 (Gergely et al., 2015), revealing the dogs' ability to utilise indications from a UMO as effectively as from a 103 human informant. 104 Both evolutionary and ontogenetic mechanisms may contribute to this social flexibility (Miklósi et al., 2004) 105 the latter likely enhanced by early learning, training, socialisation and habituation; key contributors to 106 neural and behavioural plasticity in adult dogs (Scott and Fuller 1965; Taborsky and Oliviera, 2012). 107 Plasticity promotes curiosity, novelty seeking and the motivation to learn and achieve goals (Berlyne, 1960),

shaping positive emotive states (Harding et al., 2004; Boissy et al., 2007; McGowan et al., 2014) thus, good

109 welfare (Duncan, 2005). Dog-human interactivity using positive reinforcement may facilitate preparation

110 for, and positive cognitive bias toward technological advancements (Rooney and Cowan, 2011; Starling et

111 al., 2014; Abdai and Miklósi, 2018).

112 The aim of the present study was to establish whether domestic pet dogs could approach (recall to) an

113 artificial agent when requested and respond correctly to two pre-recorded owner spoken verbal cues as

reliably as to their owners in person. Dogs were tested with the agent in three conditions; with

experimenter and owner present, with experimenter present only, and crucially, in response to previous

research and in light of current innovation, whilst dogs were alone in the test room. A baseline condition of

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dog-owner interaction was used. Given the novelty of agent use, it was also critical to measure behavioural

118 indicators of welfare during interaction with the agent.

119

#### 120 2. Materials and methods

#### 121 **2.1. Ethics statement**

122 Data were collected while the primary author was a student at University Centre Sparsholt, Sparsholt

123 College Hampshire, UK. Ethical approval for this observational non-invasive study was gained from the

- 124 Ethics Committee, University Centre Sparsholt. The study was carried out under the ethical guidelines
- 125 published by the Association of the study of Animal Behaviour (ASAB). Owner participation was voluntary.
- 126

### 127 2.2. Animals

128 Animals were twenty pet domestic dogs (12 males and eight females), age range 1 - 9 years (mean age 4.2 129 years), of various breeds (17 pure breeds and three mixed breeds), predominantly working types, with the 130 highest numbers comprising Labrador Retrievers (n=5), German Shepherds (n=4), and Border Collies (n=3); 131 18/20 dogs were highly trained in obedience, and 14/20 additionally in competitive sports, with two at UK 132 championship levels. Criteria for participation was a history of positive reinforcement training using food as 133 a reward; reliability in at least two behaviours on verbal cue and previously trained by the owner, reliability 134 of recall to a specific learned sound or verbal cue, and good physical health. Dogs diagnosed with 135 separation anxiety were not eligible for participation. The behaviours chosen by the owners and issued to 136 the dogs were "Sit" and "Down" (9/20); "Sit" and "Speak" (4/20); "Spin" and "Sit" (3/20); "Down" and 137 "Speak" (2/20); "Sit" and "Paw" (1/20); "Down" and "Back" (1/20). No dog had previous exposure to a treat 138 dispenser, treat dispensing / audio device, or interactive artificial agent of any kind. Dogs were tested 139 individually, with owners participating in the familiarisation phase and two of the four test conditions. Each 140 dog-owner dyad attended one session when all testing occurred, lasting no longer than one hour in 141 duration. Participants were recruited in response to a Facebook post via a page set up exclusively for the

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142 project and the post was shared to a leading dog training club's page to ensure that dogs would meet the 143 required criteria.

- 144
- 145 2.3. Materials
- 146 2.3.1. Agent

147 The agent (Fig. 1) comprised the following: Treat & Train® wireless remote-controlled treat dispenser, 148 modified by removal of the food collection dish and addition of a Marsboy<sup>®</sup> Bluetooth<sup>®</sup> wireless speaker, 149 and a GoPro® Hero 4 video camera, to remotely monitor and record the dogs' attentional focus and 150 responses. Food rewards inside the dispenser were Pepperami<sup>®</sup> sausage cut into 1cm diameter and 151 approximately 3-4mm depth pieces. The agent was mounted at a height of 1.5 metres on an Allcam TP941 152 tripod portable floor stand, modified by the addition of two Part King® heavy duty black universal wall 153 mounting shelf brackets. A Casa Pura® Palermo non slip protective mat was placed in front of the agent for 154 dogs' comfort. Equipment to record owner verbal cues and recall sounds, and control and monitor the agent, comprised an Apple MacBook Pro<sup>®</sup> computer, Apple iPhone<sup>®</sup> 6, GoPro<sup>®</sup> Hero 4 iPhone application, 155 156 iTunes® application, and GarageBand® application. A second video camera, GoPro® Hero 5, was positioned 157 at the back of the test room to capture general behaviour.

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167	
168	Fig. 1. The agent; a commercial treat dispensing device modified by removal of the food collection dish and
169	addition of a speaker and camera.
170	
171	2.3.2. Test facilities
172	The test facilities (Fig. 2) comprised a main hall, and an adjacent room with open window to enable the
173	owner / experimenter absent conditions (AE and AO), where monitoring of the dog via the iPhone GoPro®
174	camera application, Bluetooth <sup>®</sup> connection, and remote control of the agent was achieved. The facilities
175	were novel to all participants.
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183	Owner / experimenter exit point Adjacent room for AE and AO conditions
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191	Agent and dog

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193 Fig. 2. Test facilities. The experimental layout was consistent between all four test conditions.

194

### 195 2.3.3. Event ethogram

- 196 An ethogram was used to record event behaviours during agent interaction as possible indicators of poor
- 197 welfare (Table 1).

Behaviour	Definition	
Body Shake	A movement of the body from side to side in a very rapid motion	
Lip lick	Opening the mouth and passing the tongue over the lips	
Scratch Using a paw to make contact with neck / ear / muzzle / body, rubbit the area in a rapid motion		
Yawn	Opening the mouth wide and inhaling deeply	
Vocalisation	A bark, whine or howling sound emitted from the throat	
Heavy panting	Shallow, fast, audible breathing, open mouth, tongue exposed	
Excessive salivation Accumulation of saliva around the outside of the mouth		
Ears flattened	Ears pulled back away from the face, and flat to the head	
Tail tuck	Tail lowered and tucked between the hind legs	
Head lowered	Head lowered in line with the body, usually with flat ears / tucked tail	
Hyper vigilance	Body and ears raised, eyes and movement focused on owner exit point. May emit whining sound from the throat concurrently	
Freeze	Standing still in place, body stiff, or with a hind leg shaking	

198

199 Table 1. Event ethogram of dog behaviours which may indicate poor welfare during agent interaction.

200

## 201 2.4. Experimental design and procedure

202 The experiment comprised two phases, a familiarisation phase, followed by a test phase of four conditions;

203 owner only (OO) (baseline), agent, experimenter, owner (AEO), agent and experimenter (AE) and agent

- 204 only (AO) (Fig. 3). These conditions allowed the following to be investigated: any effects of the owner /
- 205 experimenter's presence in the room during interaction with the agent; the dogs' ability to differentiate
- attentional focus and verbal cues issued by either the agent or the owner; the dogs' ability to direct
- attentional focus towards the agent when left alone in the test room; the dogs' tendency to hesitate or
- 208 look to their owner for feedback prior to or during interaction with the agent; comparisons between

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responses to owners cues in person and those issued by the agent; and behavioural welfare indicators
during agent interaction in all conditions. To help counteract any possible order effect established by the
repeated measures, the four conditions were randomised as part of a counterbalanced design to form four
groups of five dogs each: Group A: Condition sequence OO-AEO-AE-AO; Group B: Condition sequence AEOAE-AO-OO; Group C: Condition sequence AE-AO-OO-AEO; Group D: Condition sequence AO-OO-AEO-AE.

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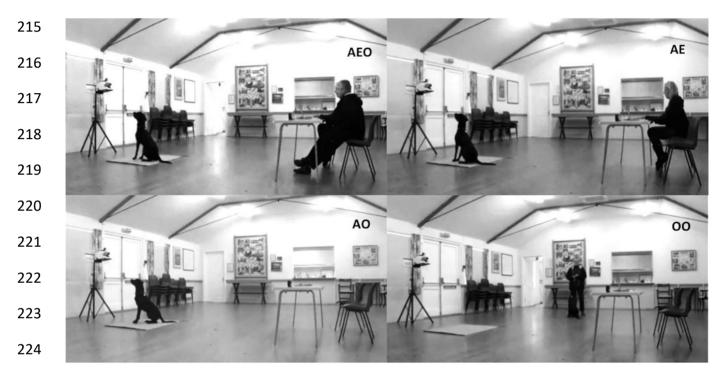


Fig. 3. Participant Alfie in all four test conditions; agent, experimenter and owner (AEO), agent and

226 experimenter (AE), Agent only (AO) and baseline owner only (OO).

227

## 228 2.4.1. Familiarisation phase

- 229 Before testing, all dog-owner dyads experienced a familiarisation phase detailed as follows:
- 230 Step one: The owner and dog entered the test room and the dog was let off-lead to investigate the room.
- 231 Step two: The agent was placed on the floor of the test room. When the dog approached and looked at the
- agent, the experimenter marked the looking with a "Yes" and triggered the agent by remote control to
- 233 dispense food. Step three: The agent was placed on its stand and step two was repeated. Step four:

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234 Standing away from the agent, the owner gave their dog the two chosen verbal cues in order to 235 demonstrate that they would meet baseline criteria. The owner was static and gave no eye contact to the dog to ensure unimodal cue delivery. The owner rewarded the dog with treats from their hand for correct 236 237 responses. Step five: The owner stood next to the agent and repeated step four, the experimenter 238 triggered the agent by remote control to dispense food for correct responses. Step six: The experimenter 239 recorded the owner's two chosen verbal cues (exactly as they had been spoken in the demonstration), and 240 their recall sound or cue, into the experimenter's computer while seated at the table. Step seven: The 241 owner walked their dog to the agent and stood as in step five, now silent. The experimenter triggered the 242 two verbal cues from the agent's speaker and triggered the agent by remote control to dispense food for 243 correct responses. Step eight: With the experimenter and owner seated at the table, the dog by their side, 244 the experimenter triggered the recall sound from the agent and when the dog approached and looked up 245 at the agent, the experimenter triggered the agent by remote control to dispense food. Once the dog had 246 eaten the food, the dog was called back to the table and the test phase was initiated.

- Criteria to fulfil the familiarisation phase was that each dog had achieved one correct response to each of the two verbal cues given by the agent with owner standing next to the agent, and one successful recall approach, with experimenter and owner present in the room. Every dog gave the correct responses in the set pattern given above before continuing on to the test phase.
- 251

#### 252 2.4.2. Test phase

- 253 Tests were then carried out in the four conditions. In each condition the criteria of a test was to perform
- three sequential behaviours; 1) approach and look up at owner / agent, 2) respond correctly to cue 1, 3)
- respond correctly to cue 2. Cues were issued in the same order throughout conditions.

256

- 257 2.4.2.1. Conditions
- 258 Owner Interaction Only (OO) (baseline).

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The owner positioned their dog in a sit-stay and walked approximately three to four metres in front of them; the dog was facing the owner, the agent to the dog's left side. Facing the dog and standing static without eye contact the owner gave their recall sound (a chosen verbal cue, e.g., "come" or artificial sound e.g., a whistle). The approach was rewarded with food from the owner's hand. With the dog in front of them and remaining static with no eye contact, the owner gave their first verbal cue, a correct response was rewarded with food from the owner's hand. The owner gave their second verbal cue and a correct response was rewarded with food from the owner's hand. Food was the same as from the agent.

266

267 Agent, Experimenter and Owner (AEO)

268 With the owner, experimenter, and dog stationed at the table, approximately three metres away from the 269 agent and facing it, the experimenter triggered the recall sound (to match the dog's baseline recall sound) 270 from the agent. When the dog approached and looked up at the agent, the experimenter triggered the 271 agent by remote control to dispense food immediately. When the dog finished eating the food and was in 272 front of the agent looking up at it, the experimenter triggered the first verbal cue. When the dog responded 273 correctly, the experimenter triggered the agent by remote control to dispense food. When the dog finished 274 eating the food and again looked up at the agent, the experimenter triggered the second verbal cue, and 275 the same protocols were applied as in the first verbal cue.

276

277 Agent and Experimenter Present (AE)

The experimenter instructed the owner to exit the test room and enter the adjacent room, closing the door behind them and remaining out of sight. The experimenter recalled the dog to the table and with the dog again stationed next to the experimenter at the table and facing the agent, the experimenter repeated the tests exactly as in the AEO condition, using the same protocols.

282

283 Agent Only (AO)

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The experimenter exited the test room, joining the owner in the adjacent room, closing the door behind them, leaving the dog alone and remaining out of sight. From here, the experimenter monitored the dog's behaviour via the agent's camera, on the iPhone® GoPro® application. The experimenter then repeated the tests as in the AEO / AE conditions, using the same protocols. Note: Dogs could not be stationed at the table to begin the AO condition, as the experimenter was not present in the room, thus dogs approached the agent from whichever position they were in at the time.

290

### 291 **2.5. Data collection and analysis**

- 292 Testing was carried out from August 2017 to October 2017. Behavioural responses during the test phases
- 293 were recorded on two GoPro<sup>®</sup> Hero video cameras for later analysis on an iMac<sup>®</sup> computer. Responses
- recorded for each dog, in every condition were as follows:
- 1: Number of recall repetitions required to approach (max 5 repetitions).
- 296 2: Number of hesitations to approach (hesitation = momentary orientation / head or body movement
- toward agent or owner without locomotion).
- 3: Number of pre-approach gazes to owner / experimenter (gaze = orientation of head toward owner with
- 299 eye contact).
- 300 4: Latencies to approach (seconds, 5 maximum).
- 301 5: Number of errors before correct response to the first verbal cue (max 5 repetitions).
- 302 6: Latencies to the correct response to the first verbal cue (seconds, 5 maximum).
- 303 7: Number of errors before correct response to the second verbal cue (max 5 repetitions).
- 304 8: Latencies to the correct response to the second verbal cue (seconds, 5 maximum).
- 305 9: Event behaviours as possible indicators of poor welfare during agent interaction.
- 306 Statistical analysis focuses only on the test phase. To investigate effects of the experimental conditions on
- 307 the dogs' responses as listed above (1-9), Chi-Square goodness of fit tests were used. The critical P-value
- 308 used throughout analysis was 0.05; the software was Minitab 18.

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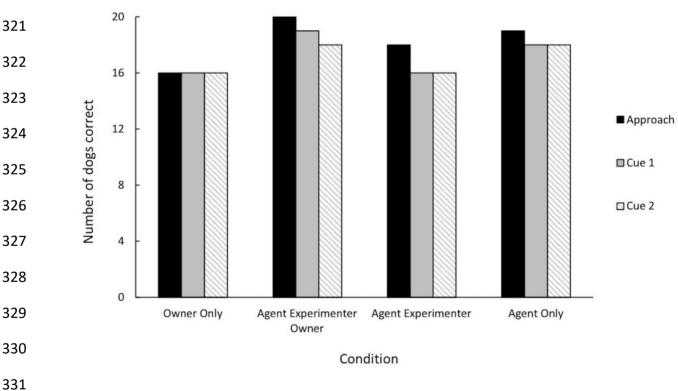


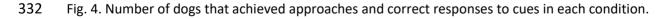
- 310 **3. Results**
- 311 3.1 Familiarisation phase
- All twenty dogs fulfilled the familiarisation criteria (100% success rate) in order to participate in the test
- 313 phase.
- 314

# 315 3.2 Test phase

- 316 Approaches to the owner / agent, with subsequent correct responses to both cues given by the owner /
- 317 agent (recall cue 1 response cue 2 response) were consistently achieved, with no significant difference
- 318 found between the four conditions ( $\chi^2$  (3, N= 68) = 0.235, p= .972) (Fig. 4).
- 319







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333	The order of test conditions did not have any effect on the dogs' performances ( $\chi^2$ (3, N= 68) = 1.52, p=
334	.675). Analysis of achievement at each of the three sequential behaviours revealed a high rate of correct
335	responses to each request and consistency between the four conditions; a) approaches ( $\chi^2$ (3, N= 73) =
336	0.479, p= .923; b) cue 1 $\chi^2$ (3, N= 69) = 0.391, p= .942; c) cue 2 $\chi^2$ (3, N= 68) = 0.235, p= .972. In all
337	conditions, where approach and looking up was achieved, correct responses to cues were similarly
338	achieved (e.g., AO approach = 95% correct, cue 1 = 90% correct, cue 2 = correct 90%). Where approaches
339	were not achieved (maximally in OO), cues were not provided, thus behavioural responses were not
340	performed. Analysis of the number of errors in each condition before a correct response to each sequential
341	cue revealed a higher proportion of dogs performing correctly during their first test than those requiring
342	repeated tests to achieve the same (Table 2). Correct responses at first tests, or at subsequent tests were
343	consistent between conditions ( $\chi^2$ (3, N= 51) = 0.215, p= .975). Additionally, Two-Proportion tests run for
344	each condition showed no significant difference between the proportion of dogs successful during first
345	tests vs those successful following repeated tests (Condition OO - Z = 0.48; N = 28; p = 0.631; Condition
346	AEO - Z = -0.32; N = 27; p = 0.749; Condition AE - Z = 1.68; N = 26; p = 0.093; Condition AO - Z = -0.80; N =
347	28; p = 0.426).

- 348
- . . .

349	Condition	Number of domesticated first	Number of door compation	Number
Condition 350		Number of dogs correct at first test (thus excluded from further tests in condition)	Number of dogs correct at repeated tests (maximum five tests)	Number of dogs Failed
351				
001	00	12/20	4/8	4/8
352	AEO	13/20	5/7	2/7
	AE	14/20	2/6	4/6
353	AO	12/20	6/8	2/8

- 354
- 355 Table 2. Number of dogs correct at test one, number of dogs requiring repeated tests to perform the 356 correct sequential behaviours, number of dogs failed.
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358 Latencies to approaches were not consistent; maximal in both of the owner absent conditions (AE and AO) 359 and minimal in the baseline condition (OO) ( $\chi^2$  (3, N= 61) = 16.14, p = .001). This is in part likely due to the 360 fact that several of the dogs were focused on the owner / experimenter exit point as they exited the room, 361 thus recall to the agent was achieved after visible exit point vigilance had subsided. However, mean 362 approach latencies (sec) between the four conditions were consistent (OO = 4.9; AEO = 2.72; AE = 3.61; AO 363 = 3.47) ( $\chi^2$  (3, N= 14) = 0.669, p = .880). Hesitations (momentary orientations / head or body movement 364 without locomotion) to approach were consistent between the four conditions ( $\chi^2$  (3, N= 49) = 7.57, p = 365 .056). Additionally, consistency was found between conditions where dogs who did hesitate did also 366 subsequently approach (100% of dogs in AEO and AE, 80% in OO, 88% in AO). Median hesitations between 367 conditions did not differ significantly ( $\chi^2$  (3, N= 6) = 0.666, p = .881). Gazes back to the experimenter / 368 owner pre-approach to the agent in AEO and AE or toward the owner in OO were not consistent between 369 the three relevant conditions; maximal in OO and minimal in AE ( $\chi^2$  (2, N= 57) = 8, p = .018). Median gazes 370 between conditions however, did not differ significantly ( $\chi^2$  (2, N = 9.5) = 1.63, p = .442). All of the dogs who 371 gazed back during the agent conditions subsequently approached the agent without any feedback from the 372 experimenter / owner. Latencies to correct behavioural responses to verbal cues were also not consistent 373 between the four conditions. Latencies were maximal in AEO and AE, and minimal in OO ( $\chi^2$  (3, N= 61) = 374 20.29, p= .001), showing that responses were faster when cues were given in person than from the agent. 375 However, mean latencies between the four conditions were consistent (OO = 2.7, AEO = 2.98, AE = 2.88 AO 376 = 3.76) ( $\chi^2$  (3, N= 12.32) = 0.213, p = .975). Event behaviours were also not consistent between conditions. 377 Events were maximal in AE and AO and minimal in OO and AEO ( $\chi^2$  (3, N= 142) = 111.9, p = .001). Such 378 events comprised primarily of hyper vigilance to the owner / experimenter exit point as they left the dog 379 alone in the test room (53% of events) and vocalisations related or non-related to the former (43%), with 380 4% other. Nevertheless, Two-Proportion tests run for both owner absent conditions (AE and AO) revealed a 381 significantly higher number of dogs presenting event behaviours with subsequent correct performances, 382 than those presenting event behaviours with fails (Condition AE; Z = -2.83; N = 18; p = 0.005); Condition AO;

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Z = -4.38; N = 24; p = 0.001). Thus, in conditions AE and AO, for 77% and 83% of dogs respectively, event
behaviours did not inhibit performance. Furthermore, 5/20 individuals contributed >10 event behaviours
each in the AE and AO conditions, displaying higher levels of owner attachment thus hyper vigilance
towards the owner exit point when left alone in the room, than the other participants.

387 4. Discussion

388 The aim of the present study was to determine domestic dogs' ability to generalise an established approach 389 (recall) and unimodal verbal cue responsiveness to an artificial agent, and perform for the agent as reliably 390 as for an owner. Repeated measures tested the effects of owner / experimenter presence / absence on 391 performance with the agent and short-term impacts of agent interaction on welfare. In contrast to previous 392 findings of poor responses to unimodal verbal cues (Fukuzawa et al., 2005a; 2005b) particularly when 393 issued to dogs alone in the test room (Pongracz et al., 2003; Gerenscer et al., 2016), results in the present 394 study revealed the ability of dogs to respond correctly in all conditions. Dogs responded as reliably to the 395 agent as to their owners and during agent interaction, the location of the owner did not affect 396 performance. The primary methodological difference (and aim) in our study compared to those discussed, 397 was that we were testing responsiveness to an artificial agent, which, acting as a human / owner substitute, 398 facilitated sufficient attentional focus for the delivery of verbal cues and rewards for correct responses. 399 Previous studies were focused on the impacts of multimodal information removal on performance rather 400 than the provision of an alternative attention source. Such attention has been shown to be critical in 401 successful dog-human cooperation (e.g., Miklósi et al. 2003), thus the approach and looking up behaviour 402 was the first criterion of each test sequence to reach and where this criterion was not met, no attempts 403 were made to issue verbal cues. The timing of triggering the verbal cues was equally as important and the 404 experimenter did so only when the dogs' attention was fully focused on the agent. In line with the findings 405 of Gergely and colleagues (2013; 2015), social competence towards the agent was rapidly achieved initially 406 following food acquisition and subsequently, during interactivity. Dogs were able to differentiate the 407 source of the recall sound and the verbal cues (McConnell, 1990; Aspinall and Cappello, 2015) thus, owner /

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experimenter presence or absence in the room was not conflicting with the agent and did not inhibit
performance. While some dogs gazed back at their owners pre-approach to the agent therefore, without
any feedback, they subsequently approached.

411 Four dogs failed to approach their owners following the recall sound in baseline; 2/4 were in sequence 412 group one, where baseline was the first condition and here, the dogs remained in their sit-stay. Either 413 through specific and prior training, they appeared to be waiting for a subsequent cue, or they were reliant 414 upon multimodal information to accompany the recall cue in order to respond. The other 2/4 were in 415 sequence groups where agent interaction had preceded baseline, and in these cases the dogs went to the 416 agent rather than the owner when recalled by the owner. Subsequent recall attempts by the owner 417 resulted in gazing at the owner but remaining in front of the agent. Owner recall was not demonstrated to 418 the experimenter during the familiarisation phase as it had been reported by all owners to be reliable, 419 however, such demonstration would in hindsight have been a useful addition to the methodology. Results 420 from the latter two dogs could however, also support findings on device attachment in several species; 421 domestic dogs (Yin et al., 2008), rhesus monkeys (Harlow et al., 1950) and humans (Konok et al., 2017) and 422 perhaps these welfare implications require further examination. 423 Interaction with the agent did not however, result in any behavioural indicators of poor welfare directly 424 (Broom and Fraser, 2015) rather, such indicators were observed in response to the owners / experimenter 425 leaving the room, where hyper vigilance to the exit point (53% of events) and vocalisations directed at the 426 exit point (43% of events) were recorded. Most likely as attempts to reunite with owners (Miklósi, 2016), 427 such behaviours did not however, inhibit subsequent responses, other than in one dog who was unable to 428 leave the exit point and showing progressively worsening indicators of anxiety, was reunited with his owner

429 and his testing terminated.

Consistently correct responses to cues from the agent in this study could be the result of rapidly learning
the required sequence of cue 1, cue 2, through their performance during previous owner training, the
familiarisation phase and throughout conditions; cues were always delivered in the same order. Indeed, it

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433 was noted that a small proportion of dogs performed the second cued behaviour pre-emptively, that is, as 434 the second cue was being triggered, rather than after it was delivered. Repeated measures would 435 compound the learned sequence theory, assuming that the sequence would improve responses over 436 conditions, however, the fact that a higher proportion of dogs performed correctly in their first tests in all 437 conditions than those requiring repeated tests, would not necessarily support this. Furthermore, the 438 counterbalanced design was in place to ensure that baseline would not always be the first and most natural 439 condition and no significant difference between the sequence groups was found. Prior training and the 440 familiarisation phase are therefore, likely factors.

441 Nevertheless, latencies to correct responses were longer overall for the agent than for owners in person 442 and given that only a small proportion of dogs performed in a pre-emptive manner, alternative suggestions 443 should be considered. Shorter latencies for responses to owners cannot be explained by multimodal input; 444 gestures or ostensive cues, as these were not provided. Most likely and simply, although generalisation of 445 responses to the novel agent was rapid, cues given by owners were subject to longer reinforcement 446 histories thus were performed faster (Braem and Mills, 2010). Transference of this knowledge and its 447 application to the novel agent domain may have required greater cognitive control than in the baseline, 448 resulting in longer response times (Hirsh, 1974; Toates, 1998). It should also be mentioned that dogs did 449 not attempt to offer any other behaviours to the agent than those requested, which may support any of 450 the theories discussed. Thus, in order to rule out a learned sequence response, the study is currently under 451 repetition, using additional and randomized cues. The study is also examining the learning of sequences 452 from the agent and transference of this knowledge to the owner. 453 When searching for participants in this study, many owners who were initially contacted reported that their

dogs were not reliable in behaviours on verbal cue alone; indeed, such training is not typically included in
formal class curriculums (The Kennel Club, 2019). In the absence of time to facilitate training to meet
criteria for this project, a leading dog training club was contacted, and the resulting majority of participants
were trained to levels well beyond the requirements for the experiment and therefore, did not necessarily

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458 represent the pet dog population in general. Intrinsic and extrinsic motivation in this sample through breed 459 specifics (Serpell and Duffy, 2014) and / or positive reinforcement training using food as a reward (Rooney 460 and Cowan, 2011; Gergely et al., 2014) throughout puppyhood and into adulthood may have facilitated 461 great adaptability (Taborsky and Oliviera, 2012; Starling et al., 2014) and unusually high speeds of 462 generalisation and performance. None of the dogs had any previous exposure to a food dispensing device 463 or agent of this kind whatsoever and each of the dogs' responses were achieved within a one-hour, single 464 timeframe. The novelty of the agent and the food it dispensed may therefore, have been highly salient 465 (Reid, 1996; Oesterwind et al., 2016) and for individuals with these backgrounds, interaction criteria were 466 likely quickly matched with competence (Meehan and Mench, 2007). As a preliminary study however, the 467 sample was useful in determining substantial scope for current ongoing research by the authors, with the 468 inclusion of dogs from varied backgrounds.

469

### 470 **5. Conclusion**

The results of this preliminary study show that dogs are able to approach and respond correctly to verbal cues issued by an artificial agent as reliably as to their owners in person, including when left alone in the test room. Responses may have been a result of the dogs learning the required sequence of behaviours during previous owner training and during the familiarisation phase and / or generalisation of behaviours to an entirely novel agent may have been unusually rapid among this particular sample. Current, ongoing research by the authors is implementing all of the further recommendations discussed.

# 478 **6. Declarations of interest**

- 479 The primary author (Nicky Shaw) filed a UK patent in July 2013 titled "A pet interaction device" and this
- 480 patent was granted to the author in November 2018, patent number: GB2512674. No product in relation to
- 481 the patent owned by the author currently exists nor is in development to the author's best knowledge.
- 482

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