

Holographic $s+p$ Superconductors

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We study the phase diagram of a holographic model realizing a $U(2)$ global symmetry on the boundary and show that at low temperature a phase with both scalar s and vector p condensates exists. This is the $s+p$ -wave phase where the global $U(2)$ symmetry and also the spatial rotational symmetry are spontaneously broken. By studying the free energy we show that this phase is preferred when it exists. We also consider unbalanced configurations where a second chemical potential is turned on. They present a rich phase diagram characterized by the competition and coexistence of the s and p order parameters.

INTRODUCTION

An interesting problem in the arena of unconventional superfluids and superconductors is that of the competition and coexistence of different order parameters [1]. A paradigmatic example in the realm of superfluids is that of ^3He . At low temperature ^3He presents two distinct superfluid phases, denoted as A and B phases [2]. $^3\text{He}-B$ is the low temperature (and low pressure) phase and it corresponds to a p -wave superfluid, where the order parameter transforms as a vector under spatial rotations. $^3\text{He}-A$ is the higher temperature (and pressure) superfluid phase. It is a chiral p -wave superfluid whose order parameter is a complex vector, and time-reversal and parity symmetry are spontaneously broken. In the domain of unconventional superconductors it has been shown in [3] that for doped three dimensional narrow gap semiconductors such as $\text{Cu}_x\text{Bi}_2\text{Se}_3$ and $\text{Sn}_{1-x}\text{In}_x\text{Te}$ there is a competition between s and p -wave superconducting states. Dialing the coupling constants of the two different channels (corresponding to the s and p pairings) leads to a phase diagram where both a p and an s -wave phase exist. Moreover, at the interface of both phases a new $p+is$ state appears. The order parameter for this phase is the combination of a vector and a pseudoscalar, and breaks both time-reversal and parity symmetry, making this state an interesting example of a topological superconductor¹.

The AdS/CFT correspondence has succeeded in constructing a holographic version of superconductivity [5, 6] (for comprehensive reviews see [7, 8]). Furthermore, holographic models of s [9], p [10] and d -wave [11] superconductors; which have scalar, vector, and spin-2 order parameters respectively, have been developed in the last years. Coexistence and competition of several order parameters has also been ad-

ressed holographically in [12–19]².

In this letter, building upon a model constructed in [20], we develop a holographic dual of a superconductor with both s -wave and p -wave condensates. Subsequently we study the phase diagram of unbalanced mixtures (where two chemical potentials are turned on) finding a competition of s , p , and $s+p$ -wave superconducting phases.

In [20] a holographic dual of a two-component superfluid [21] was constructed, consisting on a scalar doublet charged under a $U(2)$ gauge field living in a planar Schwarzschild Black Hole (BH) geometry. Switching on a chemical potential along the overall $U(1) \subset U(2)$, the system becomes unstable towards the condensation of the scalar doublet. The appearance of the scalar condensate spontaneously breaks the $U(2)$ symmetry down to $U(1)$, signaling a phase transition to an s -wave superfluid phase. In this phase two different charge densities are present in the system, corresponding to the two $U(1)$ s inside the $U(2)$, hence realizing a holographic two-component superfluid. It was also found that the s -wave superfluid phase is unstable at low temperatures and argued that this instability signaled the appearance of a non-trivial p -wave order parameter. In the present paper we confirm that prediction and explicitly construct the solutions in which condensation of a vector mode breaks the remaining $U(1)$ and gives rise to a new phase with two condensates: the $s+p$ -wave holographic superconductor. The study of these new solutions allows us to determine the phase diagram of the two-component superfluid.

If one works in the grand canonical ensemble, where the chemical potential of the boundary theory is held fixed, the temperature of the system is given by $T \propto 1/\mu$, where μ is a dimensionless chemical potential related to that of the boundary theory by rescalings. The final picture is the following: at small enough chemical potential μ (high temperature) the system is in the normal phase where no condensate is present. For μ greater than a critical value μ_s the scalar field acquires

¹ This is actually an example of an axionic state of matter. This $p+is$ phase belongs to the class D in the classification [4] of 3D topological superconductors. It possesses gapped Majorana fermions as edge states which give rise to an anomalous surface thermal Hall effect. It would be very interesting to realize holographically this axionic superconducting state (see [13] for a holographic time-reversal symmetry breaking $p+ip$ superconductor).

² In [17], which appeared when this work was being completed, a holographic $s+p$ -wave phase was also found.

an expectation value and the system enters the s -wave superfluid phase. Going to even larger chemical potential a new phase transition happens: at $\mu_{sp} > \mu_s$ a vector condensate appears and for $\mu > \mu_{sp}$ the system is in an $s+p$ -wave phase with both scalar and vector non-vanishing order parameters.

Finally, we shall study new configurations of the system where the two chemical potentials corresponding to the two $U(1)$ s $\subset U(2)$ are switched on. This setup, where the $U(2)$ is explicitly broken to $U(1) \times U(1)$, realizes an unbalanced mixture, characterized by the presence of two species of charges with different chemical potentials. Examples of such systems are unbalanced Fermi mixtures [22], and QCD at finite baryon and isospin chemical potential [23]. Moreover, unbalanced superconductors are interesting systems where anisotropic and inhomogeneous phases are expected to appear [24, 25]. Holographic realizations of unbalanced systems where only one kind of order parameter can be realized have been constructed in [26, 27]. Here we construct new solutions of the system in [20] corresponding to unbalanced mixtures that allow for competition of different order parameters. We determine its phase diagram as a function of the two chemical potentials and find that s -wave, p -wave and $s+p$ -wave phases exist.

THE HOLOGRAPHIC TWO-COMPONENT SUPERFLUID

Let us consider the holographic model of a multi-component superfluid consisting of a scalar doublet charged under a $U(2)$ gauge field living in a $3 + 1$ dimensional Schwarzschild-AdS black brane geometry constructed in [20]³. The action for such a system reads

$$S = \int d^4x \sqrt{-g} \left(-\frac{1}{4} F_c^{\mu\nu} F_{\mu\nu}^c - m^2 \Psi^\dagger \Psi - (D^\mu \Psi)^\dagger D_\mu \Psi \right), \quad (1)$$

with

$$\Psi = \sqrt{2} \begin{pmatrix} \lambda \\ \psi \end{pmatrix}, \quad D_\mu = \partial_\mu - i A_\mu, \quad A_\mu = A_\mu^c T_c, \quad (2)$$

$$T_0 = \frac{1}{2} \mathbb{1}, \quad T_i = \frac{1}{2} \sigma_i. \quad (3)$$

The system lives in the Schwarzschild-AdS background

$$ds^2 = -f(r) dt^2 + \frac{dr^2}{f(r)} + r^2 (dx^2 + dy^2), \quad (4)$$

$$f(r) = r^2 \left(1 - \frac{1}{r^3} \right),$$

where we have set the radius of AdS and of the horizon to $L = r_h = 1$, by using the scaling symmetries of the system.

We work in the decoupling limit, in which the backreaction of the matter fields on the metric is negligible.

We consider the following (consistent) ansatz for the fields in our setup [20]

$$A_0^{(0)} = \Phi(r), \quad A_0^{(3)} = \Theta(r), \quad A_1^{(1)} = w(r), \quad \psi = \psi(r), \quad (5)$$

with all functions being real-valued. All other fields in (1) are set to zero, in particular we set $\lambda = 0$ without loss of generality. The resulting equations of motion read

$$\psi'' + \left(\frac{f'}{f} + \frac{2}{r} \right) \psi' + \left(\frac{(\Phi - \Theta)^2}{4f^2} - \frac{m^2}{f} - \frac{w^2}{4r^2 f} \right) \psi = 0, \quad (6)$$

$$\Phi'' + \frac{2}{r} \Phi' - \frac{\psi^2}{f} (\Phi - \Theta) = 0, \quad (7)$$

$$\Theta'' + \frac{2}{r} \Theta' + \frac{\psi^2}{f} (\Phi - \Theta) - \frac{w^2}{r^2 f} \Theta = 0, \quad (8)$$

$$w'' + \frac{f'}{f} w' + \frac{\Theta^2}{f^2} w - \frac{\psi^2}{f} w = 0. \quad (9)$$

In what follows we choose the scalar to have $m^2 = -2$ and the corresponding dual operator to have mass dimension 2.

The UV asymptotic behavior of the fields, corresponding to the solution of equations (6 - 9) in the limit $r \rightarrow \infty$, is given by

$$\Phi = \mu - \rho/r + O(r^{-2}), \quad (10)$$

$$\Theta = \mu_3 - \rho_3/r + O(r^{-2}), \quad (11)$$

$$w = w^{(0)} + w^{(1)}/r + O(r^{-2}), \quad (12)$$

$$\psi = \psi^{(1)}/r + \psi^{(2)}/r^2 + O(r^{-3}), \quad (13)$$

where, on the dual side, μ and ρ are respectively the chemical potential and charge density corresponding to the overall $U(1) \subset U(2)$ generated by T_0 , whereas μ_3 and ρ_3 are the chemical potential and charge density corresponding to the $U(1) \subset SU(2)$ generated by T_3 . $\psi^{(1)}$ is the source of a scalar operator of dimension 2, while $\psi^{(2)}$ is its expectation value. Finally $w^{(0)}$ and $w^{(1)}$ are the source and vev of the current operator $J_x^{(1)}$ (recall that $A_\mu^{(1)}$ is dual to the current $J_\mu^{(1)}$). Notice that in a background where $w(r)$ condenses the $SU(2) \subset U(2)$ is spontaneously broken, and moreover spatial rotational symmetry is spontaneously broken too.

THE S+P-WAVE HOLOGRAPHIC SUPERCONDUCTOR

We are looking for solutions of the equations (6 - 9) where ψ , w , or both acquire non-trivial profiles. We want them to realize spontaneous symmetry breaking so we impose that their leading UV contributions (dual to the sources of the corresponding operators) vanish. We will switch on a chemical potential μ along the overall $U(1)$, while requiring that the other chemical potential μ_3 remains null. Therefore our UV boundary conditions are

$$\psi^{(1)} = 0, \quad w^{(0)} = 0, \quad \mu_3 = 0. \quad (14)$$

³ A similar model was introduced in [28] in order to describe holographic multiband superconductors.

In the IR regularity requires A_t to vanish at the BH horizon.

Notice that after using the scaling symmetries of the system to fix the black hole parameters in (4), the only scale in the problem is given by the chemical potential μ . In the grand canonical ensemble, in which the physical chemical potential is held fixed, the temperature is proportional to the rescaled chemical potential as $T \propto 1/\mu$. Therefore, varying μ is equivalent to changing the temperature of the system. For that reason, the results in this letter are presented in terms of μ .

We have looked for numerical solutions with non-zero ψ and w , shooting from the IR towards the UV where we impose the boundary conditions (14). We have found the following solutions:

Normal phase: for all values of μ there exists an analytic solution where $\psi = w = \Theta = 0$ and $\Phi = \mu(1 - 1/r)$. This solution describes the normal state of the system.

s -wave phase: for $\mu \geq \mu_s \approx 8.127$ we find solutions with non-zero ψ . As seen in [20] for these solutions the equations decouple into two sectors: one corresponding to the Abelian holographic superconductor [6] and the other to the unbroken $U(1)$ symmetry. Although μ_3 is zero as required in (14), both charge densities ρ and ρ_3 are non-vanishing and therefore a two-component s -wave superfluid is realized. Indeed as one can see in eq. (8) a non-trivial scalar ψ acts as a source for the field $\Theta(r)$, and therefore the only pure s -wave solutions satisfying the boundary conditions (14) are those with $\rho_3 \neq 0$. Hence two different charge densities (ρ and ρ_3) corresponding to the two different $U(1)$ s $\subset U(2)$ are turned on for these solutions and it is in this sense that this phase was denoted a two-component holographic superfluid in [20].⁴

$s+p$ -wave phase: for $\mu \geq \mu_{sp} \approx 20.56$ there are solutions satisfying (14) with non-zero ψ and w . In these solutions the $U(2)$ symmetry is completely broken, and moreover since $w^{(1)} \sim \langle J_x^{(1)} \rangle$ spatial rotational symmetry is broken too. Again $\mu_3 = 0$ while ρ and ρ_3 are non-vanishing, thus realizing an $s+p$ -wave phase of a two-component superfluid. Usually p -wave superconductivity is triggered by a μ_3 chemical potential [10]. Here instead the p component of the $s+p$ superfluid is supported by the spontaneously induced charge density ρ_3 . For that reason no solutions with only p condensate are present in this system.⁵

In figure 1 we plot the condensates $\langle O_2 \rangle \sim \psi^{(2)}$ and

$\langle J_x^{(1)} \rangle \sim w^{(1)}$ as a function of the chemical potential. Notice that the solution where both condensates coexist extends down to as low $1/\mu$ (or equivalently low temperatures) as where we can trust the decoupling limit and thus neglect backreaction.

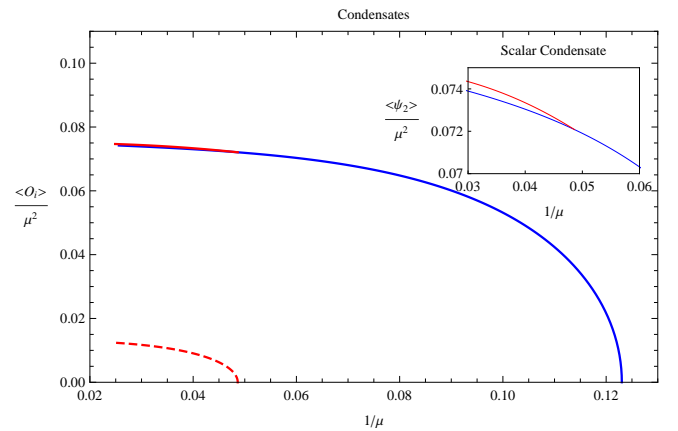


Figure 1: Condensates $\psi^{(2)}$ (solid) and $w^{(1)}$ (dashed) as a function of $1/\mu$ in the s -wave (blue) and $s+p$ -wave (red) phases. The p condensate appears at μ_{sp} such that $\mu_s/\mu_{sp} = 0.395$ as found in [20]. The inset zooms in on the plot of $\psi^{(2)}$ to show the difference in the scalar condensate between the s (blue) and the $s+p$ (red) solutions.

To determine the phase diagram of our system we compute the free energy of the different solutions and establish which is preferred when more than one exist. The free energy density is given by the on-shell action, and for our ansatz it reads

$$F = -\frac{T}{V} S_E = -\frac{1}{2} (\mu \rho + \mu_3 \rho_3) + \int \frac{dr}{2f} (-f w^2 \psi^2 + r^2 (\Phi - \Theta)^2 \psi^2 + \frac{f}{r^2} w^2 \Theta^2). \quad (15)$$

The free energy for the different solutions is shown in figure 2. At small chemical potential only the normal phase solution exists. At $\mu = \mu_s \approx 8.127$ there is a second order phase transition to the s -wave solution. If one keeps increasing μ , at $\mu_{sp} \approx 20.56$ there is a second order phase transition from the s -wave phase to the $s+p$ -wave phase. The system stays in the $s+p$ -wave phase for $\mu > \mu_{sp}$.

UNBALANCED SUPERCONDUCTORS

In this section we relax the condition $\mu_3 = 0$ and study the phase diagram of the system as a function of μ and μ_3/μ . Notice that turning on a second chemical potential means to explicitly break $U(2) \rightarrow U(1) \times U(1)$. The system can now be interpreted as a holographic dual to an unbalanced mixture [26, 27].

Now that the $U(2)$ is explicitly broken, we can not generically impose that $\lambda = 0$ by using gauge transformations.

⁴ From eqs. (6 - 8), one can see that the scalar condensate is only charged under a linear combination of Φ and Θ , whereas in the absence of a vector condensate, the orthogonal combination completely decouples corresponding to the unbroken $U(1)$ gauge field.

⁵ It is clear from eq. (9) that the p -wave condensate only couples directly to the $U(1) \subset SU(2)$, i.e to $\Theta(r)$. Actually, this equation reduces to that of the standard p -wave holographic superconductor [10] when the scalar is switched off. As in [10], only a non-zero Θ in the bulk can source the vector condensate since the coupling to the scalar ψ increases the effective mass of w and therefore hinders condensation. In contrast to the standard p -wave scenario we are fixing $\mu_3 = 0$, but solutions with non-zero Θ are still possible in presence of the s -wave condensate (realized by a non-zero ψ) as explained above.

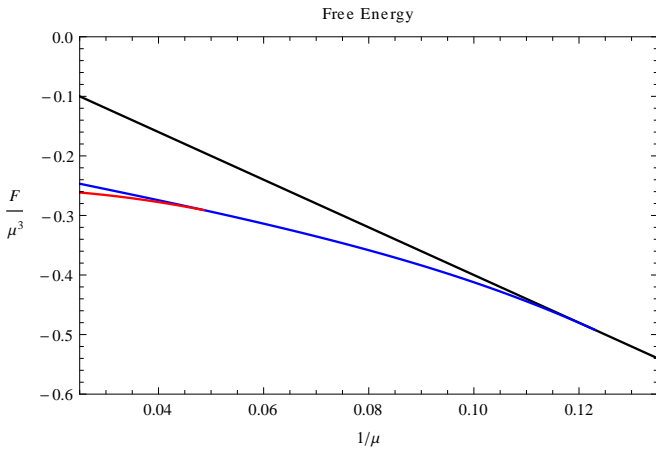


Figure 2: Free energy of the different solutions versus $1/\mu$: normal phase in black, s -wave phase in blue, and $s+p$ -wave phase in red.

Therefore, in principle both components of the scalar doublet may condense. In [28] it was studied which option is thermodynamically favored. Following their analysis, choosing the condensate to be on the lower component forces us to set $\mu_3/\mu < 0$ for the solutions to be stable.

The UV boundary conditions now read

$$\psi^{(1)} = 0, \quad w^{(0)} = 0. \quad (16)$$

As before we use numerical integration to solve the system (6 - 9). We are presented with a scenario where four different solutions exist:

Normal phase: an analytic solution where $\psi = w = 0$, $\Phi = \mu(1 - 1/r)$ and $\Theta = \mu_3(1 - 1/r)$ exists for any value of μ and μ_3 , and it describes the normal state of the system.

s -wave phase: for $\mu - \mu_3 \geq 8.127$ we find solutions with non-zero ψ resembling those in the balanced case.

p -wave phase: for $|\mu_3|/\mu \geq 3.65/\mu$ solutions with $\psi = 0$, but $w \neq 0$ satisfying (16) exist. The scalar condensate $\langle O_2 \rangle$ is null while $\langle J_x^{(1)} \rangle \neq 0$. These solutions break the $U(1) \times U(1)$ down to $U(1)$ and also break the $SO(2)$ corresponding to spatial rotations. Notice that $w(r)$ is not charged under the overall $U(1)$ and therefore this solution is insensitive to the value of μ . This would change if the backreaction of the matter fields on the geometry was taken into account as in [26, 27].

$s+p$ -wave phase: for small values of μ_3/μ we find the extension of the $s+p$ -wave solution found in the previous section for $\mu_3 = 0$. However, the larger $|\mu_3|/\mu$ the larger the μ at which the phase appears. We have also found solutions with two condensates in an intermediate region in which μ_3 is large and μ is close to the critical value μ_s . But they are always energetically unfavored with respect to the pure s -wave solutions (see Figure 3).

By computing the free energy (15) of the different solutions we determine the phase diagram of the system as a function of $1/\mu$ and μ_3/μ which we plotted in figure 3. For small values of μ_3/μ the situation is very similar to what we found

in the previous section for $\mu_3 = 0$. As already mentioned, as $|\mu_3|/\mu$ gets larger, the transition to the $s+p$ -wave phase happens at a higher value of μ . It might be the case that the phase eventually disappears at a finite value of that ratio, but this would happen beyond the region of applicability of the decoupling limit, and thus backreaction should be taken into account⁶. For $|\mu_3|/\mu$ large enough, the p -wave phase is preferred at intermediate values of μ . Therefore, as μ is increased above a critical value μ_p the system goes from the normal to the p -wave phase through a second order phase transition. If μ is increased even further a first order phase transition takes the system from the p -wave to the s -wave phase. This p - to s -wave first order phase transition is illustrated by figure 4 where we plot the free energy of both phases (and that of the normal phase) as a function of μ at a fixed value of $\mu_3/\mu = -1$. The tricritical point where the normal, s -wave and p -wave phases meet happens at $1/\mu \approx 0.223$ and $|\mu_3|/\mu \approx 0.815$. The p -wave solution is never energetically preferred for $|\mu_3|/\mu < 0.815$.

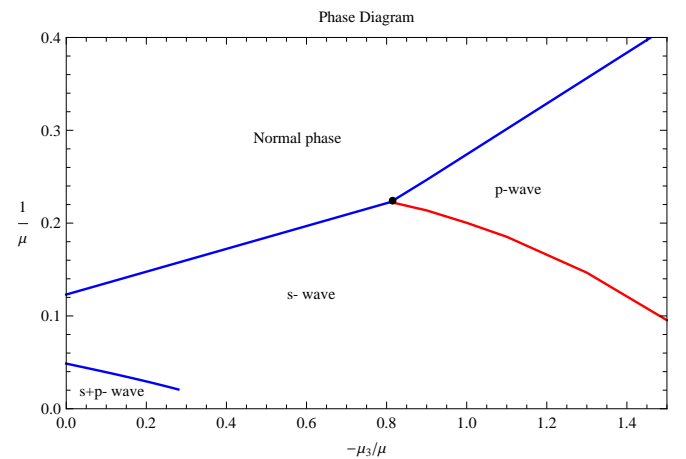


Figure 3: Phase diagram of the unbalanced system as a function of $1/\mu$ and μ_3/μ . Second order phase transitions are denoted by blue lines, whereas the red line corresponds to a first order phase transition.

A cautionary comment about the phase diagram of figure 3 is in order. In the regions of the parameter space where $|\mu_3|/\mu \gg 1$ or $1/\mu \ll 1$ the probe limit is not valid anymore, and therefore the phase diagram might be modified once backreaction is taken into account⁷. Indeed, the nature of the different phase transitions, as well as the critical values of the

⁶ Notice that if the $s+p$ -wave phase survived down to $1/\mu = 0$ for μ_3/μ lower than a critical value (as the phase diagram 3 seems to imply) we would be in the presence of a quantum critical point at which the system goes from the $s+p$ to the s -wave phase. This resembles what happens in [3] for the $p+iis$ superconductor.

⁷ Remember that the decoupling limit corresponds to taking the gauge coupling (and charge of the scalar field) g_{YM} to be very large, so the effect of the matter fields on the metric is negligible. Hence it is valid as far as $\mu_i \ll g_{\text{YM}}$ and the condensates are small.

chemical potentials could be altered in those regions [31, 32]. However, in $2 + 1$ -dimensions both the s -wave and p -wave superconducting phase transitions separately are known to remain second order even for large backreaction [26, 27]. Therefore, we expect the main features of the phase diagram like the existence of distinct s and p -wave phases meeting at a tricritical point will not be very sensitive to backreaction. The order of the phase transition between the s and p -wave phases could still be modified by backreaction.

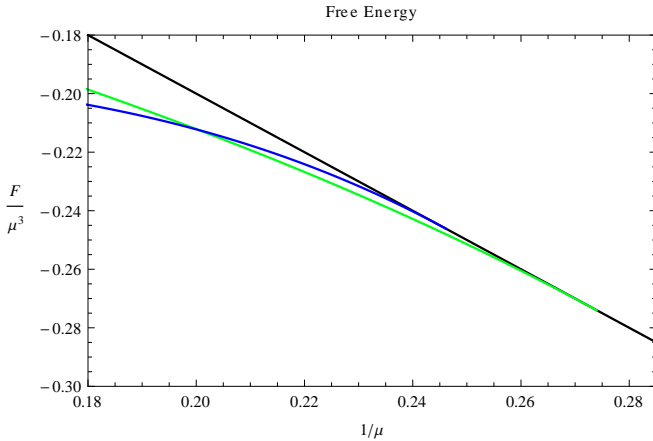


Figure 4: Free energy as a function of $1/\mu$ for $\mu_3/\mu = -1$. Black corresponds to the normal phase, blue to the s -wave phase, and green to the p -wave phase.

CONCLUSIONS

In this paper we report on the construction of a holographic $s+p$ -wave superconducting state. This phase, where both an s -wave and p -wave condensates exist, is the preferred state at low temperatures of the holographic two-component superfluid first presented in [20]. This model realizes a global $U(2)$ symmetry on the boundary theory and presents superconducting states with non-vanishing charge density corresponding to the two different $U(1)$ s inside the $U(2)$.

Our main results are summarized by figures 1 and 3. Figure 1 shows that an $s+p$ -wave state appears at low temperatures. A free energy analysis determined that the system enters this state through a second order phase transition, and stays in it for as low temperature as we can go. On the other hand, figure 3 presents the phase diagram for the unbalanced system: chemical potentials for the two $U(1)$ s $\subset U(2)$ are turned on, and hence $U(2)$ is explicitly broken to $U(1) \times U(1)$. In this phase diagram three different superconducting phases are present. These are the standard s -wave phase where a scalar condensate breaks the $U(1) \times U(1)$ down to $U(1)$; a p -wave phase where $\langle J_x^{(1)} \rangle \neq 0$, $U(1) \times U(1)$ is broken to (a different) $U(1)$, and also spatial rotational symmetry is broken; and an $s+p$ -wave phase where the $U(1) \times U(1)$ is completely

broken by the s and p -wave condensates, and again spatial rotational symmetry is broken. Remarkably, while the system goes from the normal phase to the s -wave and p -wave phases through second order phase transitions, the phase transition between the s and p -wave phases is always a first order one. The existence of this first order phase transition between superconducting phases in the unbalanced system is an interesting prediction of our holographic model. These conclusions could be sensitive to the inclusion of backreaction since, as already mentioned, in principle the order of the phase transitions could change when the parameters are large and the decoupling limit breaks down. Yet in the proximity of the tricritical point, where the p - and s -wave phases meet, the matter fields and its derivatives are small enough for the probe limit to be trusted. Hence the existence of this point and the first order phase transition between the p - and s -wave phases in its proximity will survive once backreaction is considered, at least for large enough gauge coupling. Moreover, a preliminary study of backreacted solutions in that region supports this conclusion and show it holds for small values of the gauge coupling too [29]. In any case, in order to ensure the stability of the different phases it is important to study the quasinormal mode spectrum of the model. As pointed out in [30], it might be possible that instabilities towards inhomogeneous phases appear.

In [33] a QFT model featuring a gauged $U(2)$ symmetry, and with a symmetry breaking scheme similar to ours is studied. There the authors find roton excitations along the direction of the vector condensate. It would be interesting to study the quasinormal mode spectra of the $s+p$ -wave phase and see if something similar happens in our case. We leave this for a future investigation.

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