

## Oral health of the prehistoric Rima Rau Cave burials, Atiu, Cook Islands

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## 1 Oral Health of the prehistoric Rima Rau Cave Burials, Atiu, Cook Islands

2 The human skeletal remains buried in the cave of *Rima Rau* on the small island of Atiu  
3 in the Southern Cook Islands have long been a subject of speculation as to their origins.  
4 Oral histories of a massacre, battle, famine and cannibal feast surround the sacred site.  
5 The local Atiuan community invited a group of bioarchaeologists from the University  
6 of Otago to help shed light on the people buried in the cave. We examined nearly 600  
7 skeletal elements and 400 teeth, which represent at least 38 adults and 8 infants and  
8 children. This research is the assessment of their oral health, a first for a prehistoric  
9 Southern Cook Island population. Oral health was within the range of other tropical  
10 Pacific skeletal assemblages, for dental caries, antemortem tooth loss, and supragingival  
11 calculus, with low rates of periodontal disease and periapical cavities. Degeneration of  
12 the temporomandibular joint was high and this was associated with enamel chipping,  
13 possibly linked to diet. Enamel defect prevalence indicates sex-specific health  
14 differences, but the population was robust with a good proportion who survived to  
15 adulthood despite periods of early childhood stress. Through the consideration of a  
16 skeletal census and oral health indicators we begin to describe the burials in the cave.

17 **Keywords:** prehistory; oral pathology; Polynesia; diet; skeletal census; commingled  
18 remains; bioarchaeology

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### 21 Introduction

22 E u no te akau roaka  
23 oki rai ki te akau roa  
24  
25 You can never forget  
26 where you came from  
27 Teiotu (2007, p.116)

28 Atiu is a 27km<sup>2</sup> raised coral limestone (*makatea*) island in the Southern Cook Islands, east  
29 Polynesia. Located at latitude 20°S and longitude 158° 10'W, it is the third largest island in  
30 the Cook Islands, with a circumference of 20 km (Figure 1). The island is roughly  
31 quadrilateral in shape, and divided into three distinct geographic regions: 1) the weathered  
32 volcanic interior, 2) the raised coral limestone rim, or *makatea*, and 3) the swampy lowland  
33 depression that separates the first and second region (Figure 1). The *makatea* surface is rough  
34 and uneven with sinkholes, caves, underground drainage, and craggy limestone pinnacles

35 (Wood and Hay 1970).

36 Throughout the *makatea* islands of Polynesia, caves are commonly used as sites of  
 37 human habitation, fortified refuge, storage and the interment of human remains (Table 1). The  
 38 use of caves as burial sites in Polynesia is most extensively documented on the *makatea*  
 39 island of Mangaia in the Southern Cook Islands (Antón and Steadman 2003). Oral histories  
 40 from Mangaia report that the interment of the dead in a cave, either as primary or secondary  
 41 burials, was commonplace to keep “them safe from interference by enemies” (Buck 1934, p.  
 42 191).

43 In 1969, Trotter and Duff (Trotter 1974) conducted an archaeological expedition  
 44 organised by the Royal Society of New Zealand. In their survey, Trotter and Duff recorded  
 45 six caves, three of which were burial caves (Trotter 1974). In 1987, with the aim of finding  
 46 prehistoric birds remains, Steadman (1991) carried out a survey of 16 caves on Atiu,  
 47 including three human burial caves. Except for the brief mention of ‘Te Ana Rima Rau’ in  
 48 Mana et al. (1984), Steadman (1991) provides the first published account naming and  
 49 describing the location of Rima Rau burial cave. In relation to the use of caves on Atiu, Gill  
 50 (1894 p. 6) comments that “the numerous and extensive caves that honeycomb the makatea  
 51 were formerly used as habitations, cemeteries, places of refuge, and stores. Scores of them are  
 52 filled with dessicated human bodies”. There are ten documented burial caves on the island of  
 53 Atiu (Figure 1), however, limited knowledge of the range of Atiuan mortuary practices  
 54 obscures the ancient socio-cultural implications of these cave burials.

55 ‘Rima’ is five and ‘Rau’ is one hundred in the Atiuan language. So ‘Te Ana Rima Rau’  
 56 means ‘the cave of five hundred dead’. Of the many oral legends about the origins of the  
 57 burials, one recalls a famous battle involving 1000 Atiuan warriors, another a cannibal feast,  
 58 and another about a story of revenge. Previously, we have provided an extensive report  
 59 detailing the novel cave recording strategy that combined traditional cave survey techniques  
 60 with bioarchaeological strategies (Clark et al. 2016). The aims of the current paper are  
 61 twofold, to create a census of a sample of the skeletons and, to document evidence of oral  
 62 health of the people represented.

### 63 *Origins of the Atiuans*

64 Direct archaeological and palaeoenvironmental evidence, including radiocarbon dates,  
 65 indicates human arrival in the southern Cook Islands ~AD 1000-1225 (Allen and Wallace  
 66 2007; Kirch et al. 1995; Wilmshurst et al. 2011). Oral traditions note that the people arrived  
 67 from Manuka (Manu’a, Samoa) (Gill 1876; Gudgeon 1904). According to Te Rangi Hīroa  
 68 (Sir Peter Buck), the island of Atiu was discovered by Polynesians in the 1300s (Buck 1938)  
 69 and Crocombe (1967) details a succession of 12 warrior chiefs prior to 1823. As removal of  
 70 samples of human bone and teeth from the island was not permitted, <sup>14</sup>C radiocarbon dates  
 71 from the human burials in Rima Rau cave are not available. Based on local oral histories and  
 72 <sup>14</sup>C dates from the nearby island of Mangaia (Antón and Steadman 2003) it is probable that  
 73 the cave was used as a burial site from at least the 14<sup>th</sup> century. There is no evidence that its  
 74 use postdates European contact.

75 The degree of prehistoric interaction among islands within the Southern Cooks is not  
 76 well understood. However, there is traditional, ethnohistoric, ethnographic, and  
 77 archaeological evidence for communication and trade between the islands (Buck 1971; Gill  
 78 1856-1880; Walter 1996). At the time of European contact, the three islands of Atiu, Ma’uke  
 79 and Mitiaro were allied into the *Nga Pu Toru* polity (Kautai et al. 1984), where oral histories  
 80 provide details of the Atiuan *Rongomatane Ariki* (high-chief) who lead “murderous cannibal  
 81 raids” on the islands of Ma’uke and Mitiaro (Large 1913, p. 73). Oral traditions note that the  
 82 Atiuan people were fierce warriors who demeaned their enemies after battle by cooking and

83 eating their flesh (discussions with M Humphreys during field season, 2013). On the nearby  
84 island of Mangaia, 19<sup>th</sup> century ethnohistorical accounts detail intense fighting over limited  
85 land and resources, which included interpersonal aggression, ritual sacrifice, and nutritive or  
86 ritual cannibalism (Gill 1894; Buck 1934). It is not known whether Atiuans endured similar  
87 resource hardships to Mangaia, but it is thought that environmental changes on Mangaia  
88 related to population growth associated with agricultural intensification likely led to such  
89 changes (Ellison 1994; Kirch et al. 1995).

90 The first contact from Europeans occurred with the visit by Captain James Cook's  
91 ships *Resolution* and *Discovery* on 31<sup>st</sup> March 1777. Captain Cook estimated the population  
92 of Atiu to be at least 2000 (Beaglehole 1974). The next recorded contact with a European  
93 culture was made by missionaries in 1822, where the population was estimated by Reverend  
94 John Williams (1837, p. 19) to be 'something under 2000'. Prior to European contact, the  
95 islanders lived in five communities around the island, on the lower ground adjacent to the  
96 swampy areas, and terraces were excavated for houses from the sides of the volcanic rock  
97 (Marshall 1930). In 1822, on the missionaries' instigation, the population was resettled on the  
98 central plateau of the island, in five contiguous villages reflecting the prehistoric  
99 communities. The boundaries of these villages are still recognised today (Crocombe 1967).  
100 The use of burial caves is thought to have ceased at the same time (Trotter 1974). Rapid  
101 reduction of the population followed European contact, largely as a result of the lack of  
102 immunity to western diseases (Parkes 1994). By 1842, there were only 985 islanders, in 1912  
103 the population further dropped to 759, but by 1981 had increased to 1225 (Parkes 1997). In  
104 2010, the population of Atiu was 511 as the island has recently seen the effects of  
105 depopulation of the working adult population, and now mostly comprises of children and  
106 older adults (Park and Littleton 2012).

## 107 **Materials**

108 The *Rima Rau* burial cave has a complex structure. The total floor area of the cave is roughly  
109 190 m<sup>2</sup>, and it is approximately 28 metres long (Clark et al. 2016). Most of the skeletal  
110 remains observed in the cave were disarticulated and commingled, although several apparent  
111 partial or complete skeletons were present in the far reaches of the cave. We confined our  
112 research to human material that was easily accessible and disturbed by human or animal  
113 activity. The total number of skeletal elements recovered from the cave was 585, consisting of  
114 451 adult elements and 134 subadult elements. The total number of teeth examined was 366.

115 The full cave survey has been previously published in Clark et al. (2016), detailing the  
116 methods and procedures used for the removal, transport and reinternment of the human  
117 material from the cave burial site and the nearby field-laboratory. Because of the large  
118 number of skeletal remains within the cave and short six-week fieldwork period available, not  
119 all the skeletal remains were removed from these discrete areas, and in some areas of the  
120 cave, skeletal remains were not removed for analysis. Once the bones were analysed from one  
121 section of the cave they were returned to the area from which they came. A representative of  
122 the landowning family, Mr Punua Tauraa, carried out the process of repatriation of all of the  
123 skeletal remains to the cave and accompanied our team on all visits to the cave.

124 All taphonomic damage was differentiated from signs of stress and oral pathology.  
125 Many bones in the cave displayed evidence of postmortem breakage of unidentifiable cause.  
126 Some identifiable damage included marks from rodents, crabs and carnivores (such as a dog  
127 or pig).

128 **Methods**129 ***Skeletal and Dental Recording Methods***

130 Because the remains analysed were disarticulated and commingled, a census of all the skeletal  
 131 elements (complete or partial bones) was recorded, specifically detailing 'zones' of the skeletal  
 132 elements in order to facilitate the assessment of the minimum number of individuals in the  
 133 cave (Knüsel and Outram 2004). These are accepted procedures for commingled skeletal  
 134 collections, particularly those subject to taphonomic damage. Using a zonal system allows for  
 135 the differentiation of taphonomic damage and identification of specific areas of bone that  
 136 were deliberately cut. This information may become relevant when interpreting mortuary  
 137 practices within the skeletal assemblage (Outram et al. 2005).

138 The Minimum Number of Individuals (MNI) is a simple calculation of the minimum  
 139 number from the *recovered* assemblage. However, Adams and Konigsberg (2008)  
 140 recommend that the Most Likely Number of Individuals (MLNI) is also provided when  
 141 dealing with commingled remains. This provides an estimate of the *original* number of  
 142 individuals represented by the assemblage. This distinction is important in cases of bone loss  
 143 due to taphonomic phenomena (Adams and Konigsberg 2008). Although both statistics are  
 144 derived from the most frequently represented skeletal elements, the MLNI method accounts  
 145 for taphonomic bias, it is therefore more accurate and provides a more realistic reconstruction  
 146 of past population counts from commingled skeletal samples when recovery of the sample is  
 147 less than 100% (Adams and Konigsberg 2008). The MNI method uses the most repeated  
 148 element of each side (Maximum [L or R]), where L signifies left and R signifies right. The  
 149 MNI method assumes that infrequently observed elements are paired with more frequently  
 150 observed elements. The MLNI formula (below) represents a maximum likelihood estimate. In  
 151 contrast to the MNI, the MLNI considers the number of L (left) and R (right) elements in  
 152 addition to those elements that can be matched as belonging to the same individual (*P*)  
 153 (Adams and Konigsberg 2008, p. 246).

$$154 \quad \text{MLNI} = \frac{(L + 1)(R + 1)}{(P + 1)} - 1$$

155  
 156 Sex assessments for adult crania were carried out based on standard methods (Buikstra  
 157 and Ubelaker 1994). No ancestry-specific methods exist for sex estimations from Polynesian  
 158 crania. There is no means of assessing the sex of subadults. Dental wear and cranial suture  
 159 closure was used to provide an approximation of age-at-death using accepted recording  
 160 techniques (Buikstra and Ubelaker 1994). Although dental wear was graded using the  
 161 recognised stages of occlusal wear in the molars, the degree of wear varies among populations  
 162 based particularly on diet, so age estimates were based on relative wear within the sample and  
 163 are accepted as approximations. Complete, defined as more than 75% of element present,  
 164 uniquely identifiable cranial vaults and mandibles were selected to reduce any potential  
 165 overrepresentation of age-at-death adult estimates. Age estimates for bones of infants and  
 166 children ('subadults' less than 20 years of age) were determined by dental eruption patterns,  
 167 epiphyseal fusion patterns, and metric analysis using standard methods (Buikstra and  
 168 Ubelaker 1994; Scheuer and Black 2000).

169 *Oral pathology*

170 Pathological dental lesions were recorded using standardised dental anthropological  
171 recording methods (Hillson 2001, 2008), with some modifications referenced here. Eight oral  
172 pathologies were considered and except for enamel defects, are calculated per tooth/socket  
173 rather than per individual. Teeth were removed from their alveoli when possible for closer  
174 examination using a hand magnifier lens (x10). The recorded pathological conditions are: i)  
175 carious lesions, ii) periapical lesions, iii) antemortem tooth loss, iv) supragingival calculus, v)  
176 subgingival calculus, vi) alveolar resorption, vii) ante-mortem chipping of the occlusal edge,  
177 and viii) defects of dental enamel. The first three conditions are indicative of dental infection,  
178 with antemortem tooth loss (AMTL) as the final consequence of most dental disease.  
179 Calculus and alveolar resorption are associated with periodontal disease status.

180 Dental caries are a demineralisation of tooth enamel and dentine when acids are  
181 released from specific bacteria after metabolising cariogenic foods (Hillson, 2008). Carious  
182 lesions were considered present only if they were visibly cavitated and were recorded  
183 separately for all crown and root surfaces. No caries correction factors were calculated. Given  
184 the quality of the sample, this would have implied a degree of accuracy beyond that possible.  
185 Periapical lesions in the alveolar bone were recorded if observed macroscopically at the  
186 alveolar process closest to the socket (Hillson 2001, 2008). Such lesions may originate from  
187 infections of the pulp cavity, known as periapical dental abscess (Dias and Tayles, 1997).  
188 Differential diagnosis of such lesions was not attempted. Tooth loss prior to death (AMTL)  
189 was differentiated from postmortem tooth loss by evidence of remodelling of empty tooth  
190 sockets, and compared to the combined total of alveoli. No diagnosis of aetiology was  
191 attempted.

192 Mineralised or calcified dental plaque, known as dental calculus, was differentiated as  
193 either supra- or sub- gingival and severity measured occurring to Buikstra and Ubelaker  
194 (1994). The aetiology of calculus is multifactorial, and is influenced by diet, attrition, oral  
195 environment and saliva flow rate (Lieverse et al. 2007). Alveolar resorption is related to the  
196 loss of bone due to an inflammatory response of the gums during life, and is associated with  
197 periodontal disease (Hillson, 2008). Alveolar resorption was identified by textural changes in  
198 the interdental septum and scored according to degree of alveolar recession and exposure of  
199 tooth roots (none, slight, moderate and severe) (Kerr 1991, 1998). We were unable to apply  
200 modified clinical methods of classifying periodontal disease (e.g. Caton et al. 2018) as  
201 recordings were made per tooth, rather than per individual.

202 Enamel chipping may occur in food processing due to masticatory stress or through  
203 the use of teeth as occupational tools. These were recorded using the standards of Hillson  
204 (2008). All visible temporomandibular joint surfaces were examined for signs of bone  
205 degeneration, by surface, and by individual where identification was possible, to complete the  
206 range of oral pathologies.

207 Defects of dental enamel (DDE) are macroscopically visible lines, pits, grooves, or  
208 opacities on the tooth crown surface, and generally associated with a disruption during growth  
209 and development resulting from physiological stress (Clark 2018, Goodman and Rose, 1991).  
210 DDE were recorded according to type and region following methods outlined in Clark et al.  
211 (2014). Isolated teeth were not examined for DDE as to quantify systemic stress as it is  
212 essential to examine more than one tooth from an individual. As it was not possible to  
213 correlate mandible and maxilla to specific individuals, DDE was assessed for individuals by  
214 mandibles only in order to avoid potential overrepresentation. Statistical significance for all  
215 indicators of oral pathology was defined as  $p < 0.05$ .

## 216 **Results**

### 217 ***Minimum Number of Individuals***

218 The most frequently occurring bone was the adult parietal (66/451, 14.6%). Based on both the  
219 MNI and MLNI calculations of paired ( $n = 28$ ), unpaired left ( $n = 33$ ) and right ( $n = 31$ ) adult  
220 parietal bones, there is a minimum of 38 adults in the sample. The most frequent subadult  
221 skeletal element is the mandible (11/134, 8.2%). From calculations of paired ( $n = 3$ ), unpaired  
222 left ( $n = 5$ ) and right ( $n = 6$ ) subadult mandibles, the MNI is nine and MLNI is eight,  
223 providing a minimum number of eight subadults.

### 224 ***Sex and Age Composition***

225 Of the adult skeletal elements from which sex could be assessed, the temporal bone was the  
226 most frequently represented (Table 2). The MNI calculated from these is 15 females and nine  
227 males. This represents a female-biased sex ratio of 5:3, with MNI of five unable to be  
228 estimated to either sex.

229 Age estimates from 33 adult cranial vaults with sutures, 21 maxillae with molars, and  
230 30 mandibles with molars show all adult age groups (young, middle, old) were represented  
231 (Table 3). For 13 crania, both cranial suture closure and maxillary molar wear could be  
232 assessed. In six crania the estimates matched, in six dental wear provided a younger estimate  
233 than suture closure, and in one cranium dental wear provided an older estimate. Only one  
234 mandible and cranium were identified as belonging to the same adult male, with age estimates  
235 for cranial suture closure and mandible molar wear as middle age, but maxilla molar wear as  
236 young adult. The molar wear of the mandibular dentition was greater on average than for the  
237 maxillary dentition. Although no other crania were identified as positively matching a  
238 corresponding mandible, it is possible that other individuals are represented in both methods  
239 of age estimation and the disparity in wear patterns reflects the commingling of the remains.

240 Estimation of age-at-death for the eight subadults in the sample is difficult due to the  
241 absence of multiple bones identifiable as belonging to any one individual. Based on available  
242 evidence, the eight individuals are estimated to be one pre-term foetus of 24-25 weeks  
243 gestation, two full-term babies of 38-40 weeks, one 18 month old infant, one child aged 3-4  
244 years, one 4-6 years and one 8 years old, together with one adolescent aged between 12 - 20  
245 years.

### 246 ***Oral Health***

247 The sample includes 918 alveoli (with and without teeth *in situ*) in addition to the 366 teeth.  
248 Table 4 summarises the prevalence of the three oral indicators associated with dental  
249 infection. Of 341 teeth for which carious lesions could be recorded, 12.6% were carious.  
250 Caries are significantly more prevalent on molars than on other tooth types (Table 4).  
251 Mandibular teeth had a higher frequency of caries compared with maxillary teeth, but this  
252 difference is not statistically significant. Caries were significantly more frequent on the root  
253 surfaces compared with the crown surfaces. The occlusal crown surface had a significantly  
254 higher frequency of caries than any other crown surface. No significant differences in caries  
255 rates were observed for the different root surfaces. Periapical cavities were uncommon, with  
256 only 15 observed (1.9%). Despite the infrequency of such lesions, the periapical cavity for a  
257 young adult female was notably severe. As observed in Figure 2, the pathology can be

258 identified by osteoblastic and osteoclastic activity, consistent with a bony response to  
259 infection affecting the anterior right maxilla with lesions penetrating into the maxillary sinus.  
260 Antemortem tooth loss (AMTL) occurred for 9.0% of teeth. AMTL is significantly more  
261 frequent with partial remodelling than with full remodelling of the alveolus.

262 Table 5 summarises the prevalence of the three oral indicators associated with  
263 periodontal disease, and antemortem chipping. A large proportion of teeth (58.3%) were  
264 affected by supragingival calculus, which is significantly greater than the teeth affected by  
265 subgingival calculus (1.8%). Supragingival calculus was significantly more frequently graded  
266 as mild, than moderate or severe. Alveolar resorption was observed in 12.5% of interalveolar  
267 septa, with a significantly greater frequency of moderate than mild. No severe resorption of  
268 the alveolar bone was observed. Antemortem chipping of the occlusal edge/surface was  
269 observed in 21.2% of teeth, and was directly associated with caries in two of those teeth.  
270 Enamel chipping occurs significantly more frequently in the molar teeth than the anterior  
271 teeth (Table 5).

272 Osteoarthritic changes to the temporomandibular joint (TMJ) in the form of pitting of  
273 the articular surfaces occur in 25% (10/40) of temporal joint surfaces. The mandibular  
274 condyles are unaffected except for one individual with unilateral degeneration. A minimum  
275 likely number of individuals with pathological TMJ surfaces is seven (7/38, 18.4%). Of the  
276 six individuals with age and sex estimates the condition was classified as severe for five  
277 individuals where both left and right joints were visible. This includes one middle-aged  
278 female, two young adult females, and two young adult males. For another young female the  
279 right TMJ was classified as slight, but the left side was severe.

280 Table 6 details the DDE per tooth and per individual. Almost 20% of observed teeth  
281 had DDE, with linear enamel hypoplasia observed significantly more frequently than other  
282 defect types. Of the four tooth types, DDE were most frequently observed on the canines.  
283 Twelve mandibles were suitable for individual analysis of DDE, representing six males, three  
284 females and three of indeterminate sex (including one adolescent). Significantly more males  
285 than females had DDE.

286 Five individuals (5/12, 41.7%) had localised defects observable in only one tooth. For  
287 two of these individuals the defects were singular linear enamel hypoplasia (LEH), the defects  
288 in two other individuals were discrete opacities in a single tooth, and one individual had one  
289 tooth with a diffuse opacity. Due to issues of preservation, wear and only considering  
290 mandibular teeth in the individual analysis, prevalence rates of localised enamel defects may  
291 not precisely reflect the frequency of traumatic events resulting in localised defects. For  
292 example, the single LEH defect in two of the five individuals may have resulted from  
293 systemic stress, rather than trauma. However, this cannot be determined with certainty due to  
294 a lack of defects in the rest of the mandibular dentition, but perhaps could have been resolved  
295 if corresponding maxillary teeth were observed.

296 Seven individuals (7/12, 58.3%) had DDE in at least two teeth (antimeres), indicating  
297 a systemic stressful event during childhood. Although the method of categorising periods of  
298 systemic stress developed by Clark et al. (2014) does not assign precise age ranges to timing  
299 of the defects, the technique is based on Littleton and Townsend (2005) who did attribute age-  
300 at-occurrence using data from modern Aborigine people from Central Australia. Systemic  
301 stress at Rima Rau most often occurred around the age when the crown of the mandibular  
302 premolars and second permanent molar were developing. From Littleton and Townsend  
303 (2005) the age at which systemic stress was experienced for the Rima Rau individuals can be  
304 quantified as follows: between 2.2-2.8 years (one adolescent), 2.8-4.0 years (one middle-aged  
305 male), 4.0-5.2 years (two middle-age males and one middle-aged female), and 9.0-12.0 years  
306 (one middle-aged male). Given the advanced dental development of modern Pacific Islanders



307 and lack of population specific standards (Te Moananui et al. 2008), the age at stress  
308 occurrence provided above for Rima Rau is not a precise estimate.  
309

## 310 **Discussion**

311 The census of the sample of disarticulated and commingled skeletal remains from the Rima  
312 Rau burial cave shows it includes a minimum of 38 adults representing all age groups and  
313 both sexes, although with a higher proportion of females than males, together with a  
314 minimum of eight subadults. Because of the degree of disturbance of burials, we were unable  
315 during the fieldwork to assess the total number of skeletons in the cave, and therefore have no  
316 means of determining how representative our sample may be of the full complement of  
317 burials. The imbalanced sex ratio may therefore well be a reflection of the nature of our  
318 sample rather than indicating that more women than men were buried in the cave. It is  
319 unlikely to be an error in the method used. Similarly, the sample composition may be  
320 contributing to the apparent inconsistency in estimates of age at death between cranial suture  
321 closure and dental wear within the sample. Both methods of age estimation are acknowledged  
322 to have issues with their application (Mays 2015). The progression of cranial suture closure is  
323 highly variable among individuals and is generally a method of last resort when estimating  
324 age at death. Dental wear is also potentially variable among individuals as it is clearly  
325 dependent on diet, together with numerous other factors such as malocclusion and bruxism  
326 also having an effect.

327 The study of oral disease provides an essential factor in exploring the overall health,  
328 wellbeing, and daily life experiences of people in the past. Prior to antibiotics, dental  
329 infections could have resulted in life threatening conditions, and affected an individual's  
330 longevity. Figure 3 provides an example of oral pathologies observed in the Rima Rau  
331 sample. The patterning of oral health is multifactorial, and unfortunately many factors cannot  
332 be examined in an archaeological situation such as this where we have no other information  
333 on context such as subsistence patterns, diet, nutrition, and disease load. Agents relevant to  
334 this study of oral health include fertility patterns and sex differences (Lukacs 2011), oral  
335 hygiene behaviours, oral bacteria diversity and load, and of course, diet and food preparation  
336 methods. However, some discussion of oral disease in the past can be made through a  
337 comparison of the frequencies of oral health pathologies from other Polynesian archaeological  
338 skeletal samples (Table 7), with the caveat that the data are affected by the chronological age  
339 of the sites, together with sample size, age-at-death and sex composition. The data therefore  
340 provide a generalised comparison rather than allowing detailed analysis of patterns and causes  
341 of similarities and differences.

342 Caries in the Rima Rau sample are more likely to be observed on the roots and  
343 occlusal surface, which aligns with the expectation that the cemento-enamel junction and  
344 occlusal surface fissures hold plaque (Neuhaus 2018). Within prehistoric Polynesia,  
345 frequencies of caries range from 4.8% (Wairau Bar) to 27.1% (Rapa Nui), with the frequency  
346 for Rima Rau of 12.6% falling within the moderate range similar to the frequencies reported  
347 at 'Atele (13.5%) and Honokahua (13.5%).

348 Periapical lesions from the Rima Rau sample are within the range recorded from other  
349 sites in tropical Polynesia, which are all very low compared to early New Zealand Māori  
350 where 18% of teeth had associated periapical lesions (Kieser et al. (2001) and 11.5% at  
351 Wairau Bar (Buckley et al. 2010). The latter are attributed to severe occlusal wear, exposing  
352 the pulp cavity to infection. As Houghton (1996) notes, foods within the tropical regions of  
353 the Pacific tend to be softer compared with prehistoric New Zealand with corresponding  
354 lower rates of occlusal wear .

355 Antemortem tooth loss can be the final consequence of most dental diseases. Within  
356 prehistoric Polynesia, the frequency of AMTL ranges from 3.3% (Hane dune) to 9.6%  
357 (Honokahua), with the AMTL frequency of 7.7% in the Rima Rau sample, within the range.  
358 Stantis (2015) attributes the 6.3% AMTL frequency at 'Atele to dental trauma resulting from  
359 the consumption of marine foods (such as shellfish) indicated by high nitrogen isotope values.  
360 The frequency of enamel chipping at 'Atele was 17.3%, which is similar to Rima Rau at  
361 21.2%. Although nitrogen isotopic values are unavailable for the Rima Rau sample, marine  
362 foods would have formed a substantial part of the diet, resulting in dental trauma and  
363 ultimately tooth loss as observed in prehistoric Tonga. At Rima Rau, the partial remodelling  
364 of the alveoli in majority of tooth sockets observed with AMTL suggests tooth loss was  
365 recently before death.

366 The dental chipping at Rima Rau tended to be small in size ( $\leq 1$  mm) and originating  
367 on the occlusal surface, suggestive of chipping caused by tough food particles rather than  
368 personal injury such as falling or interpersonal violence (Lukacs, 2007; Scott and Winn,  
369 2011). Hillson's (2001) recording scheme for recording dental chipping does not include  
370 recording size or number of chips on the tooth, an approach that should perhaps be altered in  
371 future dental data collection. The authors recorded no chips of especially large size in the  
372 Rima Rau collection.

373 Conditions relating to periodontal health at Rima Rau are reflected in high rates of  
374 mild supragingival calculus but relatively low rates of alveolar resorption and subgingival  
375 calculus compared to other Polynesian sites (Table 7). This pattern of calculus is consistent  
376 with observations by Stantis et al (2016) from Tonga. Again, as Houghton (1996) observes  
377 that along with the pattern of light wear, slight calculus and light periodontal disease is  
378 relatively common across the prehistoric tropical Pacific.

379 The high incidence of pathological changes in the TMJ both at the surface count and  
380 individual levels appears to be at odds with the suggestion of low tooth wear but has been  
381 associated with extensive enamel chipping in the molar teeth elsewhere in early Pacific  
382 cultures (Nelson et al. 2016). The degenerative changes of the TMJ are also consistent with  
383 the level of tooth wear observed in the sample attributed to high biting force, for either dietary  
384 or non-dietary reasons (Nelson et al. 2016). The latter has been cited as a possible reason for  
385 severe TMJ degeneration in males at the site of Sigatoka, Fiji (Visser 1995: 115 cited in  
386 Houghton 1996), where kava chewing is a possible explanation, although the uncertainty  
387 about the rate of dental wear in the sample confounds this interpretation for Rima Rau.

388 Nearly 60% of individuals represented by a mandible had DDE, which is comparable  
389 to over 70% of prehistoric Māori from Wairau Bar (Buckey et al. 2010). As observed at  
390 Wairau Bar, a higher proportion of Rima Rau males were affected by DDE compared to  
391 females. Such dental evidence of systemic stress indicates that growth disruptions were  
392 common during early childhood. Although both sexes were affected, males were more  
393 susceptible to stress owing to inherent genetic differences or different socioenvironmental  
394 stresses were suffered by boys and girls. During our time in Atiu, we heard the oral history  
395 that when boys were born, they were wrapped in taro leaves and placed on the marae  
396 overnight. If the baby boy broke free of the leaves before morning, he was destined to be  
397 warrior, if the leaves remained unbroken he became a farmer (discussions with P Tauraa  
398 during field season, 2013). This example of prehistoric cultural practices highlights sex-  
399 specific behaviours that may result in stress differences between the sexes observed in the  
400 teeth. Nevertheless, the high levels of systemic stress shown in the teeth may indicate that the  
401 Rima Rau people were survivors of the biosocial stresses during childhood, and some lived  
402 into old age.

## 403 **Conclusion**

404 This paper is the first bioarchaeological investigation of prehistoric islanders of Atiu. We  
405 have developed a census of a sample of the disarticulated and commingled human skeletal  
406 remains from the Rima Rau burial cave, and provided an assessment of oral health. This  
407 shows that the people buried in the cave had moderate rates of dental caries and supragingival  
408 calculus combined with relatively low rates of periodontal disease and periapical cavities.  
409 TMJ degeneration is high despite relatively low levels of occlusal wear. The high prevalence  
410 of DDE, shows that the population was subject to growth disruption during childhood but also  
411 suggests that those who survived to adulthood were robust enough to withstand these periods  
412 of early life stress. Interpreting this complex pattern of oral health is complicated by our  
413 inability to confidently assess age at death, confounding interpretation of age-related oral  
414 health conditions in the disarticulated, commingled and possibly unrepresentative sample.

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608

### 609 **Figure captions**

610 **Figure 1.** Map of the Pacific, Southern Cook Islands and Atiu showing the locations of islands  
611 and places mentioned in this paper. Locations of the caves on Atiu from Steadman (1991) and  
612 Trotter (1974).

613

614 **Figure 2a.** Inferior view of maxilla. Periapical cavity in a young adult female. Pathological  
615 bone changes are consistent with a response to infection affecting the anterior right maxilla  
616 with lesions penetrating into the right maxillary sinus (indicated by white arrows).

617

618 **Figure 2b.** Frontal view of maxilla. Periapical cavity in a young adult female. Pathological  
619 bone changes are consistent with a response to infection affecting the anterior right maxilla  
620 with lesions penetrating into the right maxillary sinus (indicated by white arrows).

621

622 **Figure 3.** Lateral left view of cranium. Periapical cavity on upper left first permanent molar for  
623 a young adult female (indicated by black arrow). Oral pathology for tooth 16 and 17 also  
624 includes severe alveolar resorption, slight calculus, and a large buccal root caries on tooth 16  
625 (indicated by white arrow). Antemortem tooth loss observed for tooth 18.

626

627



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## Tables

Table 1. The presence and use of burial caves on the *makatea* islands of Polynesia

Name and Location	Size (km <sup>2</sup> )	Historic Account of Burial Cave/s	Minimum Number of Burial Caves	Archaeological Examination of Burial Caves	Osteological Analyses	MNI <sup>1</sup>	Reference
Atiu, southern Cook Islands	29.0	Yes	7	Yes	No	36	Gruning 1937; Large 1913; Steadman 1991; Tangatapoto 1984; Trotter 1974; Walter 1996
Mangaia, southern Cook Islands	52.0	Yes	4	Yes	Yes	92	Antón and Steadman 2003; Ellison 1994
Ma'uke, southern Cook Islands	18.4	Yes	Unknown	No	No	N/A	Large 1913; Walter 1996
Mitiaro, Southern Cook Islands	22.3	Yes	Unknown	No	No	N/A	Franklin and Steadman 1991; Walter 1996
Rurutu, Austral Islands	38.5	No	Unknown	No	No	N/A	Dickinson 1998; Nunn 1994; Stoddart and Spencer 1987; Steadman and Bollt 2010
Rimatara, Austral Islands	9.0	No	Unknown	No	No	N/A	Dickinson 1998
Henderson, Pitcairn Group	37.3	Yes	4	Yes	Yes	17	Collins and Weisler 2000; Stefan et al. 2002
Niue, Western Polynesia	259.0	Yes	59	Yes	Limited	300	Trotter 1979
Makatea, Tuamotu Archipelago	24.0	No	Unknown	No	No	N/A	Mueller-Dombois and Fosberg 1998; Wood and Hay 1970
Tongatapu, Tongan Archipelago	259.0	No <sup>2</sup>	Unknown	No	No	N/A	Lowe and Gunn 1986; Stoddart and Gibbs 1975; Vacher 2004
'Eua, Tongan Archipelago	81.0	No <sup>2</sup>	Unknown	No	No	N/A	Lowe and Gunn 1986; Mueller-Dombois and Fosberg 1998

<sup>1</sup> MNI is the minimum number of individuals based on the references provided (MNI for Atiu excludes results from *Te Ana Rima Rau*)

<sup>2</sup> The presence of burial caves are noted in passing in Lowe and Gunn (1986: 106), but were not documented during cave surveys

**Table 2.** Sex Assessment of adult Temporal Bones ( $n = 53$ ) from Rima Rau Burial Cave Sample

Sex Assessment	Paired Left and Right	Unpaired Left	Unpaired Right	MNI (Max L or R)
Female or Probable Females	9	4	6	15
Indeterminate	0	3	5	5
Males or Probable Males	3	6	5	9

**Table 3.** Adult Age-at-Death Assessment of Cranial Vault Elements ( $n = 33$ ), Maxilla ( $n = 21$ ) and Mandibles ( $n = 30$ ) from Rima Rau Burial Cave Sample\*

Age-at-Death Assessment	Cranial Suture Closure	Maxillary Molar Wear	Mandibular Molar Wear
Young Adult (20-35 years)	12	10	9
Middle Adult (35-50 years)	15	9	12
Old Adult (50+ years)	6	2	9
TOTAL	33	21	30

\* based on Buikstra and Uberlaker (1994)

**Table 4.** Frequencies of dental caries, periapical cavities, and antemortem tooth loss (AMTL) for the *Rima Rau* Burial Cave Sample, (reported by tooth/alveolus)

Oral Pathology	A/O	%	p-value
Caries	43/341	12.6	
<i>Dental arch</i> <sup>1</sup>			< 0.001
- anterior teeth	3/109	2.8	
- molars	40/232	17.2	
<i>Jaw</i> <sup>2</sup>			0.613
- maxillary	17/147	11.6	
- mandibular	26/194	13.4	
<i>Tooth Region</i> <sup>3</sup>			0.027
- crown	56/1533	3.8	
- root	64/1184	5.7	
<i>Crown Surface</i> <sup>4</sup>			< 0.001
- occlusal	13/342	3.8	
- buccal	5/294	1.7	
- distal	7/303	2.3	
- lingual	5/298	1.7	
- mesial	26/296	2.0	
<i>Root Surface</i> <sup>5</sup>			0.220
- buccal root	22/296	7.4	
- distal root	17/295	5.8	
- lingual root	11/298	3.7	
- mesial root	14/295	4.7	
Periapical Cavities	15/803	1.9	-
AMTL <sup>6</sup>	71/918	7.7	0.002
- Tooth lost, with partial remodelling	48/918	5.2	
- Tooth lost, with full remodelling	23/918	2.5	

<sup>1</sup>  $\chi^2(2) = 12.84$

<sup>2</sup>  $\chi^2(2) = 0.256$

<sup>3</sup>  $\chi^2(2) = 0.027$

<sup>4</sup>  $\chi^2(5) = 24.214$

<sup>5</sup>  $\chi^2(4) = 4.420$

<sup>6</sup>  $\chi^2(2) = 9.157$

**Table 5.** Frequencies of calculus, alveolar resorption and antemortem chipping for the Rima Rau Burial Cave Sample (reported by tooth/tooth socket)

Oral Pathology	A/O	%	p-value
Supragingival Calculus <sup>1</sup>	196/336	58.3*	< 0.001
- Mild	176/336	52.4	
- Moderate	20/336	6.0	
- Severe	0/336	0.0	
Subgingival Calculus <sup>2</sup>	6/336	1.8	0.101
- Mild	5/336	1.5	
- Moderate	1/336	0.3	
- Severe	0/336	0.0	
Alveolar Resorption <sup>3</sup>	50/400	12.5	0.019
- Mild	17/400	4.3	
- Moderate	33/400	8.3	
- Severe	0/400	0.0	
Antemortem Chipping <sup>4</sup>	71/335	21.2	< 0.001
- not associated with caries	69/335	20.6	
- associated with caries	2/335	0.6	
<i>Dental arch</i> <sup>5</sup>			0.023
- permanent anterior teeth (incisors, canines, premolars)	34/198	17.2	
- permanent molars	33/118	28.0	

\*  $\chi^2(2) = 255.52, p < 0.001$

<sup>1</sup>  $\chi^2(2) = 175.29$

<sup>2</sup>  $\chi^2(2) = 2.69$

<sup>3</sup>  $\chi^2(2) = 5.46$

<sup>4</sup>  $\chi^2(2) = 70.72$

<sup>5</sup>  $\chi^2(2) = 5.16$

**Table 6.** Frequencies of defects of dental enamel for the Rima Rau Burial Cave Sample, reported by tooth and per individual

Oral Pathology	A/O	%	p-value
By Tooth			
<i>Defect Type</i> <sup>1</sup>	47/239	19.7	0.001
- Horizontal linear grooves	33/239	13.8	
- Vertical linear grooves	1/239	0.4	
- Pitting	1/239	0.4	
- Discrete opacities	5/239	2.1	
- Diffuse opacities	7/239	2.9	
<i>Tooth Type</i> <sup>2</sup>			
- Incisors	5/29	17.2	0.054
- Canines	14/40	35.0	
- Premolars	12/84	14.3	
- Molars	16/86	18.6	
By Individual			
<i>Sex</i> <sup>3</sup>	12/38	23.7	0.022
Males	6/9	66.7	
Females	3/15	20.0	
Indeterminate	3/5	20.0	
<i>Stress Type</i> <sup>4</sup>			0.414
Localised defects (only one-tooth)	5/12	41.7	
Systemic stress (at least two teeth)	7/12	58.3	

<sup>1</sup>  $\chi^2(5) = 80.09$

<sup>2</sup>  $\chi^2(4) = 7.67$

<sup>3</sup>  $\chi^2(2) = 5.23$

<sup>4</sup>  $\chi^2(2) = 0.67$

**Table 7.** Comparative oral pathology frequency data (%) for Rima Rau and other Polynesian samples

Skeletal Assemblage	Dental Caries	Periapical Cavities	AMTL	Calculus	Alveolar Resorption	Chipping	Reference
<i>Rima Rau, Atiu, Cook Islands</i>	12.6	1.9	7.7	58.3	12.5	21.2	<i>This study</i>
Hane dune, Marquesas	5.4	1.8	3.3	19.9	32.4		Pietrusewsky et al. 1976 cited in Pietrusewsky et al. 2019
'Atele, Tongatapu, Tonga	13.5	1.4	6.3	54.0	13.7	17.3	Stantis 2015; Stantis et al. 2016
Ha'ateiho, Tongatapu, Tonga	7.5	2.7	7.5	11.8	28.5		Pietrusewsky et al. 2019
Hawaiian Islands	9.8	-	-	-	-	-	Keene 1986
Honokahua, Maui, Hawai'i	13.5	5.0	9.6	6.8	51.7	-	Pietrusewsky and Douglas 1994 cited in Pietrusewsky et al. 2019
Rapa Nui/Easter Island	27.1	-	-	-	-	-	Owsley et al. 1985
Early Māori, New Zealand	-	18.0	-	-	-	-	Kieser et al. 2001
Early Maori and Moriori		-	29.2	-	-	-	Taylor 1962
Wairau Bar, New Zealand	4.8	11.5	8.2	-	-	-	Buckley et al. 2010

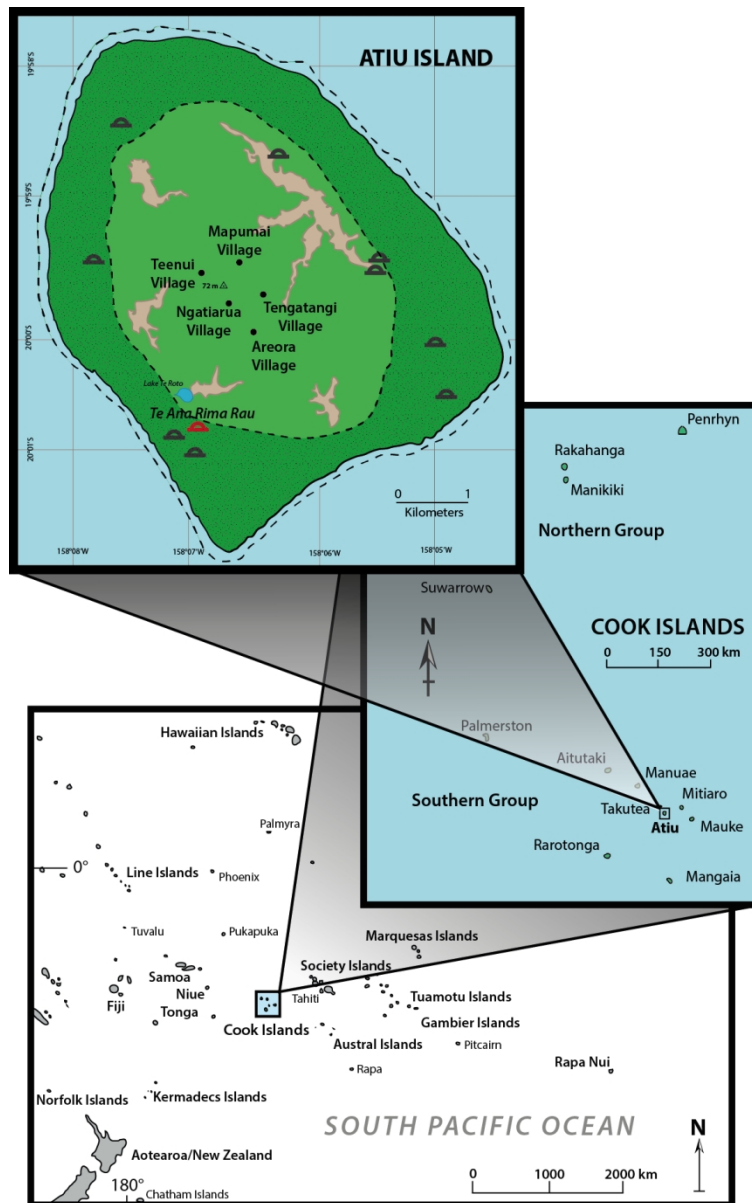


Figure 1. Map of the Pacific, Southern Cook Islands and Atiu showing the locations of islands and places mentioned in this paper. Locations of the caves on Atiu from Steadman (1991) and Trotter (1974).





Figure 2a. Inferior view of maxilla. Periapical cavity in a young adult female. Pathological bone changes are consistent with a response to infection affecting the anterior right maxilla with lesions penetrating into the right maxillary sinus (indicated by white arrows).

636x423mm (300 x 300 DPI)



Figure 2b. Frontal view of maxilla. Periapical cavity in a young adult female. Pathological bone changes are consistent with a response to infection affecting the anterior right maxilla with lesions penetrating into the right maxillary sinus (indicated by white arrows).

613x420mm (300 x 300 DPI)



Figure 3. Lateral left view of cranium. Periapical cavity on upper left first permanent molar for a young adult female (indicated by black arrow). Oral pathology for tooth 16 and 17 also includes severe alveolar resorption, slight calculus, and a large buccal root caries on tooth 16 (indicated by white arrow). Antemortem tooth loss observed for tooth 18.

530x352mm (300 x 300 DPI)