

1 Article (original research)

2 **Mucoadhesive budesonide formulation for the** 3 **treatment of eosinophilic esophagitis**

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14 **Abstract:** Eosinophilic esophagitis (EE) is a chronic immune/antigen-mediated esophageal
15 inflammatory disease for which off-label topical corticosteroids (e.g., budesonide) are widely used
16 in clinics. In general, thickening excipients are mixed with industrial products to improve the
17 residence time of the drug on the esophageal mucosa. The compounding procedures are empirically
18 based, and the composition is not supported on real physicochemical and technological
19 characterization. The current study aimed to propose a standardized budesonide oral formulation
20 intended to improve the residence time of the drug on the esophageal mucosa for EE treatment.
21 Different placebo and drug-loaded (0.025% w/w) formulations were prepared by changing the
22 percentage of xanthan gum alone or in ratio 1:1 with guar gum. Both excipients were added in the
23 composition for their mucoadhesive properties. The formulative space was rationalized based on
24 the drug physicochemical stability and the main critical quality attributes of the formulation: e.g.,
25 rheological properties, syringeability, mucoadhesiveness and in vitro penetration of budesonide in
26 porcine esophageal tissue. The obtained results demonstrated that gums allowed to reach a
27 prolonged residence time. However, the concentration of the mucoadhesive polymer has to be
28 properly rationalized to permit the syringeability of the formulation and, therefore, easy dosing by
29 the patient/caregiver.

30 **Keywords:** eosinophilic esophagitis; budesonide; xanthan gum; guar gum; mucoadhesion;
31 esophagus permeability; rheological characterization; pediatric medicine; compounded preparation
32

33 **1. Introduction**

34 Eosinophilic esophagitis (EE) is a chronic immune/antigen-mediated esophageal inflammatory
35 disease associated with esophageal dysfunction resulting from severe eosinophil-predominant
36 inflammation [1,2]. EE treatment is mainly based on dietary and pharmacological interventions. The
37 diet of patients having EE is a highly restricted regimen based on the elimination of specific allergen
38 components for a limited number of weeks. Removal of harmful food allergens results in clinical
39 remission of EE. After the elimination period, foods can be reintroduced in the diet sequentially in
40 order to identify food triggers of esophageal eosinophilia and to establish a less restrictive, long-term,
41 therapeutic diet for effective and least restrictive disease management [3]. Elemental formulas and
42 other types of elimination diets are a safe and efficacious approach for EE treatment [4] but the severe
43 restrictions markedly reduce patient compliance [5]. The main drawbacks are the scant palatability

44 of the highly restricted diet regimen, the marked weight loss and the high costs for the patient [6].
45 Therefore, pharmacological treatment is often necessary. The first choice is based on oral proton
46 pump inhibitors (PPIs) [7], which are effective, safe and not so expensive for the patients. If the patient
47 does not respond to PPIs, corticosteroids are good alternative therapeutics, since they can inhibit
48 maturation and activation of eosinophils through suppression of the release of their stimulating
49 cytokines. Inhaled budesonide (BU) and fluticasone are the most investigated drugs of this class [8-
50 10]. However, the benefit-risk balance of such medicinal products may be affected by significant
51 secondary side effects due to the systemic drug absorption after pulmonary administration and by a
52 low patient adherence due to the complex use of the administration devices (e.g., metered-dose
53 inhaler). Therefore, the interest in developing topically applied therapeutics has risen [11, 12]. In this
54 context, off-label topical corticosteroids are frequently used in clinics: patients are trained to swallow
55 asthma medicinal products originally designed to be inhaled or viscous oral formulations
56 extemporaneously compounded in pharmacies. Recently, the European regulatory authorities have
57 authorized an orodispersible tablet loaded with budesonide-BU indicated for the treatment of EE in
58 adults older than 18 years of age. This medicinal product is not suitable for pediatric patients since
59 they require adjustments in strength and dosage form in comparison to adults [13-15].

60 When an authorized medicinal product is not available on the market, the compounding of
61 extemporaneous preparations by the community and hospital pharmacists is crucial to meet the
62 special needs of patients [16, 17]. The compounding activities should be based on the provisions of
63 the good compounding practice and other available technical guidelines to assure the required
64 quality of the magistral preparation [18].

65 In the case of the BU for EE, a certain number of studies in the literature suggested the clinical
66 efficacy of viscous preparations. Frequently, they have been prepared by mixing a commercial sterile
67 suspension of BU to be nebulized, indicated for use in bronchial asthma and in infants and children
68 with croup, with a thickening agent (e.g., sucralose) [19-21]. Alternatively, cellulose derivatives [22]
69 or gums [23, 24] ~~was~~ have also been added. Hefner et al. [25] compared the technological
70 performances of different types of thickening agents like sucralose, xanthan gum or honey,
71 demonstrating that the gum permitted a better residence time of the active pharmaceutical
72 ingredients (API) on the esophageal mucosa. However, such pieces of evidence have been obtained
73 using a medicinal product as an API source instead of the pure API. Although such an approach
74 seems practical to meet patient's needs, the use of a medicinal product can determine the presence of
75 unnecessary excipients in the final preparation and can arise problems of physical compatibility with
76 all the adopted substances. Moreover, the exact quantitative composition of the medicinal product is
77 generally unknown. In this light, it is preferable that compounding starts from the raw materials
78 (pure active principle and excipients). Alternatively, a proprietary excipient mixture can be used as
79 a formulation base (e.g., Mucolox™) [26].

80 The development of standardized formulations can be a valid strategy to support the
81 pharmacists in their activities, reducing the heterogeneity and uncertainties in compounding
82 procedures and improving the quality of the final preparation. The availability of well set up and
83 validated operating procedures is a good way to assure the quality of the magistral preparation.

84 Aim of this work is to propose a standardized BU oral formulation to improve the residence
85 time of the drug on the esophageal mucosa. Starting from an already in use formulation in hospital
86 pharmacy based on xanthan gum, six pharmacists were enrolled in compounding to verify its
87 reproducibility. On the bases of these results, the formulation and the compounding procedures were
88 optimized. Considering the interesting evidence reported in the literature on the combined use with
89 galactomannans, guar gum was selected to compare the performances when in ratio 1:1 vs xanthan
90 gum alone. The formulative space was rationalized based on the drug physicochemical stability and
91 the main critical quality attributes of the formulation: e.g., rheological properties, mucoadhesiveness
92 and in vitro penetration of BU in porcine esophageal tissue.

93 2. Materials and Methods

94 2.1. Materials

95 Micronized Budesonide (BU) was obtained from Farmabios, Gropello Cairoli, PV, Italy. Guar
 96 Gum (GG, viscosity min 5000 mPas) was kindly gifted by Lamberti spa, Albizzate, VA, Italy. All other
 97 materials were obtained from the named supplier: Xanthan Gum (XG, viscosity more than 1200
 98 mPas), ethylenedinitrilotetraacetic acid disodium salt dihydrate (EDTA), sodium benzoate, sodium
 99 saccharin (Farmalabor, Canosa di Puglia, BAT, Italy); glycerin, sodium dihydrogen phosphate,
 100 orthophosphoric acid. ethanol chemical grade (VWR International, Milan, Italy).

101 Acetonitrile was HPLC-gradient grade. Purified water was obtained from the purification
 102 system Milli-Q, according to Ph. Eur. 10.0 ed.

103 2.2. Preparation of oral formulations

104 The exact amount of glycerin (23.6 ml) was weighed on an analytical balance and poured into a
 105 beaker. Sodium saccharin, EDTA and sodium benzoate were crushed to a fine powder with a mortar
 106 and pestle, then the exact amount of each was weighed and the powders were transferred into the
 107 same beaker. Then XG or the mixture of gums (XG:GG) 1/1 w/w was added. All substances were
 108 mixed to form a homogeneous mixture, then the exact weighed amount of BU (1 mg/4 mL) was
 109 added and again carefully mixed. Purified water was weighed and added, then stirred until a
 110 uniform system was obtained. Different percentages of gums were used: F1P was prepared using XG
 111 2% w/w, F2P with XG:GG 2% w/w, F3P with XG 1.5% w/w and F4P with XG:GG 1.5% w/w. Placebo
 112 formulations were prepared for rheological and technological evaluation. BU was added only to
 113 obtain final loaded formulations F1, F2, and F4. The composition of the formulations is reported in
 114 Table 1.

115 **Table 1.** Composition (expressed in grams) of the formulations for 240 ml (4 doses each of 60 ml).

Excipients	F1 P	F1	F2 P	F2	F3 P	F4 P	F4
Budesonide (BU)	-	0.06	-	0.06	-	-	0.06
Xanthan gum (XG)	4.80	4.80	2.40	2.40	3.60	1.80	1.80
Guar gum (GG)	-	-	2.40	2.40	-	1.80	1.80
Sodium saccharin	0.24	0.24	0.24	0.24	0.24	0.24	0.24
Glycerin	29.74	29.74	29.74	29.74	29.74	29.74	29.74
EDTA	0.24	0.24	0.24	0.24	0.24	0.24	0.24
Sodium benzoate	0.45	0.45	0.45	0.45	0.45	0.45	0.45
Water up to (ml)	240	240	240	240	240	240	240

116 2.3. Measurements of pH values of the formulations

117 The pH was measured at time T = 0, using a pHmeter CyberScan 1100 (Eutech Instruments,
 118 Singapore).

119 2.4. Drug content

120 About two grams of each formulation was exactly weighed and transferred into a 10 ml amber
 121 glass volumetric flask, bringing up to volume with ethanol. Then the flask was placed in an
 122 ultrasound bath for 20 minutes. The sample was then centrifuged for 5 minutes at 3500 rpm. A portion
 123 of the supernatant was diluted to 2:5 with the mobile phase composed of phosphate buffer pH 3.2:
 124 acetonitrile: ethanol (68:30:2 v/v/v) and analyzed [23]. The remaining supernatant was completely
 125 and accurately removed and 10 ml of fresh ethanol was added. The same extraction procedure was
 126 repeated.

127 2.5. Stability study

128 Samples of F1 were stored in an incubator (INCU-Line, VWR International) at 40°C. The drug
 129 content was measured at time T = 0, T = 10, 20, 60 days. Evaluation of BU content was also performed
 130 after T = 30 days at room temperature exposed to light.

131 2.6. Determination of rheological properties

132 The steady and dynamic shear rheological properties of the formulations were carried out using
133 a controlled stress/strain rheometer Anton Paar MCR 302 equipped with a plate-plate geometry (25
134 mm diameter and 500 μm gap). The temperature was controlled by a Peltier system on the bottom
135 plate. Each sample was transferred to the rheometer plate and then was equilibrated at 25 $^{\circ}\text{C}$ for 5
136 min before steady and dynamic shear rheological measurements were taken.

137 Steady shear measurements

138 Flow behavior was evaluated at controlled strain mode to obtain flow rheological data (shear
139 stress and shear rate) over a shear rate range of 0.1–100 s^{-1} at 25 $^{\circ}\text{C}$. The shear stress-shear rate data
140 were fitted to the well-known power law and Casson models [27] to describe the flow properties of
141 the samples.

142 Dynamic shear measurements

143 Dynamic rheological data were obtained from frequency sweeps over the range of 0.628–62.8
144 rad s^{-1} at 2% strain using a small-amplitude oscillatory rheological measurement. The applied 2%
145 strain was confirmed within the linear viscoelastic region by strain sweep measurement. Frequency
146 sweep tests were also performed at 25 $^{\circ}\text{C}$. The RheoCompass software was used to obtain the
147 experimental data and to calculate the storage (or elastic) modulus (G'), loss (or viscous) modulus
148 (G''), and loss tangent ($\tan \delta = G''/G'$).

149 2.7. Quantitative determination of syringeability

150 The measurement of the injection force was performed in compression mode by using a
151 software-controlled texture analyzer (Instron 5965, ITW Test and Measurement Italia S.r.l., Trezzano
152 sul Naviglio, Italy). A 10 ml syringe (SOFT-JECT[®], VWR International) filled with 9 ml formulation
153 was positioned in the dynamometer holder, downward needle. The plunger end of the syringe was
154 placed in contact with a 50 N loading cell. Testing was carried out at the crosshead speed of 0.5 mm/s.
155 The loading force required to displace the plunger was measured as a function of plunger
156 displacement. The following parameters were also determined from the force-displacement plot:

- 157 • Plunger-stopper break loose force (or “initial glide force”, PBF): the force required to initiate
158 the movement of the plunger;
- 159 • Maximum force (MF): the highest force measured before the plunger finishes its course at the
160 front end of the syringe;
- 161 • Dynamic glide force (DGF): the force required to sustain the movement of the plunger to
162 expel the content of the syringe.

163 A schematic representation of the syringeability test setting and a general force-displacement
164 plot are illustrated in Figure 1. The registered force values were normalized by dividing them for the
165 cross-sectional area of the cylindrical plunger. The experiments were performed in triplicate.
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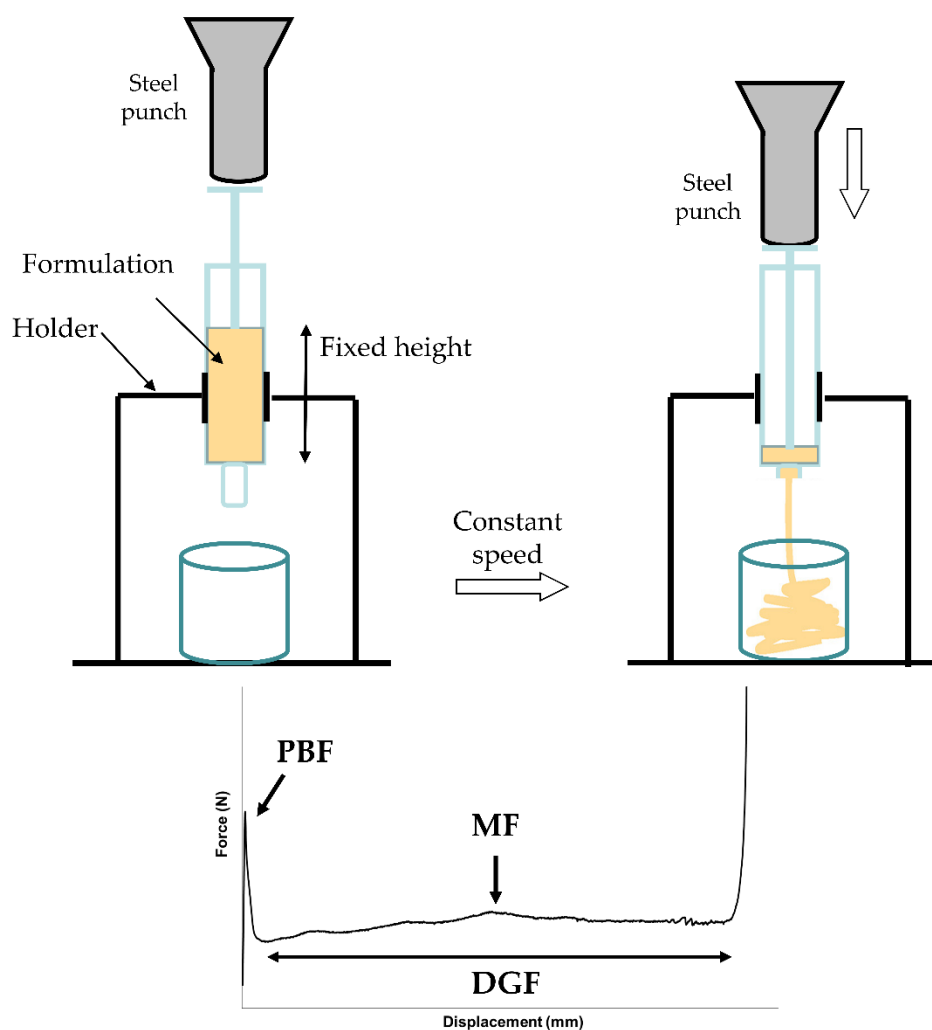


Figure 1. Syringeability test settings and a general F vs displacement curve (PBF: plunger-stopper break loose force; MF: Maximum force; DGF: Dynamic glide force).

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171 2.8. Mucoadhesive properties and *in vitro* esophagus penetration

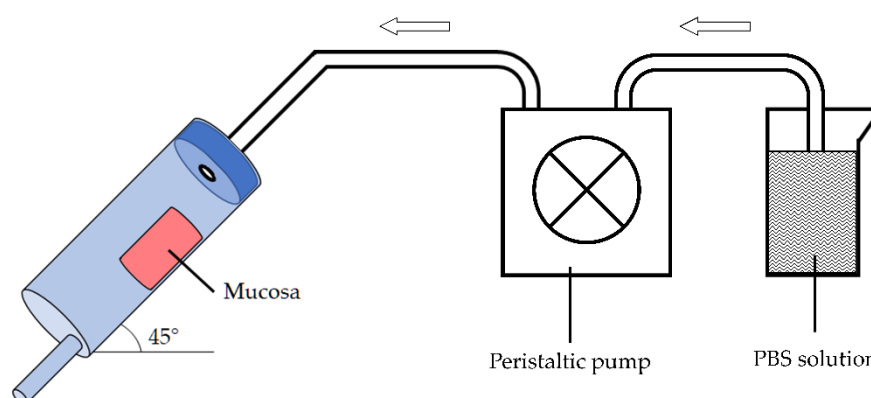
172 The *in vitro* mucosal penetration study was performed adapting the falling liquid technique
173 described by Cilurzo et al. [28] by using fresh porcine esophageal tissue obtained by a local
174 slaughterhouse. The mucosa epithelium was separated by specimens of the mucosa by means of a
175 scalpel.

176 In-house equipment of three components was built up (Figure 2): (a) in series mucosa supports
177 set at an angle of 45°; (b) peristaltic pump and (c) collector of fractions. The apparatus was designed
178 to investigate at the same time the elution of the preparation from the mucosal surface and the drug
179 penetration into the tissue.

180 A dose of the selected formulation was deposited onto a 3 x 2 cm mucosal surface corresponding
181 to a total amount of about 500 mg. Then, the porcine esophageal membrane was placed on the sample
182 support and pH 6.8 phosphate buffer solution (PBS) was dropped at the rate of 1 ml/min to simulate
183 the physiological environment and the saliva swallowing.

184 The residence time was qualitatively estimated by checking the time required for each
185 formulation to be completely washed away from the surface of the mucosa exposed to the washing
186 medium. In all cases ~~the latter case~~, after 30 minutes from the beginning of the experiment, the
187 apparatus was dismantled, and the applied test sample was peeled away by means of an adhesive
188 tape strip [28]. The mucosa samples were homogenized, and the amount of the penetrated drug was
189 extracted with 2 ml methanol and assayed by HPLC.

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Figure 2. Apparatus used to evaluate mucoadhesive properties and drug mucosal penetration in vitro.

194 2.9. Drug content analysis

195 The analysis was carried out by HPLC -DAD (Agilent 1100, Agilent, California USA) using an
 196 RP-C18 column (LC 150 x 4.6 mm, 5 μ m) with pre-column (Phenomenex, California USA). The mobile
 197 phase was composed of phosphate buffer pH 3.2: acetonitrile: ethanol [29]. The elution was carried
 198 out in gradient (Table 2). The flow rate was 1.7 ml/min, the wavelength was set at 240 nm and the
 199 injection volume at 10 μ l. The retention times of the 22R and 22S epimers were 8.8 min and 9.1 min,
 200 respectively. A calibration curve was prepared twice solubilizing in ethanol 10 mg of BU in a 100 ml
 201 volumetric flask. Dilution to obtain 0.1, 0.2, 0.5, 1 and 2 μ g/ml concentration were performed (22R: R^2
 202 = 0.99930; 22S: R^2 = 0.99999).

203

Table 2. The gradient of the mobile phase.

Time (min)	Phosphate buffer pH 3.2 (%v/v)	Acetonitrile (% v/v)	Ethanol (% v/v)
0 - 10	68 \rightarrow 50	30 \rightarrow 48	2
10 - 11	50	48	2
11 - 16	50 \rightarrow 68	48 \rightarrow 30	2

204

205 2.10 Statistical analysis

206 Tests for significant differences between means were performed by the Student t-test.
 207 Differences were considered significant at the $p < 0.05$ level.

208

209 3. Results and discussion

210 Being increased the number of patients having EE, both among adults and young population,
 211 the need to have a standardized topical dosage form is growing, while the widespread habit of mixing
 212 the industrial inhalation product with sweeteners (among them is largely used an artificial sweetener
 213 based on sucralose in maltodextrin/glucose) should not be the first choice. Moreover, to improve the
 214 efficacy of topical corticosteroid administration, it is well established the need for using a viscous
 215 preparation as a vehicle. The proprietary blend MucoloxTM gave good results [26] but it is composed
 216 of a very complex blend. For these reasons, other simpler compositions, already in use in hospital
 217 pharmacies, deserve to be investigated. Quite diffused is the use of viscous formulation containing
 218 XG as a thickening and rheological agent (F1P and F1, Table 1).

219 XG is a polysaccharide, largely applied in the food industry. It is highly stable in a wide range
 220 of pH and ionic strength, and, dispersed in water at moderate temperatures, it increases its viscosity
 221 even at low concentration [30, 31]. Temperature preparation can modify the ordered or disordered
 222 state of xanthan chains, as this state is controlled by temperature.

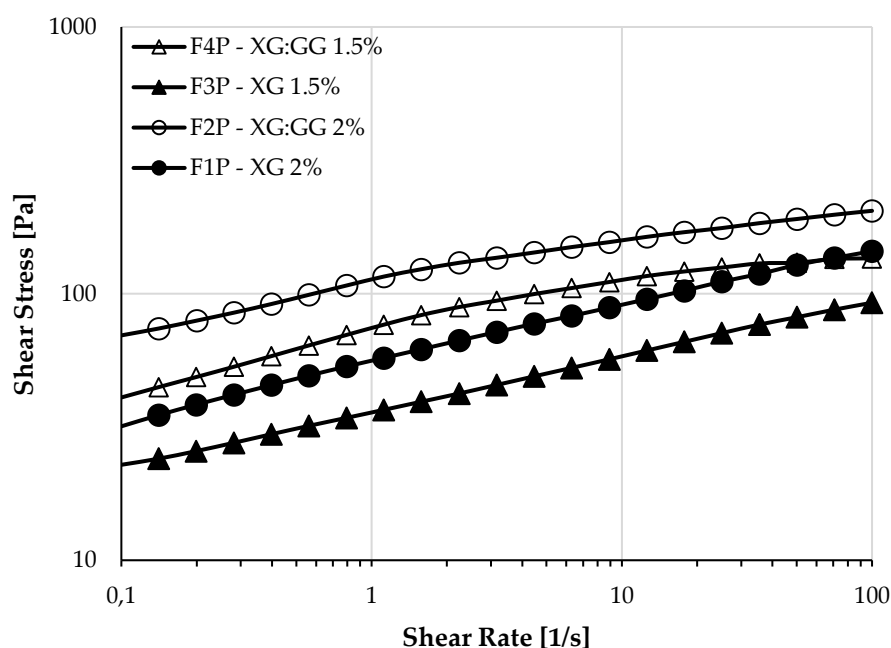
223 According to the already in use hospital preparation (F1, Table 1), six pharmacists were enrolled
 224 and each of them compounded a batch. At the end of the preparation process, performed at room
 225 temperature, BU was completely dispersed, and the gel-like liquid appeared homogeneous.
 226 Dispersion is due to the low BU solubility in water [32]; good improvement of solubilization could
 227 be obtained using mixtures with ethanol, but this approach must be discarded in the pediatric
 228 population for the limitations in the use of this excipient [33]. The use of glycerin, usually foreseen in
 229 mixture with rheological agents, can contribute in improving BU solubility but this is not enough to
 230 obtain its complete solubilization. The measured pH and drug content are reported in Table 3. pH
 231 values were close to 5 and no significant changes in pH were observed during the storage period. To
 232 exactly measure the drug content, the extraction procedure reported in the literature [23] was
 233 performed twice as a too high amount of BU remained not extracted after a single exposure to solvent.
 234 Variability among operators was ~~is~~ low (Table 3) and immediately after preparation, the mean
 235 content was within the range of acceptability, fixed in $\pm 10\%$ w/w, according to Italian Pharmacopoeia
 236 (BU = 0.262 ± 0.007 mg/ml). The chemical stability study established that the preparation has good
 237 stability as evidenced by the accelerated stability study (storage for over 60 days in an ~~oven~~-incubator
 238 at 40°C ; BU = 0.249 ± 0.019 mg/ml) and confirmed the need of handling the preparation by caregivers
 239 or patients avoiding the exposure to light for long period. Indeed, in preparations left at room
 240 temperature over 30 days at the light, more than 50% of drug reduction was observed (BU = $0.103 \pm$
 241 0.022 mg/ml). The addition of sodium benzoate was added to avoid microbiological contamination
 242 [23].

243 **Table 3.** Chemical characterization of the in-use preparation in the hospital pharmacy (F1: XG 2%).
 244 pH values and drug content at preparation time (T=0) and at the end of the storage period in an
 245 incubator in dark condition (=60 days). Drug content also determined after 30 days at room
 246 temperature exposed at the light.

Batch	pH		Micronized BU content (mg/mL, n=2)		
	T = 0	60 days	T = 0	60 days– 40°C - dark	30 days–r.t. - light
1	4.653	4.763	0.273	0.251	0.124
2	4.630	4.967	0.253	0.228	0.094
3	4.651	4.706	0.256	0.273	0.105
4	4.612	4.902	0.266	0.232	0.120
5	4.606	4.867	0.263	0.244	0.064
6	4.619	4.681	0.261	0.269	0.112

247 To test the technological properties of these systems, their adhesion on the site of action (i.e.
 248 esophageal tissue) or the handling during the application, F1P was modified and a mixture of XG
 249 and GG was used (F2P, Table 1). The addition of galactomannans such as locust bean gum or GG to
 250 a solution of XG at room temperature causes a synergistic increase in viscosity, both at dilute and
 251 concentrated solution [27]. GG is a galactomannan that forms colloidal solutions with elevated
 252 viscosity even at very low concentrations. It is anionic in nature and it remains stable and gives
 253 consistent viscosity over a wide pH range [34]. The viscosity of XG:GG mixtures depends on
 254 operational properties such as the dissolution temperature of gums, polymer concentration and
 255 relationship between XG and galactomannan; depending on these conditions XG:GG solution
 256 mixtures can show a different viscosity. Indeed, being fixed polymer concentration and the ratio
 257 between the gums, in case of extemporaneous preparation, attention must be paid to the temperature
 258 at which each polysaccharide has been solved [35].

259 Rheological characterization was performed on the placebo samples based on XG (F1P and F3P)
 260 and XG:GG (F2P and F4P), mixed at room temperature. They have different behaviors: formulations
 261 containing the mixture of the gums have higher shear stress in comparison to those containing only
 262 XG, as already reported in the literature [35], but the shear sensitivity is different (Figure 3). As shown
 263 in Figure 3, XG samples have a linear stress-strain dependence whereas XG:GG samples have a
 264 pseudoplastic behavior, with the stress vs. strain lowering at higher shear rates. When reaching the
 265 highest shear rates, XG:GG 1.5% (F4P) starts having lower stress than XG 2% (F1P). Given the slope
 266 of the curves (i.e. pseudoplastic vs. linear dependence), the behavior is expected to be confirmed at
 267 shear rates higher than 100 s^{-1} , which is the highest that can be reached with the experimental setup
 268 used. Also samples loaded with the active principle were investigated and it was concluded that the
 269 behavior was not influenced by the presence of BU (F1 and F4, Table 4). The pseudo-plastic behavior
 270 of XG:GG samples indicates that physicochemical properties of such formulations are similar to the
 271 ones of linear polymers, i.e. the effect of ionic interactions or other weak bonds (such as hydrogen or
 272 Van del Waals bonds) creating branching and reversible crosslinking between the macromolecular
 273 chains of the gums and other ingredients of the formulations is negligible. This behavior agrees to
 274 that previously observed by Jo et al. on gum mixtures [27]. The same authors evidenced a
 275 pseudoplastic behavior also for the single gums in water solution. In our work, the presence of
 276 glycerin, adding a lot of $-\text{OH}$ groups in the formulation, seems to increase the formation of
 277 entanglements and weak bonds in the formulation of XG alone, within the shear range tested. Table
 278 4 shows also the difference in viscosity of the samples at the highest shear rates, confirming that
 279 XG:GG sample viscosity has a far less marked increase than that of XG samples in the $50\text{-}100\text{ s}^{-1}$ range.
 280 This trend is due to the pseudoplastic behavior of XG:GG samples.
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Figure 3. Plots of shear stresses versus shear rates of XG and XG-galactomannans mixtures.

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A different behavior among the formulations was evidenced also from the performed dynamic shear measurements. In particular, as shown in Figure 4, a markedly different trend in loss modulus is visible. In XG:GG samples, the G'' has only slight variations over the angular frequency range used, whereas in XG samples it markedly increases as the frequency gets higher, especially at the highest frequencies.

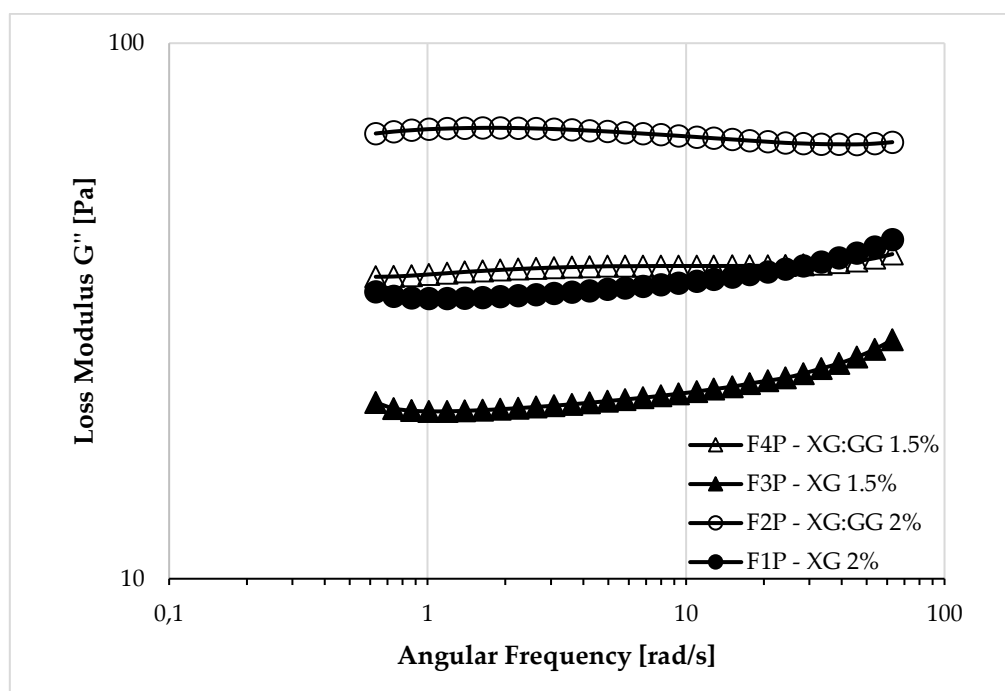


Figure 4. Plots of $\log \omega$ versus $\log G''$ of XG and XG-galactomannans mixtures.

To identify elastic or viscous behavior, loss tangent values can be calculated. When this value is smaller than the unit, a sample is more elastic than viscous, and this has been suggested as a rheological criterion for safe-swallow foods meant for dysphagia [36]. All proposed formulations had low loss tangent values (Table 4). While loss tangent values for F1P, F2P and F3P were similar, F4P had a loss tangent significantly higher from the other samples ($p < 0.05$).

The administration of this preparation in very young patients was performed by caregivers, normally the parents, by means of a big syringe containing 60 ml of the formulation. During this treatment, some difficulties due to the hard extrusion of the formulation from the syringe were described by the users. The values measured for the injection force measured for placebo formulations are reported in Table 4.

Table 4. Viscosity values and injection force measurements of each formulation.

Form.	Viscosity (Pa*s)			$\Delta\eta$ 100 vs 50 s ⁻¹ (%)	Loss tangent	Injection force		
	50.1 (s ⁻¹)	70.8 (s ⁻¹)	100 (s ⁻¹)			DGF (kPa)	MF (kPa)	PBF (kPa)
F1P	128.15	136.12	144.25	12.6	0.157 ± 0.015	90.01 ± 31.16	77.87 ± 14.50	66.25 ± 5.24
F1	127.22	135.75	144.83	13.8	0.160 ± 0.016	-*	-*	-*
F2P	190.48	197.68	204.46	7.3	0.190 ± 0.040	83.28 ± 8.68	87.08 ± 7.30	58.57 ± 14.13
F3P	81.57	87.09	92.42	13.3	0.171 ± 0.018	78.08 ± 24.23	85.00 ± 29.67	63.11 ± 19.28
F4P	130.67	134.89	135.60	3.8	0.231 ± 0.045	121.69 ± 14.75	126.39 ± 14.78	89.49 ± 27.24
F4	126.77	128.5	130.02	2.6	0.242 ± 0.047	-*	-*	-*

Note: * value not determined

In the force vs. displacement plot of low-viscosity formulations (Figure 1), two different portions can be identified: the former is related to the force required to displace the plunger, (i.e. PBF). This event is followed by a plateau (second portion) indicating that the streamline of the formulation through the needle occurs with a constant force. In this portion, the average load required to sustain the movement of the plunger to expel the content of the syringe is calculated and reported as DGF.

PBF values were not statistically different among all the tested products ($p > 0.05$), suggesting that the force required to initiate the movement of the plunger was independent by the formulation.

311 The measured extrusion forces showed that F4P required a slightly higher extrusion force (in
 312 terms of DGF) with respect to the other formulations, even if the differences were statistically
 313 significant only in comparison with F2P ($p=0.04$), and although, from rheology experiments, it was
 314 less viscous than F2P (Figure 3). However, in the shear stress plots, F4P showed also a pseudoplastic
 315 behavior that could not be detected with the syringeability test, probably because the applied force
 316 felt below the useful range of stress. In the case of this pseudoplastic formulation, if we take into
 317 consideration another rheological parameter, the loss tangent value, we can observe that it was higher
 318 for F4P, if compared to the other formulations, suggesting that F4P has a more pronounced viscous
 319 component, even if its overall behavior was mainly elastic. This feature could be responsible for the
 320 relatively higher extrusion forces measured during the syringeability test. However, overall results
 321 show that in any case low forces are needed to extrude the formulations from a syringe, despite the
 322 differences highlighted in the rheological studies.

323 The chemical and technological effects of the combination of the two gums in the revised
 324 formulations are reported in Table 5. Formulation F3 was considered of less interest because of the
 325 lower shear stress and therefore it was not further investigated. Placebo formulations showed pH
 326 values from 4.975 (F2P) to 5.155 (F4P). As in the case of F1, the addition of BU did not change these
 327 values (Table 5). The formulations can be expected to be non-irritating to the buccal mucosa since the
 328 pH of the esophageal mucosa is reported to be 6.8 in healthy subjects [37].

329 **Table 5.** Chemical and technological characterization of the modified preparation.

Batch	pH T=0	Permanence time (min)	BU penetrated the mucosa	
			(mg/g mucosa)	(%)
F1	4.976	28 ± 4	0.790 ± 0.192	0.610 ± 0.132
F2	5.156	29 ± 2	0.783 ± 0.231	0.562 ± 0.152
F4	5.068	25 ± 5	0.901 ± 0.367	0.682 ± 0.270

330 As far as the mucoadhesive properties are concerned, comparing the formulations, a similar
 331 sliding time from the mucosa samples was observed for all of them. Thanks to the establishment of
 332 interactions between the mucosal layer and the bioadhesive polymer, it was possible to obtain
 333 formulations able to persist into the mucosal surface for about 30 minutes.

334 The good mucoadhesive properties can be due to the presence of free $-\text{COO}^-$ groups of XG. As
 335 a matter of fact, it is well known that polymers that exhibit a high density of available hydrogen
 336 bonding groups ($-\text{COO}^-$ groups of XG) can interact more strongly with mucin glycoprotein [3638].
 337 Moreover, hydrogen bonds are involved in the formation of a strengthened network, thus
 338 contributing to the good bioadhesive strength of the tested formulations. The permanence time onto
 339 the mucosa samples was not influenced by the presence of the drugs into the formulations. Because
 340 of the similar residence time, the percentages of BU penetrated the mucosa by the different
 341 formulations were not significantly different (Table 3). A very small percentage of BU loaded
 342 remained in the mucosae; therefore, to optimize the use of the corticosteroid further evaluations
 343 could be done with formulations containing a reduced amount of the active principle with respect to
 344 that used in the present work.

345 As it is known, penetration of BU into the mucosa is the final combination of many events; the
 346 physicochemical characteristics of the molecule, and the mucoadhesive properties and viscosity of
 347 the formulation. The advantage of the increased viscosity of the formulation is a reduced outflow
 348 from the mucosal surface, with a consequent increase in the contact time of the drug with the mucous
 349 membrane and therefore in its penetration into the tissue. On the other hand, an excessive viscosity
 350 could obviously create problems in the extrusion from a syringe. The results showed that the use of
 351 the two gums in combination can help to modulate the viscosity of the formulation, however,
 352 remaining within an optimal range such as to be retained onto the mucosal surface for enough time.
 353 Moreover, thanks to its pseudoplastic behavior, the extrusion of such formulations through a syringe
 354 can take place in a feasible way. Unfortunately, this aspect couldn't be fully investigated by the

355 experiments carried out in this work, since the viscosity data should be collected in a higher shear
356 range to highlight a correlation between syringeability test and viscosity measurements.

357 4. Conclusions

358 The prepared formulations showed suitable technological and rheological characteristics for the
359 treatment of EE. As the addition of GG to XG caused an increase in the viscosity, the mixture of the
360 gums allows the use of thickening agents in a reduced amount. In this case, results not significantly
361 different from those measured with the already in use formulation were obtained. Moreover,
362 considering the limited percentage of BU absorbed in the in vitro penetration experiments, further
363 evaluations should be carried out to rationalize the drug content and reduce the risk of systemic side
364 effects.

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368 M. Ortenzi; for writing—review and editing, U.M. Musazzi, for formal analysis and data curation, S. Bordignon;
369 for supervision, P. Minghetti.

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