# Quasiparticles in neon using the Faddeev random-phase approximation 

C. Barbieri<br>Gesellschaft für Schwerionenforschung, Planckstrasse 1, D-64291, Darmstadt, Germany<br>D. Van Neck<br>Laboratory of Theoretical Physics, Ghent University, Proeftuinstraat 86, B-9000 Gent, Belgium<br>W. H. Dickhoff<br>Department of Physics, Washington University, St. Louis, Missouri 63130, USA<br>(Received 11 April 2007; revised manuscript received 11 September 2007; published 6 November 2007)


#### Abstract

The spectral function of the closed-shell neon atom is computed by expanding the electron self-energy through a set of Faddeev equations. This method describes the coupling of single-particle degrees of freedom with correlated two-electron, two-hole, and electron-hole pairs. The excitation spectra are obtained using the random-phase approximation (RPA), rather than the Tamm-Dancoff framework employed in the third-order algebraic diagrammatic construction method. The difference between these two approaches is studied, as well as the interplay between ladder and ring diagrams in the self-energy. Satisfactory results are obtained for the ionization energies as well as the energy of the ground state with the Faddeev RPA scheme, which is also appropriate for the high-density electron gas.


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## I. INTRODUCTION

$A b$ initio treatments of electronic systems become unworkable for sufficiently complex systems. On the other hand, the Kohn-Sham formulation [1] of density functional theory (DFT) [2] incorporates many-body correlations (beyond Hartree-Fock), while only single-particle (SP) equations must be solved. Due to this simplicity DFT is the only feasible approach in some modern applications of electronic structure theory. There is therefore a continuing interest both in developing new and more accurate functionals and in studying conceptual improvements and extensions to the DFT framework. In particular, it is found that DFT can handle short-range interelectronic correlations quite well, while there is room for improvements in the description of long-range (van der Waals) forces and dissociation processes.

Microscopic theories offer some guidance in the development of extensions to the DFT. Orbital-dependent functionals can be constructed using many-body perturbation theory (MBPT) [3,4]. More recently, the development of general $a b$ initio DFT $[5,6]$ addressed the lack of a systematic improvement in DFT methods. In this approach, one considers an expansion of the exact ground-state wave function (e.g., MBPT or coupled cluster) from a chosen reference determinant. Requiring that the correction to the density vanishes at a certain level of perturbation theory allows one to construct the corresponding approximation to the Kohn-Sham potential.

A different route has been proposed in Ref. [7], by developing a quasiparticle (QP) DFT formalism. In the QPDFT approach the full spectral function is decomposed into the contribution of the QP excitations, and a remainder or background part. The latter part is complicated, but does not need to be known accurately: it is sufficient to have a functional model for the energy-averaged background part to set up a single-electron self-consistency problem that generates the

QP excitations. Such an approach is appealing since it contains the well-developed standard Kohn-Sham formulation of DFT as a special case, while at the same time emphasis is put on the correct description of QPs , in the Landau-Migdal sense [8]. Hence, it can provide an improved description of the dynamics at the Fermi surface. Given the close relation between QPDFT and the Green's function (GF) formulation of many-body theory [9,10], it is natural to employ ab initio calculations in the latter formalism to investigate the structure of possible QPDFT functionals. In this respect it is imperative to identify which classes of diagrams are responsible for the correct description of the QP physics.

Some previous calculations, based on GF theory, have focused on a self-consistent treatment of the self-energy at the second order [11-13] for simple atoms and molecules. For the atomic binding energies it was found that the bulk of correlations, beyond Hartree-Fock, are accounted for, while significant disagreement with experiment persists for QP properties like ionization energies and electron affinities. The formalism beyond the second-order approximation was taken up in Refs. [14-18] by employing a self-energy of the $G W$ type [19]. In this approach, the random-phase approximation (RPA) in the particle-hole ( $p h$ ) channel is adopted to allow for possible collective effects on the atomic excited states. The latter are coupled to the SP states by means of diagrams like the last two in Fig. 1(c). Two variants of the $G_{0} W_{0}$ formalism were employed in Ref. [14] (where the subscript " 0 " indicates that nondressed propagators are used). In the first, only the direct terms of the interelectron Coulomb potential are taken into account. In the second version, the exchange terms are also included when diagonalizing the $p h$ space [generalized RPA (GRPA)] and in constructing the self-energy [generalized $G W(G G W)$ ]. Although the exchange terms are known to be crucial in order to reproduce the experimentally observed Rydberg sequence in the excitation spectrum of neutral atoms, they were found to worsen


FIG. 1. (Color online) (a) Diagrammatic expansion of $R(\omega)$ in terms of the (antisymmetrized) Coulomb interaction and undressed propagators. (b) $R(\omega)$ is related to the self-energy according to Eq. (3). (c) By substituting the diagrams (a) in the latter equation, one finds the perturbative expansion of the self-energy.
the agreement between the theoretical and experimental ionization energies [14].

In the $G W$ approach the SP states are directly coupled with the two-particle-one-hole $(2 p 1 h)$ and the two-hole-one-particle $(2 h 1 p)$ spaces. However, only partial diagonalizations (namely, in the ph subspaces) are performed. This procedure unavoidably neglects Pauli correlations with the third particle (or hole) outside the subspace. In the case of the $G G W$ approach, this leads to a double counting of the second-order self-energy which must be corrected for explicitly $[20,21]$. We note that simply subtracting the doubly counted diagram is not completely satisfactory here, since it introduces poles with negative residues in the self-energy. More important, the interactions between electrons in the two-particle ( $p p$ ) and two-hole ( $h h$ ) subspaces are neglected altogether in $(G) G W$. Clearly, it is necessary to identify which contributions, beyond $G G W$, are needed to correctly reproduce the QP spectrum.

In this respect, it is known that highly accurate descriptions of the QP properties in finite systems can be obtained with the algebraic diagrammatic construction (ADC) method of Schirmer and co-workers [22]. The most widely used third-order version $[\mathrm{ADC}(3)]$ is equivalent to the so-called extended $2 p 1 h$ Tamm-Dancoff (TDA) method [23] and allows one to predict ionization energies with an accuracy of 10-20 mhartrees in atoms and small molecules. Upon inspection of its diagrammatic content, the $\mathrm{ADC}(3)$ self-energy is seen to contain all diagrams where TDA excitations are exchanged between the three propagator lines of the intermediate $2 p 1 h$ or $2 h 1 p$ propagation. The TDA excitations are constructed through a diagonalization in either $2 p 1 h$ or $2 h 1 p$ space, and neglect ground-state correlations. However, it is clear that use of TDA leads to difficulties for extended systems. In the high-density electron gas, for example, the correct plasmon spectrum requires the RPA in the ph channel, rather than the TDA.

In order to bridge the gap between the QP description in finite and extended systems, it seems therefore necessary to
develop a formalism where the intermediate excitations in the $2 p 1 h$ or $2 h 1 p$ propagator are described at the RPA level. This can be achieved by a formalism based on employing a set of Faddeev equations, as proposed in Ref. [24] and subsequently applied to nuclear structure problems [25-27]. In this approach, the GRPA equations are solved separately in the $p h$ and $p p-h h$ subspaces. The resulting polarization and two-particle propagators are then coupled through an all-order summation that accounts completely for Pauli exchanges in the $2 p 1 h$ and $2 h 1 p$ spaces. This Faddeev RPA (FRPA) formalism is required if one wants to couple propagators at the RPA level or beyond. Apart from correctly incorporating Pauli exchange, the FRPA takes the explicit inclusion of ground-state correlations into account, and can therefore be expected to apply to both finite and extended systems. The $\mathrm{ADC}(3)$ formalism is recovered as an approximation by neglecting ground-state correlations in the intermediate excitations (i.e., replacing RPA with TDA phonons).

In this work, we consider the neon atom and apply the FRPA method to a nonrelativistic electronic problem. The relevant features of the FRPA formalism (also extensively treated in Ref. [24]), are introduced in Sec. II. The application to the neon atom is discussed in Sec. III, where we also investigate the separate effects of the ladder and ring series on the self-energy, as well as the differences between including TDA and RPA phonons. Our findings are summarized in Sec. IV. Some more technical aspects are relegated to the Appendix, where the interested reader can find the derivation of the Faddeev expansion for the $2 p 1 h-2 h 1 p$ propagator, adapted from Ref. [24]. In particular, the approach used to avoid the multiple-frequency dependence of the Green's functions is discussed in the Appendix, Sec. 1 along with its basic assumptions. The explicit expressions of the Faddeev kernels are given in the Appendix, Sec. 3. Together with Ref. [24], the Appendix provides sufficient information for an interested reader to apply the formalism.

## II. FORMALISM

The theoretical framework of the present study is that of propagator theory, where the object of interest is the SP propagator, instead of the many-body wave function. In this paper we will employ the convention of summing over repeated indices, unless specified otherwise. Given a complete orthonormal basis set of SP states, labeled by $\alpha, \beta, \ldots$, the SP propagator can be written in its Lehmann representation as [9,10]

$$
\begin{equation*}
g_{\alpha \beta}(\omega)=\sum_{n} \frac{\left(\mathcal{X}_{\alpha}^{n}\right)^{*} \mathcal{X}_{\beta}^{n}}{\omega-\varepsilon_{n}^{+}+i \eta}+\sum_{k} \frac{\mathcal{Y}_{\alpha}^{k}\left(\mathcal{Y}_{\beta}^{k}\right)^{*}}{\omega-\varepsilon_{k}^{-}-i \eta} \tag{1}
\end{equation*}
$$

where $\mathcal{X}_{\alpha}^{n}=\left\langle\Psi_{n}^{N+1}\right| c_{\alpha}^{\dagger}\left|\Psi_{0}^{N}\right\rangle\left(\mathcal{Y}_{\alpha}^{k}=\left\langle\Psi_{k}^{N-1}\right| c_{\alpha}\left|\Psi_{0}^{N}\right\rangle\right)$ are the spectroscopic amplitudes, $c_{\alpha}\left(c_{\beta}^{\dagger}\right)$ are the second-quantization destruction (creation) operators and $\varepsilon_{n}^{+}=E_{n}^{N+1}-E_{0}^{N} \quad\left(\varepsilon_{k}^{-}=E_{0}^{N}\right.$ $\left.-E_{k}^{N-1}\right)$. In these definitions, $\left|\Psi_{n}^{N+1}\right\rangle,\left|\Psi_{k}^{N-1}\right\rangle$ are the eigenstates and $E_{n}^{N+1}, E_{k}^{N-1}$ the eigenenergies of the $(N \pm 1)$-electron system. Therefore, the poles of the propagator reflect the electron affinities and ionization energies.

The SP propagator solves the Dyson equation

$$
\begin{equation*}
g_{\alpha \beta}(\omega)=g_{\alpha \beta}^{0}(\omega)+g_{\alpha \gamma}^{0}(\omega) \Sigma_{\gamma \delta}^{\star}(\omega) g_{\delta \beta}(\omega), \tag{2}
\end{equation*}
$$

which depends on the irreducible self-energy $\Sigma^{\star}(\omega)$. The latter can be written as the sum of two terms,

$$
\begin{equation*}
\Sigma_{\alpha \beta}^{\star}(\omega)=\Sigma_{\alpha \beta}^{\mathrm{HF}}+\frac{1}{4} V_{\alpha \lambda, \mu \nu} R_{\mu \nu \lambda, \gamma \delta \varepsilon}(\omega) V_{\gamma \delta, \beta \varepsilon} \tag{3}
\end{equation*}
$$

where $\Sigma^{\mathrm{HF}}$ represents the Hartree-Fock diagram for the selfenergy. In Eqs. (2) and (3), $g^{0}(\omega)$ is the SP propagator for the system of noninteracting electrons, whose Hamiltonian contains only the kinetic energy and the electron-nucleus attraction. $V_{\alpha \beta, \gamma \delta}$ represent the antisymmetrized matrix elements of the interelectron (Coulomb) repulsion. Note that in this work we consider only antisymmetrized elements of the interaction; hence our results for the ring summation always compare to the generalized $G W$ approach. Equation (3) introduces the $(2 p 1 h-2 h 1 p)$-irreducible propagator $R(\omega)$, which carries the information concerning the coupling of SP states to more complex configurations. Both $\Sigma^{\star}(\omega)$ and $R(\omega)$ have a perturbative expansion as a power series in the interelectron interaction $\hat{V}$. Some of the diagrams appearing in the expansion of $R(\omega)$ are depicted in Fig. 1, together with the corresponding contributions to the self-energy. Note that already at zero order in $R(\omega)$ (three free lines with no mutual interaction) the second-order self-energy is generated.

Different approximations to the self-energy can be constructed by summing particular classes of diagrams. In this work we are interested in the summation of rings and ladders, through the (G)RPA equations. In order to include such effects in $R(\omega)$, we first consider the polarization propagator describing excited states in the $N$-electron system:

$$
\begin{align*}
\Pi_{\alpha \beta, \gamma \delta}(\omega)= & \sum_{n \neq 0} \frac{\left\langle\Psi_{0}^{N}\right| c_{\beta}^{\dagger} c_{\alpha}\left|\Psi_{n}^{N}\right\rangle\left\langle\Psi_{n}^{N}\right| c_{\gamma}^{\dagger} c_{\delta}\left|\Psi_{0}^{N}\right\rangle}{\omega-\left(E_{n}^{N}-E_{0}^{N}\right)+i \eta} \\
& -\sum_{n \neq 0} \frac{\left\langle\Psi_{0}^{N}\right| c_{\gamma}^{\dagger} c_{\delta}\left|\Psi_{n}^{N}\right\rangle\left\langle\Psi_{n}^{N}\right| c_{\beta}^{\dagger} c_{\alpha}\left|\Psi_{0}^{N}\right\rangle}{\omega+\left(E_{n}^{N}-E_{0}^{N}\right)-i \eta}, \tag{4}
\end{align*}
$$

and the two-particle propagator, which describes the addition or removal of two electrons:

$$
\begin{align*}
g_{\alpha \beta, \gamma \delta}^{I I}(\omega)= & \sum_{n} \frac{\left\langle\Psi_{0}^{N}\right| c_{\beta} c_{\alpha}\left|\Psi_{n}^{N+2}\right\rangle\left\langle\Psi_{n}^{N+2}\right| c_{\gamma}^{\dagger} c_{\delta}^{\dagger}\left|\Psi_{0}^{N}\right\rangle}{\omega-\left(E_{n}^{N+2}-E_{0}^{N}\right)+i \eta} \\
& -\sum_{k} \frac{\left\langle\Psi_{0}^{N}\right| c_{\gamma}^{\dagger} c_{\delta}^{\dagger}\left|\Psi_{k}^{N-2}\right\rangle\left\langle\Psi_{k}^{N-2}\right| c_{\beta} c_{\alpha}\left|\Psi_{0}^{N}\right\rangle}{\omega-\left(E_{0}^{N}-E_{k}^{N-2}\right)-i \eta} \tag{5}
\end{align*}
$$

We note that the expansion of $R(\omega)$ arises from applying the equations of motion to the SP propagator (1), which is associated with the ground state $\left|\Psi_{0}^{N}\right\rangle$. Hence, all the Green's functions appearing in this expansion will also be groundstate based, including Eqs. (4) and (5). However the latter contain, in their Lehmann representations, all the relevant information regarding the excitation of $p h, p p$ and $h h$ collective modes. The approach of Ref. [24] consists in computing these quantities by solving the ring GRPA and the ladder RPA equations [10], which are depicted for propagators in Fig. 2. In the more general case of a self-consistent calculation, a fragmented input propagator can be used and the cor-


FIG. 2. (Color online) Diagrammatic equations for the polarization (above) and the two-particle (below) propagators in the GRPA approach. Dashed lines are always antisymmetrized Coulomb matrix elements and the full lines represent free (undressed) propagators.
responding dressed (G)RPA [D(G)RPA] equations [10,28] are solved [see Eqs. (A2a) and (A2b)]. Since the propagators (4) and (5) reflect two-body correlations, they still have to be coupled to an additional SP propagator in order to obtain the corresponding approximation for the $2 p 1 h$ and $2 h 1 p$ components of $R(\omega)$. This is achieved by solving two separate sets of Faddeev equations.

Taking the $2 p 1 h$ case as an example, one can split $R^{(2 p 1 h)}(\omega)$ into three different components $\bar{R}^{(i)}(\omega)$ (i $=1,2,3)$ which differ from each other by the last pair of lines that interact in their diagrammatic expansion,

$$
\begin{align*}
\bar{R}_{\alpha \beta \gamma, \mu \nu \lambda}^{(2 p 1 h)}(\omega)= & {\left[G_{\alpha \beta \gamma, \mu \nu \lambda}^{0^{>}}(\omega)-G_{\beta \alpha \gamma, \mu \nu \lambda}^{0^{>}}(\omega)\right] } \\
& +\sum_{i=1,2,3} \bar{R}_{\alpha \beta \gamma, \mu \nu \lambda}^{(i)}(\omega), \tag{6}
\end{align*}
$$

where $G^{0^{>}}(\omega)$ is the $2 p 1 h$ propagator for three freely propagating lines. These components are solutions of the following set of Faddeev equations [29]:

$$
\begin{align*}
\bar{R}_{\alpha \beta \gamma, \mu \nu \lambda}^{(i)}(\omega)= & G_{\alpha \beta \gamma, \mu^{\prime} \nu^{\prime} \lambda^{\prime}}^{0^{>}}(\omega) \Gamma_{\mu^{\prime} \nu^{\prime} \lambda^{\prime}, \mu^{\prime \prime} \nu^{\prime \prime} \lambda^{\prime \prime}}^{(i)}(\omega)\left[\bar{R}_{\mu^{\prime \prime} \nu^{\prime \prime} \lambda^{\prime \prime}, \mu \nu \lambda}^{(j)}(\omega)\right. \\
& +\bar{R}_{\mu^{\prime \prime \nu^{\prime \prime} \lambda^{\prime \prime}, \mu \nu \lambda}}^{(k)}(\omega)+G_{\mu^{\prime \prime} \nu^{\prime \prime} \lambda^{\prime \prime}, \mu \nu \lambda}^{0^{>}}(\omega) \\
& \left.-G_{\nu^{\prime \prime} \mu^{\prime \prime} \lambda^{\prime \prime}, \mu \nu \lambda}^{0^{>}}(\omega)\right], \quad i=1,2,3 \tag{7}
\end{align*}
$$

where $(i, j, k)$ are cyclic permutations of $(1,2,3)$. The interaction vertices $\Gamma^{(i)}(\omega)$ contain the couplings of a $p h, p p$ or $h h$ collective excitation and a freely propagating line. These are given in the Appendix in terms of the polarization (4) and two-particle (5) propagators. Equations (7) include RPA-like phonons and fully describe the resulting energy dependence of $R(\omega)$. However, they still neglect energy-independent contributions-even at low order in the interaction-that also correspond to relevant ground-state correlations. The latter can be systematically inserted according to


FIG. 3. (Color online) Example of one of the diagrams that are summed to all orders by means of the Faddeev equations (7) (left). The corresponding contribution to the self-energy, obtained upon insertion into Eq. (3), is also shown (right).

$$
\begin{equation*}
R_{\alpha \beta \gamma, \mu \nu \lambda}^{(2 p 1 h)}(\omega)=U_{\alpha \beta \gamma, \mu^{\prime} \nu^{\prime} \lambda^{\prime}} \bar{R}_{\mu^{\prime} \nu^{\prime} \lambda^{\prime}, \mu^{\prime \prime} \nu^{\prime \prime} \lambda^{\prime \prime}}^{(2 p 1 h)}(\omega) U_{\mu^{\prime \prime} \nu^{\prime \prime} \lambda^{\prime \prime}, \mu \nu \lambda}^{\dagger} \tag{8}
\end{equation*}
$$

where $R(\omega)$ is the propagator we employ in Eq. (3), $\bar{R}(\omega)$ is the one obtained by solving Eqs. (7), $\mathbf{U} \equiv \mathbf{I}+\Delta \mathbf{U}$, and $\mathbf{I}$ is the identity matrix. Following the algebraic diagrammatic construction method [22,23], the energy-independent term $\Delta \mathbf{U}$ was determined by expanding Eq. (8) in terms of the interaction and requiring that it satisfies perturbation theory up to first order (corresponding to third order in the self-energy). The resulting $\Delta \mathbf{U}$, employed in this work, is the same as in Ref. [23] and is reported in the Appendix, Sec. 3 for completeness. It has been shown that the additional diagrams introduced by this correction are required to obtain accurate QP properties. Equations (7) and (8) are valid only in the case in which a mean-field propagator is used to expand $R(\omega)$. This is the case of the present work, which employs Hartree-Fock SP propagators as input. The derivation of these equations for the general case of a fragmented propagator is given in the Appendix. More details about the actual implementation of the Faddeev formalism for $2 p 1 h$ and $2 h 1 p$ propagation have been presented in Ref. [24]. The calculation of the $2 h 1 p$ component of $R(\omega)$ follows completely analogous steps.

In summary, the present formalism includes the effects of $p h$ and $p p-h h$ motion to be included simultaneously, while allowing interferences between these modes. These excitations are evaluated here at the RPA level and are then coupled to each other by solving Eqs. (7). This generates diagrams like the one displayed in Fig. 3, with the caveat that two phonons are not allowed to propagate at the same time. Equations (7) also assure that Pauli correlations are properly taken into account at the $2 p 1 h$ or $2 h 1 p$ level. In addition, one can in principle employ dressed SP propagators in these equations to generate a self-consistent solution. If we neglect the ladder propagator $g^{I I}(\omega)(5)$ in this expansion, we are left with the ring series alone and the analogous physics ingredients as for the generalized $G W$ approach. However, this differs from $G G W$ due to the fact that no double counting of the second-order self-energy occurs, since the Pauli exchanges between the polarization propagator and the third line are properly accounted for (see Fig. 3). Alternatively, one can
suppress the polarization propagator to investigate the effects of $p p$ and $h h$ ladders alone.

It is instructive to replace all RPA phonons in the above equations with TDA ones; this amounts to allowing only forward-propagating diagrams in Fig. 2, and is equivalent to separate diagonalizations in the spaces of $p h, p p$, and $h h$ configurations, relative to the HF ground state. It can be shown that using these TDA phonons to sum all diagrams of the type in Fig. 3 reduces to one single diagonalization in the $2 p 1 h$ or $2 h 1 p$ space. Therefore, Eqs. (7) and (8) with TDA phonons lead directly to the "extended" $2 p 1 h$ TDA of Ref. [23], which was later shown to be equivalent to $\operatorname{ADC}(3)$ in the general ADC framework [22]. The Faddeev expansion formalism of Ref. [24] creates the possibility of going beyond the $\mathrm{ADC}(3)$ by including RPA phonons. This is more satisfactory in the limit of large systems. At the same time, the computational cost remains modest since only diagonalizations in the $2 p 1 h$ and $2 h 1 p$ spaces are required.

Note that complete self-consistency requires the use of fragmented (or dressed) propagators in the evaluation of all ingredients leading to the self-energy. This is outside the scope of the present paper, but we included partial selfconsistency by taking into account the modifications to the HF diagram obtained by employing the correlated one-body density matrix and iterating to convergence. This is relatively simple to achieve, since the $2 p 1 h$ or $2 h 1 p$ propagator is only evaluated once with the input HF propagators. Below, we will give results with and without this partial selfconsistency at the HF level.

## III. RESULTS

Calculations have been performed using two different model spaces: (1) a standard quantum-chemical Gaussian basis set, the augmented correlation-consistent polarization valence triple-zeta (aug-cc-pVTZ) set for neon [30], with Cartesian representation of the $d$ and $f$ functions; (2) a numerical basis set based on HF and subsequent discretization of the continuum, to be detailed below. The aug-cc-pVTZ basis set was used primarily to check our formalism with the $\mathrm{ADC}(3)$ result in the literature (i.e., [31], where this basis was employed). The HF+continuum basis allows us to approach, at least for the ionization energies, the results for the full SP space (basis set limit).

The HF+continuum is the same discrete model space employed previously in Refs. [11,14]. It consists of the following steps. (1) We solve on a radial grid the HF problem for the neutral atom. (2) We then add to this fixed nonlocal HF potential a parabolic potential wall of the type $U(r)=\theta(r$

TABLE I. Parameters that define the SP basis: radius of the confining wall $r_{w}$ (in atomic units) and number of orbits $n_{o}$ used for different partial waves $l$. The value of $c_{w}$ is always set to 5 a.u.

| $l$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $r_{w}$ | 2.0 | 4.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| $n_{o}$ | 12 | 21 | 10 | 10 | 5 | 5 | 5 |

TABLE II. Results with the aug-cc-pVTZ basis. The first three rows list the energies of the main SP fragments below the Fermi level, as predicted by different self-energies. FTDA (FRPA) refers to the Faddeev summation with TDA (RPA) phonons, respectively. In all cases the self-energy was corrected at third order through Eq. (8). The added "C" refers to partial self-consistency, when the static (HF-type) self-energy is consistent with the correlated density matrix. Without $C$, the pure HF self-energy was taken. In the FRPAC column the strength of the fragment is indicated in parentheses. The last row is the total electronic binding energy. The experimental values are taken from Refs. [32,33]. All energies are in atomic units.

|  | FTDA | FRPA | FTDAC | FRPAC | Expt. |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $2 p$ | -0.799 | -0.791 | -0.803 | $-0.797(0.94)$ | $-0.793(0.92)$ |
| $2 s$ | -1.796 | -1.787 | -1.802 | $-1.793(0.90)$ | $-1.782(0.85)$ |
| $1 s$ | -32.126 | -32.087 | -32.140 | $-32.102(0.86)$ | -31.70 |
| $E_{\text {tot }}$ | -128.778 | -128.772 | -128.836 | -128.840 | -128.928 |

$\left.-r_{w}\right) c_{w}\left(r-r_{w}\right)^{2}$, placed at a distance $r_{w}$ from the nucleus. The latter eigenvalue problem has a basis of discrete eigenstates. This basis is truncated by specifying some largest angular momentum $l_{\text {max }}$ and the number of virtual states for each value of $l \leq l_{\text {max }}$. (3) We solve the HF problem again, without the potential wall, in this truncated discrete space. The resulting basis set is used for the subsequent Green's function calculations.

When a sufficiently large number of states is retained after truncation, the final results should approach the basis set limit. In particular, the results should not depend on the choice of the auxiliary confining potential. This was verified in Ref. [11] for the second order, and in Ref. [14] for the $G_{0} W_{0}$ self-energy; in these cases the self-energy is sufficiently simple that extensive convergence checks can be made for various choices of the auxiliary potential. The parameters of the confining wall and the number of SP states kept in the basis set were optimized in Ref. [11], by requiring that the ionization energy is converged to about 1 mhartree for the second-order self-energy. In Ref. [14] the same choice of basis set was also seen to bring the ionization energy for the $G_{0} W_{0}$ self-energy near convergence. For completeness, the details of this basis are reported in Table I. While the self-energy in the present paper is too complicated to allow similar convergence checks, it seems safe to assume that basis set effects will affect the calculated ionization energies by at most 5 mhartrees.

In Table II we compare, for the aug-cc-pVTZ basis, the ionization energies of the main single-hole configurations when TDA or RPA phonons are employed in the Faddeev construction (labeled FTDA and FRPA, respectively, in the table). Note that use of TDA phonons corresponds to the usual $\operatorname{ADC}(3)$ self-energy. We find that the replacement of TDA with RPA phonons provides more screening, leading to slightly fewer bound poles, which are shifted toward the experimental values. This shift increases with binding energy. As discussed at the end of Sec. I, one can include consistency of the static part of the self-energy. About eight iterations are needed for convergence. This is a non-negligible correction, providing about 5 mhartrees more binding (i.e., larger ionization energies) for the valence and subvalence $2 p$ and $2 s$, 15 mhartrees for the deeply bound $1 s$, and 60 mhartrees to the total binding energy. Our converged result for the Faddeev TDA self-energy (labeled FTDAC in Table II) is in
good agreement with the $\mathrm{ADC}(3)$ value for the $2 p$ ionization energy ( -0.804 hartrees) quoted in [31], as it should be.

The analogous results obtained with the larger HF + continuum basis are given in Table III, which allows us to assess overall stability and basis set effects. We find exactly the same trends as for aug-cc-pVTZ. In particular, the reduction of ionization energies from the replacement of TDA with RPA phonons is almost independent of the basis set used, while the effect of including partial consistency is roughly halved. Overall, the ionization states are always more bound with the larger basis set; while the basis set limit could be still more bound than the present results with the HF + continuum basis set, it is likely (based on the $G_{0} W_{0}$ extrapolation in Ref. [14]) that the difference does not exceed 5 mhartrees.

As discussed in Sec. I, the FRPA self-energy contains RPA excitations of both $p h$ type (ring diagrams) and $p p-h h$ type (ladder diagrams). It is instructive to analyze their separate contributions to the final ionization energies, in order to understand how the FRPA self-energy is related to the standard $(G) G W$ self-energy. Table IV compares the results for the ionization energies, obtained with the second-order selfenergy, to different approximations for including the ring summations. As one can see, the second-order self-energy generates an $l=1$ SP energy of -0.747 mhartrees, which is 46 mhartrees above the empirical $2 p$ ionization energy. The $G_{0} W_{0}$ self-energy, which includes the ring summation with only direct Coulomb matrix elements, improves this result and brings it close to experiment. The $2 s$ behaves in a similar way. Unfortunately, including the exchange terms of the interelectron repulsion in the $G G_{0} W_{0}$ method turns out to have the opposite effect (the $2 p$ ionization energy becomes -0.712 hartrees [14]), ${ }^{1}$ and the agreement with experiment is lost. Obviously, $G G_{0} W_{0}$ is too simplistic to account for exchange in the $p h$ channel.

With the FRPA (ring) self-energy one can go one step further and employ the Faddeev expansion to also force

[^0]TABLE III. Results with the HF+continuum basis set from Table I. See also the caption of Table II.

|  | FTDA | FRPA | FTDAC | FRPAC | Expt. |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $2 p$ | -0.807 | -0.799 | -0.808 | $-0.801(0.94)$ | $-0.793(0.92)$ |
| $2 s$ | -1.802 | -1.792 | -1.804 | $-1.795(0.91)$ | $-1.782(0.85)$ |
| $1 s$ | -32.136 | -32.097 | -32.142 | $-32.104(0.81)$ | -31.70 |
| $E_{\text {tot }}$ | -128.863 | -128.857 | -128.883 | -128.888 | -128.928 |

proper Pauli exchange correlations in the $2 p 1 h$ and $2 h 1 p$ spaces. As shown in Table IV, this enhances the screening due to the exchange interaction terms, leading to even less binding for the $2 s$ and $2 p$ electrons. The corrections relative to the second-order self-energy can be large (100 mhartrees for the $2 s$ state) and in the direction away from the experimental value. We also note that the larger shift, in the $2 s$ orbit, is accompanied by an increase of the fragmentation (see Fig. 4 and Table IV). Similar observations were also made in Ref. [14] for other atoms. In general, ring summations in the direct channel alone bring the quasihole peaks close to the experiment. This agreement is then spoiled as soon as one includes proper exchange terms in the selfenergy. On the other hand, exchange in the $p h$ channel is required to reproduce the correct Rydberg sequence in the excitation spectrum of neutral atoms. So further corrections must arise from other diagrams, and obviously the summation of ladder diagrams can play a relevant role, since these contribute to the expansion of the self-energy at the same level as that of the ring diagrams.

The result when including only ladder-type RPA phonons in the FRPA self-energy is also shown in Table IV. One can see that $p p$ and $h h$ ladders do actually work in the opposite way to the $p h$ channel ring diagrams, and have the same order of magnitude with, e.g., a shift of 66 mhartrees for the $2 s$ state relative to the second-order result. When combined with the ring diagrams in the full FRPA self-energy, the agreement with experiment is restored again. Note that the final result cannot be obtained by adding the contributions of rings and ladders, but depends nontrivially on the interplay between these classes of diagrams, thereby pointing to significant interference effects.

With the FRPA (ring) self-energy, where only the contributions of the $p h$ channel are included, the main peaks listed in Table IV are not only considerably shifted but also strongly depleted, e.g., a strength of only 0.56 for the main $2 s$ peak. The complete spectral function for the $l=0$ strength in Fig. 4 shows that the depletion of the main fragment is accompanied by strong fragmentation over several states. While correlation effects are overestimated in FRPA (ring), they are suppressed in FRPA (ladder), where only the $p p$ and $h h$ ladders are included in the self-energy. In this case one finds a spectral distribution closer to the HF one, with a main $2 s$ fragment of strength 0.95 and less fragmentation than the second-order self-energy. The spectral distribution generated by the complete FRPA self-energy is again a combination of the above effects. The strength of the deeply bound $1 s$ orbital behaves in an analogous way. The strength of the main peak is reduced but several satellite levels appear due to the mixing with $2 h 1 p$ configurations. In
all the calculations reported in Fig. 4 we found a summed $l=0$ strength exceeding 0.98 in the interval [ -40 hartrees, -30 hartrees] which can be associated with the $1 s$ orbital, and this remains true even in the presence of strong correlations using the FRPA (ring) self-energy. Of course, the mixing with $3 h 2 p$ configurations, not included in this work, may further contribute to the fragmentation pattern in this energy region.

## IV. CONCLUSIONS AND DISCUSSION

In conclusion, the electronic self-energy for the Ne atom was computed by the FRPA method, which includes-simultaneously-the effects of both ring and ladder diagrams. This was accomplished by employing an expansion of the self-energy based on a set of Faddeev equations. This technique was originally proposed for nuclear structure applications [24] and is described in the Appendix. At the level of the self-energy one sums all diagrams where the three propagator lines of the intermediate $2 p 1 h$ or $2 h 1 p$ propagation are connected by repeated exchange of RPA excitations in both the $p h, p p$ and $h h$ channels. This differs from the $\mathrm{ADC}(3)$ formalism in the fact that the exchanged excitations are of the RPA type, rather than the TDA type, and therefore take ground-state correlations effects into account. The coupling to the external points of the self-energy uses the same modified vertex as in $\operatorname{ADC}(3)$, which must be introduced to

TABLE IV. Energy (in a.u.) and strength (numbers in parentheses) of the main fragments in the spectral function of neon, generated by different self-energies. Results for the HF+continuum basis. Consecutive rows refer to (1) HF; (2) second-order self-energy; (3) $G_{0} W_{0}$ results from Ref. [14]; (4) FRPA self-energy with only $p h$ rings retained; (5) FRPA self-energy with only $p p-h h$ ladders retained; (6) complete FRPA self-energy. In all FRPA results the selfenergy was corrected at third order through Eq. (8). The static selfenergy was pure HF (no partial self-consistency). The experimental values are taken from Refs. [32,33].

|  | $1 s$ | $2 s$ | $2 p$ |
| :---: | :---: | :---: | :---: |
| HF | $-32.77(1.00)$ | $-1.931(1.00)$ | $-0.850(1.00)$ |
| $\Sigma^{(2)}$ | $-31.84(0.74)$ | $-1.736(0.88)$ | $-0.747(0.91)$ |
| $G_{0} W_{0}$ | $-31.14(0.85)$ | $-1.774(0.91)$ | $-0.801(0.94)$ |
| FRPA (ring) | $-31.82(0.73)$ | $-1.636(0.56)$ | $-0.730(0.80)$ |
| FRPA (ladder) | $-32.04(0.87)$ | $-1.802(0.95)$ | $-0.781(0.96)$ |
| FRPA | $-32.10(0.81)$ | $-1.792(0.91)$ | $-0.799(0.94)$ |
| Expt. | -31.70 | $-1.782(0.85)$ | $-0.793(0.92)$ |



FIG. 4. (Color online) Spectral function for the $s$ states in Ne obtained with various self-energy approximations. From the top down: the second-order $\left(\Sigma^{(2)}\right)$, the FRPA (ring), the FRPA (ladder), and the full FRPA self-energies. The strength is given relative to the Hartree-Fock occupation of each shell. Only fragments with strength larger than $Z>0.005$ are shown.
include consistently all third-order perturbative contributions.

The resulting main ionization energies in the neon atom are at least of the same quality, and even somewhat improved, compared to the $\operatorname{ADC}(3)$ result. Note that, numerically, the FRPA can be implemented as a diagonalization in $2 p 1 h-2 h 1 p$ space, implying about the same cost as an $\mathrm{ADC}(3)$ calculation. The present study also shows that in localized electronic systems subtle cancellations occur between the ring and ladder series. In particular, only a combination of the ring and ladder series leads to sensible results, as the separate ring series tends to correct the second-order result in the wrong direction.

Since the limit to extended systems requires a RPA treatment of excitations, the FRPA method holds promise of bridging the gap between accurate descriptions of quasiparticles in both finite and extended systems. In particular, the $G W$ treatment of the electron gas has been shown to yield excellent binding energies, but poor quasiparticle properties [34,35]. Further progress beyond $G W$ theory requires a consistent incorporation of exchange in the $p h$ channel. The FRPA technique may be highly relevant in this respect. A common framework for calculating accurate QP properties in both finite and extended systems is also important for constraining functionals in quasiparticle density functional theory [7].

Finally, complete self-consistency requires sizable computational efforts for bases as large as the HF+continuum


FIG. 5. (Color online) Diagrammatic representation of Eq. (A3). Double lines represent fully dressed SP Green's functions, which, however, are restricted to propagate only in one time direction [i.e., only one of the two terms on the right-hand side of Eq. (1) is retained]. The Faddeev equations (A9) and (7) allow for both forward and backward propagation of the phonons $\Gamma^{(\pi)}(\omega)$ and $\Gamma^{(I I)}(\omega)$ as long as these do not overlap in time. For the propagators, time ordering is assumed with forward propagation in the upward direction.
basis used here. It would nevertheless represent an important extension of the present work, since it is related to the satisfaction of conservation laws [36,37]. These issues will be addressed in future work.

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## APPENDIX: FADDEEV EXPANSION OF THE $2 p 1 h-2 h 1 p$ PROPAGATOR

Although only the one-energy (or two-time) part of the $2 p 1 h-2 h 1 p$ propagator enters the definition of the selfenergy, Eq. (3), a full resummation of all its diagrammatic contributions would require explicit treatment of the dependence on three separate frequencies, corresponding to the three final lines in the expansion of $R(\omega)$. For example, inserting the RPA ring (ladder) series in $R(\omega)$ implies the propagation of a $p h(p p-h h)$ pair of lines both forward and backward in time, while the third line remains unaffected. A way out of this situation is to solve the Bethe-Salpeter-like equations for the polarization and ladder propagators separately and then to couple them to the additional line. If it is assumed that different phonons do not overlap in time, the three lines in between phonon structures will propagate only in one time direction (see Figs. 3 and 5). In this situation, the integration over several frequencies can be circumvented by following the prescription detailed in the next section. This approach will be discussed in the following for the general case of a fully fragmented propagator, in order to derive a set of Faddeev equations capable of dressing the SP propagator self-consistently. Since the forward $(2 p 1 h)$ and the backward ( $2 h 1 p$ ) parts of $R(\omega)$ decouple into two analogous sets of equations, it is sufficient to focus on the first case alone.

## 1. Multiple-frequency integrals

We start by considering the effective interactions in the $p h$ and $p p-h h$ channels that correspond to Eqs. (4) and (5) stripped of the external legs. In the present work, these are the following two-time objects:

$$
\begin{align*}
\Gamma_{\alpha \beta, \gamma \delta}^{(\pi)}(\omega) & =V_{\alpha \delta, \beta \gamma}+V_{\alpha \nu, \beta \mu} \Pi_{\mu \nu, \rho \sigma}^{I I}(\omega) V_{\rho \delta, \sigma \gamma} \\
& =V_{\alpha \delta, \beta \gamma}+\sum_{n} \frac{\left(\Omega_{\alpha \beta}^{n}\right)^{*} \Omega_{\gamma \delta}^{n}}{\omega-\varepsilon_{n}^{\pi}+i \eta}-\sum_{n^{\prime}} \frac{\Omega_{\beta \alpha}^{n^{\prime}}\left(\Omega_{\delta \gamma}^{n^{\prime}}\right)^{*}}{\omega+\varepsilon_{n^{\prime}}^{\pi}-i \eta} \tag{A1a}
\end{align*}
$$

$$
\begin{align*}
\Gamma_{\alpha \beta, \gamma \delta}^{(I I)}(\omega) & =V_{\alpha \beta, \gamma \delta}+V_{\alpha \beta, \mu \nu} g_{\mu \nu, \rho \sigma}^{I I}(\omega) V_{\rho \sigma, \gamma \delta} \\
& =V_{\alpha \beta, \gamma \delta}+\sum_{n} \frac{\left(\Delta_{\alpha \beta}^{+n}\right)^{*} \Delta_{\gamma \delta}^{+n}}{\omega-\varepsilon_{n}^{\Gamma+}+i \eta}-\sum_{k} \frac{\Delta_{\alpha \beta}^{-, k}\left(\Delta_{\gamma \delta}^{-, k}\right)^{*}}{\omega-\varepsilon_{k}^{\Gamma-}-i \eta}, \tag{A1b}
\end{align*}
$$

where the residues and poles for the ring series are $\Omega_{\alpha \beta}^{n}=\left\langle\Psi_{n}^{N}\right| c_{\mu}^{\dagger} c_{\nu}\left|\Psi_{0}^{N}\right\rangle V_{\mu \beta, \nu \alpha} \quad$ and $\quad \varepsilon_{n}^{\pi}=E_{n}^{N}-E_{0}^{N} . \quad$ For $\quad$ the
ladders, $\quad \Delta_{\alpha \beta}^{+, n}=\left\langle\Psi_{n}^{N+2}\right| c_{\mu}^{\dagger} c_{\nu}^{\dagger}\left|\Psi_{0}^{N}\right\rangle V_{\mu \nu, \alpha \beta} \quad$ and $\quad \Delta_{\alpha \beta}^{-, k}$ $=V_{\alpha \beta, \mu \nu}\left\langle\Psi_{k}^{N-2}\right| c_{\mu} c_{\nu}\left|\Psi_{0}^{N}\right\rangle$, with poles $\varepsilon_{n}^{\Gamma+}=E_{n}^{N+2}-E_{0}^{N}$ and $\varepsilon_{k}^{\Gamma-}$ $=E_{0}^{N}-E_{k}^{N-2}$. Equations (A1) solve the ring and ladder RPA equations, respectively,

$$
\begin{align*}
\Gamma_{\alpha \beta, \gamma \delta}^{(\pi)}(\omega)= & V_{\alpha \delta, \beta \gamma}+\Gamma_{\alpha \beta, \mu \nu}^{(\pi)}(\omega) \int \frac{d \omega_{1}}{2 \pi i} g_{\mu \rho}\left(\omega+\omega_{1}\right) \\
& \times g_{\sigma \nu}\left(\omega_{1}\right) V_{\rho \delta, \sigma \gamma},  \tag{A2a}\\
\Gamma_{\alpha \beta, \gamma \delta}^{(I I)}(\omega)= & V_{\alpha \beta, \gamma \delta}+\Gamma_{\alpha \beta, \mu \nu}^{(I I)}(\omega) \frac{1}{2} \int \frac{d \omega_{1}}{-2 \pi i} g_{\mu \rho}\left(\omega-\omega_{1}\right) \\
& \times g_{\nu \sigma}\left(\omega_{1}\right) V_{\rho \sigma, \gamma \delta} . \tag{A2b}
\end{align*}
$$

To display how the phonons (A1a) and (A1b) enter the expansion of $R(\omega)$, we perform explicitly the frequency integrals for the diagram of Fig. 5. Since it is assumed that the separate propagator lines evolve only in one time direction, only the forward-going $\left[g^{>}(\omega)\right]$ or the backward-going [ $g^{<}(\omega)$ ] part of Eq. (1) must be included for particles and holes, respectively. After some algebra, one obtains

$$
\begin{align*}
& \Delta R_{\alpha \beta \gamma, \mu \nu \lambda}(\omega)=\int \frac{d \omega_{1}}{2 \pi i} \frac{d \omega_{2}}{2 \pi i} \frac{d s}{2 \pi i} \frac{d \Omega}{2 \pi i} g_{\alpha \alpha_{1}}^{>}(\omega-\Omega) g_{\beta \beta_{1}}^{>}\left(\omega_{1}\right) g_{\gamma_{1} \gamma}^{<}\left(\omega_{1}-\Omega\right) \Gamma_{\beta_{1} \gamma_{1}, \sigma_{1} \lambda_{1}}^{(\pi)}(\Omega) g_{\sigma_{1} \sigma_{2}}^{>}(s+\Omega-\omega) \\
& \times \frac{1}{2} \Gamma_{\alpha_{1} \sigma_{2}, \mu_{1} \nu_{1}}^{(I I)}(s) g_{\mu_{1} \mu}^{>}\left(s-\omega_{2}\right) g_{\nu_{1} \nu}^{>}\left(\omega_{2}\right) g_{\lambda \lambda_{1}}^{<}(s-\omega) \\
& =\frac{\left(\mathcal{X}_{\alpha}^{n_{1}} \mathcal{X}_{b}^{n_{2}} \mathcal{Y}_{\gamma}^{k_{3}}\right)^{*} \mathcal{X}_{\alpha_{1}}^{n_{1}} \mathcal{X}_{\beta}^{n_{2}} \mathcal{Y}_{\gamma_{1}}^{k_{3}}}{\omega-\left(\varepsilon_{n_{1}}^{+}+\varepsilon_{n_{4}}^{+}-\varepsilon_{k_{3}}^{-}\right)+i \eta} \\
& \times\left\{V_{\beta_{1} \lambda_{1}, \gamma_{1} \sigma_{1}}+\frac{\left(\Omega_{\beta_{1} \gamma_{1}}^{n_{\pi}}\right)^{*} \Omega_{\sigma_{1} \lambda_{1}}^{n_{\pi}}}{\omega-\left(\varepsilon_{n_{1}}^{+}+\varepsilon_{n_{\pi}}^{\pi}\right)+i \eta}+\frac{\left[\omega-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{1}}^{+}-\varepsilon_{n_{2}}^{+}+\varepsilon_{k_{3}}^{-}-\varepsilon_{n_{4}}^{+}+\varepsilon_{k_{7}}^{-}\right] \Omega_{\gamma_{1} \beta_{1}}^{n_{1}^{\prime}}\left(\Omega_{\lambda_{1} G s_{1}}^{n_{1}^{\prime}}\right)^{*}}{\left[-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{2}}^{+}+\varepsilon_{k_{3}}^{-}\right]\left[-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{4}}^{+}+\varepsilon_{k_{7}}^{-}\right]}\right\} \\
& \times \frac{\left(\mathcal{X}_{\sigma_{1}}^{n_{4}}\right)^{*} \mathcal{X}_{\sigma_{2}}^{n_{4}}}{\omega-\left(\varepsilon_{n_{1}}^{+}+\varepsilon_{n_{4}}^{+}-\varepsilon_{k_{7}}^{-}\right)+i \eta} \\
& \times \frac{1}{2}\left\{V_{\alpha_{1} \sigma_{2}, \mu_{1} \nu_{1}}+\frac{\left(\Delta_{\alpha_{1} \sigma_{1}}^{+, n_{I}}\right)^{*} \Delta_{\mu_{\nu_{1}}}^{+, n_{I I}}}{\omega-\left(\varepsilon_{n_{I I}}^{++}-\varepsilon_{k_{7}}^{-}\right)+i \eta}+\frac{\left[\omega+\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{1}}^{+}-\varepsilon_{n_{4}}^{+}-\varepsilon_{n_{5}}^{+}-\varepsilon_{n_{6}}^{+}+\varepsilon_{k_{7}}^{-}\right] \Delta_{\alpha_{\sigma_{2}}}^{-k_{I I}}\left(\Delta_{\mu_{1} \nu_{1}}^{-k_{I I}}\right)^{*}}{\left[\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{1}}^{+}-\varepsilon_{n_{4}}^{+}\right]\left[\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{5}}^{+}-\varepsilon_{n_{6}}^{+}\right]}\right\} \\
& \times \frac{\left(\mathcal{X}_{\mu_{1}}^{n_{5}} \mathcal{X}_{\nu_{1}}^{n_{6}} \mathcal{J}_{\lambda_{1}}^{k_{7}}\right)^{*} \mathcal{X}_{\mu}^{n_{5}} \mathcal{X}_{\nu}^{n_{v}} \mathcal{Y}_{\lambda}^{k_{7}}}{\omega-\left(\varepsilon_{n_{5}}^{+}+\varepsilon_{n_{6}}^{+}-\varepsilon_{k_{7}}^{-}\right)+i \eta}-\frac{1}{\omega-\left(\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{4}}^{+}-\varepsilon_{n_{\pi}^{\prime}}^{\pi}\right)-i \eta} \\
& \times \frac{\left(\mathcal{X}_{\alpha}^{n_{1}} \mathcal{X}_{\beta}^{n_{2}} \mathcal{Y}_{\gamma}^{k_{3}}\right)^{*} \mathcal{X}_{\alpha_{1}}^{n_{1}} \mathcal{X}_{\beta_{1}}^{n_{2}} \mathcal{Y}_{\gamma_{1}}^{k_{3}} \Omega_{\gamma_{1} \beta_{1}}^{n_{1}^{\prime}}\left(\Omega_{\lambda_{1} \sigma_{1}}^{n_{\pi}^{\prime}}\right)^{*}\left(\mathcal{X}_{\sigma_{1}}^{n_{4}}\right)^{*} \mathcal{X}_{\sigma_{2}}^{n_{4}} \Delta_{\alpha_{1} \sigma_{2}}^{-k_{I}}\left(\Delta_{\mu_{1}}^{-\mu_{\nu_{1}}}\right)\left(\mathcal{X}_{\mu_{1}}^{n_{5}} \mathcal{X}_{\nu_{1}}^{n_{6}} \mathcal{J}_{\lambda_{1}}^{k_{7}}\right)^{*} \mathcal{X}_{\mu}^{n_{5}} \mathcal{X}_{\nu}^{n_{6}} \mathcal{Y}_{\lambda}^{k_{7}}}{\left[-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{2}}^{+}+\varepsilon_{k_{3}}^{-}\right]\left[-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{4}}^{+}+\varepsilon_{k_{7}}^{-}\right]\left[\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{1}}^{+}-\varepsilon_{n_{2}}^{+}\right]\left[\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{5}}^{+}-\varepsilon_{n_{6}}^{+}\right]} . \tag{A3}
\end{align*}
$$

The last term in this expression contains an energy denominator that involves the simultaneous propagation of two phonons. Thus, it will be discarded in accordance with our
assumptions. It must be stressed that similar terms, with overlapping phonons, imply the explicit contribution of at least $3 p 2 h$ or $3 h 2 p$. A proper treatment of these would re-
quire a nontrivial extension of the present formalism, which is beyond the scope of this paper.

The remaining part in Eq. (A3) is the relevant contribution for our purposes. This has the correct energy dependence of a product of denominators that correspond to the intermediate steps of propagation. All of these involve configurations that have at most $2 p 1 h$ character although
ground-state correlations are implicitly included by resumming the RPA series. Still, this term does not factorize into a product of separate Green's functions due to the summations over the fragmentation indices $n_{i}$ and $k_{i}$ [labeling the eigenstates of the $(N \pm 1)$-electron systems]. This is overcome if one defines the matrices $\mathbf{G}^{0>}(\omega), \boldsymbol{\Gamma}^{(1,2)}(\omega)$, and $\boldsymbol{\Gamma}^{(3)}(\omega)$, with elements (no implicit summation is used)

$$
\begin{align*}
& G_{\alpha n_{\alpha} \beta n_{\beta} \gamma k_{\gamma}{ }^{\mu n}{ }_{\mu} \mu_{\nu} n_{\nu} \lambda_{\lambda}}^{0>}(\omega)=\delta_{n_{\alpha^{\prime}} n_{\mu}} \delta_{n_{\beta}, n_{\nu}} \delta_{k_{\gamma} k_{\lambda}} \frac{\left(\mathcal{X}_{\alpha}^{n_{\alpha}} \mathcal{X}_{\beta}^{n} \mathcal{Y}_{\gamma}^{k}\right)^{*} \mathcal{X}_{\mu}^{n_{\alpha}} \mathcal{X}_{\nu}^{n_{\beta}} \mathcal{Y}_{\lambda}^{k_{\gamma}}}{\omega-\left(\varepsilon_{n_{\alpha}}^{+}+\varepsilon_{n_{\beta}}^{+}-\varepsilon_{k_{\gamma}}^{-}\right)+i \eta}, \\
& \Gamma_{\alpha n_{\alpha} \beta n_{\beta} \gamma k_{\gamma} ; \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}^{(1)>}(\omega)=\Gamma_{\beta n_{\beta} \alpha n_{\alpha} \gamma k_{\gamma} \nu \nu n_{\nu} \mu n_{\mu} \lambda k_{\lambda}}^{(2)}(\omega) \\
& =\frac{\delta_{\alpha, \mu} \delta_{n_{\alpha} n_{\mu}}}{\sum_{\sigma}\left|\mathcal{X}_{\sigma}^{n_{\alpha}}\right|^{2}}\left(V_{\beta \lambda, \gamma \nu}+\sum_{n_{\pi}} \frac{\left(\Omega_{\beta \gamma}^{n}\right)^{*} \Omega_{\nu \lambda}^{n_{\pi}}}{\omega-\left(\varepsilon_{n_{\alpha}}^{+}+\varepsilon_{n_{\pi}}^{\pi}\right)+i \eta}+\sum_{n_{\pi}^{\prime}} \frac{\left(\omega-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{\alpha}}^{+}-\varepsilon_{n_{\beta}}^{+}+\varepsilon_{k_{\gamma}}^{-}-\varepsilon_{n_{\nu}}^{+}+\varepsilon_{k_{\lambda}}^{-}\right) \Omega_{\gamma \beta}^{n_{\pi}^{\prime}}\left(\Omega_{\lambda \nu}^{n_{\pi}^{\prime}}\right)^{*}}{\left(-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{\beta}}^{+}+\varepsilon_{k_{\gamma}}^{-}\right)\left(-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{\nu}}^{+}+\varepsilon_{k_{\lambda}}^{-}\right)}\right), \\
& \Gamma_{\alpha n_{\alpha} \beta n_{\beta} \gamma k_{\gamma} ; \mu n_{\mu}{ }^{\nu n}{ }_{\nu} \lambda k_{\lambda}}^{(3)}(\omega) \\
& =\frac{\delta_{\gamma, \lambda} \delta_{k_{\gamma} k_{\lambda}}}{2 \sum_{\sigma}\left|\mathcal{Y}_{\sigma}^{k_{\gamma}}\right|^{2}}\left(V_{\alpha \beta, \mu \nu}+\sum_{n_{I I}} \frac{\left(\Delta_{\alpha \beta}^{+, n_{I I}}\right)^{*} \Delta_{\mu \nu}^{+, n_{I I}}}{\omega-\left(\varepsilon_{n_{I I}}^{\Gamma+}-\varepsilon_{k_{\gamma}}^{-}\right)+i \eta}+\sum_{k_{I I}} \frac{\left(\omega+\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{\alpha}}^{+}-\varepsilon_{n_{\beta}}^{+}-\varepsilon_{n_{\mu}}^{+}-\varepsilon_{n_{\nu}}^{+}+\varepsilon_{k_{\gamma}}^{-}\right) \Delta_{\alpha \beta}^{-, k_{I I}}\left(\Delta_{\mu \nu}^{-, k_{I I}}\right)^{*}}{\left(\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{\alpha}}^{+}-\varepsilon_{n_{\beta}}^{+}\right)\left(\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{\mu}}^{+}-\varepsilon_{n_{\nu}}^{+}\right)}\right) .
\end{align*}
$$

In these definitions, the row and column indices are ordered to represent at first two quasiparticle lines and then a quasihole. The index $i$ in $\Gamma^{(i)>}$ refers to the line that propagates independently along with the phonon. Using Eqs. (A4), the first term on the right-hand side of Eq. (A3) can be written as

$$
\begin{equation*}
\Delta R_{\alpha \beta \gamma, \mu \nu \lambda}^{(2 p 1 h)}(\omega)=\sum_{\substack{n_{\alpha} n_{\beta} k_{\gamma} \\ n_{\mu} n_{\nu} k_{\lambda}}}\left[\mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(1)>}(\omega) \mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(3)>}(\omega) \mathbf{G}^{0>}(\omega)\right]_{\alpha n_{\alpha} \beta n_{\beta} \gamma k_{\gamma} \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}} . \tag{A5}
\end{equation*}
$$

Equation (A5) generalizes to diagrams involving any number of phonon insertions, as long as the terms involving two or more simultaneous phonons are dropped. Based on this relation, we use the following prescription to avoid performing integrals over frequencies. One extends all the Green's functions to objects depending not only on the SP basis indices ( $\alpha, \beta, \gamma$ ) but also on the indices labeling quasiparticles and holes ( $n_{i}$ and $k_{i}$ ). Whether a given argument represents a particle or a hole depends on the type of line being propagated. At this point, one can perform calculations working with only two-time quantities. The standard propagator is recovered at the end by summing the "extended" one over the quasiparticle or quasihole indices.

## 2. Faddeev expansion

The $2 p 1 h-2 h 1 p$ propagator that includes the full resummation of both the ladder and ring diagrams at the (G)RPA level is the solution of the following Bethe-Salpeter-like equation:

$$
\begin{align*}
R_{\alpha \beta \gamma, \mu \nu \lambda}\left(\omega_{1}, \omega_{2}, \omega_{3}\right)= & {\left[g_{\alpha \mu}\left(\omega_{1}\right) g_{\beta \nu}\left(\omega_{2}\right)-g_{\beta \mu}\left(\omega_{2}\right) g_{\alpha \nu}\left(\omega_{1}\right)\right] g_{\lambda \gamma}\left(-\omega_{3}\right) } \\
& +\left(g_{\beta \beta_{1}}\left(\omega_{2}\right) g_{\gamma_{1} \gamma}\left(-\omega_{3}\right) V_{\beta_{1} \sigma, \gamma_{1} \rho} \int \frac{d s}{2 \pi i} R_{\alpha \rho \sigma, \mu \nu \lambda}\left(\omega_{1}, s, \omega_{2}+\omega_{3}-s\right)\right. \\
& +g_{\alpha \alpha_{1}}\left(\omega_{1}\right) g_{\gamma_{1} \gamma}\left(-\omega_{3}\right) V_{\alpha_{1} \sigma, \gamma_{1} \rho} \int \frac{d s}{2 \pi i} R_{\rho \beta \sigma, \mu \nu \lambda}\left(s, \omega_{2}, \omega_{1}+\omega_{3}-s\right) \\
& \left.+\frac{1}{2} g_{\alpha \alpha_{1}}\left(\omega_{1}\right) g_{\beta \beta_{1}}\left(\omega_{2}\right) V_{\alpha_{1} \beta_{1}, \rho \sigma} \int \frac{d s}{-2 \pi i} R_{\rho \sigma \gamma, \mu \nu \lambda}\left(s, \omega_{1}+\omega_{2}-s, \omega_{3}\right)\right) . \tag{A6}
\end{align*}
$$

If this equation is solved, a double integration of $R\left(\omega_{1}, \omega_{2}, \omega_{3}\right)$ will yield the two-time propagator $R(\omega)$ contributing to Eq. (3).

However, the numerical solution of Eq. (A6) appears beyond reach of the present day computers and one needs to avoid dealing directly with multiple-frequency integrals. The strategy used is to first solve the RPA Eqs. (A2a) and (A2b) separately. Once this is done it is necessary to rearrange the series (A6) in such a way that only the resummed phonons appear. Following the formalism introduced by Faddeev $[29,38]$, we identify the components $R^{(i)}(\omega)$ with the three terms between round brackets in Eq. (A6). By employing Eqs. (A2a) and (A2b) one is led to the following set of equations: ${ }^{2}$

$$
\begin{align*}
R_{\alpha \beta \gamma, \mu \nu \lambda}^{(i)}\left(\omega_{1}, \omega_{2}, \omega_{3}\right)= & g_{\alpha \alpha_{1}}\left(\omega_{1}\right) g_{\beta \beta_{1}}\left(\omega_{2}\right) g_{\gamma_{1} \gamma}\left(-\omega_{3}\right) \int \frac{d s_{1} d s_{2} d s_{3}}{2 \pi i} \Gamma_{\alpha_{1} \beta_{1} \gamma_{1}, \mu_{1} \nu_{1} \lambda_{1}}^{(i)}\left(\omega_{1}, \omega_{2}, \omega_{3} ; s_{1}, s_{2}, s_{3}\right) \\
& \times\left\{\left[g_{\mu_{1} \mu} \mu\left(s_{1}\right) g_{\nu_{1} \nu}\left(s_{2}\right)-g_{\nu_{1} \mu}\left(s_{2}\right) g_{\mu_{1} \nu}\left(s_{1}\right)\right] g_{\lambda_{1}}\left(-s_{3}\right)\right. \\
& \left.+R_{\mu_{1} \nu_{1} \lambda_{1}, \mu \nu \lambda}^{(j)}\left(s_{1}, s_{2}, s_{3}\right)+R_{\mu_{1} \nu_{1} \lambda_{1}, \mu \nu \lambda}^{(k)}\left(s_{1}, s_{2}, s_{3}\right)\right\}, \quad i=1,2,3, \tag{A7}
\end{align*}
$$

where $(i, j, k)$ are cyclic permutations of $(1,2,3)$ and the interaction vertices $\Gamma^{(i)}\left(\omega_{1}, \omega_{2}, \omega_{3}\right)$ are given by

$$
\begin{align*}
\Gamma_{\alpha \beta \gamma, \mu \nu \lambda}^{(1)}\left(\omega_{1}, \omega_{2}, \omega_{3} ; \omega_{4}, \omega_{5}, \omega_{6}\right) & =\Gamma_{\beta \alpha \gamma, \nu \mu \lambda}^{(2)}\left(\omega_{2}, \omega_{1}, \omega_{3} ; \omega_{5}, \omega_{4}, \omega_{6}\right) \\
& =\delta\left(\omega_{1}-\omega_{4}\right) \delta\left(\omega_{2}+\omega_{3}-\omega_{5}-\omega_{6}\right) g_{\alpha \mu}^{-1}\left(\omega_{1}\right) \Gamma_{\beta \gamma, \nu \lambda}^{(\pi)}\left(\omega_{2}+\omega_{3}\right),  \tag{A8a}\\
\Gamma_{\alpha \beta \gamma, \mu \nu \lambda}^{(3)}\left(\omega_{1}, \omega_{2}, \omega_{3} ; \omega_{4}, \omega_{5}, \omega_{6}\right)= & \frac{1}{2} \delta\left(\omega_{3}-\omega_{6}\right) \delta\left(\omega_{1}+\omega_{2}-\omega_{4}-\omega_{5}\right) g_{\lambda \gamma}^{-1}\left(-\omega_{3}\right) \Gamma_{\alpha \beta, \mu \nu}^{(I I)}\left(\omega_{1}+\omega_{2}\right) . \tag{A8b}
\end{align*}
$$

Finally, we apply the prescription of the Appendix, Sec. 1 and substitute for $R\left(\omega_{1}, \omega_{2}, \omega_{3}\right)$ its extended but two-time version $R(\omega)$. This leads to the following set of Faddeev equations which propagate $2 p 1 h$ forward in time:

$$
\begin{align*}
\bar{R}_{\alpha n_{\alpha} \beta n_{\beta} \gamma k_{\gamma} \dot{\prime} \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}^{(i)}(\omega)= & G_{\alpha n_{\alpha} \beta n_{\beta} \gamma k_{\gamma^{\prime}} \alpha^{\prime} n_{\alpha}^{\prime} \beta^{\prime} n_{\beta}^{\prime} \gamma^{\prime} k_{\gamma}^{\prime}}(\omega) \Gamma_{\alpha^{\prime} n_{\alpha}^{\prime} \beta^{\prime} n_{\beta}^{\prime} \gamma^{\prime} k_{\gamma^{\prime}, \mu^{\prime} n_{\mu}^{\prime} \nu^{\prime} n_{v}^{\prime} \lambda^{\prime} k_{\lambda}^{\prime}}^{(i)}(\omega)} \\
& \times\left[G_{\mu^{\prime} n_{\mu}^{\prime} \nu^{\prime} n_{\nu}^{\prime} \lambda^{\prime} k_{\lambda}^{\prime} ; \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}^{0^{\prime}}(\omega)-G_{\nu^{\prime} n_{\nu}^{\prime} \mu^{\prime} n_{\nu^{\prime}}^{\prime} \lambda^{\prime} k_{\lambda}^{\prime} ; \mu n_{\mu^{\prime}} n_{\nu} \lambda k_{\lambda}}(\omega)+\bar{R}_{\mu^{\prime} n_{\mu}^{\prime} \nu^{\prime} n_{v}^{\prime} \lambda^{\prime} k_{\lambda}^{\prime} ; \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}^{(j)}(\omega)\right. \\
& \left.+\bar{R}_{\mu^{\prime} n_{\mu}^{\prime} \nu^{\prime} n_{v}^{\prime} \lambda_{v}^{\prime} k_{\lambda}^{\prime} ; \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}^{(k)}(\omega)\right] \quad i=1,2,3 . \tag{A9}
\end{align*}
$$

Since the full energy dependence is retained in Eq. (A7), the self-energy corresponding to its solution, $R\left(\omega_{1}, \omega_{2}, \omega_{3}\right)$, is complete up to third order [see Eq. (3)]. This is no longer the case after the reduction to a two-time propagator. In particular, the approximation that only forward $2 p 1 h$ propagation is allowed between different phonons implies that all diagrams with different time propagation of their external lines are neglected in Eqs. (A9). However, these terms are not energy dependent and can be reinserted in a systematic way a posteriori as in Eq. (8). In the general case,
and

$$
\begin{equation*}
U_{\alpha \beta \gamma ; \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}^{(2 p p 1 h)}=\delta_{\alpha \mu} \delta_{\beta \nu} \delta_{\gamma \lambda}+\Delta U_{\alpha \beta \gamma ; \mu n_{\mu} n_{\nu} \lambda k_{\lambda}}^{(2 p 11)} \tag{A11}
\end{equation*}
$$

where the correction $\Delta U$ can be determined by comparison with perturbation theory.
The vertices (A4) that appear in Eqs. (A9) and $U^{(2 p 1 h)}$ are expressed in terms of the fully fragmented propagator. Therefore, this approach allows one to obtain self-consistent solutions of the SP Green's function [25]. Whenever, as in this work, only a mean-field propagator is employed as input, there exists a one-to-one correspondence between the fragmentation indices and the SP basis. This is expressed by the relations $\mathcal{X}_{\alpha}^{n}=\delta_{n, \alpha}\left(1-\delta_{\alpha \in F}\right)$ and $\mathcal{Y}_{\alpha}^{k}=\delta_{k, \alpha} \delta_{\alpha \in F}$, where $F$ represents the set of occupied orbits. In this case, it is possible to drop one set of indices so that Eqs. (A9) and (A10) simplify into the form (7) and (8).

## 3. Faddeev vertices

In practical applications, it is worth noting that the poles of the free propagator $G^{0}(\omega)$, Eq. (A4a), do not contribute to the kernel of Eqs. (A9). This can be proven by employing the closure relations for the RPA problem, in the form obtained by extracting the free poles in Eqs. (A2). As an example, for the forward poles of the ladder propagator these are

[^1]\[

$$
\begin{equation*}
\lim _{\omega \rightarrow \varepsilon_{n_{1}}^{+}+\varepsilon_{n_{2}}^{+}}\left\{\left(\omega-\varepsilon_{n_{1}}^{+}-\varepsilon_{n_{2}}^{+}\right) \times[\mathrm{Eq} . \quad(\mathrm{A} 2 \mathrm{~b})]\right\} \Rightarrow\left(\mathcal{X}_{\alpha}^{n_{1}} \mathcal{X}_{\beta}^{n_{2}}\right)^{*} \mathcal{X}_{\mu}^{n_{1}} \mathcal{X}_{\nu}^{n_{2}} \Gamma_{\mu \nu, \gamma \delta}^{(I I)}\left(\omega=\varepsilon_{n_{1}}^{+}+\varepsilon_{n_{2}}^{+}\right)=0, \quad \forall n_{1}, n_{2}, \tag{A12}
\end{equation*}
$$

\]

and similarly for other cases. Making use of these relations, one can derive the following working expression of the kernels for the $2 p 1 h$ Faddeev equations (no implicit summations are used):

$$
\begin{align*}
& {\left[\mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(1)>}(\omega)\right]_{\alpha n_{\alpha} \beta n_{\beta} \gamma{ }^{k} \dot{\gamma}^{\mu n}{ }_{\mu} \nu n_{\nu} \lambda k_{\lambda}}} \\
& =\left[\mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(2)>}(\omega)\right]_{\beta n_{\beta} \alpha n_{\alpha} \gamma k_{\gamma} \nu \nu n_{\nu} \mu n_{\mu} \lambda k_{\lambda}} \\
& =\delta_{n_{\alpha^{\prime}}{ }_{\mu}} \frac{\left(\mathcal{X}_{\alpha}^{n_{\alpha}} \mathcal{X}_{\beta}^{n_{\beta}} \mathcal{Y}_{\gamma}^{k_{\gamma}}\right)^{*} \mathcal{X}_{\mu}^{n_{\alpha}}}{\sum_{\sigma}\left|\mathcal{X}_{\sigma}^{n_{\alpha}}\right|^{2}}\left(\sum_{n_{\pi}} \frac{\sum_{\beta_{1} \gamma_{1}} \mathcal{X}_{\beta_{1}}^{n_{\beta}} \mathcal{Y}_{\gamma_{1}}^{k_{\gamma}}\left(\Omega_{\beta_{1} \gamma_{1}}^{n_{\pi}}\right)^{*} \Omega_{\nu \lambda}^{n_{\pi}}}{\left(\varepsilon_{n_{\pi}}^{\pi}-\varepsilon_{n_{\beta}}^{+}+\varepsilon_{k_{\gamma}}^{-}\right)\left[\omega-\left(\varepsilon_{n_{\alpha}}^{+}+\varepsilon_{n_{\pi}}^{\pi}\right)+i \eta\right]}+\sum_{n_{\pi}^{\prime}} \frac{\sum_{\beta_{1} \gamma_{1}} \mathcal{X}_{\beta_{1}}^{n_{\beta}} \mathcal{Y}_{\gamma_{1}}^{k_{\gamma}} \Omega_{\gamma_{1} \beta_{1}}^{n_{\pi}^{\prime}}\left(\Omega_{\lambda \nu}^{n_{i}^{\prime}}\right)^{*}}{\left(-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{\beta}}^{+}+\varepsilon_{k_{\gamma}}^{-}\right)\left(-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{n_{\nu}}^{+}+\varepsilon_{k_{\lambda}}^{-}\right)}\right),  \tag{A13a}\\
& {\left[\mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(3)>}(\omega)\right]_{\alpha n_{\alpha} \beta n_{\beta} \gamma k_{\gamma} ; \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}} \\
& =\delta_{k_{\gamma} k_{\lambda}} \frac{\left(\mathcal{X}_{\alpha}^{n_{\alpha}} \mathcal{X}_{\beta}^{n_{\beta}} \mathcal{Y}_{\gamma}^{k_{\gamma}}\right)^{*} \mathcal{Y}_{\lambda}^{k_{\gamma}}}{2 \sum_{\sigma}\left|\mathcal{Y}_{\sigma}^{k_{\gamma}}\right|^{2}}\left(\sum_{n_{I I}} \frac{\sum_{\alpha_{1} \beta_{1}} \mathcal{X}_{\alpha_{1}}^{n_{\alpha}} \mathcal{X}_{\beta_{1}}^{n_{\beta}}\left(\Delta_{\alpha_{1} \beta_{1}}^{+, n_{I I}}\right)^{*} \Delta_{\mu \nu}^{+, n_{I I}}}{\left(\varepsilon_{n_{I I}}^{\Gamma+}-\varepsilon_{n_{\alpha}}^{+}-\varepsilon_{n_{\beta}}^{+}\right)\left[\omega-\left(\varepsilon_{n_{I I}}^{\Gamma+}-\varepsilon_{k_{\gamma}}^{-}\right)+i \eta\right]}+\sum_{k_{I I}} \frac{\sum_{\alpha_{1} \beta_{1}} \mathcal{X}_{\alpha_{1}}^{n_{\alpha}} \mathcal{X}_{\beta_{1}}^{n_{\beta}} \Delta_{\alpha_{1} \beta_{1}}^{-, k_{I I}}\left(\Delta_{\mu \nu}^{-, k_{I I}}\right)^{*}}{\left(\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{\alpha}}^{+}-\varepsilon_{n_{\beta}}^{+}\right)\left(\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{\mu}}^{+}-\varepsilon_{n_{\nu}}^{+}\right)}\right) . \tag{A13b}
\end{align*}
$$

After substituting Eq. (A10) into (3), one needs the working expression for the matrix product $\mathbf{V} \mathbf{U}^{(2 p 1 h)}$ (where $\mathbf{V}$ is the interelectron interaction). The minimum correction that guarantees to reproduce all third-order self-energy diagrams is

$$
\begin{align*}
{\left[\mathbf{V} \mathbf{U}^{(2 p 1 h)}\right]_{\alpha ; \mu n_{\mu} \nu n_{\nu} \lambda k_{\lambda}}=} & V_{\alpha \lambda, \mu \nu}+\frac{V_{\alpha \lambda, \gamma_{1} \delta_{1}} \mathcal{Y}_{\gamma_{1}}^{k_{\gamma}} \mathcal{Y}_{\delta_{1}}^{k_{\delta}}\left(\mathcal{Y}_{\gamma_{2}}^{k_{\gamma}} \mathcal{Y}_{\delta_{2}}^{k_{\delta}}\right)^{*} V_{\gamma_{2} \delta_{2}, \mu \nu}}{2\left(\varepsilon_{k_{\gamma}}^{-}+\varepsilon_{k_{\delta}}^{-}-\varepsilon_{n_{\mu}}^{+}-\varepsilon_{n_{\nu}}^{+}\right)} \\
& +\frac{V_{\alpha \delta_{1}, \mu \gamma_{1}} \mathcal{Y}_{\gamma_{1}}^{\psi_{\gamma}} \mathcal{X}_{\delta_{1}}^{n_{\delta}}\left(\mathcal{Y}_{\gamma_{2}}^{k_{\gamma}} \mathcal{X}_{\delta_{2}}^{n_{\delta}}\right)^{*} V_{\gamma_{2} \lambda, \delta_{2} \nu}}{\left(\varepsilon_{k_{\gamma}}^{-}+\varepsilon_{k_{\lambda}}^{-}-\varepsilon_{n_{\delta}}^{+}-\varepsilon_{n_{\nu}}^{+}\right)}-\frac{V_{\alpha \delta_{1}, \nu \gamma_{1}} \mathcal{Y}_{\gamma_{1}}^{k_{\gamma}} \mathcal{X}_{\delta_{1}}^{n_{\delta}}\left(\mathcal{Y}_{\gamma_{2}}^{k_{\gamma}} \mathcal{X}_{\delta_{2}}^{n_{\delta}}{ }^{*} V_{\gamma_{2} \lambda, \delta_{2} \mu}\right.}{\left(\varepsilon_{k_{\gamma}}^{-}+\varepsilon_{k_{\lambda}}^{-}-\varepsilon_{n_{\delta}}^{+}-\varepsilon_{n_{\mu}}^{+}\right)} . \tag{A14}
\end{align*}
$$

The case of $2 h 1 p$ is handled in a completely analogous way following the steps of the Appendix, Secs. 1 and 2. After extending $R\left(\omega_{1}, \omega_{2}, \omega_{3}\right)$ to depend on the fragmentation indices $\left(k_{1}, k_{2}, n\right)$, the $2 h 1 p$ equivalent of Eq. (A9) is obtained with the following definitions of the kernels:

$$
\begin{align*}
& {\left[\mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(1)>}(\omega)\right]_{\alpha k_{\alpha}} \beta k_{\beta} \gamma n_{\gamma} \dot{\mu}^{\mu k_{\mu} \nu k_{\nu} \lambda n_{\lambda}}} \\
& \quad=\left[\mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(2)>}(\omega)\right]_{\beta k_{\beta} \alpha k_{\alpha} \gamma n_{\gamma} \nu \nu k_{\nu} \mu k_{\mu} \lambda n_{\lambda}} \\
& \quad=\delta_{k_{\alpha} k_{\mu}} \frac{\mathcal{Y}_{\alpha}^{k_{\alpha}} \mathcal{Y}_{\beta}^{k_{\beta}} \mathcal{X}_{\gamma}^{n_{\gamma}}\left(\mathcal{Y}_{\mu}^{k_{\alpha}}\right)^{*}}{\sum_{\sigma}\left|\mathcal{Y}_{\sigma}^{k_{\alpha}}\right|^{2}}\left(\sum_{n_{\pi}^{\prime}} \frac{\sum_{\beta_{1} \gamma_{1}}\left(\mathcal{Y}_{\beta_{1}}^{k_{\beta}} \mathcal{X}_{\gamma_{1}}^{n} \gamma^{*} \Omega_{\gamma_{1} \beta_{1}}^{n_{\pi}^{\prime}}\left(\Omega_{\lambda \nu}^{n^{\prime}}\right)^{*}\right.}{\left[-\varepsilon_{n_{\pi}^{\prime}}^{\pi}-\varepsilon_{k_{\beta}}^{-}+\varepsilon_{n_{\gamma}}^{+}\right]\left[\omega-\left(\varepsilon_{k_{\alpha}}^{-}-\varepsilon_{n_{\pi}^{\prime}}^{\pi}\right)-i \eta\right]}+\sum_{n_{\pi}} \frac{\sum_{\beta_{1} \gamma_{1}}\left(\mathcal{Y}_{\beta_{1}}^{k_{\beta}} \mathcal{X}_{\gamma_{1}}^{n} \Omega_{\beta_{1}}^{n_{\pi} \gamma_{1}}\right)^{*} \Omega_{\nu \lambda}^{n_{\pi}}}{\left(\varepsilon_{n_{\pi}}^{\pi}-\varepsilon_{k_{\beta}}^{-}+\varepsilon_{n_{\gamma}}^{+}\right)\left(\varepsilon_{n_{\pi}}^{\pi}-\varepsilon_{k_{\nu}}^{-}+\varepsilon_{n_{\lambda}}^{+}\right)}\right), \tag{A15a}
\end{align*}
$$

$$
\begin{align*}
& {\left[\mathbf{G}^{0>}(\omega) \boldsymbol{\Gamma}^{(3)>}(\omega)\right]_{\alpha k_{\alpha} \beta k_{\beta} \gamma n_{\gamma} ; \mu k_{\mu} \nu k_{\nu} \lambda^{\lambda n_{\lambda}}}} \\
& \quad=\delta_{n_{\gamma} n_{\lambda}} \frac{\mathcal{Y}_{\alpha}^{k_{\alpha}} \mathcal{Y}_{\beta}^{k_{\beta}} \mathcal{X}_{\gamma}^{n} \eta_{\gamma}\left(\mathcal{X}_{\lambda}^{n}\right)^{*}}{2 \sum_{\sigma} \mid \mathcal{X}_{\sigma}^{\left.n_{\gamma}\right|^{2}}}\left(\sum_{k_{I I}} \frac{\sum_{\alpha_{1} \beta_{1}}\left(\mathcal{Y}_{\alpha_{1}}^{k_{\alpha}} \mathcal{Y}_{\beta_{1}}^{k_{\beta}}\right)^{*} \Delta_{\alpha_{1} \beta_{1}}^{-, n_{I I}}\left(\Delta_{\mu \nu}^{-, n} n_{I I}^{*}\right.}{\left[\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{k_{\alpha}}^{-}-\varepsilon_{k_{\beta}}^{-}\right]\left[\omega-\left(\varepsilon_{k_{I I}}^{\Gamma-}-\varepsilon_{n_{\gamma}}^{+}\right)-i \eta\right]}+\sum_{n_{I I}}^{\left.\sum \frac{\sum_{\alpha_{1} \beta_{1}}\left(\mathcal{Y}_{\alpha_{1}}^{k_{\alpha}} \mathcal{Y}_{\beta_{1}}^{k_{\beta}} \Delta_{\alpha_{1} \beta_{1}}^{+, k_{I I}}\right)^{*} \Delta_{\mu \nu}^{+, k_{I I}}}{\left(\varepsilon_{n_{I I}}^{\Gamma+}-\varepsilon_{k_{\alpha}}^{-}-\varepsilon_{k_{\beta}}^{-}\right)\left(\varepsilon_{n_{I I}}^{\Gamma+}-\varepsilon_{k_{\mu}}^{-}-\varepsilon_{k_{\nu}}^{-}\right)}\right),}\right. \tag{A15b}
\end{align*}
$$

and the correction to the external legs,

$$
\begin{align*}
& {\left[\mathbf{V U}^{(2 h 1 p)}\right]_{\alpha ; \mu k_{\mu}{ }^{\nu} k_{\nu} \lambda n_{\lambda}}=V_{\alpha \lambda, \mu \nu}+\frac{V_{\alpha \lambda, \gamma_{1} \delta_{1}} \mathcal{X}_{\gamma_{1}}^{n_{\gamma}} \mathcal{X}_{\delta_{1}}^{n_{\delta}}\left(\mathcal{X}_{\gamma_{2}}^{n_{\gamma}} \mathcal{X}_{\delta_{\delta}}^{n_{\delta}}{ }^{*} V_{\gamma_{2} \delta_{2}, \mu \nu}\right.}{2\left(\varepsilon_{k_{\mu}}^{-}+\varepsilon_{k_{\nu}}^{-}-\varepsilon_{n_{\gamma}}^{+}-\varepsilon_{n_{\delta}}^{+}\right)}} \\
& +\frac{V_{\alpha \delta_{1}, \mu \gamma_{1}} \mathcal{X}_{\gamma_{1}}^{\eta_{\gamma}} \mathcal{Y}_{\delta_{1}}^{k_{\delta}}\left(\mathcal{X}_{\gamma_{2}}^{n_{\gamma}} \mathcal{Y}_{\delta_{2}}^{k_{\delta}}\right)^{*} V_{\gamma_{2} \lambda, \delta_{2} \nu}}{\left(\varepsilon_{k_{\delta}}^{-}+\varepsilon_{k_{\nu}}^{-}-\varepsilon_{n_{\gamma}}^{+}-\varepsilon_{n_{\lambda}}^{+}\right)}-\frac{V_{\alpha \delta_{1}, \nu \gamma_{1}} \mathcal{X}_{\gamma_{1}}^{n_{\gamma}} \mathcal{Y}_{\delta_{1}}^{k_{\delta}}\left(\mathcal{X}_{\gamma_{2}}^{n} \mathcal{Y}_{\delta_{\delta}}^{{ }_{\delta}}\right)^{*} V_{\gamma_{2} \lambda, \delta_{2} \mu}}{\left(\varepsilon_{k_{\delta}}^{-}+\varepsilon_{k_{\mu}}^{-}-\varepsilon_{n_{\gamma}}^{+}-\varepsilon_{n_{\lambda}}^{+}\right)} . \tag{A16}
\end{align*}
$$

It should be pointed out that, while the prescription of the Appendix, Sec. 1 allows SP lines to propagate only in one time direction, it allows for backward propagation of the phonons. These contributions translate directly into the energyindependent terms of Eqs. (A13) and (A15) and are a direct consequence of the inversion pattern typical of RPA theory. These terms have normally a weaker impact than the direct ones on the solutions of Eqs. (A9). However, it is shown in Ref. [24] that they are crucial to guarantee the exact separation of the spurious solutions-always introduced by the Faddeev formalism [39,40]-if RPA phonons are used. For the same reasons, the last terms in large parentheses of Eqs. (A13) and (A15) should be dropped whenever Tamm-Dancoff phonons are propagated.

The approach followed in this work for solving Eqs. (A9) is to transform them into a matrix representation [24]. Once this is done, one is left with an eigenvalue problem that depends only on the $2 p 1 h(2 h 1 p)$ configurations $\left(n, n^{\prime}, k\right)\left[\left(k, k^{\prime}, n\right)\right]$. The spurious states are known exactly [24] and can be projected out analytically to reduce the computational load. In any case, they would give vanishing contributions to Eq. (3).
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[^0]:    ${ }^{1}$ Note that the $G_{0} W_{0}$ and $G G_{0} W_{0}$ results of Ref. [14] were obtained by retaining only the diagonal part of the electron selfenergy, $\Sigma_{\alpha \alpha}^{\star}(\omega)$ in the $\mathrm{HF}+$ continuum basis. This approximation was not made in the present work. The error in the ionization energies incurred by retaining the diagonal approximation is quite small (about $\sim 2$ mhartrees [14] for the Ne atom), but larger effects are possible for the total binding energy.

[^1]:    ${ }^{2}$ Note that the present definitions of the $R^{(i)}$ differ from the ones of Ref. [24], which contain the additional term $\left(G^{0}-G^{0, e x}\right) / 2$. The two different forms of the Faddeev equations that result can be easily related to each other and are completely equivalent. The definition used here agrees with the standard literature on the subject $[29,38]$.

