

The Geological Society Special Publications

Conceptual Uncertainties in Modelling the Interaction between Engineered and Natural Barriers of Nuclear Waste Repositories in Crystalline Rock --Manuscript Draft--

Manuscript Number:	
Article Type:	Chapter
Full Title:	Conceptual Uncertainties in Modelling the Interaction between Engineered and Natural Barriers of Nuclear Waste Repositories in Crystalline Rock
Short Title:	Conceptual model evaluation of bentonite-rock interaction
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Abstract:	<p>Nuclear waste disposal relies on multi-barrier concepts that includes bentonite and the crystalline rock. Material contrasts across the interface between the engineered and natural barriers lead to complex interactions between these two subsystems. Numerical modelling combined with data is used to improve the understanding of rock-bentonite interactions and to predict the performance of this coupled system. While established methods exist to examine the prediction uncertainties due to uncertainties in input parameters, the impact of conceptual model decisions on modelling results is more difficult to assess. An SKB Task Force project facilitated such an assessment, as 11 teams used different conceptualisations and tools to analyse the Bentonite Rock</p>

	Interaction Experiment (BRIE) conducted at the Äspö Hard Rock Laboratory. The exercise revealed that prior system understanding and features implemented in the simulators affect the processes included in the conceptual model. The exercise identified conceptual uncertainties that led to different assessments of the relative importance of the engineered and natural barrier subsystems. The range of predicted bentonite-wetting times encompassed by the ensemble results were considerably larger than the ranges derived from individual models. This is a consequence of conceptual uncertainties, demonstrating the relevance of using a multi-model approach that involves alternative conceptualizations.
Section/Category:	Multiple Roles of Clays in Radioactive Waste Confinement
Additional Information:	
Question	Response
Are there any conflicting interests, financial or otherwise?	No
Samples used for data or illustrations in this article have been collected in a responsible manner	Confirmed
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Conceptual uncertainties in modelling the interaction between engineered and natural barriers of nuclear waste repositories in crystalline rock

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Running title: Conceptual model evaluation of bentonite-rock interaction

Abstract: Nuclear waste disposal in geological formations relies on a multi-barrier concept that includes engineered components—which in many cases includes a bentonite buffer surrounding waste packages—and the host rock. Material contrasts and gradients across the interface between the engineered and natural barriers lead to complex interactions between these two subsystems. Numerical modelling combined with monitoring and testing data can be used to improve the overall understanding of rock–bentonite interactions and to predict the performance of this coupled system. While established methods exist to examine the prediction uncertainties due to uncertainties in input parameters, the impact of conceptual model decisions on the quantitative and qualitative modelling results is more difficult to assess. An SKB (Swedish Nuclear Fuel and Waste Management Co.) Task Force project facilitated such an assessment, as 11 teams used different conceptualisations and modelling tools to analyse the Bentonite

45 Rock Interaction Experiment (BRIE) conducted at the Äspö Hard Rock Laboratory in
46 Sweden. The exercise revealed that prior system understanding along with the features
47 implemented in the available simulators affect the processes included in the conceptual
48 model. For some of these features, sufficient characterization data are available to obtain
49 defensible results and interpretations, while others are less supported. The exercise also
50 helped identify conceptual uncertainties that led to different assessments of the relative
51 importance of the engineered and natural barrier subsystems. The range of predicted
52 bentonite-wetting times encompassed by the ensemble results were considerably larger
53 than the ranges derived from individual models. This is a consequence of conceptual
54 uncertainties, demonstrating the relevance of using a multi-model approach that involves
55 alternative conceptualizations.

56 The safety of radioactive waste disposal in geologic formations is partly determined by a
57 science-based assessment of the barrier functions of the entire repository system, which
58 includes engineered and natural components. In most disposal concepts, the Engineered
59 Barrier System (EBS) consists of a suitably conditioned waste form enclosed in canisters,
60 which are embedded in a buffer material emplaced in horizontal tunnels or vertical
61 deposition holes. The EBS components are designed to protect the waste from
62 mechanical and hydro-biogeochemical impacts that would lead to an early or substantial
63 release of radionuclides from the repository to the host rock and its pore water. The host
64 rock itself protects the EBS and retards the transport of radionuclides to the accessible
65 environment. Both the engineered and natural barrier systems act together; they also
66 interact with each other across the interface between the buffer and the wall of the tunnel
67 or the deposition hole that contains the waste. In what follows, we consider a bentonite
68 buffer in a deposition hole excavated from a fractured granitic host rock located deep
69 below the water table.

70 The behaviour of each element of the EBS and natural system needs to be characterised,
71 understood, and (to the extent possible) predicted for the duration of the compliance
72 period. Numerical modelling is a key tool used to test hypotheses, to design laboratory
73 and field experiments, to analyse data, and to make predictions about the system
74 behaviour for a variety of scenarios. Many siting and repository design decisions are
75 supported by the improved understanding or quantitative assessments that are based—in
76 part—on numerical modelling.

77 A computer model is a numerical implementation of a mathematical description of our
78 current conceptual understanding (see, e.g., NRC 1996). This conceptual model is an
79 abstraction and thus simplified representation of the actual system. Predictions made with
80 such a model necessarily contain errors, defined as systematic differences between the
81 model output and the corresponding true system behaviour. Apart from the fact that the
82 true system behaviour cannot be perfectly known but only approximately gleaned from
83 sparse, noisy, and potentially erroneous data, modelling errors can be considered
84 tolerable as long as the model fulfils its purpose of improving system understanding or
85 making a prediction with an acceptable uncertainty range.

86 Errors and uncertainties in model predictions stem from multiple sources. Errors from
87 numerical approximations (e.g. truncation and discretization errors) and uncertainties in

88 input parameters are two sources of prediction uncertainties that are well studied. The
89 former is typically quantified by comparing simulation results with analytical solutions,
90 whereas the latter is examined by sensitivity-based or sampling-based error propagation
91 methods. The resulting uncertainty ranges, however, may be optimistic, as they do not
92 include potential errors in the underlying conceptual model.

93 The often-dominant impact of the conceptual model on simulation results is widely
94 acknowledged; some illustrative examples are described in Bredehoeft (2003). As a
95 consequence, various methods have been proposed to (1) gain confidence in the
96 appropriateness of the chosen conceptual model; (2) rank the performance of alternative
97 conceptual models; (3) identify plausible models or select the most appropriate model;
98 (4) average multiple models to obtain consensus predictions; (5) quantify the sensitivity
99 of model outputs to changes in the conceptual model; (6) quantify uncertainty in
100 predictions as a result of conceptual model uncertainty; and (7) guide future data
101 collection and modelling activities.

102 Papers published in the scientific literature range from philosophical discussions (e.g.
103 Pappenberger and Beven 2006) to qualitative descriptions (e.g. Marivoet *et al.* 1997),
104 empirical studies (e.g. Bredehoeft 2005), and quantitative theories (e.g. Neuman 2003).
105 In hydrogeology, most of the literature related to conceptual uncertainty revolves around
106 the generalised likelihood uncertainty estimation (GLUE) method (Beven and Binley
107 1992), Bayesian model averaging (Draper 1995), the use of model selection criteria (Ye
108 *et al.* 2008), and combinations thereof (Rojas *et al.* 2010; Ye *et al.* 2010; Singh *et al.*
109 2010). A few papers describe conceptual model comparison studies for specific
110 application areas, including nuclear waste isolation (Baca and Seth 1996; Marivoet *et al.*
111 1997; Sawada *et al.* 2005; Rutqvist *et al.* 2009; Hudson *et al.* 2009; Reeves *et al.* 2010;
112 Li *et al.* 2011). Many more studies focus on benchmarking and code comparisons (e.g.
113 Oldenburg *et al.* 2003; Pruess *et al.* 2004; MDH 2005; Steefel *et al.* 2014); they often do
114 not fully include uncertainties caused by the process of developing a conceptual model
115 from available information. Conceptual model uncertainty has been discussed as part of
116 international code and model comparison projects, such as INTRAVAL, INTRACOIN,
117 HYDROCOIN, PSACOIN, DECOVALEX, CO2BENCH, and SSBENCH.

118 A review of the literature leads to the following observations:

- 119 (1) Identification of the true (or even most likely) conceptual model is considered
120 fundamentally impossible (e.g. Oreskes *et al.* 1994);
- 121 (2) Multiple (if not many) conceptual models need to be developed (or conceptual
122 aspects of a model need to be parameterised) for a suitable analysis;
- 123 (3) Measured data are often required to calibrate the model or to evaluate its performance
124 (e.g. Pappenberger *et al.* 2015);
- 125 (4) Estimates of prior model probabilities and input parameter uncertainties as well as
126 their impact on predictions are often required as part of a formal conceptual model
127 uncertainty analysis (e.g. Neuman 2003);
- 128 (5) A suitable likelihood measure needs to be defined and evaluated for each alternative
129 conceptual model (e.g. Ye *et al.* 2008; 2010);
- 130 (6) Most approaches involve computationally expensive Monte Carlo sampling methods

131 (e.g. Rojas *et al.* 2010);
 132 (7) Model performance is most often evaluated in the calibration rather than prediction
 133 space (e.g. Poeter and Andersen 2005);
 134 (8) Correlations among alternative conceptual models are seldom accounted for, with the
 135 notable exception of Sain and Furrer (2010); as correlations among alternative
 136 conceptual models tend to be very strong, simple methods (such as bootstrapping)
 137 may not be employed.

138 Many of the requirements implied in these observations make it difficult to formally
 139 evaluate conceptual model uncertainties. In this paper, we present an effort to examine
 140 conceptual model uncertainties by comparing a number of alternative models that were
 141 developed to better understand the interaction between the engineered and natural barrier
 142 systems of a nuclear waste repository. The study was conducted as part of Task 8 of the
 143 Swedish Nuclear Fuel and Waste Management Co. (SKB) Task Forces on Engineered
 144 Barrier Systems (EBS Task Force) and on Ground Water Flow and Transport of Solutes
 145 (GWFTS Task Force).

146 **SKB Task Force**

147 The SKB Task Force is a forum for international organizations to interact in the area of
 148 conceptual and numerical modelling of groundwater flow and solute transport in
 149 fractured rock and engineered barrier systems. The SKB Task Force formulates tasks that
 150 are addressed by multiple teams of modellers. In particular, the overall objective of
 151 Task 8 was to obtain a better understanding of the hydraulic interaction between the near-
 152 field natural host rock and the engineered bentonite buffer in a deposition hole. Eleven
 153 organizations participated in Task 8; they are listed in Table 1.

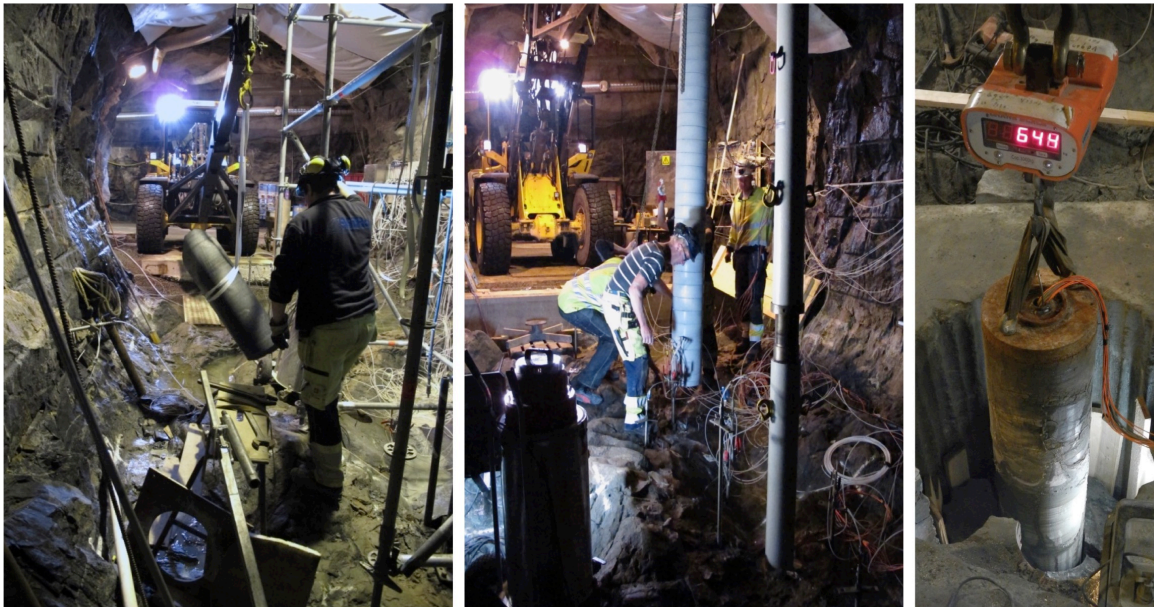
154 Table 1. *Organizations participating in SKB Task Force modelling of Task 8*

Organization	Acronym	Country
Amec Foster Wheeler	Amec	UK
Clay Technology AB	Clay Tech	Sweden
Computer-aided Fluid Engineering and Golder Assoc.	CFE-Golder	Sweden
Gesellschaft für Anlagen- und Reaktorsicherheit gGmbH	GRS	Germany
Japan Atomic Energy Agency	JAEA	Japan
Korea Atomic Energy Research Institute	KAERI	Korea
Los Alamos National Laboratory	LANL	USA
Royal Institute of Technology	KTH	Sweden
Stockholm University	SU	Sweden
VTT Technical Research Centre of Finland Ltd	VTT	Finland
Technical University of Liberec	TU Liberec	Czech Rep.

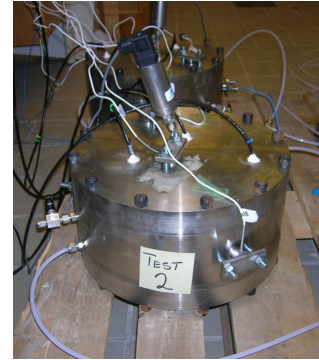
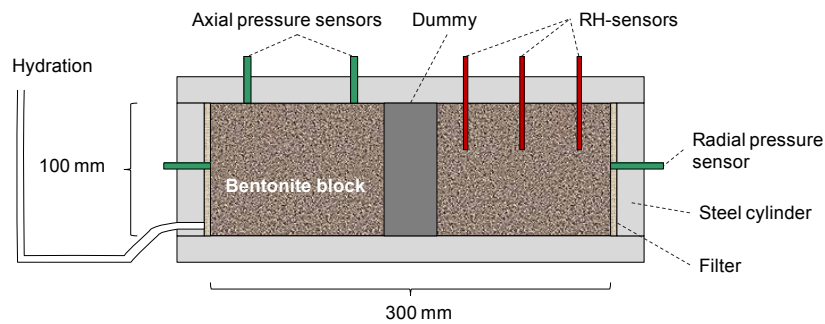
155 With the specific goal to examine how the characteristics of the fractured host rock affect
 156 the wetting of the compacted bentonite used as buffer material in a deposition hole,
 157 Task 8 targeted a configuration representing the Bentonite Rock Interaction Experiment
 158 (BRIE), which was performed at the Äspö Hard Rock Laboratory, located near
 159 Oskarshamn in southeastern Sweden. The BRIE addresses the hydraulic interaction

160 between compacted bentonite and the near-field fractured host rock. The experiment is
161 located in the short TASO tunnel at approximately 400 m depth. The TASO tunnel is
162 hosted in rather massive, medium-grained diorite, with some more gabbroic volumes in
163 addition to volumetrically significant granitic dykes and smaller, irregularly shaped
164 granitic intrusions. Vertical test boreholes (76 mm diameter) were drilled for initial
165 characterization and screening. Inflow to the holes was measured and two of the
166 boreholes were then widened (to represent surrogate deposition holes) to accommodate
167 pre-compacted and instrumented bentonite blocks as shown in Fig. 1. Prior to
168 emplacement the inflows to the 300 mm-diameter boreholes were characterised. The
169 BRIE site, experimental procedures and results are fully documented in Fransson *et al.*
170 (2017).

171 The BRIE field experiment is complemented by a radial water-uptake laboratory test as
172 shown in Fig. 2 (further details can be found in Fransson *et al.* 2017). The Task 8
173 modelling work and the BRIE experiments are interlinked in that the modelling is in part
174 used to support the design of the laboratory and field experiments, whereas the
175 experiments provide characterization data, modelling scenarios, as well as data that are
176 further used to evaluate the conceptual appropriateness, explanatory capability, and
177 predictive power of the numerical models.



178
179 **Fig. 1.** The BRIE site in the TASO tunnel: drilling of the 300 mm boreholes (left),
180 emplacement of the 3 m bentonite blocks (middle), extraction of bentonite blocks after 17
181 months (right) (source: Fransson *et al.* 2017).



182

183 **Fig. 2.** Schematic and photograph of the BRIE water-uptake test. Water is introduced via
 184 the outer cylindrical filter. The 100 mm bentonite block is identical in material to the
 185 stack of blocks emplaced in the BRIE in situ experiments (Fig. 1) (source: Fransson *et al.*
 186 2017).

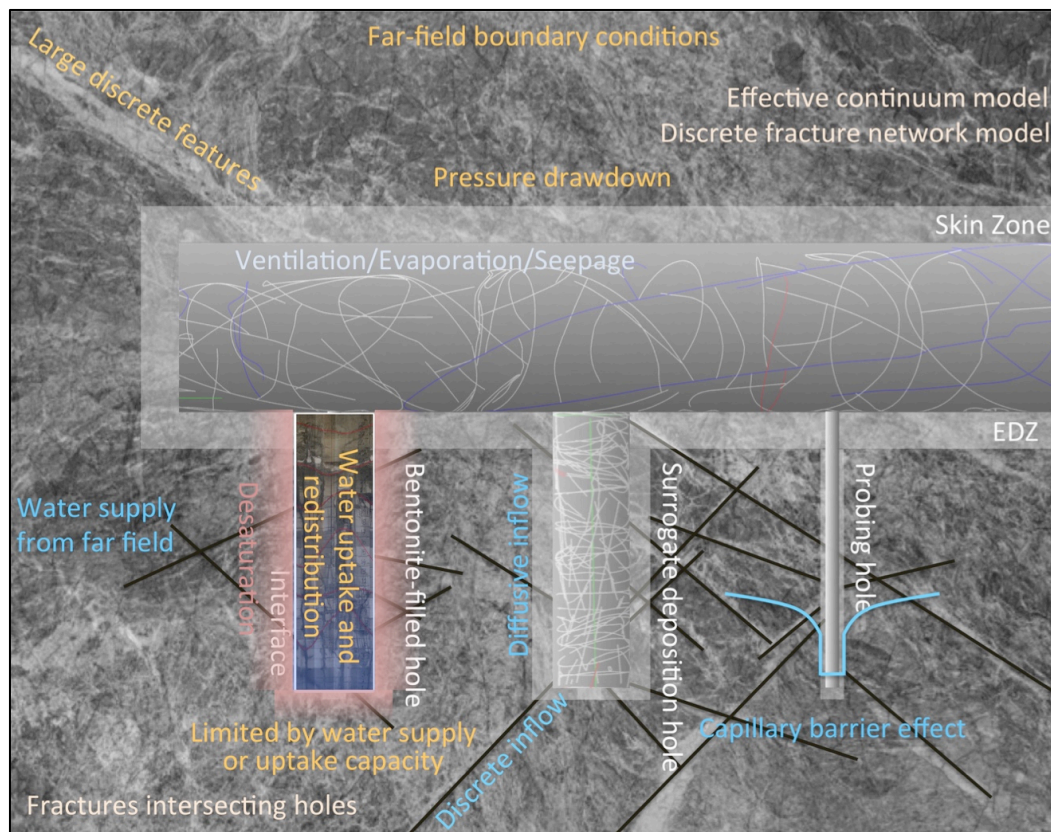
187 The interaction between the host rock and the engineered barrier system involves
 188 complex two-way processes. Their understanding and predictability critically depends on
 189 the underlying conceptual model, the available characterization data, the geologic and
 190 engineered features represented, the hydrological, mechanical, and geochemical
 191 processes considered, and the details of their implementation in a numerical model.
 192 Moreover, it is essential to assess the impact of each model element on the results of
 193 interest, and how they in turn affect the conclusions and recommendations derived from
 194 these numerical studies. To examine the robustness and uncertainties of the models,
 195 different concepts and modelling approaches were developed by several modelling
 196 groups (Table 1) that addressed the same questions related to the overall objectives. The
 197 intention of this strategy is that the combined results of all modelling studies are likely to
 198 increase our understanding of the features and processes governing bentonite-rock
 199 interaction, and that a cross-comparison of the different groups' findings can provide
 200 insights into the variability and uncertainty inherent in such analyses.

201 The key elements of the natural and engineered system investigated by the Task 8
 202 modelling groups are shown in Fig. 3, which also summarises some of the main issues
 203 that needed to be addressed. The modelling groups needed to make (among others) the
 204 following conceptual decisions:

205 The model needs to cover a finite region of granitic rock that contains multiple
 206 engineered underground structures. The crystalline rock contains fractures and other
 207 discrete features on multiple scales. They may be represented using either (a) a
 208 continuum porous-medium model with effective properties, (b) a stochastic discrete
 209 fracture network (DFN) model, (c) a continuum model with large, discrete features
 210 implemented deterministically, (d) a DFN model with large, discrete features
 211 implemented deterministically, or (e) a hybrid model, combining continuum and discrete
 212 models, with fractures implemented deterministically or using stochastic methods.
 213 Specifically, fractures intersecting underground openings may be represented
 214 deterministically. Moreover, boundary conditions need to be specified at the outer model
 215 domain boundaries and the walls of underground openings. The impact of the TASO
 216 tunnel must be accounted for, as near-field pressure drawdown has developed due to
 217 long-term water seepage into the tunnel, which may be affected by evaporation.

218 Similarly, the small-diameter probing holes need to be represented and the relevant
 219 hydraulic testing procedures simulated. Larger-diameter (300 mm) surrogate deposition
 220 holes need to be included; these deposition holes are initially open but eventually filled
 221 with bentonite. Skin zones around all underground openings may have developed because
 222 of mechanical effects, dry-out due to evaporation, desaturation due to suction by the
 223 bentonite, or other mechanisms. In particular a more fractured excavation damage zone
 224 (EDZ) was identified in the floor of the tunnel. Inflow into open holes needs to be
 225 simulated, possibly accounting for a seepage face caused by capillary pressure effects.

226 Inflow into open or bentonite-filled holes occurs through discrete features and through
 227 the rock mass between these features. Hydraulic properties and connectivity of the
 228 fracture network determines the amount of water being supplied to the fractures
 229 intersecting the open or bentonite-filled holes. Interactions between the fractured rock
 230 and the bentonite occur through an interface between the surrogate deposition hole wall
 231 and the bentonite. Feedback mechanisms between the two systems across this interface
 232 may be relevant. Capillary and pressure forces drive water entering the bentonite; water
 233 imbibition is potentially affected by local desaturation and other skin effects. Finally,
 234 water that entered the deposition hole non-uniformly through the interface is redistributed
 235 within the bentonite.



236

237 **Fig. 3.** Schematic of key system elements, processes, and modelling issues related to the
 238 interaction between fractured diorite and a bentonite-filled surrogate deposition at the
 239 Äspö Hard Rock Laboratory, Sweden.

240 Task 8 was structured into subtasks. Following a simple scoping calculation, the BRIE
241 experiment was modelled in four stages with increasing complexity, incorporating more
242 experimental data as they became available. All subtasks involve the modelling of both
243 flow in the fractured bedrock and inflow into surrogate deposition holes that are either
244 open or backfilled with bentonite. In addition, the water-uptake test (WUT) provided
245 additional characterization data and insights into the wetting behaviour of the bentonite
246 (Fransson *et al.* 2017); separate numerical models were developed to analyse the WUT
247 data and to get confidence in the representation of the bentonite in the models developed
248 for the BRIE.

249 **Objectives**

250 The objectives of this paper is to describe how the results of the Task 8 modelling study
251 may have been affected by decisions about the underlying conceptual model, i.e., to
252 address the question to which degree the general understanding of bentonite-rock
253 interaction as well as specific predictions of bentonite wetting vary due to conceptual
254 model uncertainty. This is the description of a case study rather than the development of
255 new metrics to compare alternative conceptual models.

256 **Approach**

257 Each of the modelling groups (see Table 1) developed a conceptual and related numerical
258 model of the system, based on the objectives and information provided in the SKB Task
259 Force description of Task 8 (Vidstrand *et al.* 2017). While each group focused on a single
260 conceptual model, the project as a whole produced results that are based on a suite of
261 alternative conceptual models. These models have different levels of accuracy as well as
262 overlapping (but not identical) input spaces, which arise from sharing the task description
263 and some common aspects of the underlying conceptual understanding. Synthesizing the
264 results of these alternative conceptual models requires some evaluation of the prediction
265 uncertainty that includes conceptual, parametric, and numerical errors and their
266 correlations. While no model comparison based on formal criteria is attempted, the
267 discussion is intended to be as specific as possible in that it focuses on the repository
268 subsystem at hand, the hydrogeological features of that subsystem, the numerical models
269 that were developed as part of Task 8, and the target predictions these models were asked
270 to deliver.

271 To guide such a discussion, specific information from each of the modelling groups was
272 collected as the basis for a comparative analysis. Most of the requested information
273 consists of a concise and complete documentation of each modelling group's system
274 understanding, the features implemented in their models, the explicit and implied
275 assumptions made during model development, and the modelling groups' assessment of
276 the validity and uncertainty of these assumptions. In addition, results from the sensitivity
277 analyses conducted by most modelling groups and more general evaluations of the
278 quality of model predictions were summarized. These descriptions were supplemented
279 with results from numerical simulations or estimated measures of prediction uncertainty.

280 It is understood that conceptual model errors are an inherent part of numerical modelling,
281 as building a model involves an abstraction process during which certain aspects of the
282 real system are simplified. To become more aware of this abstraction process and to
283 highlight the further simplifications made when implementing the conceptual model into

284 a numerical model, the concept of a “reified model” (Goldstein and Rougier 2009) was
285 used. A reified model is the “best conceivable model” a user would develop without
286 being constrained by computational limitations. Defining such a hypothetical model
287 allows one to separate potential errors made during the abstraction step and those made
288 during the implementation step. Note that the difference between the true system and the
289 reified model reflects our incomplete knowledge of the system behaviour, whereas the
290 difference between the reified model and the actual computer model used for an analysis
291 reflects modelling limitations. The first discrepancy is fundamentally not knowable;
292 modelling errors are (at least theoretically) knowable.

293 While Goldstein and Rougier (2009) introduced reified analysis as part of a Bayesian
294 framework, the concept of a reified model is used here solely as a tool to clarify the
295 relation between the computer model and the physical system. A questionnaire (Table 2)
296 was developed to obtain a concise description of the physical system, the reified model
297 and the model actually used for the study. In addition, questions related to the relative
298 importance of conceptual and parametric model elements and their uncertainties were
299 formulated to solicit information about the confidence the modellers have in their system
300 understanding and the reliability of their model predictions. The completed
301 questionnaires built the basis for understanding differences in conceptual models and
302 differences in results and their interpretations, which is discussed below. The responses
303 to the questionnaire were then discussed at a one-day workshop to identify key areas of
304 consensus and disagreement.

305 Table 2. *Questionnaire soliciting input for comparative*

#	Topic	Question
<i>True system, reified model, and actual model</i>		
1	True system	Describe current system understanding, including hydrogeological features, processes, and conditions that are considered relevant to understand and predict the behaviour of the host rock, bentonite, and interface between them.
2	Reified model	Describe a hypothetical model that best represents the true system behaviour, specifically model features that are considered influential.
3	Actual model	Describe the features, processes, and conditions implemented in the actual model used to predict the behaviour of the repository subsystem, including assumptions, simplification, limitations, restrictions and constraints.
4	Alternative model	Describe alternative conceptual models considered viable to explain and predict true system behaviour, or to question or disprove the hypotheses examined with the actual model.
<i>Input and prior uncertainties</i>		
5	Prior uncertainties	Describe and quantify the state of knowledge or uncertainty about features that are included or excluded from the actual model.
<i>Sensitivities</i>		
6	Impact on understanding	Describe potential impact of model features on overall system understanding.
7	Impact on predictions	Describe and quantify impact of model features on specific model predictions.
<i>Ranking</i>		
8	Ranking of features	Rank model features, omissions, simplifications, and assumptions according to their potential impact on overall system understanding and numerical model predictions.
9	Weighting of features	Assign weights to the ranked model features, omissions, simplifications, and assumptions to reflect the order of magnitude of the expected impact.
<i>Prediction uncertainty</i>		
10	Uncertainty in understanding	Describe the degree of confidence you have about the overall system understanding given conceptual uncertainties and their impact on that understanding.
11	Uncertainty in predictions	Describe the degree of confidence you have in your model predictions given conceptual uncertainties and their impact on these predictions.

Calibration and prediction

- | | | |
|----|--------------------|--|
| 12 | Data uncertainty | Assess the quality of the BRIE and water uptake test (WUT) data, i.e., uncertainties and potential systematic errors. |
| 13 | Expected residuals | Describe which component of the measured data the model is expected to reproduce and predict (e.g., order-of-magnitude behaviour, average value, general trend, low-frequency fluctuations, high-frequency fluctuations, all details except measurement error, all details including systematic component of measurement error). |
| 14 | Prediction | Describe how well your model predicted the system behaviour observed during the BRIE and WUT experiments. |
| 15 | Calibration | Describe how well your model reproduced the system behaviour observed during the BRIE and WUT experiments. |

Specific predictions

- | | | |
|----|------------------------|--|
| 16 | Predictions | Provide the model-predicted best-estimate value of inflow into the open probing holes. Provide the model-predicted best-estimate saturation values at the time of dismantling. Provide the model-predicted best-estimate values of the time for bentonite resaturation to 95%. |
| 17 | Uncertainty | Provide the uncertainty (range or distribution) of these predictions based on parametric uncertainties in the actual model used for these predictions. Describe which parametric uncertainties are considered in this assessment. |
| 18 | Conceptual uncertainty | Describe the uncertainty of these predictions accounting for conceptual model uncertainties. Describe which conceptual uncertainties are considered in this assessment. |

General assessment

- | | | |
|----|------------------------|---|
| 19 | Under-standing | Describe the main improvement in system understanding gained by performing Task 8. |
| 20 | Change in uncertainty | Describe how uncertainty has changed as new data from BRIE and WUT were incorporated into the model. |
| 21 | Conceptual uncertainty | Describe the degree to which the current conceptual understanding is believed to represent the behaviour of the true system. |
| 22 | Model uncertainty | Describe the degree to which the current numerical model is believed to represent the behaviour of the true system. |
| 23 | Key uncertainty | Describe which aspect of the conceptual or numerical model is the main source of insufficient system understanding and prediction uncertainty. |
| 24 | Research plan | Describe how uncertainty in this main aspect could be reduced by collecting additional information or data, and what specific changes to the actual model could be made to improve system understanding and to reduce predictive uncertainty. |
-

307 **Conceptual models**

308 This section provides a brief description of the different conceptual models developed by
309 the modelling groups listed in Table 1. While presented with a common description of the
310 system and the questions to be addressed, the modelling groups had the leeway to
311 develop their own conceptual and numerical models using the software of their
312 preference. Some of the features and processes considered relevant were described above
313 and illustrated in Fig. 3. A large number of conceptual decisions needed to be made;
314 some of the major decisions are discussed in the following subsections.

315 *Physical processes*

316 The main processes to be considered included fluid flow through fractured rock and
317 imbibition into partially saturated bentonite. To limit the scope of the Task 8 modelling
318 studies, the task description did not demand the use of complex, coupled models. For
319 example, it was decided to disregard thermal and geochemical processes and their
320 multifaceted interactions despite their likely impact on the system behaviour in an actual
321 repository for heat-generating waste. Ignore thermal effects in the modelling also
322 followed the choice to design BRIE as an isothermal test. Note that Gens *et al.* (2009)
323 describe a full-scale in situ heating test of a bentonite buffer (FEBEX). Some modelling
324 groups included the expected effects of coupled mechanical processes on near-borehole
325 conditions by specifying skin zones. Flow processes were represented using one of the
326 following governing equations:

327 *Saturated flow using Darcy's law.* Darcy's law was used to simulate flow through
328 porous media for both the fractures and (if included in the model) the rock mass in
329 between fractures. The underlying assumption of fully liquid saturated conditions ignores
330 the potential desaturation of the formation near the bentonite-rock interface. A separate
331 model is used to simulate the partially saturated bentonite.

332 *Diffusion equations.* Unsaturated flow in the bentonite was modelled using a diffusion
333 equation with a nonlinear, saturation-dependent diffusion coefficient. One group
334 developed a model that accounted for diffusive flow of vapour in the pore space as well
335 as that of interlamellar water.

336 *Richards' equation.* Flow of water under partially saturated conditions was modelled
337 using Richards' equation, which accounts for relative permeability and capillary pressure
338 effects of the liquid phase, but ignores the presence of a viscous, compressible, and
339 dissolvable gas phase.

340 *Two-phase flow formulation.* A two-component (water and air), two-phase (liquid and
341 gas) formulation was used to account for flow and potential trapping of the gas initially
342 present in the bentonite.

343 *Simplified saturation method.* One modelling group developed a method referred to as
344 simplified saturation method, in which the storativity term in the balance equations for
345 saturated flow is modified to account for the increase in water storage volume available
346 under partially saturated conditions.

347 It should be noted that the differences between these formulations are relatively minor,
348 specifically in comparison to uncertainties in their parameters, the high spatial variability
349 in properties, and the potential effects of thermal, mechanical and geochemical processes.

350 All models are relatively simple. They are based on Darcy’s law with static and non-
351 hysteretic relative permeability and capillary pressure functions. This simple model was
352 considered applicable to simulate fluid flow in swelling clays, fractures, fracture zones,
353 and tight background rock, although the model choice also reflects the intention of the
354 task to avoid complex coupled models.

355 The physics of fluid flow through swelling clay is less well established. Most models
356 used in Task 8 were based on standard balance equations describing two-phase advective
357 flow through porous media, driven by viscous and capillary forces. An alternative model
358 considered diffusive water transport in two separate continua – the pore space and the
359 interlamellar space – coupled by hydration. The resulting mathematical equations are
360 similar to the Richards equation with a saturation-dependent hydraulic diffusivity (Kröhn
361 2016). Despite the similarities in the governing equations, the underlying physical models
362 and their related conceptual uncertainties remain different.

363 ***Fractured rock representation***

364 Fractures on various scales are likely to dominate groundwater flow in the bedrock,
365 inflow into open and bentonite-filled deposition holes. How to appropriately represent
366 individual fractures or the fracture network as a whole was thus a critical conceptual
367 modelling decision.

368 The task description (Vidstrand *et al.* 2017) provided a detailed description of the
369 geometry of underground openings (tunnels and boreholes) and the known, large
370 hydrogeological structures in the BRIE area. Smaller-scale fractures were described by
371 means of stochastic parameters. Fracture trace maps were made available, showing the
372 intersection of fractures with tunnels and deposition holes. After dismantling of BRIE,
373 the so-called bentographs (photographs of wetting patterns imprinted on the bentonite
374 surface; see Fig. 7 below and Dessirier *et al.* 2017) provided an additional, detailed view
375 of discrete, water-conducting features at the wall of the deposition holes.

376 The modelling groups approached the problem of how to include the effect of a large
377 number of fractures into the numerical model in different ways, arriving at different
378 alternative conceptual models, which in turn led to different emphases of the modelling
379 studies and—most importantly—different conclusions. The approaches used included:

380 *Homogeneous, effective continuum model.* Continuum porous-medium models with
381 effective parameters were used mainly to demonstrate that such an approach would
382 oversimplify the complexity of the system and lead to unreasonable results.

383 *Classic discrete fracture network model.* Discrete fracture network (DFN) models were
384 used by multiple modelling groups, albeit in different ways and for different purposes.
385 The direct translation of the stochastic information of fracture networks from the task
386 description yielded classic DFN models (see Fig. 4a), whereby multiple realisations of
387 fracture networks that honour these statistics were generated. Some modelling groups
388 conditioned the networks on fracture trace maps, and/or changed the statistics to account
389 for the assumptions that not all fractures conduct water. Classic DFNs neglect interaction
390 with the rock mass that is in between the fractures. They also neglect the impact of
391 fractures that were smaller than a cut-off value (e.g. that used for fracture mapping).
392 While certain modelling groups chose to only include a subset of mapped fractures into

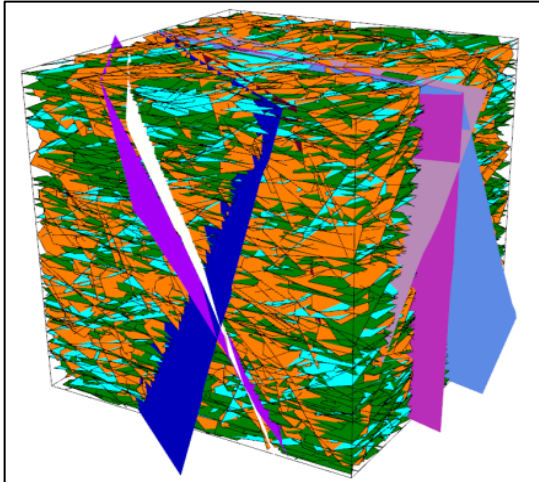
393 the model to account for hydraulically inactive fractures, others refer to the bentographs
394 as supporting their view that all mapped fractures are water-conducting and should thus
395 be represented in the model. Finally, only fully saturated flow was considered in the rock.

396 *Discrete fracture network model as basis for a stochastic continuum model.* Multiple
397 modelling groups generated DFNs that were then mapped onto a continuum grid.
398 Effective continuum properties were determined (either based solely on geometry or by
399 performing local upscaling flow simulations) and assigned to each computational grid
400 block, arriving at a heterogeneous continuum model (see Fig. 4b). Different approaches
401 were used to upscale and map fracture properties to the continuum scale. Grid blocks that
402 were not intersected by a fracture were assigned background rock properties.

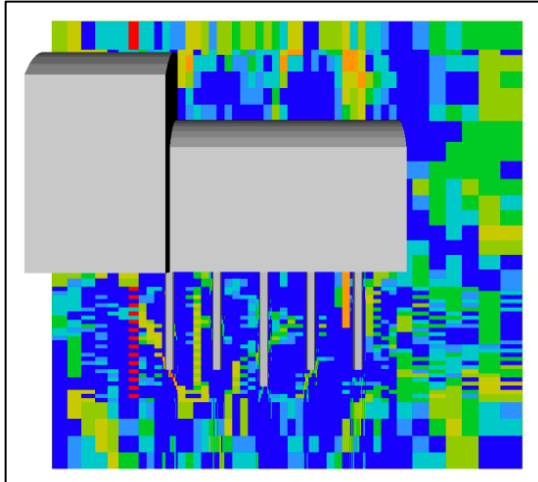
403 *Hybrid discrete fracture network model and continuum model.* Some modelling groups
404 posited that the discrete inflows across the rock-bentonite interface critically determine
405 bentonite wetting, whereas the details of the far-field fracture network are insignificant as
406 long as the network provides connectivity from a sufficiently large water source to the
407 near field. Based on this conceptualization, they developed a hybrid model in which the
408 mapped fractures intersecting the deposition hole are deterministically implemented into
409 the model, while the far field is presented as a homogeneous, effective continuum (see
410 Fig. 4c).

411 *Artificial fractures and skin zones.* The large impact of the fractures intersecting the
412 deposition holes prompted an *ad hoc* inclusion of “artificial fractures”. Similarly, skin
413 zones were introduced to account for potential changes in fracture and background rock
414 properties near underground openings (see Fig. 4d).

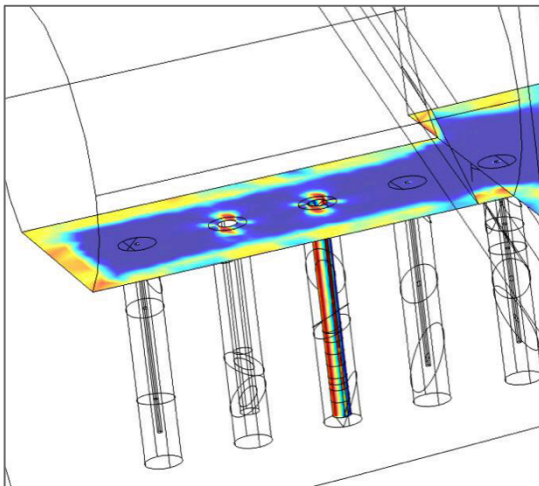
415 The list above demonstrates the variety of models developed for the natural barrier
416 system of Task 8, which provides a unique opportunity to study the impact of alternative
417 conceptualizations on predictions and conclusions. The wide variety is noteworthy
418 specifically since all models were based on the same, rather extensive set of fracture data
419 provided in the task description.



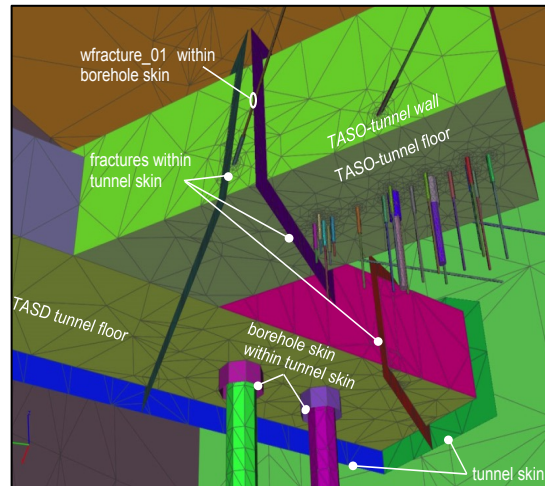
(a)



(b)



(c)



(d)

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424 **Fig. 4.** Alternative representations of fractured rock: (a) classical discrete fracture
425 network model, (b) heterogeneous effective continuum model with deterministic
426 fractures around boreholes, (c) hybrid DFN-continuum model, and (d) model with
427 artificial fractures and skin zones.

428 ***Bentonite representation***

429 It is generally recognized that bentonite has complex coupled THMC behaviour
430 (Wieczorek et al. 2017). The swelling properties of bentonite and specifically the relation
431 between saturation, swelling pressure, absolute and relative permeabilities, and
432 capillarity, are complex, non-linear functions that are difficult to measure experimentally
433 and to implement into a numerical model. Impacts of ionic strength and temperature may
434 also need to be accounted for. Further the emplaced bentonite was formed of multiple
435 cylinders (Fig. 1) on a central tube, with the potential for preferential flows between
436 cylinders.

437 Despite this complexity, all modelling groups treated the bentonite as a conventional,
438 homogeneous porous medium, i.e., the use of classic flow equations and standard relative
439 permeability and capillary pressure functions was considered appropriate for predicting

440 bentonite hydration, acknowledging that the fitted capillary pressure curve accounted for
441 other effects such as osmotic pressure. This confidence is mainly based on previous
442 modelling of water uptake in bentonite (both in laboratory and field-scale experiments;
443 Alonso *et al.* 1998; Gens *et al.* 2002; Vaunat and Gens 2005) and the success most
444 modelling groups had in reproducing the data from the water-uptake test (Fig. 2). The
445 WUT was a well-controlled laboratory experiment; the measured cumulative water
446 uptake as well as saturation and relative humidity profiles were well matched by the
447 models with minor adjustments of parameters.

448 ***Subsystem coupling***

449 Task 8 required examination of the interaction between two, linked subsystems—the
450 natural, fractured rock and the engineered, bentonite-filled deposition holes. These two
451 subsystems can be combined by using a single model and a single simulator, or by
452 coupling two models, each using a separate simulator.

453 Each modelling group chose one of the following coupling approaches that seemed
454 appropriate for their conceptual model, available software, and research focus:

- 455 • *No coupling*: Information about the system state in the rock was transferred to the
456 simulation of bentonite hydration in only a qualitative or conceptual way.
- 457 • *One-way coupling*: Information about the system state in the rock was transferred to
458 the simulation of bentonite hydration in a quantitative manner (e.g., by specifying
459 flow rates at the bentonite surface), but without accounting for feedback mechanisms
460 between the two subsystems.
- 461 • *Iterative coupling*: State variables from one subsystem were specified as boundary
462 conditions for the other subsystem; they were iteratively updated.
- 463 • *Full coupling*: The natural and engineered barrier systems were simulated using a
464 single code and model, with all state variables solved simultaneously in a fully
465 coupled system of equations.

466 The approach of separating processes and subsystems and studying them separately has
467 certain advantages. It enables the use of specialized modules for each of the subsystems.
468 For example, a DFN model can be developed to represent saturated flow in the fractured
469 rock, and a continuum model can be developed to simulate two-phase flow in the
470 bentonite. The strategy used to link the two models may provide an opportunity to
471 implement or otherwise account for specific, difficult-to-simulate processes occurring at
472 the interface between the two subsystems. The approach may also be computationally
473 more efficient because (a) the system of fully coupled partial differential equations is
474 smaller, (b) only the processes relevant for each subsystem need to be captured (e.g., two-
475 phase flow conditions only need to be simulated in the bentonite, whereas fully saturated
476 conditions can be assumed in the fractured rock), and (c) model domain scales and
477 computational meshes can be independently optimized for the larger rock system and the
478 smaller bentonite system.

479 On the other hand, treating the subsystems separately requires the development of a
480 linking strategy between the two codes and models. This likely induces additional
481 modelling errors that are difficult to detect or quantify. Specifically, the approach may

482 not be able to account for feedback mechanisms between the two subsystems, unless an
483 iterative coupling scheme is employed. Overall, it may make the approach less
484 transparent.

485 The approach in which both the natural and engineered barrier systems are simulated
486 using a single coupled code and model, results in a fully integrated treatment of the entire
487 system, which by design automatically accounts for feedback mechanisms between the
488 two subsystems. This approach may be considered more transparent. However, a single
489 model may not be able to optimally represent the specific processes in each of the
490 subsystems. Moreover, processes at the interface between the two systems, which may be
491 fundamentally different, may not readily be included. Finally, simultaneously solving all
492 coupled governing equations, generally with nonlinear feedbacks, of the entire system is
493 computationally demanding.

494 ***Model calibration and conditioning***

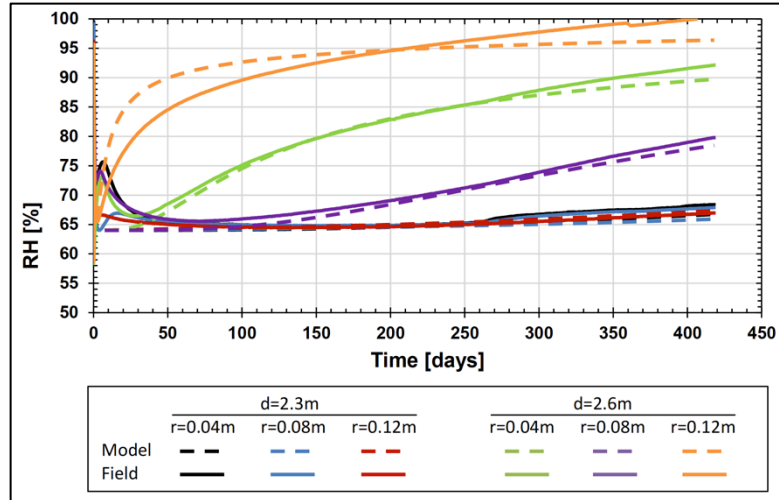
495 As a result of the abstraction process of developing a conceptual model, the governing
496 equations necessarily contain effective parameters that are site specific and thus need to
497 be determined by conditioning or calibrating the model using data from the BRIE. The
498 estimation of effective properties also allows for partial compensation of errors in the
499 conceptual model. Calibration is thus a key element of model development with
500 considerable impact on the model's final ability to explain, reproduce and predict the
501 system behaviour of interest.

502 The BRIE experiment provided characterisation data (such a fracture trace maps,
503 hydraulic properties from core samples and *in situ* flow tests, inflows into tunnels and
504 open deposition holes, pressures in packed-off borehole intervals, as well as relative
505 humidities and water contents in the bentonite and near the borehole wall) that could be
506 used for adjusting conceptual models and calibrating parameters. Furthermore, relative-
507 humidity evolution data (Fig. 5a) were made available to the modelling groups during
508 the course of the field experiment and were used to calibrate the models before the field
509 experiment was dismantled. The features and parameters adjusted by the modelling
510 groups included effective permeability of the fractured formation or rock mass between
511 discrete fractures, skin zone permeability, and transmissivity of larger discrete features as
512 well as the inclusion or removal of borehole-intersecting fractures. Moreover, DFN
513 models were typically conditioned on fracture traces (Fig. 5b), and specific DFN
514 realisations were selected on the basis of the match to observed inflow data (Fig. 5c).
515 Data from the water-uptake test helped develop confidence in the representation of the
516 bentonite's hydraulic behaviour (Fig. 6). Matching the data from sensors installed in the
517 field and additional information obtained after dismantling of BRIE proved more
518 challenging. Deviations between measured and calculated saturations and relative
519 humidities were mainly attributed to inaccuracies in the relative positioning of sensors
520 and fractures, uncertainty in fracture and background rock inflow rates, and experimental
521 incidents with simplifications for the conceptual models.

522 Most modelling groups evaluated the misfit between model output and measured data
523 either visually or by comparing individual residuals. No formal calibration method was
524 used, whereby an objective function (within either a maximum likelihood or Bayesian
525 framework) is minimized or mapped out using an appropriate optimization or sampling

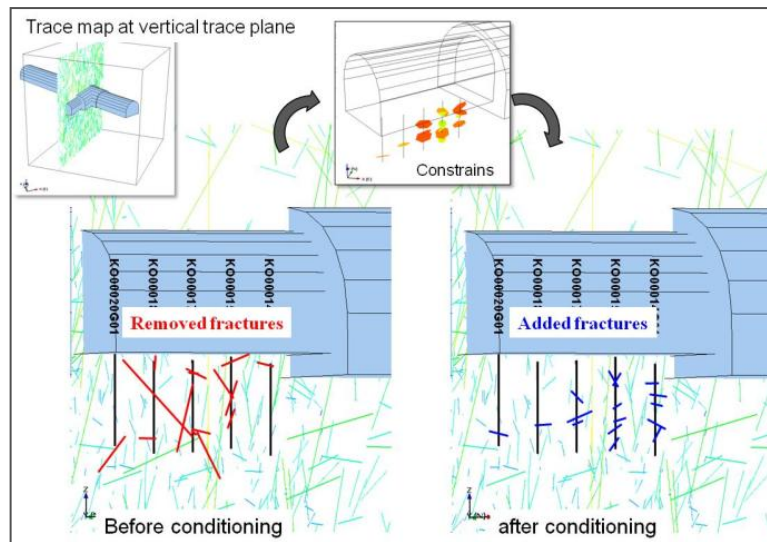
526 algorithm. The main disadvantage of not using a formal approach is the lack of an
527 *a posteriori* error and uncertainty analysis that would provide considerable insights into
528 the system behaviour and potential ill-posedness of the inverse problem. Some modelling
529 groups commented on ambiguities, goodness-of-fit, the structure of the residuals, and
530 their confidence in the estimates obtained by the calibration effort.

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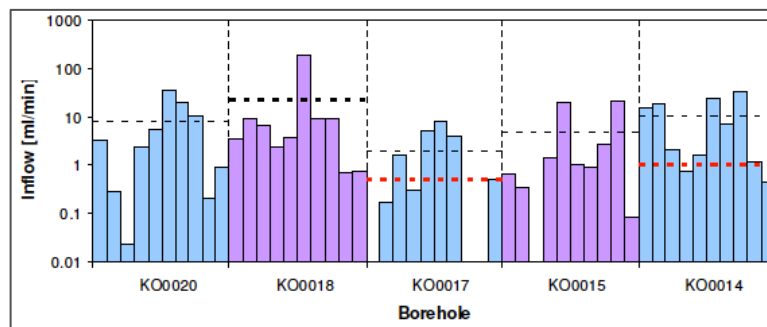
(a)

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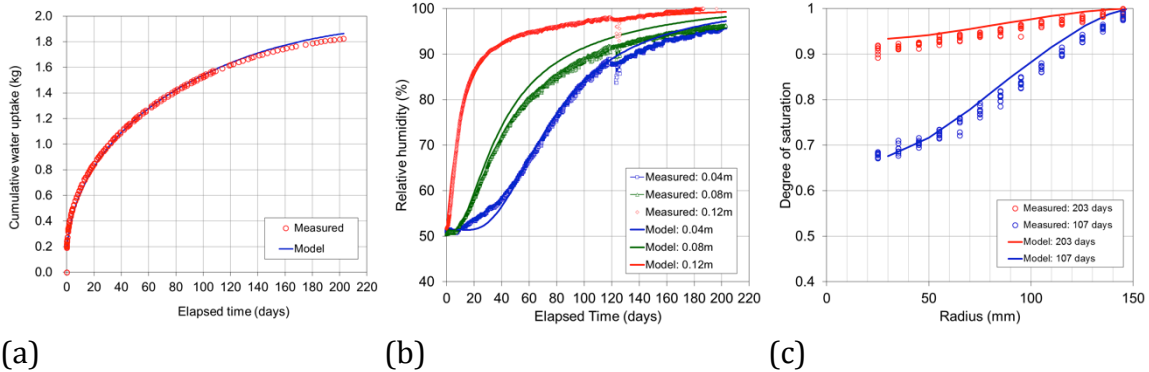
(b)

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(c)

537 **Fig. 5.** Examples of the use of characterization and monitoring data: (a) reproducing
538 relative humidity data; (b), conditioning of fracture locations and orientations; (c)
539 selection of DFN realization that best reproduces inflow into open surrogate deposition
540 hole.



541
542

(a) (b) (c)
Fig. 6. Comparison between measured and calculated (a) cumulative water uptake, (b) relative humidity, and (c) saturation for the WUT.

545 **Software**

546 The software tools used by the modelling groups ranged from well-established reservoir
 547 simulators to general-purpose PDE solvers to adaptations of existing tools for the
 548 incorporation of partially saturated conditions to new developments based on alternative
 549 formulations of the governing equations. In some cases, multiple tools were used, each
 550 dedicated to solving the flow problem particular to one of the two subsystems (fractured
 551 rock and bentonite).

552 It is acknowledged that the simulator available to a modelling group has an impact on the
 553 conceptual model in that its capabilities in part determines which processes are being
 554 considered, what features can be readily implemented, and how detailed the
 555 representation can be given its spatial discretization scheme and computational
 556 efficiency.

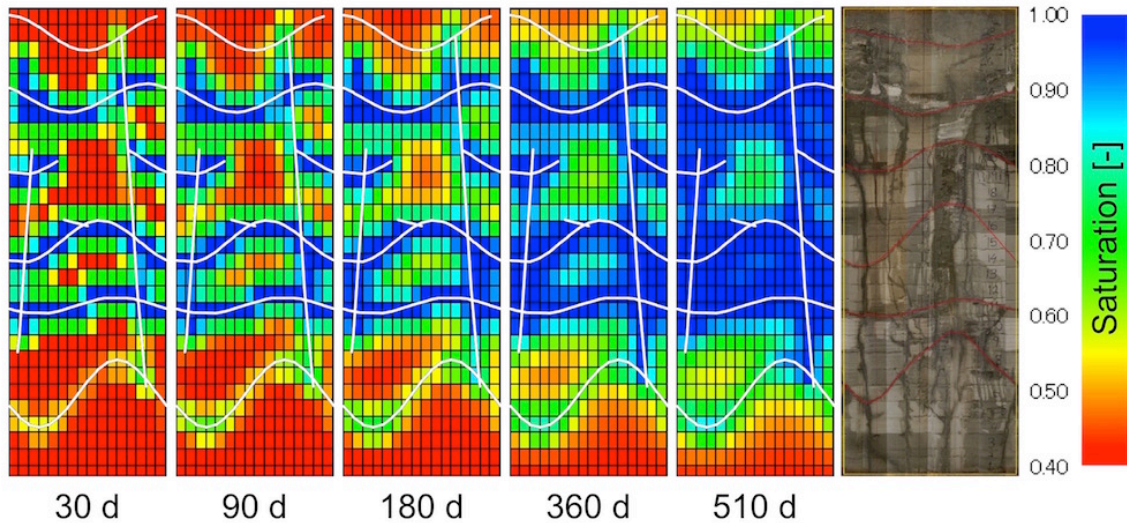
557 **Simulation results**

558 Simulating the evolution of pressures, flow rates, and saturations with a reference model
 559 and variants thereof allowed the modelling groups to better understand the factors
 560 affecting flow through the fractured rock, across the rock-bentonite interface, and within
 561 the bentonite during its hydration. Simulation results were visualized and qualitatively
 562 compared to observations from BRIE. For example, rightmost panel in Fig. 7 (referred to
 563 as a “bentograph”) was taken after dismantling of BRIE. It shows wetting patterns visible
 564 on the bentonite surface after having been in contact with the fractured rock for 17
 565 months. The visual patterns were correlated to increased saturation, reflecting bentonite
 566 hydration (Dessirier *et al.* 2017). An example of corresponding numerical results is
 567 shown on the left panels. They represent one realization of a DFN model coupled to an
 568 unsaturated flow model for simulating bentonite wetting. The differences between the
 569 observed and simulated wetting patterns can be attributed to parametric and conceptual
 570 uncertainties. For example, the DFN model may have been conditioned to a geological
 571 fracture trace map that only identified some, but not all, water-bearing features.

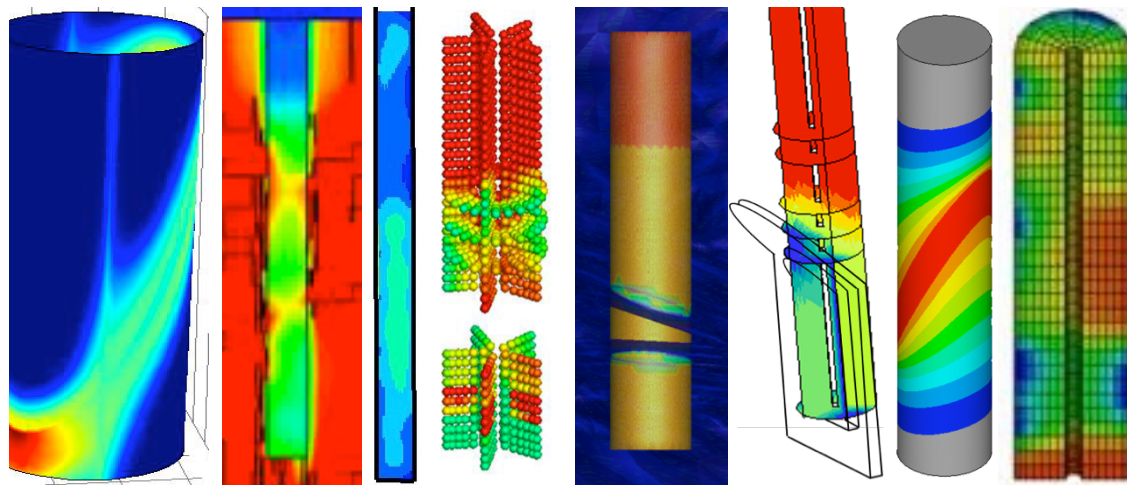
572 Bentonite saturation distributions obtained with alternative modelling approaches are
 573 shown in Fig 8. A qualitative comparison of the patterns suggests that conceptual model
 574 decisions have a noticeable effect on the way water is carried to the interface and imbibed
 575 into the bentonite. Nevertheless, all models show that deposition holes excavated from

576 fractured bedrock will experience discrete inflows and thus heterogeneous wetting of the
 577 bentonite. It becomes obvious that the location and orientation of the water-conducting
 578 fractures intersecting the hole determine wetting patterns and wetting times, and may
 579 compromise the homogenization function ascribed to the backfill material.

580 In addition to qualitative comparisons, specific predictions were made of the amount of
 581 water flowing into open probing and surrogate deposition holes, the relative humidity
 582 conditions within the bentonite, and the time needed to achieve a certain level of overall
 583 hydration.



584
 585 **Fig. 7.** Simulated (left) and observed (right) wetting patterns at the interface between
 586 fracture rock and the bentonite buffer.



588
 589 **Fig. 8.** Saturation distributions in bentonite calculated using different conceptual models.

590 **Comparison and discussion**

591 The fact that 11 modelling groups addressed a common set of questions using disparate
 592 data interpretations, conceptualizations, modelling approaches and simulation codes
 593 provided a unique opportunity for a comparison study. The purpose of such a comparison

594 is not to identify the “correctness” of a prediction; instead, the goal is to obtain some
595 insights into the variability in predictive results. More importantly, the various
596 interpretations of data and simulation results and the evaluation of all findings improve
597 overall system understanding and are likely to help identify areas where the knowledge
598 can be considered sufficient or in need of further research.

599 We next present some of the similarities and differences in assumptions and results,
600 before discussing how these differences affected the main conclusions reached by the
601 modelling groups.

602 *Conceptual models*

603 The different formulations used to describe flow in bentonite and fractured rock all
604 appear valid approximations, as demonstrated by successful reproduction of a reference
605 solution that included radial wetting of a bentonite parcel intersected by a fracture, and
606 the reproduction of the laboratory water-uptake test. Nevertheless, the choice of the
607 conceptual model and associated governing equations appeared to have an impact on the
608 research topic chosen for analysis, and the simulation results and conclusions. In
609 particular, using a full two-phase formulation allowed for an analysis of gas trapping,
610 which may be relevant when calculating the wetting time needed to (almost) reach
611 complete saturation of the bentonite (Dessirier *et al.* 2014; 2015). Moreover, the impact
612 of potential desaturation of the host bedrock could not be analysed when using a saturated
613 flow model.

614 The modelling groups’ conceptualisations also appear partly driven by their choice of
615 simulation software, specifically the ability to generate stochastic fracture networks.
616 Once this choice was made, however, the subsequent analyses were partly limited (e.g.,
617 to saturated flow without consideration of matrix or unmapped small fractures). This may
618 have led to a bias in the conclusions. Conversely, DFN models were able to examine the
619 spread of predictions as a result of spatial variability, randomness, and uncertainty in
620 fracture characterisation.

621 The modelling groups that developed a hybrid approach generally went through a
622 detailed examination of conceptual issues based on available data and their system
623 understanding. They assessed the potential influence of each natural feature and related
624 modelling component, and discussed the appropriateness of the simplifications they made
625 (specifically the decision not to use a DFN in the far field). This deliberate consideration
626 of the balance between fidelity and computational efficiency generally led to well-
627 documented, defensible conceptual models.

628 The calibration process had the following notable outcomes. The accurate reproduction of
629 the water-uptake test data—with little need for parameter adjustments—gave
630 considerable confidence in the way the bentonite was represented and parameterised in
631 the model. The confidence in the bentonite model helped reduce ambiguities when
632 analysing data from BRIE dismantling and the bentographs. Key uncertainties in model
633 predictions were almost exclusively attributed to uncertainties in the geometry,
634 connectivity, and hydrogeological properties of the fractured rock.

635 As parameters are related to the chosen conceptual model, adjusting them to match the
636 observed data necessarily led to a changed perspective of the system. The corresponding

637 conclusions, however, may be biased due to limitations in the conceptual model.
638 Specifically, the relative impact of fractures and the rock mass on bentonite hydration
639 depends on the parameterization of the rock formation. A DFN model that does not
640 account for flow through the rock mass compensates for that fact by further increasing
641 the transmissivity of the fracture network during the calibration process, thus giving rise
642 to the notion that background rock flow is insignificant. Conversely, a model that
643 overestimates background rock flow due to an artefact in the upscaling procedure may
644 underestimate the importance of the fractures for bentonite wetting. Whereas such errors
645 would be negligible in predictions of large-scale inflows to various sections of the main
646 tunnel at the Äspö HRL, they may need attention at the smaller scales relevant for
647 deposition holes, since deposition holes may be placed deliberately in intact regions of
648 the rock that contain low-conductive fractures only (Tirén *et al.* 1999).

649 To make DFN models better reproduce observed data, several adjustments of a different
650 nature were made. These adjustments included (a) conditioning the network to known
651 fracture traces in deposition holes, (b) calibrating fracture transmissivities and other
652 properties, and (c) picking the realisation that best matches observed inflow data. This
653 flexibility in adjusting different DFN model components makes it relatively easy to
654 match observed data. At the same time, it becomes difficult to avoid ambiguities and non-
655 uniqueness that is inherent in an underdetermined inverse problem. Furthermore, it is
656 difficult to see which aspect of the fracture network (e.g., its geometry, or hydraulic
657 properties, or spatial randomness) most critically affects bentonite hydration. On the
658 other hand, the stochastic framework adopted by DFN modellers allowed them to
659 examine the rather wide spread of results that is solely due to irreducible variability in the
660 statistical descriptions of fracture network structure.

661 The methods used to calibrate and condition a DFN (or hybrid models that are supported
662 by a DFN) are interesting, as they address the difficult issue of concurrent parameter
663 estimation and model structure identification. They also raise some fundamental
664 questions about the appropriate level of model complexity, the relation between
665 uncertainty and variability, and the relative importance of geometric and hydrogeologic
666 properties.

667 ***Predictions***

668 One purpose of numerical simulations is to make quantitative predictions of a future
669 system behaviour that cannot be readily inferred from historical data or from studying
670 analogue systems. The numerical models developed as part of Task 8 were used to
671 calculate a full set of independent and derived state variables at a large number of points
672 in space and time. A small subset of these model outputs was identified as representing
673 the system behaviour of interest, i.e., the quantities that most directly speak to the
674 modelling objectives of understanding the exchange of water across the bentonite-rock
675 interface and bentonite wetting, which may be criteria used for characterising the
676 suitability of deposition holes. These outputs are termed “performance measures”, and
677 they naturally offer an opportunity to quantitatively compare the outcome of alternative
678 conceptual and numerical models. The performance measures of interest are the inflows
679 into probing and surrogate deposition holes as well as the time for hydrating the bentonite
680 to a specific saturation level (typically 95%). The following subsections summarize the
681 values and (if reported) uncertainty ranges obtained by each of the modelling groups.

682 Inflow into open boreholes was selected as a performance measure because it may be
683 used as a screening criterion for the suitability of a deposition hole. Moreover,
684 reproducing or predicting inflow may indicate whether a model reasonably represents
685 flow processes in the fractured rock, with potential near-field modifications of properties.
686 Estimating inflow is also essential as it determines water availability for bentonite
687 hydration, and whether such wetting is controlled by the host rock or by the bentonite
688 water-uptake capability.

689 The modelling groups calculated inflow into open boreholes for a large variety of
690 conditions. In general, most modelling groups adjusted their models to improve the fit to
691 the measured inflows, as discussed above. The calibration or conditioning efforts
692 considerably narrowed the spread of reported inflow predictions, both within and
693 between modelling groups. For example, the groups reported mean or median inflows
694 between 0.08 and 0.46 ml/min, with minimum to maximum inflows ranging from 0.0 to
695 30 ml/min. Notably, some of the modelling groups reported very narrow ranges,
696 suggesting high confidence in their predictions, whereas others provided wide ranges. In
697 general, it appears difficult to blindly predict inflows from a fractured rock into an open
698 borehole, i.e., models needed to be adjusted and calibrated to reproduce measured
699 inflows. These adjustments not only required static characterisation data (such as
700 stochastic fracture properties or fracture traces used for deterministic conditioning of the
701 fracture networks at each of the boreholes), but also dynamic data, such as pressures
702 and—specifically—inflow data, i.e., exactly the type of data for which predictions are to
703 be made. Prediction ranges were relatively large, even if the models were conditioned
704 and calibrated.

705 It is important to realize that the prediction of inflow into an open borehole may not be as
706 critical for the prediction of bentonite wetting, as long as the order of magnitude of the
707 inflow correctly identifies the dominant regime (i.e., whether water supply from the
708 fractured rock is below or exceeds the water-uptake capacity of the bentonite).

709 Bentonite wetting time was selected as a performance measure because it is expected to
710 be a key target prediction for numerical models that simulate the interaction between the
711 natural and engineered barrier systems. The safety performance of the bentonite buffer
712 partly depends on its swelling behaviour, which requires predicting the availability and
713 uptake of sufficient formation water from the host rock.

714 The time until the entire bentonite buffer in a given borehole reaches a predefined
715 average saturation was reported as the second performance measure. The importance of
716 the location of fracture intersections (i.e., discrete inflow points) and the amount of water
717 provided through the rock mass were universally recognized. Bentonite wetting times
718 between less than one and more than 100 years were predicted. The range of wetting
719 times reported by each modelling group is substantially narrower than the overall range
720 obtained by combining the results from all modelling groups. All modelling groups
721 reproduced the wetting time of the water-uptake test well. Predicting the idealized
722 conditions of the WUT did not translate into similar wetting time predictions for the
723 bentonite buffer in a larger deposition hole, which is highly determined by the location
724 and geometry of discrete inflow points and water availability. Taken together, this
725 demonstrates the relevance in field-scale investigations of using a multi-model approach
726 that involves different modelling teams. The finding is, for instance, consistent with

727 experiences from assessments of regional (hydro-)climatic change, where the benefits of
728 using ensemble projections over individual model projections are well known (e.g., Jarsjö
729 *et al.* 2012; Bring *et al.* 2015). Moreover, while the unique data set provided by the
730 dismantling of BRIE significantly improved the fundamental understanding of the
731 interface between the natural and engineered barrier systems, quantitative predictions of
732 the data collected at sensors placed within the bentonite proved challenging.

733 The modelling groups' confidence in their predictions of bentonite wetting rests to a large
734 degree on the favourable reproduction of data from the water-uptake test and the relative
735 humidity data measured in the bentonite after BRIE dismantling.

736 ***Main interpretations and conclusions***

737 As indicated above, differences in the conceptualization of the system and the
738 implementation in a numerical model not only lead to differences in the predicted
739 performance measures, but also to some disparities in interpretations and conclusions.
740 This is expected as interpretations and conclusions are necessarily related to the
741 conceptual model. Recognizing both consensus and disagreements about which features
742 influence bentonite wetting and how well they are understood is an essential step towards
743 the development of a defensible model prediction.

744 There was general consensus about the importance of the number, location, orientation,
745 and properties of the discrete, water-conducting fractures that intersect the deposition
746 holes, as they have a high impact on wetting patterns and bentonite hydration times.
747 Mapping these fractures and deterministically implementing them into the model is
748 essential for reliably predicting bentonite wetting. Furthermore, bentonite properties,
749 specifically sorptivity (permeability and water retention curve) are key parameters. It
750 seems to be possible to characterise or infer these properties with sufficient accuracy
751 from laboratory tests of a single block (e.g., through a water-uptake test) despite them
752 being emplaced as a stack of several blocks in the BRIE in situ experiments.

753 The second group of factors is related to the means by which water is carried to the
754 deposition hole. The modelling groups' opinion on the relative importance of the fracture
755 network versus that of the rock mass again reflects the chosen conceptual model. The
756 modelling groups that developed a DFN considered the structure of the fracture network
757 to be an essential component of the system that needs to be well understood to properly
758 capture connectivity and water availability. These features are described using stochastic
759 concepts; they thus have a random component that reflects spatial variability. It is
760 noteworthy that this randomness is removed (even though the statistical metrics are
761 preserved) at the interface itself, where mapped fractures are inserted deterministically.
762 The modelling groups that developed an effective continuum model or a hybrid model
763 concluded that the details of the far-field fracture network structure is of limited
764 relevance and can thus be subsumed into a simplified continuum representation.
765 Characterisation of the far field thus can be limited to a few effective properties that
766 capture the formations ability to provide water to the region immediately surrounding the
767 deposition holes. For dry sections of deposition holes that are not intersected by water-
768 conducting features, the permeability of the rock mass (which may include
769 microfractures) was considered important, but not explicitly included in the DFN models.

770 The third group of factors includes features that most modelling groups considered of

771 limited importance. These factors included the large discrete features (deformation
772 zones), which were generally considered of limited influence as long as they do not
773 directly intersect a deposition hole or tunnel. The interface between the bentonite and the
774 fractured rock was not explicitly considered in the modelling. For example, phenomena
775 related to water movement from rock microstructure to bentonite interlayers and mineral
776 surfaces were not taken into account. It can be assumed that the effects of phenomena
777 specific to the rock-bentonite interface on the exchange of water from the natural to the
778 engineered barrier system were either unknown or believed to be irrelevant. The details
779 of the far-field boundary conditions were also considered insignificant as long as they
780 supplied fluid and pressure support for the fractures carrying water to the deposition
781 holes.

782 Both these agreements and discrepancies have to be considered when deciding what
783 characterisation data are to be collected to improve the reliability of model predictions of
784 bentonite wetting and ultimately deposition hole siting.

785 ***Characterisation and research needs***

786 The prioritisation of characterisation and research needs is driven by the overall
787 understanding of how the natural and engineered barrier systems interact with each other,
788 and by the ranking of (uncertain) features that control bentonite wetting. The modelling
789 groups generally agreed that bentonite properties can be sufficiently well characterized,
790 i.e., residual uncertainty in predictions of bentonite wetting mainly result from
791 uncertainties in the bedrock, specifically the fractures intersecting the deposition holes.
792 Moreover, while the importance of fractures was recognized, it remains unclear which
793 fracture characteristics need to be determined with high accuracy, and how they may be
794 best included in a numerical model. Practical limitations (e.g., feasibility of detailed
795 mappings of inflows or fractures intersecting probing holes) also need to be considered.

796 Despite some differences in the modelling groups' detailed views, it appears necessary to
797 have sufficient characterisation data of the bentonite's water-uptake properties, and
798 geometric and hydraulic properties of the local fractures intersecting the deposition hole.
799 At the Äspö Hard Rock Laboratory, the network of intermediate-scale fractures seems
800 sufficiently connected to provide water to the deposition holes in amounts exceeding the
801 demand of the bentonite; consequently, a simplified representation of the far field appears
802 justified at this specific site.

803 Coupled processes were deliberately excluded from this Task Force study in both the
804 field experiment (BRIE was designed as an experiment without mimicking the thermal
805 output of high-level radioactive waste), and the numerical modelling, which focused on
806 hydrological processes. It was fully recognized that the exclusion of coupled THMC
807 processes limited the ability of the models to explain and reproduce the field data, or to
808 predict relevant system behaviour. However, it should be noted that there are
809 considerable conceptual and quantitative uncertainties in the THMC coupling terms. The
810 need to include complex coupled processes into numerical models (a topic of active
811 research) should thus be assessed in the light of the specific technical question being
812 addressed.

813

814 **Concluding remarks**

815 The main purpose of the Task 8 studies was to improve overall understanding of the
816 water exchange between the natural and engineered barrier systems. Based on this
817 improved understanding, secondary objectives can be achieved. In particular,
818 characterisation methods are to be developed that help modellers improve their
819 predictions of bentonite wetting times, which can then be used to establish deposition
820 hole criteria.

821 One of the most beneficial outcomes of Task 8 is the large number of alternative
822 conceptual models that were developed to address a common issue based on a common
823 set of characterisation data and background information. The variety of approaches taken
824 to assess the interaction between the fractured rock and the bentonite buffer in a
825 deposition hole led to insights and conclusions that can be considered robust in cases
826 where different groups converged on a consistent understanding, and highlight
827 fundamental uncertainties, ambiguities, or lack of defensible understanding in cases
828 where opposing views were held despite the common information available to and shared
829 among the modelling groups. Both of these types of insights are equally valuable.

830 In particular, the range of predicted bentonite wetting times obtained in individual models
831 were considerably narrower than the range encompassed by the ensemble results of all
832 models. This was not the case when the same models were applied to more well-defined
833 laboratory set-ups, hence reflecting an on-set of conceptual uncertainty impacts in larger
834 (field scale) applications. More generally, these results demonstrate the relevance of
835 using a multi-model approach that involves different modelling teams in field-scale
836 hydrogeological applications. Thus far, such practice is uncommon in the field of
837 hydrogeology, although the considerable benefits of ensemble model results over
838 individual model results are well known for Earth system model projections of regional
839 (hydro-)climatic change.

840 Conceptual understanding evolved during the modelling exercise of Task 8. Interestingly,
841 this does not necessarily lead to a reduction in conceptual model uncertainty, as new
842 features were detected, or their relevance for predicting bentonite wetting had to be
843 updated. As the ranking of features changes, so does the accuracy with which they need
844 to be characterized. An example is the need to accurately map discrete features
845 intersecting and providing water to a deposition hole; the need for such specific
846 information goes beyond a stochastic description of the fracture network.

847 Conceptual uncertainty remains difficult to assess even if multiple alternative models are
848 available for comparison. There are multiple reasons for this, among which is the fact that
849 conceptual models are often developed within the framework and constraints of the
850 available simulation tools rather than based on a critical assessment of key features and
851 processes. Alternative conceptual models also overlap considerably and in significant
852 aspects (notably the use of a common set of incomplete characterisation data, or the use
853 of identical or very similar governing equations); they thus do not produce the spread of
854 results one would expect from truly independent conceptualizations. Calibration of
855 models against a common set of observations partly absorbs conceptual modelling errors;
856 however, this benefit does not necessarily translate into a higher reliability in model
857 predictions. Finally, the universe of possible conceptual models is essentially infinite,

858 making it impossible to examine a sufficient number of viable alternatives.

859 The importance of fractures for understanding and predicting fluid flow and bentonite
860 hydration is universally recognized. Nevertheless, there remain considerable differences
861 in the assessment of which properties of fractures and fracture networks are most
862 essential, how to best characterize them, and how to properly include them in a
863 representative and efficient manner in a numerical model. The differences in the
864 modelling groups' view are essential, as they determine the choice of (potentially costly)
865 characterization methods and modelling approaches.

866 As uncertainties and errors in the conceptualisation of complex systems are unavoidable,
867 the question arises to which extent decisions should be based on modelling results or site-
868 specific data. It became evident that deposition hole siting decisions will need to be based
869 on both local characterisation data and some (potentially simplified) predictive
870 modelling.

871 Finally, the availability of multiple conceptual models focussed on shared objectives
872 provided a wider set of constructs (e.g. the nearfield/farfield split in the hybrid models)
873 with which to consider system behaviour and to generalise the results to repository
874 conditions.

875 **Acknowledgement**

876 The authors would like to thank SKB for hosting the Task Forces on Engineered Barrier
877 Systems (EBS Task Force) and on Ground Water Flow and Transport of Solutes
878 (GWFTS Task Force) as well as the BRIE experiment, and for its financial and logistical
879 support. The authors would also like to thank the Task Force Delegate organizations,
880 including BMWi, CRIEPI, DOE, JAEA, KAERI, Posiva Oy, and SKB, for their
881 contributions and financial support.

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 1009

1010 **Figure Captions**

1011 **Fig. 1.** The BRIE site in the TASO tunnel: drilling of the 300 mm boreholes (left),
1012 emplacement of the 3 m bentonite blocks (middle), extraction of bentonite blocks after 17
1013 months (right) (source: Fransson *et al.* 2017).

1014 **Fig. 2.** Schematic and photograph of the BRIE water-uptake test. Water is introduced via
1015 the outer cylindrical filter. The 100 mm bentonite block is identical in material to the
1016 stack of blocks emplaced in the BRIE in situ experiments (Fig. 1) (source: Fransson *et al.*
1017 2017).

1018 **Fig. 3.** Schematic of key system elements, processes, and modelling issues related to the
1019 interaction between fractured diorite and a bentonite-filled surrogate deposition at the
1020 Äspö Hard Rock Laboratory, Sweden.

1021 **Fig. 4.** Alternative representations of fractured rock: (a) classical discrete fracture
1022 network model, (b) heterogeneous effective continuum model with deterministic
1023 fractures around boreholes, (c) hybrid DFN-continuum model, and (d) model with
1024 artificial fractures and skin zones.

1025 **Fig. 5.** Examples of the use of characterization and monitoring data: (a) reproducing
1026 relative humidity data; (b), conditioning of fracture locations and orientations; (c)
1027 selection of DFN realization that best reproduces inflow into open surrogate deposition
1028 hole.

1029 **Fig. 6.** Comparison between measured and calculated (a) cumulative water uptake, (b)
1030 relative humidity, and (c) saturation for the WUT.

1031 **Fig. 7.** Simulated (left) and observed (right) wetting patterns at the interface between
1032 fracture rock and the bentonite buffer.

1033 **Fig. 8.** Saturation distributions in bentonite calculated using different conceptual models.