1	Determination of chemical properties in 'calçot' (Allium cepa L.) by near
2	infrared spectroscopy and multivariate calibration
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22 ABSTRACT

'Calcots', the immature floral stems of second-year onion resprouts, are an economically important 23 traditional crop in Catalonia (Spain). Classical approaches to evaluating the chemical properties of 24 'calcots' are time consuming and expensive; near-infrared spectroscopy (NIRS) may be faster and 25 cheaper. We used NIRS to develop partial least square (PLS) models to predict dry matter, soluble 26 solid content, titratable acidity, and ash content in cooked 'calcots'. To guarantee the robustness of 27 the models, calibration samples were grown and analyzed in a first season (2014-15) and validation 28 samples in a second season (2015-16). NIRS on puree spectra estimated dry matter and soluble solid 29 content with excellent accuracy (R²_{pred}=0.953, 0.985 and RPD=4.571, 8.068, respectively). However, 30 good estimation of titratable acidity and ash content required using ground dried puree spectra 31 (R²_{pred}=0.852, 0.820 and RPD=2.590, 1.987, respectively). NIRS can be a helpful tool for 'calcots' 32 breeding and quality control. 33

34 **KEYWORDS** 'calçot', multivariate calibration, PLS, NIR, chemometrics, quality

35 1. INTRODUCTION

³⁶ 'Calçots', the immature floral stems of second-year onion (*Allium cepa* L.) resprouts, are ³⁷ economically important for agriculture and restaurants in Catalonia (Spain), where popular ³⁸ celebrations called 'calçotades' bring people to eat cooked 'calçots'. As 'calçots' grow they are ³⁹ covered with soil so that the edible lower part remains white. The European Union has designated the ⁴⁰ Protected Geographical Indication 'Calçot de Valls' (EC No 905/2002) for 'calçots' from the 'Ceba ⁴¹ Blanca Tardana de Lleida' landrace of onions cultivated in four counties.

42 Despite the economic importance of 'calçots', research on this crop is relatively recent. To date, only 43 their agronomic performance has been studied, and some tools for 'calçots' yield breeding have been 44 developed (Simó, Valero, Plans, Romero del Castillo, & Casañas, 2013). As a result, two new 45 varieties with significantly increased yields have been obtained (Simó, Romero del Castillo, Almirall, 46 & Casañas, 2012a); in parallel, a sensory ideotype has been elaborated, determining that the ideal 47 'calçot' has a high level of sweetness, low fiber perception, and no off flavors (Simó, Romero del
48 Castillo, & Casañas, 2012b). Little is known about the chemical components related with the sensory
49 and nutritional attributes of 'calçots', although some studies have focused on total phenols and
50 antioxidant activity (Santas, Carbó, Gordon, & Almajano, 2008; Zudaire et al., 2017).

Breeding programs need to evaluate many accessions or individuals. On the other hand, breeding for 51 organoleptic traits requires sensory analysis with trained panelists who can only assess a limited 52 number of samples per tasting season, thus making it impracticable to deal with a large number of 53 samples (Plans, Simó, Casañas, Romero del Castillo, Rodriguez-Saona, & Sabaté, 2014). Therefore, 54 establishing relationships between chemical composition and sensory traits would facilitate sensory 55 analysis. Such relationships have been established in other crops such as rice, peaches, onions, 56 melons, and apples (Bett-Garber, Lea, McClung, & Chen, 2013; Colaric, Veberic, Stampar, & 57 Hudina, 2005; Crowther et al., 2005; Escribano, Sanchez, & Lazaro, 2010; Harker et al., 2002; Wall 58 & Corgan, 1992). For example, soluble solid content has been widely used to indicate sweetness of 59 fresh and processed horticultural products (Magwaza & Opara, 2015); titratable acidity correlates 60 61 with acid taste perception (Flores-Rojas, Sánchez, Pérez-Marin, Guerrero, & Garrido-Varo, 2009; 62 García-Martínez et al., 2012; Ncama, Opara, Tesfay, Fawole, & Magwaza, 2017); and ash is a rough measure of the amount of mineral cations, which interact with carboxylic acids and facilitate the 63 cross-linking of uronic acid polymers that can increase the perception of fiber (Plans, Simó, Casañas, 64 & Sabaté, 2012). Nevertheless, standard chemical analyses are expensive, laborious, time-consuming, 65 and sometimes require considerably large samples. Thus, breeding programs involving sensory or 66 chemical measurements face several impediments. 67

Near-infrared spectroscopy (NIRS) is a well-established technique for determining the chemical
components of foods (Blanco & Villaroya, 2002; Williams, 2001). Extensive reviews about its use in
meat, dairy products, fruit, and vegetables have been published (Butz, Hofmann, & Tauscher, 2005;
Karoui & De Baerdemaeker, 2007; Prieto, Roehe, Lavín, Batten, & Andrés, 2009; Nicolaï et al.,
2007). Advantages of NIRS include speed and ease of analysis, low cost per test, and the possibility

of simultaneously estimating several properties from a single spectrum. Moreover, NIRS does not
produce chemical waste. All these advantages make it suitable for breeding, research, and/or quality
evaluation when many samples need to be analyzed.

Although NIRS or other rapid techniques have not been used to predict chemical attributes of 76 'calcots', they have been employed in related crops such as onions and garlic. The determination of 77 dry matter content of onions (Birth, Dull, Renfroe, & Kays, 1985) was an early application of NIRS 78 79 in horticulture. NIRS has also been used to determine solid soluble content (Wang, Li, & Li, 2013a; Wang, Li, & Wang, 2013b). Jantra, Slaughter, Liang, and Pathaveerat (2017) recently used NIRS to 80 predicted dry matter and solid soluble content in intact dehydrator onions and garlic cloves. In all 81 these studies, spectra were recorded from the intact bulbs. Another rapid technique, Fourier-transform 82 infrared spectroscopy, has been used to determine some nutritional characteristics in onions: total 83 phenolic content, total antioxidant capacity, and quercetin content (Lu et al., 2011; Lu, Ross, Powers, 84 & Rasco, 2011). 85

Foods undergo chemical changes during cooking, so the chemical contents of cooked foods should
correlate better both with nutritive value and with organoleptic attributes than those of the raw
samples. Although not often used on cooked vegetables, NIRS has been used to determine chemical
or sensory parameters in cooked potatoes (López, Arazuri, García, Mangado, & Jarén, 2013), rice
(Cho, Choi, & Rhee, 1998) and carrots (Moscetti et al., 2017).

91 This study aimed to investigate the ability of NIRS to predict chemical parameters in cooked 'calçots' 92 that can affect their sensory and nutritive properties. We developed models to predict dry matter, 93 soluble solid content, titratable acidity, and ash content. To guarantee the robustness of the models, 94 calibration samples were grown and analyzed in a first season and validation samples in a second 95 season.

96 2. MATERIAL AND METHODS

97 **2.1 Samples**

To develop and validate NIRS models, 240 'calcot' samples were screened to encompass the 98 maximum chemical variation in this crop. Four cultivars were used to represent interpopulation 99 genetic variability in 'calçots'. Two improved cultivars: Roquerola (R) and Montferri (M) (Simó et 100 101 al., 2012a), a traditional population (T) and a new in process variety (X). To induce further phenotypic differences among samples, the four cultivars were grown in six locations with different pedo-102 climatic conditions in Catalonia (north-east Spain) that represent standard 'calçot' production areas: 103 La Masó (41°13'41.0"N 1°13'33.6"E, 115 masl), Valls (41°15'11.8"N 1°13'44.9"E, 230 masl), La 104 105 Juncosa del Montmell (41°18'59.4"N 1°27'07.5"E, 430 masl), Altafulla (41°08'46.7"N 1°22'58.7"E, 52 masl), Viladecans (41°17'19.3"N 2°02'42.5"E, 18masl) and Rubí (41°30'07.7"N 2°01'03.6"E, 123 106 107 masl). Field experiments were conducted using the traditional cultivation techniques, in two consecutive seasons (2014-15 and 2015-16) with two planting dates and two or three harvesting dates 108 (early, intermediate, late harvests), depending on the progress of the fields. In total, 120 samples were 109 harvested in each season. 110

111 **2.2 Sample preparation**

After each harvest samples were prepared as described by Simó et al. (2012b). We collected a set of 112 50 commercial 'calcots' (commercial size: 'calcot' with a compact white edible base measuring 1.7-113 2.5 cm in diameter, measured at 5 cm from the root, and 15–25 cm in length) from each sample 114 (combination of variety, year, location, planting date, and harvest date). Leaves were cut 4 cm above 115 the ligule, and roots were removed. Then, the 'calcots' were rinsed with tap water to remove adhered 116 soil and roasted at 270 °C for 18 minutes in a convection oven (SALVA Kwik-co). After cooking, the 117 two most external leaves were removed and the edible lower white part of each 'calçot' was cut. All 118 'calcots' in each sample were triturated with a mixer (Taurus BAPI 850). Pureed samples were frozen 119 with liquid nitrogen and stored at -20 °C until their analysis. 120

121 **2.3** Chemical analysis

Soluble solid content was directly determined in the puree with a hand refractometer (Erma, Japan) and is expressed as [°]Brix. To analyze titratable acidity, 10 g of puree was mixed with 50 mL of distilled water and titrated with 0.1 M sodium hydroxide (NaOH) to pH 8.1; titratable acidity is expressed as g/100 g of malic acid.

For dry matter determination, 30 g of puree was dried to a constant weight for 72 h at 60 °C; dry matter is expressed as g/100 g of fresh matter. To enable ash content to be determined according to the AOAC method 923.03 (AOAC, 2005), dried samples were ground to an average particle size < 0.4 mm to obtain the ground dried puree; then 1 g of ground dried puree was burned in a muffle at 450 °C for 4 hours, cooled to room temperature in a desiccator, and then weighed. Ash is expressed as g/100 g of dry matter. All chemical analyses were carried out in triplicate.

132 **2.4 Spectra measurement**

A spectrophotometer (Foss NIRSystems 5000, Silver Spring, MD, USA) equipped with a rapid content analyzer module and Vision software version 2.51 were used to register NIRS spectra from puree samples and from the ground dried puree. Spectra were recorded every 2 nm between 1100 nm to 2500 nm and averaged from 32 scans. Puree spectra were registered in reflectance (R) mode and expressed as log (1/R), and ground dried puree spectra were registered in transflectance mode and expressed as absorbance. Three spectra were registered for each sample, and the mean spectrum was used for computations.

140 **2.5 Chemometric analysis**

Since the purpose of this study was to test the use of multivariate models to predict chemical parameters for upcoming seasons, the 120 samples collected during 2014-15 were used as a calibration set, and the 120 samples collected during season 2015-16 were used as a validation set. Spectra from puree and ground dried puree were treated independently, using the reference data expressed over fresh matter or dry matter, respectively. Spectral data were explored by principal components analysis (PCA) to detect clustering of spectra. Quantification was carried out using partial least squares (PLS) regression with the NIPALS algorithm as implemented in PLS_Toolbox
v.8.21 software (Eigenvector Research Inc., Wenatchee, USA).

To obtain the best PLS models, different spectral pretreatments were tested to reduce unwanted variation due to sources not related to the properties of interest. The preprocessing methods evaluated were standard normal variate (SNV) transformation, multiplicative scatter correction (MSC), Savitzky-Golay (SG) first- and second-order derivatives with second order polynomial approximation and 7-41 point window size, and combinations of these. In all cases, the pretreated spectra and the property values were mean-centered before being submitted to the regression algorithm.

PLS regressions for calibration were evaluated using 10-fold cross-validation. The optimal combination of data preprocessing and number of latent variables was selected as the one providing a model with a good enough compromise of a low root mean square error of calibration (RMSEC), low root mean square error of cross-validation (RMSECV), high coefficient of determination (R²) for calibration and cross-validation, and low bias. An upper limit of optimal PLS terms was set at 1 PLS factor per 10 samples of calibration plus 2 (Ruiz-Jiménez, Priego-Capote, & Luque de Castro, 2006). No outliers were detected.

The established PLS models were finally tested with the validation set of samples from the 2015-16 season, which had not been used for the calibration. The root mean square error of prediction (RMSEP) evaluated with these samples was used as an estimation of the future performance of the calibration model.

166 RMSEC, RMSECV, and RMSEP were calculated as follows:

167
$$RMSEC = \sqrt{\frac{\sum_{i=1}^{I} (y_i - \hat{y}_i)^2}{I - A - 1}}$$

168
$$RMSECV = \sqrt{\frac{\sum_{i=1}^{I_l} (y_i - \hat{y}_i)^2}{I_l}}$$

169
$$RMSEP = \sqrt{\frac{\sum_{i=1}^{I_t} (y_i - \hat{y}_i)^2}{I_t}}$$

where y_i is the reference value of sample *i*, \hat{y}_i is the model prediction for sample *i*, *I* is the number of calibration samples, I_l is the number of samples left-out in the cross-validation process and I_t is the number of test samples for the test set. *A* is the number of latent variables used in the PLS model.

173 Ratio of the performance to deviation (RPD) values of the prediction models were calculated as well.
174 RPD is a dimensionless index widely used to evaluate NIRS models in agricultural products (Bellon-

175 Maurel, Fernandez-Ahumada, Palagos, Roger, & McBratney, 2010). RPD was calculated as follows:

176
$$RPD = \frac{SDx}{RMSEP}$$

177 in which SDx is the standard deviation of the validation reference data.

Data were analyzed with PLS_Toolbox v.8.21 software (Eigenvector Research Inc., Wenatchee,
USA) and in-house routines running under MATLAB R2017a (The MathWorks[™] Inc., Natick, MA,
USA).

181 **3. RESULTS AND DISCUSSION**

182 **3.1 Reference data**

Table 1 reports the means, standard deviations, and ranges of the chemical properties determined in the calibration set (season 2014-15) and validation set (season 2015-16). The laboratory repeatability precision of the reference methods for dry matter, soluble solid content, titratable acidity, and ash content was lower than \pm 5%.

187 All parameters varied over a wide enough range to enable suitable calibrations, though in dry matter,

soluble solid content, and ash content, the validation ranges are slightly beyond the calibration ranges.

189 The magnitude of the variation is due to environmental and genetic effects.

190 There are no previous reports related to these chemical parameters in 'calçots', but the orders of 191 magnitude of the measured properties were similar to those reported in raw onions (Barzegar, Jabbari, 192 Rajabi, & Hassandokht, 2008; Petropoulos, Fernandes, Barros, Ferreira, & Ntatsi, 2015) and roasted 193 onions (Spanish food composition database, 2018).

Table 1. Chemical composition of the samples in the calibration (season 2014-15) and validation(season 2015-16) sets.

		Calibr	ation set	Validation set			
Parameter	Units	Range	Mean	SD	Range	Mean	SD
DM	g/100 g FM	12.73-20.16	16.25	1.74	11.79-19.70	15.62	1.87
SSC	°Brix	9.80-17.10	13.10	1.63	8.75-15.95	12.40	1.74
ТА	g/100 g FM	0.08-0.22	0.12	0.03	0.08-0.19	0.13	0.03
111	g/100 g DM	0.43-1.50	0.74	0.20	0.48-1.36	0.84	0.20
Ash	g/100 g FM	0.64-1.09	0.81	0.10	0.63-0.97	0.80	0.07
ASI	g/100 g DM	3.59-7.72	5.09	0.79	4.01-6.85	5.20	0.66

196 SD: standard deviation; DM: dry matter; SSC: soluble solid content; TA: titratable acidity; FM: fresh matter

197 3.2 Characteristics of NIRS spectra

Figure 1 shows the recorded and preprocessed NIRS spectra of both puree and ground dried puree for all 240 samples studied. Raw spectra had a similar profile but different intensity. Mathematical preprocessing decreased the baseline differences and highlighted the relevant bands. The two seasons can be distinguished in the raw spectra (Figures 1A and 1C); however, the differences disappear after preprocessing (Figures 1B and 1D). PCA of NIRS spectra found no clustering or separation in the dataset.

Due to the complex chemical composition of vegetables, it is difficult to assign specific absorption bands to specific functional groups, and even less to chemical components. Puree spectra are strongly influenced by water absorption bands (Figure 1A and 1B), as water is a major chemical constituent of 'calçots'. The two characteristic water absorption peaks around 1450 nm (stretch of the O–H bonds, first overtone) and 1940 nm (stretch of the O–H bonds and O–H deformation) are clearly seen. These
bands are much less relevant in the dried puree spectra.

Although the high water content in the samples could limit the use of NIRS due to the strong 210 absorption bands that predominate in the spectrum, NIRS has been successfully used on a wide 211 variety of vegetables with high moisture content (Butz et al., 2005: López et al., 2013). However, 212 Nicaolaï et al. (2007) point out that when water absorption bands dominate the spectrum of fruit and 213 vegetables, it is not likely that minor constituents can be measured well. The ground dried puree 214 spectra show less intense water absorption peaks (Figure 1C and D), so we expected to obtain better 215 prediction models of the parameters that are present in lower concentrations such as titratable acidity 216 and ash content. 217

218

219 Figure 1

220

221 **3.3 PLS calibration models**

Multivariate calibration models relating preprocessed NIRS spectra and the values of the chemical parameters were developed by means of PLS regression, using the entire spectral range. Calibration models for titratable acidity and ashes were calculated using spectra from either puree or ground dried puree. Since dry matter and soluble solid content are related to water content, only the model for puree spectra was calculated. Table 2 presents the statistical descriptors for the NIRS determination, including optimal preprocessing and number of latent variables for all modeled properties.

Table 2. Statistical descriptors for NIRS determinations.

Parameter	Sample	Pre-processing	LVs	RMSEC	R ² cal	RMSECV	R ² _{CV}	RMSEP	R ² pred	RPD
DM	Puree	SG-1D(25)	6	0.273	0.973	0.378	0.950	0.409	0.953	4.571
SSC	Puree	SNV+SG-1D(11)	5	0.246	0.975	0.294	0.964	0.216	0.985	8.068

	Puree	SG-1D(17)	8	0.013	0.791	0.018	0.600	0.023	0.433 1.087
TA									
	GD puree	SNV+SG-2D(15)	11	0.048	0.940	0.077	0.858	0.078	0.852 2.590
	Puree	SG-1D(41)	7	0.078	0.432	0.093	0.236	0.054	0.435 1.213
Ash									
	GD puree	SNV+SG-1D(7)	8	0.282	0.872	0.364	0.793	0.331	0.820 1.987

DM: dry matter; SSC: soluble solid content; TA: titratable acidity; GD puree: ground dried puree; LVs: number of latent
variables; cal: calibration; CV: cross-validation; pred: prediction; SNV: standard normal variate; SG-1D: Savitzky-Golay
first-order derivative; SG-2D: Savitzky-Golay second-order derivative; between parentheses: window size.

The performance of the models developed with the spectra of the two different sample preparations 232 233 varied for each attribute. The predictive ability of the PLS models from puree spectra was high for soluble solid content and dry matter. For soluble solid content, the model found $R^2_{pred} = 0.985$ and 234 RMSEP =0.216 °Brix, which is an error lower than the 2% of the mean value of the prediction samples 235 set. For dry matter, $R_{pred}^2 = 0.953$ and RMSEP= 0.409 g/100g, representing 2.6% of the mean value. 236 By contrast, PLS models using puree spectra yielded poor results for titratable acidity and ash content, 237 for which spectra from ground dried puree provided better predictions: $R^{2}_{pred} = 0.852$ and RMSEP 238 =0.078 g/100g (9% of mean value) for titratable acidity and R^2_{pred} = 0.820 and RMSEP =0.331 g/100g 239 (6.3 % of mean value) for ash. It makes sense that titratable acidity and ash content were predicted 240 241 better from ground dried puree spectra, since acids and ash are minor components in cooked 'calçots'. Figure 2 plots the results of the prediction sample set for the optimal models. The prediction bias was 242 low in all cases. 243

These results are comparable to those reported in intact onions (Table 3); however, our models for predicting dry matter and soluble solid content perform better that those reported in the literature, since the error of prediction is lower.

Table 3. Comparison of statistics for predictions of dry matter and soluble solid content by NIRS inonions reported in the literature.

Dry matter			So	oluble solid con	ntent	Spectral	Reference
 R ²	Error	RPD	R ²	Error	RPD	range (nm)	

0.99	0.79	_	0.94	3.41	_	700-1000	Birth et al., 1985	
(cal.)	(SEP)	-	(cal.)	(SEP)	-	700-1000	Difui et al., 1965	
_			0.90	1.84	3.00	924-1720	Wang et al., 2013a	
	-	-	(pred.)	(RMSEP)	5.00	727-1720	tt ung et un, 2015u	
0.93	1.61		0.93	1.46		400-1000	Wang et al., 2013b	
(pred.)	(SEP)	-	(pred.)	(SEP)	-	400-1000	wang et al., 20150	
0.96	1.29	4.91	0.97	1.23	5.72	700-1000	Jantra et al., 2017	
(CV)	(RMSECV)	4.71	(CV)	(RMSECV)	5.12	700-1000	Janua et al., 2017	
0.95	0.41	4.57	0.99	0.22	8.06	1100-2500	The present study	
(pred.)	(RMSEP)	4.37	(pred.)	(RMSEP)	0.00	1100-2300	The present study	

All errors expressed as g/100g FM. cal.: calibration; CV: cross-validation; pred.: prediction; SEP: standard error of
 prediction.

To the best of our knowledge, there are no reports about NIRS prediction of titratable acidity and ash in onions or other related crops, such as garlic or leek. However, NIRS has been used to predict these parameters in other crops: titratable acidity in tomatoes (Flores-Rojas et al., 2009; García-Martínez et al., 2012) and oranges and grapefruits (Ncama et al., 2017) and ash in common beans (Plans et al., 2012) and alfalfa (Halgerson, Sheaffer, Martin, Peterson, & Weston, 2004). The predictive abilities varied widely.

According to Nicolaï et al. (2007), RPD values obtained for dry matter and soluble solid content models (4.571 and 8.068, respectively) indicate that these parameters can be predicted with excellent accuracy from spectra from puree. In contrast, accurate prediction of titratable acidity (RPD=2.590) and ash (RPD=1.987) requires drying and grinding the samples. Because the amount of titratable acidity and ash in puree is much lower than the amount of dry matter and soluble solid content, strong water absorption bands mask their signals, decreasing the PLS model's ability to predict titratable acidity and ash in puree samples.

In summary, these results demonstrate that the PLS-NIRS models can predict properties of interest in 'calçot' samples. Furthermore, since the validation samples were cultivated, harvested, treated, cooked, and analyzed one year later than the calibration set, these models are robust and feasible, and they can be used with confidence for the evaluation of samples in future seasons.

268

270

271 **4. CONCLUSION**

We developed models to predict dry matter, soluble solid content, titratable acidity, and ash content in cooked 'calçots' that have proven useful for all the parameters studied. According to the RPD values, models from puree spectra can predict dry matter and soluble solid content with excellent accuracy, but fail to predict titratable acidity and ash content; these parameters, however, can be predicted with good accuracy with models from ground dried puree spectra. The results of this study show that it is feasible to use NIRS to evaluate the chemical properties of cooked 'calçot'.

As validation samples were cultivated and analyzed one year later than the calibration samples, the models are robust and able to predict properties of this crop in coming years. Therefore, NIRS can be a helpful tool for handling large numbers of samples in 'calçot' breeding programs or quality evaluation dealing directly or indirectly with chemical composition.

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290 **Conflict of interest**

291 None.

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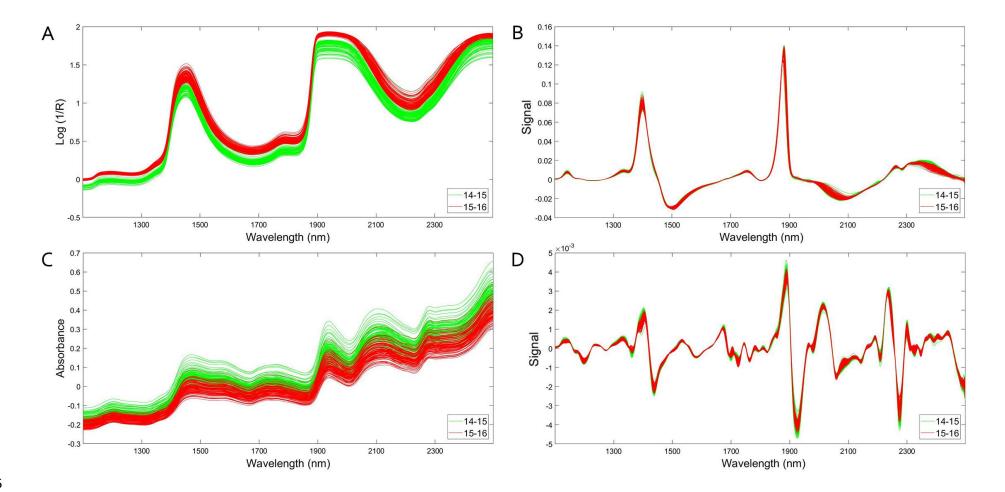
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- 412 Figure 1. (A) Raw spectra from puree; (B) pre-processed spectra from puree (SNV + SG 2nd deg. Plym.; window width=11; 1st deriv.) used for soluble
- 413 solid content determination; (C) raw spectra from ground dried puree; (D) pre-processed spectra from ground dried puree (SNV + SG 2nd deg. Plym.;
- 414 window width=15; 2nd deriv.) used for titratable acidity determination.



- 416 Figure 2. Comparisons between measured laboratory analyses and NIRS predicted values of the prediction set (season 2015-16), for dry matter (DM)
- 417 (A), soluble solid content (SSC) (B), titratable acidity (TA) (C), and ash content (D).

