



TITLE:

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Association between reduced serum BDNF levels and insomnia with short sleep duration among female hospital nurses

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Abstract

Objective: Previous studies have suggested that brain-derived neurotrophic factor (BDNF) is associated with sleep regulation in humans. However, its relationship with self-reported sleep problems has not been clarified. The aim of the present study was to examine the association between serum BDNF levels and sleep problems among hospital nurses.

Methods: Participants were enrolled from among nurses working at a general hospital in Tokyo, Japan. Data from 577 women (age: 35.45 ± 10.90 years) were analyzed. This cross-sectional survey was conducted from November to December 2015. Serum BDNF concentrations were evaluated. Participants completed a self-reported questionnaire on sleep including the presence or absence of insomnia symptoms (i.e., difficulty initiating sleep (DIS), difficulty maintaining sleep (DMS), and early morning awakening (EMA)), and sleep duration. Insomnia with short sleep duration (ISS) was defined as: DIS, or DMS, or EMA; and <6 hours sleep duration.

Results: Among 577 participants, 21.3% reported insomnia, 41.4% slept less than 6 hours, and finally 12.5% suffered from ISS. Serum BDNF levels were significantly lower in subjects with ISS than in those without ISS. The serum BDNF levels in insomniacs were significantly lower than in non-insomniacs for short sleep duration (<6 h), while serum BDNF levels did not differ between insomniacs and non-insomniacs for normal sleep duration (≥ 6 h).

Conclusion: This is the first documented study to indicate that ISS is associated with reduced serum BDNF levels. These results may lead to clarification of the underlying pathophysiological relationship between BDNF and poor sleep.

Keywords: serum brain-derived neurotrophic factor; insomnia; sleep duration; women; nurse; Japan

Highlights

Evidence suggested that brain-derived neurotrophic factor (BDNF) may participate in the sleep regulation.

We examined serum BDNF levels and sleep problems among female hospital nurses and found that serum BDNF levels were significantly lower in subjects with ISS than in those without. Furthermore, the serum BDNF levels of insomniacs were significantly lower than those in non-insomniacs among subjects with short sleep duration (<6 h), whereas serum BDNF levels did not differ between insomniacs and non-insomniacs with normal sleep duration (≥ 6 h).

Our findings may lead to clarification of the underlying pathophysiological relationship between BDNF and poor sleep.

1. Introduction

Brain-derived neurotrophic factor (BDNF) is a member of the neurotrophin family of growth factors, playing an integral role in neuronal growth and survival, serving as a neurotransmitter modulator, and contributing to neuronal plasticity [1]. BDNF protein and mRNA have been detected in various regions of the brain, and evidence suggests that the level of circulating BDNF (i.e. that in plasma or serum) may reflect the BDNF level in the brain [2]. Most previous studies have suggested that the serum BDNF level is significantly decreased in patients with mental illnesses such as depression [3], bipolar disorder [4], schizophrenia [5], and cognitive decline [6]. Therefore, it has been suggested that serum BDNF is a biomarker of poor mental health.

Several previous studies have suggested that BDNF may participate in the regulation of human sleep. Two experimental studies involving healthy subjects have indicated that the BDNF Val66Met genotype predicted individual variations in polysomnographic features [7, 8]. More recently, we showed that the serum BDNF level and BDNF Val66Met polymorphism in healthy young adults were associated with the sleep pattern on weekends but not with that on weekdays [9]. A study of patients with different sleep disorders and controls concluded that disturbed sleep due to insomnia, restless legs syndrome or periodic limb movement was associated with decreased serum BDNF levels [10]. However, another study reported that there was no significant difference in BDNF levels among subjects with or without different disorders such as primary insomnia, restless legs syndrome, narcolepsy or idiopathic hypersomnia [11]. Two small-scale polysomnography (PSG) studies conducted in clinical settings have reported that some objective sleep measures were associated with serum BDNF levels, although the results were inconclusive [12, 13].

However, the mechanisms underlying the possible relationships between BDNF and sleep in those previous studies were obscure because sleep symptomatology or disorders were not properly controlled for. Furthermore, few studies have examined actual insomnia symptoms, i.e.

difficulty initiating sleep (DIS), difficulty maintaining sleep (DMS), or early morning awakening (EMA), or sleep duration, which are common components of severe sleep disturbance. Since recent studies have indicated that insomnia with short sleep duration (ISS) is the most biologically severe phenotype of sleep disturbance [14, 15], focusing on this phenotype may lead to clarification of the underlying pathophysiological relationship between BDNF and sleep.

Here, we studied the association between the serum BDNF level and sleep problems in a large sample of hospital nurses. The aim of the study was to investigate the association between the serum BDNF level and sleep problems. Our hypothesis was that the serum BDNF level may show differences in association with the various types of sleep problems.

2. Methods and Materials

2.1 Study participants and data collection

This cross-sectional survey was carried out from November to December 2015 as we have reported previously in detail [16]. Participants were enrolled from among nursing staff working at a general hospital with over 1000 beds in Tokyo, Japan. The target general hospital was affiliated with a medical college. The survey comprised two parts: (1) a self-reported questionnaire on sleep, mental health and lifestyle factors, and (2) examination of blood samples. The director of nursing explained the purposes of the present study and requested the cooperation of the person responsible for each ward of the hospital, who in turn asked for the cooperation of his or her subordinate nursing staff. The distribution and collection of self-reported questionnaires was performed through the director of nursing. Among the participants of the survey (1), those who agreed to participate (2) were subjected to blood testing. Surveys (1) and (2) were conducted within 30 days. All those who had participated in survey (2) provided written informed consent to participate in the study.

A total of 1042 nurses were selected for this complete survey. The number of total participants was 766, and the collection rate was 73.5%. Among the participants of both surveys

(1) and (2) (n=618), given their small numbers, men (n=29) were not included. Also, those for whom data on age (n=1) and self-reported sleep (n=11) were missing were excluded from the present analyses. Finally, we analyzed data from 577 subjects. The study was approved by the ethics committee of Nihon University School of Medicine.

2.2 Measurement of serum BDNF levels

Blood samples were taken at AM 7:30-AM 12:00 and centrifuged immediately after blood had been drawn. Serum samples were stored at -80°C until use. BDNF concentration was measured by enzyme-linked immunosorbent assay, using a kit (Quantikine®ELISA, R & D Systems, Inc.) in accordance with the manufacturer's instructions [9].

2.3 Measures of sleep characteristics

The following self-report questions about sleep characteristics were included in the questionnaire. The questions were created with reference to the questionnaires used in past surveys [17, 18].

1. Do you have difficulty falling asleep? (never/seldom/sometimes/often/always): difficulty initiating sleep (DIS)
2. Do you wake up frequently at night? (never/seldom/sometimes/often/always): difficulty maintaining sleep (DMS)
3. Do you wake up too early in the morning? (never/seldom/sometimes/often/always): early morning awakening (EMA)
4. On average, how many hours do you sleep? (Sleep duration): sleep duration

For questions 1-3, participants who answered "often," or "always" were classified as having symptoms. "Insomnia" were defined as the presence of at least one of the sleep-related complaints of DIS, DMS, or EMA based on the definitions used in the previous studies [19, 20].

For question 4, participants who answered "less than 6 hours" were categorized as having "short

sleep duration”, so as to be consistent with the previous study [21].

ISS was defined as: DIS, or DMS, or EMA; and <6 hours sleep duration.

2.3 Other variables

The questionnaire included questions designed to obtain sociodemographic information (age, sex), and data on drinking, current smoking, exercise, perceived stress, and shift work. Shift work was divided into two groups: 1/months or less and 2-3/months or more.

2.4 Statistical analyses

Categorical variables were expressed as proportions, while continuous variables were expressed as mean and standard deviation (SD). The association between serum BDNF levels and behavioral characteristics or sleep problems were compared using the independent sample t-test, or analysis of variance (ANOVA) for continuous variables (with post hoc tests for significant F-statistic). A three-way ANOVA was used to examine the main effects of multiple factors and their interactions with serum BDNF levels. All analyses were performed using SPSS 19.0 for Windows. The level of significance was set at $p < 0.05$.

3. Results

Table 1 shows the association between serum BDNF levels and the demographic and behavioral descriptive characteristics of the study participants. The mean (SD) age was 35.45 (10.90) (range, 21-64) years. The mean (SD) serum BDNF level was 27233 (6663) (range, 8160-54000) pg/mL and showed a normal distribution as demonstrated by the Kolmogorov-Smirnov test ($p=0.068$). The serum BDNF levels differed significantly among age groups ($p<0.001$). Post hoc comparisons indicated that serum BDNF levels were significantly higher in subjects aged 40 years or older than in subjects aged 20-29, and 30-39 years. In addition, subjects who were current smokers had significantly higher serum BDNF levels than non-smokers ($p=0.027$).

Among the 577 participants, 21.3% reported insomnia, 41.4% slept less than 6 hours, and 12.5% suffered from ISS. Serum BDNF levels were significantly lower in subjects with ISS than in those without ISS among the total sample ($p=0.028$) and aged 40 years or older ($p=0.033$), while they did not differ with respect to other sleep problems (Table 2).

A three-way ANOVA for serum BDNF levels revealed a significant main effect of ISS ($p=0.048$), but no other significant main effects or interactions were found (Table 3).

Relationships between serum BDNF levels and insomnia symptoms were examined separately in subgroups of subjects with short sleep duration (<6 h) and those with normal (≥ 6 h) sleep duration. The serum BDNF levels were significantly lower in insomniacs than in non-insomniacs among subjects with short sleep duration (<6 h) ($p=0.016$), while they did not differ between insomniacs and non-insomniacs among those with normal sleep duration (≥ 6 h) (see Fig. 1).

4. Discussion

We studied serum BDNF levels and self-reported sleep problems among female hospital nurses and found that serum BDNF levels were significantly lower in subjects with ISS than in those without. Furthermore, the serum BDNF levels of insomniacs were significantly lower than those in non-insomniacs among subjects with short sleep duration (<6 h), whereas serum BDNF levels did not differ between insomniacs and non-insomniacs with normal sleep duration (≥ 6 h). These results may lead to clarification of the underlying pathophysiological relationship between BDNF and poor sleep.

We found that serum BDNF levels differed significantly among age groups, and that they were significantly higher in subjects aged 40 years or older than in those aged less than 40 years. Previous studies have revealed that age affects the serum level of BDNF, although mixed results have been reported. One study of women aged between 18 and 65 years [22] and a study of middle-aged women [23] found an age-related increase in serum BDNF levels, while a study

of older adults found an age-related decrease in serum BDNF levels [24]. These differences may be explained by age differences in study participants and their sex hormone levels [23].

In the present study, subjects who were current smokers had significantly higher serum BDNF levels than non-smokers. Several previous studies have reported that current smokers have higher serum BDNF levels [25, 26]. The results of the present study are in agreement with these previous findings.

The results of previous studies on the association between serum BDNF levels and sleep problems have varied. A cross-sectional study found that participants with self-reported insomnia symptoms, including those with restless legs syndrome or periodic limb movement, showed significantly decreased serum BDNF levels compared with sleep-healthy controls, and serum BDNF levels were significantly correlated with the severity of insomnia in all participants [10]. On the other hand, one study reported that there was no difference in BDNF levels between clinical diagnostic groups including primary insomnia, restless legs syndrome, idiopathic hypersomnia, and narcolepsy [11]. Two small-scale PSG studies have reported that some objective sleep measures were associated with the serum BDNF level. One reported that short sleep duration was associated with decreased serum BDNF levels among patients with insomnia [12], while the other did not find such association, although deep NREM sleep was negatively correlated with the serum BDNF level [13]. In addition, one study of Japanese workers suggested that gender differences may exist: the serum BDNF levels were significantly associated with dyssomnia assessed by the PSQI (Pittsburgh Sleep Quality Index) in females, but not in males [27]. These difference among the above studies may be explained by difference in gender and sleep measures. To clarify sleep symptomatology, the effects of short sleep duration on insomnia symptoms should be considered. In the present study, we used self-reported sleep measures and demonstrated for the first time that serum BDNF levels were significantly lower in subjects with ISS than in those without ISS, and that the serum BDNF levels in subjects with insomnia were significantly lower than those in non-insomniacs in the short sleep duration group (<6 h), whereas

serum BDNF levels did not differ between insomniacs and non-insomniacs in the normal sleep duration group (≥ 6 h).

In the present study, age group and current smoking were also significantly associated with serum BDNF levels. However, the results of three-way ANOVA showed that no significant interaction existed among these three variables, suggesting that ISS was independently associated with the serum BDNF level in the present sample.

There are several possible interpretations for the association between the serum BDNF level and ISS. First, ISS may decrease the serum level of BDNF. A previous clinical study reported that sleep deprivation therapy changed the serum BDNF levels in depressed patients [28]. This suggested that the serum BDNF level may be affected by curtailment of sleep duration or by recovery from sleep loss. Second, a low BDNF level may cause ISS. An animal study has demonstrated that BDNF in the brain contributes to the regulation of sleep behavior and promotes NREM sleep [29]. Two experimental studies of humans showed that the BDNF Val66Met genotype is related to polysomnographic features of human sleep [7, 8]. These findings suggest that neurotrophic factors may play a vital role in the regulation of sleep. Third, serum BDNF levels and ISS may reflect a common “third variable” such as mental stress, or unmeasured medical disease.

The strengths of this study include a large sample size. Additionally, this is the first study exploring the association between serum BDNF level and sleep problems in Japanese female hospital nurses. However, there were several limitations to this study. First, the results obtained from a cross-sectional study would not be able to determine a causal relationship, i.e. whether sleep problems precede or result from serum BDNF levels. Second, we used a self-reported questionnaire to assess insomnia symptoms and sleep duration. Although some studies of ISS have used self-reported measures to assess sleep duration [30, 31], a previous study pointed out that polysomnography (PSG) and retrospective questionnaire assessments yield different estimates of sleep duration [32]. To evaluate the ISS more precisely, a future study using PSG

assessment will be required. Third, the validity and reliability of the self-reported questionnaire used to measure sleep problems have not been evaluated. Also, the definitions of insomnia did not conform to the present clinical criteria (DSM-5 and ICSD-3). Fourth, the present study cohort comprised Japanese women of one hospital, and therefore there may be sampling bias, and the results would not address associations between serum BDNF levels and sleep problems in men or other racial groups. Sixth, there may be confounding factors other than those that were considered in the present study. In the present study, we didn't assess the clinical diagnosis of depression, bipolar disorder, schizophrenia, and cognitive decline. These could have substantially affected both serum BDNF levels and sleep problems.

5. Conclusions

This is the first documented study to indicate that serum BDNF levels are significantly lower in subjects with ISS than in those without, and that serum BDNF levels in insomniacs are significantly lower than in non-insomniacs with short sleep duration (<6 h), whereas serum BDNF levels were shown not to differ between insomniacs and non-insomniacs with normal sleep duration (≥ 6 h) among Japanese female hospital nurses. These results may lead to clarification of the underlying pathophysiological relationship between BDNF and poor sleep. To increase the generalizability of these findings, future research in men or other racial groups will be required.

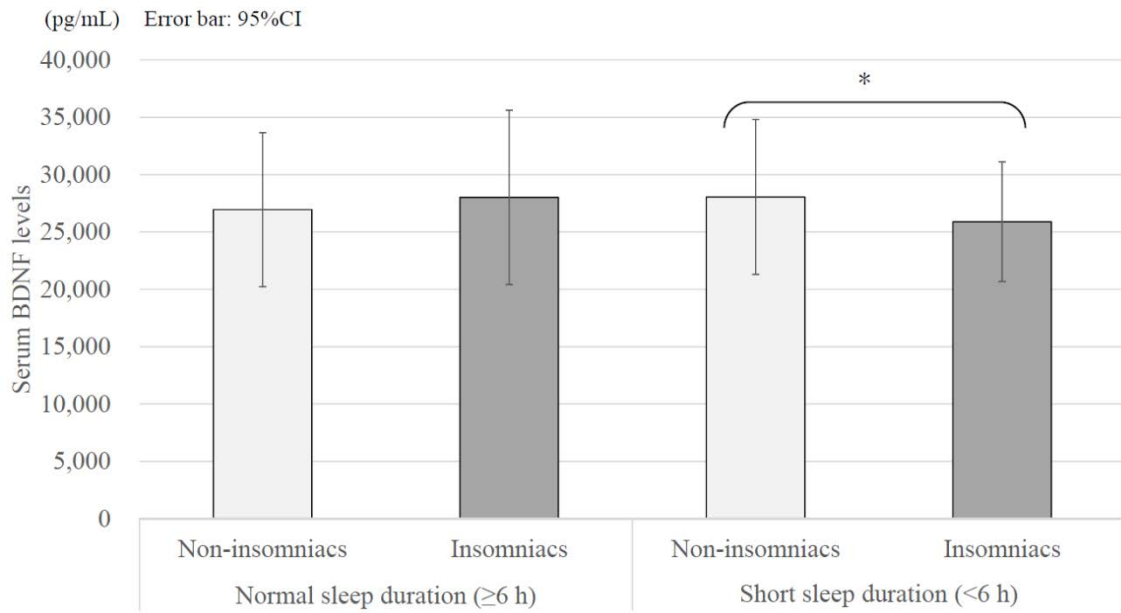
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Figure 1. Serum BDNF and insomnia by sleep duration category (≥ 6 h or < 6 h).



The serum BDNF levels were significantly lower in insomniacs than in non-insomniacs among subjects with short sleep duration (< 6 h), while they did not differ between insomniacs and non-insomniacs among those with normal sleep duration (≥ 6 h)

Table 1. The association between serum BDNF levels and demographic and behavioral descriptive characteristics of study participants.

		N	%	m	SD	<i>p</i> -value
Age (year)						<0.001
	20-29	217	37.6	26489	6208	
	30-39	164	28.4	26086	6009	
	≥40	196	34.0	29015	7303	
Drinking						0.279
	No	351	61.4	26965	6510	
	Yes	221	38.6	27586	6905	
Current Smoking						0.027
	No	478	82.8	26954	6538	
	Yes	99	17.2	28579	7117	
Exercise						0.271
	No	507	88.0	27339	6579	
	Yes	69	12.0	26398	7276	
Perceived Stress						0.749
	No	430	74.5	27285	6786	
	Yes	147	25.5	27080	6307	
Shiftwork						0.453
	1/Mo or less	128	22.2	27691	7959	
	2-3/Mo or more	448	77.8	27117	6247	

Differences in serum BDNF were examined using the independent sample t-test, or analysis of variance (ANOVA) for continuous variables.

Table 2. The association between serum BDNF levels and sleep characteristics. (N=577)

		Total					20-29y					30-39y					>=40y				
		N	%	m	SD	p-value	N	%	m	SD	p-value	N	%	m	SD	p-value	N	%	m	SD	p-value
Insomnia	No	454	78.7	27357	6742	0.391	168	77.4	26493	6367	0.986	133	81.1	26435	6170	0.124	153	78.1	29106	7295	0.745
	Yes	123	21.3	26775	6368		49	22.6	26476	5692		31	18.9	24587	5086		43	21.9	28693	7408	
DIS	No	483	83.7	27328	6834	0.434	177	81.6	26447	6359	0.835	140	85.4	26417	6072	0.088	166	84.7	29037	7600	0.904
	Yes	94	16.3	26740	5711		40	18.4	26675	5562		24	14.6	24154	5346		30	15.3	28897	5474	
DMS	No	527	91.3	27358	6721	0.143	203	93.5	26575	6228	0.439	145	88.4	26262	6065	0.300	179	91.3	29133	7408	0.466
	Yes	50	8.7	25912	5909		14	6.5	25243	5986		19	11.6	24737	5530		17	8.7	27778	6138	
EMA	No	526	91.2	27314	6619	0.347	200	92.2	26524	6249	0.782	151	92.1	26288	6060	0.143	175	89.3	29103	7142	0.630
	Yes	51	8.8	26395	7114		17	7.8	26088	5861		13	7.9	23738	5005		21	10.7	28287	8694	
Sleep duration	<6h	239	41.4	27406	6394	0.600	136	62.7	26465	6863	0.937	101	61.6	26250	6063	0.658	101	51.5	28838	7321	0.728
	≥6h	338	58.6	27110	6853		81	37.3	26530	4957		63	38.4	25821	5961		95	48.5	29203	7317	
ISS	No	505	87.5	27423	6827	0.028	189	87.1	26555	6336	0.687	146	89.0	26319	6051	0.158	170	86.7	29336	7573	0.033
	Yes	72	12.5	25897	5214		28	12.9	26046	5347		18	11.0	24194	5452		26	13.3	26915	4788	
DIS+Short sleep duration (<6 h)	No	516	89.4	27373	6783	0.140	192	88.5	26538	6292	0.750	149	90.9	26295	6000	0.160	175	89.3	29208	7545	0.131
	Yes	61	10.6	26043	5445		25	11.5	26116	5622		15	9.1	24007	5898		21	10.7	27410	4647	
DMS+Short sleep duration (<6 h)	No	548	95.0	27293	6717	0.347	208	95.9	26548	6220	0.508	154	93.9	26146	6011	0.617	186	94.9	29076	7438	0.618
	Yes	29	5.0	26097	5508		9	4.1	25144	6122		10	6.1	25160	6223		10	5.1	27890	4108	
EMA+Short sleep duration (<6 h)	No	551	95.5	27322	6728	0.136	208	95.9	26507	6280	0.844	157	95.7	26224	6025	0.164	186	94.9	29162	7395	0.227
	Yes	26	4.5	25331	4776		9	4.1	26089	4457		7	4.3	22986	5061		10	5.1	26290	4770	

Differences in serum BDNF were examined using the independent sample t-test for continuous variables.

DIS, difficulty initiating sleep; DMS, difficulty maintaining sleep; EMA, early morning awakening; ISS, insomnia with short sleep duration (<6h)

Table 3. The results of three-way ANOVA between serum BDNF levels with ISS, age group, and current smoking (N=577).

Factor	DF	Serum BDNF levels	
		F	<i>p</i>
ISS	1	3.935	0.048
Age group	2	2.208	0.111
Current smoking	1	0.248	0.619
ISS × Age group	2	0.583	0.558
ISS × Current smoking	1	1.016	0.314
Age group × Current smoking	2	0.682	0.506
ISS × Age group × Current smoking	2	0.270	0.763

ANOVA, analysis of variance; DF, degree of freedom; ISS, insomnia with short sleep duration (<6 h).

Table 4. The association between serum BDNF levels with insomnia by sleep duration category. (N=577)

Sleep duration			N	%	m	SD	<i>p</i> -value
Normal sleep duration (≥6 h)	Insomnia						0.307
		No	287	84.9	26950	6715	
		Yes	51	15.1	28014	7594	
	DIS						0.418
		No	305	90.2	27011	6936	
		Yes	33	9.8	28030	6045	
	DMS						0.307
		No	317	93.8	27206	6871	
		Yes	21	6.2	25658	6554	
	EMA						0.768
No		313	92.6	27079	6680		
Yes		25	7.4	27501	8898		
Short sleep duration (<6 h)	Insomnia						0.016
		No	167	69.9	28056	6751	
		Yes	72	30.1	25897	5214	
	DIS						0.054
		No	178	74.5	27873	6638	
		Yes	61	25.5	26043	5445	
	DMS						0.240
		No	210	87.9	27586	6498	
		Yes	29	12.1	26097	5508	
	EMA						0.080
No		213	89.1	27659	6528		
	Yes	26	10.9	25331	4776		

DIS, difficulty initiating sleep; DMS, difficulty maintaining sleep; EMA, early morning awakening.

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