



1 Review

Molecular signaling regulating endometrium blastocyst crosstalk

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14 Abstract: Implantation of the embryo into the uterine endometrium is one of the most finely 15 regulated processes that leads to the establishment of a successful pregnancy. A plethora of factors 16 are released in a time-specific fashion to synchronize the differentiation program of both the embryo 17 and the endometrium. Indeed, blastocyst implantation in the uterus occurs in a limited time-frame 18 called the "window of implantation" (WOI), during which the maternal endometrium undergoes 19 dramatic changes, collectively called "decidualization". Decidualization is guided not just by 20 maternal factors (e.g. oestrogen, progesterone, thyroid hormone), but also by molecules secreted by 21 the embryo, such as chorionic gonadotropin (CG) and interleukin-1 β (IL-1 β), just to cite few. 22 Similarly, once reached the uterine cavity, the embryo orients correctly toward the uterine 23 epithelium, interacts with specialized structures, called uterodomes, and begins the process of 24 adhesion and invasion; all these events are guided by factors secreted by both the endometrium and 25 the embryo, such as leukaemia inhibitory factor (LIF), integrins and their ligands, adhesion 26 molecules, Notch family members, metalloproteinases and their inhibitors. Aim of this review is to 27 give an overview of the factors and mechanisms regulating implantation, with a focus on those 28 involved in the complex dialogue between the blastocyst and the endometrium.

Keywords: implantation; endometrium; blastocyst; embryo; chorionic gonadotropin; progesterone;
 Notch; cytokines

31

32 1. Introduction

33 Infertility is considered a pathological condition of the reproductive system. The WHO has 34 designated infertility as "a disease of the reproductive system defined by the failure to achieve a 35 clinical pregnancy after 12 months or more of regular unprotected sexual intercourse" [1,2]. Infertility 36 is one of the main health issues in all societies worldwide, with a prevalence of 3.5-16.7% in developed 37 countries and 6.9-9.3% in developing countries [3,4]. Causes of infertility may be various. Male 38 infertility is responsible for 20–30% of cases, while 20–35% of cases are due to female infertility, and 39 25-40% are due to combined problems in both partners [5]. In 10-20% of cases, infertility is 40 unexplained [5]. Regarding the female, causes of infertility are diverse, such as lack of regular 41 ovulation, blocked or damaged fallopian tubes, endometriosis, and endometrial problems [6]. This 42 last situation leads to defects in blastocyst implantation in the maternal uterus, causing implantation 43 failure, which is a common cause of impaired fertility [7]. The term "implantation failure" refers to 44 the lack of implantation after the transfer of good quality embryos, following assisted reproduction 45 techniques (ARTs). However, the term "implantation failure" actually implies a series of conditions 46 in which the embryo does not implant in the maternal endometrium after both spontaneous and *in*

vitro fertilization [8]. In spontaneous conception 30% of pregnancies are lost before implantation [7].
On this premise, it is conceivable that implantation is a rather inefficient process, also considering
that the estimated implantation rate in humans is 30% per cycle [9,10].

50 The inefficiency in blastocyst implantation may be explained by the fact that implantation is a 51 complex process involving the simultaneous development of an embryo able to implant and an 52 endometrium able to respond to embryonic signals. Implantation is defined as the process by which 53 the floating blastocyst adheres to the endometrium and invades the stroma, leading to the formation 54 of the placenta. Implantation requires a complex crosstalk between the endometrium and the 55 blastocyst, which is highly regulated by a variety of factors, such as soluble growth factors, hormones, 56 prostaglandins, adhesion molecules and the extracellular matrix (ECM) [11-15]. These factors, 57 produced by the receptive endometrium in response to the presence of the blastocyst and viceversa, 58 are able to synchronize the development of the embryo to the blastocyst stage and differentiation of 59 the uterus to the receptive state [16,17].

The present review describes and discusses the molecular mechanisms underlying the implantation process, focusing on factors implicated in the complex blastocyst-endometrium crosstalk, which are crucial for successful implantation. Further research for new factors involved in the dialogue between the blastocyst and the endometrium would allow to reduce the current rates of implantation failure, allowing many couples with infertility problems to reach a successful pregnancy.

66 2. Preparation of the endometrium to implantation

67 Interaction between the uterus and the blastocyst can only occur during a limited defined period, 68 known as the "window of implantation" (WOI) [18-20]. In humans, this defined period corresponds 69 to the mid-secretory phase, occurring between the 20th and the 24th day of the menstrual cycle, or 6-70 10 days after the luteinizing hormone (LH) peak [18,21-23]. In this timeframe, the molecular program 71 regulating growth and differentiation of the embryo synchronizes with the molecular program 72 regulating endometrial receptivity. Failure in such synchronization results in failure of the blastocyst 73 to implant. Given the relevance of this stage for the establishment of a successful pregnancy, the WOI 74 is regulated by a wide variety of cytokines, growth factors, prostaglandins, enzymes and adhesion 75 molecules [24-26].

76 During the WOI, the uterine endometrium is affected by changes which allow blastocyst 77 implantation [27]. The epithelial cells present vacuoles to a supranuclear position and glands become 78 more irregular with a papillary appearance, but the major changes take place in the stroma. The 79 endometrial stromal cells undergo the decidual reaction, in which they proliferate and differentiate 80 from fibroblast-like to epithelial-like cells, which will form the maternal decidua. Decidual cells 81 progressively increase in size and number throughout pregnancy, starting from 9.8% of stromal cells 82 in early pregnancy and arrive to 57.8% at term [28]. The acquisition of the epithelial-like phenotype 83 by stromal cells consists of an increase in size, rounding of the nucleus with an increase in number of 84 nucleoli, accumulation of glycogen, lipid droplets and secretory granules in cytoplasm, and 85 expansion of rough endoplasmic reticulum and Golgi apparatus [29]. The term "decidua" derives 86 from Latin "de cadere" and means to fall down, so it refers to the fact that the decidualized uterine 87 tissue is lost after parturition. Decidua is mainly formed by decidualized endometrial stromal cells, 88 but also contains hematopoietic cells, macrophages, uterine natural killer and monocytes [30,31]. 89 Decidualization starts in the luteal phase, with stromal cells surrounding the spiral arteries in the 90 upper two-thirds of the endometrium, regardless of whether or not the blastocyst is present [32]. 91 Differently from most mammals, decidualization in humans occurs before the embryo reaches the 92 uterine cavity and is driven by the postovulatory rise in progesterone levels and local increase of 93 cyclic adenosine monophosphate (cAMP) production, occurring way before the embryo is ready to 94 implant. In the absence of pregnancy, progesterone levels decrease, and menstrual shedding and 95 cyclic regeneration of the endometrium occur. Decidualization is responsible for embryo quality 96 control, promoting implantation and development, or facilitating early rejection in case, for example,

97 of chromosomally abnormal human embryos [33].

98 Estrogen and progesterone guide the structural and functional remodeling occurring during 99 decidualization. The estrogen receptor (ER) exists in two isoforms, ER α and ER β , but only ER α is 100 essential for implantation since ER α knockout mice are infertile, while those knockout for ER β appear 101 fertile [34]. During the proliferative phase, high levels of estrogen induce proliferation of the 102 epithelial, stromal, and vascular endothelial cells [35,36]. In ARTs estradiol priming results in 103 endometrial proliferation and induction of PRs. Subsequently, progesterone acts on these receptors, 104 thus opening the WOI [37]. Decidualization is guided by progesterone, which starts to increase 105 during the secretory phase of the menstrual cycle and remains elevated in case of pregnancy. PR 106 exists in two isoforms, PR-A and PR-B, and only PR-A is essential for implantation since mice 107 knockout for both PR-A and PR-B are infertile, while those knockout for PR-B only are fertile [38,39].

108 The role of the various factors that regulate decidualization has also been clarified by *in vitro* 109 experiments. In these models, decidualization of human endometrial stromal cells (HESCs) is 110 induced by different treatments. Most of them requires the use the steroid hormones, progesterone 111 or progesterone and estradiol [40,41], but with higher efficiency if steroid hormones are used in 112 combination with cAMP [42,43]. cAMP alone can induce decidualization of HESCs but for few days 113 only [44-46], since for the stabilization of the process is necessary the presence of both cAMP and 114 progesterone [43]. As already discussed, decidualization is also induced by stimulation of stromal 115 cells with CG. [47-52].

116 Once the WOI is opened, a variety of factors, activating multiple signaling pathways, allows the 117 establishment of the complex crosstalk at the blastocyst-maternal interface, indispensable for 118 implantation and pregnancy. Chorionic gonadotropin (CG) is produced by the embryo very early 119 and it is one of the main players in this communication. The ovaries respond to CG, which acts as an 120 agonist of LH, by maintaining the corpus luteum, thus producing the progesterone necessary for the 121 establishment and progression of pregnancy. The responses of the endometrium are multiple, but 122 basically refer to the inhibition of apoptosis, which usually occurs at the end of the menstrual cycle, 123 by activating anti-apoptotic genes as B-cell lymphoma 2 (BCL-2) [53,54], and the induction of the 124 decidualization process [54-56]. Both epithelial and stromal cells possess the LH/CG receptor 125 LHCGR, a seven transmembrane G protein-coupled receptor, which shows the highest expression 126 during the secretory phase of the menstrual cycle [47,48,55]. Endometrial epithelial cells respond to 127 CG by expressing cyclooxygenase-2 (COX2) and prostaglandin E synthase (PGES), through the 128 activation of extracellular signal-regulated protein kinases 1/2 (Erk1/2) signaling pathway. The 129 increased production of prostaglandin E2 (PGE2) [48-50] induces cAMP in endometrial stromal cells 130 and promotes their decidualization [50,51]. COX-derived PGE2 plays an important role in the 131 increase of endometrial vascular permeability, which characterizes the inflammatory reaction typical 132 of implantation [57,58]. In endometrial stromal cells CG activates Erk1/2 signaling pathway, thus 133 increasing the expression of the progesterone receptor (PR) and regulating the expression of genes 134 controlling endometrial receptivity [47]. Moreover, in primates, endometrial stromal cells respond to 135 CG and progesterone by activating NOTCH1 pathway, as discussed later. NOTCH1 induces the 136 expression of α -smooth muscle actin (α -SMA), which positively regulates remodeling of cytoskeleton 137 and the initial changes typical of the decidualization process [59]. Subsequently, a decrease in CG 138 and NOTCH1 levels is necessary for the completion of decidualization, which is accompanied by an 139 increase in the expression of insulin-like growth factor binding protein-1 (IGFBP1) and prolactin 140 (PRL), markers of decidualization [60-62], and a downregulation of LHCGR [56,63-65].

In response to progesterone uterodomes (also konown as pinopods), apical cell membrane protrusions of the endometrial luminal epithelium, appear. The specific temporal and spatial expression of uterodomes [66] coincides with the WOI, so it has been proposed as a marker of endometrial receptivity [18,67]. The function of uterodomes is not entirely clear. Some authors suggest that uterodomes are responsible of pinocytosis and endocytosis of uterine fluid and macromolecules, which facilitates adhesion of the blastocyst to the endometrium, by inter-digitating with microvilli on the apical trophectodermal surface of the blastocyst [68-72]. In a study by Nikas *et* *al.* it has been demonstrated that, in humans, the presence of uterodomes correlated with the success of embryo implantation. In fact, patients with abundant uterodomes became pregnant, while those with a moderate number of uterodomes showed a pregnancy rate lower than 50%, and patients with few uterodomes did not achieve pregnancy [68]; however, the validity of uterodomes as markers of endometrial receptivity is dabeted [73]. More recently it has been proposed that uterodomes might be responsible of the secretion of leukaemia inhibitory factor (LIF) [74], which is indispensable for blastocyst implantation, as discussed later in this review.

155 Uterine receptivity is also regulated by members of the epidermal growth factor (EGF) family, 156 whose expression pattern in the peri-implantation uterus has been widely investigated in murine 157 models [75-82]. Amon the EGF family members, amphiregulin (AREG) has been identified in the 158 luminal epithelium exclusively at the site of blastocyst apposition and its expression appears to 159 correlate first with the increase of progesterone levels and then with the attachment reaction [77]. 160 Similarly, the expression of heparin binding-EGF (HB-EGF), which is under the control of both 161 estrogen and progesterone [80], requires the presence of competent blastocysts and it occurs in the 162 luminal epithelium when the uterodomes are fully formed at the sites of blastocyst apposition [75,81], 163 while epiregulin (EREG) is expressed in both the luminal epithelium and stroma during blastocyst 164 attachment [78]. This unique expression pattern suggests a role for AREG, HB-EGF, and EREG in 165 uterine receptivity and subsequent embryo adhesion. The role of HB-EGF in blastocyst adhesion to 166 the uterus has been further demonstrated *in vitro* in a co-culture of a mouse cell line synthesizing 167 transmembrane human HB-EGF (TM HB-EGF) and mouse blastocysts. Cells synthesizing TM HB-168 EGF adhered to mouse blastocysts more than parental cells or cells synthesizing a constitutively 169 secreted form of HB-EGF [83]. These results were confirmed in a more recent study using HB-EGF 170 mutant mice which demonstrates that maternal deficiency of HB-EGF limits pregnancy success [82].

171 NOTCH signaling pathway is involved in the regulation of various cellular processes such as 172 cell proliferation, invasion, adhesion, survival, apoptosis and differentiation [84-87]. All four NOTCH 173 receptors, the ligands Jagged1 (JAG1) and Delta-like 4 (DLL4) and the target genes hairy enhancer of 174 split (HES) and Hes-related 1 (HEY1) are known to be expressed by the endometrium [88-91]. Several 175 ligands and receptors of the NOTCH signaling pathway are expressed in both the inner cell mass 176 (ICM) and trophectoderm of the human blastocyst [92-94]. NOTCH1 plays an important role in the 177 process of decidualization, by inducing pro-survival signals in the endometrium, thus avoiding 178 apoptosis normally occurring at the end of the menstrual cycle. Hess et al. showed that blastocyst-179 conditioned medium induces an increase in the expression of NOTCH family members in decidual 180 cells, suggesting a role for this pathway in decidualization [95]. Moreover, it has recently been shown 181 that NOTCH signaling pathway is dysregulated in the endometrium of women with unexplained 182 recurrent pregnancy loss [96]. Activation of NOTCH1 pathway in the endometrium is stimulated by 183 CG and progesterone and leads to increased expression of α -SMA and Forkhead box protein O1 184 (FOXO1) [11,59,97]. FOXO1, in turns, induces expression of PRL and IGFBP1 and it is essential for 185 the decidualization process [98-102]. NOTCH1 is involved in the inhibition of cAMP/protein kinase 186 A (PKA) signaling pathway [103], so that NOTCH1 needs to be downregulated to allow cAMP 187 response of stromal cells. Similar to what described for α -SMA and LHCGR expression, a 188 downregulation of NOTCH1 is necessary for the induction of IGFBP1 and the completion of 189 decidualization [42,56,59].

190 Interleukin-1 β (IL-1 β) is another important factor supporting blastocyst-endometrium dialogue, 191 playing a fundamental role in decidualization of stromal cells and in successful blastocyst 192 implantation. IL-1 β is secreted by cytotrophoblast cells isolated from first trimester placenta, while 193 its expression is lower in cultures from second and third trimester placenta [104]. In endometrial 194 stromal cells IL-1ß induces the expression of COX2 and PGE2, known to increase the levels of cAMP, 195 which are necessary for decidualization, as above described [105,106]. Moreover, in vivo infusion of 196 IL-1β and CG promotes the expression of IGFBP1 in apical surface stromal cells [64]. It has been 197 demonstrated that inhibition of COX2 in human and baboon endometrial stromal cells is able to block 198 the decidualization induced by IL-1 β in the presence of steroid hormones, suggesting that IL-1 β acts 199 upstream of COX2 [105]. On the contrary, inhibition of COX2 does not affect decidualization induced 200 by cAMP and steroid hormones, suggesting that cAMP acts downstream of COX2 and PGE2 [105]. 201 Interestingly, cAMP is able to block decidualization induced by IL-1β, indicating a negative feedback 202 between IL-1βand cAMP [105,107]. In baboon, IL-1β positively regulates the expression of matrix 203 metalloproteinase 3 (MMP3) in endometrial stroma, thus inducing degradation of the ECM. 204 Considering that disruption of the ECM might reflect in cellular cytoskeleton remodeling, IL-1 β may 205 play an important role in the decidualization also by promoting cytoskeleton changes typical of this 206 process [108,109]. All these data clearly indicate that IL-1β plays a relevant role in blastocyst-207 endometrium crosstalk.

208 Endometrial receptivity is regulated also by thyroid hormone (TH). Both thyroid hormone and 209 thyroid-stimulating hormone receptors (TR and TSHR, respectively) are expressed in the 210 endometrium with variations during the menstrual cycle [110]. Two of the isoforms of TR, TR α 1 and 211 TRβ1, are expressed during the mid-luteal phase in glandular and luminal epithelium, showing an 212 increase during the secretory phase, followed by a drastic decrease. Interestingly, the expression of 213 TR α 1 and TR β 1, and also of TR α 2 and TSHR, in endometrial cells is concomitant to the appearance 214 of the uterodomes and the establishment of endometrial receptivity. The expression of TR α 1, TR β 1, 215 TR α 2 and also of type 2 deiodinase (DIO2) is regulated by progesterone. In fact, the administration 216 of mifepristrone, an anti-progestinic drug that makes the endometrium unreceptive and induces 217 menstrual bleeding, reduces the expression of TR α 1 and TR α 2, while it up-regulates TR β 1 and DIO2 218 expression, suggesting a role for progesterone in regulating molecules involved in TH synthesis and 219 metabolism [111]. The role of TH pathway in endometrial function is also demonstrated by the 220 observation that hypothyroidism is able to reduce uterine endometrial thickness, and also interferes 221 with estrogenic response of the endometrium [112]. TH regulates endometrial receptivity also by 222 acting on LIF pathway, since TSH induces increased expression of LIF and LIF receptor (LIFR) in 223 endometrial stromal cells obtained from human endometrial biopsy samples, suggesting a major role 224 for TSH in the implantation process [110].

225 A role for the immune system in embryo implantation has been widely investigated for obvious 226 reasons. The decidua plays a fundamental role in ensuring immune tolerance toward the semi-227 allogenic conceptus, protecting it from the mother's immune system. Regulatory T cells (Tregs) are 228 CD4+CD25+ T cells, having the role to suppress the immune response [113]. During early pregnancy, 229 in the decidua there is an increase in Tregs, which produce immunosuppressive cytokines, such as 230 IL-10, for inducing immune tolerance [114-117]. Uterine natural killer (uNK) are a particular type of 231 NK cells, which lose their cytotoxic functions during pregnancy. uNK cells play a supportive role by 232 enhancing angiogenesis and induce immune tolerance, by reducing inflammation through 233 interferon-y (IFN-y) [118] and inhibiting the function of T cells through the expression of 234 immunomodulatory molecules such as galectin-1 and glycodelin A [119].

235 Recently, a customized endometrial receptivity array (ERA), containing 238 genes related to 236 endometrial receptivity, was created [120]. These genes, differentially expressed in the receptive 237 phase, encode for factors involved in several biological processes, such as processes relating to the 238 immune system, circulation, response to external stimulus, behavior, cell cycle, cell adhesion, 239 anatomical structure development, cell-cell signaling, and mitotic cell cycle. ERA represents a useful 240 tool for clinicians to choose the best time for blastocyst transfer during ART procedures [120,121]. In 241 vitro fertilization (IVF) cycles often fail since it is difficult to identify potential dysregulations of the 242 many factors involved in implantation. High throughput screening, as ERA technology, might allow 243 identification of molecular alterations responsible for recurrent implantation failures (RIF) which are 244 not currently evaluated in routine workup. Thus, ERA could suggest clinicians a possible therapy, 245 leading to an increase in the success of the ART procedures.

246 3. Implantation of the competent blastocyst

Implantation is a crucial event of mammalian reproduction, during which the embryo makes contact with the maternal uterus for the first time. It is defined as "a series of events initiated by fertilization of the ovum which ultimately leads to the embedding of the blastocyst in the endometrium" [13]. So, implantation starts with the fertilization of the ovum, in the ampulla of the Fallopian tube within 24 to 48 hours after ovulation and ends with the formation of the primitive placenta.

253 3.1. Transport, orientation and hatching

After fertilization, the embryo, encased in a non-anchored glycocalix, the so-called zona pellucida, which prevents ectopic implantation, descends the Fallopian tube and reaches the uterine cavity while undergoing profound morphological changes ending in the formation of the blastocyst [122,123].

258 For a successful implantation into the maternal tissues, a correct orientation of the blastocyst 259 towards the uterine wall is needed. In most eutherian mammals, at the time of first contact of the 260 blastocyst to the endometrial epithelium, the ICM of the various embryos has an almost constantly 261 specific orientation toward the uterus. In humans, the ICM faces the uterine wall. This positioning of 262 the ICM usually correlates with the site of trophectoderm attachment to the endometrium, as well as 263 with subsequent development of the fetal membranes and placental structures [124,125]. Why, within 264 most species, the ICM of the blastocyst, or the placenta, should be positioned consistently in the same 265 way with respect to the uterine wall is not completely understood. Moreover, how the blastocyst 266 becomes correctly oriented [126,127] or what directs the process has not been well clarified, for even 267 the most commonly studied mammals.

268 Embedding of the blastocyst into the maternal endometrium requires hatching from the zona 269 pellucida, which otherwise would prevent adhesion of the embryo to the uterine wall. Blastocyst 270 hatching exposes the trophectoderm and allows the blastocyst to implant in the maternal uterus. The 271 crucial event for blastocyst hatching is the formation of a nick into the zona pellucida, and proteases, 272 such as serine-, cysteine- and metallo-proteases have been proposed to play a major role in this event 273 depending on the species [128-133]. Cathepsins, belonging to the ubiquitous cysteine proteases 274 family [134], have been demonstrated to be involved in blastocyst hatching and zona lysis in mice: 275 the expression of cathepsin L and P (mRNA and protein) and their natural inhibitor, Cystatin C, has 276 been demonstrated in mouse peri-hatching blastocysts [135]. Treatment of golden hamster embryos 277 with Cystatin C is able to block blastocyst hatching [131]. The process of murine blastocyst hatching 278 from the zona pellucida is also regulated by two mouse-specific proteinases, Strypsin (ISP1) and 279 Lysin (ISP2). ISP1 and ISP2 are two related S1-family serine proteinases, which are tandemly localized 280 in a cluster of tryptase genes [136,137]. The ISPs are co-expressed in the mouse preimplantation 281 embryos and in the mouse uterine endometrium during the WOI, indicating that they could play a 282 role in the process of blastocyst implantation [136,138]. Expression of ISP genes is positively regulated 283 by progesterone and TH [129,133,136] and ISPs are secreted by the blastocyst and the endometrial 284 glands into uterine fluid just prior to implantation [139]. The use of antibodies against ISP1/ISP2 285 abrogate murine embryo hatching and outgrowth, ascribing a crucial role for ISPs in this process 286 [138]. This is further supported by our recent observations using mouse blastocysts cultured in the 287 presence of TH, with or without endometrial cells used as the feeder layer. In the presence of 288 endometrial feeder cells, TH is able to anticipate blastocyst hatching (Figure 1) by upregulating the 289 expression of blastocyst produced ISPs, and to enhance blastocyst outgrowth by upregulating 290 endometrial ISPs and MMPs. On the contrary, in the absence of the endometrial feeder layer, TH does 291 not affect blastocyst hatching, suggesting that TH is one of the players involved in the bidirectional 292 crosstalk between the blastocyst and the endometrium during the WOI [133]. Human homologs of 293 ISPs have not been so far identified, and it is possible that other proteases might be involved in 294 blastocyst hatching in humans.



295

Figure 1. Thyroid hormone (TH) supplementation stimulates mouse blastocyst hatching *in vitro*. (A)
Schematic representation of the *in vitro* model developed to assess TH role in implantation. (a) Co-culture of
murine blastocysts and endometrial primary cells as the feeder layer; (b) blastocysts cultured on plastic; (c)
endometrial cells cultured without blastocysts. (B) Representative images of the cultures. Scale bar 50µm. (C, D)
Graphs summarizing the results shown in B: percent of hatched blastocysts after co-culture on endometrial cells
(C) or on plastic (D). Reproduced with permission from Piccirilli *et al.* [133].



Histological analysis of uteri of pregnant women allows to recognize three different levels of blastocyst adhesion to the uterine wall, which correspond to the three stages of blastocyst implantation (Figure 2) [140,141].



306

307 Figure 2. Blastocyst apposition, adhesion and invasion. The diagram shows a preimplantation-stage (A, B) 308 and invading (C) blastocyst (about 9 to 10 days after conception) and the processes and factors required for 309 uterine receptivity and blastocyst apposition, adhesion (A, B) and invasion (C). hCG denotes human chorionic 310 gonadotropin, LIF leukemia inhibiting factor, IL-1β interleukin-1 beta, EGF-like growth factors epidermal 311 growth factor-like growth factors, AREG amphiregulin, EREG epiregulin, PG progesterone, COX-2 312 cyclooxygenase-2, PGE2 prostaglandin E2, CSF-1 colony stimulating factor-1, OPN osteopontin, MUC-1 mucin-313 1, MMPs metalloproteinases, EGFL7 epidermal growth factor-like domain 7, MAPK mitogen activated protein 314 kinase, AKT protein kinase B, PA plasminogen activator, TGF^β transforming growth factor beta, TIMPs tissue 315 inhibitor of metalloproteinases, PAI-1 plasminogen activator inhibitor-1.

316 Blastocyst apposition is the initial stage representing the first physical contact between the 317 blastocyst and the endometrium, in which the blastocyst finds a site for implantation, guided by the 318 maternal endometrium [142,143]. The site of implantation in the human uterus is usually in the upper 319 and posterior part in the midsagittal plane. During blastocyst apposition, the microvilli placed on the 320 apical surface of trophectoderm interdigitate with the uterodomes localized on the apical surface of 321 the uterine epithelium (Figure 2A). These specialized structures support a stable binding between 322 trophoblast and uterine epithelial cells, so that the plasma membranes of these cells are parallel and 323 separated by a distance of 20 nm [144]. The uterodomes secrete LIF [74]. LIF is a cytokine of the IL-6 324 family, which in the uterus activates the Janus kinases (JAK) - signal transducer and activator of 325 transcription protein (STAT) pathway, and therefore phosphorylates STAT3, whose activation is 326 required for implantation [145,146]. LIF is indispensable for blastocyst implantation. Mice knockout 327 for LIF are infertile since, although they are able to develop blastocysts, these fail to implant, however 328 successfully implant in surrogate female mice [147]. In Lif-null mice the expression of EGF-like 329 growth factors, such as HB-EGF, AREG and EREG, which, as previously mentioned, are normally 330 expressed by the luminal epithelium adjacent to the blastocyst and are essential for successful 331 pregnancy, is abolished [148]. Since the defects in decidualization caused by the absence of LIF can 332 be rescued by intrauterine administration of EGF ligand [149], it has been hypothesized that LIF 333 favors blastocyst invasion by reducing the expression of cell-cell junction molecules and proliferation 334 of the stromal cell through activation of EGF signaling pathway [150]. In fertile women, LIF 335 expression increases in the endometrium around the time of implantation, while infertile women 336 express low levels of this factor [151,152]. Once a competent blastocyst takes contact with the maternal 337 endometrium, a dialogue made of signals and responses between them occurs. One of the most 338 important factors secreted by trophoblast cells is CG. CG is expressed very early by the embryo, since 339 its mRNA can be detected starting from the 6-8 cell stage, but the secreted protein becomes 340 measurable starting from the late blastocyst stage [153]. During pregnancy, CG is firstly detectable in 341 maternal blood during implantation and then rapidly increases [154]. As discussed before, CG plays 342 a fundamental role in inducing the production of progesterone and the decidualization process, thus 343 allowing implantation of the blastocyst.

344 3.3. Adhesion

345 Following apposition, stable adhesion of the blastocyst to the endometrium occurs, mediated by the 346 interaction between several receptors and ligands (Figure 2B). Over the last decades, several of these 347 ligands and receptors have been identified. It has been observed that both the uterodomes of the 348 endometrial epithelium and the trophectoderm of the blastocyst express the integrin $\alpha v\beta 3$, together 349 with the endometrial expression of its ligand the glycoprotein osteopontin (OPN). Their expression 350 at the WOI suggests a role in implantation [80,155,156], and the binding between integrin $\alpha\nu\beta$ 3 and 351 its ligand OPN might mediate the stable adhesion between the blastocyst trophoblast and the 352 endometrium [157]. Using an in vitro model of implantation, Genbacev et al. suggested that 353 trophoblast adhesion to the uterine wall is also mediated by L-selectin expressed on the surface of 354 the trophoblast cells, and uterine epithelial oligosaccharide ligands, such as HECA-452 and MECA-355 79 [158,159]. More recently it has been also demonstrated that the transmembrane glycoprotein 356 Mucin 1 (MUC1), abundantly expressed at the apical surface of uterine epithelium under the control 357 of progesterone, acts as a scaffold mediating the binding between L-selectin and their ligands [160]. 358 The adhesion of the blastocyst to the endometrium is also promoted by the expression of adhesion 359 molecules, such as cadherins. The presence of endothelial cadherin (E-cadherin) in both the 360 trophoblasts and endometrial epithelium, regulated by progesterone, indicates that it may play an 361 important role in blastocyst adhesion to the endometrium [161]. As trophoblast cells proliferate, 362 differentiate and invade the stroma, they downregulate E-cadherin and increase osteoblast cadherin 363 (OB-cadherin) [162,163]. The expression of OB-cadherin in the endometrial epithelium suggests that 364 this adhesion molecule later mediates trophoblast-endometrium interactions. Blastocyst adhesion is 365 also favored by the expression of the glycoproteic receptor CD98 on the surface of endometrial cells, 366 which is normally involved not only in amino acids transport but also in cell fusion [164,165]. Using 367 two human endometrial cell lines characterized by low and high receptivity, Dominguez et al. 368 demonstrated that CD98 receptor is significantly associated with the receptive phenotype. In human 369 endometrial samples, they found that CD98 expression was spatially restricted to the apical surface 370 of endometrial cells and temporally restricted to the WOI. Treatment of primary endometrial 371 epithelial cells with hCG, 17-β-estradiol, LIF or EGF increases expression of CD98, greatly enhancing 372 murine blastocyst adhesion, while its siRNA-mediated depletion reduced blastocyst adhesion rate 373 [166]. The expression of NOTCH receptors and ligands in the trophectoderm of the blastocyst and 374 that of NOTCH1, DLL4 and JAG1 in the apical surface of the endometrial epithelium during the mid-375 secretory phase [90,167] would suggest a role for NOTCH signaling in the adhesion of the blastocyst 376 to the epithelium. Indeed, it has been demonstrated that blastocyst-conditioned medium regulates 377 NOTCH1 and JAG1 expression in endometrial epithelium [167], suggesting that the blastocyst is able 378 to activate NOTCH signaling in the endometrium, thus possibly regulating endometrial receptivity. 379 This is reinforced by the fact that women with primary infertility show a reduced or absent 380 immunostaining for JAG1 in the luminal endometrial epithelium during the mid-secretory phase 381 [167]. As already mentioned, adhesion of the blastocyst to the endometrium is regulated by several 382 different factors. A role for colony-stimulating factor-1 (CSF-1) in implantation has been proposed. 383 Indeed, supplementation of CSF-1 in cultures of human trophoblast cells promotes their 384 differentiation in syncytiumtrophoblast cells and leads to the production of placental lactogen [168], 385 while supplementation of CSF-1 to cultures of murine blastocyst induces trophoblast outgrowth 386 [169]. However, using osteopetrotic mutant mice, which lack CSF-1, it has been shown that a maternal 387 source of CSF-1 is not necessary for pregnancy, and possibly the fetus can provide a source of CSF-1 388 which compensate for the absence of maternally produced CSF-1 [170].

389 3.4. Invasion

390 Finally, in the third stage, invasion occurs starting with the penetration of highly invasive 391 trophoblast cells in the uterine epithelium (Figure 2C), followed by infiltration in the basement 392 membrane and in the stromal compartment, a process known as "interstitial invasion" [143,171,172]. 393 Besides invading the endometrial stroma, trophoblast cells also migrate down the lumen of maternal 394 spiral arteries, replace the vascular endothelial lining and become embedded in the arterial walls. 395 This process of "endovascular invasion" allows to replace small-caliber, high-resistance vessels with 396 large-caliber, low-resistance vessels, ensuring an adequate blood supply to the fetoplacental unit 397 [173,174]. Defects in trophoblast endovascular invasion of maternal spiral arteries can seriously 398 impair placental function, leading to significant complications of advanced gestation, such as 399 intrauterine growth restriction (IUGR) and preeclampsia [175]. The huge invasive ability of the fetal 400 trophoblast is due to a high production of activated gelatinases, in particular MMPs 2 and 9 [176-401 178]. Trophoblastic MMPs are regulated in response to IL-1 β , tumour necrosis factor alpha (TNF α), 402 IL-1 α , macrophage colony-stimulating factor (MCSF), transforming growth factor β (TGF β), IGFBP1, 403 leptin, hCG, EGF [104,179-183], which are secreted from different cell types at the feto-maternal 404 interface, such as trophoblasts themselves and endometrial cells, promoting trophoblast invasion. As 405 already mentioned above, the expression of MMPs involved in endometrial invasion by trophoblast 406 cells is also under the control of TH, as TH positively regulates MMP expression by endometrial cells 407 [133]. Recently, we demonstrated that the migration and invasion of trophoblast cells is regulated by 408 the secreted factor Epidermal growth factor-like domain 7 (EGFL7), which activates NOTCH1, 409 MAPK and AKT signaling pathways [184]. Activation of the NOTCH pathway is important in both 410 interstitial and endovascular invasion by trophoblast cells. In vitro functional assays show that 411 invasion of Matrigel by trophoblast cells is impaired in the presence of a γ -secretase inhibitor, 412 normally used to inhibit NOTCH activation [175,184]. NOTCH appears to be also involved in 413 trophoblast endovascular invasion, since uNK, involved in the disruption of endometrial spiral 414 arteries integrity, express NOTCH1 and 2 and maternal cells surrounding spiral arteries express 415 Delta-like 1 (DLL1) [175], and NOTCH activation may lead to arterial wall disruption. These results 416 are further confirmed by the fact that NOTCH pathway is dysregulated in placenta of women affected 417 by preeclampsia [175,185-191], a common pregnancy disorder characterized by an insufficient 418 trophoblast invasion and an inadequate vascular remodeling. In women affected by preeclampsia, 419 the alteration of NOTCH pathway is accompanied by a concomitant altered expression of NOTCH

420 ligand EGFL7, in both placenta and maternal circulation [185,192].

421 In all the placental species the extent of endometrial decidualization is proportional to the 422 invasiveness of the embryo. The human placenta is the most invasive one known so far, and it has 423 been suggested that the unique invasiveness of the human trophoblast could due to its high 424 production of hyperglicosylated CG isoform, which is maximal in the first weeks of pregnancy 425 [193,194]. In order to limit the extent of trophoblast invasion, both trophoblast and endometrium 426 balance the expression of growth factors, cytokines, and enzymes. As an example, maternal 427 endometrium increases the production of tissue inhibitors of MMPs (TIMPs), due to a spatial and 428 temporal regulation of cytokines and growth factors, such as IL-10 [195], TGF β and IL-1 α [179]. While 429 IL-1α significantly increases the activity of MMP-9 and MCSF increases MMP-9 immunoreactivity, 430 TGFβ inhibits total gelatinolytic activity, MMP-9 activity and immunoreactivity [179]. TIMP-3, which 431 is up-regulated by progesterone, plays a major role in limiting trophoblast invasion by limiting ECM 432 degradation. It has been detected in the fetal extravillous trophoblasts, as well as in the maternal 433 endometrial cells [196,197]. On the contrary, by in situ hybridization in implanting mouse embryos 434 no expression was observed for TIMP-1 or TIMP-2 in the embryo proper, trophoblasts, or in the 435 decidua. Weak signals were demonstrated for TIMP-1 only in the circular layer of myometrial smooth 436 muscle and in some uterine stroma cells distant from the site of embryo implantation. Moreover, the 437 expression of TIMP-1 and TIMP-2 is not dependent on the stage of the menstrual cycle [197]. 438 Trophoblast invasion is promoted by the action of the plasminogen activator (PA) system since it is 439 able to promote trophoblast invasion, by converting plasminogen into the active serine protease 440 plasmin, which in turn, degrades ECM [198]. In endometrial cells, TGF β regulates trophoblast 441 invasion up-regulating the expression of plasminogen activator inhibitor-1 (PAI-1), which is the main 442 inhibitor of urokinase-type plasminogen activator (uPA) [199-201], and decorin, a decidua-derived 443 TGFβ binding proteoglycan, which inhibits proliferation, migration and invasion of trophoblast cells 444 [202]. The blastocyst is completely embedded in the uterine stroma 8 days after fertilization and the

site of entry is covered by fibrin, over which the uterine epithelial cells grow [143,203,204].

446 4. Conclusions

447 Human reproduction is a rather inefficient process, with a chance to achieve pregnancy of 15% per 448 cycle [205]. ART procedures help several couples to have a baby, but only 25% of transferred embryo 449 will successfully implant [206]. Implantation is a critical process, finely regulated by a variety of 450 molecules and hormones secreted by both the blastocyst and the endometrium. Considering this, it 451 is difficult to identify the alteration of those factors that determine the lack of embryo implantation. 452 This also occurs probably because at present these factors are not sufficiently evaluated in routine 453 clinical screening. Poor knowledge of the factors that regulate implantation and therefore not 454 sufficient clinical screening exams are responsible of the high incidence of unexplained infertility 455 cases (25%). A more in-depth knowledge of the mechanisms involved in the early stages of 456 pregnancy, leading to increased efficiency of ART techniques, will definitely improve the diagnosis 457 and treatment of infertility.

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465 Abbreviations

WOI	Window of implantation
CG	Chorionic gonadotropin
IL	Interleukin
LIF	Leukemia inhibitory factor
ARTs	Assisted reproduction techniques
ECM	Extracellular matrix
LH	Luteinizing hormone
cAMP	Cyclic adenosine monophosphate
BCL-2	B-cell lymphoma 2
COX2	Cyclooxygenase-2
PGES	Prostaglandin E synthase
Erk1/2	Extracellular signal-regulated protein kinases 1/2
PGE2	Prostaglandin E2
PR	Progesterone receptor
α-SMA	α-smooth muscle actin
IGFBP1	Insulin-like growth factor binding protein-1
ER	Oestrogen receptor
PRL	Prolactin
EGF	Epidermal growth factor
AREG	Amphiregulin
HB-EGF	Heparin binding epidermal growth factor
EREG	Epiregulin
JAG1	Jagged1
DLL4	Delta-like 4
HES	Hairy enhancer of split
HEY1	Hes-related 1
ICM	Inner cell mass
FOXO1	Forkhead box protein O1
РКА	Protein kinase A
MMP	Matrix metalloproteinase
ТН	Thyroid hormone
TR	Thyroid hormone receptor
TSHR	Thyroid-stimulating hormone receptor
DIO2	Type 2 deiodinase
LIFR	LIF receptor
ERA	Endometrial receptivity array
IVF	In vitro fertilization
RIF	Recurrent implantation failures
HESCs	Human endometrial stromal cells
Tregs	Regulatory T cells
uNK	Uterine natural killer
IFN-γ	Interferon-γ
ISP1	Strypsin
ISP2	Lysin
JAK	Janus kinases

STAT	Signal transducer and activator of transcription protein
OPN	Osteopontin
MUC1	Mucin 1
E-cadherin	Endothelial cadherin
OB-cadherin	Osteoblast cadherin
CSF-1	Colony-stimulating factor-1
IUGR	Intrauterine growth restriction
TNFα	Tumor necrosis factor α
MCSF	Macrophage colony-stimulating factor
TGFβ	Transforming growth factor β
EGFL7	Epidermal growth factor-like domain 7
DLL1	Delta-like 1
TIMPs	Tissue inhibitors of MMPs
PA	Plasminogen activator
PAI-1	Plasminogen activator inhibitor-1
uPA	Urokinase-type plasminogen activator

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