



This is the **accepted version** of the article:

Carabassa, Vicenç; Ortiz Perpiñà, Oriol; Alcañiz, Josep M. «RESTO-QUARRY: indicators for self-evaluation of ecological restoration in open-pit mines». *Ecological indicators*, Vol. 102 (July 2019), p. 437-445. DOI 10.1016/j.ecolind.2019.03.001

This version is available at <https://ddd.uab.cat/record/216988>

under the terms of the  license

1 **Title: RESTOQUARRY: indicators for self-evaluation of ecological restoration in**
2 **open-pit mines**

3 **Authors and addresses:** Vicenç Carabassa^{1*}, Oriol Ortiz^{1,2}, Josep M. Alcañiz^{1,3}

4

5 ¹CREAF, E08193 Bellaterra (Cerdanyola del Vallès), Catalonia, Spain

6 ²Escuela Politécnica Superior, University of Zaragoza, Carretera Cuarte s/n, E-22071

7 Huesca, Spain

8 ³Universitat Autònoma de Barcelona, E08193 Bellaterra (Cerdanyola del Vallès),

9 Catalonia, Spain

10 * Address correspondence to V. Carabassa, phone: 0034 93 581 3355, e-mail:

11 v.carabassa@creaf.uab.es

12

13

14 **Abstract**

15

16 Several methods and criteria to evaluate and assess quarry restoration are available in
17 the scientific literature, but they are very specialized and time consuming. Furthermore,
18 there is a lack of evaluation tools appropriate for technicians involved in these types of
19 activities, such as quarry engineers, restoration managers and quality control
20 supervisors in public administration. The work presented attempts to bridge the gap
21 between scientific knowledge and practical needs by proposing a simplified
22 methodology (RESTOQUARRY protocol), which enables the non-scientific public to
23 evaluate restored areas. This procedure focused on five groups of parameters for zone
24 (homogeneous portions within the whole restored area) evaluation: geotechnical risk,
25 drainage network, erosion and physical degradation, soil quality and vegetation status
26 and functionality. Moreover, three groups of parameters are proposed for area (whole
27 restoration) evaluation: landscape integration, ecological connectivity and fauna, and
28 anthropic impacts. This protocol has been tested in 55 open-pit mines located
29 throughout Catalonia (NE Iberian Peninsula), covering a wide range of Mediterranean
30 climatic conditions and geological substrates. Results indicate that the proposed
31 methodology is suitable for detecting critical parameters that can determine the success
32 of the restoration.

33

34

35 **Keywords:** Open-pit mines reclamation; quarry rehabilitation; ecological restoration;
36 restoration evaluation; ecological indicators

37

38 **Highlights**

39

40 A new multicriterial procedure for integrated self-evaluation of mine restorations

41 It includes ecological, technical and socio-cultural aspects

42 It uses 34 evaluation parameters, selected and weighted by an expert panel

43 The evaluation allows to score the whole restoration

44 The score is accompanied by an interpretation of the monitoring values

45 The evaluation allows to highlight critical factors for restoration success

46 **1. Introduction**

47 Ecological restoration is defined by The International Society for Ecological Restoration
48 as the process of assisting the recovery of an ecosystem that has been degraded, damaged
49 or destroyed (Clewell et al., 2004), in order to retrieve its environmental functions and
50 ecosystem services. This institution provides a list of ecosystem attributes as a guideline
51 for measuring restoration success after human-induced perturbations. However, what
52 characterizes successful restoration and how best to measure it generates debate among
53 members within the scientific community (Wortley et al., 2013; Crouzeilles et al. 2016).
54 Many methods to evaluate these attributes are available in the scientific literature and
55 most studies are focused on vegetation composition and structure, biodiversity and
56 ecological processes (Ruiz-Jaen & Aide, 2005; Wortley et al. 2013). In the present paper,
57 the concept of restoration is used in a broad sense, including rehabilitation and other
58 recovering alternatives of mined sites.

59 It is well known that advances in restoration ecology are intrinsically linked to advances
60 in the ecological understanding of the ecosystems to be restored, and the knowledge of
61 soil and vegetation properties is an appropriated way to guarantee restoration success
62 (Prach, 2003; Temperton et al. 2004; Valladares and Gianoli, 2007). Moreover,
63 geotechnical stability, runoff control, landscape integration, and ecological connectivity,
64 among others, are basic site attributes to be considered for a good quality restoration,
65 especially in mining activities. However, the choice of relevant evaluation attributes
66 depends on the type of degradation processes that previously affected the restored zones.
67 Specifically, sites affected by mining activities, such as quarries, are a paradigmatic case
68 of drastic anthropic perturbation, as almost all the components and attributes of the
69 original ecosystem have been destroyed and, therefore, must be restored.

70 Practitioners have asked researchers to provide potentially useful procedures based on
71 objective indicators (Clewel & Rieger, 1997; Beier et al. 2017). On the other hand,
72 researchers have appointed the need to improve the evaluation of restorations carried out
73 in open-pit mines (Halldórsson et al. 2012, Hagen et al. 2013; Suding 2011), although the
74 available information on the topic has increased in the last years (Wortley et al. 2013).
75 Evaluation tends to be focused on the descriptive characterization of the restored areas,
76 and restricted to a single or few checks after the restoration works (Suding 2011).
77 Nonetheless, a continuous monitoring during all the restoration process is necessary
78 (Allen et al. 2002; Pander and Geist 2013) and should be coupled to the exploitation
79 works. In any case, economic and ecological results of the restoration could be improved
80 if clearer evaluation protocols exist, which also could facilitate the transfer of valuable
81 information to other projects (Nilsson et al. 2015).

82 The present work attempts to satisfy these demands for evaluating restoration of mine
83 sites, providing a scientifically based multifactorial methodology to be incorporated in
84 the decision-making process. This will lead to regaining the restoration bonds (financial
85 guarantee) that mine companies must deposit in many countries, in order to guarantee the
86 correct restoration of the degraded land. This study aims to contribute to the generation
87 of best available techniques in this field, filling the gap that already exists in the extractive
88 activities sector with an innovative methodology that takes into account a wide range of
89 geotechnical and ecological indicators. Some authors have proposed similar procedures
90 for rangelands and mine sites (Courtney et al. 2010; Dzwonko and Loster 2007; Tongway
91 and Hindley, 2004); however, these methodologies are rather inaccessible to the non-
92 scientific public, as they assess excessively specific or technical indicators. In order to
93 avoid these limitations, RESTOQUARRY protocol, a self-evaluation procedure of open-
94 pit mines restoration, is proposed (Carabassa et al. 2010; Carabassa et al. 2015). This

95 protocol is aimed to be useful for mining engineers and managers of environmental
96 agencies, who can easily put it into practice without having to have much scientific
97 knowledge about ecological restoration. If this goal is reached, better involvement by
98 extractive companies in the restoration process would also be achieved and, therefore, the
99 quality of the restorations carried out by these industries would rise. Moreover, the
100 application of participatory methodologies such as the proposed in this work would aid
101 the cooperation and communication between public administration and extractive
102 industries, which is crucial for improving restoration and finding the most appropriate
103 solution on a case by case basis.

104

105 **2. Materials and methods**

106 *2.1 Selection of restoration indicators*

107 A preliminary proposal of quality indicators/parameters of mining restoration success was
108 subjected to a screening process by experts. This proposal has been based on the know-
109 how generated in previous research projects and carried out with the collaboration of
110 engineers of mining industries, technicians of competent authorities, ecologists from
111 NGOs, technicians from consulting companies and scientists with broad experience in
112 mine restoration. These actors constituted an expert panel including 17 people/entities.
113 After an independent review process, the first proposal of indicators was made. This
114 proposal included specific indicators applicable to homogeneous zones within the whole
115 area (zone indicators), and a set of more generalist indicators, applicable to the whole
116 restored area (area indicators). This distinction between *area* and *zone* was made in order
117 to correctly evaluate parameters that must be measured separately at slope, habitat or
118 landscape level.

119 There are five groups of zone indicators: geotechnical risk, drainage network,
120 erosion/degradation processes, soil and vegetation (Table 1). Some vegetation indicators
121 (plant cover, woody species richness and density, or herbaceous species richness) are
122 based on the comparison to a reference site, usually located in an undisturbed zone close
123 to the mine. For geotechnical risk (area affected by landslides and fallen blocks) and
124 erosion (area affected by rill erosion) indicators, the area influenced by instability
125 processes could be measured directly at the field or by photointerpretation, depending on the
126 magnitude of the process. Soil bulk density is measured by the excavation method as
127 coarse particles are often abundant in this kind of substrates. Soil sampling is performed
128 using Edelman auger or similar tool to extract the first 20 cm of topsoil. The
129 recommended sampling density is specified in the protocol (20 holes/ha). Vegetation
130 measures are obtained on 10x10 m square plots, distributed along the evaluated zones,
131 and on 10 m transects delimited by the sides of these plots (horizontal and perpendicular
132 to the slope).

133 Indicators related to the area evaluation are mainly qualitative (see Table 2). This is
134 especially true for the case of landscape integration, where the proposed indicators are
135 based mainly on the perception of the evaluator. However, the protocol gives guidance in
136 order to reduce the subjectivity of the observations, allowing the evaluator to classify
137 landscape integration according to the similarity of the restored area to the surrounding
138 natural landscape. All the methods for measuring the indicators are standardized and
139 explained in Carabassa et al. (2015), including sampling density and recommendable
140 sampling period.

141

142 *2.2 Transformation of indicators to restoration quality indexes*

143 In order to compare and integrate the evaluation data through a set of individual
144 indicators, the use of functional curves is proposed (Figure 1). The objective is to obtain
145 a global Restoration Quality Index (RQI) that summarizes the main factors influencing
146 the restoration, using the proximity to target methodology (Rodríguez-Loinaz et al. 2015,
147 Rocés-Díaz et al. 2018). A functional curve for each parameter is proposed, according to
148 the bibliography and the knowledge and expertise of the panel members (Cortina et al.,
149 2012; Deltoro et al., 2012, Jorba et al., 2010, Carabassa et al. 2010; Moreno-de las Heras
150 et al., 2008, Alcañiz et al., 2008; Tongway and Hindley, 2004; Conesa, 2003, Forman,
151 2003). These functions transform each parameter value, measured in its own units, to its
152 respective Restoration Quality units (RQ_x), which are standardized, dimensionless and
153 fully comparable, where 1 represents the maximum quality for restoration and 0 the worst
154 case.

155

156 *2.3 Indicators weighting*

157 The expert panel was invited to weight the indicators in order of importance for the
158 evaluation of the restoration success. Indicators were weighted using a pairwise
159 comparison method through a Delphi process (Okoli and Pawlowski, 2004; Mukherjee et
160 al 2015). The result of the ranking and pairwise successive comparisons gave a weight
161 (W) for each indicator according to its importance for the whole restoration success. The
162 global restoration quality index (RQI) was calculated as the sum of all the RQ_x multiplied
163 by its respective W:

$$164 \quad RQI = \sum_{x=m}^n (RQ_x \cdot W_x)$$

165

166 *2.4 Study sites*

167 The RESTOQUARRY protocol was assayed in a pilot test on 55 selected open-pit mines
168 distributed along NE Iberian Peninsula (Catalonia, Spain), covering different climatic
169 conditions, geological substrates, soil types and extraction procedures (Figure 2, Table
170 3). A total of 106 restored zones were evaluated in these mines applying the proposed
171 methodology.

172 The selected restored mine-zones of the pilot test included a broad range of restoration
173 goals, landscape type and age. The main restoration goal in this selection was the
174 ecological restoration, but also there were cases of conversion to agriculture and forestry
175 plantations. The surface of the evaluated areas ranged between 0.8 and 165 ha. The trial
176 areas had been restored between 4 and 21 years before the evaluation process, which
177 allowed the comparison of old restorations with new ones.

178

179 **3. Results**

180 *3.1 Zone evaluation*

181 3.1.1. Geotechnical risks

182 Flat zones and steep slopes (30-37°) were the predominant geomorphologies in the
183 selected restorations. The slope is an important factor that determines geotechnical risks,
184 soil degradation processes, and vegetation establishment. In terms of geotechnical risk,
185 fallen blocks were observed in 60% of the banks with a slope higher than 8°. Fallen blocks
186 represented big stones or boulders (> 20 cm diameter) that had fallen down from
187 extremely steeped slopes (>45°) and/or vertical walls, representing a safety risk and
188 compromising the vegetation located on the trajectory of this fall. Landslides are also
189 related to slope, and a third of the zones with a slope higher than 8° showed this type of
190 geotechnical risk. Moreover, other geotechnical risks, such as subsidences or cracks were
191 also detected, but they affected minor surfaces and in low grade.

192 3.1.2. Erosion and physical degradation

193 Regarding soil degradation processes observed, rill erosion was the most relevant. Rill
194 erosion is a concentrated water erosion process that supposes an important soil loss and
195 that could trigger the destabilization of the entire slope. Approximately half of the areas
196 with slopes of more than 30° showed rills with a depth greater than 5 cm. Areas degraded
197 by concentrated water erosion ranged between 1,053 to 40,700 m², which represents 4 to
198 100% of the surface of the restored zones. The calculated erosion rates ranged between
199 0.2 to 27 Mg ha⁻¹ y⁻¹ in the affected zones. The slope is also an important factor for sheet
200 water flow as 61% of the zones with a slope greater than 30° were degraded by sheet
201 erosion. Moreover, a quarter of the evaluated zones showed surface crusts as a
202 consequence of splash. Soil compaction and subsurface erosion impacted 20% and 9% of
203 the evaluated zones, respectively.

204

205 3.1.3. Soil quality

206 Organic matter content, electrical conductivity, available phosphorous (P), total nitrogen
207 (N) content and soil depth seemed to be the most limiting factors in the evaluated soils
208 (see Table 4). Poor organic matter contents (<0.8%) were detected in four of the analyzed
209 soils, mainly in the sandy ones. Moderate to high conductivity was detected in some of
210 the soils, but in most of the cases, this was not attributable to the mining activities. A
211 quarter of the soils evaluated showed a low available P content while 12% of the soils
212 showed high levels due to organic amendments (compost, sewage sludge, or pig slurry).
213 This trend was similar to the observed for total N content. Zones with severe slope (>30°)
214 showed an average soil depth of 0.2 m (due to the difficulty of stabilizing topsoil).

215

216 3.1.4. Vegetation status and functionality

217 The herbaceous cover was dominant in the evaluated zones with an average value of 55%,
218 while mean total plant cover (including trees and bushes) was 73%. Plant cover is an
219 important factor to prevent soil losses because erosion problems are mainly detected in
220 zones with <40% of soil surface covered by plants. Bushy invasive species, such as
221 *Arundo donax*, were present in 19% of the evaluated zones. However, these species were
222 not extensively distributed and were found in small patches. In 81% of the evaluated
223 zones, native bushy species were identified. Reproductively mature bushes were observed
224 in 54% of the locations, and spontaneous reproduction of these species were observed in
225 45% of the cases, mainly corresponding to *Santolina chamaecyparissus* and *Dittrichia*
226 *viscosa*. Regarding tree species, low canopy cover and diversity were observed as only
227 17% of the zones had more than three tree species. *Pinus halepensis*, which was widely
228 planted for reforestation in the Mediterranean region due to its resistance to drought and
229 soil deficiencies, was the dominant species. The mortality rate of planted trees was high
230 for native *Quercus* species, reaching 100% in some cases. On the other hand, some of the
231 evaluated zones were affected by grazing, which negatively strained vegetation
232 development and soil quality (erosion) in the first steps of restoration.

233

234 3.2 Area evaluation

235 3.2.1. Landscape integration

236 Regarding chromatic and morphologic integration to the surrounding landscape, the
237 majority of the evaluated restorations (93%) present good results. However, in some
238 cases, the presence of artificial morphologies (cliffs in hilly landscapes, isolated tips, or
239 repetitive and linear slope-berm morphology) and the dominance of herbaceous
240 vegetation in a site surrounded by forests make this integration difficult (figure 3), at least
241 in the first stages of restoration.

242

243 3.2.2. Ecological connectivity and fauna presence

244 The presence of steep slopes or abrupt topographic changes is common on the boundaries
245 of the quarries and could act as an ecological barrier for some animal species. Moreover,
246 in the vast majority of the restored areas, structures for attracting fauna (refuges, drinking
247 troughs or woody plants with edible fruits) are missing. Nevertheless, in most of the
248 evaluated areas diverse fauna traces (mainly wild boar and rabbit traces) were observed.
249 Burrows were observed in approximately one third of the evaluated areas, and nests were
250 only observed in one quarry.

251

252 3.2.3. Anthropogenic impacts

253 Approximately 1/3 of the areas were affected by anthropogenic impacts of various types.
254 The most common effects were related to dumping, mainly in quarries located near to
255 urban areas, and to the presence of abandoned infrastructures and machinery (i.e. ruins of
256 buildings, sheds, conveyor belts or old bulldozers and dumpers) from the previous mining
257 activity (Figure 4).

258

259 3.3 *Indicators weight*

260 As a result of the expert panel weighting process, a ranking of the indicators per group
261 was made (Table 5). Zone indicators obtained greater weight than area indicators. Among
262 the zone indicators, geotechnical risk was the most relevant since stability problems of
263 the slopes compromise the success of the restoration. The presence of broken channels in
264 the drainage network, directly related to geotechnical instabilities and erosion problems,
265 was considered the second most important indicator. Geomorphologic integration was
266 rated as the third due to its implications in geotechnical risks and soil degradation.

267 According to the criteria of the expert panel and the field observations, evaluation
268 parameters with a weight higher than 2% were considered key indicators for ecological
269 restoration success and must be taken into special consideration when analyzing the
270 results of the evaluations.

271

272 *3.4 Restoration Quality Index (RQI) calculation and assessment*

273 Using the results of the quality indicators per zone and area, the whole RQI was
274 calculated. Most of the restorations evaluated had a global RQI >70 since the relatively
275 high number of parameters considered t make it difficult to have low RQI values. For this
276 reason, a restoration with low values in a specific key indicator could obtain a relatively
277 high global RQI value. In order to avoid that critical situations hidden by high RQI values
278 and that could threaten the restoration, the adoption of corrective measures is
279 recommended when:

- 280 - $RQ_x = 0$ for any indicator
- 281 - $RQ_x < 0.5$ for a key indicator

282 Usually, restorations with an RQI > 85 have an $RQ_x > 0$ on all key indicators. In these
283 situations it could be considered a good result, meaning that the restoration objective has
284 been achieved. However, the adoption of corrective measures could not be excluded in
285 some cases or may be recommended in order to improve some aspects to better guarantee
286 that the ecosystem transition towards a more mature and resilient state occurs. According
287 to this, we could consider that mining companies can regain the restoration bond when
288 they have obtained an RQI > 85 and an $RQ_x > 0$ for key indicators, and have adopted the
289 recommended corrective measures. An example of the application of the
290 RESTOQUARRY protocol is shown in Table 6. In this case, an RQI of 87 was achieved,
291 but soil depth, woody species richness, chromatic and textural integration, woody plants

292 with fruits, and grazing triggered warning alerts and improvement recommendations were
293 needed. It can be seen that the use of this assessment procedure gives a detailed picture
294 of the restoration status. The general overview of this example of evaluation can be that
295 the restoration goals have been reached, although issues related to plant development
296 should to be improved.

297

298 **4. Discussion**

299 The RESTOQUARRY protocol is a procedure that has been designed to help the
300 evaluation of open mine restorations, using objective information obtained through
301 simplified methodologies available for a non-specialized public. The protocol aims also
302 to directly involve engineers of extractive companies in the design and monitoring
303 process of the restoration of their mines, trying to respond to some demands from
304 practitioners (Clewel & Rieger, 1997; Ockendon et al. 2018). Moreover, the
305 RESTOQUARRY protocol provides a decision-making system useful for public
306 administration bodies responsible for monitoring and evaluating mine restorations. This
307 evaluation system is a very committed process, which must guarantee the correct
308 evolution of the restorations towards the desired reference (eco)system, and which must
309 maximize the provision of ecosystem services (Comín et al., 2018). In addition, this
310 evaluation process must ensure that the return of the restoration bonds deposited by
311 extractive companies is decided on an objective and quantifiable basis, and made in the
312 correct time, not unnecessary extending the guarantee time, neither shortening it.

313 The vast majority of the indicators proposed in the protocol indirectly evaluate (proxies)
314 ecosystem services and/or ecosystem functions, allowing the quantification of some of
315 them. For example, erosion control, soil fertility, nutrient recycling or nutrient retention
316 are evaluated through soil quality, soil erosion, and vegetation indicators. Even the most

317 general indicators (area indicators), such as those related to anthropic impacts or
318 landscape integration, could be considered proxies of ecosystem services linked to non-
319 material benefits obtained through experiences (for example, cultural services).

320 The RESTOQUARRY protocol allows good quality restorations to be distinguished from
321 those that need to take corrective measures (i.e. minor revision) and those that have
322 critical failures that pose a risk to all the restoration efforts made (i.e. major revision).

323 The simplicity of the protocol is not achieved at the expense of reliability or replicability
324 since it is based on a wide literature review and the extensive knowledge of a panel of
325 experts in the related fields (ecologists, quarry engineers, administration representatives).

326 Moreover, this protocol has been tested in a wide representative sample of open-pit mines,
327 with the direct participation of the end-users. One of the essential aspects of the protocol

328 is that it does not evaluate the activities that have been carried out in the restoration, but
329 rather its effective results. After applying the RESTOQUARRY protocol, we able to
330 determine the whole restoration quality and to identify the critical features that need to be

331 improved in the extractive activities assessed. Thereby most of the restorations evaluated
332 in this work need the application of corrective measures in order to achieve the minimum
333 standard quality. The RESTOQUARRY protocol also intends to be useful at the stage of

334 restoration design, as it provides evaluation criteria that will be applied at the end of the
335 restoration works. Engaging mine workers and engineers in the evaluation of restoration
336 helps to improve the restoration works and their implication in the restoration process,

337 which consequently could enhance the quality of the restorations carried out by these
338 companies.

339

340 4.1 Similarities and differences with other evaluation procedures

341 Despite there being lots of studies evaluating ecological restorations (Ruiz-Jaen & Aide,
342 2005; Wortley et al. 2013), to our knowledge, there are not simplified methodologies
343 readily available for practitioners, that give information about ecosystem services and
344 assess the decision-making process. Landscape Function Analysis methodology
345 (Tongway and Hindley, 2004) is a methodology that fits with these objectives; however
346 it is impractical for a non-scientific public due to its complexity. Other studies also take
347 a similar approach to RESTOQUARRY (Comín et al. 2018; Derhé et al. 2016; Lithgow
348 et al. 2015; Bulloch et al. 2011; Birch et al. (2010)), taking into consideration the
349 provision of ecosystem services and/or the ecosystem functions, but at a larger scale, with
350 different target reference sites, and more focused on planning restorations than on
351 evaluating the executed ones. While these other studies are focused on ecosystem services
352 provided by ecological restoration in a general way (Comín et al. 2018; Bulloch et al.
353 2011; Birch et al. 2010), these works do not address the measure of some field parameters
354 directly linked to the quantification of ecosystem services (i.e. carbon storage, nutrient
355 cycling, water regulation, biomass production), as are made by RESTOQUARRY for
356 evaluating restoration success. On the other hand, only a few studies are focused on the
357 particular issue of the evaluation of mine restorations (Courtney et al. 2010; Dzwonko
358 and Loster 2007), and they mainly assess very specific indicators related to soil
359 rehabilitation or vegetation recover. Another differential characteristic of
360 RESTOQUARRY is that includes zone specific indicators (geotechnical risk, drainage
361 network, soil quality and degradation, vegetation structure and diversity) adapted to the
362 specificities of mine restoration, such as the need of constructing a drainage network or
363 creating a new soil layer (technosol).
364

365 4.2 Links between the current procedure of quarries control and the RESTOQUARRY
366 protocol

367 Mine restoration evaluation tests should assure the correct restoration of mine sites and
368 the recovery of the financial guarantees posted by mine companies conditioned to
369 obtaining satisfactory results in these tests. This evaluation scheme is adopted in some
370 countries like Canada (Mining Act), USA (Surface Mining Act), or the European Union
371 (Directive 2006/21CE). In Spain, for example, the transposition of the EU Directive
372 2006/21/CE (RD 975/2009) established the need to monitor restorations works each year,
373 until the end of the guarantee period. According to this law, the monitoring process could
374 be done directly by competent administration officers or by accredited external
375 companies. Currently, since the evaluation protocols, indicators, and reference values are
376 not provided, the assessment result depends on the criteria of the evaluator, which
377 sometimes varies according to its background. In this context, RESTOQUARRY protocol
378 is a more accessible tool for a non-scientific public that could help to objectify and
379 standardize the evaluation process, enhancing its transparency for administration bodies,
380 companies, and citizens.

381

382 4.3 Methodological limitations

383 A limitation of the global RQI could be that it is confusing if it is not accompanied by an
384 interpretation of the RQ_x partial values. The fact that a wide range of indicators is
385 considered makes it difficult to obtain low RQI values despite the fact that some RQ_x
386 could be very low or even 0, leading to relatively high global RQI values for restorations
387 even though they may have critical faults. We propose the consideration of key indicators
388 in order to decide the adoption of corrective measures could help to solve this problem.
389 Other methodologies for evaluation (Lithgow et al. 2015) have used a similar

390 approximation (hierarchical grouping) to prioritize among indicators, obtaining
391 satisfactory results. However, by using the current criterion for key indicators definition
392 (weight higher than 2%), more than a half of them are considered key indicators, which
393 may be excessive. This criterion could be redefined in order to reduce the number of key
394 indicators; however this will increase the chances that some poor quality restorations pass
395 the assessment.

396 The RESTOQUARRY protocol has been designed and tested mainly in Mediterranean
397 quarries, therefore its application in other climates or mine types could present mismatches
398 in some indicators and reference values. Moreover, this protocol is not a suitable tool for
399 evaluating very case-specific restorations, targeting singular habitats or species
400 (endangered and/or protected) where an expert knowledge is needed. In these cases, some
401 indicators and reference values included in the RESTOQUARRY could not be
402 appropriate, or, alternative indicators should be evaluated. For the same reason,
403 RESTOQUARRY may not be appropriate for evaluating agricultural restorations, but in
404 these cases, the protocol could be easily adapted to specific goals by changing the set of
405 indicators while preserving the general scheme.

406

407 **Conclusions**

408 The RESTOQUARRY protocol was designed to help mine companies, competent
409 administration and accredited monitoring consultancies in the process of evaluating
410 ecological restoration of mine sites. It consists of a multifactorial procedure, including
411 selected expert-weighted indicators, that allows its large-scale application in the context
412 of ecological restoration of Mediterranean quarries. The protocol could support mine
413 companies in the decision-making process to select corrective measures for improving
414 and optimizing the restoration process. At the same time, it could be useful for competent

415 administration bodies to approve the return of restoration financial guarantees. In
416 summary, RESTOQUARRY is a tool that can contribute to improve the practice and the
417 monitoring of ecological restoration of mine sites.

418

419 **Acknowledgements**

420 This study was financed by the Catalan Government through the project “Research and
421 Innovation in the process and control of extractive activities restoration”. Authors thank
422 the members of the expert panel: Esteve Serra (Generalitat de Catalunya), Montserrat
423 Pedra (Generalitat de Catalunya), Jordi Cortina (Universitat d’Alacant), Albert Solé
424 (Estación Experimental de Zonas Áridas-CSIC), Ramon Josa (Universitat Politècnica de
425 Catalunya), David Badia (Universidad de Zaragoza), Montserrat Jorba (Universitat de
426 Barcelona), Rosa Poch (Universitat de Lleida), Miquel Arán (Eurofins), Tomàs Carbó
427 (Carbó Enginyers, SL), Juan Bautista Menéndez (Universitat Politècnica de Catalunya),
428 Joan Pous (UNILAND), Fernando González (ARICEMEX), Xavier Foj, Manuel Juan
429 (KNAUF) and Carles Ventura (PROMSA).

430

431

432 **References**

- 433 Alcañiz, J.M., Ortiz, O., Carabassa, V., 2008. Utilización de lodos de depuradora en
434 restauración. Manual de aplicación en actividades extractivas y terrenos
435 marginales. Agencia Catalana del Agua, Generalitat de Catalunya, Barcelona, 114
436 p. Available in:
437 http://mediambient.gencat.cat/web/.content/home/ambits_dactuacio/empresa_i_produccio_sostenible/restauracio_dactivitats_extractives/Productes-emprats-restauracio-ambiental/restauracio_dactivitats_extractives_amb_fangs_de_depuradora/documentos/protocol_fangs_cast.pdf
441
- 442 Allen, C.D., Savage, M., Falk, D. a, Suckling, K.F., Thomas, W., Schulke, T., Stacey,
443 P.B., Morgan, P., Hoffman, M., Jon, T., Applications, S.E., Oct, N., 2002.
444 Ecological Restoration of Southwestern Ponderosa Pine Ecosystems : A Broad
445 Perspective ECOLOGICAL RESTORATION OF SOUTHWESTERN
446 PONDEROSA PINE ECOSYSTEMS : A BROAD PERSPECTIVE. Ecol. Appl.

- 447 12, 1418–1433. <https://doi.org/10.1890/1051->
448 0761(2002)012[1418:EROSPP]2.0.CO;2
- 449 Beier, P., Hansen, L.J., Helbrecht, L., Behar, D., 2017. A How-to Guide for
450 Coproduction of Actionable Science. *Conserv. Lett.*
451 <https://doi.org/10.1111/conl.12300>
- 452 Birch, J.C., Newton, A.C., Aquino, C.A., Cantarello, E., Echeverria, C., Kitzberger, T.,
453 Schiappacasse, I., Garavito, N.T., 2010. Cost-effectiveness of dryland forest
454 restoration evaluated by spatial analysis of ecosystem services. *Proc. Natl. Acad.*
455 *Sci.* 107, 21925–21930. <https://doi.org/10.1073/pnas.1003369107>
- 456 Bullock, J.M., Aronson, J., Newton, A.C., Pywell, R.F., Rey-Benayas, J.M., 2011.
457 Restoration of ecosystem services and biodiversity: Conflicts and opportunities.
458 *Trends Ecol. Evol.* <https://doi.org/10.1016/j.tree.2011.06.011>
- 459 Carabassa, V., Ortiz, O., Alcañiz, JM., 2015. Evaluación y seguimiento de la
460 restauración de zonas afectadas por minería. CREA y Departamento de Territorio
461 y Sostenibilidad, Generalitat de Catalunya, Barcelona. Available in:
462 http://mediambient.gencat.cat/web/.content/home/ambits_dactuacio/empresa_i_pro
463 [duccio_sostenible/restauracio_dactivitats_extractives/restocat/documents/Restocat](http://mediambient.gencat.cat/web/.content/home/ambits_dactuacio/empresa_i_pro)
464 [_Castellano.pdf](http://mediambient.gencat.cat/web/.content/home/ambits_dactuacio/empresa_i_pro)
- 465 Carabassa, V., Vizcano, M., Serra, E., Ortiz, O., Alcañiz, JM., 2010. Getting real: a
466 procedure for self-evaluation of Quarry restorations. In 7th European Conference
467 on Ecological Restoration, Extended abstracts. University of Avignon, Avignon,
468 France.
- 469 Clewell, a, Aronson, J., Winterhalder, K., 2004. The SER International primer on
470 ecological restoration. *Ecol. Restor.* 2, 206–207. <https://doi.org/S34>
- 471 Clewell, A., Rieger, J.P., 1997. What Practitioners Need from Restoration Ecologists.
472 *Restor. Ecol.* 5, 350–354. <https://doi.org/10.1046/j.1526-100X.1997.00548.x>
- 473 Comín, F.A., Miranda, B., Sorando, R., Felipe-Lucia, M.R., Jiménez, J.J., Navarro, E.,
474 2018. Prioritizing sites for ecological restoration based on ecosystem services. *J.*
475 *Appl. Ecol.* 1–9. <https://doi.org/10.1111/1365-2664.13061>
- 476 Conesa, V., 2003. Guía metodológica para la evaluación del impacto ambiental. Ed.
477 Mundi-Prensa. Madrid. Available in:
478 http://centro.paot.mx/documentos/varios/guia_metodologica_impacto_ambiental.p
479 [df](http://centro.paot.mx/documentos/varios/guia_metodologica_impacto_ambiental.p)
- 480 Cortina, J., Ruiz-Mirazo, J., Amat, B., Amghar, F., Bautista, S., Chirino, E., Derak, M.,
481 Fuentes, D., Maestre, F., Valdecantos, A., Vilagrosa, A., 2012. Bases para la
482 restauración ecológica de espartales. UICN, Gland, Suiza y Málaga, España.
483 Available in: <https://portals.iucn.org/library/sites/library/files/documents/2012->
484 [085-Es.pdf](https://portals.iucn.org/library/sites/library/files/documents/2012-)

- 485 Courtney, R., O'Neill, N., Harrington, T., Breen, J., 2010. Macro-arthropod succession
486 in grassland growing on bauxite residue. *Ecol. Eng.* 36, 1666–1671.
487 <https://doi.org/10.1016/j.ecoleng.2010.07.006>
- 488 Crouzeilles, R., Curran, M., Ferreira, M.S., Lindenmayer, D.B., Grelle, C.E.V., Rey
489 Benayas, J.M., 2016. A global meta-Analysis on the ecological drivers of forest
490 restoration success. *Nat. Commun.* 7. <https://doi.org/10.1038/ncomms11666>
- 491 Deltoro, V., Jimenez, J., Vilan, X.M., 2012. Bases para el manejo y control de Arundo
492 donax L. (Caña común). Colección Manuales Técnicos de Biodiversidad, 4.
493 Conselleria d'Infraestructures, Territori i Medi Ambient. Generalitat Valenciana.
494 Valencia. Available in: [https://www.miteco.gob.es/va/ceneam/grupos-de-trabajo-y-
495 seminarios/red-parques-
496 nacionales/Bases%20para%20el%20manejo%20y%20control%20de%20Arundo%
497 20donax_tcm39-169319.pdf](https://www.miteco.gob.es/va/ceneam/grupos-de-trabajo-y-seminarios/red-parques-nacionales/Bases%20para%20el%20manejo%20y%20control%20de%20Arundo%20donax_tcm39-169319.pdf)
- 498 Derak, M., Cortina, J., 2014. Multi-criteria participative evaluation of Pinus halepensis
499 plantations in a semiarid area of southeast Spain. *Ecol. Indic.* 43, 56–68.
500 <https://doi.org/10.1016/j.ecolind.2014.02.017>
- 501 Derhé, M.A., Murphy, H., Monteith, G., Menéndez, R., 2016. Measuring the success of
502 reforestation for restoring biodiversity and ecosystem functioning. *J. Appl. Ecol.*
503 53, 1714–1724. <https://doi.org/10.1111/1365-2664.12728>
- 504 Dzwonko, Z., Loster, S., 2007. A functional analysis of vegetation dynamics in
505 abandoned and restored limestone grasslands. *J. Veg. Sci.* 18, 203–212.
506 [https://doi.org/10.1658/1100-9233\(2007\)18\[203:AFAOVD\]2.0.CO;2](https://doi.org/10.1658/1100-9233(2007)18[203:AFAOVD]2.0.CO;2)
- 507 Forman, R.T.T., 2003. Road Ecology. Science and Solutions, Island Press, USA.
- 508 Gatica-Saavedra, P., Echeverría, C., Nelson, C.R., 2017. Ecological indicators for
509 assessing ecological success of forest restoration: a world review. *Restor. Ecol.* 25,
510 850–857. <https://doi.org/10.1111/rec.12586>
- 511 Hagen, D., Evju, M., 2013. Using short-term monitoring data to achieve goals in a
512 large-scale restoration. *Ecol. Soc.* 18. <https://doi.org/10.5751/ES-05769-180329>
- 513 Halldórsson, G., Aradóttir, Á.L., Fosaa, A.M., Hagen, D., Nilsson, C., Raulund-
514 Rasmussen, K., Skringo, A.B., Svavarsdóttir, K., Tolvanen, A., 2012. *ReNo.*
515 <https://doi.org/10.6027/TN2012-558>
- 516 Jorba, M., Oliveira, R., Josa, R., Vallejo, V.R., Alcañiz, J.M., Hereter, A., Cortina, J.,
517 Correia, O., Ninot, J.M., 2010. Manual para la restauración de canteras de roca
518 caliza en clima mediterráneo. Dirección General de Qualitat Ambiental, Àrea
519 d'Avaluació i Restauració d'Activitats Extractives, Generalitat de Catalunya,
520 Barcelona. Available in:
521 [http://mediambient.gencat.cat/web/.content/home/ambits_dactuacio/empresa_i_pro
522 duccio_sostenible/restauracio_dactivitats_extractives/Introduccio/Manual-
523 Ecoquarry-CAST.pdf](http://mediambient.gencat.cat/web/.content/home/ambits_dactuacio/empresa_i_produccio_sostenible/restauracio_dactivitats_extractives/Introduccio/Manual-Ecoquarry-CAST.pdf)

- 524 Lithgow, D., Martínez, M.L., Gallego-Fernández, J.B., 2015. The “ReDune” index
525 (Restoration of coastal Dunes Index) to assess the need and viability of coastal
526 dune restoration. *Ecol. Indic.* 49, 178–187.
527 <https://doi.org/10.1016/j.ecolind.2014.10.017>
- 528 Moreno-de las Heras, M., Nicolau, J.M., Espigares, T., 2008. Vegetation succession in
529 reclaimed coal-mining slopes in a Mediterranean-dry environment. *Ecol. Eng.* 34,
530 168–178. <https://doi.org/10.1016/j.ecoleng.2008.07.017>
- 531 Mukherjee, N., Hugé, J., Sutherland, W.J., McNeill, J., Van Opstal, M., Dahdouh-
532 Guebas, F., Koedam, N., 2015. The Delphi technique in ecology and biological
533 conservation: Applications and guidelines. *Methods Ecol. Evol.* 6, 1097–1109.
534 <https://doi.org/10.1111/2041-210X.12387>
- 535 Neri, A.C., Sánchez, L.E., 2010. A procedure to evaluate environmental rehabilitation in
536 limestone quarries. *J. Environ. Manage.* 91, 2225–2237.
537 <https://doi.org/10.1016/j.jenvman.2010.06.005>
- 538 Nilsson, C., Aradottir, A.L., Hagen, D., Halldórsson, G., Høegh, K., Mitchell, R.J.,
539 Raulund-Rasmussen, K., Svavarsdóttir, K., Tolvanen, A., Wilson, S.D., 2016.
540 Evaluating the process of ecological restoration. *Ecol. Soc.* 21.
541 <https://doi.org/10.5751/ES-08289-210141>
- 542 Nilsson, C., Polvi, L.E., Gardeström, J., Hasselquist, E.M., Lind, L., Sarneel, J.M.,
543 2015. Riparian and in-stream restoration of boreal streams and rivers: Success or
544 failure? *Ecohydrology* 8, 753–764. <https://doi.org/10.1002/eco.1480>
- 545 Ockendon, N., Thomas, D.H.L., Cortina, J., Adams, W.M., Aykroyd, T., Barov, B.,
546 Boitani, L., Bonn, A., Branquinho, C., Brombacher, M., Burrell, C., Carver, S.,
547 Crick, H.Q.P., Duguy, B., Everett, S., Fokkens, B., Fuller, R.J., Gibbons, D.W.,
548 Gokhelashvili, R., Griffin, C., Halley, D.J., Hotham, P., Hughes, F.M.R.,
549 Karamanlidis, A.A., McOwen, C.J., Miles, L., Mitchell, R., Rands, M.R.W.,
550 Roberts, J., Sandom, C.J., Spencer, J.W., ten Broeke, E., Tew, E.R., Thomas, C.D.,
551 Timoshyna, A., Unsworth, R.K.F., Warrington, S., Sutherland, W.J., 2018. One
552 hundred priority questions for landscape restoration in Europe. *Biol. Conserv.* 221,
553 198–208. <https://doi.org/10.1016/J.BIOCON.2018.03.002>
- 554 Okoli, C., Pawlowski, S.D., 2004. The Delphi method as a research tool: An example,
555 design considerations and applications. *Inf. Manag.* 42, 15–29.
556 <https://doi.org/10.1016/j.im.2003.11.002>
- 557 Pander, J., Geist, J., 2013. Ecological indicators for stream restoration success. *Ecol.*
558 *Indic.* 30, 106–118. <https://doi.org/10.1016/j.ecolind.2013.01.039>
- 559 Prach, K., 2003. Spontaneous succession in Central-European man-made habitats: What
560 information can be used in restoration practice? *Appl. Veg. Sci.* 6, 125–129.
561 <https://doi.org/10.1111/j.1654-109X.2003.tb00572.x>
- 562 Rocés-Díaz, J. V., Vayreda, J., Banqué-Casanovas, M., Cusó, M., Anton, M., Bonet,
563 J.A., Brotons, L., De Cáceres, M., Herrando, S., Martínez de Aragón, J., de-

- 564 Miguel, S., Martínez-Vilalta, J., 2018. Assessing the distribution of forest
565 ecosystem services in a highly populated Mediterranean region. *Ecol. Indic.* 93,
566 986–997. <https://doi.org/10.1016/j.ecolind.2018.05.076>
- 567 Rodríguez-Loínaz, G., Alday, J.G., Onaindia, M., 2014. Multiple ecosystem services
568 landscape index: A tool for multifunctional landscapes conservation. *J. Environ.*
569 *Manage.* 147, 152–163. <https://doi.org/10.1016/j.jenvman.2014.09.001>
- 570 Ruiz-Jaen, M.C., Aide, T.M., 2005. Restoration success: How is it being measured?
571 *Restor. Ecol.* <https://doi.org/10.1111/j.1526-100X.2005.00072.x>
- 572 Suding, K.N., 2011. Toward an Era of Restoration in Ecology: Successes, Failures, and
573 Opportunities Ahead. *Annu. Rev. Ecol. Evol. Syst.* 42, 465–487.
574 <https://doi.org/10.1146/annurev-ecolsys-102710-145115>
- 575 Temperton, V.M., Hobbs, R.J., Nuttle, T., Halle, S., 2006. Assembly rules and
576 restoration ecology. *Bridging the Gap between Theory and Practice*, Island Pre. ed,
577 *Assembly Rules and*. Island Press, Washington, DC.
- 578 Tongway, D.J., Hindley, N.L., 2004. *Landscape Function Analysis: Procedures for*
579 *Monitoring and Assessing Landscapes with Special Reference to Minesites and*
580 *Rangelands*. CSIRO Sustain. Ecosyst. 80.
- 581 Valladares, F., Gianoli, E., 2007. How much ecology do we need to know to restore
582 Mediterranean ecosystems? *Restor. Ecol.* 15, 363–368.
583 <https://doi.org/10.1111/j.1526-100X.2007.00230.x>
- 584 Wortley, L., Hero, J.M., Howes, M., 2013. Evaluating ecological restoration success: A
585 review of the literature. *Restor. Ecol.* 21, 537–543.
586 <https://doi.org/10.1111/rec.12028>
- 587
- 588

589 **TABLES**

590 Table 1. Pre-selection of restoration quality zone indicators included in the preliminary
 591 proposal of evaluation protocol. Zones are described in this work as homogeneous
 592 portions of the whole restored area.
 593

ZONE INDICATORS				
Geotechnical risk	Erosion/physical degradation	Drainage network	Soil quality	Vegetation status and functionality
Maximum diameter of fallen blocks (m)	Area affected by rill erosion (% related to the total area)	Drainage channels broken (% of total channels)	Soil depth (m)	Plant cover (%) divided into: herbaceous cover and woody species (shrubs and trees) cover
Area affected by fallen blocks (% of the total area)	Estimated rill erosion rates (Mg ha ⁻¹ year ⁻¹)	Drainage channels filling-in (% of total channels)	Particles <2 mm (g kg ⁻¹)	
Area affected by landslides (% of the total area)	Rain splash protection (% of the surface protected)	Drainage network functionality (% of damaged, stabilized and non-functional channels)	Clay content (g kg ⁻¹)	Area occupied by exotic/invasive species (% of the total area)
Other signs of instability: cracks, subsidence, deformations, faults, fallen trees (qualitative)	Surface crusts presence (qualitative)		Organic matter (g kg ⁻¹)	Species with fruits (number of species)
	Sheet erosion (qualitative)		Carbonates (g kg ⁻¹)	Mortality of planted woody species (%)
	Piping or subsurface flows (qualitative)		Electrical conductivity, 1:5 extract (dS m ⁻¹)	Woody species richness (% related to richness on reference site)
			Soil pH	
			Total nitrogen (%)	Woody species density (% related to density in reference site, per species)
			Available phosphorous (mg kg ⁻¹)	
			Available potassium (mg kg ⁻¹)	Woody species recruited (number)
			Physical contaminants presence (number of elements observed)	Herbaceous species richness (% related to richness on reference site)

594 Table 2. Pre-selection of restoration quality area indicators included in the preliminary
 595 proposal of evaluation protocol.

AREA INDICATORS		
Landscape integration	Ecological connectivity and fauna presence	Anthropic impacts
Chromatic and textural integration (qualitative)	Ecological barriers (presence and type)	Uncontrolled vehicle circulation (qualitative)
Geomorphic integration (qualitative)	Woody plants with edible fruits (Species and density)	Waste dumping (type, magnitude and distribution)
Internal road networks (functionality, density and width)	Fauna refuges/supply structures (presence)	Grazing (presence and intensity)
	Fauna observations (number and species)	Abandoned constructions and facilities (presence, magnitude and height)
	Fauna paths (presence)	
	Fauna traces (presence)	
	Nests (presence)	
	Burrows (presence)	

596

597

598 Table 3. Geological substrates and ranges of precipitation and air temperature in a
599 representative selection of the extractive activities included in the pilot test (n=55).

Dominant lithology (n=number of activities included)	Dominant mineralogy	Precipitation rank (mm/year)	Mean annual air temperature rank (°C)
Limestone (24)	Carbonatic	526-747	14.1-16.1
Gravel (9)	Mixed	416-799	13.1-15.2
Lignite (6)	Carbonatic	408-888	10.6-15.8
Sand and clay (6)	Siliceous and carbonatic	506-795	14.8-15.6
Evaporites (4)	Gypsic, saline and carbonatic	585-793	13.2-14.6
Basalt (2)	Siliceous	685-745	15.8-16.2
Weathered granite (2)	Siliceous	653-753	15.1-16.3
Granite (2)	Siliceous	599-613	13.8-15.3

600

601

602 Table 4. Results for substrate quality indicators on the evaluated zones. *Data refer to
 603 <2mm soil fraction.

	Soil depth (m)	Particles <2 mm (%)	Clay content (%)*	EC, 1:5 extract (dS m⁻¹)*	pH*
Average	22	44	24	0.4	8.0
Max.	50	94	50	2.2	8.8
Min.	0	19	6	0.1	6.5
Median	20	42	23	0.2	8.0
Standard deviation	22	19	10	0.5	0.3
	Carbonates (%)*	Organic matter (%)*	Total N (%)*	Available P (mg kg⁻¹)*	Available K (mg kg⁻¹)*
Average	22	2.6	0.14	33	217
Max.	58	12.4	0.57	199	972
Min.	0	0.2	0.02	2	38
Median	23	1.9	0.10	19	148
Standard deviation	15	2.2	0.11	42	184

604
 605

606 Table 5. Weight of the selected indicators according to their importance for restoration
 607 success measurement after pairwise comparison by experts panel members. *key
 608 indicators.

GROUP	GROUP WEIGHT (%)	INDICATOR	INDICATOR WEIGHT (%)
Geotechnical risk	18.0	Area affected by landslides*	9.9
		Area affected by fallen blocks*	4.7
		Other signs of instability*	3.4
Erosion and physical degradation	15.3	Rain splash protection*	4.5
		Area affected by concentrate erosion*	4.3
		Estimated erosion rates*	3.7
		Other degradation processes*	2.8
Drainage network	15.0	Drainage channels broken*	7.7
		Drainage channels filling*	3.9
		Drainage network functionality*	3.4
Soil quality	14.3	Soil depth*	2.4
		Particles <2 mm content*	2.5
		Texture	1.9
		Organic matter / Nitrogen*	2.4
		Electrical conductivity, 1:5 extract	2.0
		pH / Phosphorous / Potassium	2.0
Impurities (glass, plastics, metals, etc.)	1.1		
Vegetation status and functionality	12.7	Plant cover*	2.9
		Woody species richness*	2.6
		Woody species density	2.0
		Woody species recruitment	1.7
		Area occupied by exotic/invasive species	1.7
		Herbaceous species richness	1.8
Landscape integration	12.0	Chromatic and textural integration*	3.1
		Geomorphologic integration*	7,2
		Road network	1.7
Ecological connectivity and fauna presence	6.4	Ecological barriers*	2.1
		Woody plants with edible fruits	1.3
		Fauna refuges/supply structures	1.1
		Fauna observations	1.9
Anthropic impacts	6.3	Uncontrolled vehicle circulation	1.6
		Waste dumping*	2.4
		Grazing	1.0
		Abandoned constructions and facilities	1.3

609

610

611

612

613 Table 6. Example of RQI index calculation for a quarry evaluated using the
 614 RESTOQUARRY protocol. Critical indicators warning: $RQ_x < 0,5$ for key indicators
 615 (weight more than 2%) or $RQ_x = 0$ for any indicator.

GROUP	INDICATOR	RQ_x	RQI_x	CRITICAL INDICATORS
Geotechnical risk	Area affected by landslides	1.0	9.9	
	Area affected by fallen blocks	1.0	4.7	
	Other signs of instability	1.0	3.4	
Erosion and physical degradation	Rain splash protection	1.0	4.5	
	Area affected by rill erosion	1.0	4.3	
	Estimated erosion rates	1.0	3.7	
	Other degradation processes	0.9	2.5	
Drainage network	Drainage channels broken	1.0	7.7	
	Drainage channels filling	1.0	3.9	
	Drainage network functionality	1.0	3.4	
Soil quality	Soil depth	0.2	0.5	WARNING
	Particles <2 mm content	1.0	2.5	
	Texture	1.0	1.9	
	Organic matter / Nitrogen	0.6	1.5	
	Electrical conductivity, 1:5 extract	1.0	2.0	
	pH / Phosphorous / Potassium	0.2	0.3	
	Physical pollutants	0.9	1.0	
Vegetation status and functionality	Plant cover	1.0	2.9	WARNING
	Woody species richness	0.2	0.5	
	Woody species density	0.9	1.9	
	Woody species recruitment	1.0	1.8	
	Area occupied by exotic/invasive species	1.0	1.7	
	Herbaceous species richness	1.0	1.7	
Landscape integration	Chromatic and textural integration	0.3	0.8	WARNING
	Geomorphologic integration	1.0	7.2	
	Road network	1.0	1.7	
Ecological connectivity and fauna presence	Ecological barriers	1.0	2.1	WARNING
	Woody plants with fruits	0.0	0.0	
	Fauna refuges/supply structures	1.0	1.1	
	Fauna observations	1.0	1.9	
Anthropic impacts	Uncontrolled vehicle circulation	1.0	1.6	WARNING
	Waste dumping	0.5	1.3	
	Grazing	0.0	0.0	
	Abandoned constructions and facilities	1.0	1.3	
$RQI = 87$				Recommendation: bond return dependent on adoption of corrective measures

616 Table 1. Pre-selection of restoration quality zone indicators included in the preliminary
 617 proposal of evaluation protocol. Zones are described in this work as homogeneous
 618 portions of the whole restored area.

619

ZONE INDICATORS				
Geotechnical risk	Erosion/physical degradation	Drainage network	Soil quality	Vegetation status and functionality
Maximum diameter of fallen blocks (m)	Area affected by rill erosion (% related to the total area)	Drainage channels broken (% of total channels)	Soil depth (m)	Plant cover (%) divided into: herbaceous cover and woody species (shrubs and trees) cover
Area affected by fallen blocks (% of the total area)	Estimated rill erosion rates (Mg ha ⁻¹ year ⁻¹)	Drainage channels filling-in (% of total channels)	Particles <2 mm (g kg ⁻¹)	
Area affected by landslides (% of the total area)	Rain splash protection (% of the surface protected)	Drainage network functionality (% of damaged, stabilized and non-functional channels)	Clay content (g kg ⁻¹)	Area occupied by exotic/invasive species (% of the total area)
Other signs of instability: cracks, subsidence, deformations, faults, fallen trees (qualitative)	Surface crusts presence (qualitative)		Organic matter (g kg ⁻¹)	Species with fruits (number of species)
	Sheet erosion (qualitative)		Carbonates (g kg ⁻¹)	Mortality of planted woody species (%)
	Piping or subsurface flows (qualitative)		Electrical conductivity, 1:5 extract (dS m ⁻¹)	Woody species richness (% related to richness on reference site)
			Soil pH	Woody species density (% related to density in reference site, per species)
			Total nitrogen (%)	Woody species recruited (number)
			Available phosphorous (mg kg ⁻¹)	Herbaceous species richness (% related to richness on reference site)
			Available potassium (mg kg ⁻¹)	
			Physical contaminants presence (number of elements observed)	

620

621

622 Table 2

AREA INDICATORS		
Landscape integration	Ecological connectivity and fauna presence	Anthropic impacts
Chromatic and textural integration (qualitative)	Ecological barriers (presence and type)	Uncontrolled vehicle circulation (qualitative)
Geomorphonic integration (qualitative)	Woody plants with edible fruits (Species and density)	Waste dumping (type, magnitude and distribution)
Internal road networks (functionality, density and width)	Fauna refuges/supply structures (presence)	Grazing (presence and intensity)
	Fauna observations (number and species)	Abandoned constructions and facilities (presence, magnitude and height)
	Fauna paths (presence)	
	Fauna traces (presence)	
	Nests (presence)	
	Burrows (presence)	

623

624

625 Table 3

Dominant lithology (n=number of activities included)	Dominant mineralogy	Precipitation rank (mm/year)	Mean annual air temperature rank (°C)
Limestone (24)	Carbonatic	526-747	14.1-16.1
Gravel (9)	Mixed	416-799	13.1-15.2
Lignite (6)	Carbonatic	408-888	10.6-15.8
Sand and clay (6)	Siliceous and carbonatic	506-795	14.8-15.6
Evaporites (4)	Gypsic, saline and carbonatic	585-793	13.2-14.6
Basalt (2)	Siliceous	685-745	15.8-16.2
Weathered granite (2)	Siliceous	653-753	15.1-16.3
Granite (2)	Siliceous	599-613	13.8-15.3

626

627

	Soil depth (m)	Particles <2 mm (%)	Clay content (%)*	EC, 1:5 extract (dS m⁻¹)*	pH*
Average	22	44	24	0.4	8.0
Max.	50	94	50	2.2	8.8
Min.	0	19	6	0.1	6.5
Median	20	42	23	0.2	8.0
Standard deviation	22	19	10	0.5	0.3
	Carbonates (%)*	Organic matter (%)*	Total N (%)*	Available P (mg kg⁻¹)*	Available K (mg kg⁻¹)*
Average	22	2.6	0.14	33	217
Max.	58	12.4	0.57	199	972
Min.	0	0.2	0.02	2	38
Median	23	1.9	0.10	19	148
Standard deviation	15	2.2	0.11	42	184

629

630

631 **Table 5**

GROUP	GROUP WEIGHT (%)	INDICATOR	INDICATOR WEIGHT (%)
Geotechnical risk	18.0	Area affected by landslides*	9.9
		Area affected by fallen blocks*	4.7
		Other signs of instability*	3.4
Erosion and physical degradation	15.3	Rain splash protection*	4.5
		Area affected by concentrate erosion*	4.3
		Estimated erosion rates*	3.7
		Other degradation processes*	2.8
Drainage network	15.0	Drainage channels broken*	7.7
		Drainage channels filling*	3.9
		Drainage network functionality*	3.4
Soil quality	14.3	Soil depth*	2.4
		Particles <2 mm content*	2.5
		Texture	1.9
		Organic matter / Nitrogen*	2.4
		Electrical conductivity, 1:5 extract	2.0
		pH / Phosphorous / Potassium	2.0
		Impurities (glass, plastics, metals, etc.)	1.1
Vegetation status and functionality	12.7	Plant cover*	2.9
		Woody species richness*	2.6
		Woody species density	2.0
		Woody species recruitment	1.7
		Area occupied by exotic/invasive species	1.7
		Herbaceous species richness	1.8
Landscape integration	12.0	Chromatic and textural integration*	3.1
		Geomorphologic integration*	7,2
		Road network	1.7
Ecological connectivity and fauna presence	6.4	Ecological barriers*	2.1
		Woody plants with edible fruits	1.3
		Fauna refuges/supply structures	1.1
		Fauna observations	1.9
Anthropic impacts	6.3	Uncontrolled vehicle circulation	1.6
		Waste dumping*	2.4
		Grazing	1.0
		Abandoned constructions and facilities	1.3

632

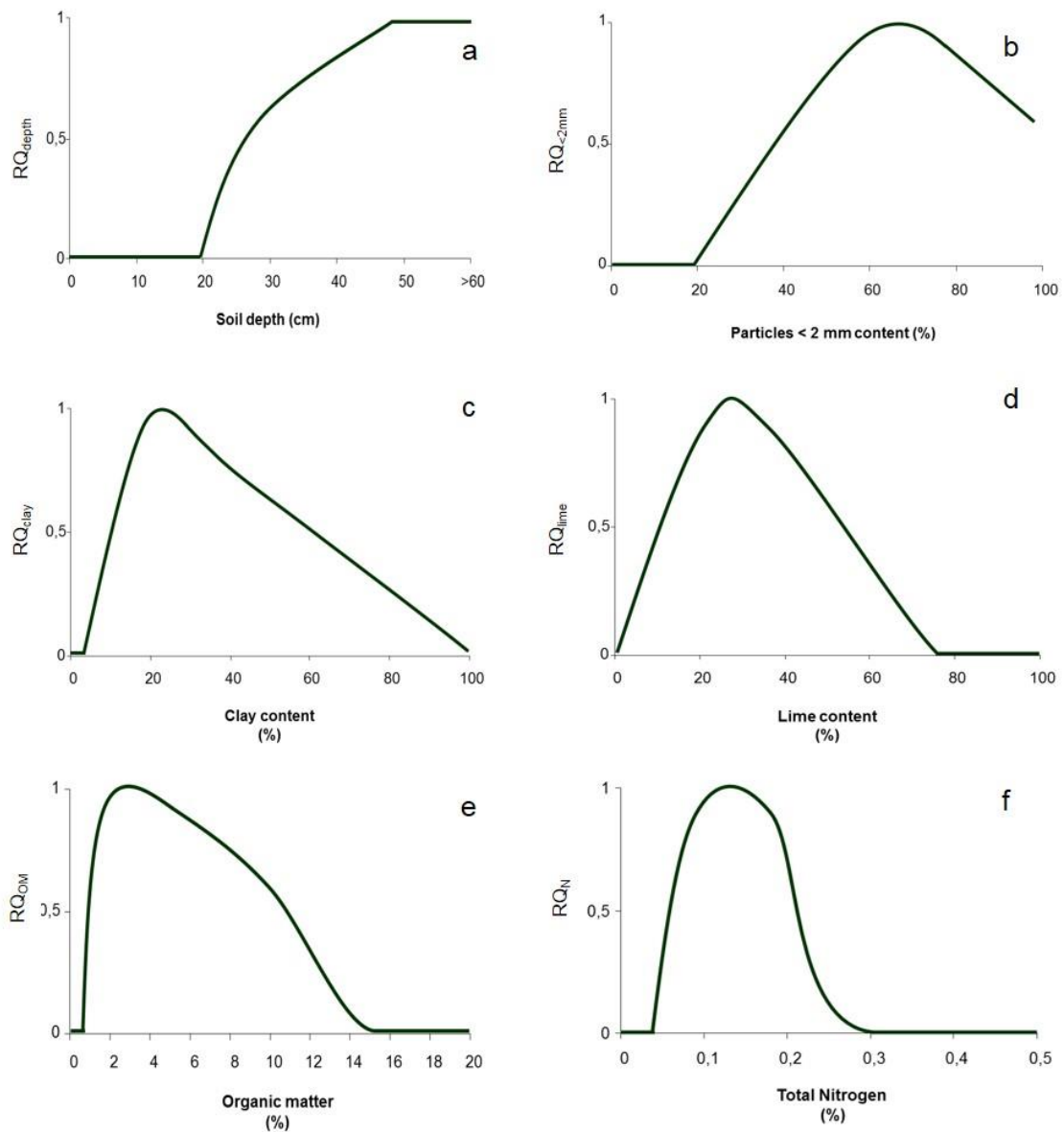
633

634

635

GROUP	INDICATOR	RQ_x	RQI_x	CRITICAL INDICATORS
Geotechnical risk	Area affected by landslides	1.0	9.9	
	Area affected by fallen blocks	1.0	4.7	
	Other signs of instability	1.0	3.4	
Erosion and physical degradation	Rain splash protection	1.0	4.5	
	Area affected by rill erosion	1.0	4.3	
	Estimated erosion rates	1.0	3.7	
	Other degradation processes	0.9	2.5	
Drainage network	Drainage channels broken	1.0	7.7	
	Drainage channels filling	1.0	3.9	
	Drainage network functionality	1.0	3.4	
Soil quality	Soil depth	0.2	0.5	WARNING
	Particles <2 mm content	1.0	2.5	
	Texture	1.0	1.9	
	Organic matter / Nitrogen	0.6	1.5	
	Electrical conductivity, 1:5 extract	1.0	2.0	
	pH / Phosphorous / Potassium	0.2	0.3	
	Physical pollutants	0.9	1.0	
Vegetation status and functionality	Plant cover	1.0	2.9	WARNING
	Woody species richness	0.2	0.5	
	Woody species density	0.9	1.9	
	Woody species recruitment	1.0	1.8	
	Area occupied by exotic/invasive species	1.0	1.7	
	Herbaceous species richness	1.0	1.7	
Landscape integration	Chromatic and textural integration	0.3	0.8	WARNING
	Geomorphologic integration	1.0	7.2	
	Road network	1.0	1.7	
Ecological connectivity and fauna presence	Ecological barriers	1.0	2.1	WARNING
	Woody plants with fruits	0.0	0.0	
	Fauna refuges/supply structures	1.0	1.1	
	Fauna observations	1.0	1.9	
Anthropic impacts	Uncontrolled vehicle circulation	1.0	1.6	WARNING
	Waste dumping	0.5	1.3	
	Grazing	0.0	0.0	
	Abandoned constructions and facilities	1.0	1.3	
RQI = 87				Recommendation: bond return dependent on adoption of corrective measures

640 **FIGURES**
641
642

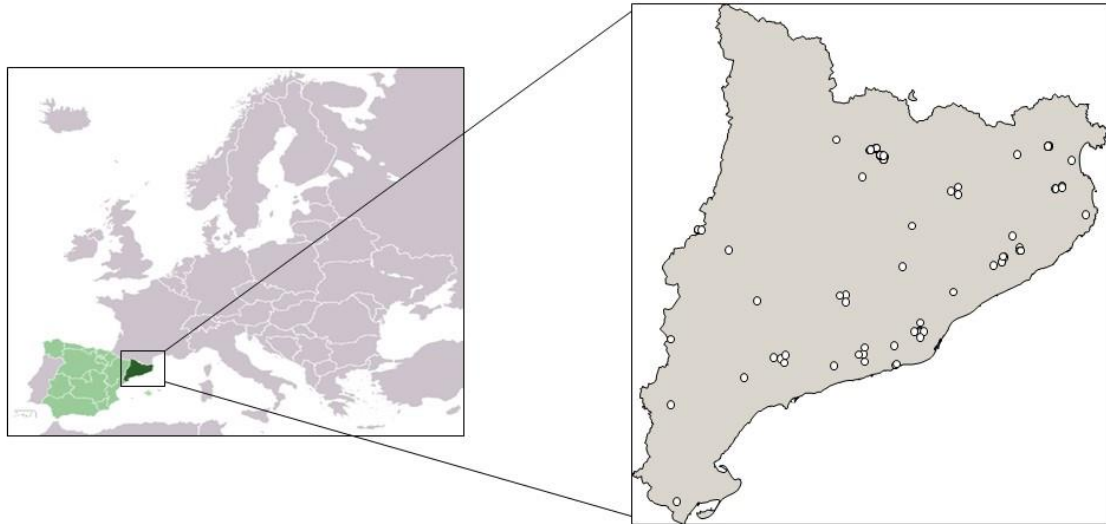


643

644 Figure 1. Functional curves for some soil parameters: (a) soil depth, (b) particles < 2 mm,
645 (c) clay content, (d) lime content, (e) organic matter, (f) total nitrogen. RQ_x= restoration
646 quality value for the respective parameter.

647

648



649

650 Figure 2. Geographical distribution of restored mining activities evaluated applying the
651 RESTOQUARRY methodology, in the NE Iberian Peninsula.

652

653



654



655

656 Figure 3. Differences in vegetation type between restored zones and surrounding areas
657 (left), and the presence of artificial morphologies, like walls (cliffs) in flat/hilly
658 landscapes (right), that make the integration of the restored areas to the landscape
659 difficult.



660



661



662

663 Figure 4. The presence of abandoned buildings and machinery of the former extractive
664 activity has a negative impact on the integration of the restored areas and represents a risk
665 for people.

666