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4 **Investigation of sequence features of hinge-bending regions in**
5 **proteins with domain movements using kernel logistic regression**

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20 **ABSTRACT**

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22 • **Background:** Hinge-bending movements in proteins comprising two or more
23 domains form a large class of functional movements. Hinge-bending regions
24 demarcate protein domains and collectively control the domain movement.
25 Consequently, the ability to recognise sequence features of hinge-bending regions
26 and to be able to predict them from sequence alone would benefit various areas of
27 protein research. For example, an understanding of how the sequence features of
28 these regions relate to dynamic properties in multi-domain proteins would aid in the
29 rational design of linkers in therapeutic fusion proteins.

30 • **Results:** The DynDom database of protein domain movements comprises sequences
31 annotated to indicate whether the amino acid residue is located within a hinge-
32 bending region or within an intradomain region. Using statistical methods and Kernel
33 Logistic Regression (KLR) models, this data was used to determine sequence features
34 that favour or disfavour hinge-bending regions. This is a difficult classification
35 problem as the number of negative cases (intradomain residues) is much larger than
36 the number of positive cases (hinge residues). The statistical methods and the KLR
37 models both show that cysteine has the lowest propensity for hinge-bending regions
38 and proline has the highest, even though it is the most rigid amino acid. As hinge-
39 bending regions have been previously shown to occur frequently at the terminal
40 regions of the secondary structures, the propensity for proline at these regions is
41 likely due to its tendency to break secondary structures. The KLR models also
42 indicate that isoleucine may act as a domain-capping residue. We have found that a
43 quadratic KLR model outperforms a linear KLR model and that improvement in

44 performance occurs up to very long window lengths (eighty residues) indicating long-
45 range correlations.

46 • **Conclusion:** In contrast to the only other approach that focused solely on
47 interdomain hinge-bending regions, the method provides a modest and statistically
48 significant improvement over a random classifier. An explanation of the KLR results is
49 that in the prediction of hinge-bending regions a long-range correlation is at play
50 between a small number amino acids that either favour or disfavour hinge-bending
51 regions. The resulting sequence-based prediction tool, HingeSeek, is available to run
52 through a webserver at hingeseek.cmp.uea.ac.uk.

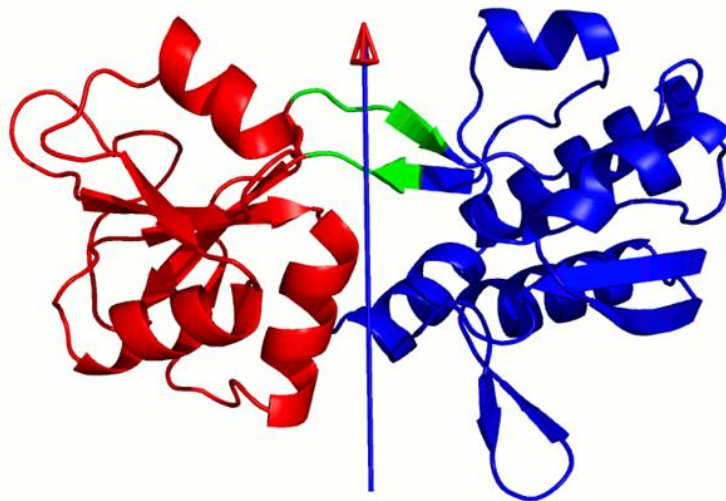
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54 **KEYWORDS:** protein conformational change; domain closure; hinge axis; linker region

55 56 **BACKGROUND**

57 Protein domains have various definitions within Biochemistry (1). From a structural
58 perspective a domain is characterised as a globular, spatially separate part of a protein and
59 methods have been developed to recognise them from this property (2). They are
60 considered to be able to fold independently of other parts of the protein and are associated
61 with a distinct function. This lends them the ability to act as a fundamental component of
62 evolutionary change. For protein structure databases such as SCOP (3), SCOP2 (4) and CATH
63 (5) they form the basic element of classification. They can be identified from sequence
64 homology using methods such as Pfam (6) where multiple-sequence alignments of family
65 members of a domain are encoded as hidden Markov models.

66 It is now an established fact that conformational change is integral to protein
67 function (7, 8). A common class of movement is a domain movement in proteins comprising
68 more than one domain (9-12). Several methods have been developed to identify domains
69 from the movement itself (13-18) and in this context they have been called “dynamic
70 domains”. The relative movement of dynamic domains is controlled by so-called hinge-
71 bending regions located between the domains. These normally comparatively short regions
72 collectively control the domain movement (10) as has been demonstrated using inverse-
73 kinematics Monte Carlo in glutamine binding protein where the known domain movement
74 was reproduced almost perfectly when only 11 of the 226 residues situated at the two
75 hinge-bending regions were allowed to flex (19). In an early application of the DynDom
76 method it was found that hinge-bending regions are often situated at the termini of β -
77 sheets and α -helices (10).

78 To date very little work has been carried out to determine whether hinge-site
79 features are reflected in the sequence. Flores et al. (20) annotated hinge-bending regions
80 from the Database of Macromolecular Motion (DBMM) (21) to form their “Hinge Atlas”
81 dataset and performed statistical analyses to create a predictor for hinge sites from
82 sequence alone. Hinge sites were identified using the FlexProt program(22). They calculated
83 log-odds frequencies scores for a 17-residue-long sliding window, assigning the central
84 residue to a hinge-bending region if the resulting accumulated score was above a threshold.
85 The results achieved did not appear to be significantly different to a random assignment.
86 They incorporated information about secondary structure and active site location into the
87 predictor, “HingeSeq”, which improved predictive power. They did not quote the area under
88 the ROC curve (AUROC) but we estimated it from their figure to be approximately 0.65.



KLVVATDTAFVPPFEFKQGDLYVGFVDLWAAIAKELKLDYELKPMDFSGIIPALQTKNVDLALAGITITDERK
 KAIDFSDGYYSGLLLVMVKANNNDVKSVDLDGKVVAVKSGTGSVDYAKANIKTKDLRQFPNIDNAYMEL
 GTNRADAVLHDTPNILYFIKTAGNGQFKAVGDSL EAQQYGIAPKGSDEL RDKVN GALKTLRENGTYNEIY
 KKWFGTEPK

Figure 1: DynDom result for glutamine binding protein. DynDom result for the movement that occurs upon binding glutamine (PDB: 1GGG, chain A to PDB: 1WDN, chain A) showing the open, ligand-free conformation (see DynDom website at www.cmp.uea.ac.uk/dyndom for more details on this and other domain movements). The arrow represents the hinge axis. Red and blue are the dynamic domains, green the hinge-bending regions. Red and blue amino acids in the sequence at the bottom of the figure are intradomain and green amino acids are hinge-bending. Such annotated sequences are the basic data of this study. This is a typical member of Group 1 (see Methods).

89 Kuznetsov (23) reports using support vector machines (SVM) to predict
 90 “conformational switches” from sequence, which were described as areas of flexibility that
 91 drive conformational change. The basic data used also came from the DBMM but the sites
 92 identified, based on changes in main-chain dihedral angles, were not exclusively located at
 93 hinge-bending regions. Using a window length of 11 residues, an AUROC of 0.64 was found,
 94 which increased to 0.69 when profiles were used. The method has been implemented at the

95 webserver FlexPred(24). Bodén and Bailey (25) presented a method, also based on the
96 DBMM, which predicted “conformational variability” based on secondary structure
97 prediction uncertainty for which a neural network was used. A window length of 15 was
98 used and an AUROC of 0.64 was reported.

99 This work relates also to the study of linker regions; polypeptide regions that link
100 two domains (26, 27). The difference between these linker region studies and hinge-bending
101 region/conformational-switch region studies, is that the latter were identified from
102 conformational change, whereas the former were identified purely on structural features.
103 There is an increasing interest in the dynamic properties of linker regions as their rational
104 design would benefit the efficacy of therapeutic fusion proteins constructed using
105 recombinant DNA technology(28).

106 A feature of the DynDom program is that it determines not only dynamic domains
107 but also hinge-bending regions, as can be seen in the example of glutamine binding protein
108 in Figure 1. Dynamic domains are determined based on their rotational properties and
109 hinge-bending regions are those regions within which a rotational transition occurs in going
110 from one dynamic domain to another. This connects directly with what “bending” really
111 means. The exact method for assigning bending regions is described in detail by Hayward
112 and Lee (29). This precise definition of a bending region lends itself to the aim of this study.
113 Here we trained a range of Kernel Logistic Regression (KLR) models on protein sequences
114 with hinge-site annotation from examples that showed a clear hinge-bending movement in
115 the two main DynDom databases in order to understand sequence properties of hinge-
116 bending regions and to produce a hinge site predictor from sequence.

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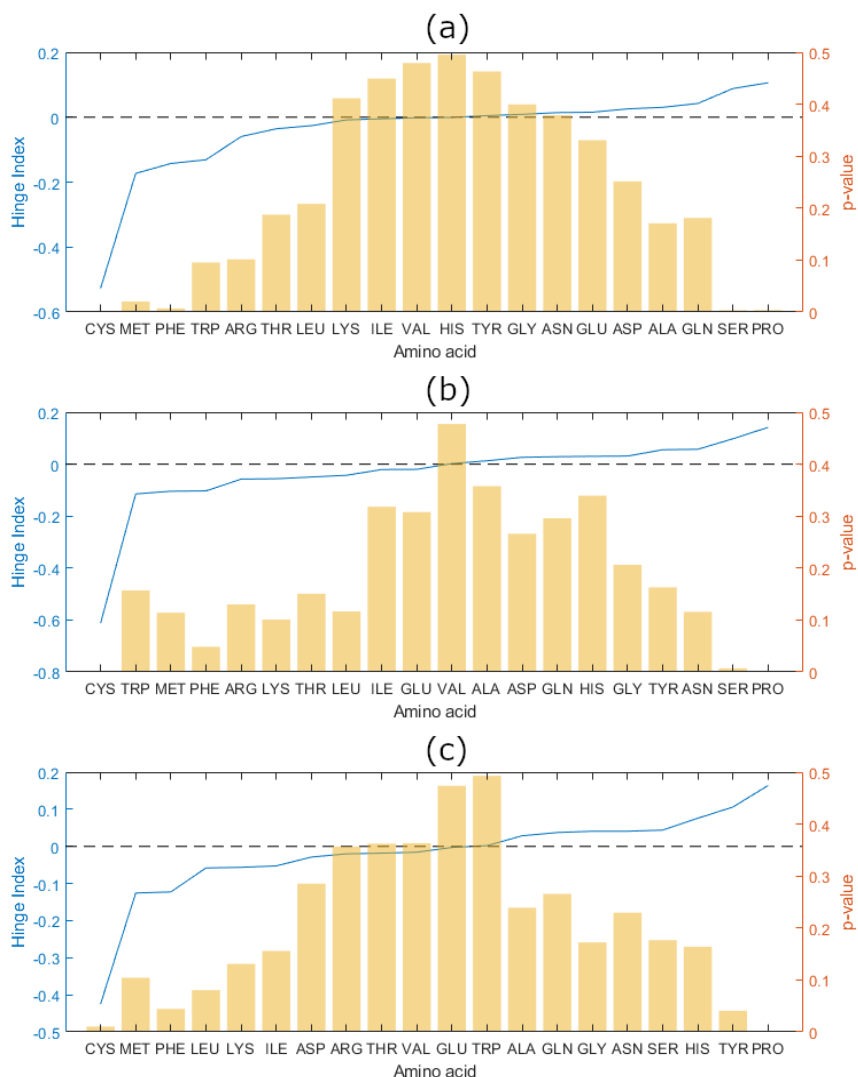


Figure 2: Propensities (Hinge Index, *HI*) of amino acids and p-values. The *HI* and p-value of each amino acid for the following datasets (the percentage sets the filtering level according to sequence identity; see Methods section for definitions): (a) Group1_90% (b) Group1_40% (c) Group1_20%. The amino acids have been sorted according to their *HI* values (blue lines). A negative *HI* value indicates an amino acid that disfavours hinge-bending regions and a positive value indicates an amino acid that favours them. The horizontal black broken line at *HI* = 0 indicates those with no preference. The light-brown bars indicate the p values.

122

123 The Hinge Index, $HI(a)$, for each amino acid, a , is shown in Figure 2 for all three
124 Group 1 datasets, that is Group1_90%, Group1_40% and Group1_20%. A negative $HI(a)$
125 would indicate an amino acid that is unfavourable to hinge regions, a value of zero, an amino
126 acid that has no preference, and a positive value an amino acid favourable to hinge regions.
127 Although the results are generally supportive of those found by Flores et al., they are
128 statistically significant only for a few amino acids in both studies. For Flores et al. Ser and Gly
129 had the highest significant HI values. Here, Pro has the highest significant HI value at all
130 three levels of filtering. We also found Ser to have a high significant HI value at 90% and
131 40% filtering, but contrary to expectation, Gly was not in the top four at any level of filtering.

132 At all levels of filtering, Cys received the most negative significant HI value and by a
133 large margin. Phe and Met also disfavour hinge regions, Phe being the amino acid with the
134 most negative HI value for Flores et al.. The β -branched amino acids Ile, Val and Thr all
135 seem to weakly disfavour hinge regions although the results are not statistically significant.

136 The equivalent analysis on the Group2_90% is shown in Additional_Figure1. The
137 results broadly agree with the Group1_90% results.

138

139 **KLR on 90% sequence identity set**

140 Group 1

141 We trained KLR models with linear, quadratic, cubic, and RBF kernels on the training
142 subset from Group1_90% (see Table 1). Each KLR model was constructed across a range of
143 window lengths, $w = [1,101]$, and tested on the test set comprising 10% of the whole set

144 selected at random. ROC curves were created for each window length and each kernel,

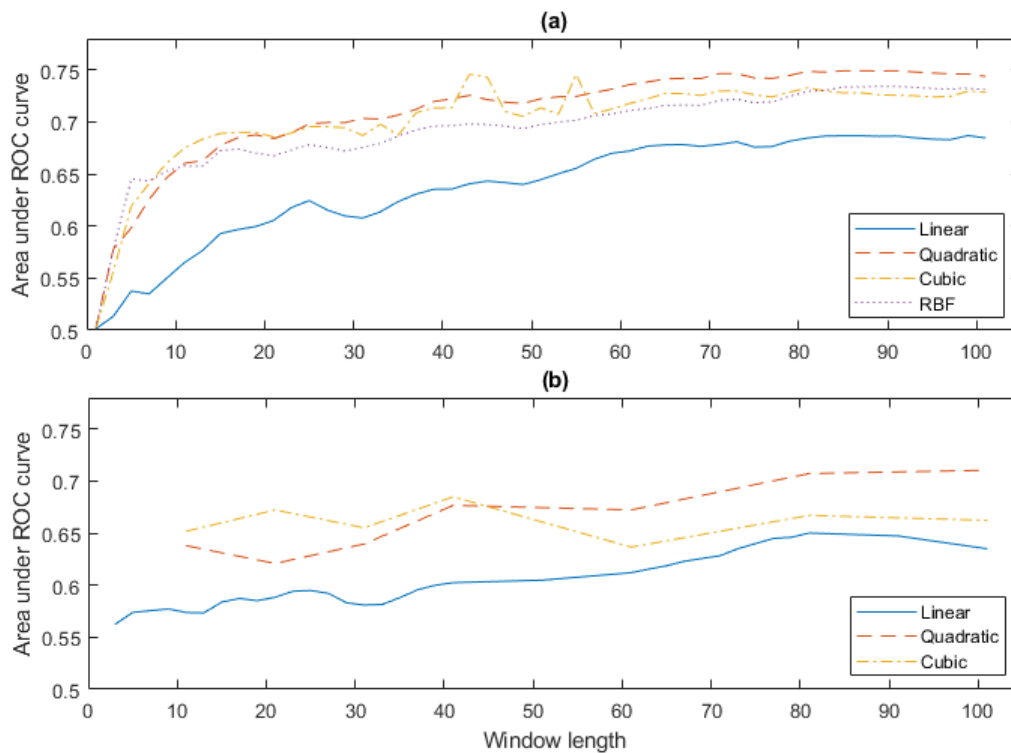


Figure 3: The performance of KLR models. Results show differences between the linear, quadratic, cubic and RBF models trained across a range of window lengths. (a) Group1_90% (b) Group2_90%.

145 plotting the rate of true positive outcomes against the rate of false positive outcomes. The
146 AUROCs were calculated, giving a measure of performance for each combination of window
147 length and kernel, as a number between zero and one, where higher numbers represent
148 better performance. Figure 3(a) shows how these AUROCs change across window lengths
149 for each kernel in Group1_90%. A classifier with an AUROC of 0.5 would be equivalent to
150 assigning samples to the “hinge-bending region” or “not hinge-bending region” classes at
151 random. There are two main things to notice about these results. First is that there is
152 improvement in AUROC up until very long window lengths. This result is in contrast to

153 previous studies on hinge-bending/conformationally-variable regions where windows of
154 length less than 25 residues were used by Kuznetsov (23), a window of 17 residues by Flores
155 et al. (20), and a window of 15 residues by Bodén and Bailey (25). Here we see an
156 improvement in AUROC with window lengths up to 80-90 residues. This suggests that if the
157 window spans from one hinge-bending region to the next it can help prediction. The other
158 noticeable feature is that the quadratic, cubic, and RBF kernels all seem to outperform the
159 linear approach. Additional_Table1 shows a matrix of p-values for the pairwise comparisons
160 of the AUROC for the four different models for window length 99 residues using Sun and
161 Xu's implementation (30) of the method by DeLong et al. (31). The DeLong et al method
162 tests the null hypothesis that the difference in the empirical AUROCs can be adequately
163 explained by the variance of the estimator. The null hypothesis is rejected when $p < 0.05$.
164 This shows that all non-linear models significantly outperform the linear model, but that the
165 non-linear models do not all significantly outperform each other. That the cubic model and
166 RBF models do not improve performance over the quadratic model suggests that the
167 quadratic terms are mainly where the improvement lies. This implies that there exists a
168 correlation between certain pairs of residues at different positions within the window. The
169 maximum value for the AUROC of 0.75 occurred for the quadratic model with a window
170 length of 87 residues. The maximum value of the AUROC for the linear model was 0.69 with
171 a window length of 99 residues.

172 As stated in the Methods section, the ratio of positive to negative cases was
173 adjusted to 1:9 for the training set, but in the test set the proportion of residues that are in
174 hinge regions is only 0.0294 indicating a large class imbalance. In Additional Figure2(A) we
175 show a set of ROC curves and their AUROCs from the quadratic model with a window length
176 81 that uses different proportions of positive to negative cases in the training sets. We also

177 show in Additional Figure 2(B), plots of how the AUROC varies with this proportion for
178 different window lengths. These results confirm that KLR is reasonably robust to class
179 imbalance as there is little change in the AUROCs with change in this proportion.

180 In Additional_Figure3 we show the Precision-Recall plot for window length 81. Such
181 a plot emphasises the classification of positive examples. The area under the Precision-
182 Recall plot (AUPRC), which is dependent on the class imbalance ratio, is 0.1785. A random
183 classifier would give an AUPRC of 0.0294, the proportion of hinge residues in the test set.
184 Additional_Figure4 shows the AUPRC's plotted against window length for the four different
185 KLR models. The result mirrors the equivalent plot for the AUROC's.

186

187 Group 2

188 The Group2_90% was used for the same set of experiments as Group1_90%,
189 although due to the greatly increased computational expense resulting from the use of this
190 larger training set, fewer window lengths were tried although they spanned the same range
191 (Figure 3(b)). Again we found the same increase in performance with window length and the
192 same improvement of the non-linear models over the linear model. The matrix of p-values
193 in Additional_Table2 determined with DeLong et al.'s method, shows that the difference
194 between the non-linear models and the linear model was statistically significant. In
195 comparison with Group1_90%, each model performed worse at most window lengths
196 indicating the negative influence of the less strict selection criteria for Group2_90%.

197

198 **KLR on 40% sequence identity set**

199 We considered whether the 90% sequence identity might permit similar sequences to be
 200 present in both training and test sets. The Group1 dataset contains 48 chains from
 201 immunoglobulins; pairwise comparisons between these sequences resulting in sequence
 202 identities ranging between 19.2% and 88.9%. We repeated the experiment for linear and
 203 quadratic models on the Group1_40% dataset, within which pairs of structures are less likely

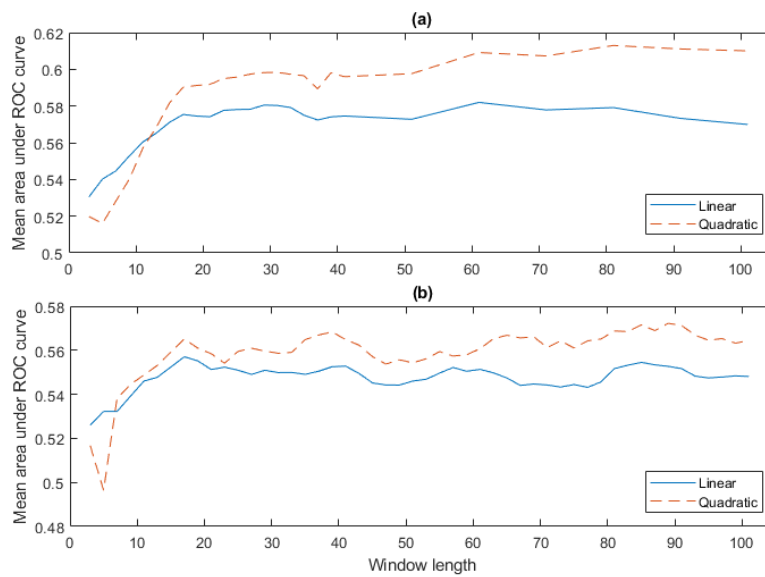


Figure 4: The mean AUROCs for linear and quadratic kernels. (a) Group1_40%. (b) Group1_20%.

204 to be homologous (32). This reduced the number of immunoglobulins included to 3 of 171
 205 proteins. As this reduced the size of the dataset (see Table 1), we performed 10-fold cross
 206 validation (nested cross-validation was used in order to obtain an unbiased performance
 207 estimate (33)). Figure 4(a) shows the mean AUROC of the folds across windows of length 3
 208 to 41 in increments of 2, and 41 to 101 in increments of 10. The results for both linear and
 209 quadratic kernels were poorer than the Group1_90% results, which is expected as there is
 210 less data in the training set. The models both improved at longer window lengths: the mean
 211 AUROC for the quadratic kernel was 0.61 achieved at window length 81, and the linear

212 kernel peaked at a mean AUROC of 0.57 at 61 residues. p-values for paired t-tests across the
213 folds for different window lengths is shown in Additional_Figure5. Additional_Figure5 shows
214 that the longer the window, the lower the p-value becomes for the difference between the
215 quadratic and linear model. At a window length 81 the p-value is 0.004 indicating a
216 statistically significant improvement of the quadratic model over the linear model at long
217 window lengths. Across the folds the AUPRC has a value mean value of 0.0415 compared to
218 a mean ratio of hinge residues to all residues of 0.0232.

219

220 **KLR on 20% sequence identity set**

221 We repeated these experiments using the Group1_20% dataset. As our original
222 dataset is relatively small, filtering at the 20% level reduces the amount of data to an even
223 lower level (see Table 1). Again we performed 10-fold cross validation. Figure 4(b) shows the
224 mean AUROC of the folds across the same range of window lengths used for 40% and 90%
225 filtering. As expected the results for both linear and quadratic kernels were poorer than the
226 90% and 40% results. Although the difference between the linear and quadratic models was
227 not found to be significant using the paired t-test (which is likely due to the small amount of
228 data), we do see the same trend as seen for the 90% and 40% results; that is an
229 improvement in the AUROC of the quadratic model over the linear model at longer window
230 lengths.

231 Across the folds the AUPRC has a value mean value of 0.0390 compared to a mean
232 ratio of hinge residues to all residues of 0.0213.

233

234

235 **Analysis of Model Weights**

236 In this section, we analyse the weights from the quadratic and linear kernels, at their
237 optimal window lengths: 87 for Group1_90%, 81 for Group1_40%, and 87 for Group1_20%.
238 The primal weight vector can be computed for finite feature spaces such as that of the
239 linear and quadratic kernels, using Eqn 8.

240

241 Linear Terms

242 Figure 5 shows example plots of the linear weight distribution for given amino acids
243 across the window. The scale of the weights differed between the linear and quadratic
244 models, so each weight is represented as a proportion of the strongest weight applied by
245 the model to the amino acid.

246 While there is some disagreement between the models, strong peaks and troughs
247 can be observed at the same points for all three models. Pro was associated with strong
248 positive weights in and around the central position, with negative weights 40 residues at
249 either end of the window. Pro has the highest positive weight of any amino acid at the
250 central window position confirming the Hinge Index result. The weights in the Cys plots are
251 mostly negative. It has the lowest valued weights at the central window position out of all

252 amino acids. Interestingly it has pronounced positive weights around 20 residues before and

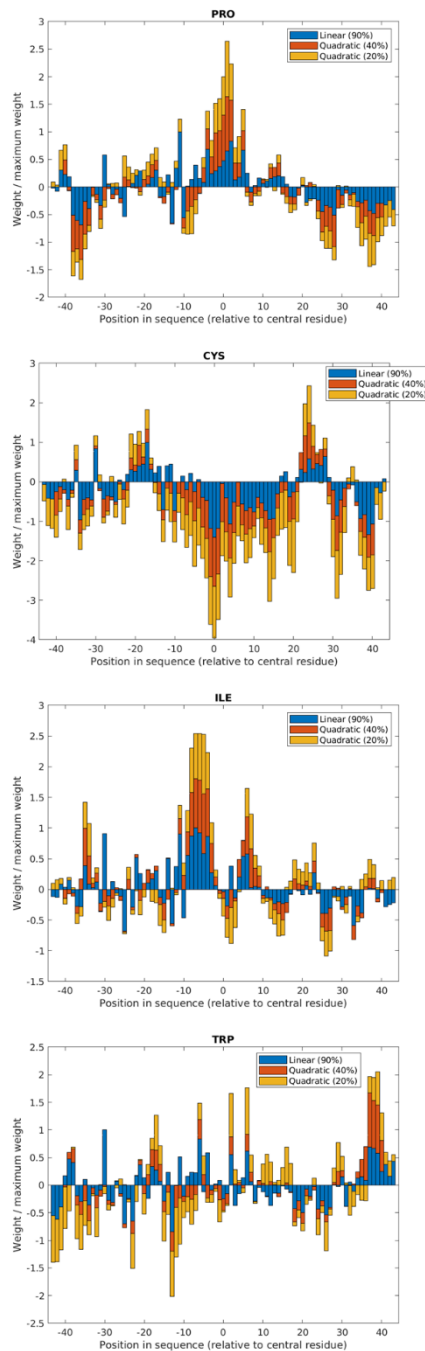


Figure 5: The linear weights assigned to Pro, Cys, Ile, and Trp. From top to bottom: Pro, Cys, Ile, and Trp by the linear KLR model at 90% filtering, and from the quadratic KLR models at 40% and 20% filtering. Window lengths were 87 for those trained using Group1_90% , 81 for those trained using Group1_40% , and 87 for those trained using Group1_20%.

253 after the central position. The weights in the lle plot fluctuate but all three models show
254 strong positive weights around 5 residues on the N-terminal side of the central position and
255 a smaller peak 5 residues after. These charts are not all approximately symmetrical; the Trp
256 plot shows a strong positive peak around the end of the window, with no corresponding
257 peak at the start.

258

259 Product Terms

260 The feature space for the quadratic kernel includes features corresponding to the

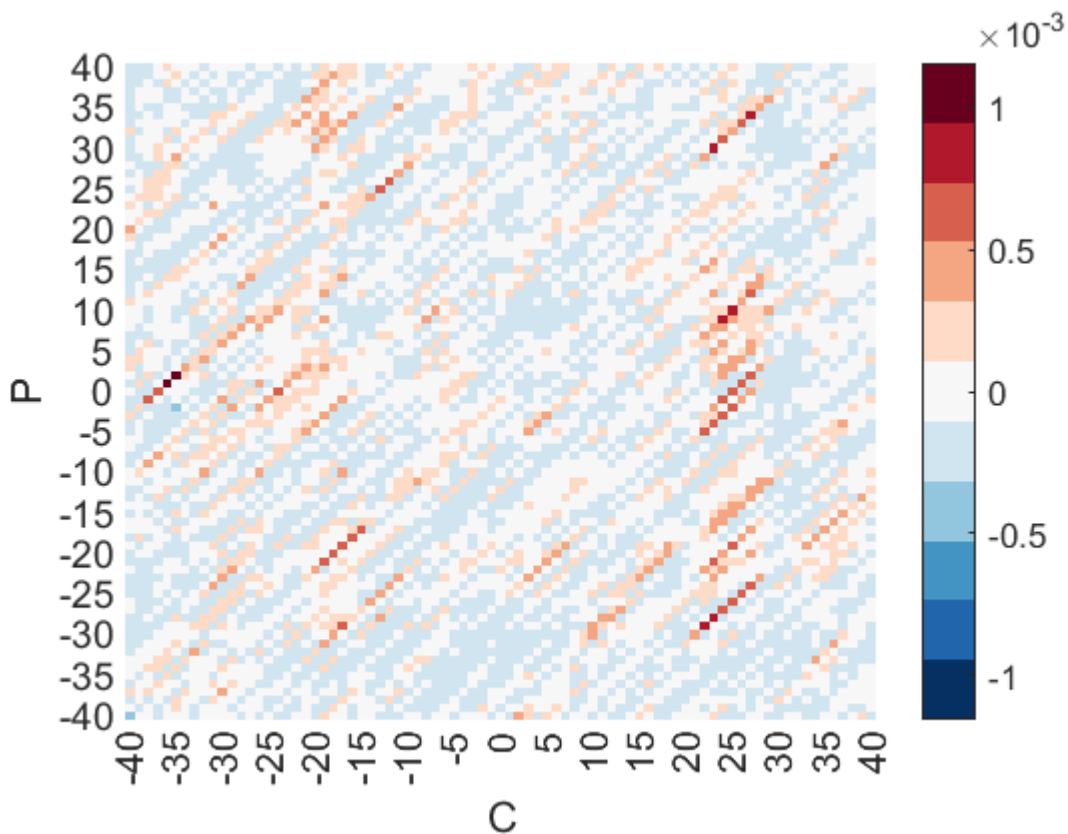


Figure 6: The weights assigned to combinations of Cys and Pro. Product term weights from quadratic kernel models with window length 81 trained using Group1_40%.

261 pairwise products of the original input attributes. The weights associated with product

262 terms in the feature vectors give an indication of the strength of the importance of pairs of
263 residues at different positions within the sliding window. These can be visualised for each
264 amino acid pair by plotting them as a heat map, where each axis represents a position
265 within the sliding window at which a residue occurs.

266 The heat map in Figure 6 shows the weights associated with combinations of Cys and
267 Pro residues according to the quadratic model trained for the Group1_40% dataset. A patch
268 of positive weights at position (20-25, 0-10) may indicate that such a combination is
269 favoured. Structurally this would suggest a pair of domains with Pro located at an hinge-
270 bending region and Cys located at an intradomain region on the C-terminal side. At this
271 current time we cannot rule out the possibility that these correlations are an artefact of the
272 small sample we have of non-homologous proteins with clear domain movements.

273 As optimal AUROC's predominantly occurred at window lengths of either 81 or 87,
274 we include in Additional Table 3, AUROC's at both these window lengths (although AUROC's
275 are not available for window length 87 on Group1_40% as we did not perform computations
276 at this window length). The results show there is little or no difference between the
277 AUROC's at these two window lengths.

278

279 **HingeSeek Web Server**

280 We have produced a tool, called "HingeSeek", which is available to run from a web
281 server at hingeseek.cmp.uea.ac.uk. The server offers sequence-only hinge predictions,
282 converting input sequences into windowed one-of-n encoded feature vectors and classifying
283 each residue as hinge or non-hinge based on a selected threshold. The sequence is then
284 coloured according to the classification, and labelled with the confidence level.

285 HingeSeek was created by bootstrapping the training data from Group1_90%. 100
286 models were trained using the quadratic KLR model with the optimal window length of 87.
287 Data was sampled with replacement creating training sets the same size as the original
288 Group1_90% set. To allow unbiased assessment of the model's predictions, there is a
289 sequence identity threshold parameter. When a sequence is entered by the user, an
290 ensemble is created such that no members of the ensemble were trained on any sequences
291 having a greater sequence identity than the threshold with the input sequence. The weights
292 are extracted from the selected models and averaged to create an aggregated model. This
293 enables the tool to be used as a fair benchmark for comparison with competing approaches.
294 In addition to allowing users to predict hinge-bending regions, the web server also includes
295 an interactive weight explorer, which allows users to investigate the weights that the model
296 assigned to amino acid pairings, by dynamically generating charts like Figure 6.

297

298 **DISCUSSION**

299 We trained a range of KLR models on sequences taken from the DynDom database in
300 order to understand sequence features of hinge-bending regions and to predict their
301 locations from sequence alone.

302 With Group1_90%, a maximum AUROC of 0.75 was achieved. This contrasts
303 favourably with Flores et al. (20) who could not achieve any predictive value using just Hinge
304 Index information using the DBMM dataset also filtered at 90% sequence identity. With
305 Group 1_40% and Group1_20%, the AUROC of the best KLR model decreased, probably due
306 to the small amount of data available at these levels of sequence identity.

307 Beyond producing a sequence-based predictor for hinge regions, this work provides
308 insight into what kinds of residue favour or disfavour hinge regions and hints at possible
309 relationships between them. Broadly the residues found to favour hinge sites are those with
310 small side chains confirming the finding by Flores et al. (20). Ser strongly favours a hinge site
311 even more so than Gly which, in contrast to Flores et al., we find to only to weakly favour
312 hinge regions. Both for Group 1 and Group2, the Hinge Index analysis shows that Pro is the
313 most favourable residue to be located at a hinge region and Cys the least favourable. This
314 result is supported by an analysis of the weights of the linear-terms in the KLR models. The
315 fact that Pro favours hinge-bending regions is unexpected as in contrast to all other amino
316 acids rotation about its ϕ dihedral is severely restricted which one would think would inhibit
317 its ability to act as a hinge-bending residue. This result concurs with studies on linker regions
318 (26, 27) identified on structural features only. Such regions were intentionally omitted from
319 our datasets as positive cases in order to be certain that those included were confined to
320 those that demonstrably facilitate hinge bending. We believe the reason for Pro being
321 located in these regions is that it often acts as a terminator for secondary structure
322 elements and therefore appears at hinge regions because they are also often located at the
323 terminal regions of secondary structures (10). Cys is highly disfavoured at bending regions
324 which can be explained by the fact that many Cys residues form disulphide bonds helping to
325 rigidify the local backbone. Positive weights for Cys at the $\pm \sim 20$ positions probably indicate
326 the role it plays in stabilising a domain via cross-linking. Interestingly Ile appears to act as a
327 domain-capping residue. The preference of some residues to be situated in bending regions
328 and the preference of others for being located within a globular domain may explain why
329 we see improvement in prediction up to comparatively long window lengths.

330 The consistently higher performance of the quadratic kernel over the linear kernel at
331 very long window lengths implies a correlation between amino acid locations which we
332 believe occurs between a small number of amino acids, such as Pro and Cys, that
333 particularly favour or disfavour hinge bending regions.

334

335 **CONCLUSIONS**

336 We have used statistical methods and machine learning methods to investigate
337 sequence features of hinge-bending regions. This presents an example of an attempt to
338 analyse sequence features involved in the structure-dynamic relationship. There is an
339 increased interest in these regions particularly in their role as linkers in therapeutic fusion
340 proteins. First, we revisited the Hinge Index measure introduced by Flores et al. (20) The
341 results broadly confirm their findings for the propensities of particular amino acids to occur
342 in hinge-bending regions. However, there are some differences, most notably the finding
343 that proline is the amino acid that has the highest propensity to occur in a hinge-bending
344 region. This is thought to be due to its secondary-structure breaking tendency as it is at the
345 termini of secondary structures that hinge bending often occurs. Flores et al. found that the
346 Hinge Index alone could not be used to produce a reliable predictor and so here we have
347 used KLR. Although we have produced a tool with useful predictive power it has not
348 achieved the same level of predictive power as when machine learning methods are applied
349 to secondary structure prediction from sequence(34). This problem represents a case where
350 there is a large class imbalance with the number of intradomain residues vastly outweighing
351 the number of hinge-bending residues. This means that with a limited amount of data, and
352 as our results indicated, only a few of the 20 amino acids having expressed any strong

353 preference for or aversion of hinge regions, the number of false positives is likely to be high.
354 Using KLR models of increasing complexity we have found an interesting and quite unusual
355 feature for the prediction of hinge-bending regions, namely that the quadratic model
356 outperforms the linear model particularly at very long window lengths (in comparison to
357 other methods that have been applied to the prediction of hinge-bending/conformationally-
358 variable regions). This result points to prediction performance being enhanced by the
359 correlation between those residues that strongly favour or disfavour hinge-bending regions
360 at a considerable distances apart along the chain. Understanding the role that particular
361 amino acids play in the formation of hinge regions will be of interest to those who practise
362 protein engineering, particularly those who design linker regions in therapeutic fusion
363 proteins.

364

365 **METHODS**

366 **Dataset**

367 The primary data comprised 5,248 domain movements from unique pairs of
368 structures analysed by the DynDom program. These are deposited in both the user-created
369 database (35) and the non-redundant database (36). We selected only those that were
370 clearly domain movements based on filtering criteria. We created two datasets, “Group 1” a
371 strictly filtered group, and “Group 2” filtered based on more permissive criteria. Table 1
372 shows the filtering criteria for these two groups. We take the sequence of the Conformer 1
373 structure (the two structures submitted are assigned as “Conformer 1” and “Conformer 2”
374 at the DynDom webserver by the expert user) with the residues annotated as hinge-bending
375 or intradomain. Figure 1 shows glutamine binding protein, a typical member of Group 1. In

376 the user-created set there is a great deal of redundancy. We follow Flores et al. (20) initially
377 by filtering at 90% sequence identity on each group to ensure that no two sequences are
378 selected for the same group if they have a sequence identity of 90% or higher. To achieve
379 this we used the program CD-Hit (37). The total counts for the data sets were 241 sequences
380 in Group 1 and 372 sequences in Group 2. Group 1 can be regarded as containing clear
381 hinge regions whereas Group 2 may comprise some less hinge-like regions. Lists of the PDB
382 structures in Groups 1 and 2 at 90% filtering are given in the Additional_Data1. These pairs
383 identify the domain movement which can be viewed at the DynDom website.

384 We also filtered the datasets at 40% and 20% sequence identity thresholds using CD-
385 Hit to assess the effect of removing homologous proteins. In the Results section we refer to
386 the different datasets as Group1_90%, Group2_90%, Group1_40% and Group1_20%.

387

388 Hinge Index

389 Flores et al. (20) proposed the Hinge Index, $HI(a)$, for a given amino acid, a , as:

$$390 \quad HI(a) = \log \left(\frac{p(a|h)}{p(a)} \right) , \quad (1)$$

391 which, is the log-likelihood ratio for the occurrence of amino acid a in a hinge region to its
392 occurrence in the population as a whole. It is a measure of the propensity of an amino acid
393 for a hinge region. $p(a)$ is the probability of amino acid a irrespective of region and $p(a|h)$
394 is the probability of amino acid a given it is in a hinge region, h . These probabilities were
395 estimated from frequencies calculated using the annotated sequence data. Significance
396 testing of $HI(a)$ is performed using the hypergeometric distribution as outlined in detail by
397 Flores et al. pages 6-7. The null hypothesis is that the observed number of occurrences of an

398 amino acid of a particular type in hinge regions is the result of the random assignment of
399 that amino acid to hinge regions according to its probability of occurrence in any region
400 derived from its overall frequency. The alternative hypothesis is that it is not a random
401 assignment with probabilities derived from their overall frequencies. Following Flores et al.,
402 the null hypothesis is rejected when $p < 0.05$.

403 **Kernel Logistic Regression**

404 To build the training and test data sets from the sequence and bending region data,
405 a sliding window of length w residues was placed over each sequence, resulting in
406 subsequences of length w residues. If w is odd then the central residue of the window can
407 either be in an intradomain region or a hinge-bending region. To get from our windowed
408 sequence to a suitable input vector we employ “one-of-n-encoding”. For each window i the
409 sequence is encoded as a $24w$ component input vector, x_i , where for each position in the
410 window, 24 rows are assigned, each of which corresponds to the one of the 24 “characters”
411 in our alphabet: one character for each of the 20 standard amino acids plus “B”, “X” and “Z”,
412 standing for ambiguous amino acids and “-” as a dummy character for those positions in the
413 window that are beyond a terminus. The value of each of the 24 rows is set to 0 for each
414 residue apart from the row of the residue at the corresponding window position which is set
415 to 1.

416 Those windows with the central residue in an intradomain region were negatively
417 labelled and have a target value for KLR of $t_i = 0$, and those with the central residue in a
418 hinge-bending region were positively labelled and given a target value of $t_i = 1$. The number
419 of negatively labelled records in the training set greatly outnumbered the number of
420 positively labelled records, so this ratio in the training set was altered by randomly

421 discarding negatively labelled examples. We elected to use a 1:9 proportion for the positive
 422 to negative cases for all training sets. In the Results section we show that variation of the
 423 proportion of positive to negative cases in the training set did not affect the AUROC.

424 KLR was applied to the data using UEA's MATLAB Generalized Kernel Machine
 425 toolbox (38). KLR (39) constructs a model of the form:

$$426 \quad \text{logit}\{y(\mathbf{x})\} = \mathbf{w} \cdot \boldsymbol{\phi}(\mathbf{x}) + b, \text{ where } \text{logit}\{p\} = \log\left\{\frac{p}{1-p}\right\}, \quad (2)$$

427 where b is a scalar bias parameter, \mathbf{w} is a vector of primal model parameters, and $\boldsymbol{\phi}(\mathbf{x})$ is
 428 the representation of \mathbf{x} in a fixed feature space. The logit link function constrains the output
 429 of the model to lie between zero and one. Viewing this output as an *a-posteriori* probability
 430 of belonging to the “hinge” class, we classify test residues as part of a hinge-bending region
 431 if the output is above a threshold, and below the threshold classify the residue as not part of
 432 a hinge.

433 Rather than define the non-linear transformation, $\boldsymbol{\phi}(\mathbf{x})$, directly, it is implicitly
 434 defined by a kernel function, \mathcal{K} , giving the inner product between vectors in the feature
 435 space,

$$436 \quad \mathcal{K}(\mathbf{x}, \mathbf{x}') = \boldsymbol{\phi}(\mathbf{x}) \cdot \boldsymbol{\phi}(\mathbf{x}'), \quad (3)$$

437 where \mathbf{x} and \mathbf{x}' are arbitrary vectors in the input space. A valid kernel function is one that
 438 obeys Mercer's conditions; i.e. the resulting kernel matrix, \mathbf{K} , is positive semi-definite for
 439 any set of points in the input space. We used three kernels starting with the linear kernel
 440 function, a straightforward scalar product of the input vectors:

$$441 \quad \mathcal{K}(\mathbf{x}, \mathbf{x}') = \mathbf{x} \cdot \mathbf{x}'. \quad (4)$$

442 The polynomial kernel, which maps the input vector into a higher dimensional feature space
 443 where new features are created from all monomials of order d or less of the original
 444 features, allows non-linear separations of the data without requiring an enumeration of the
 445 possible combinations.

$$446 \quad \mathcal{K}(\mathbf{x}, \mathbf{x}') = (\mathbf{x} \cdot \mathbf{x}' + c)^d. \quad (5)$$

447 In this study, the kernel parameter d was set at two (for a quadratic kernel) or three (for a
 448 cubic kernel), and c is a hyper-parameter. The final kernel function used was the radial basis
 449 function (RBF) kernel:

$$450 \quad \mathcal{K}(\mathbf{x}, \mathbf{x}') = \exp\{-\theta \|\mathbf{x} - \mathbf{x}'\|^2\}, \quad (6)$$

451 where θ is a hyper-parameter controlling the sensitivity of the kernel.

452 Assume we are given a training set of ℓ examples, where \mathbf{x}_i represents an input vector and t_i
 453 and y_i are, respectively, the expected and predicted outcome for the i^{th} training example.

454 The optimal values of the primal model parameters, \mathbf{w} , and bias, b , are found using the
 455 iteratively reweighted least squares training procedure (40) to minimise a regularised
 456 “cross-entropy” cost function:

$$457 \quad E = \frac{1}{2} \|\mathbf{w}\|^2 - \frac{\gamma}{2} \sum_{i=1}^{\ell} [t_i \log\{y_i\} + (1 - t_i) \log\{1 - y_i\}]. \quad (7)$$

458 This optimisation problem is more conveniently solved in the dual representation, where
 459 the primal parameters are expressed in terms of the dual parameters:

$$460 \quad \mathbf{w} = \sum_{i=1}^{\ell} \alpha_i \phi(\mathbf{x}_i) \text{ and } \|\mathbf{w}\|^2 = \boldsymbol{\alpha}^T \mathbf{K} \boldsymbol{\alpha}, \quad (8)$$

461 where $\boldsymbol{\alpha}$ is vector of dual model parameters. From Eqn 2, Eqn 3 and Eqn 8, the equation
 462 used to calculate an expected outcome from an input vector is:

463
$$\text{logit}\{y(\mathbf{x})\} = \sum_{i=1}^{\ell} \alpha_i \mathcal{K}(\mathbf{x}_i, \mathbf{x}) + b . \quad (9)$$

464 The regularization parameter, γ , in Eqn 7 along with other hyper-parameters such as the
465 kernel parameter θ in Eqn 6 and the polynomial kernel's hyper-parameter c in Eqn 5, are
466 tuned using the Nelder-Mead simplex algorithm (41) to minimise an approximate leave-one-
467 out cross-validation estimate of the cross-entropy loss (40), which can be computed
468 efficiently as a by-product of the training procedure, i.e. the leave-one-out cross-validation
469 is performed on the training set.

470

471 **DECLARATIONS**

472 **Ethics approval and consent to participate**

473 Not applicable.

474 **Consent for publication**

475 Not applicable.

476 **Availability of data and materials**

477 All the data used are available from the Protein Data Bank (PDB) – see Additional Data 1
478 for list of accession codes – at wwpdb.org and from the DynDom website at
479 www.cmp.uea.ac.uk/dyndom.

480 **Competing interests**

481 The authors declare that they have no competing interests.

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484 **Authors' contributions**

485 All contributed to the design of the approach. RV did the computations and designed
486 and implemented the HingeSeek webserver. All authors helped to write the manuscript.
487 All authors read and approved the final manuscript.

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490

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579 **ADDITIONAL FILES**

580 Additional_Data1; pdf; formatted list of PDB accession codes and chain IDs of pairs of
581 structures used in Groups 1 and 2.

582 Additional_Table1; pdf; table giving matrix of p-values for the pairwise comparisons of the
583 AUROC for the linear, quadratic, cubic and RBF models for Group1_90% dataset.

584 Additional_Table2; pdf; table giving matrix of p-values for the pairwise comparisons of the
585 AUROC for the linear, quadratic and cubic models for Group2_90% dataset.

586 Additional_Table3; pdf; table for comparison of AUROC's for window lengths 81 and 87.

587 Additional_Figure1; pdf; HingeIndex values for amino acids evaluated from Group2_90%
588 dataset.

589 Additional_Figure2; pdf; (A) ROC curves for the quadratic model with window length 81 on
590 Group1_90% with various proportions of positive to negative training examples. (B) Plots of
591 the AUROC against proportion of positive to negative training examples for different
592 window lengths.

593 Additional_Figure3; pdf; Precision-Recall curve for Group1_90%.

594 Additional_Figure4; pdf; Area under Precision-Recall curves for different KLR models at
595 different window lengths for Group1_90% dataset.

596 Additional_Figure5; pdf; p-values at different window lengths for the Group1_40% dataset
597 determined by doing a paired t-test of the AUROC between the linear and quadratic KLR
598 models.

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602 **TABLES**603 **Table 1** *Selection criteria for Groups 1 and 2 and number of examples.*

Criterion	Group 1	Group 2
N° of domains	2	2
Min n° of residues in domain	80	80
Min angle of rotation	20°	15°
Max intradomain backbone RMSD	2.5 Å	3.0 Å
Max n° of bending regions	3	5
Max n° of residues in a bending region	10	15
Number of domain movements before CD-Hit filtering (90%)	910	1389
Number of domain movements after CD-Hit filtering (90%)*	241	372
Number of domain movements after CD Hit filtering (40%)	171	268

Number of domain movements after CD-Hit filtering (20%)	136	222
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604 * See Additional_Data_1 for list of pairs of structures by protein name and PDB codes.

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