

1 **Landslide monitoring using seismic refraction tomography – The importance of incorporating**
2 **topographic variations**

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17

18 **Abstract**

19 Seismic refraction tomography provides images of the elastic properties of subsurface materials in
20 landslide settings. Seismic velocities are sensitive to changes in moisture content, which is a triggering
21 factor in the initiation of many landslides. However, the application of the method to long-term
22 monitoring of landslides is rarely used, given the challenges in undertaking repeat surveys and in
23 handling and minimizing the errors arising from processing time-lapse surveys. Using the results of a
24 recent, novel, long-term seismic refraction monitoring campaign at an active landslide in the UK, a
25 simple method for producing a reliable time-series of inverted seismic velocity cross-sections is
26 presented in a workflow. Potential sources of error include those arising from inaccurate and
27 inconsistent determination of first-arrival times, inaccurate receiver positioning, and selection of
28 inappropriate inversion starting models. At our site, a comparative analysis of variations in seismic
29 velocity to real-world variations in topography over time shows that topographic error alone can account
30 for changes in seismic velocity of greater than $\pm 10\%$ in a significant proportion (23%) of the data
31 acquired. The seismic velocity variations arising from real material property changes at the near-surface
32 of the landslide, linked to other sources of environmental data, are demonstrated to be of a similar
33 magnitude. Over the monitoring period we observe subtle variations in the bulk seismic velocity of the
34 sliding layer that are demonstrably related to variations in moisture content. This highlights the need to
35 incorporate accurate topographic information for each time-step in the monitoring time-series. The goal
36 of the proposed workflow is to minimize the sources of potential errors, and to preserve the changes
37 observed by real variations in the subsurface. Following the workflow produces spatially comparable,
38 time-lapse velocity cross-sections formulated from disparate, discretely-acquired datasets. These
39 practicable steps aim to aid the use of the seismic refraction tomography method for the long-term
40 monitoring of landslides prone to hydrological destabilization.

41 **Keywords**

42 seismic refraction, geophysical monitoring, active landslides, topographic change, hydrogeophysics

43

44 1. Introduction

45 The implementation of robust and appropriate monitoring strategies is critical for the ongoing
 46 assessment of potentially destabilising processes in landslide systems (Angeli et al., 2000). Near-surface
 47 geophysical methods are increasingly used to monitor the subsurface conditions of landslides
 48 susceptible to hydrological destabilization (Perrone et al., 2014, Whiteley et al., 2019), most commonly
 49 by active-source DC electrical resistivity (ER) (e.g., Lucas et al., 2017) and passive-source seismic
 50 monitoring (e.g., Walter et al., 2012). ER can provide information on the moisture dynamics of an
 51 unstable slope, and passive-source seismic can provide information on the kinematics of failure events.
 52 One major advantage of active-source geophysical methods, such as ER, is their ability to produce
 53 spatially high-resolution, time-lapse images of the subsurface. However, the majority of seismic
 54 landslide monitoring campaigns utilise passive-source methods, which provide superior temporal
 55 resolution, but are limited in their spatial resolution due to practical limitations on the number of sensors
 56 in an array.

57 Seismic refraction tomography (SRT), an active-source seismic method, can characterize the spatial
 58 heterogeneities in elastic properties of materials in landslide systems (e.g., Uhlemann et al., 2016). SRT
 59 determines the travel-time of artificially generated seismic waves, to build up a series of travel-time
 60 curves for waves propagating through the subsurface. These travel-times are inverted to produce
 61 subsurface cross-sections of seismic velocity. The two types of body waves used in SRT, P-waves and
 62 S-waves, propagate through subsurface media differently depending on lithological and physical
 63 properties. P-wave velocity, V_p , is given by

$$64 \quad V_p = \sqrt{\frac{K + \frac{4}{3}G}{\rho}}, \quad (1)$$

65 in which K is the bulk modulus (a measure of a material's resistance to uniform compression), G is the
 66 shear modulus (a measure of a material's resistance to shear strain) and ρ is material density. The S-
 67 wave velocity, V_s , is given by

$$68 \quad V_s = \sqrt{\frac{G}{\rho}}. \quad (2)$$

69 In solid rock, the relationship between seismic velocity and saturation has been empirically
 70 demonstrated, and is relatively well understood. Considering a fully saturated rock, as liquid (with a
 71 higher K ; Equation 1) in pore spaces initially become replaced by gas, V_p decreases rapidly and V_s
 72 changes with saturation due to changes in bulk density and shear modulus (Equation 2) (Wyllie et al.,
 73 1956). These seismic attributes and their relationship to the petrophysical properties of rock can be used
 74 to determine the effects of saturation on seismic velocity (e.g., Biot, 1956, Gassmann, 1951).

75 In soils, the effect of variations in saturation on seismic velocity is less well-understood. Existing
76 evidence indicates that both the distribution of moisture throughout the soil structure, as well as the
77 influence that capillary forces have on effective pressure, influence V_p at small scales (Romero-Ruiz et
78 al., 2018). Experiments in artificial, well-mixed, homogenous soils, have demonstrated that V_p
79 decreases with increasing saturation (Lu and Sabatier, 2009) and similar results have been obtained
80 from laboratory measurements on undisturbed samples of Loess soils (Flammer et al., 2001). These
81 decreases in V_p are dominated by changes in the matric potential of the soil (related to capillary forces).
82 The effects of capillary forces are likely to be very different between artificial and natural soils, with
83 the former having no internal structure or little consolidation, both of which reduce the influence of
84 capillary forces.

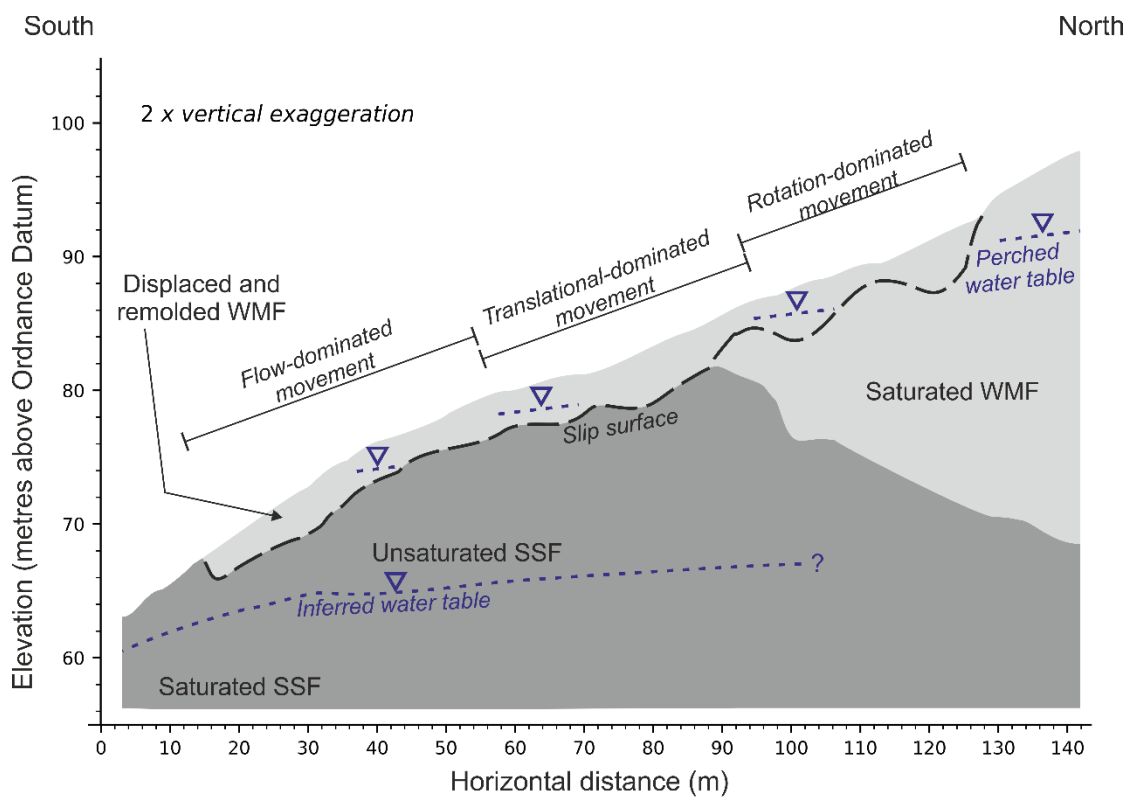
85 Despite this lack of understanding on the precise mechanism by which seismic velocities are influenced
86 by moisture content in soils, seismic attributes are still routinely used in larger scale field studies to
87 assess characteristics of near-surface sediments. The ratio between V_p and V_s (V_p/V_s) can be used to
88 assess lithology, strength and quality, structure and saturation of near-surface sediments for
89 geotechnical investigations (Bhowmick, 2017). Seismic surveys to obtain in-situ V_p , V_s and V_p/V_s have
90 been used to image physical properties, including ground saturation, in the field (Pasquet et al., 2016b),
91 and have been used to monitor shallow saturation processes in the laboratory (Pasquet et al., 2016a).
92 Poisson's ratio, a property closely related to V_p/V_s ratio which measures lateral strain to axial strain, has
93 been shown to relate to porosity in near-surface sediments, and can be used to determine areas of
94 localised saturation (Uhlemann et al., 2016, Uyanik, 2011).

95 The use of SRT as a tool for long-term landslide monitoring is almost absent from the literature.
96 Examples of active-source seismic landslide monitoring campaigns focus on the characterization of
97 surface fissures (see Grandjean et al., 2009, Bièvre et al., 2012) rather than the monitoring of moisture-
98 induced elastic property variations. The dearth of studies using SRT as a long-term monitoring tool for
99 landslides is likely due to the complexity of managing and minimizing the several sources of error in
100 the individual surveys (i.e., time-steps) that comprise a monitoring time-series. In this study, we present
101 the results of acquiring, processing and inverting a long-term SRT time-lapse dataset collected from an
102 active landslide. To our knowledge, the use of SRT in a monitoring campaign at an active landslide site
103 has not been implemented to date. The methodology is applied to time-lapse SRT monitoring at a site
104 of active slope failure in North Yorkshire in the UK. This study aims to develop a practical approach to
105 active-source time-lapse seismic surveying of vulnerable slopes, and to demonstrate the applicability of
106 high spatial resolution subsurface monitoring using SRT. The approach taken is summarised in a
107 workflow, from which a practical walkthrough of how the time-lapse SRT data were acquired,
108 processed and inverted using a novel two-stage inversion procedure is presented. The importance of
109 incorporating the topography of the landslide surface from every survey (i.e., for each time-step) in a
110 monitoring campaign is highlighted. Summary results from the SRT monitoring campaign are presented

111 and discussed, and support the use of SRT to monitor moisture dynamics at active landslide sites. The
 112 approach and results of this study should be of interest to researchers studying the evolution of
 113 subsurface processes acting to destabilise landslide systems (Jaboyedoff et al., 2019), and to those using
 114 geophysical methods in landslide early-warning systems (Intrieri et al., 2012) and monitoring
 115 environmental changes.

116 2. Seismic refraction tomography monitoring at the Hollin Hill Landslide Observatory

117 The Hollin Hill Landslide Observatory (HHLO) in North Yorkshire, UK (Chambers et al., 2011, Merritt
 118 et al., 2013), is operated by the British Geological Survey. The landslide comprises an interbedded
 119 series of Lower and Middle Jurassic sandstones and mudstones (Figure 1), namely the Whitby
 120 Mudstone Formation (WMF) and Staithes Sandstone Formation (SSF).

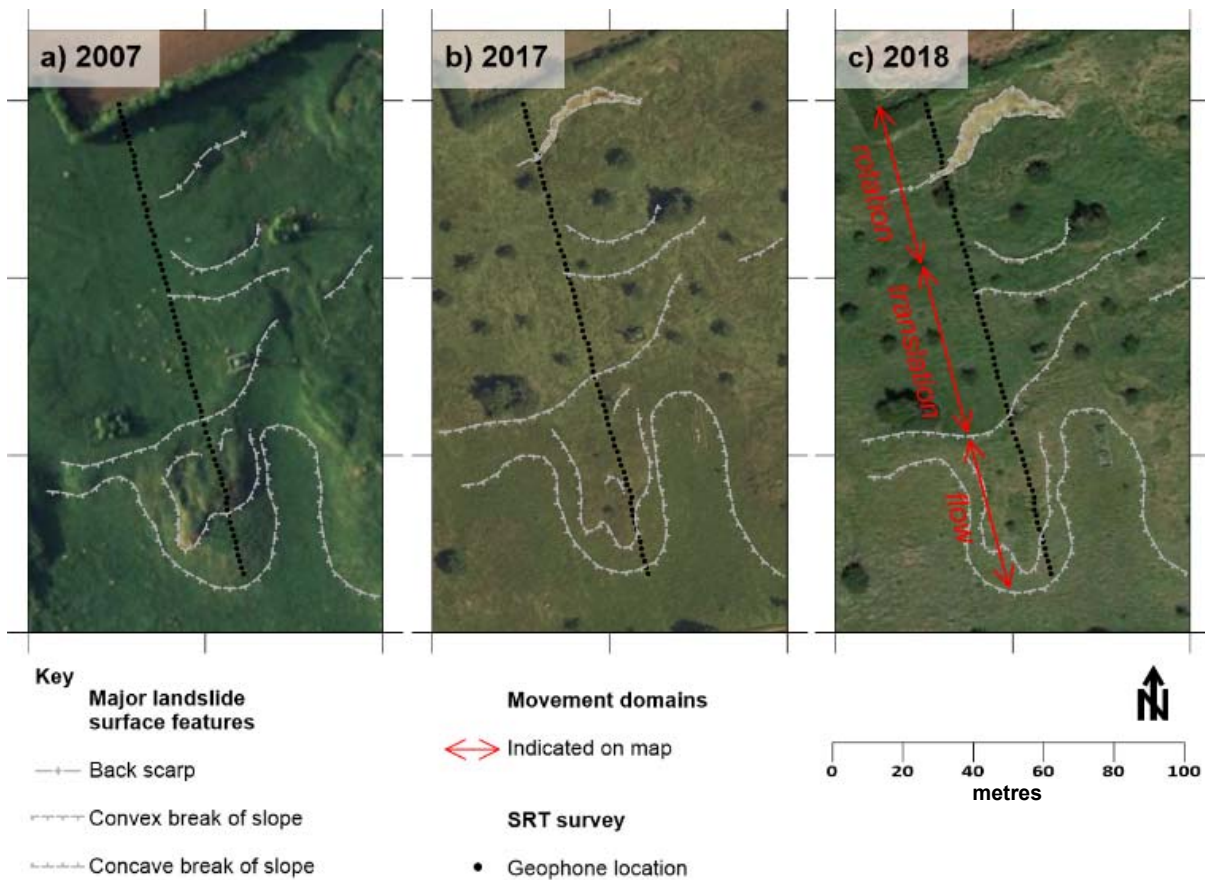


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122 *Figure 1: A simplified conceptual model of the HHLO (modified from Uhlemann et al., 2016), indicating movement domains,*
 123 *slip-surface, indicative position of water tables, and main lithological units comprising the Whitby Mudstone Formation (WMF)*
 124 *and Staithes Sandstone Formation (SSF).*

125 The moisture content of the WMF controls displacement occurrence at the site. The WMF is of low
 126 permeability and drains slowly into the underlying SSF. Hence, during periods of increased
 127 precipitation, moisture content within the WMF increases quickly (creating localized perched
 128 groundwater tables), and decreases slowly during periods of lower precipitation. Slope failure is most
 129 likely during these periods of intense and prolonged rainfall.

130



131 *Figure 2: Aerial photographs from the Hollin Hill Landslide. a) Image from 2007 showing the main features of the landslide,*
 132 *including backscarps at top of slope (north), and flow-lobes at base of slope (south). Map data: Google, Infoterra Ltd and*
 133 *Bluesky. b) Image from 2007 showing development of new backscarp after movement in 2016. Map data: Digimap. c)*
 134 *Continued backscarp development shows landslide extension, and propagation of the backscarp to the west. Map data:*
 135 *Google. Black dots are the indicative locations of receivers used in the SRT surveys, with the first receiver location (northern*
 136 *most dot) located outside of the active landslide area, acting as a static reference point against which the receiver arrays are*
 137 *deployed. The location of this receiver is marked by a ground peg installed at the site.*

138 Seasonal variations in moisture content, linked to regional groundwater levels and local infiltration of
 139 rainwater, decrease restraining soil-suction forces (potentially producing destabilising positive pore-
 140 water pressures) initiating movement at the slip-surface mid-slope. This translational displacement
 141 propagates uphill as support for overlying material is removed, culminating in the development and
 142 widening of rotational backscarps in the saturated WMF at the top of the slope. Downslope, mobilised
 143 material is reworked to form flow lobes at the base of the landslide, where movement is eventually
 144 arrested through drainage to underlying deposits of well-sorted, aeolian quaternary sands deposited at
 145 the top of the SSF. Aerial imagery from 2007, 2017 and 2018 shows the development of
 146 geomorphological landslide features at the HHLO (Figure 2).

147 SRT monitoring at the HHLO aims to identify changes in the elastic properties of the underlying
 148 lithological units. These variations in elastic properties are primarily driven by variations in slope
 149 moisture dynamics. Between October 2016 and August 2019, 16 SRT surveys were acquired, resulting
 150 in the production of 16 V_p and 16 V_s cross-sections spanning a period of 1001 days, close to 33 months.

151 The length of time of the monitoring period allowed data to be collected over two distinct annual
152 climatic cycles, ensuring data were acquired at different subsurface moisture contents, and during
153 multiple wetting and drying phases of the landslide system, capturing temporal heterogeneity in
154 hydrological condition. Data were acquired at an average return interval of 9 weeks, which was deemed
155 to be practicable given the characteristics of the landslide system and long-term monitoring period. A
156 shorter return interval would have been desirable, but this was prevented by the logistical and financial
157 cost of mobilisation, equipment availability and deployment, and acquisition and processing time
158 associated with each survey; surveys typically involved two to three days of fieldwork, followed by
159 several days of data processing.

160 The SRT surveys were acquired along the same profile location over the duration of the monitoring
161 campaign. The profile comprised of 2m spaced geophones (i.e., receivers), positioned from the crest of
162 the landslide to the toe (Figure 2). The location of the survey profile was chosen based on previous
163 geophysical surveys that have been undertaken at the site (see Uhlemann et al., 2016) and position of
164 geotechnical sensors (see Merritt et al., 2013). For both the P- and S-wave surveys, a 48-channel ABEM
165 Terraloc Mk6 was used to acquire seismic refraction data. To acquire contiguous data from the entire
166 spread length (142m total length, comprising 72 receiver locations), two separate 48 receiver (94m
167 long) profiles with a 46m overlap between the surveys were acquired. Receivers used in both
168 deployments were not moved between spread acquisitions, and shot locations were accurately relocated
169 and repeat shots undertaken, so that the overlapping spreads could be processed as a single profile of
170 data.

171 Vertical geophones with a dominant frequency of 8Hz were used as receivers for the P-wave survey,
172 and a 4 kg sledgehammer and horizontal steel plate were used as a source. At each shot location, data
173 were recorded for 1 second, in order to acquire both refracted P-wave arrivals and surface wave data
174 (these latter data are not described in this study). Shot records were stacked in the field, and the number
175 of stacked shot records varied between surveys based on environmental conditions, such as wind speed
176 and rain; a minimum of two stacks per location were acquired in optimal conditions (i.e., low or no
177 wind and rain), and up to six stacks per location were acquired in poorer conditions.

178 For the S-wave survey, horizontal geophones with a dominant frequency of 14Hz were used as the
179 receivers, and a prism with $\sim 45^\circ$ inclined face was used to generate S-waves in opposing polarisations,
180 perpendicular to the orientation of the receiver profile (Uhlemann et al., 2016). Data were recorded for
181 0.5 seconds, and same-polarisation shot records were stacked, with a minimum of two stacked per
182 receiver location saved in optimal survey conditions, and up to a maximum of six shot records per
183 location saved in poor survey conditions.

184 In both surveys, geophones were buried to a depth of ~ 10 cm below ground level in an attempt to isolate
185 the receivers from aerial environmental noise, and to provide better coupling with the subsurface. Shots

186 were acquired at every other receiver location (i.e., every 4 m) for the whole of the receiver spread,
187 starting at the first receiver at the crest of the slope. It was not possible to acquire off-end shots at the
188 top of the profile (i.e., before the first geophone), due to access. For the P-wave surveys, off-end shots
189 at the end of the of the spread were acquired at 4m intervals beyond the penultimate receiver at the toe
190 of the slope to a maximum off-end distance of 22m beyond the last receiver (i.e., 164m from the first
191 receiver). For the S-wave surveys, off-end shots were acquired at 10m intervals to a distance of 20m
192 beyond the last receiver (i.e., 162m from the first receiver). For both surveys, the same shot locations
193 were used throughout the entire monitoring campaign, ensuring consistent spatial coverage between
194 surveys.

195 **3. Overcoming challenges in long-term SRT monitoring of landslides**

196 In this study, several sources of error in SRT surveys need to be accounted for during data acquisition,
197 and in the subsequent data processing and inversion stages. Some of these sources of error are unique
198 to landslide monitoring. The goal during processing is to minimize transient changes in time-lapse data
199 that may arise from differences in survey set-up and processing of data between surveys, and to preserve
200 changes arising from genuine variations in the properties of landslide materials. As velocity is the
201 quotient of distance and time, the determination of accurate velocities relies on correct picking (i.e.,
202 identifying correct travel-times) and positioning (i.e., determining true distances) of data. The major
203 sources of potential error in SRT acquisition and processing are related to:

204 Data quality and processing

- 205 • Consistent picking of first-arrivals
- 206 • Consistent coverage of data
- 207 • Development of an appropriate error model

208 Topographic and inversion parameters

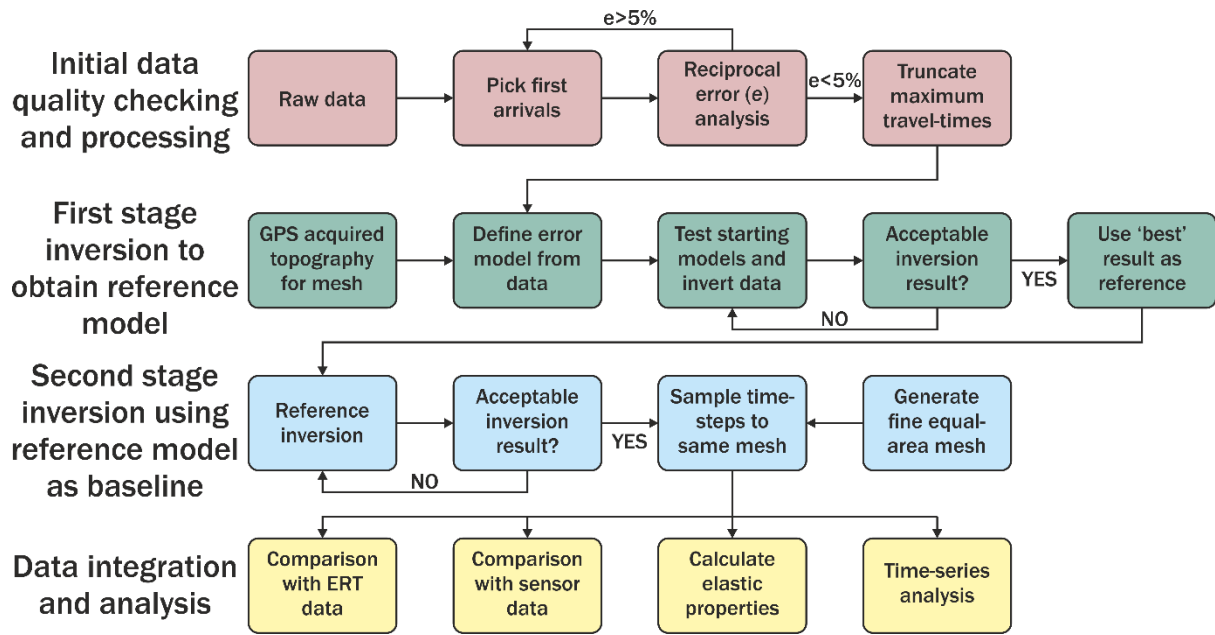
- 209 • Consistent repositioning of receivers to the same locations between surveys
- 210 • Capturing variations in receiver positions between surveys
- 211 • Accounting for changes in landslide topography between surveys

212 Appropriate inversion constraint

- 213 • Incorporating errors into the inversion
- 214 • Determining appropriate constraints for data inversion
- 215 • Using constraints to regularize data over time

216 A workflow to produce a robust seismic velocity time-series is shown in Figure 3. The following
217 sections describe how the stages of the workflow are used to address the issues outlined above.

218



219

220 *Figure 3: Proposed workflow for processing SRT surveys to produce time-lapse data. SRT data are first processed using*
 221 *reciprocal data analysis for quality control. Individual SRT datasets are inverted for velocity models using a reference model*
 222 *approach. Time-lapse SRT images are then created using unique topography acquired at each survey, in order to determine*
 223 *velocity changes in the subsurface between surveys.*

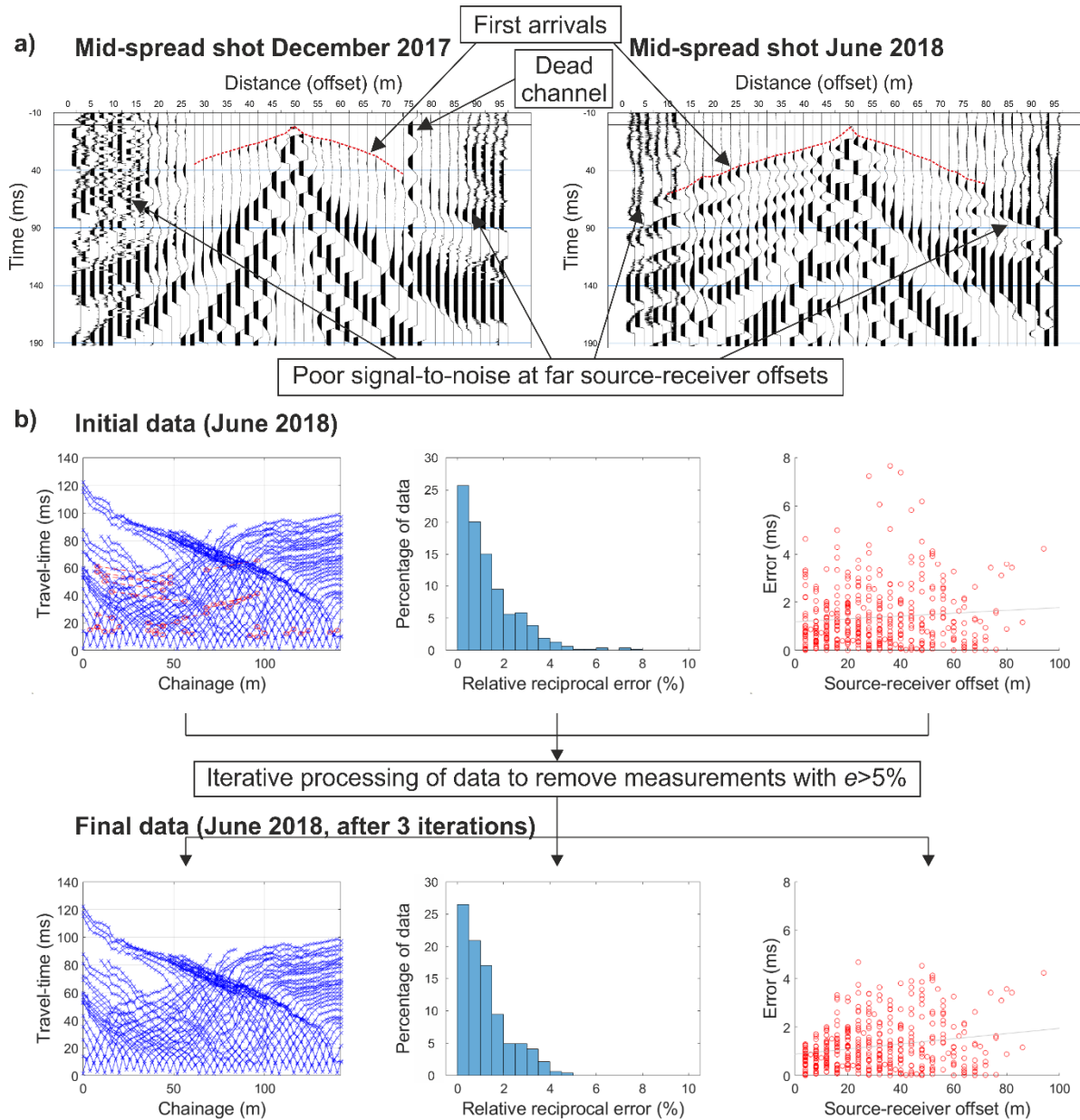
224 3.1. Data quality and processing

225 Identifying consistent, repeatable first arrivals in SRT data is a recognised challenge with no universally
 226 accepted solution. Attempts include using automatic picking algorithms (e.g., Khalaf et al., 2018) and
 227 using statistical approaches (e.g., Dangeard et al., 2018) to minimize absolute and relative errors
 228 introduced by operators picking time-lapse SRT data. In this study, reciprocal errors between inverse
 229 source-receiver configurations are used to identify ‘bad’ picks that display an unacceptable differential
 230 in reciprocal travel-time. Reciprocal measurements require receiver locations to be used as both receiver
 231 and source location during the course of the survey. Therefore, reciprocal analysis is undertaken on
 232 ~50% of the entire data for any given survey, and is used as a representative sample of the entire survey
 233 dataset i.e., a reciprocal error subset. The error (e) in a reciprocal measurement (defined as the mean
 234 travel-time of the two measurements) is defined as

$$235 \quad |e| = 100 \cdot \left(\frac{|t_n - t_r|}{t_n + t_r} \right), \quad (4)$$

236 in which t_n is the travel-time between a source at position A, and receiver at position B, and t_r is the
 237 travel-time between a source at position B and a receiver at position A. Lack of measurement reciprocity
 238 occurs when intra-survey (i.e., within the same time-step) data coverage is inconsistent. Factors leading
 239 to poor data coverage include low signal-to-noise-ratio at larger source-receiver offsets and interference
 240 from noise sources, such as wind, rain and amplification of these noise sources through nearby trees
 241 (Figure 4a). Lack of reciprocity occurs in travel-times with further source-receiver offsets, and therefore
 242 the use of reciprocal measurements as a data quality indicator favors data acquired from the very near-

243 surface (i.e., shots with smaller source-receiver offsets). Across all of the reciprocal error subsets from
 244 each time-step in this study, 12.5% of the V_p data and 14.1% of the V_s data are not analysed due to lack
 245 of reciprocal measurements. Remaining reciprocal-pairs of measurements showing a discrepancy $e>5\%$
 246 are re-examined and re-picked (Figure 4b). Shot records adjacent to a reciprocal-pair with $e>5\%$ are
 247 also considered during this procedure. The data are then re-analyzed, and any further measurements
 248 with $e>5\%$ are re-picked. This iterative process continues until all measurements in the dataset have
 249 $e<5\%$.



250

251 *Figure 4: a) Examples of V_p shot records from the same position at the HHLO from December 2017 (left panel) and June*
 252 *2018 (right panel). Poor signal-to-noise at larger source-receiver offsets prohibits the identification of first arrivals, and*
 253 *prevents acquiring reciprocal pairs for every measurement in the survey. b) The process of identifying reciprocal errors within*
 254 *a subset of the refraction survey data with $e>5\%$ from V_p data from June 2017. Top left panel shows all first-arrival data*
 255 *(displayed as travel-time curves) with pairs of measurements of $e>5\%$ circled in red. Top centre panel shows the distribution*
 256 *of relative reciprocal errors within the reciprocal error data subset, and the top right panel shows the distributions of absolute*
 257 *reciprocal errors from this data subset as a function of source-receiver offset, indicating that shots with further offsets have*

258 *higher errors. The corresponding panels below show the effect of iteratively identifying and re-picking data with $e > 5\%$, in*
 259 *order to reduce errors across the dataset.*

260 A further issue arising from implementing a time-lapse approach is achieving consistent inter-survey
 261 (i.e., between time-steps) data coverage over time. Consistent coverage could not always be achieved
 262 due to variations in noise sources between surveys. Surveys showed a significant variation in maximum
 263 recorded travel-times, and without some normalisation of these maximum travel-times, comparison of
 264 the inverted sections to determine an appropriate reference model (see Section 3.3) is challenging,
 265 primarily due to differences in maximum travel-times inducing significant variations in the maximum
 266 depths of coverage in the inverted models. To overcome this, the distribution of all travel-times from
 267 across the monitoring period is plotted, and a travel-time value that preserves the majority of the data
 268 is chosen as a cut-off. In this case, the chosen cut-off travel-times are 86ms and 178ms for the V_p and
 269 V_s data, respectively. Data with travel-times over this cut-off are discarded, creating consistency in
 270 coverage between time-steps, but reducing the total number of data points. Across all of the time-steps
 271 of this study, 1.5% of the V_p data and 17.1% of the V_s data are discarded, giving a common maximum
 272 travel-time between surveys. More V_s data are discarded due to better to signal-to-noise ratios during
 273 the V_s surveys, giving better data quality and coverage, but in turn requiring larger amounts of data to
 274 be discarded to match the coverage of the V_p surveys.

275 **3.2. Topography and inversion parameters**

276 Repositioning of receivers to repeatable x , y and z positions on the landslide surface is crucial to ensure
 277 that seismic ray paths are sampling comparable domains of the subsurface over time. The positioning
 278 error in x and y can be minimized by deploying receivers relative to permanent markers located outside
 279 of the active area of the landslide, and recording absolute x and y positions for receiver locations.
 280 Furthermore, the slope surface (z) will change between surveys. This effect cannot be removed by
 281 accurate positioning, and therefore needs to be incorporated into the data processing. Variations in z , as
 282 well as small unavoidable discrepancies in x and y positions can be captured using accurate geodetic
 283 surveying methods.

284 In this study, receivers are deployed every 2m, with the first receiver located outside of the active
 285 landslide area (i.e., above the backscarp) and deployed at the same absolute position for each survey. A
 286 permanent ground peg marks the location of this first receiver, and a tape measure draped across the
 287 ground surface is used to deploy the remainder of the survey profile relative to this location. A Real-
 288 Time Kinetic Global Navigation Satellite System (RTK-GNSS) is used to capture the absolute positions
 289 in x , y and z of all receivers with a precision < 0.05 m. With accurate positional data for each survey, the
 290 ‘line-of-sight’ distance (d) between one receiver location with coordinates (x_i, y_i, z_i) and another with
 291 coordinates $(x_{i-1}, y_{i-1}, z_{i-1})$ can be expressed as

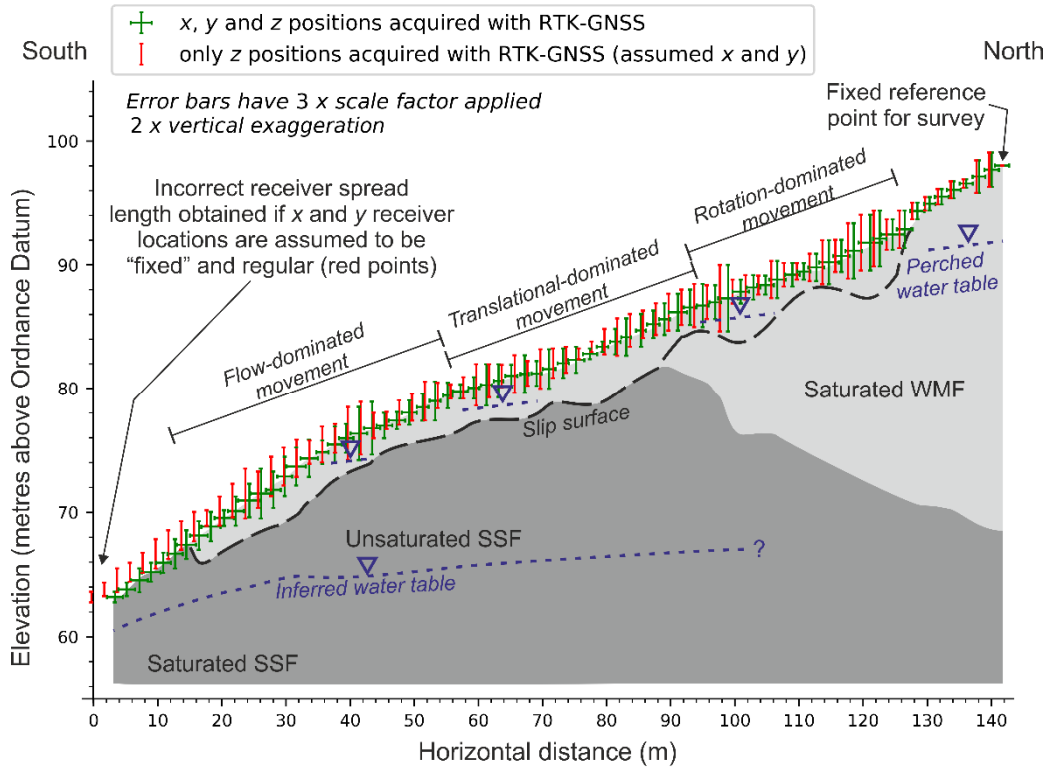
$$292 \quad d_i = \sum_1^i \sqrt{(x_i - x_{i-1})^2 + (y_i - y_{i-1})^2 + (z_i - z_{i-1})^2} \quad . \quad (3)$$

293 Topographic features between these receiver locations (i.e., those features smaller than the receiver
294 spacing) are not captured in the data.

295 For accurate 2D seismic travel-time inversion, accurate elevations and horizontal distances of the
296 receivers are required, as the fundamental problem to be solved is one of distance and time. It is common
297 in SRT surveys (and in array-type geophysical surveys in general) for the elevations (z_i) of sensors to
298 be recorded accurately, but for the inter-receiver spacing to be assumed to be a “fixed” nominal
299 horizontal distance. This is particularly common in surveys on flat or uniformly dipping surfaces, where
300 accurate inter-receiver spacing are easier to measure and control. However, in environments where
301 topography can vary sharply within the receiver array, such as landslides, this approach can lead to
302 errors in the positioning of receivers, which in turn introduces errors in to the generation of subsurface
303 meshes for inversion, ultimately influencing the resulting inverted travel-times. Figure 5 shows the
304 discrepancies that can arise from assuming a “fixed” nominal spacing (e.g., assuming receivers are
305 deployed every 2m, without accounting for the changes in distance that topography will create) with
306 variable z_i measurements (red points) against using the true x_i , y_i and z_i positions to generate line-of-
307 sight distances using Equation 3 in this study (green points). Using a “fixed” nominal spacing for time-
308 lapse monitoring ignores lateral variations in receiver spacing, and results in an overestimation of array
309 length. Acquiring topographic information at every survey (i.e., time-step) allows for accurate inversion
310 of travel-times.

311 The SRT profile is orientated to match the maximum slope profile, which is broadly parallel to the
312 north-south orientation, and therefore the main direction of recorded wave propagation for the SRT
313 survey was also in a north-south direction. Greater variations in the y coordinate of the receiver position
314 (i.e., north-south orientation, parallel to slope) would therefore introduce larger errors to the results of
315 the seismic survey if not accounted for, as opposed to variations in x coordinates (i.e., east-west
316 orientation, perpendicular to slope), which have a smaller effect. Between each survey, the mean
317 variation in receiver repositioning is 0.03m in the x coordinate (1.5% of receiver spacing), and 0.01m
318 in the y coordinate (0.5% of receiver spacing), which is below the nominal accuracy of the equipment
319 used for data acquisition. Across the entire monitoring period (26 months), receiver positions vary by
320 an average of 0.41m in the x coordinate (20.5% of receiver spacing) and 0.15m in the y coordinate
321 (7.5% of receiver spacing). Some active areas of the landslide experience much greater variations due
322 to changes in the slope displacements (Figure 5).

323



324

325 *Figure 5: The positions of receivers used in the SRT surveys at HHLO superimposed on to the site conceptual model, and their*
 326 *variation over the monitoring period. The green points are surveyed positions using an RTK-GNSS system, where Equation 3*
 327 *has been used to generate true line-of-sight receiver distances. The red points show how errors in positioning can arise if a*
 328 *“fixed” nominal receiver spacing is assumed, resulting in lateral errors in receiver positions, and over-estimation of slope*
 329 *length.*

330 **3.3. Appropriate inversion parameters**

331 In this study, 2D inversion of the seismic data is undertaken using the open-source Python based
 332 software *pyGIMLi* (Rücker et al., 2017). This software allows the inclusion of an error model derived
 333 from the absolute and relative errors across the entire time-lapse dataset, obtained by determining the
 334 slope and intercept of a linear best-fit line of absolute errors plotted against mean reciprocal travel-time
 335 (both in milliseconds). A mesh-generation module in *pyGIMLi* produces unique meshes for each time-
 336 step inversion, derived from the RTK-GNSS measurements (see section 3.2). The production of unique
 337 meshes for each time-step increased intra-survey accuracy, but presents issues for later time-series
 338 analysis; in an ideal monitoring campaign, the inversion meshes for each of the survey time-steps would
 339 be identical, allowing for comparison of inverted velocity models on a cell-by-cell basis. However,
 340 given the overriding importance of capturing the differences in receiver positions and topography
 341 between time-steps, the use of unique meshes is necessary, and this issue is addressed after the final
 342 data inversion.

343 For this study, a two-stage ‘reference model’ inversion approach is used to constrain the inversion and
 344 minimize differences between time-steps (Figure 3). In the first stage, stand-alone inversions of all of
 345 the individual time-steps are undertaken, using a variety of constraints for the starting model, including
 346 depth, velocity gradient and smoothing factor (Table 1). RMS and chi-squared (χ^2) values are calculated

347 for each inverted model. The ‘best-fit’ model is assessed by looking at the divergence of χ^2 from a
 348 ‘perfect-fit’ model, in which $\chi^2 = 1$. The model with the lowest absolute divergence (i.e., closest to $\chi^2 =$
 349 1) is designated as the ‘reference model’ for the second inversion stage. Details of the values of χ^2 and
 350 diversion from χ^2 for each inversion are shown in (see Table 2, in Appendix).

351 In the second stage of the inversion process, the inversion of the entire data set is then repeated, but this
 352 time using the ‘reference model’ from stage one as the starting model, and keeping all other applicable
 353 starting model parameters the same as those used in the first stage. Using this method gives all time-
 354 steps a realistic and common starting model that is appropriately constrained and represents the local
 355 subsurface seismic properties.

Inversion settings							
Inversion parameter	Depth of mesh	Minimum velocity at surface	Maximum velocity at base	Smoothing factor (lambda)	Maximum travel time	Absolute data error	Relative data error
P-wave inversion input value	40m	300m/s	3000m/s	25	86ms	0.0242ms	0.02%
S-wave inversion input value	40m	100	1500	25	178ms	0.0194ms	0.006%

356 *Table 1: The inversion parameters used for both stages of the inversion process, for both the V_p and V_s surveys.*

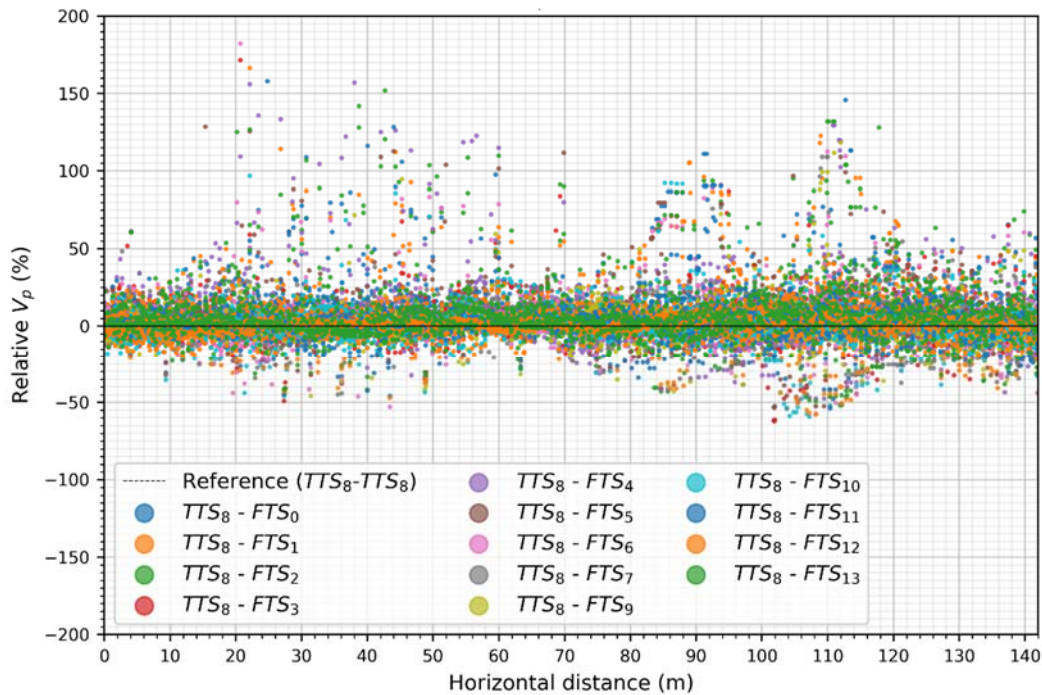
357 As a result of incorporating unique topography for each time-step, each time-step has a different mesh.
 358 To allow for consistent analysis of inverted velocity models between time-steps, the data are re-sampled
 359 and interpolated to a regular, refined, triangular mesh (constructed using the same *pyGIMLi* module),
 360 effectively creating a spatially-identical time-series on a consistent mesh (Figure 7a). We use a mesh
 361 generated with the most recent topography in the monitoring campaign, in order to better reflect an up
 362 to date state of the system. One consequence of this approach is that some cells from earlier surveys, in
 363 which the surface positions may now have slipped downslope are not sampled to the resampling mesh.
 364 To mitigate against this effect, we use a refined cell size that is smaller than the original cells used for
 365 the inversion, purposefully oversampling the inverted data in order to discretize the subsurface, and
 366 capture variations in the very near-surface. This enables a range of analyses of the time-lapse dataset
 367 (see Figure 3; Data analysis and integration).

368 4. Topographic induced variations in seismic velocity

369 In section 3.2, we emphasise the importance of accurately capturing the intra-survey topography by
 370 using 2D line-of-sight distances from 3D GNSS surveys, and using topography data acquired for each
 371 individual survey in the monitoring campaign. This short section serves to demonstrate how failing to
 372 accurately capture variations in topography can have a significant impact on final inverted V_p and V_s
 373 measurements.

374 To demonstrate the effect of temporal topographic variation on seismic velocity, the first 14 V_p datasets
 375 ($D_0:D_{13}$) and accompanying topographic surveys ($T_0:T_{13}$) are processed according to the workflow in
 376 Figure 3, and the text in Sections 3.1 to 3.3. A P-wave travel-time dataset from midway through the

378 monitoring campaign, January 2018 (D_8), is processed and inverted using the surveyed topography (T_8)
 379 to produce a ‘true’ time-step dataset (TTS_8) comprising 2128 subsurface V_p data points. The same
 380 seismic dataset (D_8) is then processed using the remaining topographic data ($T_0:T_7, T_9:T_{13}$), resulting in
 381 13 SRT time-steps with ‘false’ topography ($FTS_0:FTS_7, FTS_9:FTS_{13}$). The variations present in these
 382 ‘false’ time-steps represent the effect that real-world variations in topography across the monitoring
 383 period have on seismic velocity. By normalising all of the time-step data to TTS_8 , the results from
 384 January 2018 become a baseline against which variations in seismic velocity arising from subtle, but
 385 realistic changes in landslide topography are assessed. The result of this analysis is shown in Figure 6.
 386 They indicate that topographic variations can have a large impact on the resulting V_p , with 23% of the
 387 total data showing velocities greater than $\pm 10\%$ of the true maximum recorded velocity. This has
 388 significant implications when trying to identify variations arising from genuine subsurface elastic
 389 property changes caused by environmental factors, as these variations can be very subtle (see Section
 390 5).



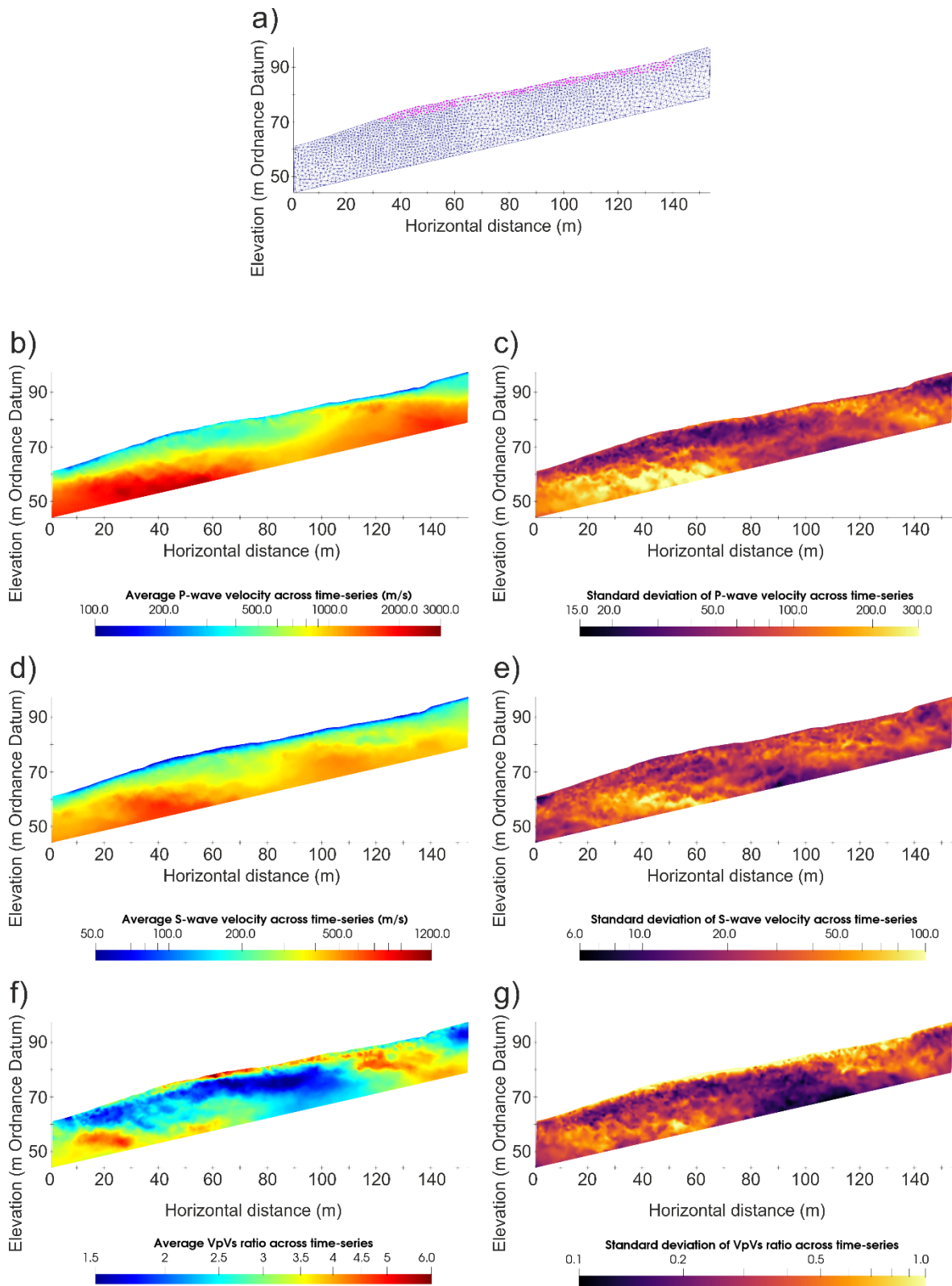
391

392 *Figure 6: Relative changes in V_p caused by subtle, real-world changes in topography. The solid black line at $y=0$ represents*
 393 *a normalised baseline ($TTS_8 - TTS_8$). The same seismic dataset (D_8) has been processed using the other time-step topographic*
 394 *data; any variations in V_p are therefore a product of these subtle topographic changes between surveys.*

395 5. Data analysis and results

396 One approach to analysing time-series SRT data is to look at how the seismic attributes of discrete
 397 seismic units respond to changing environmental conditions. The prevalent subsurface lithological
 398 discontinuities (i.e., those that are stable in time) are highlighted by plotting the mean values of the
 399 individual cells across the 33 month monitoring period (Figure 7). These plots are displayed using the

400 most recent topography in the time-series. The individual cross-sections highlight significant subsurface
 401 features, including changes in lithology at depth, and different domains



402

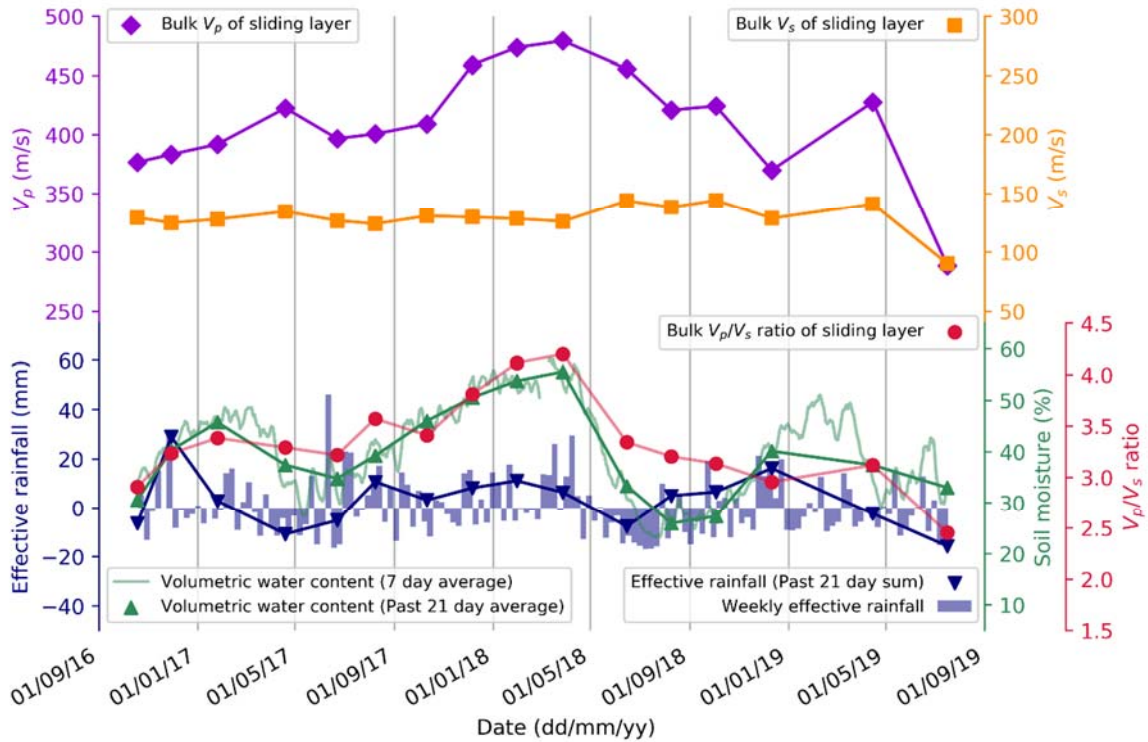
403 *Figure 7: a) The regular mesh used to sample all of the individual time-steps to create spatially comparable datasets for the*
 404 *time-series. The cells highlighted purple in the surface sliding have been used for the analysis in Figure 8. b) Cross-section*

405 showing average V_p across the time-series. The value shown in each cell (see Figure 7a) is the mean velocity value from the
406 entire 26 month monitoring period. c) Cross-section showing average V_s across the time-series. The value shown in each cell
407 (see Figure 7a) is the mean velocity value from the entire 26 month monitoring period. d) Cross-section showing average V_p
408 / V_s across the time-series. The value shown in each cell (see Figure 7a) is the mean ratio value from the entire 26 month
409 monitoring period.

410 of movement in the near surface. Plots showing the standard deviation of these mean values (Figure 7)
411 indicate the areas of the landslide that show greatest velocity variation across the monitoring period.

412 Here we concentrate on the sliding layer at the HHLO (extending from the surface to 2 – 4m depth),
413 which is easily identified by the low V_p and V_s at the surface of the cross-sections. This extends from
414 beneath the break in slope at the bottom of the back scarp (~15m horizontal distance), to the base of the
415 flow lobes (125m horizontal distance). At HHLO this surface sliding layer is monitored by several
416 subsurface and surface environmental sensors, recording rainfall and changes in moisture content,
417 allowing direct comparison with inverted cross sections. By selecting grid cells within this layer, it is
418 possible to calculate the change in velocity over time. In our case, the surface layer comprises grid cells
419 from both the V_p and V_s time-series datasets (Figure 7b and Figure 7d), the positions of which are fixed
420 through the use of a common fixed mesh. Figure 8 shows the time-series V_p and V_s inverted values
421 extracted from this surface layer, alongside calculated effective rainfall, soil moisture data from a
422 cosmic-ray sensor measuring shallow (~0.1m bgl) moisture content across the site. V_p increases and
423 decreases in relation to soil moisture, but with a time lag. The lag effect is caused by the difference of
424 the sampling depth of the moisture sensor (<0.1m bgl) and the depth of the V_p readings (2 – 4m bgl);
425 the moisture content of the HHLO near-surface changes more quickly in relation to net infiltration and
426 evapotranspiration rates (shown by the hourly soil moisture, faint green line) than the top 2 – 4m of the
427 landslide, which will be less subject to evapotranspiration processes at depth. It is also worth noting
428 that inverted velocities will be smoothed values from the true velocities, due to the spatial and temporal
429 smoothness constraints used.

430 Furthermore, the calculated V_p/V_s ratio (Figure 7f), which is an indicator of material saturation (Uyanık,
431 2011), better reflects changes in moisture content. Crucially, the minimum V_p (350 m/s) in the time-
432 series is 24% less than the maximum V_p (462 m/s). Given that topographic effects alone can cause
433 variations in V_p $>\pm 10\%$, the changes in seismic velocity over time could easily be masked if the data
434 are not processed correctly. This demonstrates the necessity for including accurate topography in long-
435 term SRT monitoring campaigns in landslide settings.



436

437 *Figure 8: The top panel shows variation in bulk V_p and V_s readings from the sliding layer at the HHLO (see Figure 7 for*
 438 *location of this layer). The shaded areas are the 1st and 3rd quartiles of the range in V_p and V_s . The V_p/V_s ratio, derived from*
 439 *the bulk V_p and V_s readings is shown. In the bottom panel, weekly effective rainfall, showing periods of net*
 440 *infiltration/evapotranspiration at the HHLO, and soil moisture from a surface sensor measuring to <0.1m bgl. The variation*
 441 *in V_p broadly follows the increases and decreases in moisture content, while V_s shows little variation. The derived V_p/V_s ratio*
 442 *shows greatest sensitivity to the moisture content of the surface sliding layer at HHLO.*

443 6. Conclusions

444 SRT is rarely used for the long-term assessment of landslides prone to hydrological destabilization, but
 445 has much potential for high-resolution spatial monitoring. Landslide monitoring campaigns using SRT
 446 can determine seismic attributes of slipped materials, which provides information on elastic property
 447 changes due to temporal variations in moisture content. However, failing to give due attention to the
 448 possible sources of error in SRT surveys can lead to artefacts in the time-lapse data, which can easily
 449 mask changes arising from genuine variations in the elastic properties of landslide materials, including
 450 the underlying rock. We have shown how velocities in the near-surface soil layers are sensitive to
 451 variations in moisture content, but we also provide a workflow for addressing the errors associated with
 452 SRT.

453 Standard approaches to quality assessing and processing SRT data aid in minimizing intra-survey error.
 454 The use of emerging methods to increase picking accuracy, such as automatic detection algorithms,
 455 machine learning and statistical approaches will also decrease the errors introduced in to the creation of
 456 time-lapse data from standalone surveys. For the dataset considered here, data from each survey were

457 processed using reciprocal error analysis to ensure $e < 5\%$ of travel-time for all datasets. However, in the
 458 case of producing time-lapse data from these individual datasets, we underscore the importance of using
 459 detailed, unique topography data for processing each time-step. This crucial step could easily be
 460 overlooked by inaccurate assumptions regarding field setup, receiver spacing landslide surface
 461 movement between surveys, even by experienced SRT operators.

462 For the dataset considered here, changes in topography lead to $> \pm 10\%$ variations in apparent seismic
 463 velocities in 23% of the data for the unconsolidated near-surface. Our data exhibits a 24% difference
 464 between the fastest and slowest V_p observed in this layer, underscoring the need to properly account for
 465 topography effects. To avoid the errors associated with changes in topography, accurate source-receiver
 466 positions are important when processing SRT monitoring data. Several other steps, including the
 467 repositioning of receivers in the field, the use of data quality indicators (such as travel-time reciprocity)
 468 and robust reference models for inversion further reduces these errors. If these potential sources of error
 469 are managed correctly, SRT presents a useful tool for the identification of heterogeneous subsurface
 470 conditions and their changing properties over time in active landslide settings.

471 **Acknowledgements**

472 The authors would like to acknowledge Florian Wagner for advice on the *pyGIMLi* API. We would also
 473 like to acknowledge members, students and visiting scholars of the BGS' Geophysical Tomography
 474 team for their input and support. This work was funded by a NERC GW4+ UK Doctoral Training
 475 Partnership Studentship (Grant NE/L002434/1) and in part by the BGS University Funding Initiative
 476 (S337), which are gratefully acknowledged. Jim Whiteley and Jonathan Chambers publish with the
 477 permission of the Executive Director, British Geological Survey (UKRI-NERC).

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547 **Appendix**
548
549 See below.

P-wave inversions																	
Stage one inversion results																	
Time-step	-	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
χ^2	-	0.982	1.495	1.952	1.345	1.478	1.138	1.434	1.358	1.848	1.573	1.288	1.316	1.258	0.982	1.157	0.992
RMS	-	2.970	3.788	4.971	4.310	4.143	4.412	4.721	5.244	5.713	5.431	4.010	3.965	3.727	3.404	4.157	3.492
χ^2 divergence		0.018	0.495	0.952	0.345	0.478	0.138	0.434	0.358	0.848	0.573	0.288	0.316	0.258	0.018	0.157	0.008
Stage two inversion results																	
Time-step	Reference (best fit model from stage one)	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
χ^2	0.992	0.984	1.516	1.904	1.486	1.593	1.440	1.733	1.445	2.238	1.893	1.442	1.351	1.357	1.161	1.330	0.927
RMS	3.492	3.157	3.935	4.860	4.466	4.069	5.044	4.729	5.202	5.693	5.515	4.314	3.966	4.050	3.987	4.148	3.385
χ^2 divergence	0.008	0.016	0.516	0.904	0.486	0.593	0.440	0.733	0.445	1.238	0.893	0.442	0.351	0.357	0.161	0.330	0.073
S-wave inversions																	
Stage one inversion results																	
Time-step	-	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
χ^2	-	1.117	1.416	2.061	1.959	2.654	1.991	2.499	1.563	1.771	3.822	1.614	2.873	2.517	1.910	2.179	2.100
RMS	-	1.206	1.415	1.764	1.678	2.001	1.685	1.910	1.491	1.704	1.974	1.716	2.068	1.933	1.629	1.770	1.750
χ^2 divergence		0.117	0.416	1.061	0.959	1.654	0.991	1.499	0.563	0.771	2.822	0.614	1.873	1.517	0.910	1.179	1.100
Stage two inversion results																	
Time-step	Reference (best fit model from stage one)	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
χ^2	1.117	0.990	1.520	2.082	1.988	2.629	1.912	2.243	1.713	1.681	1.728	1.812	2.861	2.533	1.913	2.047	2.039
RMS	1.206	1.124	1.479	1.657	1.745	2.018	1.532	1.820	1.731	1.724	1.716	2.349	2.106	2.074	1.612	1.889	1.675
χ^2 divergence	0.117	0.010	0.520	1.082	0.988	1.629	0.912	1.243	0.713	0.681	0.728	0.812	1.861	1.533	0.913	1.047	1.039

550 Table 2: The results of the two-stage inversion process for both the V_p and V_s surveys. In stage one, data are inverted using the parameters in Table 1. The 'best' result is then assessed by looking
 551 at divergence from a perfect model fit (i.e., a normalised χ^2 value, called χ^2 divergence). In both the V_p and V_s inversions, the first survey (time-step 0) showed the best model fit, and was used
 552 for subsequent inversion. In stage two, this best-fit 'reference model' is used as the starting model, and all data are re-inverted against this, providing a real-world starting model for the time-
 553 series.