Yang-Baxter maps and integrable dynamics

July 5, 2002

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Abstract The hierarchy of commuting maps related to a set-theoretical solution of the quantum Yang-Baxter equation (Yang-Baxter map) is introduced. They can be considered as dynamical analogues of the monodromy and/or transfer-matrices. The general scheme of producing Yang-Baxter maps based on matrix factorisation is discussed in the context of the integrability problem for the corresponding dynamical systems. Some examples of birational Yang-Baxter maps coming from the theory of the periodic dressing chain and matrix KdV equation are discussed.

Introduction

In 1990 V.G. Drinfeld [1] suggested to study the set-theoretical solutions to the quantum Yang-Baxter equation. A. Weinstein and P. Xu [2] developed an approach to this problem based on the theory of Poisson Lie groups and symplectic groupoids. P. Etingof, T. Schedler and A. Soloviev [3] (partly inspired by Hietarinta's work [4]) made an extensive study of such solutions and the related algebraic and geometric structures (see also V.M. Buchstaber's paper [5]). Some interesting examples of such solutions have appeared in representation theory in relation with geometric crystals [6].

While algebraic side of this problem has been fairly well understood its dynamical aspects seem to be not appreciated yet.

In this note we discuss the dynamical analogues of the monodromy and transfer-matrices which play the crucial role in the theory of solvable models in statistical mechanics and quantum inverse scattering method [7, 8, 9], where the quantum Yang-Baxter equation has its origin.

The main property of the monodromy maps we introduce (which they share with the transfer-matrices) is the pairwise commutativity. If the maps are polynomial or rational the commutativity relation is quite strong and sometimes implies the solvability of the corresponding dynamical system (see [10] for the discussion of the known results in this direction). Unfortunately there are no general theorems known, so the question is whether this is true or not for the monodromy dynamics related to rational Yang-Baxter maps is open.

I am going to present some arguments in favour of the positive answer to this question. We will consider two classes of the set-theoretical solutions to the quantum Yang-Baxter equation coming from the theory of integrable systems.

The first one is related to matrix factorisations and QR-type of procedure. The simplest example here is the Adler's map describing the symmetries of the periodic dressing chain considered by A.B. Shabat and the author [11, 12].

The second class is given by the interaction of solitons with the non-trivial internal parameters (e.g. matrix solitons). It is a well-known phenomenon in soliton theory (see e.g. [13], [14]) that the interaction of n solitons is completely determined by their pairwise interactions (so there are no multiparticle effects). The fact that the final result is independent of the order of collisions means that the map determining the interaction of two solitons satisfies the Yang-Baxter relation. We present an explicit form of this map for the matrix KdV equation using the formulas from [15].

Monodromy maps for the set-theoretical solutions of the quantum Yang-Baxter equation

The original quantum Yang-Baxter equation is the following relation on a linear operator $R: V \otimes V \to V \otimes V$:

$$R_{12}R_{13}R_{23} = R_{23}R_{13}R_{12}, (1)$$

where R_{ij} is acting in *i*-th and *j*-th components of the tensor product $V \otimes V \otimes V$ (see e.g. [9]).

Following Drinfeld's suggestion [1] let us consider the following set-theoretical version of this equation.

Let X be any set, $R : X \times X \to X \times X$ be a map from its square into itself. Let $R_{ij} : X^n \to X^n$, $X^n = X \times X \times \dots \times X$ be the maps which acts as R on *i*-th and *j*-th factors and identically on the others. More precisely, if $R(x,y) = (f(x,y), g(x,y)), x, y \in X$ then $R_{ij}(x_1, x_2, \dots, x_n) =$ $(x_1, x_2, \dots, x_{i-1}, f(x_i, x_j), x_{i+1}, \dots, x_{j-1}, g(x_i, x_j), x_{j+1}, \dots, x_n)$ for i < j and $(x_1, x_2, \dots, x_{j-1}, g(x_i, x_j), x_{j+1}, \dots, x_{i-1}, f(x_i, x_j), x_{i+1}, \dots, x_n)$ otherwise. In particular for $n = 2 R_{21}(x, y) = (g(y, x), f(y, x))$. If $P : X^2 \to X^2$ is the permutation of x and y: P(x, y) = (y, x), then obviously we have

$$R_{21} = PRP.$$

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Definition. We will call *R* Yang-Baxter map if it satisfies the Yang-Baxter relation (1) considered as the equality of the maps of $X \times X \times X$ into itself. If additionally *R* satisfies the relation

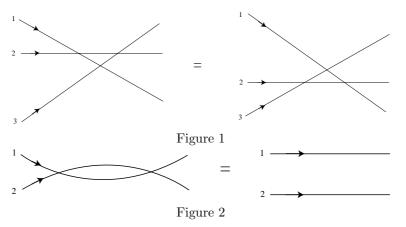
$$R_{21}R = Id, (2)$$

we will call it reversible Yang-Baxter map.

The condition (2) is usually called the *unitarity* condition, but in our case the term *reversibility* is more appropriate since in dynamical systems terminology this condition means that the map R is reversible with respect to the permutation P.

Remark. If we consider the linear space $V = \mathbf{C}^X$ spanned by the set X, then any Yang-Baxter map R induces a linear operator in $V \otimes V$ which satisfies the quantum Yang-Baxter equation in the usual sense. Therefore we indeed have a (very special) class of solutions to this equation. If X is a finite set and R is a bijection then we have permutation-type solutions discussed in [4]. However this point of view on the Yang-Baxter maps seems to be artificial because it does not reflect the nature of the maps (like birationality). That's why I prefer the term "Yang-Baxter map" rather than "set-theoretical solution to the quantum Yang-Baxter equation" (which also has a disadvantage of being too long). ¹

We can represent the relations (1, 2) in the standard diagrammatic way as follows:



One can introduce also a more general parameter-dependent Yang-Baxter equation as the relation

$$R_{12}(\lambda_1, \lambda_2)R_{13}(\lambda_1, \lambda_3)R_{23}(\lambda_2, \lambda_3) = R_{23}(\lambda_2, \lambda_3)R_{13}(\lambda_1, \lambda_3)R_{12}(\lambda_1, \lambda_2)$$
(3)

and the corresponding unitarity (reversibility) condition as

$$R_{21}(\mu,\lambda)R(\lambda,\mu) = Id.$$
(4)

¹P. Etingof [6] suggested (in birational situation) the alternative term "rational settheoretical R-matrix" which also is not ideal: it does not make clear that we are talking about maps.

Although it can be reduced to the usual case by considering $\tilde{X} = X \times \mathbf{C}$ and $\tilde{R}(x,\lambda;y,\mu) = R(\lambda,\mu)(x,y)$ sometimes it is useful to keep the parameter separately (see the examples below).

In the theory of the quantum Yang-Baxter equation the following *transfer-matrices* $t^{(n)}: V^{\otimes n} \to V^{\otimes n}$ play a fundamental role. They are defined as the trace of the monodromy matrix

$$t^{(n)} = tr_{V_0} R_{0n} R_{0n-1} \dots R_{01}$$

with respect to the additional space V_0 . If the solution of the quantum Yang-Baxter equation depends on an additional spectral parameter λ then the corresponding transfer-matrices commute:

$$t^{(n)}(\lambda)t^{(n)}(\mu) = t^{(n)}(\mu)t^{(n)}(\lambda),$$

which is the crucial fact in that theory (see [7, 8, 9]).

In the general set-theoretical case we have a problem with the trace operation, so it seems that we do not have a direct analogue of the transfer-matrices. Our main idea is to replace them by the following maps, which combine the properties of both monodromy and transfer-matrices. ²

Let us define the monodromy maps $T_i^{(n)}$, i = 1, ..., n as the maps of X^n into itself by the following formulas:

$$T_i^{(n)} = R_{ii+n-1}R_{ii+n-2}\dots R_{ii+1},$$
(5)

where the indeces are considered modulo n with the agreement that we are using n rather than 0. In particular $T_1^{(n)} = R_{1n}R_{1n-1}\ldots R_{12}$. **Theorem 1.** For any reversible Yang-Baxter map R the monodromy maps

Theorem 1. For any reversible Yang-Baxter map R the monodromy maps $T_i^{(n)}$, i = 1, ..., n commute with each other:

$$T_i^{(n)}T_j^{(n)} = T_j^{(n)}T_i^{(n)}$$
(6)

and satisfy the property

$$T_1^{(n)}T_2^{(n)}\dots T_n^{(n)} = Id.$$
(7)

Conversely, suppose that the maps $T_i^{(n)}$ determined by the formula (5) commute and satisfy the relation (7) for any $n \ge 2$ then R is a reversible Yang-Baxter map.

Proof of the first part is very similar to the proof of the commutativity of the transfer-matrices and follows from the consideration of the corresponding diagrams representing the products $T_i^{(n)}T_j^{(n)}$ and $T_j^{(n)}T_i^{(n)}$ respectively (cf [9]):

 $^{^{2}}$ As P.P. Kulish explained to me at NEEDS conference (Cadiz, June 2002) the corresponding operators (sometimes called as Yang's operators) are well-known to the experts in the theory of Bethe ansatz. They first appeared in the C.N.Yang's paper [17] and play an essential role in Frenkel - Reshetikhin construction of the q-KZ equation [18] and in Fomin - Kirillov approach to the theory of Schubert polynomials and related symmetric functions [19]. The best discussion of these operators (with explanation of their relations to transfer-matrices) I have found in M. Gaudin's book [20] (see especially Chapter 10, sections 2 and 3).

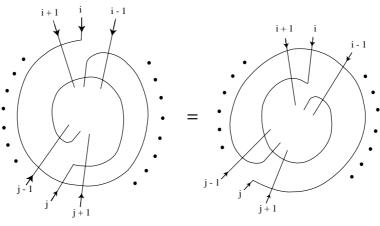
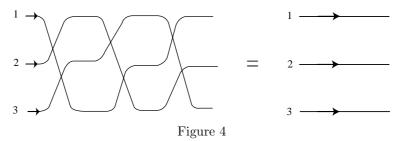


Figure 3

One can easily check that the second diagram is a result of the several operations presented above on figures 1 and 2 applied to the first diagram. The second identity also follows from similar consideration (see fig. 4 below where the case n = 3 is presented).



To prove the second part it is actually enough to consider only the cases n = 2 and n = 3. When n = 2 we have two maps $T_1^{(2)} = R$ and $T_2^{(2)} = R_{21}$, so the relation (7) becomes simply the unitarity (reversibility) condition (2). The commutativity condition is trivial in this case. For n = 3 then we have three transfer-maps: $T_1^{(3)} = R_{13}R_{12}, T_2^{(3)} = R_{21}R_{23}, T_3^{(3)} = R_{32}R_{31}$. The product $T_1^{(3)}T_2^{(3)}T_3^{(3)} = R_{13}R_{12}R_{21}R_{23}R_{32}R_{31}$ is obviously an identity because $R_{12}R_{21} = R_{23}R_{32} = R_{13}R_{31} = Id$. Commutativity $T_1^{(3)}T_2^{(3)} = T_2^{(3)}T_1^{(3)}$ means that $R_{13}R_{12}R_{21}R_{23} = R_{21}R_{23}R_{13}R_{12}$ which is equivalent to Yang-Baxter equation (1) modulo the unitarity relation which was already shown. This proves the theorem.

The properties of the monodromy maps can also be explained in terms of the corresponding extended affine Weyl group $\tilde{A}_{n-1}^{(1)}$. Indeed it is well-known that the maps

$$S_i = P_{ii+1}R_{ii+1},$$

where P_{ij} is the permutation of *i*-th and *j*-th factors in X^n , satisfy the relations

$$S_i S_{i+1} S_i = S_{i+1} S_i S_{i+1}, \quad i = 1, \dots, n$$
(8)

$$S_i^2 = Id \tag{9}$$

which are the defining relations of the affine Weyl group $A_{n-1}^{(1)}$. One can check that the monodromy maps $T_i^{(n)}$ correspond to the translations in the extended affine Weyl group $\tilde{A}_{n-1}^{(1)}$ (which is the normalisator of $A_{n-1}^{(1)}$) generated by S_1, \ldots, S_n and the cyclic permutation $\omega = P_{1n}P_{1n-1}\ldots P_{12}, \quad \omega^n = Id$ (see [21]).

Remark. If we consider only the set of S_i with i = 1, ..., n-1 (without S_n) then they generate the (finite) permutation group \mathbf{S}_n . In that case as it was shown by Etingof et al [3] the action corresponding to any (non-degenerate) Yang-Baxter map is equivalent to the usual action of \mathbf{S}_n by permutations (and thus trivial from dynamical point of view). It is very important that in our case the monodromy maps generate the actions of an infinite abelian group \mathbf{Z}^{n-1} so the question of whether two such actions are equivalent or not is a non-trivial problem of dynamical systems theory.

In the next two sections we present some examples of the Yang-Baxter maps and explain how they appear in the theory of integrable systems. In all the examples we present the Yang-Baxter maps are birational and depend on the spectral parameters, so we consider the relations (3, 4) rather then (1, 2).

Yang-Baxter maps and matrix factorisations

Let $A(x, \zeta)$ be a family of matrices depending on the point $x \in X$ and a "spectral" parameter $\zeta \in \mathbf{C}$. One should think of X being an algebraic variety and A depending polynomially/rationally on ζ although the procedure works always when the factorisation problems appeared are uniquely solvable. For matrix polynomials usually this is the case once the factorisation of the corresponding determinant is fixed (see [22]).

The following procedure is a version of standard QR-algorithm in linear algebra and known to be very useful in discrete integrable systems [16].

Consider the product $L = A(x, \zeta)A(y, \zeta)$, then change the order of the factors $L \to \tilde{L} = A(y, \zeta)A(x, \zeta)$ and refactorise it again: $\tilde{L} = A(\tilde{x}, \zeta)A(\tilde{y}, \zeta)$. Now the map R is defined by the formula

$$R(x,y) = (\tilde{x}, \tilde{y}). \tag{10}$$

The first claim is that this map satisfies the relations (1, 2).³ Indeed if we consider the product $A(x_3)A(x_2)A(x_1)$ (we omit here the ζ for shortness) then applying the left hand side of (1) to this product we have $A(x_3)A(x_2)A(x_1) =$

and

³I was not able to find out to whom this simple but important observation should be prescribed to. It probably goes back to A.B. Zamolodchikov's works in the late 70-th on factorised S-matrices and related algebras. I would like to mention in this relation also the papers [23], [24] and the recent preprint [25]. The first concrete example I know which came from this construction is the Adler's map considered below.

 $\begin{array}{l} A(x_3^{(1)})A(x_1^{(1)})A(x_2^{(1)}) = A(x_1^{(2)})A(x_3^{(2)})A(x_2^{(2)}) = A(x_1^{(3)})A(x_2^{(3)})A(x_3^{(3)}). \mbox{ Similarly the right hand side corresponds to the relations } A(x_3)A(x_2)A(x_1) = \\ A(\tilde{x}_2^{(1)})A(\tilde{x}_3^{(1)})A(\tilde{x}_1^{(1)}) = A(\tilde{x}_2^{(2)})A(\tilde{x}_1^{(2)})A(\tilde{x}_3^{(2)}) = A(\tilde{x}_1^{(3)})A(\tilde{x}_2^{(3)})A(\tilde{x}_3^{(3)}). \mbox{ Because of the uniqueness of the factorisation we have } x_i^{(3)} = \tilde{x}_i^{(3)}, \mbox{ which is the Yang-Baxter relation.} \end{array}$

The unitarity relation (2) is obvious.

Let us consider now the corresponding monodromy maps $T_i^{(n)}$. We claim that they have many integrals. Indeed let us introduce the monodromy matrix

$$M = A(x_n, \zeta)A(x_{n-1}, \zeta)\dots A(x_1, \zeta).$$

Theorem 2. The monodromy maps $T_i^{(n)}$, i = 1, ..., n related to the Yang-Baxter map (10) preserve the spectrum of the corresponding monodromy matrix $M(x_1, ..., x_n; \zeta)$ for all ζ .

The proof is simple. For the map $T_1^{(n)} = R_{1n}R_{1n-1}\ldots R_{12}$ one can easily see that $A(x_n,\zeta)A(x_{n-1},\zeta)\ldots A(x_1,\zeta) = A(\tilde{x}_1,\zeta)A(\tilde{x}_n,\zeta)A(\tilde{x}_{n-1},\zeta)\ldots A(\tilde{x}_2,\zeta)$, where $(\tilde{x}_1,\ldots,\tilde{x}_n) = T_1^{(n)}(x_1,\ldots,x_n)$. Now the claim follows from the well-known fact that the spectrum of the product of the matrices is invariant under the cyclic permutation of the factors, so

$$SpecM(x_1,\ldots,x_n;\zeta) = SpecM(\tilde{x}_1,\ldots,\tilde{x}_n;\zeta).$$

To prove the same for $T_i^{(n)}$ one should consider the matrix $A(x_{n+i-1}, \zeta) \dots A(x_i, \zeta)$ which obviously has the same spectrum as M and use the same arguments.

Corollary. The coefficients of the characteristic polynomial $\xi = \det(M - \lambda I)$ are the integrals of the commuting monodromy maps $T_i^{(n)}$.

If the dependence of A (and therefore M) on λ is polynomial then the dynamics can be linearised on the Jacobi varieties of the corresonding spectral curves $\xi(\zeta, \lambda) = 0$ (see [16]). If these maps are also symplectic (which surprisingly happens quite often) then one can use also the discrete version of the Liouville theorem [10] to claim their integrability.

Remark. Although the procedure is very similar to the one proposed in [16] for the discrete Lagrangian systems there is one important difference: in the construction of Yang-Baxter maps the factors A should depend only on one of the variables, while in general scheme they usually depend both on x and y (see [16]).

Example: Symmetries of the periodic dressing chain [11, 12]. Here $X = \mathbf{C} \times \mathbf{C}$ and the matrix $A(x), x = (f, \beta)$ has the form:

$$\left(\begin{array}{cc}f&1\\f^2+\beta-\zeta&f\end{array}\right)$$

One can check that the factorisation procedure described above leads in this case to the following birational map $R: (f_1, \beta_1; f_2, \beta_2) \to (\tilde{f}_1, \beta_1; \tilde{f}_2, \beta_2):$

$$\tilde{f}_1 = f_2 - \frac{\beta_1 - \beta_2}{f_1 + f_2} \qquad \tilde{f}_2 = f_1 - \frac{\beta_2 - \beta_1}{f_1 + f_2}.$$
(11)

This map (modulo additional permutation) first appeared in Adler's paper [12] as a symmetry of the periodic dressing chain [11] and later was discussed in more details by Noumi and Yamada [26] (without reference to Adler's paper [12] which they seem to be not aware of).

The fact that this map satisfies the Yang-Baxter equation and unitarity condition (3, 4) follows from Adler's results (and of course, from the general claim above). The monodromy maps in this example are symplectic and integrable in the sense of discrete Liouville theorem [10] (see [12]).

V. Adler discovered also a remarkable geometric representation of these maps (recutting of polygons). It is interesting that the monodromy maps correspond to the cyclic recutting procedure, which was of special interest for him (see [12]).

Interaction of solitons as a Yang-Baxter map.

There is another natural source of the Yang-Baxter maps in the theory of integrable systems: soliton interaction.

Consider any integrable by the inverse scattering method PDE in 1+1 dimensions which has multisoliton solutions. Suppose that each soliton has a non-trivial internal degrees of freedom described by the set X (which is usually a manifold). Then the standard arguments in soliton theory based on the existense of the commuting flows shows that the result of interaction of three solitons must be independent of the order of collisions (see [14], [15]) and thus the corresponding map R is a set-theoretical solution to the quantum Yang-Baxter equation.

A good example is the matrix KdV equation:

$$U_t + 3UU_x + 3U_xU + U_{xxx} = 0,$$

U is $d \times d$ matrix.

It is easy to check that it has the soliton solution of the form

$$U = 2\lambda^2 P \operatorname{sech}^2(\lambda x - 4\lambda^3 t),$$

where P must be a projector: $P^2 = P$. If we assume that P has rank 1 than P should have the form $P = \frac{\xi \otimes \eta}{(\xi, \eta)}$. Here ξ is a vector in a vector space V of dimension d, η is a vector from the dual space V^* (covector) and bracket (ξ, η) means the canonical pairing between V and V^* .

The change of the matrix amplitudes P ("polarisations") of two solitons with the velocities λ_1 and λ_2 after their interaction is described by the following map [15]:

$$R(\lambda_1, \lambda_2) : (\xi_1, \eta_1; \xi_2, \eta_2) \to (\tilde{\xi}_1, \tilde{\eta}_1; \tilde{\xi}_2, \eta_2)$$

$$\tilde{\xi}_1 = \xi_1 + \frac{2\lambda_2(\xi_1, \eta_2)}{(\lambda_1 - \lambda_2)(\xi_2, \eta_2)} \xi_2, \qquad \tilde{\eta}_1 = \eta_1 + \frac{2\lambda_2(\xi_2, \eta_1)}{(\lambda_1 - \lambda_2)(\xi_2, \eta_2)} \eta_2, \qquad (12)$$

$$\tilde{\xi}_{2} = \xi_{2} + \frac{2\lambda_{1}(\xi_{2},\eta_{1})}{(\lambda_{2} - \lambda_{1})(\xi_{1},\eta_{1})}\xi_{1}, \qquad \tilde{\eta}_{2} = \eta_{2} + \frac{2\lambda_{1}(\xi_{1},\eta_{2})}{(\lambda_{2} - \lambda_{1})(\xi_{1},\eta_{1})}\eta_{1}.$$
 (13)

In this example X is the set of projectors P of rank 1 which is the variety $\mathbf{CP}^{d-1} \times \mathbf{CP}^{d-1}$. Although the integrability of the corresponding monodromy maps should be somehow a corollary of the integrability of the initial PDE the precise meaning of this is still to be investigated (see [27] for the latest results in this direction).

I would like only to mention that the interaction map (12),(13) also can be described by the factorisation scheme if one takes the matrices of the form

$$A(P,\lambda;\zeta) = I + \frac{2\lambda}{\zeta - \lambda}P$$

hinted by the inverse scattering problem for the matrix KdV equation.⁴

Discussion

We have shown that with any Yang-Baxter map one can relate the hierarchy of commuting monodromy maps $T_i^{(n)}$, $n = 2, 3, \ldots$ In the case when the maps are symplectic and have enough integrals in involution one can use the discrete Liouville theorem [10] to claim the integrability. If these maps are related to matrix factorisation problems then one can use the Abel map to linearise the dynamics on the jacobians of the spectral curves [16].

But for the general maps there are no natural definitions of integrability. For algebraic maps one can use the symmetry approach based on the existense of the commuting maps (see [10]). In particular, it is known after G. Julia and P. Fatou that if two polynomial maps of **C** into itself $p: z \to p(z)$ and $q: z \to q(z)$ commute and have no common iterations then they must be equivalent either to the powers z^k or to Chebyshev maps $T_k(z)$ (see [10] for the references and further developments in this direction). In both cases the dynamics can be described by explicit formulas.

This means that one should expect some sort of integrability for the dynamics determined by the monodromy maps (at least for the birational Yang-Baxter maps). To make this precise is the main challenge in this area. Probably one should start with the investigation of the Yang-Baxter maps, which are polynomial automorphisms (or, more general birational Cremona maps) of $\mathbf{C}^2 = \mathbf{C} \times \mathbf{C}$.

I would like to mention in this relation the following observation due to V. Lyubashenko: in case when map R is of the form R(x, y) = (p(x), q(y)) the Yang-Baxter relation (1) is equivalent to the commutativity of the maps p and q (the unitarity relation (2) in this case simply means that $q = p^{-1}$). It is interesting that this was one of the examples which stimulated V. Drinfeld to raise the general question about the set-theoretical solutions to the quantum Yang-Baxter equation (see [1]).

 $^{^{4}}$ Yuri Suris suggested a very simple explanation of this form of the matrix A which works for Adler's maps as well. The question how far this observation goes is currently under investigation [28].

Acknowledgements

My initial interest to the Yang-Baxter maps has been originated in the discussions with V.M. Goncharenko of the interaction of matrix solitons. I am grateful to V.G. Drinfeld who introduced me into the history of the problem and to P. Etingof, S. Fomin, V. Papageorgiou, E. Sklyanin, F. Smirnov and M. Sevryuk for useful discussions and comments.

I am grateful also to the organisers and participants of the NEEDS conference in Cadiz (10-15 June 2002) and SIDE-V conference in Giens (21-26 June 2002) and especially to P.P. Kulish, A.B. Shabat and Yu. Suris for stimulating and helpful discussions.

I would like also to thank Helen Sherwood who helped me with preparation of the pictures.

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