

20 **ABSTRACT**

21 Gas plasmas generated at atmospheric pressure and ambient temperatures offer a possible
22 decontamination method for poultry products. The efficacy of cold atmospheric gas plasmas
23 for decontaminating chicken skin and muscle inoculated with *Listeria innocua* was examined.
24 Optimization of operating conditions for maximal bacterial inactivation was first achieved
25 using membrane filters on which *L. innocua* had been deposited. Higher values of AC
26 voltage, excitation frequency and the presence of oxygen in the carrier gas resulted in the
27 greatest inactivation efficiency, and this was confirmed with further studies on chicken
28 muscle and skin. Under optimal conditions, a 10 s treatment gave > 3 log reductions of *L.*
29 *innocua* on membrane filters, an 8 min. treatment gave 1 log reduction on skin, and a 4 min.
30 treatment gave > 3 log reductions on muscle. These results show that the efficacy of gas
31 plasma treatment is greatly affected by surface topography. Scanning electron microscopy
32 (SEM) images of chicken muscle and skin revealed surface features wherein bacteria could
33 effectively be protected from the chemical species generated within the gas plasma. The
34 developments in gas plasma technology necessary for its commercial application to foods are
35 discussed.

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37 **Keywords:** cold atmospheric gas plasma, decontamination, chicken skin, chicken muscle,
38 *Listeria innocua*,

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42 **1. Introduction**

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44 Poultry carcasses are commonly contaminated with enteric pathogens such as *Salmonella*,
45 *Campylobacter* and *Listeria monocytogenes* (Jacobsreitsma et al., 1994; Murphy et al., 2004),
46 the possibility of cross-contamination of poultry carcasses post slaughter is high.
47 Decontamination of poultry carcasses is therefore desirable. Various decontamination
48 technologies have been proposed including the use of various chemical agents such as alkali
49 (Rodriguez De Ledesma et al., 1996), physical methods such as steam treatment (James et al.,
50 2007) and biological control with bacteriophages (Carvalho et al., 2010), but only treatment
51 with water supplemented with chlorine or a chlorinating agent is used commercially. The
52 effects of such decontaminating treatments are limited (Oyarzaball, 2005; Russel and Axtell,
53 2005). There is, therefore, need for an effective technology that can be operated within
54 commercial constraints.

55 The role of gas plasmas in microbial inactivation has been studied since the mid 1990s, but
56 their potential for food decontamination purposes has only recently been recognised. Gas
57 plasmas are usually generated by means of an external electric field; when the voltage applied
58 to a gas exceeds a certain threshold value the gas will become ionized. Gas plasmas comprise
59 mixtures of electrons, ions, atomic species, free radicals and UV photons, all of which have
60 the capability of inactivating micro-organisms (Perni *et al.*, 2007). In order to decontaminate
61 foods without bringing about undesired changes, gas plasmas should ideally be operated at or
62 near room temperature. Until quite recently this could only be achieved under vacuum, which
63 is inconvenient and expensive. Recent advances in plasma source technology allow the
64 generation of plasmas having both spatial and temporal stability at ambient temperatures and
65 atmospheric pressure using relatively simple and inexpensive equipment (Kogelschatz, 2002).
66 Cold atmospheric plasmas have been reported to be very effective against a wide range of
67 microorganisms, including biofilm-formers and bacterial spores (Montie *et al.*, 2000; Deng *et*

68 *al.*, 2005; Vleugels *et al.*, 2005). Although most of the previous work on bacterial inactivation
69 has been conducted with bacteria deposited on the surface of abiotic materials such as
70 membrane filters and glass slides, data on the disinfection of foodstuffs is steadily
71 accumulating. To give examples, Perni *et al.*, (2008b) examined the inactivation of a number
72 of micro-organisms on mango and melon, whilst Kim *et al.*, (2011) reported on the
73 disinfection of bacon.

74 In the present work, the effectiveness of cold atmospheric plasmas for inactivating *Listeria*
75 *innocua*, a non- pathogenic surrogate for *Listeria monocytogenes*, (Perni *et al.*, 2006) on the
76 surface of both membrane filters and chicken breast (skin and muscle) was examined. A range
77 of plasma operating conditions were first investigated in order to arrive at those that were
78 optimum for the inactivation of *L. innocua* deposited on the surface of membrane filters.
79 Those proving to be the most effective conditions were then applied to chicken skin and then
80 further modified for maximum efficacy. Following this, chicken muscle was treated under
81 these optimised conditions.

82

83 **2. Materials and Methods**

84 *2.1 Microorganisms and Cultivation*

85 *Listeria innocua* (ATCC 33090) was stored on cryobeads at -20 °C. Cells were activated by
86 transferring one of these beads into 100 mL Tryptone Soy Broth (TSB; Oxoid Ltd.,
87 Basingstoke, Hants, UK) in 500 mL Erlenmeyer flasks and incubating overnight at 30 °C and
88 140 rpm. This culture was streaked onto Tryptic Soya Agar plates (TSA; Oxoid Ltd.,)
89 incubated for 24 h at 30 °C. Working cultures were kept at 4 °C on TSA slopes, prepared
90 from single colonies of the TSA plates, and subcultured every two weeks.

91 Inocula for deposition on the surfaces of membrane filters and chicken tissue were prepared
92 by transferring single colonies from TSA slopes to 500 mL Erlenmeyer flasks, each
93 containing 100 mL of TSB. After 24 h incubation at 30 °C and 140 rpm, a loopful of cells
94 from these cultures was used to inoculate 100 mL of fresh TSB in 500 mL Erlenmeyer flasks,
95 incubated as before. Cultivation under these conditions yielded cell populations at stationary
96 phase of growth with a concentration of approximately 5.0×10^9 CFU mL⁻¹. This second
97 subculture, diluted to 5.0×10^7 CFU mL⁻¹ was used to surface inoculate membrane filters,
98 chicken skin and muscle. Additionally, the same culture diluted approximately to 5.0×10^8
99 CFU mL⁻¹, was used to load sterile filter papers of 20 mm diameter (No. 1, Whatman, Fisher
100 Scientific, Loughborough, UK) by immersion in the dilute culture for 15 min. These bacteria-
101 laden filters were used to inoculate samples of chicken muscle as described below.

102 *2.2 Preparation of membrane filters*

103 For ease of manipulation, 0.20 µm pore size Whatman polycarbonate membrane filters of 25
104 mm diameter (Fisher Scientific, Loughborough, UK) were placed on agar plates (Technical
105 Agar No. 3, Oxoid Ltd.). Bacterial cell suspension (100 µL) was then carefully deposited
106 onto the centre of the sterile membrane filters, and allowed to dry for 60 min. at room
107 temperature in a laminar flow cabinet. All experiments were conducted in triplicate with three
108 independent cultures.

109 *2.3 Preparation of chicken skin and muscle samples*

110 Fresh, raw, boneless chicken breasts with the skin attached were purchased at a local
111 supermarket and transported immediately to the laboratory, where they were kept in a
112 refrigerator overnight at 4°C before use. The entire skin was removed in one piece from the
113 underlying muscle, using a sterile scalpel and forceps, and placed in Petri dishes. Skin tissue
114 was of two types; rough, relatively thick skin and smoother thinner skin. A slice of

115 approximately 2 mm thickness was cut from the subcutaneous muscle and stored in Petri
116 dishes. Discs of tissue were punched from both muscle and skin pieces using a sterile cork
117 borer of 20 mm diameter. The resulting discs were placed singly in Petri dishes.

118 Bacterial suspension (100 μ L) was deposited onto the centres of the discs of skin and muscle,
119 taking care not to allow the suspension to overflow the edges. After inoculation the tissue
120 samples were kept at room temperature in a laminar flow cabinet for 60 min. to allow
121 attachment. Additionally, bacteria-laden membrane filters (prepared as described above) were
122 pressed lightly onto a dry, sterile filter paper for a few seconds to remove the excess liquid,
123 placed onto prepared chicken samples for 10 min., then carefully removed with forceps. All
124 experiments were conducted in triplicate with three independent cultures.

125 *2.4 Cold atmospheric plasma apparatus*

126 The cold atmospheric plasma system comprised a 1.5 mm inner diameter ceramic tube
127 confining a helium-oxygen flow; a concentric, ring-shaped, 1 cm wide copper electrode
128 wrapped around the ceramic tube and connected to a high-voltage power supply; and a disc
129 electrode (ground electrode) placed 0.5 to 1.5 cm downstream of the nozzle of the ceramic
130 tube (Figure 1). The powered electrode was energized with a purpose-built, high-voltage, AC
131 power supply with a peak voltage of 6.5 to 16 kV, and a variable excitation frequency
132 between 23 and 38.5 kHz. Helium (99.99 % purity) at a fixed flow rate of 5 L min.⁻¹ and
133 oxygen at a flow rate of up to 100 mL min.⁻¹ were fed through the hollow ceramic tube for
134 ionization. The ionized gas was flushed out of the electrode unit and into ambient air toward a
135 point 0.5 to 1.5 cm downstream where the samples were placed connected to the ground
136 electrode. In ambient air, the gas plasma appeared as a pale purple plume, termed a cold
137 atmospheric plasma pen (CAP-Pen).

138 *2.5 Microbial inactivation procedure and cell recovery*

139 The CAP-Pen diameter of 3 mm was much smaller than the bacterial deposition area
140 (approx.1 cm in diameter), therefore plasma treatment was effected by keeping the plasma
141 nozzle fixed and moving the sample beneath it in a zig-zag path by hand so that the entire
142 surface was exposed to the plume. The duration of CAP-Pen treatment varied from 10 s to 8
143 min. These total treatment times were about 10 fold greater than the time that any part of the
144 treated area was exposed to the plasma. Therefore it should be noted the D values quoted are
145 in reality *apparent* D values. Following CAP-Pen treatment, cells were recovered from
146 membrane filters by transferring them to sterile Universal bottles containing 10 mL of
147 Ringers solution (Oxoid Ltd.) and agitating for 30 s with a vortex mixer. Chicken samples
148 were transferred to stomacher bags containing 10 mL of sterile Ringers solution and surviving
149 cells were recovered by treating for 30 s in the Stomacher 400 (Seward Ltd., Thetford,
150 Norfolk, UK) at intermediate speed. Aliquots (100 μ L) of cell suspension or serial dilutions in
151 Ringers solution were spread onto agar plates. Total bacterial counts were determined on TSA
152 plates incubated at 30 °C for 24 h. *L. innocua* was enumerated on PALCAM *Listeria* selective
153 agar base (Oxoid Ltd) , supplemented with PALCAM *Listeria* selective supplement (Oxoid
154 Ltd.). The plates were incubated at 30 °C for 48 h. All counts were performed in triplicate,
155 and all the results reported here as CFU per square centimetre are mean values.

156 *2.6 Mathematical Modelling of Bacterial Inactivation*

157 The Baranyi inactivation model as modified by Xiong et al., (1989) was applied to all of the
158 data presented here. This was done according to the form of the inactivation curve, and either
159 applied to the entire data (in experiments I, II, V-VII) or, where more than one phase existed,
160 to individual phases (in experiments III and IV). D values, the times required for one log-
161 reduction of the microbial population, were calculated from the corresponding inactivation
162 rate constants (k) i.e. $D = 2.303/k$.

163 2.7 Scanning electron microscopy (SEM)

164 Skin and muscle samples were prepared for SEM by chemically fixing them in 0.025 M
165 phosphate buffer (pH 6.8) containing 3 % (v v⁻¹) glutaraldehyde for 1 h. Samples were then
166 washed twice for 15 min. in the same phosphate buffer prior to dehydration in a series of
167 increasing ethanol concentrations (20, 40, 60, 80 and 100 % v v⁻¹ ethanol in water; 15 min. in
168 each solution). Then, samples were transferred from 100 % ethanol to 100 % acetone in a
169 similar way (30, 50, 70, 90 and 100 % v v⁻¹ acetone in ethanol; 10 min. in each solution) and
170 dried by critical point-drying with liquid CO₂ (Balzers CPD 030, BAL-TEC AG, Fürstentum,
171 Liechtenstein). Membrane filters were also subjected to chemical fixation, but they were first
172 dehydrated by incubation at 60 °C overnight. Following this, all the samples were mounted on
173 aluminium stubs with double-sided carbon sticky-tape, sputtered with gold in a vacuum
174 evaporator (Balzers SCD 004, BAL-TEC AG, Fürstentum, Liechtenstein), and visualized
175 using a JEOL-6100 SEM microscope (JEOL Ltd., Tokyo, Japan).

176 2.8 Statistical analysis

177 Comparisons of experimental data, either in the form of log concentrations or log reductions,
178 were evaluated by means of analysis of variance (ANOVA) tests. The multiple Range Test
179 was used to distinguish means which differed significantly from each other. Standardized
180 skewness and standardized kurtosis were used to assess if data sets were normally distributed.
181 These analyses were performed using STATGRAPHICS PLUS for Windows 3.0[®] Package
182 (Statistical Graphics, Washington, USA). Test statistics were regarded as significant when p
183 was < 0.05.

184

185 3. Results and Discussion

186 3.1 Inactivation on membrane filters

187 Plasma inactivation of *L. innocua* deposited on membrane filters at a range of experimental
188 conditions is shown in Figure 2 and Table 1. The temperature of samples undergoing
189 treatment never exceeded 30° C. Increasing the peak voltage, whilst maintaining the gap
190 distance at 1.5 cm, had relatively little effect. However, decreasing the gap distance to 1.0 cm
191 resulted in a biphasic inactivation curve in contrast to the monophasic curves obtained for
192 experiments I and II. A substantial reduction in D value for the first phase of inactivation was
193 followed by a second phase that had a D value almost one order of magnitude greater. This
194 pattern of inactivation was maintained as the voltage was further increased to 7.0 kV with a
195 reduction in D value for both phases of the inactivation curve. Further increasing the voltage
196 to 8.0 kV resulted in a return to monophasic inactivation kinetics, and a reduction in the D
197 value to 4.5 s. Increasing the voltage still further necessitated a decrease in frequency to 23.0
198 kHz in order to maintain stable plasma operation. under these conditions oxygen was supplied
199 to the CAP. This yielded a D value of 3.4 s. at a peak voltage of 9.0 kV and 3.1 s. at 11.0 kV.
200 Table 2 shows that *L. innocua* was inactivated to below the detection limit (1×10^3 CFU cm⁻²)
201 after only 10s treatment in experiments V, VI and VII. Inactivation curves displaying
202 biphasic (or even multiphasic) behaviour have previously been interpreted as being indicative
203 of the occurrence of inactivation by different plasma species (Montie *et al.*, 2000; Moisan *et*
204 *al.*, 2001; Kong *et al.*, 2009; Kong *et al.*, 2005).

205 Perni *et al.* (2008b) reported that 1.5 s of plasma exposure were sufficient to inactivate *L.*
206 *monocytogenes* on membrane filters ($\approx 4 \times 10^6$ CFU/cm²) to below the detection limit,
207 although their treatment conditions (30 kHz, 8 kV and 25 mL oxygen min.⁻¹) were not
208 identical to those employed here. Plasma exposure time to achieve no detectable cell counts
209 was of the same order for both species, although the slightly higher value obtained here

210 indicates that *L. innocua* may be marginally more resistant to plasma treatment than is *L.*
211 *monocytogenes*.

212 When oxygen was added to the helium flow (experiments VI and VII), peak voltage and
213 excitation frequency had to be re-set to achieve stable plasma operation. Molecular gases
214 have higher breakdown voltages than atomic gases, and therefore the applied voltage had to
215 be increased when oxygen was mixed with helium. For the CAP-pen used here it was
216 necessary to increase the voltage to 9 kV at an excitation frequency of 38.5 kHz. However,
217 this was found to result in considerable overheating in, and subsequent failure of, the
218 transformer used in the power supply. To prevent overheating the excitation frequency was
219 reduced to 23 kHz and this resulted in stable operation. The key parameter for comparing the
220 lethality of plasmas is the number densities of the relevant biocidal plasma species. These
221 were taken to be oxygen atoms since they had previously been identified as a key biocidal
222 species in atmospheric He-O₂ plasmas (Deng et al., 2007a). The optical emission intensities at
223 777 nm and 845 nm (not shown here) were taken as an indirect indicator of atomic oxygen
224 concentrations. Using this criterion, conditions in experiment IV and V were found to be
225 similar to those in VI and VII, respectively. Moreover, previous studies have shown that
226 plasma characteristics do not change in any significant way in the frequency range of 20 to
227 50kHz (Deng and Kong, 2004).

228 Although the D values were of the same order of magnitude, log reductions at 10 s in
229 experiments VI and VII were significantly different to the inactivation achieved in the
230 absence of oxygen (experiment V). Therefore, carrier gas composition played a decisive role
231 in plasma inactivation as would be expected (Deng *et al.*, 2007b; Perni *et al.*, 2007).

232

233 *3.2 Inactivation on skin from chicken breast*

234
235 Viable counts recovered from chicken skin before inoculation were of the order of 4.0×10^5
236 CFU cm², but did not include listeriae. Viable counts after CAP-Pen decontamination of
237 inoculated skin under various experimental conditions are shown in Table 2. The plasma
238 operating parameters that were most effective with membrane filters were applied to the
239 treatment of chicken skin. However, the dielectric properties of membranes and chicken skin
240 are different, and this necessitated adjustment of the operating conditions in order to achieve
241 stable operation. In particular, different peak voltages, excitation frequencies, carrier gas and
242 plume length (experiments IV to VIII) were tested within the plasma stability range, in
243 addition to studying the effect of cell attachment (experiment IX) as well as skin type
244 (experiment III).

245 Reductions in viable counts were considerably below those achieved using membranes, and
246 the D values were correspondingly higher. In experiments I to III, skin samples were treated
247 for both 4 and 8 min., but no significant reductions in bacterial numbers with treatment times
248 of up to 4 min. were achieved. Therefore in subsequent experiments treatment was for 8 min.
249 only.

250 Experiments I and II were conducted under conditions previously identified as representing
251 the best conditions for inactivation on membrane filters (V and VII, respectively). After 4
252 min., the conditions in experiment II resulted in significantly increased log reductions of the
253 total microflora, but not of *L. innocua*. However, after 8 min. of exposure, there were
254 significant decreases in numbers of both the total microflora and *L. innocua*. Curiously,
255 higher levels of inactivation were achieved in the absence of oxygen in the carrier gas
256 (experiment I), which contrasts with the results obtained using membrane filters. When
257 experiments I and II are compared to experiments conducted with membrane filters under the

258 same conditions (V and VII, respectively), significantly higher ($p < 0.05$) D values (approx.
259 200 and 600 fold higher, respectively) and log reductions (4- and 15- fold higher,
260 respectively) were achieved with membrane filters with much shorter contact times. Thus
261 chicken skin exerts a protective effect on microorganisms present at the surface.

262 The effect of skin type i.e. thick rough skin versus thin and relatively smooth skin, on plasma
263 effectiveness was revealed as negligible from experiments II and III, since no significant
264 differences ($p > 0.05$) were found in log reductions at any of the treatment times.

265 High excitation frequencies have been reported to expand the plasma stability range and
266 enable more abundant reactive plasma species, such as oxygen atoms and hydroxyl radicals to
267 be generated, and this has been correlated with increased anti-microbial efficiency of plasmas
268 (Walsh and Kong, 2006). The importance of this parameter, at constant peak voltage, is
269 evident by comparing experiments II and IV, since significantly higher reductions ($p <$
270 0.05) were achieved at the highest than at the lower frequencies for both total and listerial
271 populations.

272 Increasing the oxygen flow rate whilst maintaining all other parameters constant resulted in a
273 significant reduction in D values, as is evident by comparing the results for experiments V
274 and VI. The D value for the latter experiment was not significantly different to that obtained
275 in experiment I. However, when the oxygen flow was set at 100 mL min.^{-1} (experiment VII),
276 more effective inactivation was achieved than in experiment VI. To try to improve plasma
277 effectiveness, the distance between the plasma source and the chicken skin (the 'gap' in Table
278 2) was decreased to 0.5 cm (experiment VIII). Significantly lower log reductions ($p < 0.05$)
279 were achieved for *L. innocua* and total microflora as compared to experiment VII, although
280 reductions were comparable to those in experiments I, and IV to VI. However, D values for
281 total counts and *L. innocua* in experiment VIII were not significantly different ($p > 0.05$) from

282 the corresponding values in experiment VII. Cell migration into skin tissue during attachment
283 may have occurred and this could well have affected plasma effectiveness, since cells could
284 be transported beyond the reach of plasma species as Perni *et al.* (2008b) demonstrated for the
285 plasma treatment of fresh cut fruit surfaces. To confirm this supposition, samples were treated
286 immediately after deposition onto chicken skin (experiment IX) under the same conditions as
287 in experiment VII. D values for total and listerial counts were not significantly different ($p >$
288 0.05) between experiments VII and IX. For these same experiments, no significant differences
289 were found between log reductions of *L. innocua*, whereas values for the total microflora
290 were significantly lower ($p < 0.05$), although they were of the same order as for experiments
291 I, IV to VI and VIII.

292 Plasma conditions in experiment VII resulted in the most effective inactivation of *L. innocua*
293 on chicken breast skin with a reduction of just under 1 log and a D value of about 9 min.

294

295 *3.3 Inactivation on chicken breast muscle*

296 Chicken skin acts as a physical barrier to microbial migration into the inner tissues, but
297 severed blood vessels or skin cut during skinning, boning and portioning may contaminate the
298 underlying tissue (Avens *et al.*, 2002). Microbiological analysis of uninoculated muscle
299 revealed the absence of listeriae and total counts of only 2.5×10^3 CFU cm⁻². Previous
300 experiments conducted with plasma membranes and chicken skin allowed identification of
301 plasma operating conditions that are more effective for inactivation. These were applied to the
302 treatment of chicken muscle (Table 3).

303 In experiment I, no significant differences ($p > 0.05$) were observed after 8 min. of exposure,
304 when compared to the initial concentration, whereas significant reduction in numbers was
305 achieved in experiment II. However, microbial response to plasma treatment was markedly

306 different in experiment III. The survival curve (not shown) displayed biphasic behaviour, with
307 a turning point at 10 s of CAP-Pen exposure, although significant differences were not found
308 between both D values in the overall comparison. Log reductions in experiments I, II and III
309 at 8 min. of plasma exposure, were significantly different ($p < 0.05$), with a much higher
310 inactivation having been achieved in experiment III than in I and II (55-fold and 8-fold
311 higher, respectively). Such a markedly different cell response to plasma treatment may be a
312 reflection of differences in the inoculation procedure (direct deposition in experiment I and II
313 *versus* filter contact in III), since plasma effectiveness has been reported to depend on the cell
314 exposure method (Kong et al., 2005; Kong et al., 2009).

315 Significantly lower log reductions and higher D values were achieved with chicken muscle in
316 experiment I (in the absence of oxygen), when compared to the same conditions on membrane
317 filters (experiment V, Table 1) and skin (experiment I, Table 2). However, when compared
318 with experiment II and the corresponding treatment on chicken skin (experiment IV), no
319 significant differences were found. Therefore, the presence of oxygen in the carrier gas played
320 a more decisive role on muscle than on skin, since inactivation in experiment II was seven-
321 fold higher than in experiment I, whereas similar inactivation was achieved between skin
322 experiments I and IV. Finally, log reductions in muscle experiment III were significantly
323 higher than the values obtained for the corresponding skin experiment (VII).

324 Although the level of inactivation of *L. innocua* achieved on chicken muscle was lower than
325 that on membrane filters, the highest level achieved, approximately 3.3 log reductions, would
326 undoubtedly be of commercial interest.

327 *3.4 Plasma effectiveness and surface topography*

328 Whereas all experiments were conducted under broadly similar conditions, the effectiveness
329 of plasma treatment decreased in the transition from membrane filters to chicken muscle, and

330 from that to chicken skin. This is strongly suggestive that surface topography plays a
331 significant role in inactivation using gas plasmas. An average of 5.2×10^6 cells were
332 deposited on each membrane, and from theoretical considerations based on the dimensions of
333 *L. innocua* cells (assumed to be cylinders with dimensions of $1 \mu\text{m} \times 0.5 \mu\text{m}$) and the
334 membrane surface area (1 cm^2) stacking would start to occur only as the cells exceeded a
335 concentration in excess of 2×10^7 cells. Therefore, we can be reasonably certain that all cells
336 on the surface of the membrane were equally exposed to the gaseous plasma species.

337

338 An SEM image of skin removed from chicken breast is shown in Figure 3a, and reveals a
339 highly irregular topography. It is significant that SEMs taken after inoculation of the skin with
340 *L. innocua* did not reveal the presence of an abundance of bacteria at the surface. In Figure 3b
341 for example, only a small cluster of cells is visible slightly to the right of the centre of the
342 image. It is possible that bacteria which were deposited at the surface were drawn through
343 capillary action into feather follicles and other surface irregularities. Kim *et al.* (1996)
344 reported that bacteria could migrate from the surface to depths up to about $140 \mu\text{m}$.
345 Microorganisms at these distances from the surface have previously been reported to be
346 largely unaffected by either thermal or chemical treatments. Moreover, the highest levels of
347 inactivation achieved by these methods was typically no more than 1 log-reduction (Thomas
348 and McMeekin, 1980), the maximum value reported in this work.

349 The surface of the chicken muscle immediately following the two different inoculation
350 procedures employed here is shown in Figures 4a and 4b, the former was obtained after direct
351 deposition, and the latter following filter contact. Figure 4a clearly reveals the presence of
352 fissures. As rigor develops, muscle fibres have been reported to undergo radial shrinkage, and
353 pull away from surrounding connective tissue (Frank, 2001). The resulting fissures, which

354 could provide a route for bacterial penetration, may be enlarged in the presence of excess
355 water. Direct deposition causes the tissue to take up water and swell and this could cause
356 bacteria to be drawn into the tissues with the water and become entrapped between swollen
357 fibres. It has been reported that bacteria may be carried to depths of up to 25 μm by this
358 means (Thomas and McMeekin, 1987; Auty et al., 2005). However, after inoculation by filter
359 contact, a dense fibre network covering the muscle surface was observed (Figure 4b), and this
360 possibly prevented cell migration through these channels. Figures 4c and 4d reveal bacteria
361 concentrated around irregularities at the surface of chicken muscle. Some of these cells would
362 presumably still be vulnerable to plasma species, but others might be protected by cells
363 situated above them.

364

365 *3.6 Prospects for employing gas plasmas for the decontamination of chicken tissue*

366 This work has demonstrated that cold atmospheric gas plasmas have the potential to
367 decontaminate chicken muscle. The results obtained with chicken skin are comparable to
368 those obtained by other techniques that fall under the category of minimal processing and are
369 testament to the difficulties in decontaminating this type of tissue owing to its topography.
370 The plasma device employed was a laboratory model and treatment of chicken on a
371 commercial scale would require the issue of scale-up to be addressed. Advances in this
372 direction are already being made; Cao et al., (2010) have described the construction of a so-
373 called '2-D plasma brush' that comprises multiple plasma plumes. Treatment times with such
374 a device would be significantly reduced over those quoted above, and further scale-up would
375 result in a concomitant decrease in the times necessary to bring about the requisite level of
376 surface decontamination in industrial settings.

377 The chemical species formed during plasma treatment are highly reactive, and as a result,
378 very short-lived. Therefore treatment with gas plasmas should not result in the formation of
379 compounds that could be viewed as residues. However, it remains necessary to assess the
380 effects of plasma treatment on the sensory and nutritional properties of the foods undergoing
381 treatment in a systematic and exhaustive manner. Such studies were beyond the scope of the
382 present work, although treatment as described above did not result in any obvious changes to
383 the appearance of the chicken muscle or skin.

384 Lastly, it would be important to take into account consumer perception of any novel
385 decontamination technology and before commercialisation of plasma treatment in the food
386 industry could become a reality, this would need to be addressed.

387

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393

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474

475 **FIGURE CAPTIONS**

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478 Figure 1. Schematic of the cold atmospheric plasma pen (CAP-Pen) apparatus

479 Figure 2. Plasma inactivation of *Listeria innocua* deposited on the surface of membrane

480 filters: ●-experiment I; ▲-experiment II; ▲-experiment III; ◆-experiment IV; ■-experiment

481 V; ◆-experiment VI; ■-experiment VII (see experimental conditions in Table 1). Dashed

482 lines correspond to concentrations below the detection limit. Figure 3. Scanning electron

483 micrographs. a) non-inoculated skin sample (x1000 magnification), b) cluster of bacteria

484 present on inoculated skin (x1000 magnification).

485 Figure 4. Scanning electron micrographs a) muscle sample newly inoculated by aliquot

486 deposition (x2500 magnification), b) muscle sample newly inoculated by filter contact (x2000

487 magnification) and C) and D) muscle sample inoculated by filter contact after 24 h of

488 incubation at 25 °C (x 2000 and x 6000 magnification, respectively).

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FIGURE 1

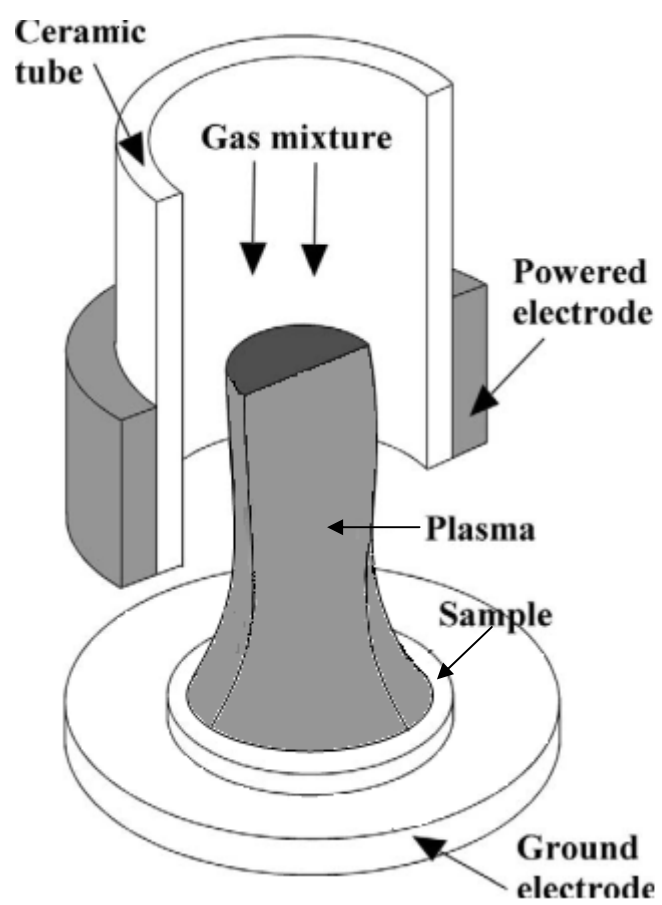


FIGURE 2

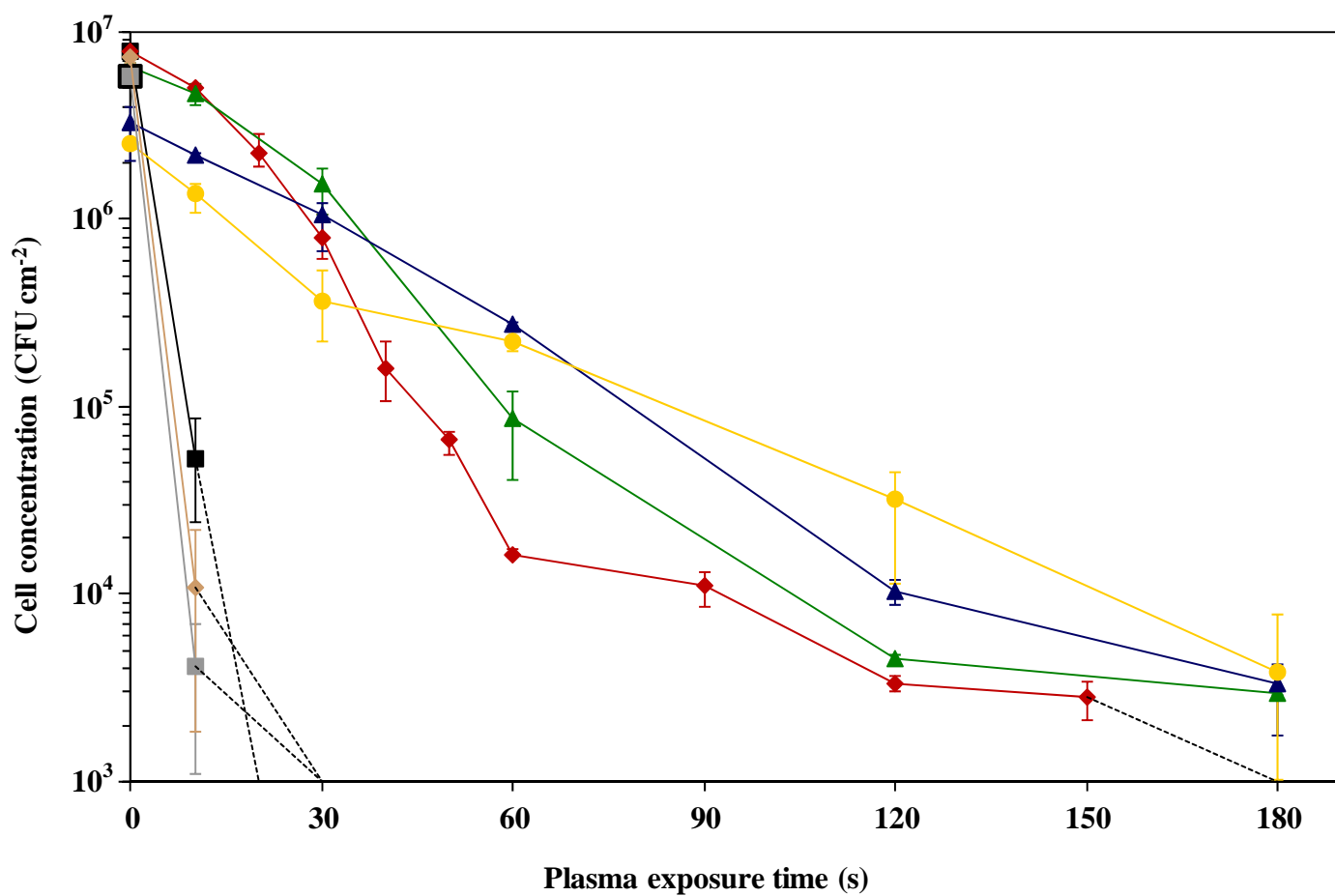


TABLE 1. Inactivation of *Listeria innocua* deposited on membrane filters

Exp. ¹	CAP-Pen Treatment Conditions					Log-reductions ^{2,3} (log CFU/cm ²)					D-values ⁴ (s)
	Gap (cm)	Voltage (kV)	Frequency (kHz)	He (L/min)	O ₂ (mL/min)	10 s	30 s	1 min	2 min	3 min	
I _(a)	1.5	6.0	38.5	5.0	0.0	0.27 ± 0.07 ^a _A	0.86 ± 0.17 ^b _A	1.05 ± 0.03 ^b _A	1.96 ± 0.31 ^c _A	2.95 ± 0.40 ^d _A	60.7 ± 7.8 ^a
II _(a)	1.5	6.5	38.5	5.0	0.0	0.16 ± 0.14 ^a _A	0.49 ± 0.01 ^b _B	1.05 ± 0.15 ^c _A	2.48 ± 0.10 ^d _B	3.00 ± 0.06 ^e _A	56.0 ± 0.8 ^{a,b}
III _(b,c)	1.0	6.5	38.5	5.0	0.0	0.15 ± 0.04 ^a _A	0.63 ± 0.12 ^b _B	1.92 ± 0.23 ^c _B	3.15 ± 0.01 ^d _C	3.34 ± 0.01 ^d _A	37.0 ± 1.4 ^{b,c} *322.1 ± 35.1 ^d
IV _(b)	1.0	7.0	38.5	5.0	0.0	0.19 ± 0.01 ^a _A	1.01 ± 0.10 ^b _A	2.68 ± 0.01 ^c _C	3.37 ± 0.03 ^d _C	ND	24.2 ± 0.8 ^{c,e} *108.5 ± 10.2 ^f
V _(b)	1.0	8.0	38.5	5.0	0.0	2.23 ± 0.23 _B	ND	ND	ND	ND	4.5 ± 0.5 ^e
VI _(b,c)	1.0	9.0	23.0	5.0	25.0	3.02 ± 0.51 _C	ND	ND	ND	ND	3.4 ± 0.6 ^e
VII _(c)	1.0	11.0	23.0	5.0	25.0	3.26 ± 0.37 _C	ND	ND	ND	ND	3.1 ± 0.3 ^e

ND: not detectable (detection limit, 1 × 10³ CFU/cm²)

¹ Initial viable counts of experiments lacking common letters are significantly different (P ≤ 0.05).

² Within the same row (same experiment), log-reductions bearing different superscripts are significantly different (P ≤ 0.05).

³ Within the same column (same exposure time), log-reductions bearing different subscripts are significantly different (P ≤ 0.05).

⁴ D-values bearing different superscripts are significantly different (P ≤ 0.05).

*D-values of the second phase (biphasic survival curves)

TABLE 2. Inactivation of *Listeria innocua* and total microflora on the skin of chicken breasts

Exp.	CAP-Pen Treatment Conditions ⁺					Log-reductions (log CFU/cm ²) ^{1,2,3}				D-values ^{4,5} (min.) ($\frac{\text{Listeria}}{\text{Total}}$)
	Gap (cm)	Voltage (kV)	Frequency (kHz)	O ₂ (mL/min)	Skin type	4 min exposure		8 min exposure		
						Total	<i>Listeria</i>	Total	<i>Listeria</i>	
I	1.0	8.0	38.5	0.0	Rough	(a)0.16 ± 0.05 ^a _A	(a)0.14 ± 0.14 ^a _A	(b)0.49 ± 0.09 ^a _A	(b)0.56 ± 0.12 ^a _{A,D}	16.9 ± 4.3 ^{a,d} _A 18.2 ± 3.5 ^a _A
II	1.0	11.0	23.0	25.0	Rough	(a)0.02 ± 0.04 ^a _B	(a)0.00 ± 0.11 ^a _A	(a)0.01 ± 0.21 ^a _B	(a)0.22 ± 0.18 ^a _B	28.6 ± 1.9 ^b _B 52.8 ± 15.3 ^b _A
III	1.0	11.0	23.0	25.0	Smooth	(a)0.05 ± 0.01 ^a _B	(a)0.05 ± 0.04 ^a _A	(a)0.01 ± 0.02 ^a _B	(b)0.18 ± 0.01 ^b _B	47.7 ± 2.7 ^c _B 89.2 ± 18.9 ^c _A
IV	1.0	11.0	30.0	25.0	Rough			0.48 ± 0.10 ^a _A	0.50 ± 0.06 ^a _A	16.3 ± 2.1 ^{a,d} _A 17.2 ± 4.0 ^a _A
V	1.0	16.0	30.0	25.0	Rough			0.69 ± 0.03 ^a _{A,C}	0.40 ± 0.17 ^b _{A,B}	21.8 ± 7.3 ^d _A 11.7 ± 0.6 ^a _A
VI	1.0	16.0	30.0	50.0	Rough			0.50 ± 0.04 ^a _A	0.55 ± 0.00 ^a _{A,D}	14.6 ± 0.1 ^{a,e} _A 16.1 ± 1.2 ^a _A
VII	1.0	16.0	30.0	100.0	Rough			0.91 ± 0.23 ^a _C	0.94 ± 0.20 ^a _C	8.8 ± 1.7 ^e _A 9.1 ± 2.0 ^a _A
VIII	0.5	16.0	30.0	100.0	Rough			0.57 ± 0.20 ^a _A	0.60 ± 0.13 ^a _{A,D}	13.9 ± 3.3 ^{a,e} _A 15.1 ± 4.7 ^a _A
IX*	1.0	16.0	30.0	100.0	Rough			0.77 ± 0.25 ^a _{C,D}	0.77 ± 0.25 ^a _{C,D}	11.1 ± 3.9 ^{a,e} _A 15.7 ± 1.5 ^a _A

⁺ Helium flow (5 L/min)

* Samples were not incubated to allow cell attachment, but treated immediately after cell deposition

¹ Within the same column (4 or 8 min exposure) and the same type of count (Total or *Listeria*), log-reductions lacking common letters (subscripts) are significantly different ($P \leq 0.05$).

² Within the same row (same experiment) and same treatment (4 or 8 min), log-reductions bearing different superscripts (uppercase letters on the right) are significantly different ($P \leq 0.05$).

³ Exp. I to III: within the same row (same experiment) and same type of count (Total or *Listeria*), log-reductions bearing different subscripts (brackets on the left) are significantly different ($P \leq 0.05$).

⁴ For like comparisons (either *Listeria* or Total), D-values lacking common superscripts are significantly different ($P \leq 0.05$).

⁵ For comparisons between *Listeria* and total counts for the same experiment, D-values lacking common subscripts (capital letters) are significantly different ($P \leq 0.05$).

TABLE 3. Inactivation of *Listeria innocua* on chicken breast muscle

Exp. ¹	CAP-Pen Treatment Conditions						Log-reductions ^{2,3} (log CFU/cm ²)						D-values ⁴ (min)
	Gap (cm)	Vol. (kV)	Freq. (kHz)	He (L min ⁻¹)	O ₂ (mL min ⁻¹)	Inoculum	10 s	30 s	1 min	2 min	4 min	8 min	
I _(a)	1.0	8.0	38.5	5.0	0.0	Aliquot	--	--	--	--	--	0.06±0.04 _A	162.2 ± 95.8 ^a
II _(b)	1.0	11.0	30.0	5.0	25.0	Aliquot	--	--	--	--	--	0.41±0.02 _B	19.5 ± 0.8 ^b
III _(a)	1.0	16.0	30.0	5.0	100.0	Filter	1.25±0.26 ^a	1.58±0.34 ^a	1.61±0.03 ^{a,b}	2.17±0.56 ^{b,c}	2.71±0.54 ^c	3.30±0.14 ^d _C	0.1 ± 0.0 ^b *3.4 ± 0.3 ^b

¹ Initial viable counts of experiments lacking common letters are significantly different (P ≤ 0.05).

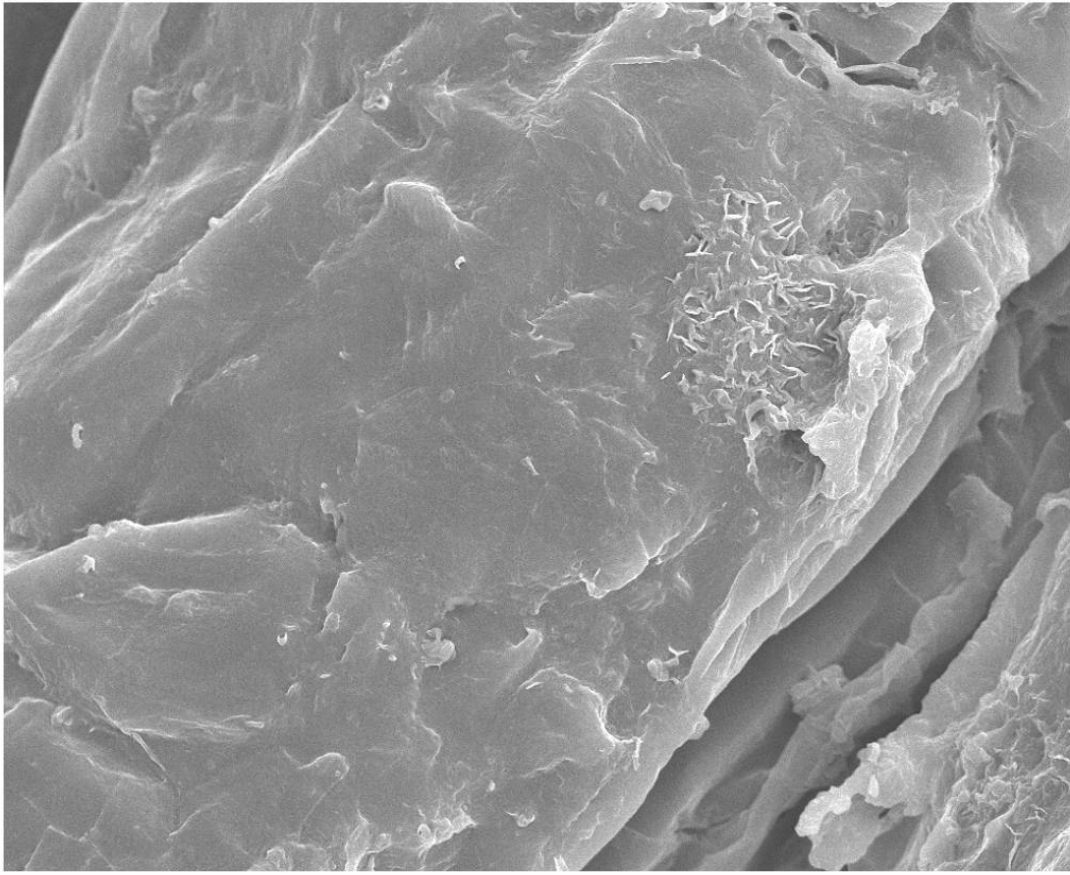
² Within the same row, log-reductions bearing different superscripts are significantly different (P ≤ 0.05).

³ Within the same column, log-reductions bearing different subscripts are significantly different (P ≤ 0.05).

⁴ D-values bearing different superscripts are significantly different (P ≤ 0.05)

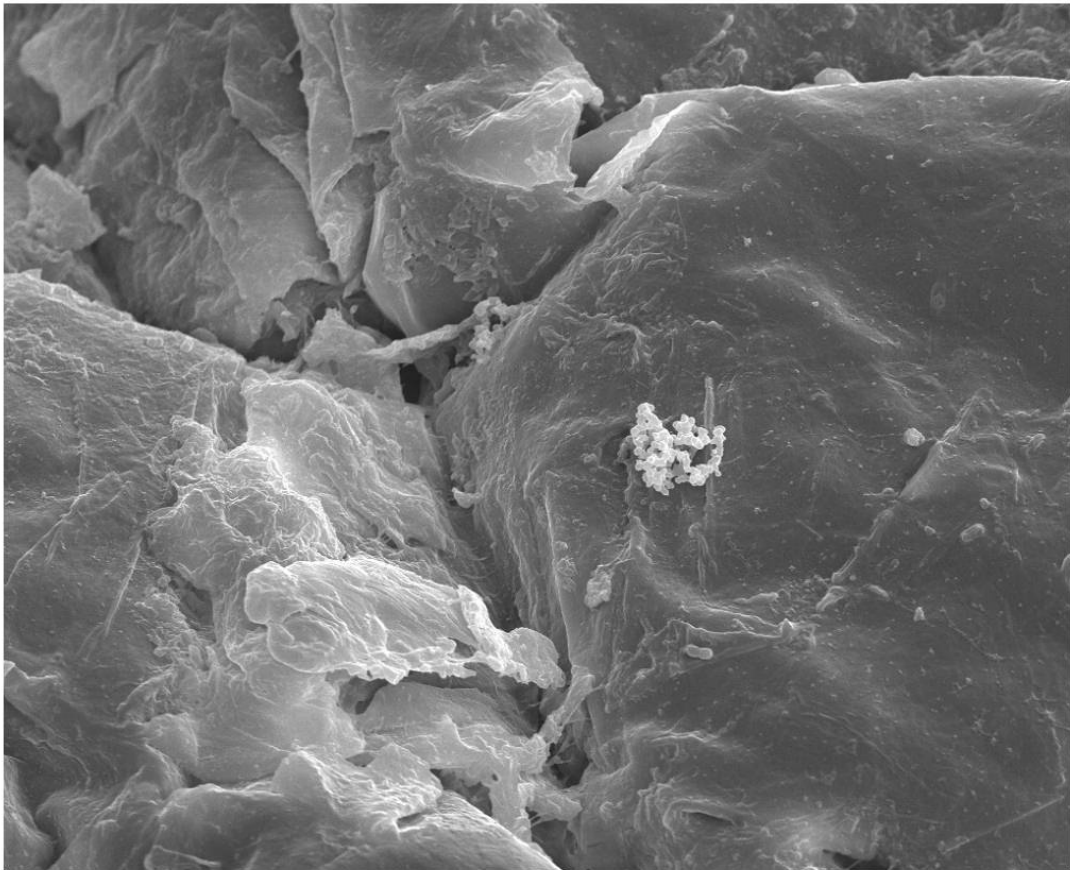
*D-values of the second phase (dual-slope survival curves)

A)



50 μm

B)



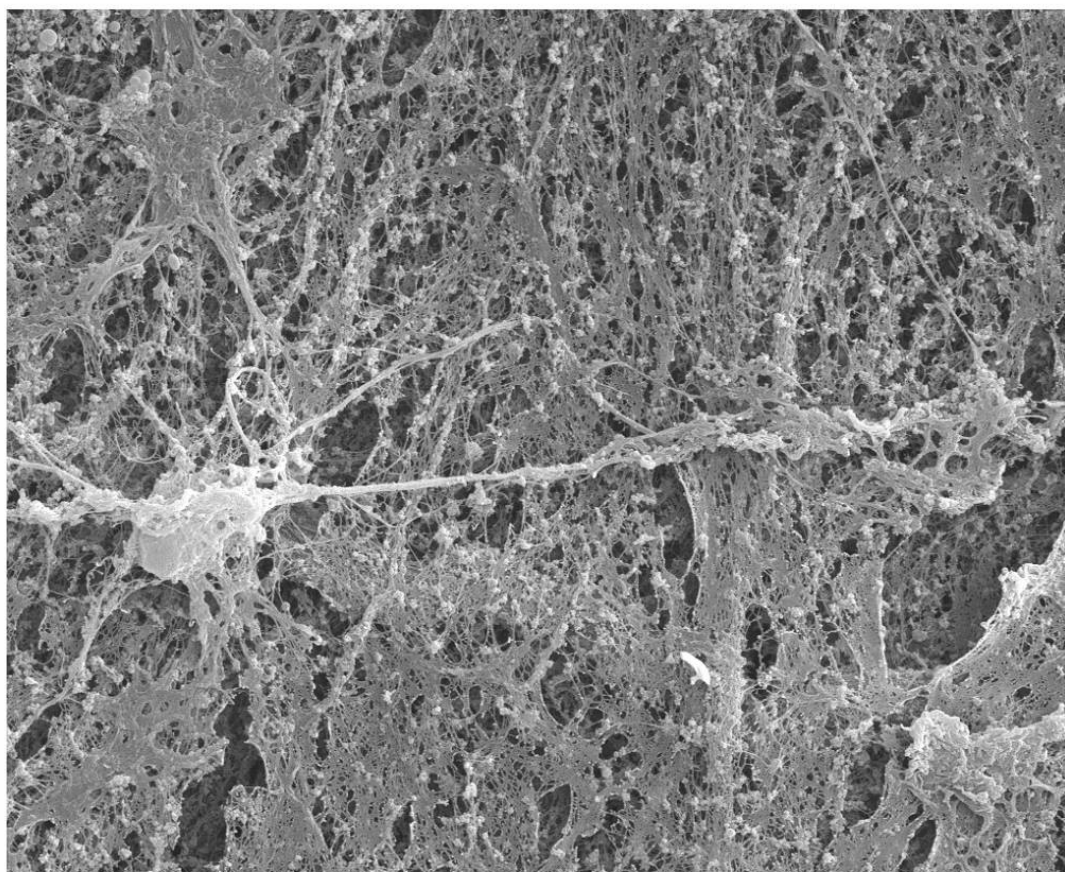
50 μm

A)



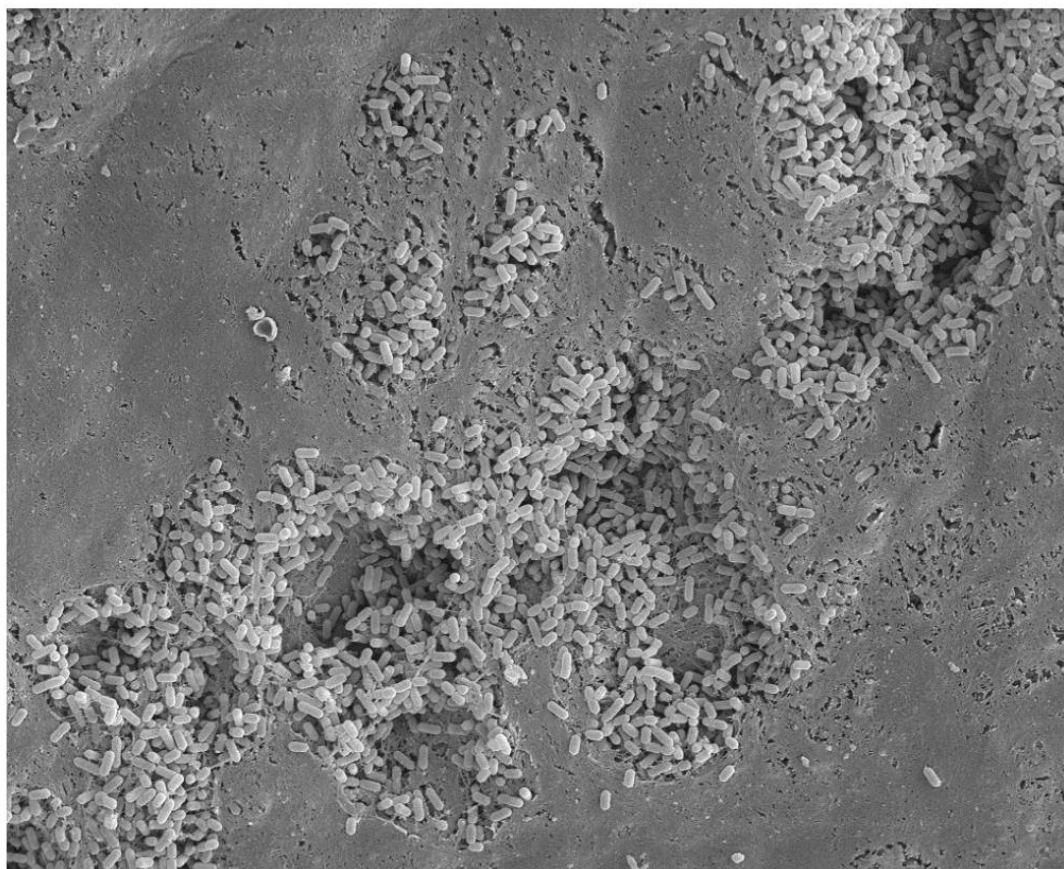
20 μm

B)



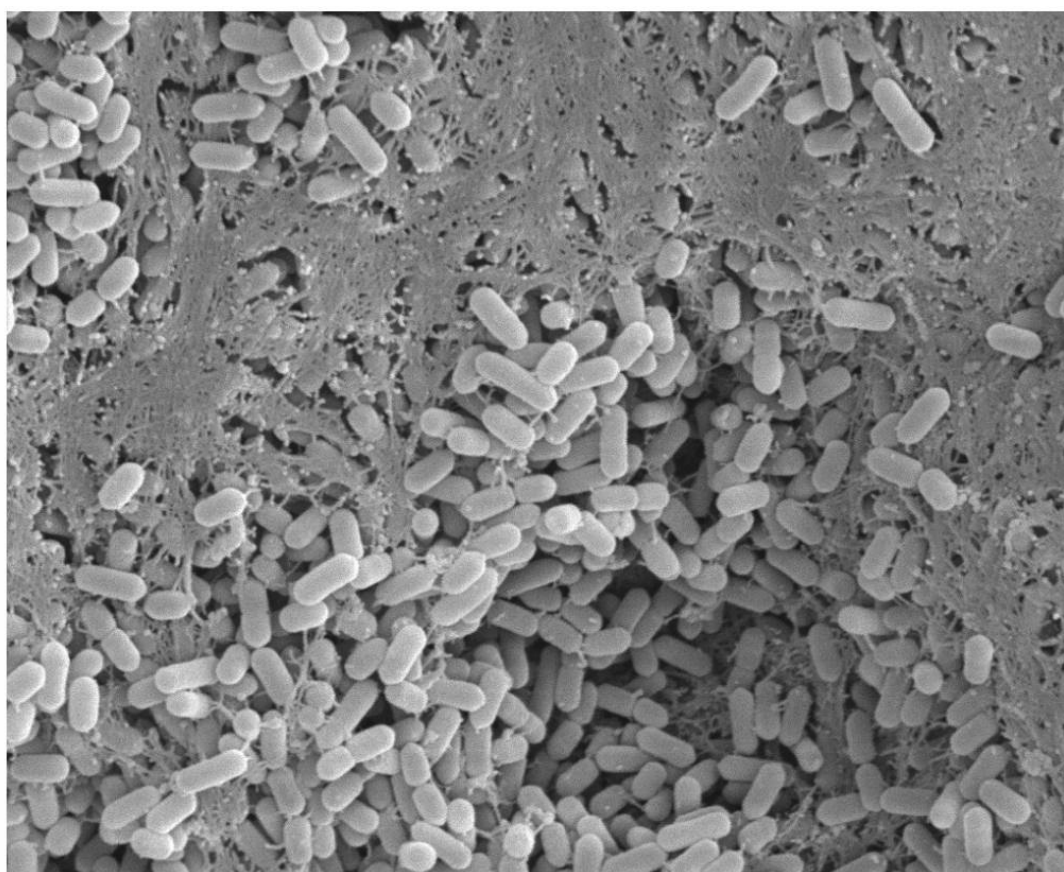
20 μm

C)



20 μm

D)



8 μm