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Journal:	<i>Langmuir</i>
Manuscript ID:	la-2012-02092f.R1
Manuscript Type:	Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Vladislavljevic, Goran T.; Loughborough University, Chemical Engineering Duncanson, Wynter; Harvard University, SEAS Shum, Ho Cheung; University of Hong Kong, Mechanical Engineering Weitz, David; Harvard University, Physics and DEAS

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Emulsion Templating of Poly(lactic acid) Particles: Droplet Formation Behaviour

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ABSTRACT: Monodisperse poly(dl-lactic acid) (PLA) particles of diameters between 11 and 121 μm were fabricated in flow focusing glass microcapillary devices by evaporation of dichloromethane (DCM) from emulsion droplets at room temperature. The dispersed phase was 5% (w/w) PLA in DCM containing 0.1–2 mM Nile red and the continuous phase was 5% (w/w) poly(vinyl alcohol) in reverse osmosis water. Particle diameter was 2.7 times smaller than the diameter of the emulsion droplet template indicating very low particle porosity. Monodisperse droplets have only been produced under dripping regime using a wide range of dispersed phase flow rates (0.002–7.2 cm^3h^{-1}), continuous phase flow rates (0.3–30 cm^3h^{-1}) and orifice diameters

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3 (50–237 μm). In the dripping regime, the ratio of droplet diameter to orifice diameter was
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6 inversely proportional to the 0.39 power of the ratio of the continuous phase flow rate to
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8 dispersed phase flow rate. Highly uniform droplets with a coefficient of variation (CV) below 2
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10 % and a ratio of the droplet diameter to orifice diameter of 0.5–1 were obtained at flow rate
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12 ratios of 4–25. Under jetting regime, polydisperse droplets (CV > 6 %) were formed by
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14 detachment from relatively long jets (between 4 and 10 times longer than droplet diameter) and a
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16 ratio of the droplet size to orifice size was 2–5.
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21 **Keywords:** Emulsion-templating; Microfluidics; Flow focusing; Poly(lactic acid) particles;
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23 Glass capillary microfluidic device.
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26 INTRODUCTION

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30 In recent years, there has been an increasing interest in the fabrication and use of microspheres
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32 composed of biodegradable polymers.¹⁻³ These microspheres are usually emulsion templated
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34 particles which have been formed through a combination of emulsification of a polymer/organic
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36 solvent mixture in an aqueous surfactant solution and subsequent organic solvent removal.
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38 Removal of solvent can be achieved either by the ambient solvent extraction/evaporation
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40 method⁴ or by the freezing method, where the solvent to be removed is frozen, and gradually
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42 heated under vacuum, leaving behind precipitated solid microspheres.⁵ Biodegradable
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44 microparticles are of interest primarily for medical and pharmaceutical applications, where they
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46 have been used for the encapsulation and controlled release of a wide range of pharmaceutical
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48 actives (Rifampicin,⁶ Buserilin,⁷ Paclitaxel,⁸ Tetracycline,⁹ etc.), encapsulation of biological
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50 actives such as plasmid DNA,¹⁰⁻¹¹ ultrasound and molecular imaging¹²⁻¹³, ultrasound-triggered
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52 drug release¹⁴, cell cultivation in tissue engineering¹⁵⁻¹⁶, fabrication of scaffolds for bone tissue
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3 repair applications¹⁷⁻¹⁸, and fabrication of composite coatings for implantable devices.¹⁹⁻²⁰ The
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5 most commonly used biodegradable synthetic polymers for these applications are poly(lactic
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7 acid) (PLA) and poly(lactic-co-glycolic) acid (PLGA), since they both have favourable
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9 properties such as good biocompatibility, biosorbability, and mechanical strength.²¹
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13 Monodisperse particles are favourable in drug delivery and ultrasound imaging applications
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15 because they exhibit controlled *in vivo* behaviour such as predictable biodegradation rate, drug
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17 release profile and acoustic response. Precision generation of droplets is a crucial step in
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19 fabrication of particles by emulsification/solvent evaporation method, because monodisperse
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21 particles can only be produced from monodisperse droplets. As a consequence of
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23 inhomogeneous shear field during processing, the conventional methods of droplet generation
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25 such as spray drying,²² sonication,^{11,23} high-shear rotor/stator mixing^{8,15,24} and high-pressure
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27 homogenisation²⁵ result in polydisperse PLA or PLGA particles whose mean size cannot be
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29 precisely controlled.
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36 As a departure from traditional ‘top-down’ emulsification approach where particle size is
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38 controlled by turbulence and smaller droplets are formed by reducing the size of larger droplets,
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40 a number of ‘drop-by-drop’ emulsification methods are currently being studied, where particle
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42 size is controlled by internal geometry and small droplets are directly formed by injecting one
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44 liquid through a micro- nozzle/channel into another immiscible liquid. Several such methods
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46 have been used in the production of biodegradable microspheres such as ink-jet printing,^{3,26}
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48 microchannel emulsification,²⁷ membrane emulsification,⁶ jet acoustic excitation,⁴ and planar
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50 microfluidic devices.^{28,29} Membrane emulsification produces emulsions with high coefficients of
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52 variation of particle sizes ($CV > 10\%$) and silicon microchannel plates and ink-jet nozzles are
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54 relatively expensive to fabricate. On the other hand, planar (two-dimensional) flow focusing
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3 microfluidic devices are cheap and disposable, but droplets typically contact the walls of the
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5 outlet channel due to its rectangular cross section, which can damage the newly formed
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7 liquid/liquid interface or cause wetting problems.³⁰
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11 The purpose of this study was to investigate a novel approach to the fabrication of monodisperse
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13 PLA microparticles with controllable size based on emulsification in an axisymmetric flow
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15 focusing glass capillary device³¹ followed by solvent evaporation. The main emphasis was to
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17 elucidate the effects of phase flow rates and geometry of the device on the droplet formation
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19 behaviour. In an axisymmetric (three-dimensional) flow focusing device, the dispersed phase is
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21 entirely surrounded by the continuous phase irrespective of the flow rates due to circular cross
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23 section of the outlet channel. Although glass microcapillary devices are not as easily replicated
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25 as those made from mouldable polymers such as poly(dimethylsiloxane) (PDMS), we have
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27 chosen borosilicate glass as a construction material because glass is more chemically robust than
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29 PDMS, does not swell, and has more stable surface properties. The surface properties of PDMS
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31 can change upon exposure to organic solvents. In addition, it was noticed that the hydrophilic
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33 (plasma oxidized) PDMS rapidly becomes hydrophobic upon exposure to dichloromethane.³⁰
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40 EXPERIMENTAL SECTION

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43 **Materials for Emulsion Preparation.** The dispersed phase of oil-in-water (O/W) emulsions
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45 consisted of a mixture of 5 % (w/w) poly(dl-lactic acid) (PLA) (Polysciences Europe GmbH,
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47 15,000 g·mol⁻¹), 95 % (w/w) dichloromethane (DCM) (Aldrich, purity 99+%) and 0.1–2 mM Nile
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49 red dye (Sigma-Aldrich). The viscosity and density of this mixture at 298 K was 1.02 mPa·s and
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51 1310 kg·m⁻³, respectively. The continuous phase was 5 % (w/w) aqueous solution of polyvinyl
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53 alcohol (Sigma-Aldrich, 87-89% hydrolyzed) with a viscosity of 4.04 mPa·s at 298 K. The
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interfacial tension between the two phases measured using a Krüss DSA-100 pendant drop tensiometer at 298 K was $2.26 \text{ mN}\cdot\text{m}^{-1}$.

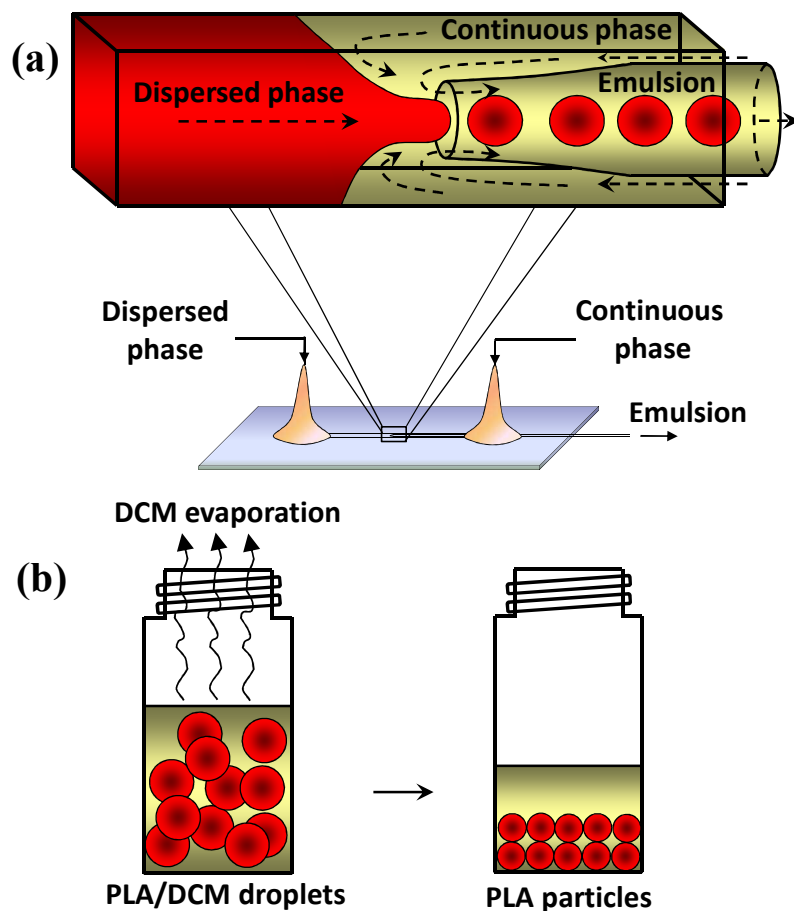


Figure 1. Glass capillary microfluidics/solvent evaporation method used to fabricate PLA particles. (a) Schematic diagram of experimental setup with expanded schematic of droplet formation in the flow-focusing region of the microfluidic device; (b) formation of PLA particles from emulsion droplets by evaporation of dichloromethane (DCM) at room temperature.

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3 **Preparation of the Microfluidic Device.** A round borosilicate capillary tube (Intracel; inner
4 diameter 580 μm , outer diameter 1 mm) was pulled using a Flaming/Brown micropipette puller
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6 (Sutter Instruments, model P-97). Upon pulling, the tip was precisely cut using a Narishige
7
8 model MF-830 microforge to obtain an entry diameter D_o between 50 and 240 μm . To enhance
9
10 hydrophilicity of the glass surface and minimize wetting with DCM drops, the tip was treated
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12 with 2-[methoxy (polyethylenoxy) propyl] trimethoxysilane solution (Gelest Inc.) followed by
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14 drying in a compressed air stream. The treated capillary was then partly inserted into a square
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16 glass tube (AIT Inc.) of internal side length 1.05 mm and fixed into position onto a microscopic
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18 slide using epoxy resin adhesive. Hypodermic needles with polypropylene hub were glued over
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20 both ends of the square glass tubing to act as separate tube connectors for the oil and water
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22 phase, while the exposed end of the round glass capillary was connected to a sample collection
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24 tube.
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32 **Emulsion Experiments.** A microfluidic device, set on the stage of an inverted Leica DM-IRBE
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34 microscope, was connected to gas tight syringes containing the continuous and disperse phases
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36 via medical tubing. Each phase was pumped into the device by a separate programmable Harvard
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38 Apparatus PHD 22/2000 syringe pump. The process of droplet formation was recorded digitally
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40 using a Phantom V5.1 high-speed camera at 800–2000 frames per second. Frames of the high-
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42 speed video footage were analysed using ImageJ v.1.44 software to estimate droplet diameter, D_d
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44 and the rate of droplet formation. The effects of varying continuous and dispersed phase flow
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46 rates and orifice diameter, D_o on the droplet formation behaviour were studied. The dispersed
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48 phase flow rates studied were between 0.02 and 7.2 $\text{cm}^3\cdot\text{h}^{-1}$ while continuous phase flow rates
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50 were between 0.3 and 30 $\text{cm}^3\cdot\text{h}^{-1}$. Flows of dispersed and continuous phase were supplied
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52 counter-currently through the square capillary and the flows became co-current through the
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collection capillary; the experimental setup of the flow focusing microfluidic device and the expanded schematic illustrating droplet formation within the device are shown in Fig. 1(a). DCM evaporates from PLA/DCM emulsions at ambient temperature to form shrunken, coherent PLA particles, as illustrated in Fig. 1 (b). The time allowed for solvent evaporation was 24 h.

RESULTS AND DISCUSSION

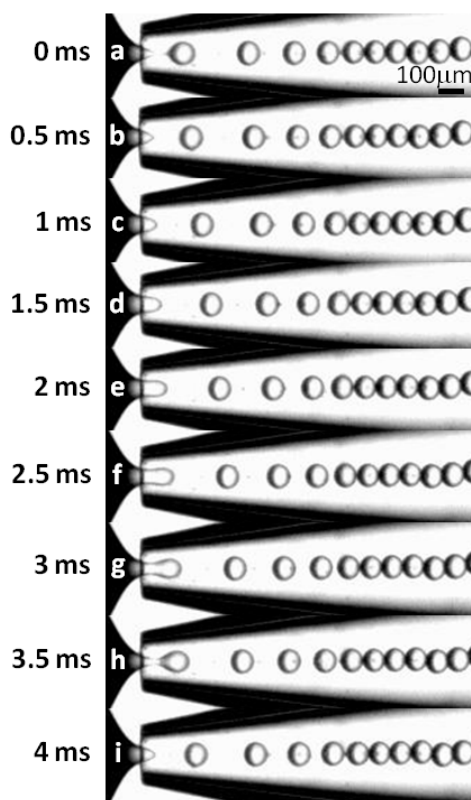


Figure 2. Experimental images of a drop breakup sequence in dripping regime at $Q_c = 4 \text{ ml}\cdot\text{h}^{-1}$ and $Q_d = 0.25 \text{ ml}\cdot\text{h}^{-1}$. The drop generation frequency and the drop diameter estimated from the footage were 262 Hz and 79 μm , respectively.

Breakup of the dispersed phase into monodisperse droplets occurs under dripping regime within the collection capillary at a depth of one orifice diameter from entrance, as shown by the video footage taken with the high speed camera at a rate of 2000 frames per second in Figure 2. The

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3 distance between the neighboring droplets progressively decreases as they move through the
4 collection capillary, which is due to decreasing rate of flow of the continuous phase as a result of
5 a tapered shape of the collection capillary. At $t=0$, the thin liquid thread which connects the bulk
6 dispersed phase and the droplet has just ruptured at two positions, leaving a small satellite
7 droplet attached to the newly formed droplet. The bulk dispersed phase takes the shape of a sharp
8 cone, while the droplet also exhibits a temporary non-spherical appearance, caused primarily by
9 the attachment of the satellite droplet. Over the course of the next 4 frames, between $t=0.5$ and
10 $t=2$ ms, the relatively short, conical appearance of the dispersed phase gives way to a more
11 rounded, flat-sided cylindrical jet that extends over time into the orifice. Necking of the jet is
12 shown over the course of the next three frames ($t=2.5$ – 3.5 ms) where the jet begins to thin
13 because of the pressure exerted upon it by the continuous phase. This necking process continues
14 until the dispersed phase is drawn into a narrow thread, which ruptures at $t=3.8$ ms to complete
15 the process of droplet formation. The mass balance equation can be used to predict the time of
16 droplet formation: $t_f = D_d^3 \pi / (6Q_d)$, where Q_d is the dispersed phase flow rate and D_d is the
17 droplet diameter. By putting $Q_d = 2.5 \text{ ml}\cdot\text{h}^{-1}$ and $D_d = 79 \text{ }\mu\text{m}$ into the above equation, we obtain t_f
18 $= 3.7$ ms, which is close to t_f value estimated from the footage.

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43 The droplet size and generation rate can be varied by controlling the phase flow rates and device
44 geometry, as shown by Fig. 3. In Fig. 3 (a), the orifice diameter D_o was $51 \text{ }\mu\text{m}$ and the flow rate
45 ratio, Q_c/Q_d , was maintained at a relatively high level, so as to obtain small droplets with a
46 diameter of $30 \text{ }\mu\text{m}$ that have been converted into $12 \text{ }\mu\text{m}$ particles after DCM evaporation. In Fig.
47 3 (b) and (c) the device was the same but Q_c/Q_d was reduced from 20 to 3.8 and 2.2 respectively,
48 which led to increasingly larger droplets. Fig. 3 (c) demonstrates high stability of formed
49 droplets to coalescence, despite high packing density in the collection tube. In addition, wetting
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of the collection tube by DCM was not observed despite large droplet size. Droplets in Fig. 3 (c) are formed in the geometry-controlled regime, because the shear stress exerted by the continuous phase is small compared to interfacial stress.³² Under this regime, droplets grow in the collection tube until they occupy almost the entire cross section. To maintain the applied flow rate, a higher pressure is needed in the continuous phase stream in order to drive flow through a narrow gap between the tube wall and the droplet interface. The higher upstream pressure causes the continuous phase to squeeze the neck of the dispersed phase stream until breakup occurs.³³

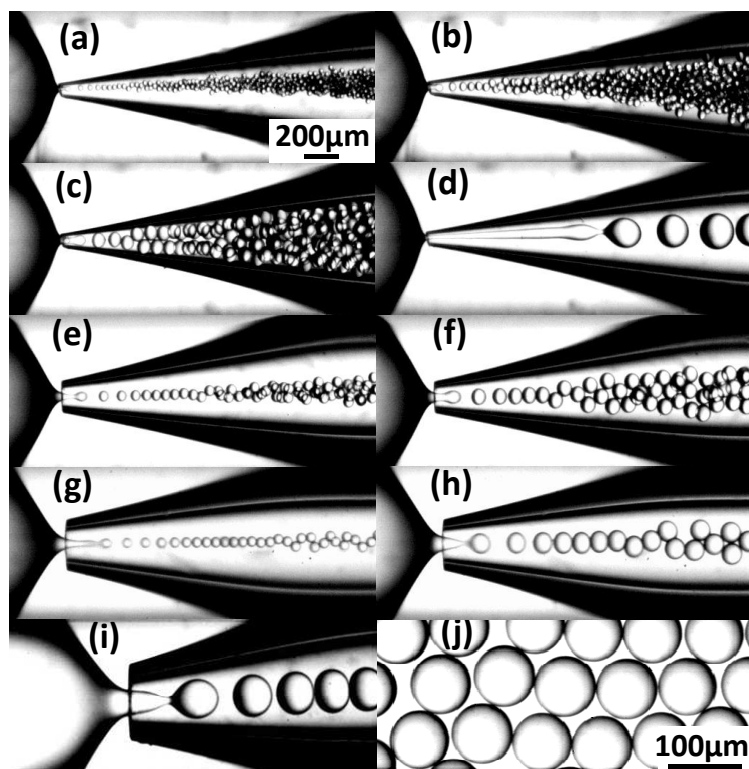


Figure 3. Experimental images of droplet formation under varying flow rates and orifice size. (a)

$Q_c=0.6 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.03 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \text{ }\mu\text{m}$, $D_d=30 \text{ }\mu\text{m}$; (b) $Q_c=0.3 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.08 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51$

μm , $D_d=50 \text{ }\mu\text{m}$; (c) $Q_c=0.31 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.14 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \text{ }\mu\text{m}$, $D_d=85 \text{ }\mu\text{m}$; (d) $Q_c=2 \text{ ml}\cdot\text{h}^{-1}$,

$Q_d=0.53 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \text{ }\mu\text{m}$, $D_d=206 \text{ }\mu\text{m}$; (e) $Q_c=5.5 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.5 \text{ ml}\cdot\text{h}^{-1}$, $D_o=111 \text{ }\mu\text{m}$, $D_d=59$

μm ; (f) $Q_c=5 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=1 \text{ ml}\cdot\text{h}^{-1}$, $D_o=111 \text{ }\mu\text{m}$, $D_d=94 \text{ }\mu\text{m}$; (g) $Q_c=13 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.4 \text{ ml}\cdot\text{h}^{-1}$,

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3 $D_o=164 \mu\text{m}$, $D_d=60 \mu\text{m}$; (h) $Q_c=13 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=1.9 \text{ ml}\cdot\text{h}^{-1}$, $D_o=237 \mu\text{m}$, $D_d=132 \mu\text{m}$; (i) $Q_c=20$
4 $\text{ml}\cdot\text{h}^{-1}$, $Q_d=7.2 \text{ ml}\cdot\text{h}^{-1}$, $D_o=216 \mu\text{m}$, $D_d=248 \mu\text{m}$; (j) Collected monodisperse droplets, $82 \mu\text{m}$. The
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6 same scale bar applies to Figs. (a) to (i).
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15 In Fig. 3 (d), the dispersed phase flow rate was considerably higher than in Figs. 3 (a) to (c) and
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17 this led to jetting. In Figs. 3 (a) to (c), the continuous phase flows through the orifice faster than
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19 the dispersed phase and the size of the formed droplets is predominantly determined by the
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21 balance between the drag of the continuous phase pulling the droplet downstream and interfacial
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23 tension force that resist the flow in the dispersed phase as pinch-off occurs. In Fig. 3 (d), the
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25 dispersed phase flows within the orifice faster than the continuous phase ($u_d \approx 0.5 \text{ m}\cdot\text{s}^{-1}$ as
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27 compared to $u_c \approx 0.3 \text{ m}\cdot\text{s}^{-1}$). As a result, it is the inertial force of the dispersed phase that must
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29 overcome the interfacial tension force; this balance is given by the Weber number of the
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31 dispersed phase, $W_d = \rho_d D_j u_d^2 / \gamma$, where ρ_d is the dispersed phase density, D_j is the jet
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33 diameter, u_d is the velocity of the dispersed phase in the orifice and γ is the interfacial tension.
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35 When W_d is small (e.g. $W_d \approx 0.02$ in Fig. 3 (c)), interfacial tension dominates, forcing the system
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37 to drip.³⁴ By contrast, at high Weber numbers, the inertial forces dominate interfacial tension
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39 force, leading to jetting (e.g. $W_d \approx 3$ in Fig. 3 (d)). The orifices in Figs. 3 (e) to (h) were larger
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41 than those in Figs. (a) to (d), but similar trends were observed with droplet sizes progressively
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43 increasing with decreasing flow rate ratio Q_c/Q_d and increasing orifice size. Fig. 3 (j) shows
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45 monodisperse droplets with a diameter of $82 \mu\text{m}$ collected shortly after being formed in a device
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47 with $130 \mu\text{m}$ orifice. Droplets are packed into regular hexagonal arrays, as a result of a high
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49 degree of monodispersity, with a CV of less than 2%.
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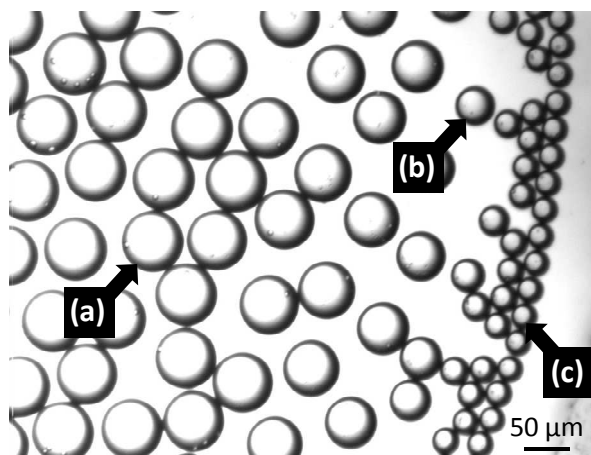


Figure 4. Optical micrograph showing PLA particles being formed from DCM/PLA droplets by evaporation from microscopic slide. (a) 64 μm emulsion droplet; (b) 46 μm partly-evaporated emulsion droplet; (c) 24 μm PLA particle.

To form PLA particles, an emulsion sample undergoes evaporation in air from a drop placed on microscopic slide, as shown in Fig. 4. Original PLA/DCM droplets with a diameter of (64 ± 1) μm , an example of which is (a), are on the left hand side of the figure. On the right hand side lies the solvent evaporation front where evaporation proceeds via (b), a 46 μm partly-evaporated droplet, towards (c), a coherent, PLA particle with (24 ± 1) μm diameter. It should be noted that the presence of satellite droplets attached to larger droplets (c) is also visible in Fig. 4. On the assumption of a complete DCM evaporation from droplets, the diameter of PLA particles is given by:

$$D_p = [(x_{PLA} / (1 - \varepsilon))(\rho_d / \rho_{PLA})]^{1/3} D_d \quad (1)$$

where $x_{PLA} = 0.05$ is the mass fraction of PLA in the dispersed phase of the original emulsion, $\rho_d = 1310 \text{ kg}\cdot\text{m}^{-3}$ is the density of the dispersed phase, $\rho_{PLA} = 1250 \text{ kg}\cdot\text{m}^{-3}$ is the density of solid

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PLA, D_d is the original droplet diameter and ε is the particle porosity. By putting $D_d = 64 \mu\text{m}$ and $\varepsilon = 0$ into Eq. (1) one obtains $D_p = 23.9 \mu\text{m}$, which agrees well with D_p value observed in Fig. 4. Assuming $\varepsilon = 0.05$, we obtain $D_p = 24.4 \mu\text{m}$ from Eq. (1), which is again in good agreement with the D_p value of $24 \mu\text{m}$ observed in Fig. 4.

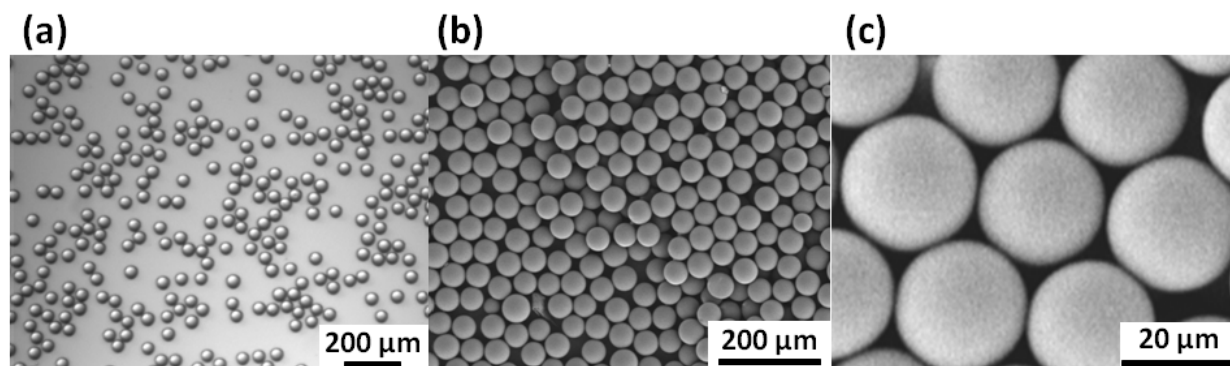


Figure 5. (a) Optical micrograph of aqueous suspension of $23 \mu\text{m}$ PLA particles; (b & c) Scanning Electron Micrograph of $23 \mu\text{m}$ PLA particles under different magnification.

Fig. 5 (a) is an optical micrograph of aqueous suspension of PLA particles with a mean diameter of $23 \mu\text{m}$ and a $CV < 3\%$. Figs. 5 (b) (c) are scanning electron micrographs of PLA particles with a mean diameter of $23 \mu\text{m}$ showing a smooth surface with negligible porosity and spherical shape.

The droplet diameter, D_d can be controlled through the ratio of volumetric flow rates of dispersed to continuous phase, Q_c/Q_d , over the range of orifice diameters studied, as shown by the graph in Fig. 6. For all orifice sizes used in this study, the observed droplet diameter decreased exponentially with flow rate ratio. The smaller the internal diameter of the orifice, the smaller the size of droplets produced for any given value of flow rate ratio which did not involve jetting. Droplets formed under jetting regime were considerably larger than those produced by dripping.

The flow rate ratio that led to the jetting regime ranged from about 2 to 5, depending on orifice size. Fig. 6 also shows that droplets of the similar size can be produced from different orifice sizes, by varying phase flow rates, which shows high flexibility of our fabrication method.

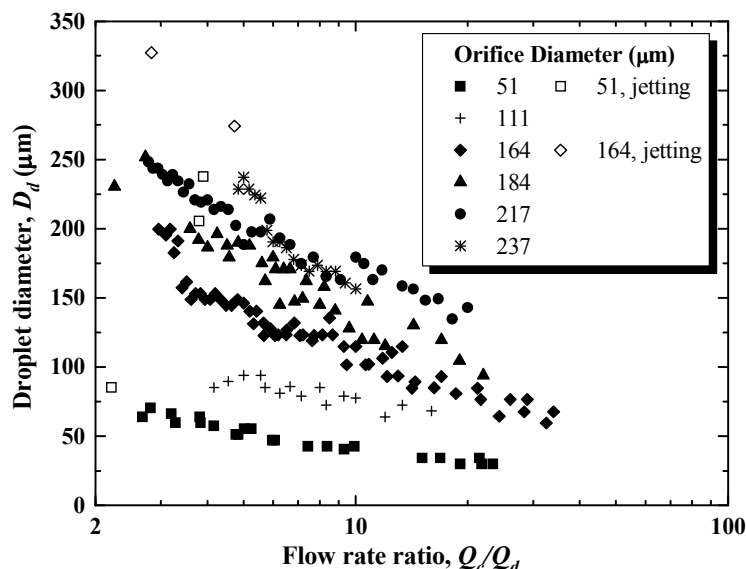


Figure 6. A semilog graph of droplet diameter, D_d , versus ratio of volumetric flow rates of dispersed to continuous phase, Q_c/Q_d , over a range of orifice diameters. Filled symbols represent data points obtained under dripping regime while open symbols represent data points obtained under jetting regime. Data arising from the device with the same orifice size are of the same shape.

Dripping and jetting have been observed in the same device under the same flow conditions and jetting-to-dripping transition was reversible and triggered by minor perturbations of the device or small periodic pulsations of the flow rates caused by the syringe pumps used in the experiments.

Fig. 7 displays images of droplet breakup taken in the same device under identical flow rate settings. The data shown here implies that the boundary between jetting and dripping is not sharply defined; instead, there is a transitional region where predominant dripping gives way to predominant jetting. Dripping shown in Fig. 7 (a) produced highly monodisperse droplets ($CV \approx$

2%) close to the orifice. In contrast, jetting shown in Fig. 7 (b) produced a long widening jet that extended 8 orifice diameters downstream into the collection tube, where it was broken into large drops. The location of necking in the jetting regime was less uniform than in the dripping mode, which led to greater variety in detachment location along the jet and more polydisperse droplets ($CV \approx 5\%$). The average velocity of the dispersed phase at the entrance of the collection tube was $0.19 \text{ m}\cdot\text{s}^{-1}$ and the average velocity of the continuous phase surrounding the jet was $0.20 \text{ m}\cdot\text{s}^{-1}$. Therefore, dripping-to-jetting transition occurred when two immiscible phases passed through the collection tube at similar average velocities.

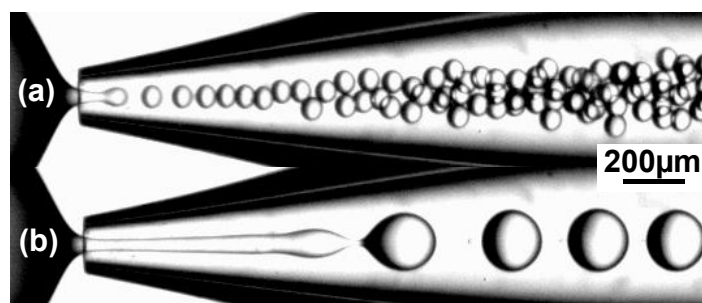


Figure 7. Experimental images of two different droplet formation regimes under identical operating conditions: $Q_c = 6.5 \text{ cm}^3\cdot\text{h}^{-1}$; $Q_d = 0.7 \text{ cm}^3\cdot\text{h}^{-1}$ and $D_o = 113 \text{ }\mu\text{m}$: (a) Droplets formed by dripping, $D_d = 79 \text{ }\mu\text{m}$, $CV \approx 2 \%$, the ratio of jet length to orifice diameter, $L_j/D_o \approx 1.1$; (b) droplets formed by jetting, $D_d = 200 \text{ }\mu\text{m}$, $CV \approx 5 \%$, $L_j/D_o \approx 8.4$. The jet diameter at the entry section of the collection capillary was $47 \text{ }\mu\text{m}$ in both regimes.

Fig. 8 is a log-log plot of experimentally measured drop diameters scaled by the orifice diameter versus ratio of volumetric flow rates of dispersed to continuous phase, Q_c/Q_d . Experimental data follows a good linear trend with an equation of the best fit straight line:

$$D_d / D_o = 0.23(Q_c / Q_d)^{-39} \quad (2)$$

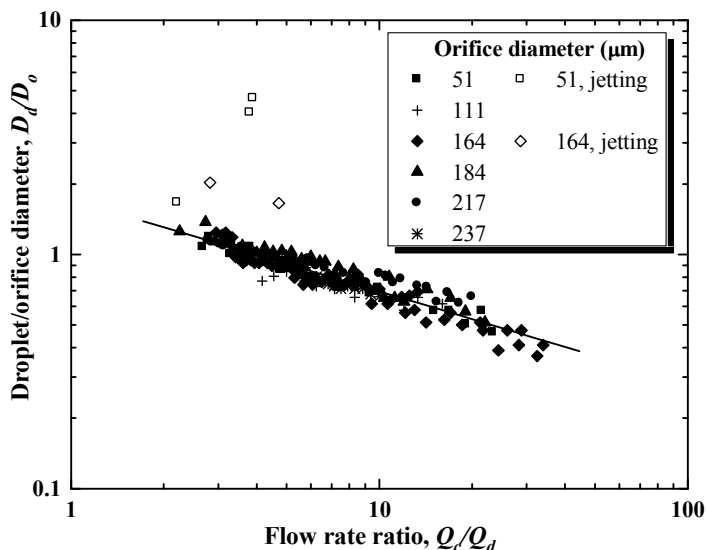


Figure 8. Droplet diameter /orifice diameter versus ratio of volumetric flow rates of dispersed to continuous phase over a range of orifice diameters. The experimental data shown on this graph are replotted from Figure 6. Filled symbols represent data points obtained under dripping regime while open symbols represent data points obtained under jetting regime. Data arising from the device with the same orifice size are of the same shape. The equation of the straight line is:

$$D_d / D_o = A(Q_c / Q_d)^B, \text{ where } A = 0.23 \pm 0.01, B = -(0.39 \pm 0.01), \text{ and the correlation coefficient is } R = -0.938.$$

The exponent -0.39 in Eq. (2) is very close to the values of -0.37 and -0.40 obtained for generation of microbubbles in a 3D and planar flow focusing device, respectively.^{35,36} Droplets formed in the geometry-controlled regime had diameters in the range of $1.34D_o > D_d > D_o$ while droplets formed in the dripping regime had diameters $D_o > D_d > 0.37D_o$. The most uniform droplets with a CV below 2% were obtained at flow rate ratios between 6 and 25. At $Q_c/Q_d > 25$, a good example of which is shown in Fig. 3 (g), drops were formed from an elongated jet that

was extended up to 4 orifice diameters downstream into the collection tube. The jet length increased with a further increase in Q_c/Q_d until the dispersed phase was stretched into a long narrowing jet, but experimental data corresponding to this jetting mode were not included in Fig. 8. Unlike jetting shown in Figs. 3 (d) and 7 (b) which is characterised by a widening jet, this second class of jetting was characterised by a narrowing jet and led to formation of drops whose diameter was only slightly larger than that of the jet itself. Both types of jetting are characterised by formation of polydisperse droplets and therefore, they are undesirable in practical applications.

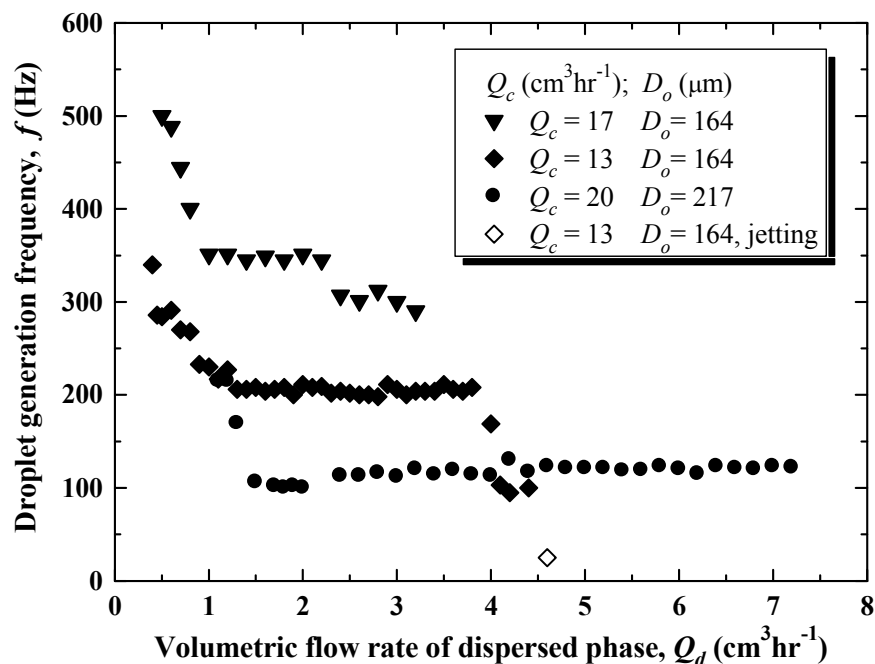


Figure 9. Graph of droplet generation rate versus volumetric flow rate of dispersed phase under constant continuous phase flow rate and orifice diameter values. Filled symbols represent data points obtained under dripping regime while open symbols represent data points obtained under jetting regime. Data arising from the device with the same orifice size are of the same shape.

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3 The flow rate of the dispersed phase governs the frequency of generation of droplets at constant
4 D_o and Q_c values, as shown in Fig. 9. The frequency of drop generation first decreases as the
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6 flow rate of the dispersed phase increases, but reaches a constant value at $Q_d = 1-1.5 \text{ ml}\cdot\text{hr}^{-1}$
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8 which corresponds to a transition from extended jet to dripping regime. Initially, $D_d \propto Q_d$ and
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10 because $f \propto Q_d / D_d^3$, the relationship between f and Q_d becomes $f \propto Q_d^{-2}$. In the dripping
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12 regime Eq. (2) can be applied and thus $D_d \propto Q_d^{0.39}$; putting this equation into $f \propto Q_d / D_d^3$ gives
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14 $f \propto Q_d^{-0.17}$, which means that the frequency of drop generation is virtually independent on the
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16 dispersed phase flow rate. In other words, any increase in drop formation time due to increase in
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18 the diameter of the drops is fully compensated by faster growth rate of droplets due to increased
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20 rate of flow of the dispersed phase. At sufficiently high Q_d values dripping gives way to jetting
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22 from a widening jet and drop generation rate drastically decreases due to rapid increase in the
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24 drop size.
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33 34 CONCLUSIONS

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37 We have developed novel fabrication method for production of poly(lactic acid) particles based
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39 on glass capillary microfluidics and solvent evaporation. The size of template droplets formed in
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41 flow focusing glass capillary devices has been closely controlled by phase flow rates and orifice
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43 size of the collection capillary. In the dripping regime, the ratio of droplet diameter to orifice
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45 diameter was inversely proportional to the 0.39 power of the flow rate ratio of continuous to
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47 dispersed phase. As the flow rate ratio increased, the diameter of the droplets produced in the
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49 device decreased to reach about 37% of the orifice diameter at the flow rate ratio of 34. The most
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51 uniform droplets with a CV below 2 % and a droplet to orifice size ratio ranging from 0.5 to 1
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53 were produced at flow rate ratios ranging from 6 to 25. Monodisperse coherent PLA particles
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with a smooth surface were formed by DCM evaporation at room temperature and the particle diameters were 2.7 times smaller than the initial droplet diameters. Two distinct classes of jetting characterised by a widening and narrowing jet have been identified at low and high Q_c/Q_d values respectively, but they both led to polydisperse droplets. Our method can be used to fabricate particles from a variety of different biodegradable polymers, such as poly(lactic-co-glycolic) acid, poly(caprolactone), tripalmitin, etc.

ACKNOWLEDGMENT

The work was supported by the Engineering and Physical Sciences Research Council (EPSRC) of the United Kingdom (grant reference number: EP/HO29923/1).

LIST OF SYMBOLS

CV	Coefficient of variation, -
D_o	Orifice diameter, m
D_d	Droplet diameter, m
D_j	Jet diameter, m
D_p	Particle diameter, m
L_j	Jet length, m
Q_c	Volumetric flow rate of continuous phase, $\text{m}^3 \cdot \text{s}^{-1}$
Q_d	Volumetric flow rate of dispersed phase, $\text{m}^3 \cdot \text{s}^{-1}$
t_f	Droplet formation time, s
u_d	Average velocity of dispersed phase in collection tube, $\text{m} \cdot \text{s}^{-1}$
u_c	Average velocity of continuous phase in collection tube, $\text{m} \cdot \text{s}^{-1}$
W_d	Weber number of dispersed phase, -
x_{PLA}	Mass fraction of PLA in dispersed phase, -
ε	Porosity of PLA particle, -

γ	Interfacial tension, $\text{N}\cdot\text{m}^{-1}$
ρ_d	Density of dispersed phase, $\text{kg}^3\cdot\text{m}^{-3}$
ρ_{PLA}	Density of solid PLA, $\text{kg}^3\cdot\text{m}^{-3}$

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Figure Captions

Figure 1. Glass capillary microfluidics/solvent evaporation method used to fabricate PLA particles. (a) Schematic diagram of experimental setup with expanded schematic of droplet formation in the flow-focusing region of the microfluidic device; (b) formation of PLA particles from emulsion droplets by evaporation of dichloromethane (DCM) at room temperature.

Figure 2. Experimental images of a drop breakup sequence in dripping regime at $Q_c = 4 \text{ ml}\cdot\text{h}^{-1}$ and $Q_d = 0.25 \text{ ml}\cdot\text{h}^{-1}$. The drop generation frequency and the drop diameter estimated from the footage were 262 Hz and 79 μm , respectively.

Figure 3. Experimental images of droplet formation under varying flow rates and orifice size. (a) $Q_c=0.6 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.03 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \mu\text{m}$, $D_d=30 \mu\text{m}$; (b) $Q_c=0.3 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.08 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \mu\text{m}$, $D_d=50 \mu\text{m}$; (c) $Q_c=0.31 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.14 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \mu\text{m}$, $D_d=85 \mu\text{m}$; (d) $Q_c=2 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.53 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \mu\text{m}$, $D_d=206 \mu\text{m}$; (e) $Q_c=5.5 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.5 \text{ ml}\cdot\text{h}^{-1}$, $D_o=111 \mu\text{m}$, $D_d=59 \mu\text{m}$; (f) $Q_c=5 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=1 \text{ ml}\cdot\text{h}^{-1}$, $D_o=111 \mu\text{m}$, $D_d=94 \mu\text{m}$; (g) $Q_c=13 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.4 \text{ ml}\cdot\text{h}^{-1}$, $D_o=164 \mu\text{m}$, $D_d=60 \mu\text{m}$; (h) $Q_c=13 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=1.9 \text{ ml}\cdot\text{h}^{-1}$, $D_o=237 \mu\text{m}$, $D_d=132 \mu\text{m}$; (i) $Q_c=20 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=7.2 \text{ ml}\cdot\text{h}^{-1}$, $D_o=216 \mu\text{m}$, $D_d=248 \mu\text{m}$; (j) Collected monodisperse droplets, 82 μm . The same scale bar applies to Figs. (a) to (i).

Figure 4. Optical micrograph showing PLA particles being formed from DCM/PLA droplets by evaporation from microscopic slide. (a) 64 μm emulsion droplet; (b) 46 μm partly-evaporated emulsion droplet; (c) 24 μm PLA particle.

Figure 5. (a) Optical micrograph of aqueous suspension of 23 μm PLA particles; (b & c) Scanning Electron Micrograph of 23 μm PLA particles under different magnification.

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3 **Figure 6.** A semilog graph of droplet diameter, D_d , versus ratio of volumetric flow rates of
4 dispersed to continuous phase, Q_c/Q_d , over a range of orifice diameters. Filled symbols represent
5 data points obtained under dripping regime while open symbols represent data points obtained
6 under jetting regime. Data arising from the device with the same orifice size are of the same
7 shape.
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16 **Figure 7.** Experimental images of two different droplet formation regimes under identical
17 operating conditions: $Q_c=6.5 \text{ cm}^3\cdot\text{h}^{-1}$; $Q_d=0.7 \text{ cm}^3\cdot\text{h}^{-1}$ and $D_o=113 \text{ }\mu\text{m}$: (a) Droplets formed by
18 dripping, $D_d=79 \text{ }\mu\text{m}$, $CV\approx 2 \%$, the ratio of jet length to orifice diameter, $L_j/D_o\approx 1.1$; (b) droplets
19 formed by jetting, $D_d=200 \text{ }\mu\text{m}$, $CV\approx 5 \%$, $L_j/D_o\approx 8.4$. The jet diameter at the entry section of the
20 collection capillary was $47 \text{ }\mu\text{m}$ in both cases.
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29 **Figure 8.** Droplet diameter /orifice diameter versus ratio of volumetric flow rates of dispersed to
30 continuous phase over a range of orifice diameters. The experimental data shown on this graph
31 are replotted from Figure 6. Filled symbols represent data points obtained under dripping regime
32 while open symbols represent data points obtained under jetting regime. Data arising from the
33 device with the same orifice size are of the same shape. The equation of the straight line is:
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40 $D_d / D_o = A(Q_c / Q_d)^B$, where $A = 0.23\pm 0.01$, $B = -(0.39\pm 0.01)$, and the correlation coefficient is
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47 **Figure 9.** Graph of droplet generation rate versus volumetric flow rate of dispersed phase under
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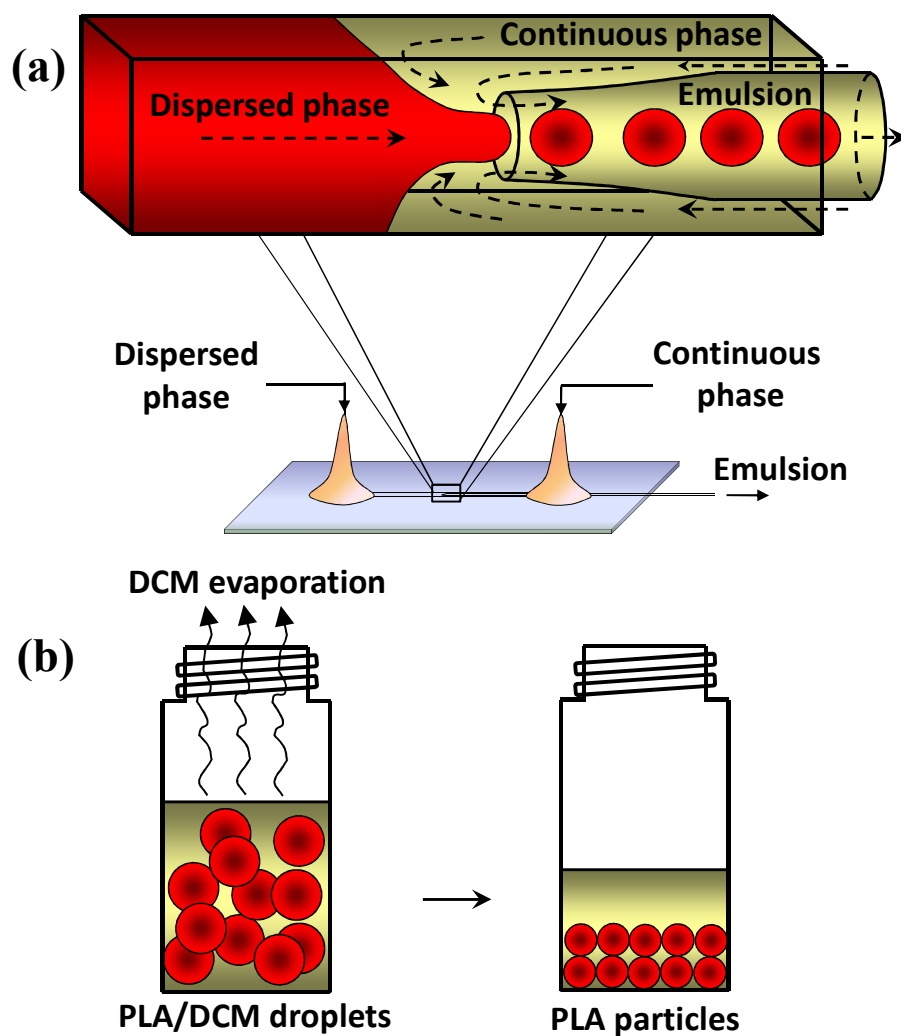


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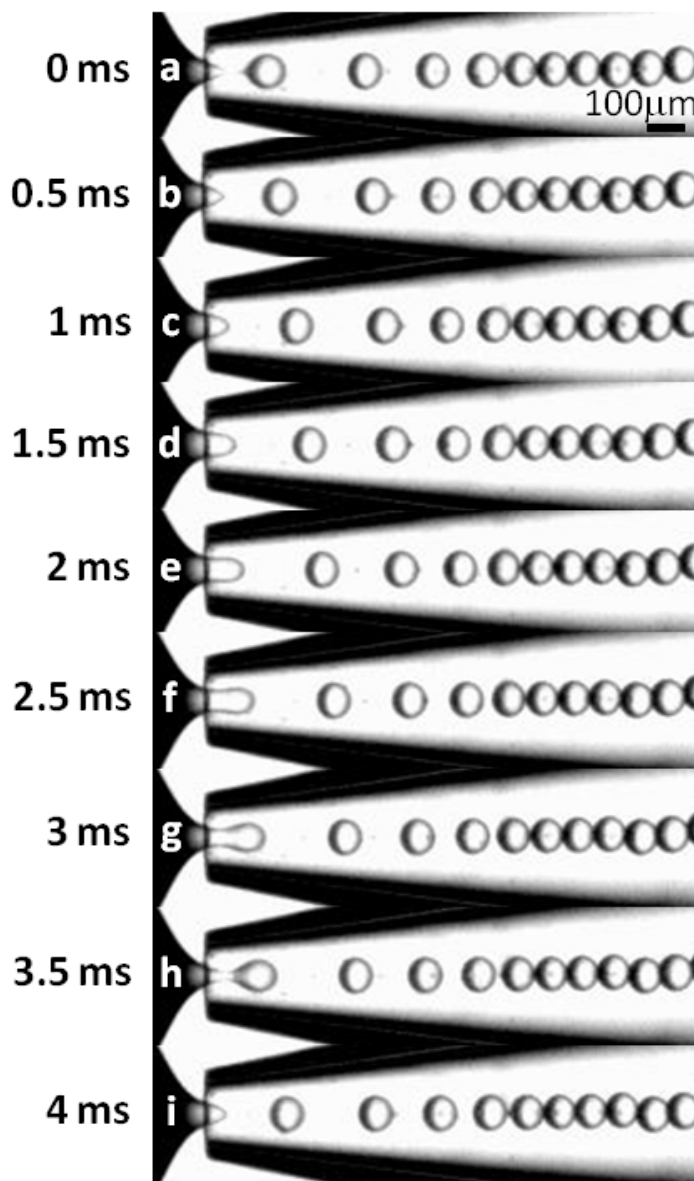


Figure 2. Experimental images of a drop breakup sequence in dripping regime at $Q_c = 4 \text{ ml}\cdot\text{h}^{-1}$ and $Q_d = 0.25 \text{ ml}\cdot\text{h}^{-1}$. The drop generation frequency and the drop diameter estimated from the footage were 262 Hz and 79 μm, respectively.

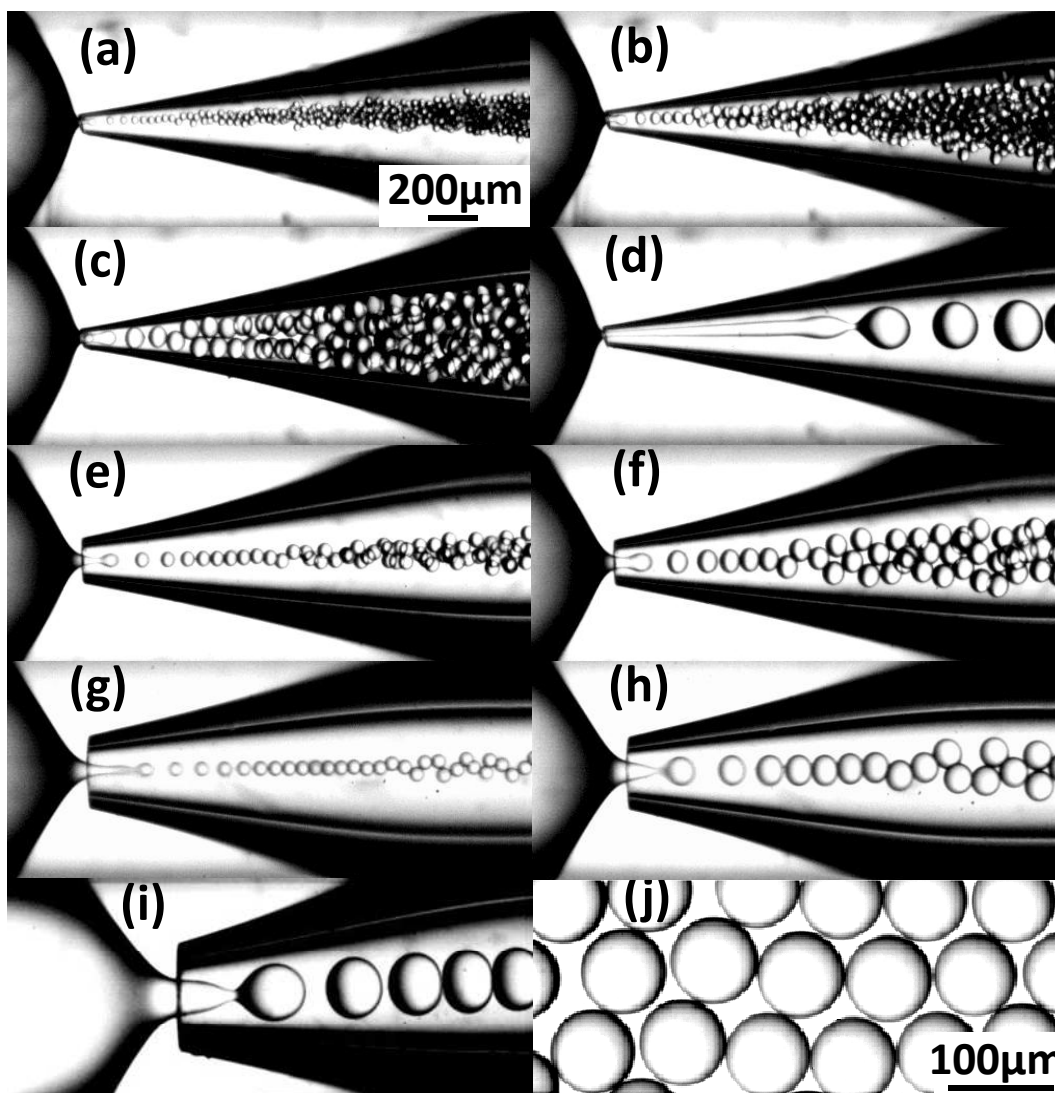


Figure 3. Experimental images of droplet formation under varying flow rates and orifice size. (a) $Q_c=0.6 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.03 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \text{ }\mu\text{m}$, $D_d=30 \text{ }\mu\text{m}$; (b) $Q_c=0.3 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.08 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \text{ }\mu\text{m}$, $D_d=50 \text{ }\mu\text{m}$; (c) $Q_c=0.31 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.14 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \text{ }\mu\text{m}$, $D_d=85 \text{ }\mu\text{m}$; (d) $Q_c=2 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.53 \text{ ml}\cdot\text{h}^{-1}$, $D_o=51 \text{ }\mu\text{m}$, $D_d=206 \text{ }\mu\text{m}$; (e) $Q_c=5.5 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.5 \text{ ml}\cdot\text{h}^{-1}$, $D_o=111 \text{ }\mu\text{m}$, $D_d=59 \text{ }\mu\text{m}$; (f) $Q_c=5 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=1 \text{ ml}\cdot\text{h}^{-1}$, $D_o=111 \text{ }\mu\text{m}$, $D_d=94 \text{ }\mu\text{m}$; (g) $Q_c=13 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=0.4 \text{ ml}\cdot\text{h}^{-1}$, $D_o=164 \text{ }\mu\text{m}$, $D_d=60 \text{ }\mu\text{m}$; (h) $Q_c=13 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=1.9 \text{ ml}\cdot\text{h}^{-1}$, $D_o=237 \text{ }\mu\text{m}$, $D_d=132 \text{ }\mu\text{m}$; (i) $Q_c=20 \text{ ml}\cdot\text{h}^{-1}$, $Q_d=7.2 \text{ ml}\cdot\text{h}^{-1}$, $D_o=216 \text{ }\mu\text{m}$, $D_d=248 \text{ }\mu\text{m}$; (j) Collected monodisperse droplets, $82 \text{ }\mu\text{m}$. The same scale bar applies to Figs. (a) to (i).

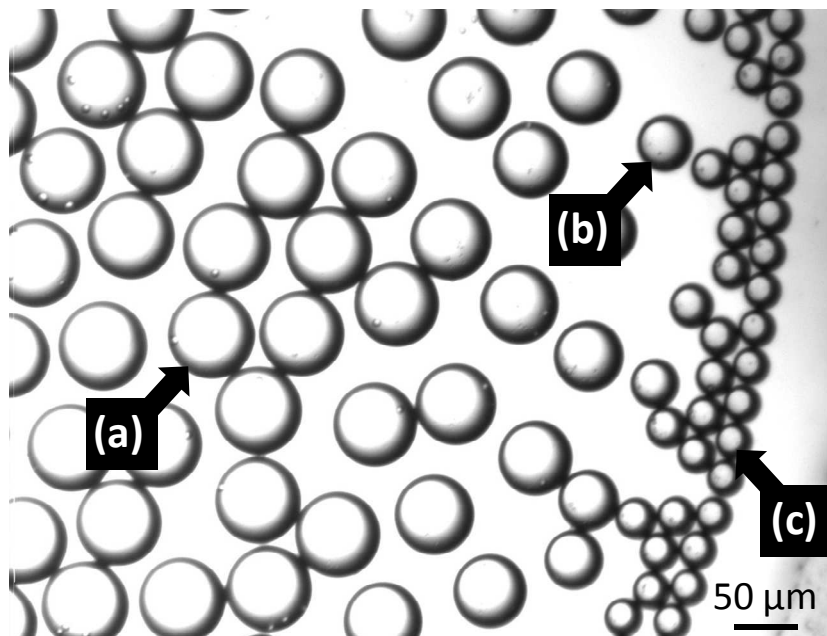


Figure 4. Optical micrograph showing PLA particles being formed from DCM/PLA droplets by evaporation from microscopic slide. (a) 64 μm emulsion droplet; (b) 46 μm partly-evaporated emulsion droplet; (c) 24 μm PLA particle.

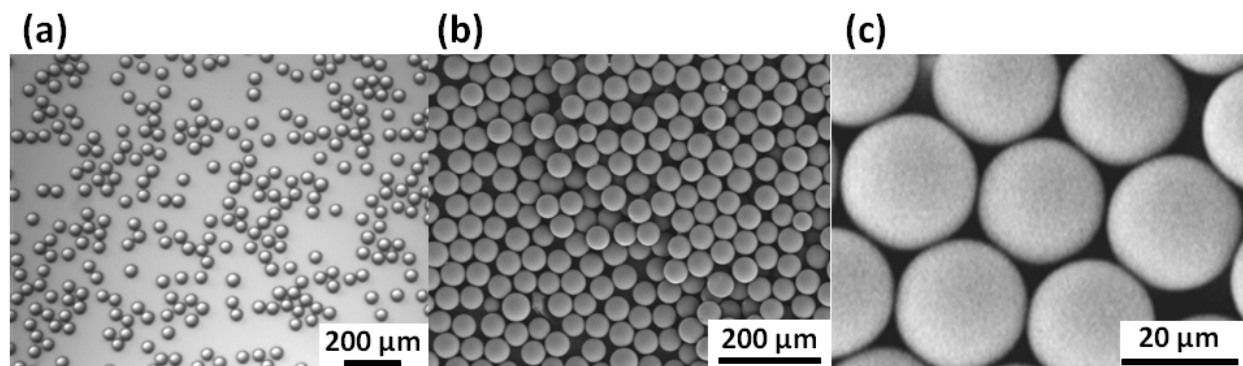


Figure 5. (a) Optical micrograph of aqueous suspension of 23 μm PLA particles; (b & c) Scanning Electron Micrograph of 23 μm PLA particles under different magnification.

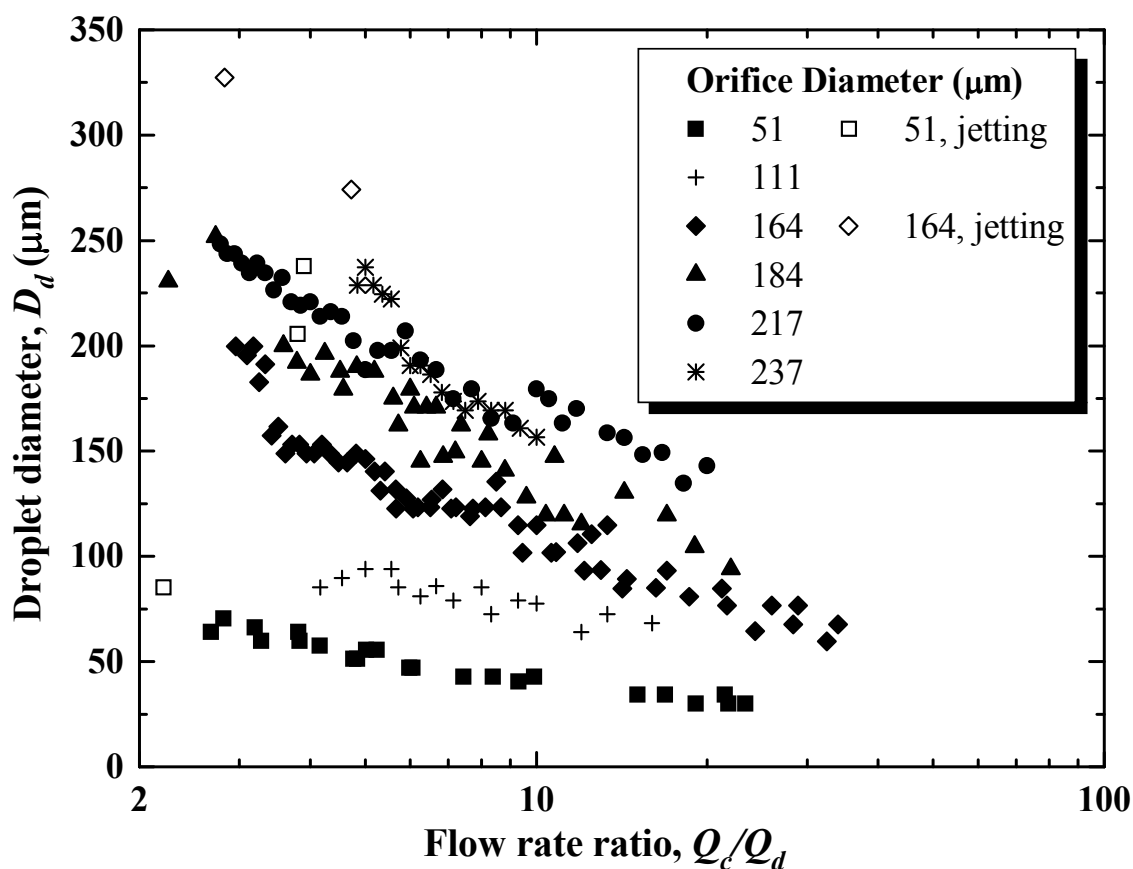


Figure 6. A semilog graph of droplet diameter, D_d , versus ratio of volumetric flow rates of dispersed to continuous phase, Q_c/Q_d , over a range of orifice diameters. Filled symbols represent data points obtained under dripping regime while open symbols represent data points obtained under jetting regime. Data arising from the device with the same orifice size are of the same shape.

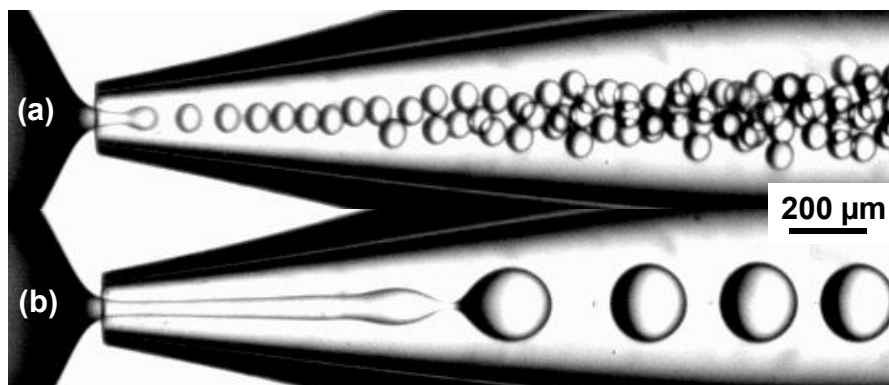


Figure 7. Experimental images of two different droplet formation regimes under identical process conditions: $Q_c=6.5 \text{ cm}^3 \cdot \text{h}^{-1}$; $Q_d=0.7 \text{ cm}^3 \cdot \text{h}^{-1}$ and $D_o=113 \text{ }\mu\text{m}$: (a) Droplets formed by dripping, $D_d=79 \text{ }\mu\text{m}$, $CV \approx 2 \%$, $L_j/D_o \approx 1.1$; (b) droplets formed by jetting, $D_d=200 \text{ }\mu\text{m}$, $CV \approx 5 \%$, $L_j/D_o \approx 8.4$. The jet diameter at the entry section of the collection capillary was $47 \text{ }\mu\text{m}$ in both regimes.

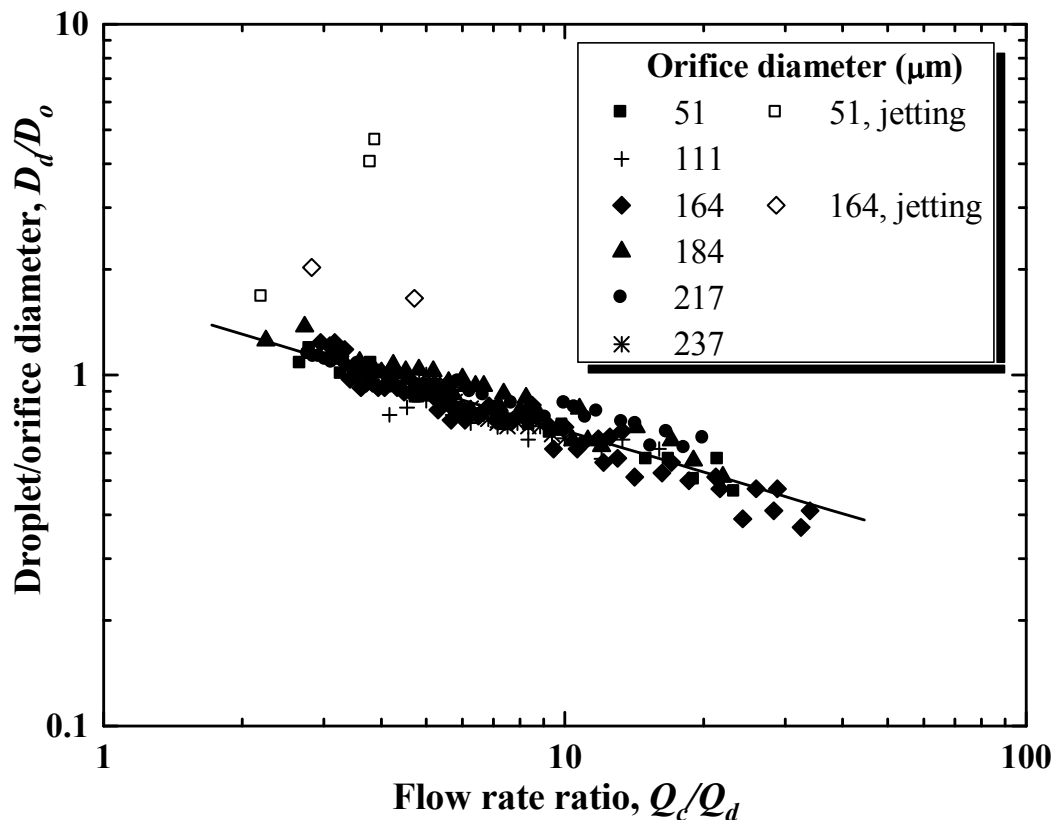


Figure 8. Droplet diameter /orifice diameter versus ratio of volumetric flow rates of dispersed to continuous phase over a range of orifice diameters. The experimental data shown on this graph are replotted from Figure 6. Filled symbols represent data points obtained under dripping regime while open symbols represent data points obtained under jetting regime. Data arising from the device with the same orifice size are of the same shape. The equation of the straight line is:

$$D_d / D_o = A(Q_c / Q_d)^B, \text{ where } A = 0.23 \pm 0.01, B = -(0.39 \pm 0.01), \text{ and the correlation coefficient is}$$

$$R = -0.938.$$

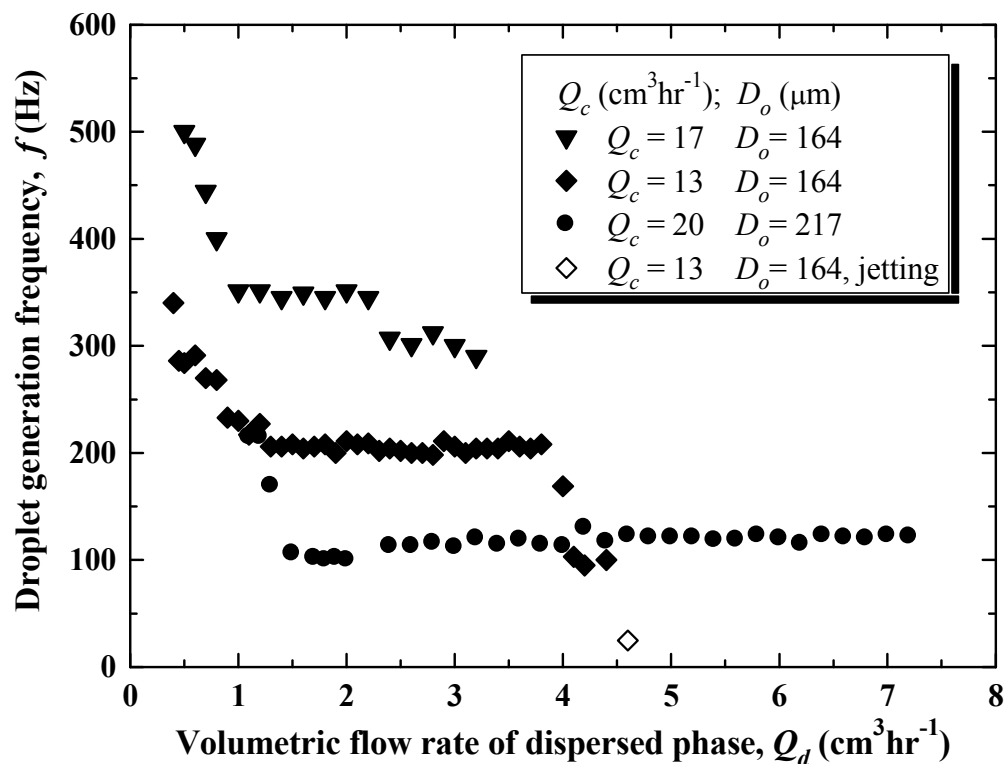
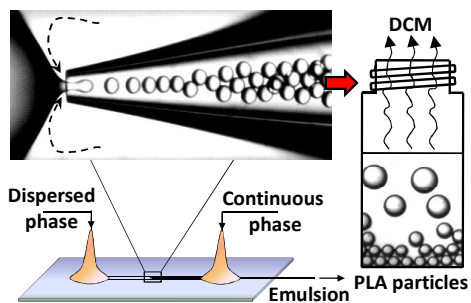


Figure 9. Graph of droplet generation rate versus volumetric flow rate of dispersed phase under constant continuous phase flow rate and orifice diameter values. Filled symbols represent data points obtained under dripping regime while open symbols represent data points obtained under jetting regime. Data arising from the device with the same orifice size are of the same shape.

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