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1 **Testing 3D landform quantification methods with synthetic drumlins in a real digital**
2 **elevation model**

3
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9
10 Metrics such as height and volume quantifying the 3D morphology of landforms are important
11 observations that reflect and constrain Earth surface processes. Errors in such measurements are,
12 however, poorly understood. A novel approach, using statistically valid ‘synthetic’ landscapes to
13 quantify the errors is presented. The utility of the approach is illustrated using a case study of
14 184 drumlins observed in Scotland as quantified from a Digital Elevation Model (DEM) by the
15 ‘cookie cutter’ extraction method. To create the synthetic DEMs, observed drumlins were
16 removed from the measured DEM and replaced by elongate 3D Gaussian ones of equivalent
17 dimensions positioned randomly with respect to the ‘noise’ (e.g. trees) and regional trends (e.g.
18 hills) that cause the errors. Then, errors in the cookie cutter extraction method were investigated
19 by using it to quantify these ‘synthetic’ drumlins, whose location and size is known. Thus, the
20 approach determines which key metrics are recovered accurately. For example, mean height of
21 6.8 m is recovered poorly at 12.5 ± 0.6 (2σ) m, but mean volume is recovered correctly.
22 Additionally, quantification methods can be compared: A variant on the cookie cutter using an
23 un-tensioned spline induced about twice ($\times 1.79$) as much error. Finally, a previously reportedly
24 statistically significant ($p = 0.007$) difference in mean volume between sub-populations of
25 different ages, which may reflect formational processes, is demonstrated to be only 30–50 %
26 likely to exist in reality. Critically, the synthetic DEMs are demonstrated to realistically model
27 parameter recovery, primarily because they are still almost entirely the original landscape.

28 Results are insensitive to the exact method used to create the synthetic DEMs, and the approach
29 could be readily adapted to assess a variety of landforms (e.g. craters, dunes, volcanoes).

30

31

32 Key words: synthetic, landform, DEM, drumlin, volume

33

34 **1. Introduction**

35

36 The 3D properties of landforms record information about the processes that formed them (e.g.
37 Evans, 1987; Rose, 1989; Marova, 2002). Specifically, drumlins' heights, H , and volumes, V ,
38 may preserve information about the dynamics of former ice sheets (e.g. Smith et al., 2009).

39 Quantification of these metrics (e.g. Smith et al., 2009), however, is prone to inaccuracy in the
40 presence of topographic 'noise' (e.g. trees, post-formational erosion) and underlying larger-scale

41 slopes (e.g. hills). So, observations like recovered mean height (\bar{H}_r) might be substantially

42 overestimated and reflect noise rather than ice sheet processes. Without precise, accurate and

43 reliable observations scientific conclusions based upon them must remain in some doubt. A

44 fundamental question then arises of how to test quantification methods. What is the correct

45 answer to test against? Like many classes of landform, the geometric rules used to map drumlins

46 (e.g. Shaw, 1983; Rose, 1987; Smith and Clark, 2005; Clark et al., 2009; Spagnolo et al., 2010)

47 are not yet definitively defined. So, establishing an objective *a priori* correct ground-truth with

48 which to test the quantitative methods is not possible. It is not possible to conduct a careful

49 manual interpretation, or an analysis using visually assessed numerical methods, and claim this

50 as to be the 'correct' H or V . Either would just be one estimate, based on a number of implicit or

51 explicit assumptions. Subsequent tests to determine which computational method best

52 reproduced these 'correct' values would then simply indicate the method that best reproduced the

53 underlying subjective preferences. This can, and has (e.g. Hillier, 2008), been done but is not a

54 truly objective assessment. In these circumstances it is standard best-practice to test the method

55 with some idealised or ‘synthetic’ data such as the classic ‘synthetic checkerboard’ test (e.g.
56 Dziejowski et al., 1977, Saygin and Kennett, 2010) used extensively in Earth tomography. The
57 constituent stages of this test (Nolet et al., 2007) illustrate the key elements of a synthetic test
58

- 59 1. *Construct a synthetic input*, which should include the feature of interest. In
60 geomorphology, this could be the expected morphology of a landform.
- 61 2. *Create synthetic data* that resembles the observed data, with suitable noise added. In
62 geomorphology, this could be a DEM including the synthetic input.
- 63 3. *Invert synthetic data* using the same numerical method applied to the observed data
- 64 4. *Compare inverted result with the synthetic input* to see how well the assumed synthetic
65 input (e.g. landform) is recovered.

66
67 In geomorphology, synthetic data have been used to assess numerical methods for estimating the
68 fractal dimension of topography (Malinverno, 1989; Tate, 1998a,b), and slope and aspect (Zhou,
69 2004). The performance of filters intended to isolate submarine volcanoes has also been
70 assessed by simplistically approximating them as cones on planar surfaces (Wessel, 1998;
71 Hillier, 2008; Kim and Wessel, 2008). Realistic ‘noise’ and regional trends, however, have not
72 been used to assess landform retrieval. Neither have methods to extract the 3D properties (e.g.
73 height and volume) of other landforms yet been subject to testing with synthetic DEMs. The
74 difficulty lies in generating a suitable, statistically representative synthetic landscape.

75
76 Synthetic DEMs may be constructed by i) using simple geometries as building blocks such as
77 cones or planes (e.g. Wessel, 1997; Kim and Wessel, 1998; Zhou, 2004; Hillier, 2008) ii)
78 generated statistically using fractals (e.g. Mandelbrot, 1983) or multi-fractals (e.g. Gilbert, 1989;
79 Weissel, 1994; Cheng, 1996) or iii) created by the application of mathematical descriptions of
80 physical processes in ‘landscape evolution models’ (e.g. Chase, 1992; Braun and Sambridge,
81 1997). Synthetic DEMs created using simple building blocks, do not contain the complexity in

82 the observed landscape, or necessarily have realistic statistical properties. Even multi-fractal
83 landscapes, may not have correct statistics without considering properties such as anisotropy
84 (e.g. Gagon, 2006) and characteristic scales (e.g. Perron, 2008), but more importantly these
85 DEMs will not contain spatially distinct, isolated features (e.g. drumlins). Landscape evolution
86 models, which link form with process by applying mathematical characterisations of the
87 processes, can now incorporate many processes (Tucker, 2010); for instance, stochastic bedrock
88 landsliding (e.g. Densmore, 1998), flexural isostasy (e.g. Lane et al., 2008), and valley-scale
89 erosion by ice flow (e.g. Harbour, 1992; Brocklehurst and Wipple, 2004; Amundson and
90 Iverson, 2006; Tomkin, 2009), including under thermo-mechanically coupled 3D ice sheets (e.g.
91 Jamieson et al., 2008). Numerical models, however, cannot as yet realistically generate some
92 landforms such as drumlins (e.g. Hindmarsh, 1998; Schof, 2007; Fowler, 2000, 2009, 2010a,
93 2010b). The problem of creating a statistically representative synthetic landscape containing
94 drumlins therefore remains a current one.

95
96 By whichever method synthetic DEMs are generated, if they are to be used in the assessment of
97 morphological mapping or to test numerical methods for quantifying the 3D properties of
98 landforms, a number of criteria must be satisfied. Firstly, the synthetic DEM must be
99 quantitatively representative of the observed landscape, at least in the aspects of it being
100 examined. This is necessary to comment upon process-related statements such as ‘landform sub-
101 population A differs from sub-population B’. Synthetics partially reflecting the observed
102 landscape will permit only a subset of inferences to be drawn. Of particular note is the difficulty
103 of ‘noise’ (e.g. alignments of trees). Noise may not be well represented by statistical noise (e.g.
104 Lombardini, 2005; Jordan and Watts, 2005; Sun, 2009) and its removal by ‘decluttering’ (e.g.
105 Sithole and Vosselman, 2004) likely distorts the landforms. The second criterion is that
106 circularity, the retrieval of input assumptions, must be avoided. For instance, synthetic DEMs
107 should not contain signatures of any isolation method such as the cookie cutter that would cause
108 it to be favoured when comparing isolation methods.

109

110 This paper proposes a method of testing 3D landform quantification techniques such as the
111 cookie cutter. It is applicable to varied study areas without substantial customization, and is
112 potentially applicable to various landforms (e.g. drumlins, dunes, scoria cones, landslides). It
113 avoids *a priori* assumptions about process and permits analyses of synthetics that are directly
114 and securely relevant to real study sites. These assertions are demonstrated using a worked
115 example. The study area, DEM data and quantification method used are outlined in Section 2.
116 The processes of creating and using the synthetic DEMs (Fig. 1) has four stages, listed below,
117 corresponding to those enumerated above. These stages are explained sequentially in the paper.

118

- 119 1. To first-order isolate, remove, and parameterise landforms (i.e. drumlins) from the
120 measured DEM (Sections 3.1 and 3.2). Then, establish their idealised 3D shape (Section
121 3.3).
- 122 2. Re-insert idealised landforms (i.e. drumlins) using parameters matching those removed
123 into the ‘synthetic’ DEMs at locations that are random with respect to surface clutter and
124 larger features (Section 3.4).
- 125 3. Run the quantification methodology under examination, i.e. the cookie cutter (Section 4)
- 126 4. See how it recovers *a priori* known parameters from the synthetic DEM (Section 5)

127

128 Some ways in which observations and scientific claims may be evaluated using synthetic DEMs
129 are then illustrated through the worked example, assessing the ‘cookie cutter’ method of Smith et
130 al. (2009) as applied to the drumlin field they analysed in central Scotland. The same DEM and
131 manual digitisations of the drumlins’ outlines are used so that a direct comparison is possible.

132 To highlight the extent of the observational problem note that it is not known if important
133 parameters, e.g. recovered mean volume (\bar{V}_r), reflect the actual population at all.

134

135 **2. Study area, data, and extraction method to be tested**

136

137 The study area is in the western part of central Scotland (Fig. 2a). It (Fig. 2c) is 13×8 km in
138 size, is identical to that analysed by Smith et al. (2009), and completely contains 175 landforms
139 interpreted by them as drumlins. These landforms are Younger Dryas (YD) [~ 12 ka] and Last
140 Glacial Maximum (LGM) [~ 20 ka] in age (Rose and Smith, 2008). Smith et al. (2009) report
141 that, using a t-test without assuming equal variances, the observed difference in mean volumes
142 between YD and LGM drumlins is statistically significant. Specifically, this refers to a
143 difference in the logarithms of volumes, $\Delta \overline{\ln(V)}$, calculated as $\overline{\ln(V)}_{LGM} - \overline{\ln(V)}_{YD}$.

144

145 As in Smith et al. (2009) the NEXTmap BritainTM digital surface model (DSM), or ‘NEXTmap’,
146 is used in this study (Fig. 2b). NEXTmap is a single-pass interferometric synthetic aperture
147 radar (IfSAR) product presented as a spatial (x, y) grid at a resolution of five metres, with a
148 vertical resolution estimated as 0.5–1 m (Intermap, 2004). The 184 digitised drumlin outlines
149 used are also those of Smith et al. (2009) (Fig. 2c). These were digitised from NEXTmap and
150 quantitatively compared to field mapping in Smith et al. (2006). A combination of gradient, two
151 orthogonal relief-shaded images, and local contrast visualizations, considered ‘optimal’ (Smith
152 and Clark, 2005), was used in the digitisation to minimise bias in the orientations of the drumlins
153 (Smith and Wise, 2007). Re-analysis of the digitised outlines of the 184 drumlins indicates that
154 178 drumlins ($n = 178$) have all vertices within the study area, and these are used as the basis for
155 computation in this paper based on this criterion alone.

156

157 The semi-automated ‘cookie cutter’ method (Smith et al., 2009) estimates a basal surface by
158 interpolating between points on manually digitised drumlins’ outlines using a fully tensioned
159 (i.e. $T = 1$) bi-cubic spline (e.g. Smith and Wessel, 1990), thereby permitting drumlins’ volumes
160 (V) and maximum heights (H) to be estimated. Specifically, this is implemented here by
161 considering each drumlin in turn, starting from complete data across a sub-region of the observed
162 DEM and i) removing measured heights within the outline, then ii) interpolating across the ‘hole’

163 using the spline to estimate a basal surface, and finally iii) calculating H and V . H is the
164 maximum vertical difference between the original and interpolated surfaces, and V as the volume
165 between the surfaces. All calculations are at the full resolution of the DEM.

166
167 The cookie cutter is a ‘regional-residual separation’ (RRS) technique (e.g. Wessel, 1998; Hillier
168 and Smith, 2008, Hillier, 2008). Distinctively for an RRS technique the cookie cutter alone
169 requires a manually digitized outline as an input. This, however, permits a simple method and
170 creates results fully compatible with the subjective digitisations that are predominant in sub-
171 aerial geomorphology. The cookie cutter likely introduces highly significant, but un-quantified,
172 errors in estimates of H and V , but as a numerical method it is reproducible with the potential for
173 it to be quantitatively tested.

174

175 **3. Method for creating the synthetic DEMs**

176

177 This section describes the methods used during the steps to create the synthetic DEMs, which are
178 outlined in Section 1.

179

180 3.1 Drumlin isolation and removal

181

182 Height, H , recorded in Digital Elevation Models (DEMs) can be described at any location (x, y)
183 as the sum of n ‘components’ (Eq. 1) (e.g. Wren, 1973; Wessel, 1998; Hillier and Watts, 2004;
184 Hillier and Smith, 2008).

185 Eq. (1)
$$H_{\text{DEM}} = H_1 + H_2 + \dots H_n$$

186 Eq. (2)
$$H_{\text{DEM}} = H_{\text{noise}} + H_{\text{drumlins}} + H_{\text{hills}}$$

187 The topography of the study area can be described by Eq. 2, where ‘noise’ consists of surface
188 ‘clutter’; small-scale height variations not genetically related to drumlin formation. Specifically,
189 clutter includes features such as trees and houses resting upon the terrain, and post-glacial

190 alterations. ‘hills’ is a shorthand for larger-scale trends and landforms that are not drumlins.
191 Regional-residual separation into these components is conducted using two standard (e.g.
192 Wessel, 1998) sliding-window median filters. This filter is chosen for its robustness to outliers,
193 simplicity, so that no element of the cookie cutter method was involved in the creation of the
194 synthetic DEMs. This was done to avoid any possibility of circularity.

195
196 Numerous inspections, such as that illustrated in Figs. 3 and 4a,b, were used to establish the best
197 filter widths (60 m, 500 m) for this initial estimate of drumlins’ 3D form. Note, however that it
198 is not possible to objectively demonstrate that any RRS is optimal at this stage because no
199 ‘correct’ interpretation is known to test against. The efficacy of the division into the three
200 components is illustrated as follows: Drumlins are not visible in Fig. 3c, clutter is minimized in
201 Fig. 3b, and drumlins are not visible in H_{hills} (Fig. 3d). Even for this best visual assessment, some
202 undesirable behaviours still exist. For example, the basal surface estimated is higher than might
203 be expected in places (Fig. 4a,b). The synthetic DEMs created using the filters selected,
204 however, are shown to be sufficiently realistic in Section 6; H and V are extracted in the same
205 way for the real and synthetic DEMs.

206
207 H_{drumlins} was subtracted from H_{DEM} within the digitised outlines to remove drumlins, but they will
208 be replaced by similar objects within the landscape (Fig. 1, Section 3.4). Outside the digitized
209 outlines the DEM was not altered, retaining all its spatial and statistical characteristics. Thus, the
210 synthetic landscapes will closely resemble the original.

211

212 3.2. Drumlin parameterisation

213

214 Length (L), width (W), height (H), and volume (V) are the main parameters used to quantify
215 drumlins. L - W - H triplets are needed to create idealised drumlins for the synthetic DEMs. L and
216 W are properties of drumlins’ planform shapes, but can be calculated several different ways.

217 Since drumlins' idealised planar shape remains debated (e.g. Corley, 1959; Shaw, 1983;
218 Spagnolo et al., 2010), methods assuming an idealised shape are not used here. Instead, L and W
219 are calculated directly from drumlins' manually digitised outlines. Errors and systematic biases
220 due to any method chosen for this, and then to calculate H and V , are unavoidable. So, two
221 contrasting methods were implemented (Appendix A) so that the sensitivity of parameters (θ_L , V ,
222 H , L , and W) to them can be assessed. These are referred to as 'Method 1' and 'Method 2'.

223

224 3.3. Representative 3D drumlin shape

225

226 Using an idealised shape for drumlins breaks the link between filters used to generate input H
227 and V and the testing of recovery efficacy. Without this break some signature of these filters
228 may remain, perhaps introducing circularity. What shape, however, is appropriate?

229

230 Drumlins can be described as 'an elongated hill or mound ... possessing a smooth "moulded"
231 outline' (Hollingsworth, 1931), and various analogies have been used to describe their geometry:
232 e.g. half torpedo, tear drop, half egg, cigar (see Spagnolo, 2010). Shaw (1983) distinguishes
233 three isolate forms, i.e. those not in contact with other forms: 'spindle', 'parabolic', and
234 'transverse asymmetrical'. Reed et al. (1962), however, remain alone in proposing a 3D form
235 with a simple mathematical description, the ellipsoid. In ellipsoids, slopes increase towards their
236 edges. This model does not fit in the Central Scotland study area where slopes appear to
237 decrease (Fig. 4a,b).

238

239 To determine a representative 3D drumlin shape to use in creating synthetic landscapes
240 transverse and longitudinal profiles from the drumlins were stretched to a standard size and
241 overlain on each other i.e., de-cluttered, de-trended, normalised (Fig. 4c,d), and stacked (Fig. 5).
242 To de-trend, the estimated basal surface was subtracted. To normalize, distances were linearly
243 scaled such that L , W , and H all become 1.0. To stack, the mean and standard deviation of

244 normalized heights at each normalized distance along the profile were computed. Note that all
245 profiles start from θ_L (Fig. 6a) used so the longitudinal profiles all align, with C_{xy} to the right.
246
247 Visually, a Gaussian form (grey line) well approximates the average transverse profiles (Fig.
248 5b,d), i.e. is within $\pm 2\sigma_H$, and is close to the longitudinal profile of Method 1. In accord with
249 observations elsewhere (Spagnolo et al., 2010) the asymmetries for Method 2 are not strongly
250 aligned with flow (Fig. 2c), and so Fig. 5c resembles Fig. 5a if drumlins are aligned to the
251 interpreted regional flow direction before stacking. About 10% of the height change associated
252 with the approximating Gaussians' amplitude is outside the grey box representing the drumlins'
253 limits (Fig. 5). So, the upper 90% of an elongate Gaussian form (Fig. 7), $H_f = 0.9$, is taken as the
254 representative 3D form for drumlins in this study area. Are the stacked profiles exactly
255 Gaussian? Points along the observed curves (black lines) lack independence, rendering
256 statistical tests (e.g. Chi-squared goodness of fit) of this invalid, but the question is also not
257 particularly relevant. As above, the approximation is demonstrably sufficient because H and V
258 are extracted in the same way for the real and synthetic DEMs. Reassuringly, volumes of
259 idealised Gaussians (Eq. A.1, Fig. 7) and volumes from grid-based calculations, for Method 2
260 where direct comparison is possible, agree well visually (Fig. 8b) and correlate with an $r^2 =$
261 0.8724 ($n = 173$). So, the Gaussian approximation has no systematic effect upon input volumes,
262 V_{in} .

263

264 3.4. Building synthetic DEMs

265

266 Using an idealised Gaussian shape (Appendix B), and parameters characterising the drumlins
267 (Fig. 8), synthetic DEMs were created by removing existing drumlins and inserting idealised
268 ones (Fig. 1). To avoid the non-trivial problem of statistically generating realistic H - W - L triplets
269 the measured ones where $H > 0$ were used; $n = 178$ for Method 1 and $n = 173$ Method 2.

270 Complications avoided include i) the relative abundances of different sizes of L , W , H (Fig.
271 8c,d,e) and ii) the strength of correlations between L - W , L - H and H - W varying as a function of
272 size. The spatial distribution of drumlins (Fig. 9a,b) was reproduced (Fig. 9 c,d), and the
273 distribution of θ_L was replicated (Fig. 8a). Details of the exact procedures used are in Appendix
274 C. For both Method 1 and Method 2 10 synthetic DEMs and sets of digital drumlin outlines,
275 were created. It would also be possible to spatially vary the dominant orientation, making the
276 synthetic more realistic. However, when analysed, H and V are extracted in the same way for the
277 real and synthetic DEMs (Section 6). So neither this additional complexity, nor the inclusion of
278 asymmetry in an idealised Gaussian shape, is necessary.

279

280 **4. Method for using the synthetic DEMs to assess the cookie cutter**

281

282 This section describes the methods used to interrogate the synthetic DEMs. To assess its ability
283 to recover H and V , the cookie cutter was applied to each of the 20 synthetic landscapes. The
284 heights and volumes of the idealised drumlins put into the synthetic DEMs are denoted H_{in} and
285 V_{in} . Recovered heights are denoted, H_r , and volumes, V_r . In addition, analyses were repeated
286 employing a variant of the cookie cutter method, using an un-tensioned (i.e. $T = 0$) rather than a
287 tensioned (i.e. $T = 1$) spline. This test is designed to illustrate the utility of synthetic DEMs in
288 assessing different extraction methodologies. The results of both tests are reported in Sections
289 5.1 to 5.3. Note that, for consistency, the same parameterisation method (e.g. Method 1) was
290 used to quantify drumlins extracted by the cookie cutter as was used to create the synthetic DEM
291 analysed.

292

293 Next, the types of investigation made possible by knowing both V_{in} and V_r for synthetic DEMs
294 are illustrated. Their results are reported in Section 5.4. The first illustrative investigation
295 assesses the likelihood that the difference in volume between YD and LGM drumlins reported by
296 Smith et al. (2009) actually exists when errors that occur during the extraction of drumlins?

297 volumes are considered. Standard statistical tests (e.g. Smith et al. 2009) implicitly assume that
 298 automated methods recover drumlins' parameters exactly. A sub-set of 100,000 stochastically
 299 generated pairs of LGM and YD sub-populations with 'observed' differences (i.e. $\overline{\Delta \ln(V_r)}$)
 300 extracted by the cookie cutter more extreme than that reported by Smith et al. (2009) was
 301 assessed to see what proportion of them had 'real' input differences (i.e. $\overline{\Delta \ln(V_{in})}$) over the
 302 threshold for 95% significance (i.e. $\overline{\Delta \ln(V)_{crit}}$). To paraphrase; If the difference you extract is at
 303 least as large as that reported by Smith et al. (2009), what fraction of the time is the actual
 304 difference large enough to be statistically significant? This is illustrated in Fig. 10, with details
 305 of the stochastic modelling in Appendix D.

306
 307 A second investigation was also performed. It is possible that the observed $\overline{\Delta V}$ between sub-
 308 populations is due to how the cookie cutter method interacts with spatial variation in regional
 309 trends and topographic noise between the LGM and YD regions. This was tested. If the
 310 recovered difference in mean volumes between regions is consistently larger or smaller than that
 311 input, this is caused by a difference between them. The effect, E , that the process of landform
 312 extraction makes to the difference in mean volume between the LGM and YD areas (i.e.
 313 $\overline{V}_{LGM} - \overline{V}_{YD}$), was quantified as the change in difference between average volumes of the sub-
 314 populations between input and recovery, specifically

315 Eq. (3)
$$E_V = \frac{1}{n} \sum_{i=1}^n (\Delta \overline{V}_r - \Delta \overline{V}_{in})_i$$

316 where i is the number of the DEM out of 10. $\Delta \overline{V}_r$ and $\Delta \overline{V}_{in}$ are retrieved and input volume
 317 differences respectively. Equivalent comparisons were made for $\Delta \overline{H}$, un-tensioned splines, and
 318 Methods 1 and 2.

319

320 **5. Results**

321

322 5.1 Negative volumes

323

324 For the 10 synthetic DEMs analysed using the cookie cutter and parameterised with Method 1,
325 14.3 ± 6.4 (2σ) of 178 drumlins have negative (i.e. incorrect) volumes. For Method 2 the
326 equivalent number is similar, 15.4 ± 2.8 (2σ) of 173. Within error these are not distinguishable
327 from the 11 negative volumes recovered by analysing the original DEM and its manually
328 digitised outlines. This is a first indicator that the synthetic DEMs well replicate the original, at
329 least when measuring H and V . Using an un-tensioned spline figures are 32.3 ± 7.6 (2σ) and
330 26.4 ± 9.2 (2σ) for Methods 1 and 2 respectively. So, these definite errors are significantly more
331 numerous for the un-tensioned spline (e.g. $p = \sim 7 \times 10^{-10}$, Method 1, 1-tailed t -test assuming equal
332 variances). This is initial evidence that the un-tensioned variant recovers H and V more poorly.
333 Note that in hilly, noisy terrain large errors producing negative volumes are expected of
334 interpolation using splines (e.g. Fig 11b of Smith et al. (2009)). Indeed, this is one of the main
335 drivers behind this work to develop synthetic DEMs.

336

337 5.2 Individual heights and volumes

338

339 For Method 1 parameters, 39.2% of individual recovered volumes are within $\times 0.75 - 1.25$ of V_{in} ,
340 i.e. V_r/V_{in} is in the range 0.75 to 1.25. Table 1 summarizes such results. Percentages are
341 consistently higher for V than H , and for tensioned rather than un-tensioned variants for the
342 cookie cutter. Consistent with this, standard deviations of V_r/V_{in} are notably smaller for
343 tensioned as compared to un-tensioned splines, although this difference is much less marked for
344 H_r/H_{in} . In short, on an individual basis drumlins' parameters are poorly recovered, especially for
345 the un-tensioned spline. V_r values are distributed approximately symmetrically about their true
346 values i.e. V_{in} , whilst recovered heights tend to be too great (Fig. 11). This determines how well
347 population parameters are recovered (Section 5.3). The range 1 ± 0.25 is arbitrarily chosen, but
348 observations are consistent for other ranges e.g. 1 ± 0.5 . Figure 11 plots the shape of the

349 distributions of V_r/V_{in} and H_r/H_{in} , and illustrates that large drumlins (black) are recovered in a
350 similar way to the whole population. This is a necessary check that small, numerous drumlins
351 are not dominating the results.

352

353 5.3 Population parameters

354

355 For the 10 groups of 178 drumlins, of parameters estimated by Method 1, mean input volume
356 (\bar{V}_{in}) is $1.59 \times 10^5 \text{ m}^3$. Mean volume (\bar{V}_r) is recovered well as $1.56 \pm 0.16 \times 10^5 \text{ m}^3$ (2σ). The
357 10 \bar{V}_r estimates are plotted in Figure 12b, and \bar{V}_{in} is within the spread of these figures. Mean
358 height (\bar{H}_{in}) of 6.8 m is poorly recovered as 12.5 ± 0.6 (2σ) m. \bar{H}_{in} is not within the spread
359 of \bar{H}_r . This is due to the strongly skewed distribution of errors for individual drumlins (Fig. 11
360 a,c). Results are similar for Method 2, and for both tensioned and un-tensioned splines (Table
361 2), and so the observations are robust.

362

363 5.4 Sub-populations

364

365 What is the effect of inaccuracies due to the cookie cutter method upon the conclusions of Smith
366 et al. (2009)? This is the first investigation of sub-populations. For synthetics using Method 1,
367 715 of 100,000 simulations have observed volume differences $\Delta \ln(\bar{V}_r)$ more extreme than those
368 observed by Smith et al. (2009). Of these only 42% of these have ‘actual’ input volume
369 differences that are significant (i.e $\Delta \ln(\bar{V}_{in}) > \Delta \ln(\bar{V})_{crit}$). A duplicate analysis, but using
370 Method 2, gives a value of 38%. A comparison with parametric statistics demonstrates the
371 confidence that it is possible to have in the stochastic modelling. When inaccuracies in
372 extracting the volumes are not considered they agree well. Specifically p values under H_0 :
373 $\ln(\bar{V}_{LGM}) = \ln(\bar{V}_{YD})$ for a t-test that does not assume equal variances and the stochastic modelling
374 are 0.0062 and 0.0071 respectively.

375

376 What is the effect upon population parameters of possible differences in the character of noise or
377 hills between the two regions? This is the second investigation. Mean E_V values for Methods 1
378 and 2 (Table 3) quantify the systematic difference between areas. These are smaller than the
379 32,199 m³ observed by Smith et al. (2009) or in the opposite direction. So, they cannot explain
380 the observation of Smith et al. (2009), reassuringly suggesting that it is possible to see
381 geomorphic signal through the noise. This main result is insensitive to method used or tension
382 on the spline employed (Table 3). For height, in contrast, a systematic effect of ~1 m appeared
383 consistently increasing heights for LGM drumlins more. This would have to be considered were
384 any claims made about differences in mean heights: For the data of Smith et al. (2009) the mean
385 height of LGM drumlins is 3.0 m greater than YD, with a critical difference of only 2.0 m at the
386 95% confidence level calculated stochastically assuming accurate extraction.

387

388 **6. Discussion**

389

390 For a synthetic DEM to be useful, it must be statistically representative of the real DEM, at least
391 for the scenario to be assessed. In this case, the scenario is the quantification of H and V using
392 semi-automated methods. In the search for a best way of quantifying drumlins, by definition no
393 *a priori* information is or can be available for parameters of the original, non-Gaussian drumlins
394 in the DEM. So, the best test possible is to compare the shapes of recovered parameter
395 populations (Fig. 13). For these to match closely either all stages of generating and processing
396 the synthetic DEMs are correct or any significant errors that exist within these stages must have
397 produced equal and opposite effects.

398

399 A number of attempts are made to falsify the idea that the synthetic DEMs well approximate the
400 real landscape by comparing output from the cookie cutter method as applied to the original and
401 synthetic DEMs. Firstly, the shapes of the populations of recovered volumes are examined

402 quantitatively by inter-quartile ranges and skews, and visually (Fig. 13a). They are very similar.
403 Thus, the idea that the synthetic DEMs well represent reality is not contradicted. Secondly, the
404 shape of an input V distribution is modified, spread out, by errors in recovery (e.g. Fig. 13c).
405 Similar numbers of negative volumes (Section 5.1), definite mistakes, for the real and synthetic
406 datasets are therefore a strong direct indicator that DEMs are behaving similarly. Differences in
407 the input distributions generated by Methods 1 and 2 (Fig. 8b), however, make this comparison
408 inexact. The differences can, however, be corrected for by aligning mean recovered volumes
409 (\bar{V}_r) (Fig. 13a). This is valid if errors in recovery are randomly distributed about their true
410 values, as they appear to be (Fig. 11b,d). Thus if errors are random, if input distributions (e.g.
411 Fig. 8b) are of the correct shape, and if parameters are recovered in the same way for the real and
412 synthetic data, scaled curves for recovered volumes should overlie each other. They do (Fig.
413 13a). ‘Scaling factors’, are 0.82 and 1.17 for Methods 1 and 2 respectively. Thus, the idea that
414 the synthetic DEMs well represent reality is again not contradicted. It is not obvious, however,
415 that a combination of a different ‘scaling factor’ and errors of a different magnitude may be able
416 to equally well approximate the shape of the distribution. So, thirdly, to discount the possibility
417 that the fit occurred by chance due to a trade-off between effects, an inversion (Fig. 13b) was
418 conducted. This examined all possible magnitudes of scaling factor and error magnitude as
419 multiples of that actually found for the synthetic data: ‘error multiplier’. Best-fit scaling factors
420 of 0.82 and 1.14 were found for Methods 1 and 2, very similar to those used to align the means.
421 Thus the fit between the curves does not appear arbitrary. The error multipliers, applied to $V_{in}-V_r$
422 for individual drumlins that minimise misfit are 0.80 and 0.99 for Methods 1 and 2 respectively.
423 These are again consistent with the idea that the synthetic DEMs well approximate reality,
424 providing little evidence that results cannot be interpreted with confidence. An error multiplier
425 of 0.80 suggests that analyses based on Method 1 may have errors that are ~20% too large, but
426 this is affects results insufficient to alter any conclusions.

427

428 As for V , recovered H distributions are of similar shape when aligned using mean values for the
429 populations. The similarity, however, is less good, and no negative heights exist for comparison.
430 So, analysis is less straightforward. Furthermore, due to the likely asymmetrical distribution of
431 errors (Fig. 11a,c), the errors spread out the input distribution but also increase heights as can be
432 done by a scaling factor. Misfit functions from an inversion therefore contain a trade-off, not a
433 minimum as for V . However, using the misfit surface, the following can be stated. Firstly, if
434 errors in the recovery of height (Fig. 11 a,c) are correct, H_{in} values need to be multiplied by ~ 0.7
435 for both Methods 1 and 2 in order to achieve a best fit. Namely, input heights are overestimated
436 by $\sim 40\%$. An alternative would be to assume that heights, H_{in} , are correct and errors differ
437 between the real and synthetic DEMs. However, running the Method 2 variant of same
438 procedure used to estimate H_{in} on the appropriate 10 synthetic DEMs gives median
439 H_r/H_{in} values of 1.40 ± 0.09 (1σ), a $\sim 20\text{--}60\%$ height overestimation. This $\sim 40\%$ figure for
440 height overestimation is valid for the procedure's treatment of idealised Gaussian shapes of a
441 distribution not yet proven to match reality, but even this is quite strong evidence of at least
442 some overestimation of H_{in} . This is an indicator in favour of the first case where H_{in} is
443 overestimated and errors in recovery are similar for the real and synthetic data.

444
445 In summary, the simplest explanation for the similarity in the shapes of the recovered
446 distributions, of H_r and V_r , is therefore that the synthetic DEMs and drumlins well represent
447 those digitised in Smith et al. (2009), at least in regard to the application of quantification
448 techniques. It is also demonstrated that secure conclusions can be reached, with a little further
449 analysis to understand discrepancies if necessary, even if input populations are probably not
450 perfect. Namely, the approach using synthetic DEMs appears robust and of practical use.

451

452 6.1 The cookie cutter method

453

454 The nature of the errors affecting the cookie cutter is qualitatively predictable, without using
455 synthetic DEMs, by understanding the nature of the bi-cubic spline used. Consider a profile
456 (Fig. 14). Slopes immediately outside the drumlin dictate initial trajectories immediately inside
457 it, which the spline joins by varying gradient as smoothly as possible (e.g. Smith and Wessel,
458 1990). Small-scale high magnitude slopes in the measured DEM cause the interpolation on one
459 side to descend steeply whilst the other rises. H is then overestimated: $H_r > H_{in}$. Since H is the
460 maximum height difference, a difference $> H_{in}$ need only occur once at any point for an
461 overestimate to occur. So, height overestimates are expected. V is the sum of over-estimated (+)
462 and under-estimated (-) regions (shaded). So V may be high, low, accurate or even negative
463 whilst height is positive. Overall, however, if noise creates random gradients at drumlins'
464 boundaries, errors randomly distributed about true values (e.g. Fig. 11b) are expected. The
465 numerical analysis is needed to build upon this qualitative understanding. Illustrative analyses
466 presented here determine the size of errors for key derived parameters (e.g. $\overline{H}, \overline{V}$) and whether
467 they are at all accurate. Some are not. Results derived using Methods 1 and 2 agree well (Figs.
468 10–13), giving confidence in such analyses. So, synthetic landscapes created with idealised
469 landforms within a real DEM can offer valuable insights into 3D landform extraction
470 methodologies.

471

472 6.2 Other landform quantification techniques

473

474 The analyses performed with a variant on the cookie-cutter, using an un-tensioned spline,
475 illustrate that synthetic DEMs may also be used to compare landform quantification techniques.
476 Tensioning a bi-cubic spline reduces the amplitude of the extremes in its extrapolation. So errors
477 are expected to be larger for an un-tensioned spline. This is confirmed and quantified by the
478 numerical analysis. For the 1780 individual synthetic drumlins generated using Method 1 an un-
479 tensioned spline induces about twice ($\times 1.79$) as much error as the tensioned spline, with standard

480 deviations of the ratio V_r/V_{in} being 1.29 and 2.29 respectively. Figures for Method 2 are 2.96 and
481 5.01. So, the use of a tensioned spline by Smith et al. (2009) appears justified.

482

483 6.3 Sub-populations

484

485 By linking input and recovered values for synthetic drumlins it is possible to examine claims in a
486 depth that is not possible without synthetic DEMs. An analysis of the LGM and YD sub-
487 populations in the study area demonstrates that it is possible to much better assess observations
488 in the light of uncertainty in extracting drumlins' parameters. For instance, the apparently
489 statistically significant difference in mean volume ($\Delta\bar{V}_r$) between sub-populations of different
490 ages (Smith et al., 2009), which may reflect glacial stress patterns (e.g. Rose, 1989), is
491 demonstrated to be only 30–50 % likely to exist in reality. Importantly, therefore, observations
492 and scientific conclusions based upon them must remain in some doubt until errors are assessed.
493 This assessment is significantly less practical where quantifications are done manually.
494 Synthetic DEMs can also assess previous intractable issues such as the stationarity of the
495 landscape with respect to the extraction of drumlins parameters. For instance, could the $\Delta\bar{V}_r$ of
496 Smith et al. (2009) merely result from differences in the character of noise or hills in the LGM
497 and YD areas and how this affects drumlin extraction? The analyses (Section 5.4) indicate not,
498 which is reassuring for researchers interested in interpreting geomorphic signal and not noise.
499 Other tests, e.g. to quantify the effect of errors in manual digitisation, are possible using the
500 synthetic DEMs but the two done above serve to highlight the potential.

501

502 **7. Conclusions**

503

504 A novel way, using synthetic drumlins in a real DEM, is demonstrated to objectively test 3D
505 landform quantification methods and probe their results in more depth than has previously been
506 possible. Significant developments are i) the use of idealised drumlins, and ii) positioning them

507 randomly with respect to the real noise and regional trends that cause the errors, which is the key
508 to allowing the quality of the extraction to be assessed. Creating synthetic drumlins using
509 height-width-length (H - W - L) triplets extracted from measured DEM and digitised outlines
510 simplifies the implementation. The synthetic DEMs are demonstrably representative of the
511 observed landscape and circularity, the retrieval of input assumptions, is avoided.

512
513 184 drumlins in Central Western Scotland, and the application of the cookie cutter technique to
514 them (Smith et al., 2009), are used as a case study. From this, the following specific conclusions
515 may be drawn.

516
517 Directly from the measured DEM and digitised outlines:

518
519 1. A suitable, representative 3D form of drumlins, in this area at least, is an elongated
520 Gaussian.

521
522 Justified predominantly by the similarity in the form of V and H distributions recovered from the
523 real and synthetic DEMs, about the proposed method:

524
525 2. Initial, somewhat naïve, methodologies to remove existing drumlins and approximate
526 ‘input’ drumlin populations (H - W - L) re-inserted into the synthetic DEMs are sufficient,
527 i.e. the DEMs produced include drumlins that behave in a way closely representative of
528 the real ones during the recovery of H and V .

529 3. Orientations, θ , and positions (x, y) of synthetic drumlins randomly assigned, but
530 according to observed distributions, are sufficient.

531 4. The Gaussian approximation does not significantly or systematically affect how drumlins
532 are recovered.

533

534 Regarding analysis of Central Western Scotland area as in Smith et al. (2009):

535

536 5. V and H for individual drumlins are both recovered poorly.

537 6. Mean volume, \bar{V} , an important descriptive parameter for the population, is recovered

538 well because errors in individual volume estimates are randomly distributed about the

539 true values.

540 7. Mean height, \bar{H} , is recovered poorly as individual heights tend to be overestimated,

541 highlighting the desirability of understanding errors.

542 8. Smith et al. (2009) were correct to select a tensioned, rather than an un-tensioned, spline

543 in the cookie cutter. This choice approximately halves errors. More generally, this

544 demonstrates the ability of synthetic DEMs to test possible quantification methods for an

545 area.

546 9. If recovery errors are considered the previously observed, statistically significant ($p =$

547 0.007) difference in recovered mean volumes between Younger Dryas (YD) and Last

548 Glacial Maximum (LGM) age sub-populations of landforms is only 30–50% likely to

549 exist in reality. Thus, claims regarding observations thought to reflect formational,

550 physical, Earth surface processes should be considered in light of error in the recovery of

551 parameters.

552

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554

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558 splines) used the GMT software package (Wessel and Smith, 1998).

559

560 **11. Appendix A: Drumlin parameterisation methods**

561 Both methods described here to parameterise drumlins are new. Initially they locate the ‘core’ of
562 the drumlin (C_{xy}) then place the longitudinal (long) and transverse (short) axes. This reverses
563 approaches such as that used by of Spagnolo et al. (2010) and is preferred here because they are
564 consistent with how one might assess drumlins in the field or manually from a map.

565

566 A.1 Method 1: Central point

567

568 Within drumlins, in general, height of the landform (H_{drumlin}) increases as distance from the
569 planform edge of the drumlin increases. In plan view, therefore, the core of a drumlin might be
570 associated with a central point furthest from any edge: white circle, Fig. 3a. The smallest
571 distance between the centre of each cell in the 5 m by 5 m grid and the outline was computed.
572 Then the cell with the largest of these was selected as the centre, C_{xy} . This replicates one way in
573 which a drumlin’s core may be manually located on a map.

574

575 L is the length of the longest line running through C_{xy} within the drumlin. To determine L ,
576 lengths (l) of all lines starting at a digitised vertex, angle θ_l clockwise from north, were
577 computed. Figure 6a illustrates this for an idealised drumlin. For real drumlins, the orientation
578 of L , θ_L , is usually tightly defined (Fig. 6b, white dot), and the shortest l at about 90° to θ_L . θ_S ,
579 the angle of the transverse axis, is therefore taken to be $\theta_L + 90^\circ$. W is the length of this line. To
580 prevent any possible effects of the irregular vertex density present due to manual digitisation
581 additional vertices were placed, by linear interpolation, every 5 m along the outline.

582

583 H was calculated along the longitudinal long axis, the maximum vertical difference between the
584 DEM after noise has been removed (Fig. 3b) and a linear interpolation between heights at the
585 edges of the drumlin (dotted line, Fig. 4a). Height could also be defined perpendicular to the

586 basal line, reducing it to $H\cos(\alpha)$ where α is the slope of the basal surface, but the effect is small
587 ($\overline{\Delta H} = 1.47\% \pm 2$ s.f.).

588

589 Method 1 does not estimate a basal surface from the DEM. V is calculated from H , W and L (Eq.
590 A.1). 0.253 is the constant appropriate to the idealised Gaussian shape proposed.

591

592 Eq. (A.1) $V = 0.253 \times H \times W \times L$

593

594 Using parameter values derived using Method 2, there is close agreement ($r^2 = 0.8724$, $n = 173$)
595 between the volumes estimated using Eq. A.1 and a grid-based calculation (Fig. 8b, grey and
596 dashed lines), justifying this approach.

597

598 C_{xy} estimates for Method 1 are not affected by topographic clutter. Its basal estimation is simple
599 and transparent, but depends entirely upon heights at the drumlin's outline, and is thus affected
600 by spatial error in digitisation (Fig. 4a). Parameters derived using Method 1, however, do not
601 depend upon the 500 m wide median filter. The profile in Fig. 4a also highlights how height
602 within a manually digitised landform may not reflect the conventional stoss-lee form. All 178
603 drumlins have $H > 0$ using Method 1.

604

605 A.2 Method 2: Highest point

606

607 In the field, a drumlin's highest point can be located geographically, and might be considered to
608 lie over its core at C_{xy} . If significant, larger scale topographic trends underlie the drumlin they
609 should be accounted for. Accordingly, C_{xy} may be estimated in a DEM as the location of the
610 maximum height in H_{drumlin} , the component of topography associated with drumlins. As
611 described in Section 3.1 median filters (60 m, 500 m) have been used to define H_{drumlin} .

612

613 In Method 2 H was computed as the maximum height, and V was calculated as the volume
614 associated with the component H_{drumlin} for the area inside the landform. Again, L and W are
615 estimated as in Fig. 6. In Method 2, the median filters used to determine C_{xy} are not affected by
616 digitisation errors, but the basal surface may be biased upwards (Fig. 4a) or the drumlin's upper
617 surface distorted by incompletely eliminated topographic noise. Method 2 therefore offers a
618 contrast to Method 1. 173 of 178 drumlins have $H > 0$ using Method 2.

619

620 A.3 Parameter populations produced

621

622 The populations of parameters calculated by the two methods are similar (Fig. 5), and
623 differences are readily explicable. For instance, W (Fig. 5e) is larger for Method 1 because it is
624 designed to locate the drumlin's centre as far as possible from the outline. H is greater for the
625 results of Smith et al. (2009) than either method because its heights are affected by clutter (e.g.
626 trees), but this is expected. Lower volumes in Method 2 than are explained by the basal surface
627 (500 m median filter) being raised (Fig. 3a). This is typical where 'normal' terrain not
628 containing drumlins is in a minority, especially on slopes (Wessel, 1998). Volumes recovered by
629 the Smith et al. (2009) lie between those of Methods 1 and 2, (Fig. 5b) suggesting that
630 conclusions about V may be safely drawn from observations seen in analyses of both methods.
631 Dominant orientations, θ_L , indicated by steeper slopes in Figure 5a, are between 60–120° and
632 240–300° for both methods in good agreement with visual assessment of Figure 1c. Lastly, as
633 expected of drumlin populations, there are more small drumlins than large ones in terms of W , L ,
634 H and V , with the caveat that the smallest W and L are scarce.

635

636 **12. Appendix B: The Gaussian approximation used**

637 Height, h_{xy} for a position (x, y) within an elongate Gaussian form centred on (x_0, y_0) of orientation
638 θ_L (Fig. 6) is, in Cartesian coordinates, described by Eqs. B.1–8. H is the height of the drumlin.

639 L is length, and W is width. H_G is the full height of the Gaussian, and H_f the fraction of this used
640 for the drumlin (e.g. 0.9 for the top 90%).

641

642 Eq. (B.1)
$$h_{xy} = H_G \exp\left[-(a(x - x_0)^2 + 2b(x - x_0)(y - y_0) + c(y - y_0)^2)\right] - H_G + H$$

643 Eq. (B.2)
$$a = \frac{\cos^2 \phi}{2\sigma_L} + \frac{\sin^2 \phi}{2\sigma_W}$$

644 Eq. (B.3)
$$b = \frac{\sin 2\phi}{4\sigma_L^2} + \frac{\sin 2\phi}{4\sigma_W^2}$$

645 Eq. (B.4)
$$c = \frac{\sin^2 \phi}{2\sigma_L^2} + \frac{\cos^2 \phi}{2\sigma_W^2}$$

646 Eq. (B.5)
$$H_G = \frac{H}{H_f}$$

647 Eq. (B.6)
$$\sigma_L = \frac{L}{\sqrt{-8\ln(1 - H_f)}}$$

648 Eq. (B.7)
$$\sigma_W = \frac{W}{\sqrt{-8\ln(1 - H_f)}}$$

649 Eq. (B.8)
$$\phi = \theta_L - 90$$

650

651 **13. Appendix C: Synthetic DEM generation procedures**

652 In order to approximate spatial clustering an acceptance-rejection algorithm was used (e.g. Von
653 Neumann, 1951). Firstly, the spatial density of drumlins was computed (Fig. 9b). Trial
654 locations (x,y) for drumlins were then generated at an even spatial density using two random
655 numbers, but these locations were rejected if i) a third random number generated with even
656 density in the range zero to one lay above the surface in Figure 9b or ii) the proposed drumlin
657 footprint overlapped any existing footprints. This latter condition required that drumlins be
658 located in order of decreasing size, otherwise ‘space problems’ led to larger drumlins being
659 preferentially located in areas of lower observed drumlin density. θ values were created by a
660 standard method; random values (0 to 1) of the cumulative probability distribution of θ (Fig. 8a)

661 were used, each of which corresponds uniquely to a θ value. The combined cumulative θ_L
662 distribution of 10 populations ($n = 1780$) is visually indistinguishable from the observed
663 population (Fig. 8a).

664
665 *gawk*, using *random()* in *stdlib.h* was used to generate pseudorandom numbers. These are non-
666 cyclic over very long periods, sufficient at least to avoid interdependencies in the ‘random’
667 numbers used in this study.

668

669 **14. Appendix D: Stochastic modelling**

670

671 The stochastic assessment used repeated random sub-division of the drumlin populations into
672 LGM and YD sub-populations of appropriate sizes. 100,000 iterations were used to generate the
673 probability density functions for $\overline{\Delta \ln(V_r)}$ (Fig. 10). Three such distributions were generated, one
674 for the original data and DEM (Smith et al., 2009), and one for each of the sets of 10 synthetic
675 DEMs related to Methods 1 and 2. For the latter, one of the 10 DEMs was selected randomly
676 each iteration. Implicitly, such indiscriminate assignment of drumlins to sub-populations
677 employed the null hypothesis, H_0 : no difference exists. Using 100,000 iterations critical values
678 (e.g. $\overline{\Delta \ln(V)}_{crit}$) at the 95% significance level are 5,000th in lists of generated differences (e.g.
679 $\overline{\Delta \ln(V_r)}$) ordered in descending magnitude: These are the levels that, if exceeded, occur rarely by
680 chance and imply a statistically significant difference. $\overline{\Delta \ln(V_r)}$ of Smith et al. (2009) is 1.38
681 times that of the 95% critical value of its distribution, so instances where $\overline{\Delta \ln(V_r)}$ was more than
682 $1.38 \times \overline{\Delta \ln(V)}_{crit}$ for the synthetic DEMs were taken as more extreme than those reported by
683 Smith et al. (2009). The similarity in the shape of the distributions that makes this test valid is
684 evidenced visually (Fig. 10), and by similar numbers of simulations containing more extreme
685 differences than the threshold: 715 for the data of Smith et al. (2009), and 628 and 750 for
686 Methods 1 and 2 respectively. Methods 1 and 2 use 178 and 173 drumlins respectively,

687 bracketing the $n = 175$ of Smith et al. (2009). Agreement between the methods, therefore,
688 indicates insensitivity to these variations. Consequently, no correction was made for the
689 difference in n .

690

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876 **Figures**

877

878 **Figure 1:** Idealised profiles to illustrate the process used to create synthetic DEMs. There are
879 three ‘components’ (Hillier and Smith, 2008): Drumlins (dark grey shade) sit upon a regional
880 trend (dotted line). Both are overlain by ‘clutter’ or ‘noise’ (light grey shade). a) Upper and
881 lower surfaces of drumlin are estimated to define it, and it is removed (height subtracted). b)
882 Two Gaussian shaped drumlins are inserted (height added). Noise as Fig. 4a.

883

884 **Figure 2:** Location maps. a) Study area is located at (4°28’ W, 56°02’ N), white circle:
885 England (E), Scotland (S), Ireland (I). Coastlines of seas and major inland water bodies are
886 shown. b) DEM of a sub-region of the study area, with height displayed as greyscale, located in
887 c): Woodland (W), Tree (T). c) Study area, with main geomorphic features of interest
888 highlighted; drumlins (black outlines), rivers (grey), water (grey shade). A division between
889 Younger Dryas (YD) and Last Glacial Maximum (LGM), white and stippled areas respectively,
890 is also shown (Smith et al., 2006). Arrows indicate approximate ice flow trends in the LGM
891 (Sissons, 1967) and YD (Rose, 1987). Map coordinates for b) and c) are of the British National
892 Grid. 5 m grid.

893

894 **Figure 3:** Example of an inspection used to assess the ability of widths of median filter to
895 separate noise, drumlins, and hills. Also, a drumlin illustrating the estimation of parameters
896 (x,y,L,W,θ) , and selection of primary axes, from its digitized outline. a) Centre of drumlin (bold
897 line) is at x,y (white circle), determined by Method 1. Digitized outlines of other drumlins (thin
898 lines) overlay the measured DEM. b) Computed axes (lines) overlies ‘decluttered’ topography to
899 which a 60 m wide median filter has been applied. Arrows indicate directions of increasing
900 distance along profiles in Figure 4. c) ‘Clutter’ removed i.e. difference between a) and b). d)
901 H_{hills} estimated using a 500 m median filter. Map coordinates are of the British National Grid.

902

903 **Figure 4:** Height profiles across drumlin in Fig. 3. Profiles start *from* the directions θ_L and θ_S
904 such that, assuming a conventional stoss-lee form, traditional interpretations of ice flow are as
905 indicted. Lines are: measured height (thin lines), estimates of the decluttered surface using 60 m
906 wide median filter (thick black lines), linear interpolation between heights at the digitized edges
907 of the drumlin (dotted lines), 500 m wide median filter (grey lines). Grey boxes delimit the
908 drumlins. a) and b) are heights along the long and short axes, and c) and d) are normalized
909 profiles along the same axes.

910
911 **Figure 5:** Stacked (i.e. average) de-trended and normalized height profiles (black lines). Dashed
912 lines are $\pm 1\sigma$ of height and dotted lines are ± 2 standard errors of the individual profiles when
913 binned in 0.01 intervals of distance. Thick grey lines are Gaussian curves centred upon $x = 0.5$.
914 Grey box is the bounding box for all drumlins. a) and b) are respectively for long and short axes
915 found using Method 1, whilst c) and d) are for Method 2. All profiles start *from* the directions θ_L
916 and θ_S . a) and b) $n = 178$, c) and d) $n = 173$.

917
918 **Figure 6:** Method of estimating parameters (L, W, θ_L, θ_S) after C_{xy} has been determined. a)
919 Idealised drumlin consisting of interpolation (black line) between digitized points (black dots),
920 with the centre C_{xy} (open circle). At each point on the outline, θ and l are calculated. b) θ and l
921 for all points on drumlin #15 in Figure 2. θ_L (open circle) is θ for the largest l i.e. L , and $\theta_S = \theta_L +$
922 90° (cross): Compare to θ for the smallest L (filled black circle). ‘Ice flow’ arrow indicates a
923 traditional interpretation of a conventional stoss-lee form.

924
925 **Figure 7:** Illustrative synthetic drumlin. a) Profile across the centre of drumlin. Drumlin interior
926 (grey shade) and topography (solid line), are the top 90% of a Gaussian curve (dashed line). b)
927 Plan view, grey shaded relief of drumlin, where black is zero. White line locates profile in a),
928 and dark lines trending N-S and E-W are given for reference.

929

930 **Figure 8:** Cumulative distributions (cdf) of parameters (θ_L , V , H , L , W). a) Orientation: Solid
931 black line is input values for the synthetics measured from non-Gaussian outlines in the real
932 DEM using Method 1 ($n = 178$ of $H > 0$). This is overlain, indistinguishably, by the curve
933 combining the 10 synthetic DEMs generated from this input. Grey lines are for the individual
934 synthetic DEMs, and vertical bars are $\bar{y} \pm 2\sigma$ of the mean. Dashed line is Method 2 ($n = 173$ of
935 $H > 0$). b) and c) Volume and height: Solid black and black dashed lines as a), with black dotted
936 lines for the data of Smith et al. (2009). Dashed and dotted grey lines are values recovered by
937 the cookie cutter from synthetic DEMs created using Method 1 and 2 respectively, although they
938 mainly underlie the curves of the inputs in b). In b) solid grey line is an estimate of H , W and L
939 using Method 2, which is converted to V using an idealised Gaussian geometry. d) and e) are
940 distributions for length and width, with lines as in a). For consistency with the data of Smith et
941 al. (2009) only $V > 0$ are used throughout this figure. Smith et al. (2009) do not determine θ_L , L ,
942 W for comparison.

943

944 **Figure 9:** Spatial distribution of drumlins. a) Observed distribution. b) Spatial density (i.e. % of
945 area) covered by drumlin, smoothed with a three kilometre wide boxcar filter, and displayed as a
946 proportion of the highest density within the area. c) Illustrative spatial distribution from one of
947 20 synthetic DEMs in study. d) Four synthetic DEMs neighbouring c) in generation process to
948 illustrate variability. Map coordinates are of the British National Grid.

949

950 **Figure 10:** Visualisation of method used to assess claims about differences in mean volume
951 between sub-populations. Probability density curves generated by stochastic analysis, $n =$
952 100,000, for the observations of Smith et al. (2009) (dotted line), Method 1 (solid line), and
953 Method 2 (dashed line). Vertical bars are 95% critical value and the difference observed by

954 Smith et al. (2009). For simplicity, curves are scaled so that 95% critical values align with that
955 generated by the data of Smith et al. (2009).

956
957 **Figure 11:** Effectiveness of the extraction of drumlin H and V . a) Histogram of individual
958 height recoveries, expressed as ratio of recovered height over input height, by Method 1. Arrow
959 is correct recovery. Circle is mean ratio, bar indicated $\pm 1\sigma$. Grey bars represent all drumlins,
960 and black bars represent only large ($L > 500$ m) drumlins. b) as a) for V . c) and d) are as for a)
961 and b) for Method 2.

962
963 **Figure 12:** Reliability of recovered population parameters \bar{H} and \bar{V} for $n = 173$. a) \bar{H} for
964 Method 1. Input mean height (light grey bar) is significantly less than recoveries from the 10
965 synthetic DEMs (grey dots), whose mean and range ($\pm 2\sigma$) is displayed by the black dot and bar.
966 b) as a), except about \bar{V} and shows recoveries consistent with input values. c) and d) are as for a)
967 and b), but for Method 2.

968
969 **Figure 13:** Comparison of recovered V distributions. a) Distributions recovered as Smith et al.
970 (2009) (dotted line), and using idealised Gaussian drumlins from synthetic DEMs generated from
971 Methods 1 and 2 (solid and dashed lines respectively). Means of the curves, \bar{V}_r (solid vertical
972 line), are aligned to that of the extraction as Smith et al. (2009) by linear multipliers applied:
973 ‘scale factor’. c) Corresponding input distributions. Grey lines are for extractions of DEMs
974 created by Method 1 with errors of 1.0, 2.0, 3.0, 4.0 and 5.0 times those actually recovered,
975 ‘error multiplier’: higher factors are lighter. b) and d) mean absolute misfit (i.e.
976 form $\sum |x_1 - x_2|$) between curves for varied ‘Scale Factor’ and ‘Error Multiplier’ and the
977 recoveries as Smith et al. (2009). Best fit is white circle. Contour 25% higher than the best fit
978 defines error ellipse (black line). Search increments 0.02.

979

980 **Figure 14:** Schematic illustration of this action of a bi-cubic spline. Topography (solid lines) is
981 from Fig. 1, including observed noise from Fig. 4a. Arrows indicate gradients at the outline, and
982 dashed line is a minimum curvature (bi-cubic) interpolation ($T = 0$) to estimate the basal surface
983 (dotted line). Tensioning the spline reduces the amplitude of deviations.

984

984 **Tables**

985

986 **Table 1:** Percentage of ‘good’ estimates, with recovered values of H and V within $\pm 25\%$ of
 987 actual values. Values in brackets use a variant of the cookie cutter recovery method using an un-
 988 tensioned spline.

Method	% of V_r/V_{in}	% of H_r/H_{in}
1	39.2 [26.5]	20.5 [18.6]
2	47.6 [34.3]	25.8 [23.0]

989

990

991 **Table 2:** Input and recovered population parameters. The mean and standard deviation (σ) of
 992 the estimates of \bar{V}_r and \bar{H}_r from 10 synthetic DEMs is reported in each case. Individual data
 993 plotted on Fig. 12, grey dots. Note, σ is not a standard error. Values in brackets use a variant of
 994 the cookie cutter recovery method using an un-tensioned spline.

Method	\bar{V}_{in} (m ³)	\bar{V}_r (m ³)	\bar{H}_{in} (m)	\bar{H}_r (m)
1	159,076	156,559 \pm 8,212 (1σ) [149,255 \pm 15,592]	6.82	12.54 \pm 0.28 (1σ) [13.15 \pm 0.26]
2	117,376	109,623 \pm 4,019 (1σ) [107,717 \pm 6,419]	6.43	11.76 \pm 0.23 (1σ) [12.23 \pm 0.24]

995

996

997 **Table 3:** Spatial effects upon extraction methodology, E_V , evaluated by comparing the difference
 998 between input and recovered values in the LGM and YD populations. Subscripts denote volume
 999 and height. Note that the standard deviation of E , σ , is the standard error of the differences
 1000 between LGM and YD for individual DEMs. Square brackets are for the un-tensioned spline
 1001 variant.

Method	E_V (m ³)	E_H (m)
1	1,828 ± 5,146 (1σ) [6,272 ± 5,756]	1.34 ± 0.22 (1σ) [1.28 ± 0.23]
2	-5,094 ± 2,862 (1σ) [1,033 ± 4,566]	1.15 ± 0.32 (1σ) [1.41 ± 0.39]

1002

1003

Figure 1

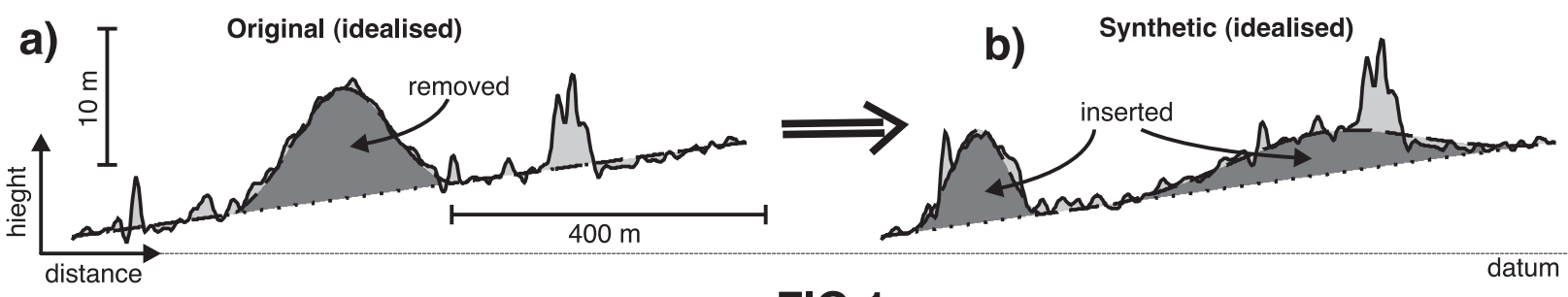


FIG 1

Figure 2

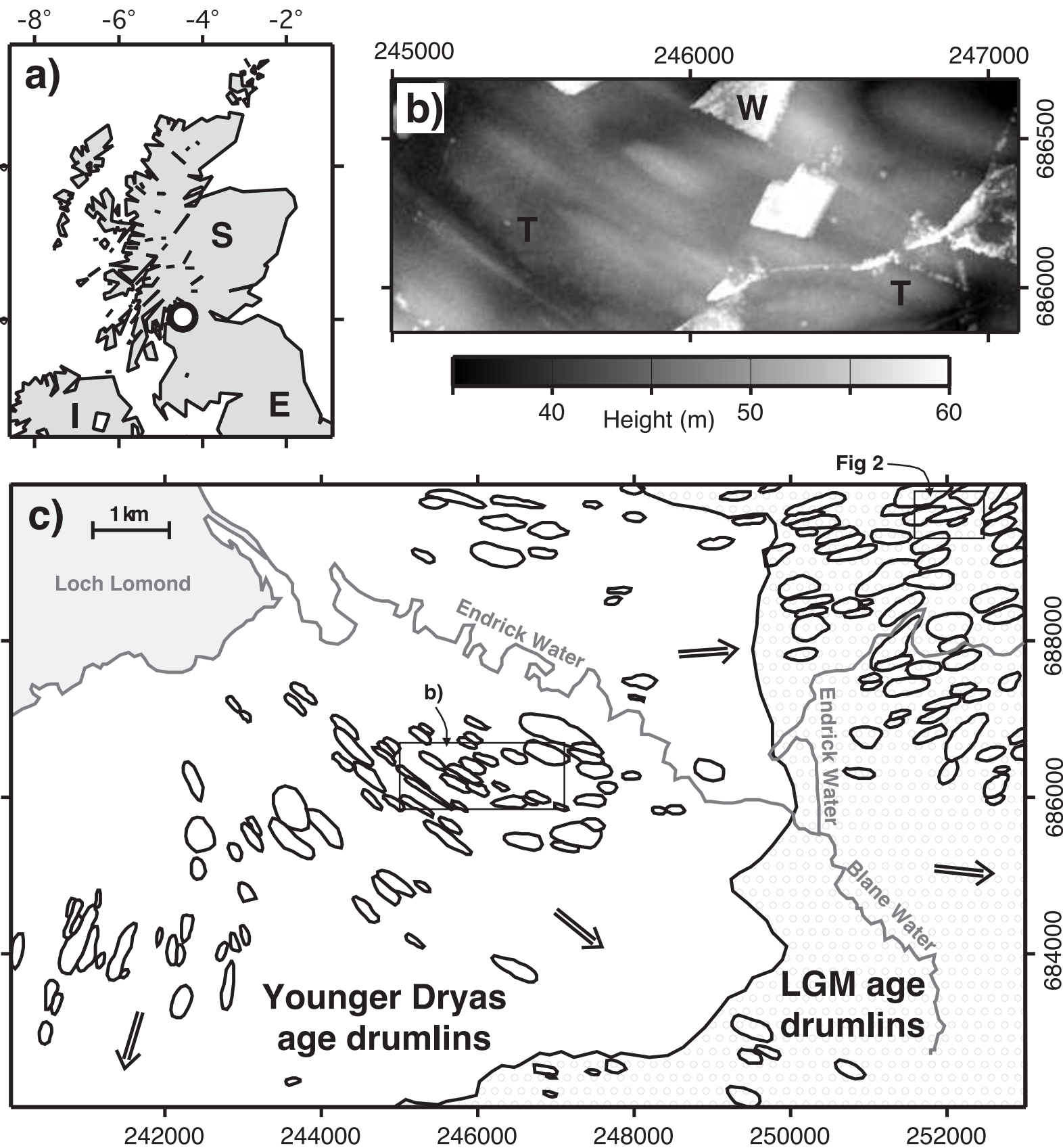


FIG 2

Figure 3

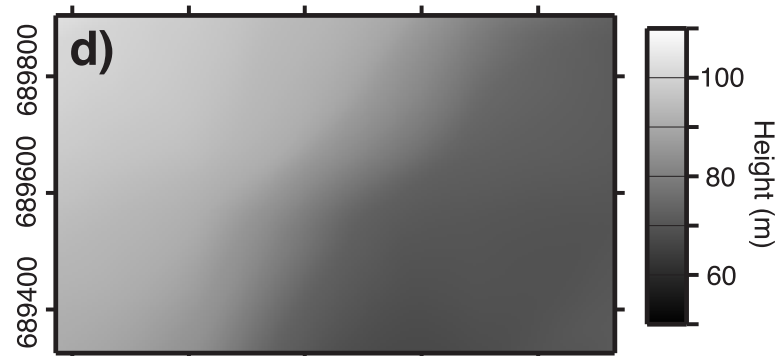
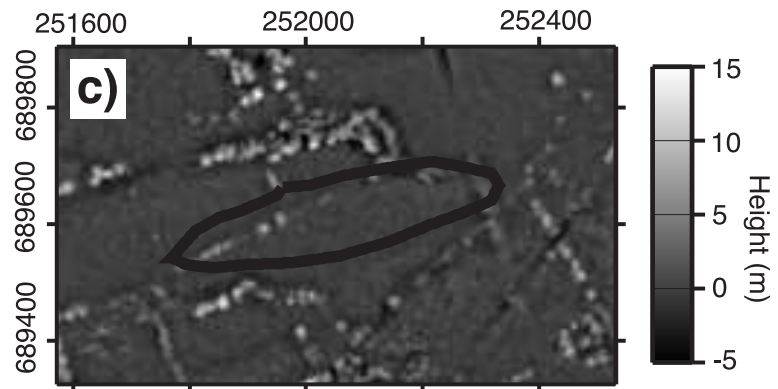
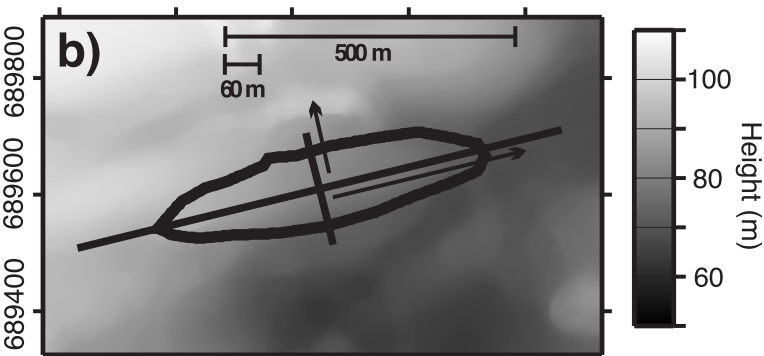
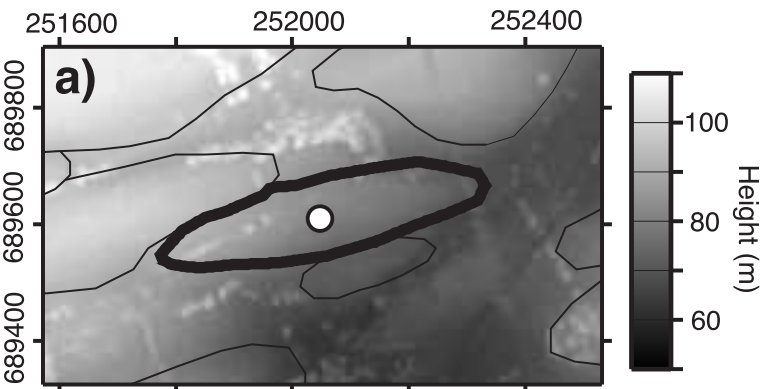


FIG 3

Figure 4

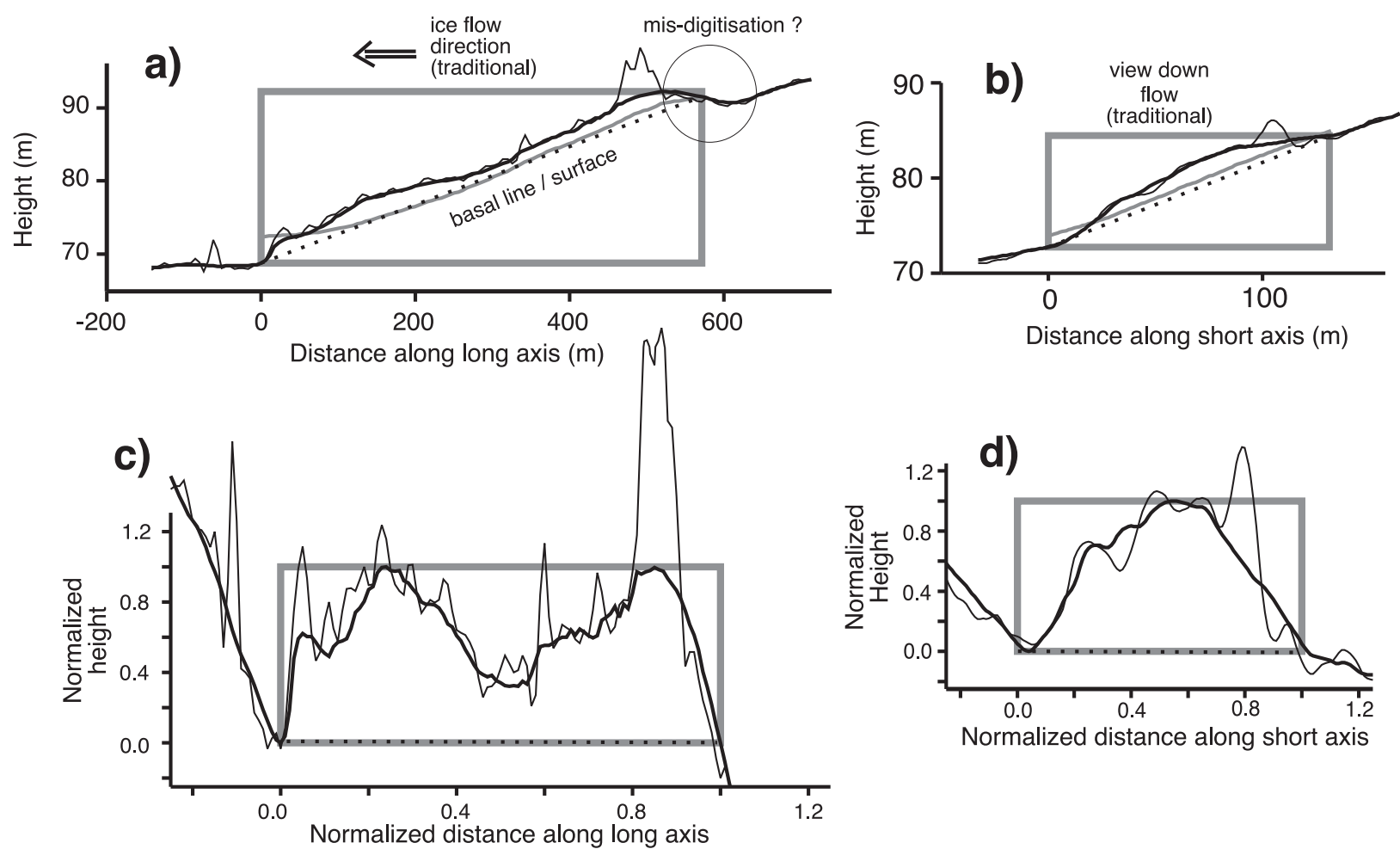


FIG 4

Figure 5

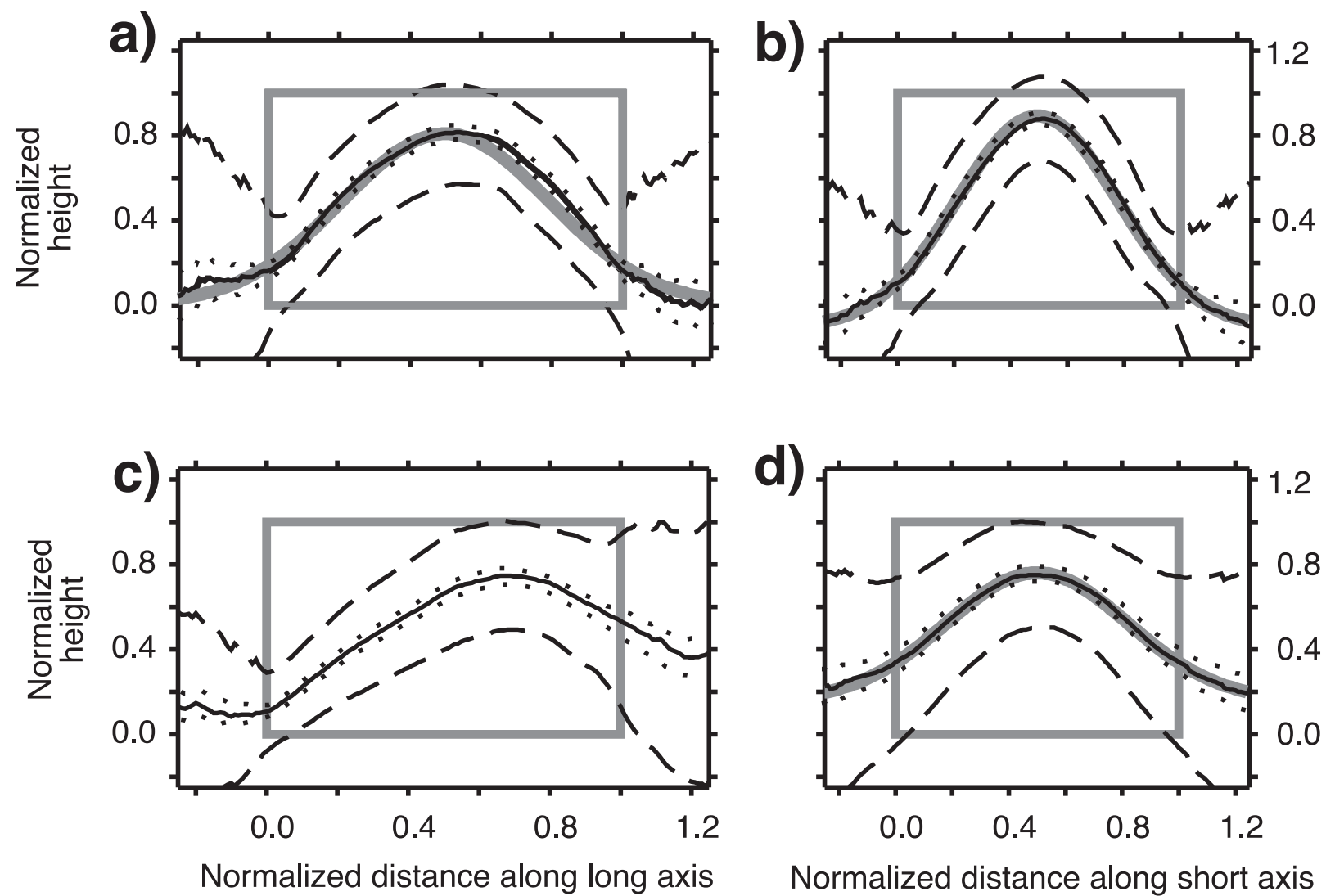


FIG 5

Figure 6

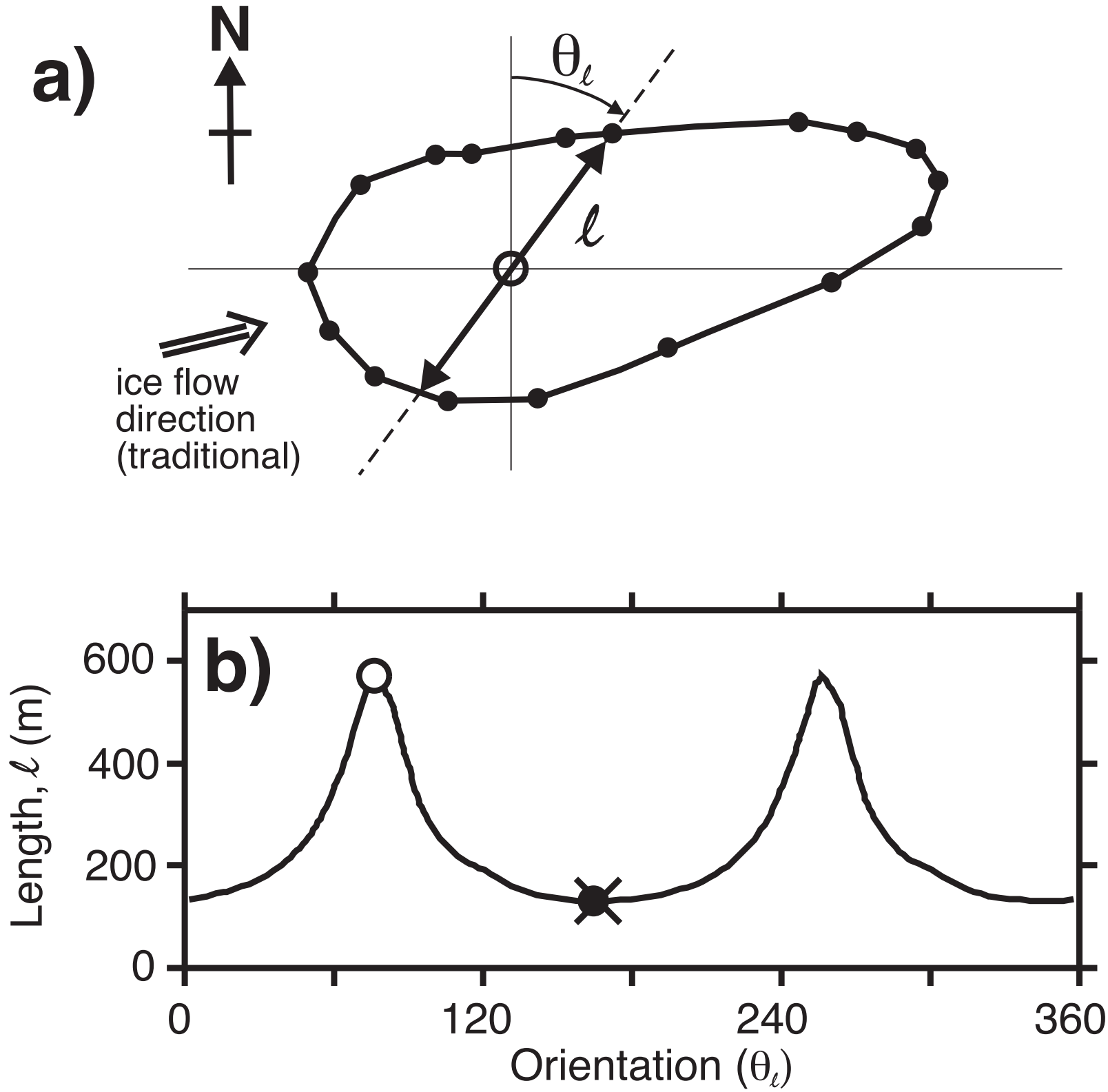


FIG 6

Figure 7
[Click here to download high resolution image](#)

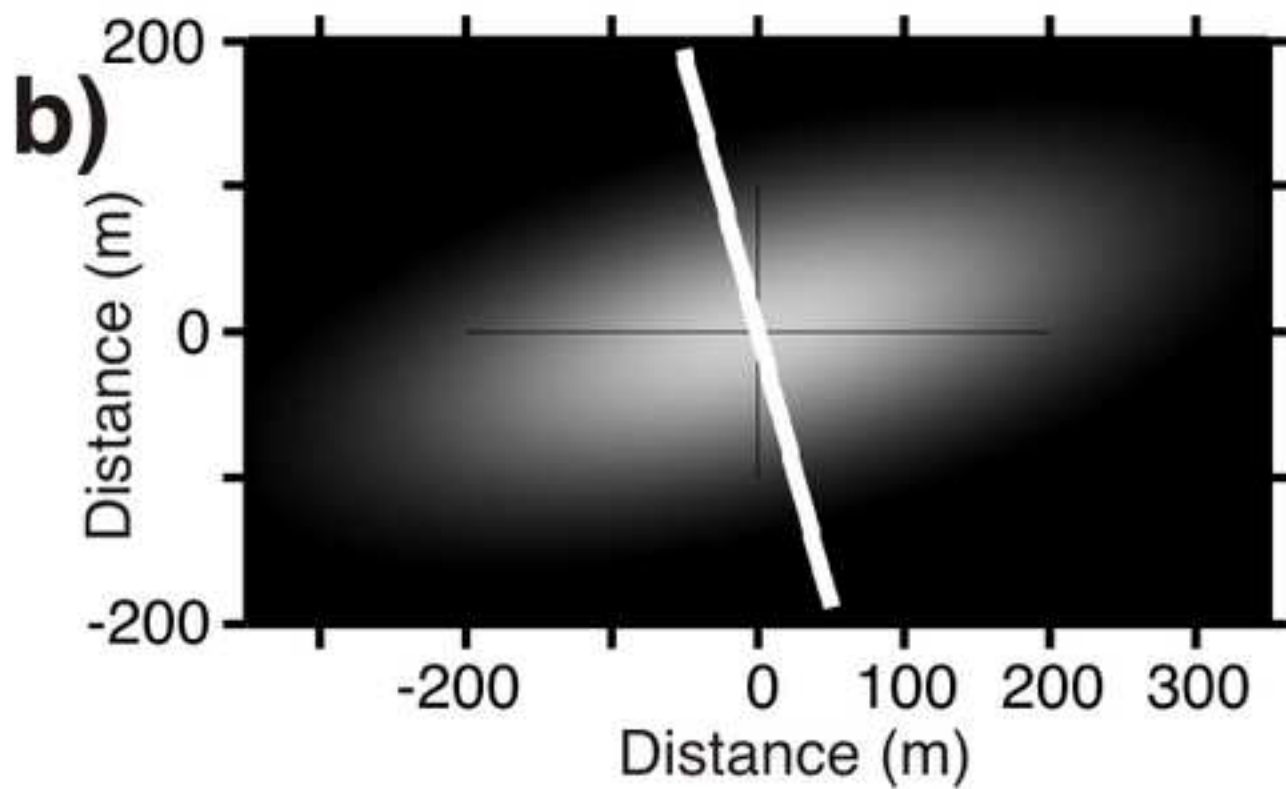
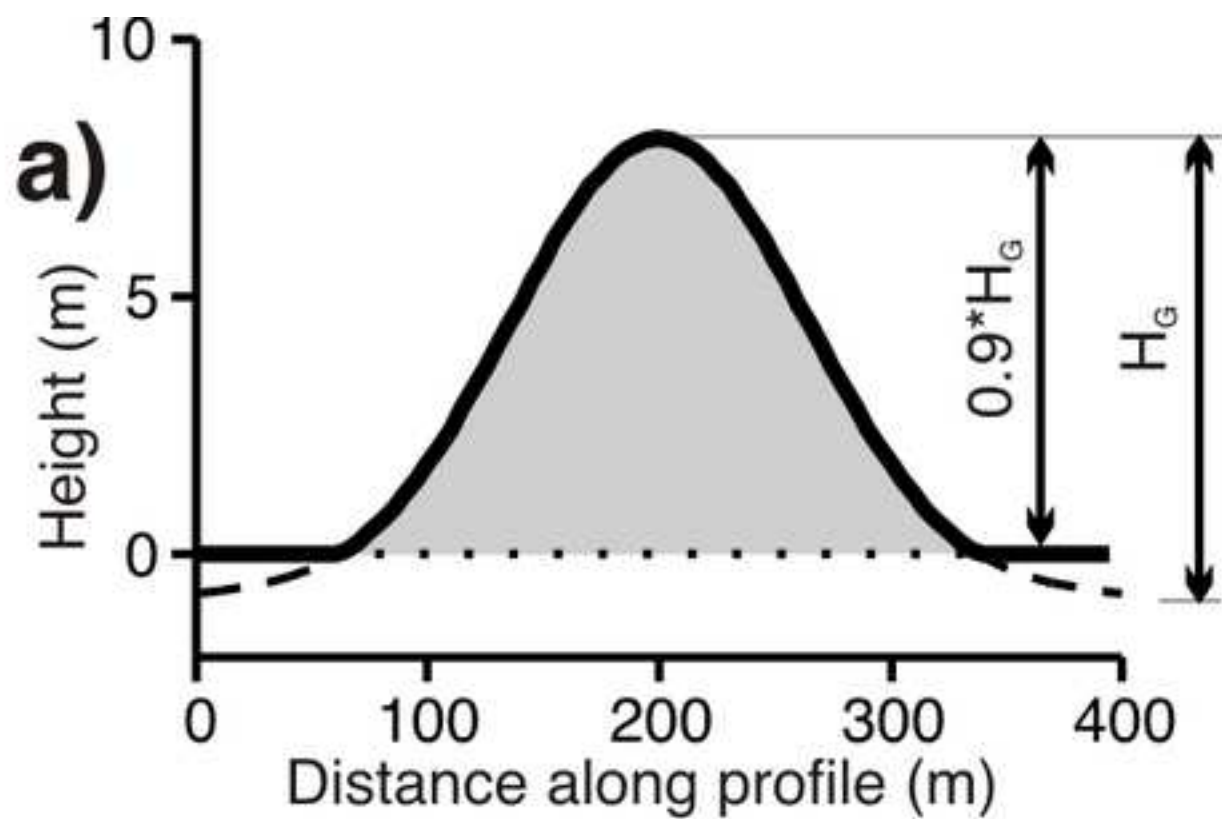


FIG 7

Figure 8

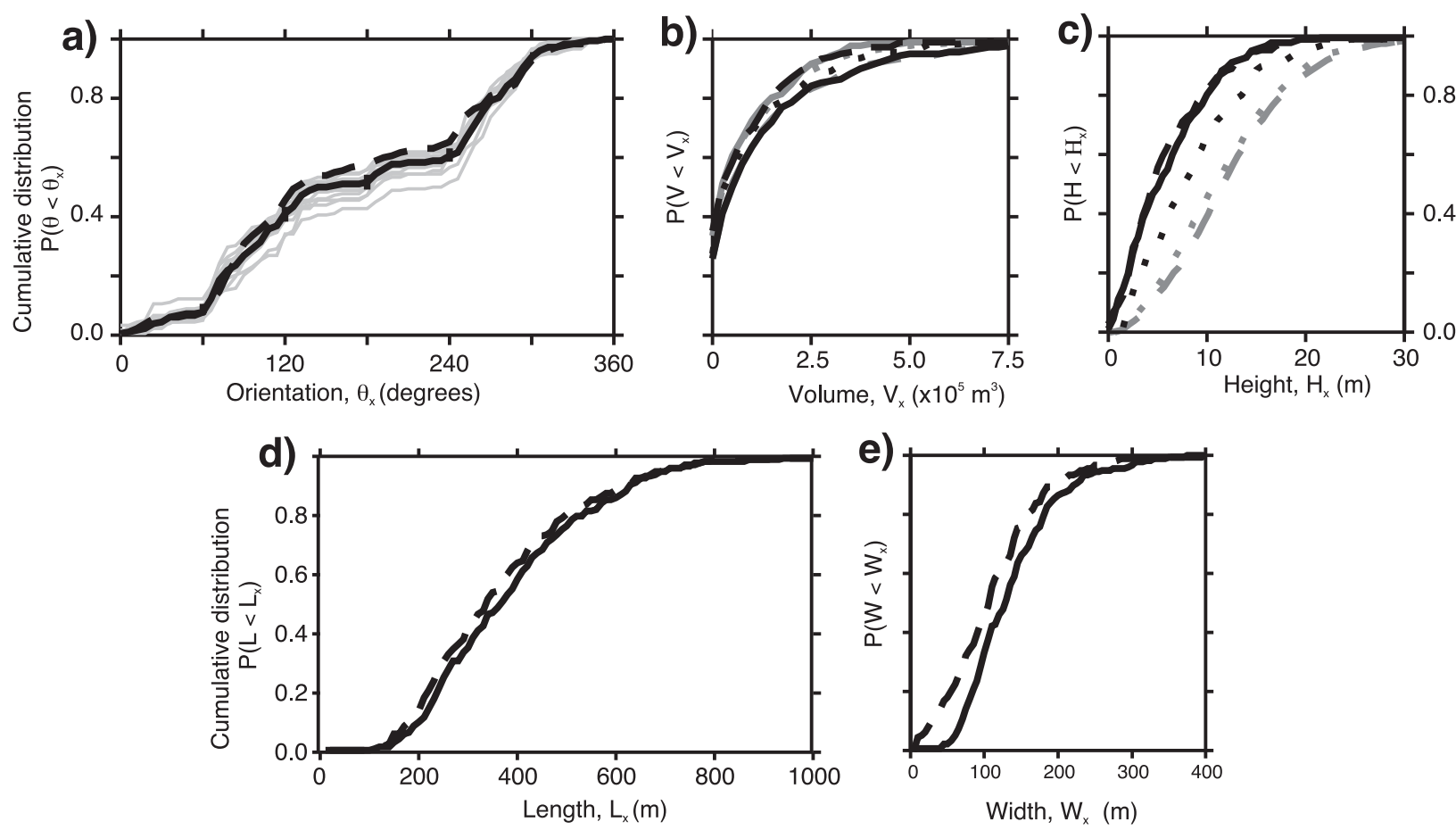


FIG 8

Figure 9

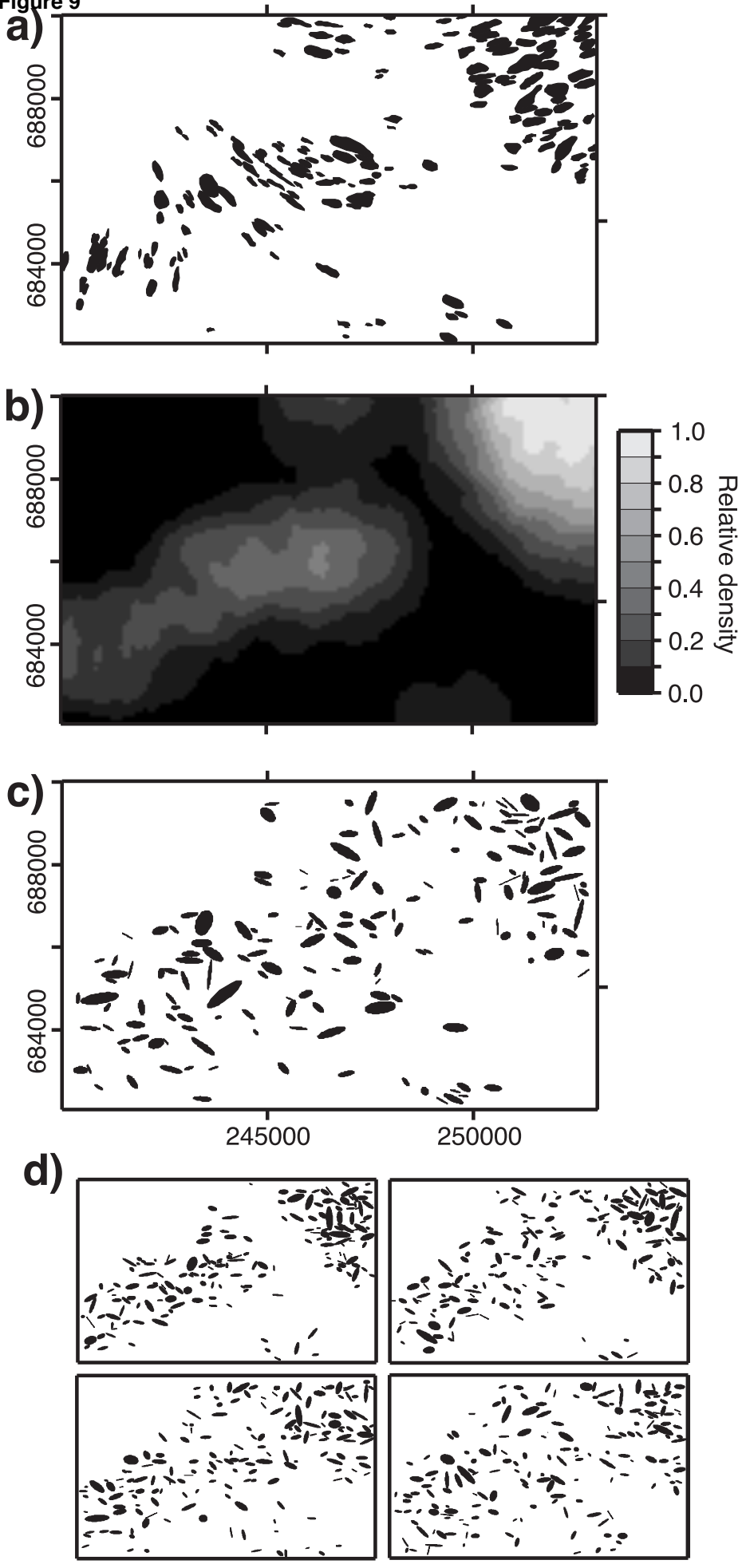


FIG 9

Figure 10

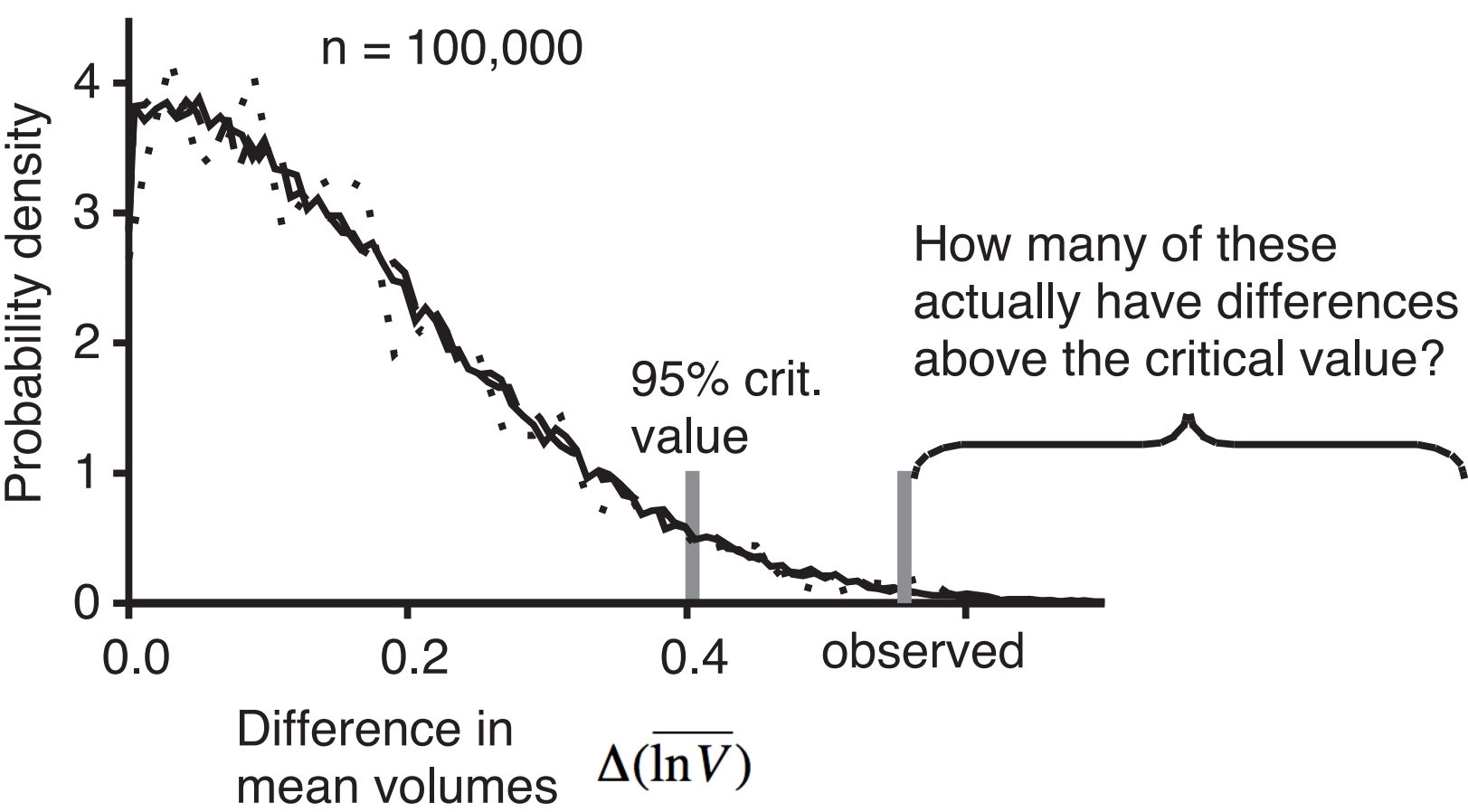


FIG 10

Figure 11

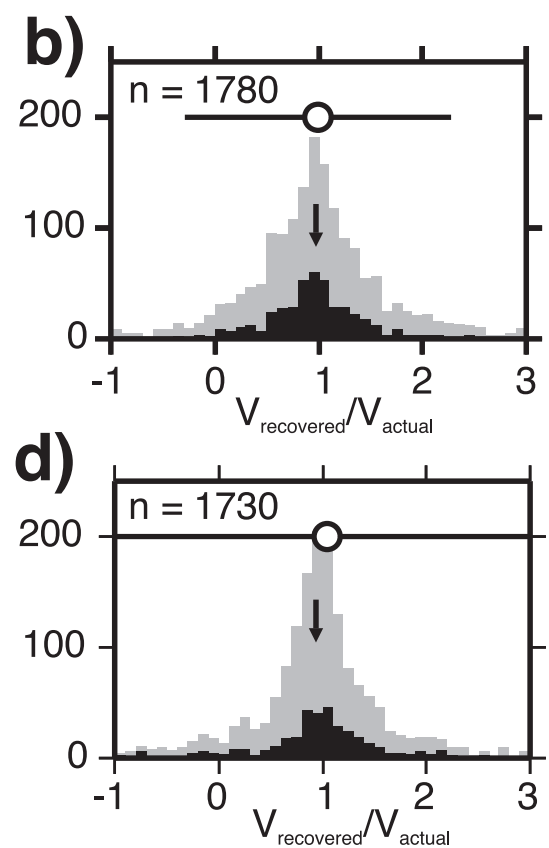
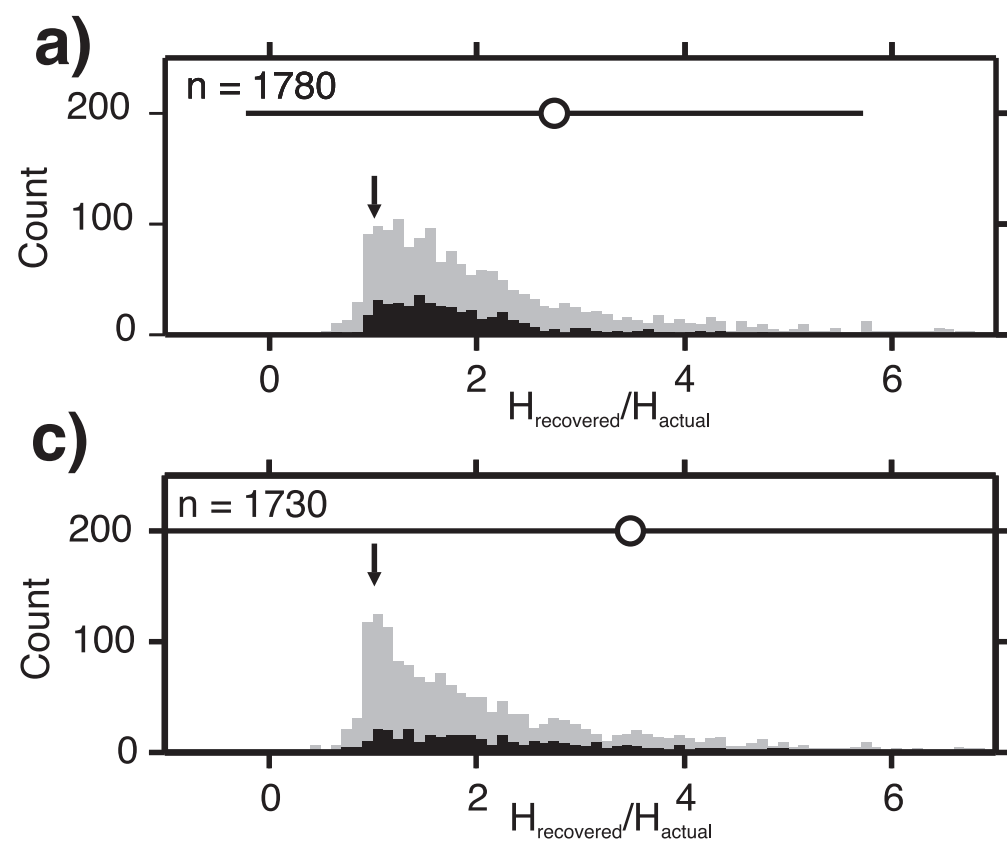


FIG 11

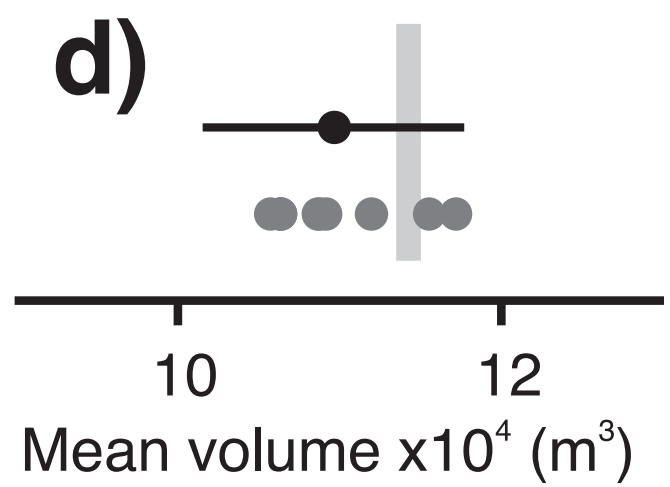
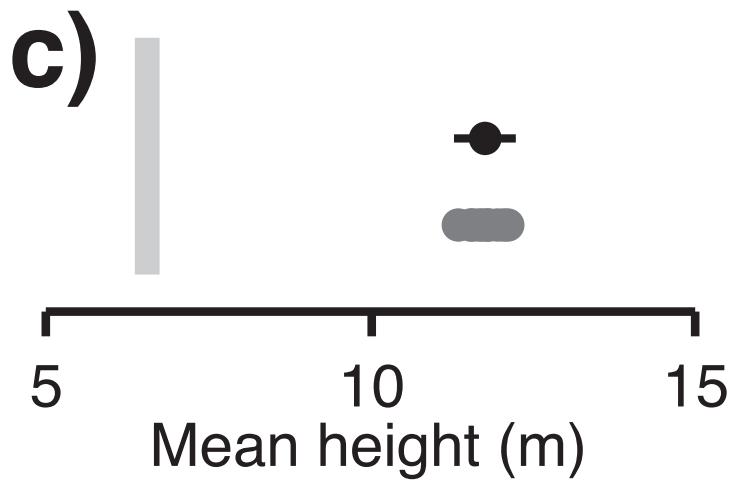
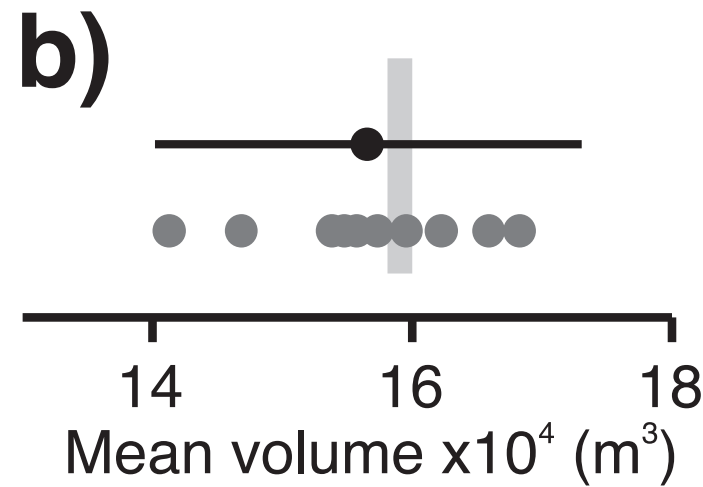
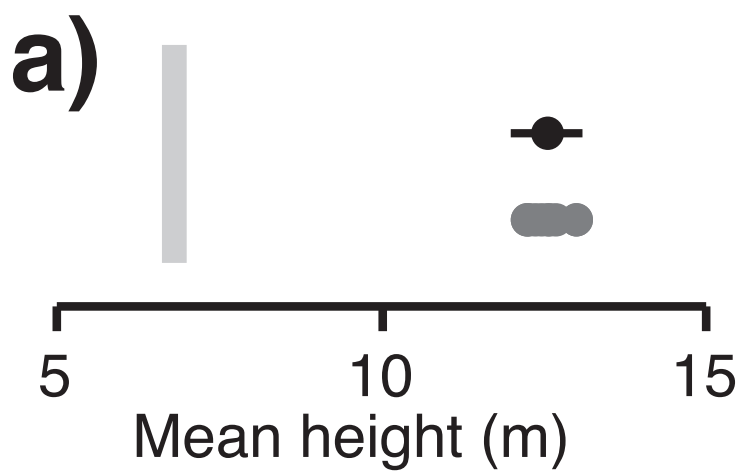


FIG 12

Figure 13

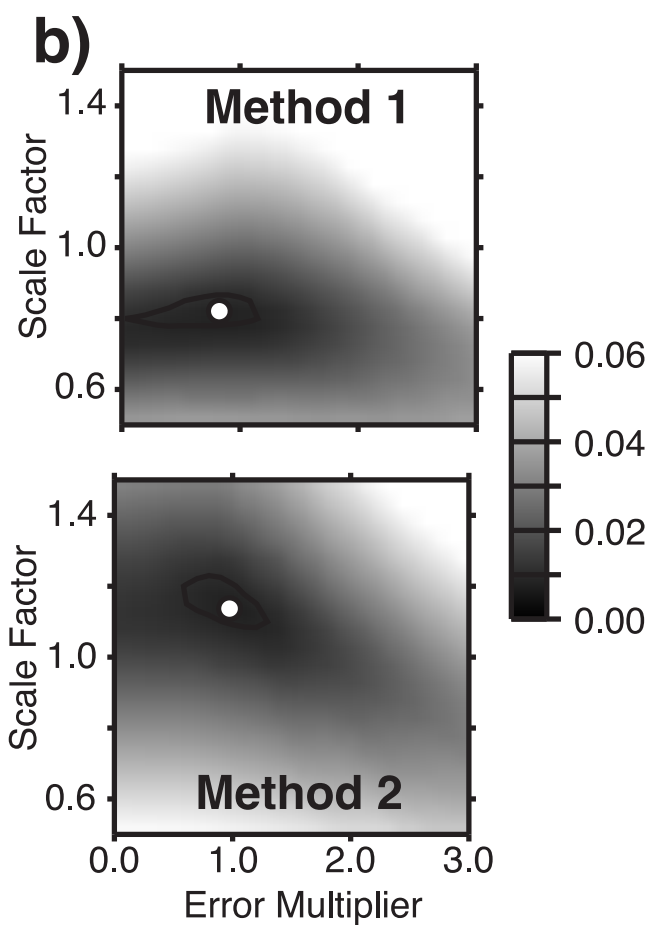
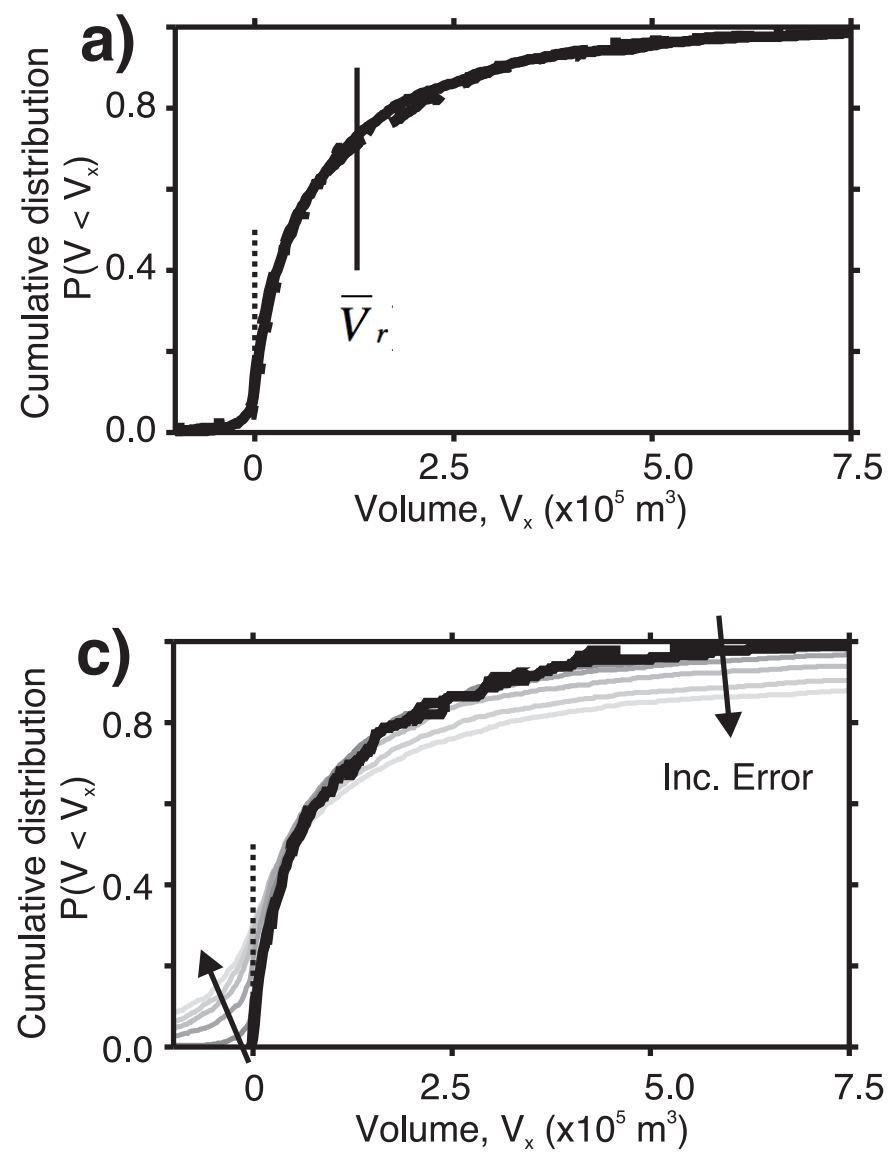


FIG 13

Figure 14

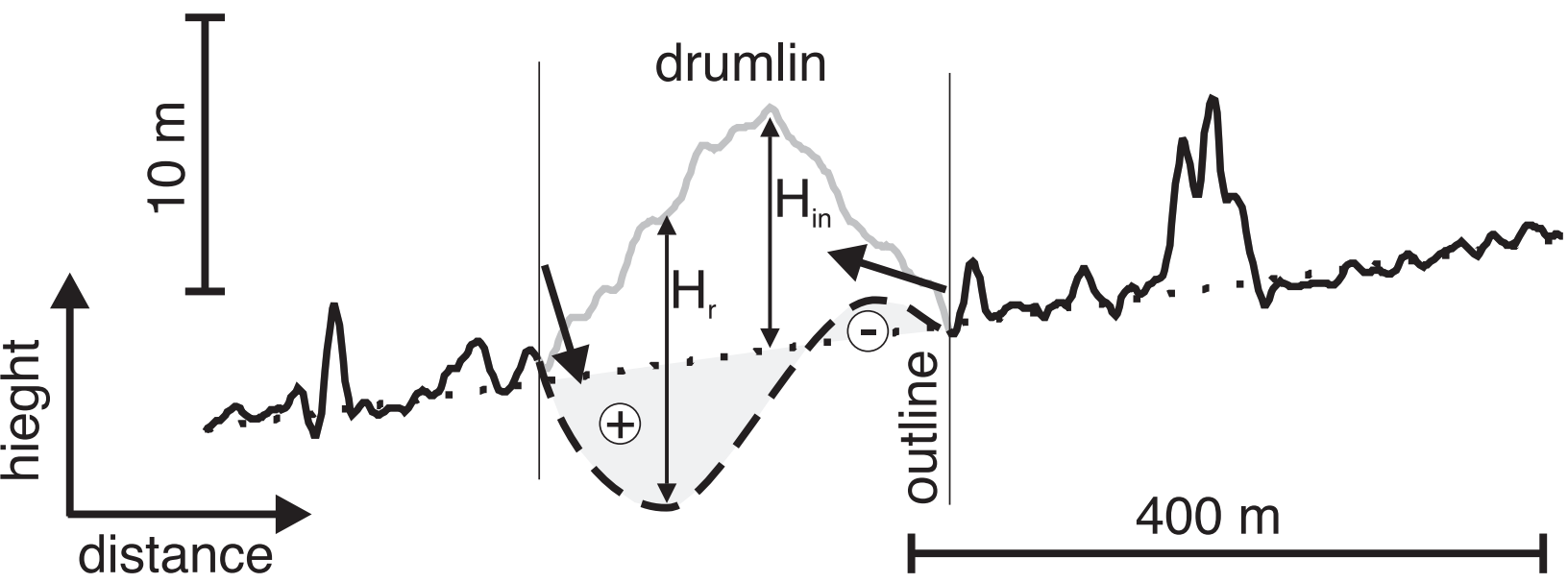


FIG 14