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23 Abstract

24 Continued advance of a new temperature-controlled chromatography system, comprising a column filled with thermoresponsive stationary phase and a travelling cooling zone reactor 25 (TCZR), is described. Nine copolymer grafted thermoresponsive cation 26 27 exchangers(thermoCEX) with different balances of thermoresponsive (Nisopropylacrylamide), hydrophobic (*N-tert*-butylacrylamide) and negatively charged (acrylic 28 29 acid) units were fashioned from three cross-linked agarose media differing in particle size and pore dimensions. Marked differences in grafted copolymer composition on finished supports 30 were sourced to base matrix hydrophobicity. In batch binding tests with lactoferrin, maximum 31 32 binding capacity (q_{max}) increased strongly as a function of charge introduced, but became increasingly independent of temperature, as the ability of the tethered copolymer networks to 33 switch between extended and collapsed states was lost. ThermoCEX formed from Sepharose 34 35 CL-6B (A2), Superose 6 Prep Grade (B2) and Superose 12 Prep Grade (C1) under identical conditions displayed the best combination of thermoresponsiveness $(q_{max,50^{\circ}C} / q_{max,10^{\circ}C}$ ratios 36 of 3.3, 2.2 and 2.8 for supports 'A2', 'B2' and 'C1' respectively) and lactoferrin binding 37 capacity ($q_{max,50^{\circ}C} \sim 56$, 29 and 45 mg/g for supports 'A2', 'B2' and 'C1' respectively), and 38 39 were selected for TCZR chromatography. With the cooling zone in its parked position, thermoCEX filled columns were saturated with lactoferrin at a binding temperature of 35 °C, 40 washed with equilibration buffer, before initiating the first of 8 or 12 consecutive movements 41 of the cooling zone along the column at 0.1 mm/s. A reduction in particle diameter (A2 \rightarrow 42 B2) enhanced lactoferrin desorption, while one in pore diameter (B2 \rightarrow C1) had the opposite 43 effect. In subsequent TCZR experiments conducted with thermoCEX 'B2' columns 44 continuously fed with lactoferrin or 'lactoferrin + bovine serum albumin' whilst 45 simultaneously moving the cooling zone, lactoferrin was intermittently concentrated at 46 regular intervals within the exiting flow as sharp uniformly sized peaks. Halving the 47 lactoferrin feed concentration to 0.5 mg/mL, slowed acquisition of steady state, but increased 48

the average peak concentration factor from 7.9 to 9.2. Finally, continuous TCZR mediated separation of lactoferrin from bovine serum albumin was successfully demonstrated. While the latter's presence did not affect the time to reach steady state, the average lactoferrin mass per peak and concentration factor both fell (respectively from 30.7 to 21.4 mg and 7.9 to 6.3), and lactoferrin loss in the flowthrough between elution peaks increased (from 2.6 to 12.2 mg). Fouling of the thermoCEX matrix by lipids conveyed into the feed by serum albumin is tentatively proposed as responsible for the observed drops in lactoferrin binding and recovery.

57 Keywords: Copolymer modified agarose adsorbents; Ion exchange adsorption; Lower critical
58 solution temperature (LCST); *N*-isopropylacrylamide; Smart polymers; Travelling cooling
59 zone reactor

61 **1. Introduction**

62 Today, liquid chromatography is universally recognized as a supremely effective and practical bioseparation tool [1,2]. There are a multitude of reasons for this, but perhaps the two most 63 important are the technique's adaptability to analytical and preparative separation tasks [3] 64 and the availability of a huge variety of differently functionalized chromatographic supports 65 66 affording orthogonal separation mechanisms [4,5]. In typical adsorption chromatography, 67 defined amounts of a given feed solution, containing a single target component and multiple contaminants, are loaded onto a fixed-bed of adsorbent contained in a chromatography 68 column. While the target component adsorbs, to be recovered in a later dedicated elution step 69 70 by changing the chemical composition of the mobile phase, contaminant species either flow through the column unhindered, or alternatively are washed out in a subsequent washing step 71 72 and/or during elution procedures. In addition to modifying the mobile phase's chemical 73 composition, physical parameters can also be manipulated to influence protein adsorption to and desorption from chromatographic supports; the most popular of these being temperature, 74 75 especially in the case of Hydrophobic Interaction Chromatography, HIC [6-10]. According to the Gibbs-Helmholtz equation, an increase in temperature exerts an influence similar to that 76 imposed by raising the cosmotropic salt content in the mobile phase during HIC, which leads 77 to enhanced protein adsorption affinity [8]. However, the relatively small differences in 78 79 working capacity, even across temperature differentials as high as 40 °C, makes HIC adsorbents unattractive materials for purely temperature mediated liquid chromatography. The 80 anchoring of 'smart' temperature-sensitive polymers or 'smart' thermoresponsive polymers 81 82 onto chromatography supports offers a potential means of overcoming this drawback.

83

84 Smart thermoresponsive polymers are ones that exhibit inverse temperature solubility 85 behaviour, i.e. they are water-soluble at low temperature and insoluble at high temperature, 86 above a critical temperature known as the lower critical solution temperature (LCST) [11].

The most studied smart thermoresponsive polymer by far is poly(*N*-isopropylacrylamide) or 87 88 pNIPAAm [12-14], and it's successful and broad application within biomedicine and biotechnology is extensively documented [15-18]. pNIPAAm undergoes a sharp reversible 89 'hydrophilic coil – hydrophobic globule' phase transition in water at an LCST of 32–34 °C 90 [12,13]. A large body of work on endowing chromatographic packing materials with 91 temperature switchable behavior, through their modification with e.g. pNIPAAm or 92 pNIPAAm copolymers, has appeared since the 1990s [19-24]. Most of this has involved 93 modification of small pored inorganic or hydrophobic (polystyrene based) chromatography 94 supports for use in analytical separations of small biomolecules (especially steroids). In stark 95 96 contrast, very little has been done on the modification of softer macroporous media for preparative separations of much larger macromolecules, such as proteins. [22-24]. Maharjan 97 et al. [22] and subsequently we [23] grafted lightly cross-linked networks of poly(N-98 99 isopropylacrylamide-co-N-tert-butylacrylamide-co-acrylic acid) into the surfaces of crosslinked agarose supports to produce thermoresponsive cation exchangers (hereafter abbreviated 100 101 to thermoCEX). In tests with the thermally robust protein lactoferrin (LF) and jacketed 102 columns of thermoCEX media, LF previously adsorbed at a higher temperature could be desorbed by lowering the mobile phase and column temperature. 103

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To exploit thermoresponsive chromatography media more effectively, we invented a bespoke 105 column arrangement [23], the so-called Travelling Cooling Zone Reactor (TCZR). TCZR 106 chromatography employs a vertically held stainless steel walled column filled with 107 108 thermoresponsive stationary phase and a computer-controlled motor-driven Peltier cooling device (the travelling cooling zone, TCZ) surrounding a discrete zone of the column (Fig. 1). 109 110 In standard operation, a protein feed is administered to the column at an elevated temperature. On completion of the loading phase, the column is irrigated with an equilibration buffer 111 whilst simultaneously moving the TCZ along the full length of the separation column 112

(multiple times) in the direction of the mobile phase, and at a velocity lower than that of the interstitial fluid. With each TCZ arrival at the end of the column, a sharp concentrated protein peak appears in the exiting flow, which can be collected by means of a fraction collector.

116

In this second follow up study, we push the boundaries of the TCZR chromatography concept 117 further. From 3 different agarose base matrices, we construct and fully characterize 9 118 thermoCEX media varying in particle size, pore diameter, and copolymer composition, and 119 120 subsequently identify, from batch adsorption and batch mode TCZR chromatography, the thermoCEX variant best suited for operation in TCZR modified columns. We then 121 demonstrate, for the first time, how TCZR can be operated in continuous mode, to accumulate 122 and concentrate a model binding protein (LF), and then separate the same target molecule 123 from a simple protein mixture. 124

125

126 **2. Materials and methods**

127 2.1 Materials

The base matrices, Sepharose CL-6B (Cat. no. 170160-01, Lot no. 10040943), Superose 6 128 Prep Grade (Cat. no. 17-0489-01, Lot. no. 10037732) and Superose 12 Prep Grade (Cat. 129 no.17-0536-01, Lot. no. 10057699) were all supplied by GE Healthcare Life Sciences (Little 130 Chalfont, Bucks, UK). The chemicals, N-isopropylacrylamide (Cat. no. 415324, 97%; 131 NIPAAm), N-tert-butylacrylamide (Cat. no. 411779, 97%; t-BAAm), acrylic acid (Cat. no. 132 147230, anhydrous, 99%, AAc), 2-ethoxy-1-ethoxycarbonyl-1,2-dihydroquinoline (Cat. no. 133 149837, ≥99%; EEDQ), 4,4'-azobis(4-cyanovaleric acid) (Cat. no. 11590, ≥98%; ACV), N,N-134 dimethylformamide (Cat. no. 270547, >99.9%; DMF), N,N'-methylenebisacrylamide (Cat. 135 No. 146072, 99%; MBAAm), epichlorohydrin (Cat. no. E1055, 99%; ECH), tetrahydrofuran 136 (Cat. no. 34865, >99%; THF), diethyl ether (Cat. no. 309966, >99.9%), sodium borohydride 137 (Cat. no. 71321, >99%) and sodium hydroxide (Cat. no. S5881, anhydrous, >98%) were 138

obtained from Sigma-Aldrich Company Ltd (Poole, Dorset, UK). Absolute ethanol (Cat. no. 139 140 E/0650DF/17, 99.8+%) and ammonia solution (Cat. no. A/3280/PB15, AR grade, 0.88 S.G., 35%) were acquired from Fisher Scientific UK Ltd (Loughborough, Leics, UK), and bottled 141 oxygen-free nitrogen gas was supplied by the British Oxygen Co Ltd (Windlesham, Surrey, 142 UK). Bovine whey lactoferrin (MLF-1, Lot. No. 12011506, ~96%) was a gift from Milei 143 GmbH (Leutkirch, Germany), and bovine serum albumin (BSA, Cat. No. A7906, lyophilized 144 powder, \geq 98% by agarose gel electrophoresis) and 'Blue Dextran MW 2,000,000' (Cat. No. 145 D-5751) were purchased from Sigma-Aldrich. Di-sodium hydrogen phosphate (Cat. no. 146 4984.1, dihydrate, ≥99.5%) was from Carl Roth GmbH + Co. KG (Karlsruhe, Germany). 147 148 Disodium hydrogen phosphate (dihydrate, ≥99.5%) and sodium chloride (ACS reagent, \geq 99.5%) were supplied by Carl Roth or Sigma-Aldrich, and citric acid monohydrate (\geq 99%) 149 and Coomassie Brilliant Blue R250 (C.I. 42660) were from Merck Millipore (Darmstadt, 150 Germany). Pre-cast 15% mini-PROTEAN® TGX[™] gels and Precision Plus Protein[™] All 151 Blue Standards were supplied by Bio-Rad Laboratories Inc. (Hercules, CA, USA). All other 152 chemicals not stated above were from Sigma-Aldrich or Merck Millipore. The water used in 153 all experiments was deionized and purified using a Milli-Q Ultrapure system (Merck 154 155 Millipore, Darmstadt, Germany.

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157 **2.2 Preparation of the thermoCEX media used in this work**

For detailed descriptions of the procedures involved in the four step conversion of underivatized beaded agarose chromatography supports into thermoCEX media, the reader is referred to our previous study [23]. Exactly the same methods were applied here to three different beaded agarose starting materials (i.e. Sepharose CL-6B, Superose 6 Prep Grade and Superose 12 Prep Grade; see Tables 1 and 2). The first three steps of the conversion, i.e. epoxy activation, amine capping and immobilization of the ACV radical initiator were identically performed, but in the fourth and final 'graft from' polymerization step the initial AAc monomer concentration entering reactions with ACV anchored supports was systematically varied between 25 and 150 mM (equivalent to 2.5 to 13.5% of the total monomer concentration), whilst maintaining fixed concentrations of all other monomers, i.e. 900 mM NIPAAm, 50 mM tBAAm, and 10 mM of the cross-linking monomer, MBAAm. For point of comparison, the AAc concentration used in our previous study [23] was 50 mM (corresponding to ~5% of the total monomer composition).

171

172 2.3 Batch adsorption experiments with LF

In batch binding tests, portions of settled thermoCEX matrices (0.1 mL), previously 173 equilibrated with 10 mM sodium phosphate buffer, pH 6.5, were mixed with 0.5 mL aliquots 174 of varying initial LF concentration ($c_0 = 1 - 15$ mg/mL made up in the same buffer) and 175 incubated at 10, 20, 35 or 50 °C with shaking at 100 rpm in a Thermomixer Comfort shaker 176 177 (Eppendorf, Hamburg, Germany) for 1 h. After an additional 0.5 h at the selected temperature without shaking, the supernatants were carefully removed and analyzed for residual protein 178 179 content (see 2.4 Analysis). The equilibrium loadings on supports (q^*) were computed from the differences in initial (c_0) and equilibrium (c^*) bulk phase protein concentrations, and the 180 resulting q^* vs. c^* data were subsequently fitted to the simple Langmuir model (Eq. (1)) 181

182

183
$$q^* = q_{\max} \frac{c^*}{K_d + c^*}$$
 (1)

184

185 where q_{max} and K_d are respectively, the maximum protein binding capacity of the support and 186 the dissociation constant. Data fitting was performed by SigmaPlot® software version 11 187 (Systat Software Inc., San Jose, CA, USA) using the least squares method.

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- 189

190 **2.3. TCZR chromatography experiments**

191 All chromatographic experiments were conducted using Travelling Cooling Zone Reactors (TCZRs) connected to ÄKTA Purifier UPC 10 or Explorer 100 Air chromatography 192 workstations (GE Healthcare, Uppsala, Sweden) For detailed descriptions of the TCZR 193 arrangement, the reader is referred to our recent study [23]. A brief, but necessary description 194 of the workings of the system is given here. The TCZR set-up features four components, i.e. 195 196 (i) a temperature controlled box housing, (ii) the thermoresponsive stationary phase contained 197 in (iii) a stainless steel walled (1 mm thick) fixed-bed column (length = 10 cm; internal diameter = 6 mm; volume = 2.83 mL), and (iv) a movable assembly of copper blocks and 198 199 Peltier elements surrounding a small discrete zone of the column. The whole cooling unit can be moved up or down the column's length via a ball bearing guided linear motorized axis, and 200 201 by adjusting the Peltier elements, the centre of the assembly can be cooled down by >20 °C. 202 In all of the work described here, the constant surrounding temperature was 35 °C, and the velocity of the TCZ assembly (v_c) was the lowest attainable in the system (0.1 mm/s), 203 generating a maximum temperature difference of 22.6 °C corresponding to a minimum 204 temperature in the centre of the column of 12.4 °C extending across 2 cm of column length. 205

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207 2.3.2 Batch mode TCZR chromatography of LF

LF ($c_f = 2 \text{ mg/mL}$) in an equilibration buffer of 10 mM sodium phosphate, pH 6.5 was 208 continuously applied to beds of thermoCEX media (packing factor = 1.2) until almost 209 complete breakthrough had been achieved in each case (i.e. c/c_f approaching 1). At this point 210 the LF saturated columns were then washed with 5 CVs of equilibration buffer, before 211 moving the TCZ assembly multiple times (8 times in the case of thermoCEX-CL6B and 212 thermoCEX-S6pg, and 12 times for thermoCEX-S12pg) along the full separation column's 213 length at its minimum velocity of 0.1 mm/s, generating a minimum temperature in the centre 214 of the column of 12.4 °C [23]. On completion of TCZ's last movement residually bound LF 215

was dislodged from the columns using a 1 M NaCl step gradient. Constant mobile phase velocities of 30 mL/h for the small particle sized Superose based thermoCEX media, or 60 mL/h for the larger Sepharose CL-6B derived thermoCEX adsorbent, were employed, giving rise to interstitial velocities for the packed columns of thermoCEX-S6pg, thermoCEX-S12pg and thermoCEX-CL6B of 0.70 mm/s, 0.84 mm/s and 1.89 mm/s respectively. The percentages of LF in each of collected peaks were calculated by dividing the LF mass eluted in each by the total mass of LF recovered in all of the elution peaks.

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224 **2.3.3 Continuous TCZR chromatography**

225 In continuous chromatography experiments, feeds of LF ($c_f = 0.5$ or 1 mg/mL) or 'LF + BSA (1 mg/mL of each) in 10 mM sodium phosphate equilibration buffer were continuously fed at 226 a temperature of 35 °C, and interstitial velocity of 0.74 mm/s on to a column filled with 227 228 thermoCEX-S6pg. During the first 2 h of operation the TCZ remained in its parking position above the separation column, by which point the protein loading front had approached $\sim 75\%$ 229 of the column's length. At this stage slow constant movement of the TCZ along the column 230 was initiated resulting in the first elution peak. At the applied velocity ($v_c = 0.1 \text{ mm/s}$) the 231 TCZ travelled down the column at less than a seventh the rate of the interstitial mobile phase 232 233 velocity. On reaching the base of the column, some ~20 minutes later, the elution peak left the column, and the TCZ was once again moved, at high speed, to its parking position above the 234 column. Seven further movements of the TCZ along the column's length were conducted in 235 each experiment at regular 80 minute intervals, i.e. after 200, 280, 360 440, 520, 600 and 680 236 minutes had elapsed. During the continuous application of the TCZR system, desorbed 237 proteins were fractionated after every movement of the TCZ. The fractionation started when 238 the UV adsorption at 280 nm in the effluent showed values above 100 mAu. The protein 239 peaks were collected and the protein concentration was determined. Residual bound protein 240 finally was eluted by an increase to 1 M of sodium chloride in the mobile phase. The 241

concentration factor ($CF_{Peak,i}$) of every single protein peak *i* was determined by dividing the protein peak concentration by the feed concentration (Eq. (2)):

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245
$$CF_{Peak,i} = \frac{C_{Peak,i}}{c_f}$$
 (2)

246

where $c_{Peak,i}$ is the peak concentration of the respective fractionated protein peak. An averaged concentration factor (*CF*) was determined by calculating the average of the peak concentration factors when a steady-state was reached (Eq. (3)):

250

251
$$CF = \frac{\sum CF_{Peak,i}}{j}$$
 (3)

252

where j is the number of fractionated protein peaks in a steady-state. By multiplying the protein concentration of the fractions and the fraction volume, the eluted protein mass could be calculated.

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257 **2.4 Analysis**

The battery of methods employed to characterize the various thermoCEX adsorbents prepared in this work, including all intermediates in their manufacture, are summarized briefly below and described in detail elsewhere [23].

261

Reactive epoxide contents introduced by activation with epichlorohydrin were determined asdescribed by Sundberg and Porath [25].

For qualitative FT-IR analysis of solid supports, oven dried samples (~3 mg) were mixed with 265 potassium bromide (300 mg), ground down to a fine powder and hydraulically pressed (15 266 tonne) into tablet form. Each tablet was subjected to 64 scans (averaged at a resolution of 2 267 cm⁻¹) in a Nicolet 380 FT-IR (Thermo Fisher Scientific, Waltham, MA, USA) in direct beam 268 mode. Quantitative estimation of 'NIPAAm + tBAAm' consumption by supports during 269 grafting reactions, by monitoring changes in area of the characteristic peak for N-H bending 270 $(1575 - 1500 \text{ cm}^{-1})$, was performed on liquid samples (150 µL) applied directly to the surface 271 of the Nicolet 30 FT-IR's Smart 53 Orbit diamond accessory. The samples were scanned 64 272 times at a resolution of 2 cm⁻¹ in attenuated total reflectance mode (ATR FT-IR). 273

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Gravimetric analyses was used to determine the immobilized ACV and copolymer contents on solid supports and also of dried residues recovered from liquid samples, to allow determination of free ungrafted copolymer content and unreacted monomers remaining in solution post-grafting. Free copolymers were separated from unreacted monomers by rotary evaporating to dryness, resuspending in tetrahydrofuran, precipitating with diethyl ether and oven drying, and unreacted monomers remaining in the supernatants were recovered by rotary evaporating to dryness.

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The relative amounts of NIPAAm and tBAAm in ungrafted copolymers were obtained by proton NMR spectroscopic analysis of CDCl₃ dissolved samples using a Bruker AV400 NMR Spectrometer (Bruker-BioSpin Corporation, Billerica, MA, USA). 'NIPAAm:tBAAm' ratios (Tables 1 & 2) were computed from characteristic chemical shifts in ¹H NMR spectra at 1.15 ppm and 1.34 ppm for two strong methyl proton peaks arising from the NIPAAm and tBAAm side chains respectively [22,23].

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Full temperature dependent 'coil–globule' transition profiles and lower critical solution temperature (LCST) values for ungrafted copolymers were obtained by monitoring the optical transmittance (at $\lambda = 500$ nm) of test solutions (0.5% w/v copolymer in 10 mM sodium phosphate, pH 6.5) in a Cecil CE7500 UV/visible dual beam spectrometer equipped with a water thermostatted cuvette holder.

295

H⁺ exchange capacities of supports were determined by titration using GE Healthcare's method for determination of the ionic capacity of CM Sepharose media (No. 30407). The void volumes of packed beds of thermoCEX media were determined by SEC of Blue Dextran (50 μ L, 1 mg/mL) under non binding conditions, using an equilibrating and mobile phase of 50 mM Tris-HCl, pH 7.5 supplemented with 100 mM KCl.

301

The protein content in samples was spectrophotometrically assayed at a wavelength of 280 nm either off-line during batch binding experiments using a NanoDrop® ND-1000 Spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA) or quartz cuvettes in a Lambda 20 UV-vis spectrophotometer (PerkinElmer Analytical Instruments, Shelton, CT, USA), or on-line during chromatographic investigations using ÄKTA chromatography workstations operated under Unicorn[™] software (GE Healthcare, Uppsala, Sweden).

308

The composition of fractions generated during continuous TCZR chromatographic separation of LF and BSA was examined by reducing SDS-PAGE [26] in 15% (w/v) pre-cast polyacrylamide gels in a Mini-Protean® Tetracell electrophoresis system (Bio-Rad Laboratories, Hercules, CA, USA). After electrophoresis, gels were stained with 0.1% (w/v) Coomassie Brilliant Blue R250, dissolved in 40% (v/v) ethanol and 10% (v/v) acetic acid) for 1 h at room temperature, and were subsequently destained at the same temperature in a solution composed of 7.5% (v/v) acetic acid and 10% (v/v) ethanol. The LF and BSA contents were determined by densitometric analysis of scanned TIFF images of appropriately loaded Coomassie Blue stained gels following electrophoresis. The images were captured with an HP ScanJet C7716A flat bed scanner (Hewlett-Packard Company, Palo Alto, CA, USA) at a resolution of 2400 dpi, and analyzed using ImageJ software [27].

320

321 **3.** Results and discussion

322 **3.1** Concept of single-column continuous chromatography

Schematic concentration and loading profiles within the TCZR fitted column are illustrated in 323 Fig. 2 for the various operation phases. These are characterized by different positions of the 324 325 TCZ relative to the column. The profiles at three different positions of the TCZ are shown, i.e.: (i) outside the column (Fig. 2a); and after travelling (ii) a quarter (Fig. 2b) and (iii) three-326 quarters (Fig. 2c) of the separation column's length. When the TCZ is parked 'outside' (Fig. 327 328 2a), the whole column is operated at an increased temperature (T_B) . Protein is continuously loaded and binds to the adsorbent. In keeping with the binding strength of the protein to the 329 support at T_B, sharp concentration and loading fronts of the protein propagate through the 330 system at a constant velocity, v_{pf} (Eq. (4)): 331

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333
$$v_{pf} \cong \frac{u_i}{1 + \frac{1 - \varepsilon_b}{\varepsilon_b} \frac{\partial q}{\partial c}}$$
 (4)

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Here, v_{pf} is a function of the slope of the isotherm, the interstitial fluid velocity, u_i , and the phase ratio between the solid and liquid phases expressed by the bed voidage, ε_b , of the column packing.

When the TCZ starts to move along the column, previously bound protein desorbs at the front 339 340 of the zone, resulting in a strong increase in mobile phase protein concentration (Fig. 2b, lower trace). Because the TCZ's velocity (v_c) is slower than that of the mobile phase (i.e. $v_c <$ 341 u_i), the flow transports the desorbed protein further along the column into the adjacent region, 342 which is still at the elevated temperature, T_B. At T_B, this increased protein concentration leads 343 to a corresponding rise in the local protein loading at this point within the column. When, 344 345 sometime later, the constantly moving TCZ reaches this 'protein-laden' part of the column, its action desorbs the bound protein, resulting in an even larger surge in mobile phase protein 346 concentration. In essence, what results within the column, provided that mass transfer 347 348 limitations are small, are sharp concentration and loading waves formed in front of the moving TCZ. The concentration of adsorbing species will be much higher than that in the 349 350 feed, and protein loading closely approaches the maximum capacity of the adsorbent. With 351 progressive movement of the TCZ along the column more and more protein is desorbed at its front, to continuously supply the immediately flanking high temperature (T_B) region ('over-352 travelled' column section) with an increasing protein challenge (Fig. 2c. lower trace). Given 353 that the adsorbent's protein binding capacity cannot be exceeded (Fig. 2c, upper trace) the 354 protein concentration wave broadens (Fig. 2c, lower trace). 355

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Closer scrutiny of the plots in Fig. 2 reveals further noteworthy features of the TCZRprinciple highlighted as follows:

1. When the rate of progress of the feed concentration front along the column is slower than that of the TCZ, an increasingly wide region of low protein loading and concentration forms in the TCZ's wake (compare Figs 2b & 2c). The extent of reduction in protein loading and concentration, and therefore in effect the operational working capacity of the TCZR, are determined by the TCZ's efficiency in eluting the adsorbed protein. 2. When the protein wave creating the TCZ reaches the column outlet, protein elutes in high concentration. In the meantime, the protein feed is continuously applied at the other end of the column (inlet), protein loading of the front section of the column attains equilibrium with the feed, and once this loaded section reaches ~70% of the column length, another movement of the TCZ is initiated, giving rise to a second elution peak, and so on and so forth.

369 3. Thus, by careful selection of both the velocity of the TCZ and the timing between 370 successive movements of the device, a quasi-stationary state operation should be attained, 371 where similar concentration and protein loading profiles are generated before every 372 movement of the TCZ. This confers unique capabilities on the TCZR system, namely the 373 possibility of continuously loading protein at one end of column whilst simultaneously 374 desorbing previously bound protein from the other, in a single-column installation without 375 need of additional steps of regeneration and/or equilibration.

376

377 **3.2** Manufacture and characterization of thermoresponsive CEX adsorbents

Three types of beaded cross-linked agarose matrices (Sepharose CL-6B, Superose 6 Prep 378 Grade and Superose 12 Prep Grade) differing in particle diameter, agarose content and pore 379 size (see Tables 1 and 2) were fashioned into thermoCEX adsorbents in four successive steps, 380 i.e. epoxide activation, amine capping, ACV initiator immobilization and graft-from 381 polymerization using improved protocols detailed previously [23]. The initial composition of 382 monomers entering the final copolymer grafting step was systematically varied to create 383 families of thermoCEX materials with different balances of thermoresponsive, hydrophobic 384 and charged building blocks in the copolymers anchored to their exteriors and lining their 385 pores. The resulting thermoCEX adsorbents and intermediates in their manufacture (both 386 supports and reaction liquors) underwent rigorous qualitative and quantitative 387 phyiscochemical analysis prior to use. 388

FTIR spectra obtained during the stepwise conversion of Superose 6 Prep Grade into the 390 thermoCEX-S6pg adsorbent family are shown in Fig. 3. Identical sets of spectra were 391 obtained with Superose 12 Prep Grade and Sepharose CL-6B subjected to the same 392 procedures. During the various steps, the expected peaks previously assigned in converting 393 Sepharose CL-6B into a thermoresponsive cation exchanger [23], were also observed during 394 the manufacture of the Superose based thermoCEX media in this work. Of special note are: (i) 395 the growth in peak heights between 1474 and 1378 cm⁻¹ in the spectrum of epoxy-activated 396 397 S6pg due to increased alkyl group content, consistent with incorporation of glycidyl moieties into S6pg; (ii) sharpening and growth of the signal at 1378 cm⁻¹ following amination of 398 399 epoxy-activated S6pg, likely arising from diminished flexibility, and therefore reduced variance in the vibrational frequency of the CH₂ groups in the backbone; (iii) the appearance 400 of two new peaks in the FTIR spectrum of ACV immobilized S6pg (1736 cm⁻¹ for carboxylic 401 acid C=O stretching, and 1552 cm⁻¹ for azo N=N stretching and/or amide N-H bending); the 402 growth of (iv) amide N-H bending (1570 cm⁻¹) and amide C=O stretching (1670 cm⁻¹) 403 404 contributions from incorporated NIPAAm, tBAAm and MBAAm units, and (v) of carboxylic acid C=O stretching (1736 cm⁻¹), arising from the presence of AAc in the grafted copolymer 405 on the thermoCEX supports; and finally that (vi) despite marked differences in the 406 compositions of grafted copolymers on thermoCEX supports B1-B4 (see Table 2), their FTIR 407 spectra appear identical. 408

409

Analysis of the immobilized copolymer compositions of Sepharose CL-6B and Superose based thermoCEX (Tables 1 and 2 respectively) illustrates marked differences. Under identical reaction conditions, unique monomer consumption preferences appear to be displayed by the different ACV-coupled supports. Compare supports 'A2', 'B2' and 'C1' for example. When normalized against the initial monomer composition entering polymerization reactions with ACV immobilized supports, ACV-immobilized Sepharose CL-6B consumed

tBAAm and AAc roughly equally (32.5% cf. 30.4%), and ~2.4 times more readily than 416 417 NIPAAm (13.2%); by contrast, ACV-coupled S6pg consumed tBAAm (33.5%) in >1.8 fold preference to both AAc (19.0%) and NIPAAm (18.7%), whereas ACV-linked S12pg 418 419 consumed tBAAm (39.2%) ~1.5 and ~2.2 fold more readily than AAc (25.4%) and NIPAAm (17.6%) respectively. Thus, despite the higher initial epoxide density (878 µmol/g) driving 420 increased ACV immobilization (568 µmol/g) and ~1.4 fold higher mass of grafted copolymer, 421 the ionic capacity of the thermoCEX-S6pg was 38% lower than that on thermoCEX-CL6B 422 (i.e. 293 cf. 469 µmol/g dried support), whereas its NIPAAm and tBAAm contents had both 423 424 increased (by 42% and 3% respectively). We observed this phenomenon previously during fabrication of thermoCEX adsorbents fashioned from ostensibly very similar cross-linked 6% 425 agarose media, and suggested that differences were likely linked to the epoxide densities 426 introduced in the first synthetic step, rather than to subtle chemical disparities between the 427 two base matrices [23]. Based on findings in this study with 15 support materials, i.e. 9 428 429 finished thermoCEX and 6 intermediates in their manufacture (Tables 1 & 2), we no longer believe this to be the case. Though sharing agarose backbones and being subjected to identical 430 431 polymer modification reactions, it appears here that differences in proprietary modification 432 (principally cross linking) of the base matrix starting materials [29-33] influence the initial epoxy activation level, subsequent immobilized initiator density, loading and composition of 433 grafted copolymer of the finished thermoCEX adsorbents. 434

435

Sepharose CL-6B is prepared by first reacting Sepharose 6B with 2,3-dibromopropanol under strongly alkaline conditions and then desulphating post cross-linking, by reducing alkaline hydrolysis, to give a cross-linked matrix with high hydrophilicity and very low content of ionizable groups [30,33]. In the manufacture of Superose media, cross-linking to confer rigidity occurs in two stages, i.e. initial priming reaction with a cocktail of long-chain bi- and poly- functional epoxides in organic solvent, followed by cross-linking via short-chain bi-

functional cross-linkers conducted in aqueous solvent [30,32]. Superose media are thus less 442 443 hydrophilic than Sepharose CL supports, and it is this fundamental difference that likely: (i) contributes to the 30 – 50% greater number of immobilized oxiranes introduced into Superose 444 445 matrices (Table 2, 878 µmol/g for S6pg, 1018 µmol/g for S12pg) by epichlorohydrin activation (step 1) under identical conditions cf. Sepharose CL-6B (Table 1, 662 µmol/g); 446 leads in turn (ii) both to higher immobilized ACV contents (568 - 611 µmol/g for S6pg, 878 447 µmol/g for S12pg cf. 380 µmol/g for Sepharose CL-6B) and elevated grafted polymer yields 448 $(6005 \pm 68 \mu mol/g$ for ThermoCEX-Superose adsorbents cf. $4675 \pm 97 \mu mol/g$ for 449 450 ThermoCEX-CL6B); and (iii) significantly higher incorporation of the charged AAc monomer into the grafted copolymers on ThermoCEX-CL6B cf. ThermoCEX adsorbents 451 fashioned from Superose media across all AAc input concentrations during grafting (see 452 453 Tables 1 and 2).

454

The temperature dependent phase transition behaviour of ungrafted free copolymer solutions emanating from various grafting reactions with ACV-immobilized Sepharose CL-6B and Superose Prep Grade are compared in Fig. 4. Figures 4a and 4b display the raw transmittance vs. temperature profiles, and Fig. 4c examines the wider impact of NIPAAm replacement on the LCST and full transition temperature ranges of the copolymers.

460

In accord with literature reports [12-14], the LCST at 50% optical transmittance ($T_{50\%}$) for the 'smart' homopolymer pNIPAAm was 32.3 °C and sharp transition from fully extended 'hydrophilic coil' ($T_{90\%}$) to fully collapsed 'hydrophobic globule' ($T_{0.4\%}$) occurred between 31 and 35 °C (dashed line traces in Figs 4 a-c). Copolymerizing NIPAAm with more hydrophobic monomers leads to a reduction in the LCST [34,35], whereas incorporation of more hydrophilic species increases it [34]. Here, simultaneous low level substitution of AAc

and tBAAm into pNIPAAm's backbone ('A1', 'B1' & 'C1') at the expense of 12.1 to 16.9% 467 of its NIPAAm content (Tables 1 & 2), had the effect of lowering the LCST (by 1.4 °C for 468 'A1', 2.3 °C for 'B1', 1.5 °C for 'B2' and 0.9 °C for 'C1') and broadening the transition 469 temperature range in both directions (i.e. 28.3 to 36.7 °C for 'A1', 26.5 to 34.8 °C for 'B1', 470 26.5 to 37.7 °C for 'B2', and 28.5 to 36.8 °C for 'C1'). With mounting NIPAAm replacement 471 ('A3', 'A4', 'B3', 'B4'), smart thermoresponsive behaviour became increasingly 472 compromised. For example, the LCST for the '67.3:15.8:16.9' copolymer (Fig. 4d, 'A4') 473 reached 36.6 °C and temperature range over which full 'coil – globule' transition range 474 extended >25 °C, i.e. from 20.5 to 46 °C. 475

476

477 **3.3 Temperature dependent adsorption of LF on thermoCEX adsorbents**

The optimum condition for an effective thermoresponsive adsorbent is one where the binding 478 479 of a given target is strongly temperature dependent; in the ideal case being effectively switched 'on' (powerful adsorption) and 'off' (no adsorption) by a small change in bulk phase 480 temperature across the LCST of the thermoresponsive copolymer. In practice this has not 481 been achieved, i.e. the sharp 'coil - globule' transitions observed in free solution are not 482 mirrored by protein binding vs. temperature plots [22,23]. The collapse and extension of 483 484 surface-anchored thermoresponsive copolymer chains is considerably more constrained [36] and complex [23,36,37] than that of the free untethered species. As a consequence, changes to 485 the binding interface in response to a thermal trigger are gradual in nature. 486

487

The effect of temperature on the maximum LF adsorption capacity (q_{max}) for all nine thermoCEX supports is illustrated in Fig. 5. In all cases, q_{max} rose linearly with increase in temperature over the examined range (10 – 50 °C), as the tethered copolymer networks gradually transitioned from predominantly hydrophilic and fully extended low charge density states (Fig. 1, bottom right) to increasingly flattened, hydrophobic and highly charged ones

(Fig. 1, top right), as the distance between neighboring charged AAc units within the 493 494 collapsed copolymer decreased and previously shielded/buried negative charges became exposed [22]. However, the degree of thermoresponsiveness exhibited varied significantly 495 between and within each thermoCEX family, and a clear trend emerged. For the least 496 substituted supports in the Sepharose CL-6B and Superose 6pg thermoCEX families, i.e. 'A1' 497 (Fig. 5a, Table 1) and 'B1' (Fig. 5b, Table 2), LF binding capacity was strongly temperature 498 dependent (' $q_{max, 50^{\circ}C} / q_{max, 10^{\circ}C}$ ' ratios of 2.2 for 'A1' & 3.3 for 'B1'), but low ($q_{max, 50^{\circ}C}$ values 499 of <22 mg/mL for 'B1' and <27 mg/mL for 'A1'). With increasing NIPAAm replacement by 500 hydrophobic tBAAm and negatively charged AAc monomers (\rightarrow 'A2' \rightarrow 'A3' \rightarrow 'A4', Fig. 5a; 501 → 'B2'→ 'B3'→ 'B4'; Fig. 5b), LF binding increased dramatically $(q_{max, 50^{\circ}C} \text{ rising to } \sim 52)$ 502 mg/mL for 'B4' and ~83 mg/mL for 'A4'), but became increasingly temperature independent 503 (' $q_{max, 50^{\circ}C} / q_{max, 10^{\circ}C}$ ' ratios of 1.06 for A4 & 1.1 for 'B4'), as the ability of the tethered 504 505 copolymers to transition between extended and collapsed states was lost. ThermoCEX supports with moderate levels of NIPAAm replacement, i.e. 'A2', 'B2' & 'C1', displayed the 506 507 best combination of thermoresponsiveness and LF binding capacity (i.e. reasonable $q_{max, 50^{\circ}C}$ and low $q_{max,10^{\circ}C}$ values), and were therefore selected for further study. 508

509

510 Fig. 6 shows adsorption isotherms obtained for the binding of LF to these at different temperatures, and Table 3 presents the fitted Langmuir parameters. At the lowest temperature 511 of 10 °C, the binding of LF to all three thermoCEX adsorbents is rather weak (K_d values 512 between 0.82 and 4.8 mg/mL) and of low capacity (q_{max} values <17 mg/mL), but as the 513 temperature is gradually stepped up, the tightness and capacity of LF sorption rise strongly. 514 At the highest temperature of 50 °C, the paired values for q_{max} and tightness of binding (initial 515 slope, q_{max}/K_d) are 55.9 mg and 631 for support 'A2', 28.6 mg/mL and 168 for 'B2', and 44.7 516 mg/mL and 213 for support 'C1' (Table 3). An identical pattern of behaviour in response to 517 temperature (between 20 and 50 °C) was noted previously by Maharjan et al. [22] for a 518

thermoCEX support fashioned out of Sepharose 6 FF. The ranking of static LF binding performance of this family of thermoCEX supports of 'Sepharose CL-6B > Superose 12 Prep Grade > Superose 6 Prep Grade > Sepharose 6 FF' (initial slope values at 50 °C of 610, 213, 168 and 120 respectively) is not correlated with mass of copolymer attached (respectively 4177, 5495, 5733, 2060 μ mol/g dried support), nor the tBAAm content (respectively 501, 604, 516, 165 μ mol/g dried support), but rather with the support's intrinsic ionic capacity (i.e. 469 μ mol > 391 μ mol > 293 μ mol > 154 μ mol H⁺/g dried support).

526

527 Figure 7 compares chromatographic profiles arising from batch TCZR chromatography of LF on fixed beds of three thermoCEX manufactured under identical conditions from cross-linked 528 agarose base matrices differing in particle diameter and pore size (see Tables 1 & 2), i.e. 529 thermoCEX-CL6B 'A2' (Fig. 7a), thermoCEX-S6pg 'B2' (Fig. 7b) and thermoCEX-S12pg 530 'C1' (Fig. 7c). A striking similarity is instantly evident, namely that every movement of the 531 TCZ results in a sharp LF elution peak. Of greater importance, however, are the differences. 532 Following 8 movements of the TCZ along beds of the thermoCEX-CL6B 'A2' (Fig. 7a) and 533 534 thermoCEX-S6pg 'B2' (Fig. 7b), the percentages of eluted LF recovered in peaks 'a' to 'h' 535 combined were practically the same, i.e. 64.6% of that initially bound for thermoCEX-CL6B 'A2' cf. 67.1% for thermoCEX-S6pg 'B2'. But whereas just over half (54.4%) of the 536 thermally eluted LF from all 8 peaks (a - h) was recovered by the first movement of the 537 TCZ (peak 'a') along the thermoCEX-CL6B 'A2' column (Fig. 7a), peak 'a' accounted for 538 more three-quarters (76.6%) of the combined thermally eluted LF (a - h inclusive) from 539 540 thermoCEX-S6pg 'B2' (Fig. 7b). Twelve movements of the TCZ were employed during TCZR chromatography on thermoCEX-S12pg 'C1'. In this case, eluted LF recovered in peaks 541 'a - l' was just 58.3%, and the first movement of the TCZ accounted for only 17.5% of 542 combined thermally eluted LF ('a - l'). 543

Achieving significantly higher LF desorption with a single movement of the TCZ, i.e. 545 546 approaching the 100% level, would require the absence of both mass transport limitations and LF binding at the minimum column temperature of 12.4 °C. Clearly, neither condition 547 applies. Inspection of Fig. 6 and Table 3 confirm that LF is still able to bind to the 548 thermoCEX media at 10 °C albeit weakly, thus at 12.4 °C in the TCZR system some LF will 549 550 inevitably remain bound. Further, evidence of significant limitations on mass transport during TCZR mediated elution (though noticeably less marked for thermoCEX-S6pg 'B2') is 551 provided by the observation that LF elution continued up to and including the last TCZ 552 movement for all three matrices (Fig. 7). Because the total amounts of LF eluted on 553 554 approaching equilibrium (after 8 movements of the TCZ) were essentially the same for thermoCEX-CL6B 'A2' and thermoCEX-S6pg 'B2', the lower LF binding strength of 555 thermoCEX-S6pg 'B2' cannot adequately explain its improved LF recovery following the 556 557 first TCZ movement (peak 'a' in Figs 7 a & b). Instead, differences in particle size and pore diameter (highlighted in Tables 1 & 2) manifested in form of pore diffusion limitation, likely 558 559 account for the significant disparity in % LF desorption observed following the first TCZ movement along packed beds of the three different thermoCEX media, i.e. 76.6% for 560 thermoCEX-S6pg 'B2' cf. 55.4% for thermoCEX-CL6B 'A2' cf. 17.5% for thermoCEX-561 S12pg 'C1'. 562

563

Consider the case of a target protein adsorbed close to the centre of a thermoCEX support particle. If such a species is to be desorbed by the temperature change effected by the TCZ, it must diffuse out of the support particle's pores and into the mobile phase to be eluted from the column. However, should the time required for this diffusion process be greater than the TCZ's contact time with the region of the column where the support resides, the target protein will re-adsorb en route and hence will not contribute to the elution peak. The advantage of smaller adsorbent particle diameters and adequately large pores for TCZR application with

protein adsorbates is therefore clear. Smaller particles dictate shorter diffusion paths, while 571 572 large pores provide less of an impediment to mass transfer of large macromolecules [38]. This combination leads to reduced times for the diffusion process, culminating practically in fewer 573 numbers of movements of the TCZ to achieve a desired target desorption yield, and is 574 displayed best in this work by the Superose 6 Prep Grade based thermoCEX matrix. 575

576

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3.4 Continuous protein accumulation experiments

In our first series of continuous TCZR chromatography experiments, the influence of the 578 target protein concentration (c_f) on total system performance during continuous feeding and 8 579 580 movements of the TCZ along the column was examined using LF as the model binding component and thermoCEX-S6pg 'B2' as the column packing material. Chromatograms 581 corresponding to continuous feeding of LF at 0.5 mg/mL and 1 mg/mL are shown in Figs 8a 582 583 and 8b respectively. In both cases, residual bound LF not desorbed by the TCZ was eluted after the last movement by raising the mobile phase's ionic strength. 584

585

Visual comparison of the 8 individual eluted peaks (a - g) within each chromatogram 586 suggests a certain time is required before the profiles and peak areas become uniform (i.e. 4 587 movements at $c_f = 0.5$ mg/mL and 3 movements at $c_f = 1$ mg/mL), and the quantitative 588 analysis in Table 4 confirms this. Quasi-stationary states are effectively reached from peaks 589 'd' ($c_f = 0.5 \text{ mg/mL}$) and 'c' ($c_f = 1 \text{ mg/mL}$) onwards, where the mass of LF eluted in each 590 peak remains essentially constant (i.e. 14.2 ± 2.1 mg and 30.7 ± 1.3 mg for the low and high 591 LF feed concentrations respectively) and small traces of LF are lost in the flowthrough 592 between successive individual elution peaks (i.e. averages of 1.5 and 2.6 mg for low and high 593 594 LF feed conditions respectively). The observation that steady state was reached later, when feeding the lower strength LF feed, merits explanation. Attaining a quasi-stationary condition 595 is only possible after the TCZ has completed its transit and the protein loading across the full 596

column length approaches equilibrium. Under such circumstances, the amount of protein temporarily loaded at the elevated temperature T_B , (with the TCZ parked outside, Fig. 2a) will be constant. From this it follows that raising the protein concentration in the feed should speed the acquisition of a steady state.

601

Another important parameter influenced by the protein concentration in feed is averaged concentration factor, *CF*, (Eq. (3)) attainable during steady state operation. The *CF* reached 9.2 at $c_f = 0.5$ mg/mL (Fig. 8a), but dropped to 7.9 on increasing the LF concentration in the feed twofold (Fig. 8b). An explanation for the slight reduction in *CF* with increasing LF concentration can be found in the isotherm describing LF adsorption to thermoCEX-S6pg 'B2' at 35 °C (Fig. 6b); i.e. isotherm starts to become non-linear between 0.5 and 1 mg LF per mL.

609

610 **3.5 Continuous separation of a binary protein mixture**

611 The ability of TCZR to function continuously having been established (Fig. 8, Table 4), the next step was to test TCZR's feasibility to not only accumulate and concentrate a single target 612 protein, but to continuously separate it from a protein mixture. For this we employed a simple 613 binary protein mixture consisting 1 mg/mL LF and 1 mg/mL BSA in a 10 mM sodium 614 phosphate pH 6.5 buffer. At this pH the binding species LF carries an overall cationic charge, 615 whereas the BSA is negatively charged. The experiment was conducted in a similar manner 616 to the LF accumulation study reported above (Section 3.4, Fig. 8), and Fig. 9 shows the 617 618 chromatogram obtained. Shortly after applying the protein mix to the column, the UV signal stepped steeply to ~350 mAU where it remained (first flowthrough pooled 'a') until directly 619 after the first of 8 individual movements of the TCZ (highlighted by shaded gray bars). Each 620 TCZ movement led immediately to a sharp (>2750 mAU) symmetrical elution peak, and the 621 UV signal of the flowthrough between each temperature mediated elution peak rapidly 622

returned to roughly constant threshold of ~500 mAU. Following the 8th TCZ motion,
residually bound protein was desorbed from the column by a step change in ionic strength (S).

SDS-PAGE analysis of the feed (F), pooled flowthrough (c, e, g, i, k, m, o & q), peak (b, d, f, q)626 h, j, l, n & p) and salt-stripped (S) fractions corresponding to the chromatogram in Fig. 9 is 627 presented in Fig. 10. Commercial BSA and LF were employed in this work, and neither 628 629 protein was subjected to further purification prior to use. Thus, the binary 'LF+BSA' mixture used actually contained many additional species present in trace quantitites. Cation exchange 630 chromatography had been employed as the main purification step for the ~96% pure LF, and 631 632 all but one contaminant species observable in Fig. 10 (esp. noticeable in pool 'S') emanates from this preparation. Only two species within the BSA preparation are observed in 633 Coomassie Blue stained electrophoretograms following SDS-PAGE, i.e. the 66.4 kDa 634 635 monomer accounting for >98% of the BSA content, and a much lower intensity 130.5 kDa dimer contaminant. The intensities of the lower migrating BSA monomer and upper dimer 636 species remain constant across all flowthrough and peak fractions indicating their continued 637 presence in the mobile phase throughout the run. The early UV signal surge to 350 mAU in 638 Fig. 9 (flowthrough pool 'a') is primarily due to breakthrough of BSA (see Fig. 10, only a 639 640 small percentage of the feed's LF is noted in pool 'a'); the sharp strong peaks, on the other hand, arise from the accumulation on and subsequent temperature mediated elution of LF 641 along with small traces of numerous contaminants of the LF preparation (Fig. 10) from the 642 adsorbent bed. The increase in UV signal from 350 mAU for the flowthrough pool 'a' to 500 643 mAU for all inter peak flowthroughs (pools c, e, g, i, k, m, o, & q) is due to LF leakage. The 644 addition of 1 mg/mL BSA to the 1 mg/mL LF feed did not disturb the time taken to attain 645 quasi-stationary state; in both cases this was reached from the third peak on (compare Figs 8b 646 and 9). However, the average eluted LF mass per peak and concentration factors were 647 significantly lower (i.e. 21.4 mg cf. 30.7 mg & CF = 6.3 cf. 7.9), and the loss of LF in the 648

flowthrough between successive elution peaks was much higher (12.2 *cf.* 2.6 mg).
Nevertheless, the mean purity of LF in eluted peaks was ~86%.

651

652 Reasons for impaired LF recovery in the presence of BSA are presently unclear. The presence of small amounts of BSA in the NaCl stripped pool 'S' (Fig. 10) illustrates that a tiny fraction 653 of applied BSA had been adsorbed sufficiently strongly to resist desorption by 8 movements 654 of the TCZ. The occurrence of BSA in the strip fraction 'S' and unbound fractions (pools a, c, 655 e, g, i, k, m, o, & q) raises the possibility of two or more distinct BSA species, i.e. those that 656 electrostatically repelled, and others that bind strongly and possibly unfold and spread on the 657 658 thermoCEX matrix at 35 °C. Such a scenario could occur were the distribution of exposed hydrophobic and charged monomers within the collapsed copolymer non-uniform, such that 659 highly hydrophobic clusters or islands are created occupying a few percent of the overall 660 661 binding surface. In this instance a slight drop in the adsorbent's LF binding capacity, but not in its LF binding affinity, would be anticipated. 662

663

A more satisfactory explanation for the reduction in both LF binding strength and capacity in 664 the presence of BSA is fouling of binding interface by the lipids it carries. Long-chain free 665 666 fatty acids (FFAs) are found in many bioprocess liquors (e.g. fermentation broths), but their influence on fouling of chromatography media and membrane units has gone largely ignored 667 owing to their low concentrations and poor solubility [39,40]. Serum albumin's principal role 668 in vivo is to bind otherwise insoluble long-chain fatty acids released into the blood from 669 670 adipose cells and transport them within circulating plasma, and the effectiveness with which it binds FFAs is highlighted by the fact that the solution concentration of a given long-chain 671 672 FFA can be increased as much 500 fold in its presence [41]. To date, most chromatographic studies with BSA have employed commercial preparations substantially pure with respect to 673 protein, but not free of lipids. Procedures for delipidation of serum albumin usually involve 674

extraction with organic phases at elevated temperatures or sorption of free fatty acids (FFAs)
onto activated charcoal at elevated temperature under acidic–neutral conditions [41,42].
Under the operating conditions employed here, i.e. mobile phase of pH 6.5, temperature of 35
°C, FFA binding to BSA is weak [42] and FFA solubility is comparatively high [40]; it is
conceivable that FFAs bind to and foul the copolymer binding surface, thereby reducing both
the adsorbent's binding affinity and occupancy for LF.

681

682 **4.** Conclusions

The fabrication and detailed characterisation of porous beaded thermoCEX adsorbents 683 684 varying in particle size, pore dimensions and grafted poly(N-isopropylacrylamide-co-N-tertbutylacrylamide-co-acrylic acid) composition has been done for two main reasons, i.e. to (i) 685 challenge an earlier hypothesis [23] that intra-particle diffusion of desorbed protein out of the 686 687 support pores is the main parameter affecting TCZR performance; and (ii) identify an effective adsorbent customized for TCZR chromatography. ThermoCEX matrices with 688 689 moderate levels of NIPAAm replacement (by N-tert-butylacrylamide and acrylic acid) displayed the best combination of thermoresponsiveness and LF binding capacity. Head-to-690 head batch TCZR chromatography tests with LF and three such materials confirmed the 691 692 advantage of small particles with adequately sized pores, namely faster diffusion leading to 693 fewer numbers of TCZ movement to attain a set desorption yield.

694

695 Chromatographic separations of proteins are typically performed in batch mode requiring 696 sequential steps of equilibration, loading, washing elution and regeneration. Unless fully 697 optimized, a common feature is inefficient use of the separation medium. The switch from 698 batch to continuous operation promises several advantages, key of which is more efficient 699 utilization of the bed [43]. Several continuous chromatography formats have been developed 690 and applied for the separation of biomacromolecules thus far, including Continuous Annular

Chromatography [44], Continuous Radial Flow Chromatography [43], Simulated Moving Bed 701 in various guises [45-49], and Periodic Counter-current Chromatography [50]. The new 702 addition described here, Continuous Travelling Cooling Zone Reactor Chromatography, 703 704 employs a single column operated isocratically. The simplicity of its configuration notwithstanding, the main benefits over batchwise operation include reduced solvent and 705 706 buffer component usage, time savings and increased productivity. The continuous steady state 707 accumulation on and regular cyclic elution of the thermostable basic protein, LF, from a fixed 708 bed of a thermoresponsive cation exchange adsorbent in the form of sharp uniformly sized peaks has been demonstrated in this work. The time required to reach quasi steady state 709 710 operation and the degree of concentration attained on TCZ mediated elution appear inversely related to the concentration of LF being continuously supplied to the bed. The addition of the 711 712 non-binding species, BSA, to the LF feed had unexpectedly deleterious effects on lactoferrin 713 accumulation and recovery; the latter being tentatively attributed to fouling of the thermoCEX matrix by lipids carried into the feed by serum albumin. No evidence of temperature induced 714 715 protein unfolding during TCZR chromatography was observed in the current study, but it remains a potential problem, especially for more thermolabile proteins. Non-NIPAAm based 716 thermoresponsive polymers tuned to transition at lower temperatures should mitigate this 717 718 concern [23,51].

719

Currently, the primary limiters on TCZR system throughput come from the necessary use of low flow rates – a direct consequence of the prevailing mass transfer limitations. In the present example the sorbate, LF, must diffuse into and back out of individual thermoCEX adsorbent beads within a period of \sim 3 minutes. A small particle diameter combined with sufficiently large pores is necessary in this instance (hence Superose 6 Prep Grade's superiority over Sepharose CL-6B and Superose 12 Prep Grade), and the magnitude of the interstitial fluid velocity is practically constrained to \sim 1 mm/s (i.e. \sim 10 times the TCZ's minimum speed of 0.1 mm/s). It should be possible to overcome the above issues by using
thermoresponsive adsorbents fashioned from either more pressure tolerant smaller uniformly
sized support particles with similar pore dimensions, or monolithic materials [51-53]. Future
work on TZCR will explore this tenet.

731

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Fig.1. Schematic illustration of the TCZR principle. A stainless steel column filled with 874 thermoresponsive copolymer modified chromatographic media is contained in a temperature-875 controlled environment at a value above the copolymer's LCST. At this temperature 876 877 (indicated by red) the grafted thermoresponsive copolymer network exists in a collapsed and highly charged state (top right) that affords high protein binding affinity. For elution a motor-878 driven Peltier cooling device, the travelling cooling zone or TCZ (shown as a turquoise ring), 879 is moved along the column's full length at a velocity (v_c) lower than that of the mobile phase. 880 Within the cooled zone (shown in blue) generated by the TCZ travels along the column, the 881 tethered thermoresponsive copolymer expands, the charge density drops (bottom right) and 882 bound protein detaches from the support surfaces and is carried away in the exiting mobile 883 phase. For more details the reader is referred to sections 2.3, 3.1 and 3.3 of the text. 884

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Fig.2. Schematic illustrations of single protein loading (top) and concentration (bottom) 886 profiles at different stages during TCZR operation. The profiles correspond to three discrete 887 z/z_0 positions (<0, 0.25, 0.75) of the TCZ illustrated by the gray shaded vertical bars, i.e.: (i) 888 parked outside the separation column resulting in conventional operation with slowly 889 progressing concentration and loading profiles; (ii) shortly after initiation of TCZ movement, 890 where a sharp concentration peak evolves just ahead of the TCZ; and (iii) as the TCZ nears 891 the end of its journey along the column. At this point, in addition to further protein 892 accumulation within the elution peak, new loading and concentration profiles arising from 893 894 constant feed flow at the column inlet become clearly visible.

895

Fig. 3. FT-IR spectra during the fabrication of thermoCEX-S6pg supports (B1-B4)
characterized in Table 2. All spectra are normalized for peak height at the 'fingerprint'

wavenumber for agarose of 930 cm⁻¹ characteristic of 3,6 anhydro moiety [28], and functional
groups expected of cross-linked agarose [23,28] are identified on the spectrum for Superose 6
Prep Grade.

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Fig. 4. Optical transmittance (500 nm) vs. temperature profiles for 0.5% (w/v) solutions of 902 ungrafted free poly(NIPAAm-co-tBAAm-co-AAc-co-MBAAm) arising during fabrication of 903 (a) Sepharose CL-6B and (b) Superose based thermoCEX supports (detailed in Tables 1 and 904 2), and (c) the influence of NIPAAm content on temperature transition behaviour of the 905 copolymers. The symbols in 'c' indicate the determined LCST values at 50% transmittance 906 $(T_{50\%})$, capped bars define the temperature range over which phase transition occurred, and 907 the lower dotted and upper dashed lines respectively delineate the temperatures at which full 908 collapse (T_{0.4%}) and extension (T_{90%}) of the copolymer chains occurred. Key: pNIPAAm (\star); 909 910 Sepharose CL6B series (A1 – \Box , A2 – \bigcirc , A3 – \triangle , A4 – \bigtriangledown); Superose series (B1 – \blacksquare , B2 – \bullet , B3 – \blacktriangle , B4 – \bigtriangledown , C1 – \bullet). 911

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Fig. 5. Effect of temperature on the maximum adsorption capacity (q_{max}) of LF on (a) thermoCEX-CL-6B supports (open circles) and (b) thermoCEX-S6pg (filled black circles) and thermoCEX-S12pg (filled gray circles). The asterisks next to supports 'A2', 'B2' and 'C1' indicate those initially selected for TCZR chromatography (Fig. 7). The solid lines represent linear fits to the data.

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Fig. 6. Equilibrium isotherms for the adsorption of LF to thermoCEX supports initially
selected for TCZR chromatography (Fig. 7) 'A2' (a), 'B2' (b) and 'C1' (c) at 10, 20, 35 and
50 °C. The solid lines through the data points represent fitted Langmuir curves with parameter
values presented in Table 3.

Fig. 7. Chromatograms arising from TCZR tests conducted with thermoCEX supports 'A2' 924 (a), 'B2' (b) and 'C1' (c), employing multiple movements of the TCZ at a velocity, v_c , of 0.1 925 mm/s. Columns were saturated with LF ($c_f = 2 \text{ mg/mL}$) and washed at the binding 926 927 temperature (35°C) prior to initiating the first of eight (a & b) or twelve (c) sequential movements of the TCZ. Unshaded regions indicate column operation at a temperature of 35 928 °C with the TCZ in its 'parked' position. Gray shaded zones indicate the periods when the 929 TCZ moves along the column. The solid and dashed lines represent the absorbance and 930 conductivity signals respectively. 931

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Fig. 8. Chromatograms arising from TCZR tests conducted with thermoCEX support 'B2' employing eight movements of the TCZ at a velocity, v_c , of 0.1 mm/s. The columns were continuously supplied with LF at concentrations c_f of (a) 0.5 mg/mL and (b) 1.0 mg/mL. Unshaded regions indicate column operation at a temperature of 35 °C with the TCZ 'parked'. Gray shaded zones indicate the periods when the TCZ moves along the column. The solid and dashed lines represent the absorbance and conductivity signals respectively.

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Fig. 9. Chromatogram arising from TCZR test conducted with thermoCEX support 'B2' 940 during continuous feeding of a binary protein mixture (1 mg/mL LF + 1 mg/mL BSA) and 941 movement of the TCZ at a velocity, v_c, of 0.1 mm/s. Unshaded regions indicate column 942 operation at a temperature of 35 °C with the TCZ 'parked'. Gray shaded zones indicate the 943 periods when the TCZ moves along the column. The solid and dashed lines represent the 944 absorbance and conductivity signals respectively. SDS-PAGE analysis of pooled flowthrough 945 (a, c, e, g, i, k, m, o & q); peak (b, d, f, h, j, l, n & p) and salt-stripped (S) fractions are shown 946 947 in Fig. 10.

- Fig. 10. Reducing SDS 15% (w/v) polyacrylamide gel electrophoretogram corresponding to
 the chromatogram shown in Fig. 9. Key: molecular weight markers (M); feed (F);
 flowthrough fractions (*a*, *c*, *e*, *g*, *i*, *k*, *m*, *o* & *q*); peak fractions (*b*, *d*, *f*, *h*, *j*, *l*, *n* & *p*); and saltstripped fraction (S).



















Parameter	ThermoCEX-CL-6B					
Support ID	A1	A2	A3	A4		
Base matrix	Sepharose CL-6B (lot 10061497)					
Particle size distribution	45 – 165 μm (98%)					
Globular protein fractionation range, M _r	$1 \times 10^4 - 4 \times 10^6 \text{ Da}$					
Step 1. Immobilized oxirane content (µmol/g dried support) ^a	662					
Step 3. Immobilised ACV content (µmol/g dried support) ^b	380					
Step 4. Free monomers entering reaction:						
'NIPAAm:tBAAm:AAc' (mM)	900:50:25	900:50:50	900:50:100	900:50:150		
'NIPAAm:tBAAm:AAc' ratio	90:5:2.5	90:5:5	90:5:10	90:5:15		
Immobilized copolymer composition of thermoCEX support:						
'NIPAAm + tBAAm' content (μ mol/g dried support) ^{b,c}	4227	4177	4131	3974		
'NIPAAm:tBAAm' ratio ^d	90:10	88:12	85:15	81:19		
NIPAAm content (µmol/g dried support)	3804	3676	3511	3219		
tBAAm content (µmol/g dried support)	423	501	620	755		
Ion exchange capacity (μ mol H ⁺ /g dried support) ^e	330	469	582	811		
'pNIPAAm + tBAAm + AAc' content (µmol/g dried support)	4557	4646	4713	4785		
'NIPAAm:tBAAm:AAc' ratio	83.5:9.3:7.2	79.1:10.8:10.1	74.5:13.2:12.3	67.3:15.8:16.9		
% monomer consumed by support:						
NIPAAm + tBAAm + AAc	15.1	15.0	14.5	14.1		
NIPAAm	13.7	13.2	12.6	11.6		
tBAAm	27.4	32.5	40.2	49.0		
AAc	42.8	30.4	18.9	17.5		

Table 1. Characterization of the Sepharose CL6B based thermoCEX supports prepared and used in this work. See text for details.

Key: Determined by: ^aoxirane ring opening reaction followed by titration [25]; ^bgravimetric measurement; ^cATR-FTIR spectrometry of supernatant samples before and after polymerization reactions, ^dProton NMR of the ungrafted free copolymer in CDCl₃; ^etitration.

Parameter	11	ThermoCEX-S12pg			
Support ID	B1	B2	B3	B4	C1
Base matrix	Superose 6 Prep Grade (lot 10037732)				Superose 12 Prep
					Grade (lot 10057699)
Particle size distribution		20 - 40	μm (83%)		$20 - 40 \ \mu m \ (88\%)$
Globular protein fractionation range, M _r		5×10^{3} –	$-5 \times 10^{6} \text{ Da}$		$1 \times 10^{3} - 3 \times 10^{5} \text{ Da}$
					1010
Step 1 Immobilized oxirane content (µmol/g dried support) ^a		8	378		1018
Step 3. Immobilised ACV content (μ mol/g dried support) ^b		2	568		611
Step 4. Free monomers entering reaction:					
'NIPAAm:tBAAm:AAc' (mM)	900:50:25	900:50:50	900:50:100	900:50:150	900:50:50
'NIPAAm:tBAAm:AAc' ratio	90:5:2.5	90:5:5	90:5:10	90:5:15	90:5:5
Immobilized copolymer composition of thermoCEX support:					
'NIPAAm + tBAAm' content $(\mu mol/g dried support)^{b,c}$	5745	5733	5659	5641	5495
'NIPAAm:tBAAm' ratio ^d	92:8	91:9	87:13	84:16	89:11
NIPAAm content (umol/g dried support)	5285	5217	4923	4738	4891
tBAAm content (µmol/g dried support)	460	516	736	903	604
Ion exchange capacity (μ mol H ⁺ /g dried support) ^e	268	293	382	416	391
'NIPAAm + tBAAm + AAc' content (μ mol/g dried support)	6013	6026	6041	6057	5886
'NIPAAm:tBAAm:AAc' ratio	87.9:7.6:4.5	86.6:8.5:4.9	81.5:12.2:6.3	78.2:14.9:6.9	83.1:10.3:6.6
% monomer consumed by support:					
NIPAAm + tBAAm + AAc	19.9	19.5	18.6	17.8	19.1
NIPAAm	19.0	18.7	17.6	17.0	17.6
tBAAm	29.8	33.5	47.7	58.5	39.2
AAc	34.8	19.0	12.4	9.0	25.4

Table 2. Characterization of the Superose 6 and 12 based thermoCEX supports prepared and used in this work. See text for details.

Key: Determined by: ^aoxirane ring opening reaction followed by titration [25]; ^bgravimetric measurement; ^cATR-FTIR spectrometry of supernatant samples before and after polymerization reactions, ^dProton NMR of the ungrafted free copolymer in CDCl₃; ^etitration.

Support (ionic capacity)	Temperature (°C)	$q_{max} (\mathrm{mg/mL})$	$K_d (\mathrm{mg/mL})$	Initial slope, q_{max}/K_d
thermoCEX-CL6B 'A2'	10	16.9 ± 0.7	0.93 ± 0.19	18.2
(40.7 µmol H ⁺ /mL)	20	21.9 ± 1.4	1.66 ± 0.48	13.2
	35	40.2 ± 2.9	0.83 ± 0.34	48.4
	50	55.9 ± 2.4	0.09 ± 0.03	621.1
thermoCEX-S6pg 'B2'	10	12.4 ± 0.9	4.79 ± 0.83	2.6
$(25.4 \ \mu mol \ H^+/mL)$	20	16.9 ± 1.1	2.63 ± 0.52	6.4
-	35	19.9 ± 1.2	1.09 ± 0.26	18.3
	50	28.6 ± 0.7	0.17 ± 0.02	168.2
thermoCEX-S12pg 'C1'	10	15.9 ± 0.8	0.82 ± 0.20	19.39
$(34.8 \mu \text{mol H}^+/\text{mL})$	20	19.6 ± 1.2	0.65 ± 0.20	30.2
· · /	35	39.9 ± 0.7	0.32 ± 0.03	124.7
	50	44.7 ± 0.4	0.21 ± 0.01	212.9

Table 3. Langmuir parameters^a describing the adsorption of LF at 10, 20, 35 and 50 °C to three different thermoCEX media prepared under identical conditions using a common initial 'NIPAAm:tBAAm:AAc' ratio of '90:5:5' (Tables 1 & 2).

^aFigure 5 adsorption data were fitted to the Langmuir model (Eq. (1)).

Table 4. LF contents in peaks a - h obtained following eight sequential movements of the cooling zone during continuous feeding of LF ($c_f = 0.5$ and 1 mg/mL) to a column filled with the thermoCEX-S6pg 'B2' matrix (see Table 2).

LF concentration in feed (mg/mL)	LF content (mg) in peak:							
	a	b	С	d	е	f	g	h
0.5	0.0	1.46	10.5	12.3	13.4	14.5	17.8	13.2
1.0	7.54	28.9	31.3	31.2	31.4	30.6	31.7	28.1