

1 **Stability of metabolically healthy obesity over 8 years: the English**

2 **Longitudinal Study of Ageing**

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23 **Abstract**

24 **Objective:** Metabolically healthy obesity possibly reflects a transitional stage before the
25 onset of metabolic dysfunction, but few studies have characterised this transition. We
26 examined the behavioural and biological characteristics of healthy obese adults that
27 progressed to an unhealthy state over 8 years follow up.

28 **Methods:** Participants were 2422 men and women (aged 63.3 ± 7.7 years, 44.2% men) from
29 the English Longitudinal Study of Ageing. Obesity was defined as body mass index ≥ 30
30 kg/m^2 . Based on blood pressure, HDL-cholesterol, triglycerides, glycated haemoglobin, and
31 C-reactive protein participants were classified as 'healthy' (0 or 1 metabolic abnormality) or
32 'unhealthy' (≥ 2 metabolic abnormalities).

33 **Results:** Over eight years follow-up, 44.5% of healthy obese had transitioned into an
34 unhealthy state, compared to only 16.6% and 26.2% of healthy normal weight and
35 overweight adults, respectively. Compared with healthy obese adults who remained stable,
36 those who progressed to an unhealthy state were more likely to have high blood pressure
37 (75.0% vs 37.0%, age- and sex-adjusted odds ratio [OR] 8.9, 95% confidence interval [CI] 4.7-
38 17.0), high C-reactive protein (53.7% vs 17.0%, OR=8.6, 95% CI 4.1-18.0), high glycated
39 haemoglobin (46.3% vs 5.9%, OR=13.8, 95% CI 6.1-31.2) and high triglycerides (45.4% vs
40 11.9%, OR=5.9, 95% CI 2.9-12.0) at follow-up, with excess risk remaining independent of
41 lifestyle factors including self-reported physical activity. Progression to an unhealthy state
42 was also linked with significant gains in waist circumference (B=2.7, 95% CI, 0.5 – 4.9 cm).

43 **Conclusion:** These data show that a healthy obesity phenotype is relatively unstable.
44 Transition to an unhealthy state is characterised by multiple biological changes which are
45 not fully explained by lifestyle risk factors.

46 **Introduction**

47 Population based studies have identified an obese phenotype that is not accompanied by
48 adiposity associated cardio-metabolic risk factors. Termed 'metabolically healthy obesity', it
49 is unclear if the healthy obese phenotype is a stable trait or a transitional stage prior to the
50 onset of metabolic dysfunction.¹ This instability may explain the inconsistency in findings
51 between studies with shorter and longer follow-up periods in relation to healthy obesity and
52 risk of incident type 2 diabetes and cardiovascular disease (CVD).^{2,3}

53 In particular, the characteristics of healthy obese participants that remain stable or develop
54 metabolic abnormalities are poorly understood. In a recent cohort study, 67% of healthy
55 obese adults maintained stable metabolic health profiles at 4 years follow-up, and these
56 adults displayed lower waist circumference at baseline although no differences in physical
57 activity, alcohol or smoking were observed.⁴ In the Whitehall II study of British men and
58 women, more than half of healthy obese adults progressed to an unhealthy obese state
59 after 20 years.⁵ The aim of the present study was to examine stability of healthy obesity
60 over an 8 year follow-up period and to describe the metabolic and lifestyle profile of obese
61 participants that progressed to an unhealthy state.

62

63 **Materials and Methods**

64 Study sample and procedures

65 The English Longitudinal Study of Ageing (ELSA) is an ongoing cohort study that contains a
66 nationally representative sample of free-living men and women born on or before 29
67 February 1952.⁶ Data collected at wave 2 (2004-05) were used as the baseline for present

68 analyses, as this was the first occasion clinical information was gathered. A clinical
69 assessment was repeated eight years later. Participants gave full informed written consent
70 to participate in the study and ethical approval was obtained from the London Multi-Centre
71 Research Ethics Committee.

72 Clinical Measurements at baseline and follow-up

73 Nurses collected anthropometric data (weight, height, waist circumference), blood pressure
74 (BP), and non-fasting blood samples using standard protocols at baseline and follow-up.

75 Body weight was measured using Tanita electronic scales without shoes and in light
76 clothing, and height was measured using a Stadiometer with the Frankfort plane in the
77 horizontal position. Body mass index (BMI) was calculated as weight (kilograms)/height
78 (meters) squared. Waist circumference was recorded twice mid-way between the iliac crest
79 and lower rib using measuring tape. An average of the first two measurements was used
80 provided these differed by no more than 3cm; otherwise a third reading was taken and the
81 two closest results utilised. Systolic and diastolic BP was measured with an Omron HEM-907
82 blood pressure monitor three times in the sitting position after 5-minute rest between each
83 reading. The initial reading was discarded and an average of the second and third BP
84 recordings was used for the present analyses. Blood samples were analyzed for C-reactive
85 protein (CRP), high density lipoprotein (HDL) cholesterol, triglycerides, and glycated
86 haemoglobin (HbA1c). Detailed information on the technicalities of the blood analysis, the
87 internal quality control, and the external quality assessment for the laboratory have been
88 described elsewhere.⁷ Additional data were collected on physician diagnosed conditions
89 (hypertension, diabetes) and medication use.

90 Lifestyle risk factors at baseline

91 Health-related questions included cigarette smoking (current, previous or non-smoker), the
92 frequency of participation in light, moderate, and vigorous physical activities (more than
93 once per week, once per week, one to three times per month, hardly ever), and the
94 frequency of alcohol intake (daily, 5-6/week, 3-4/week, 1-2/week, 1-2/month, once every
95 couple of months, 1-2/year, never).

96 Statistical analyses

97 We used the conventional criteria to define obesity ($BMI \geq 30 \text{ kg/m}^2$) and overweight ($BMI \geq$
98 25 and $<30 \text{ kg/m}^2$). A healthy metabolic status was based on existing criteria,⁸ and according
99 to availability of data, defined as having less than two of the following metabolic risk factors:
100 high BP (BP $\geq 130/85$ mmHg, or hypertension diagnosis, or use of anti-hypertensive
101 medication), impaired glycaemic control (HbA1c $> 6.0\%$ [42.1 mmol/mol] or doctor's
102 diagnosed diabetes), systemic inflammation (CRP $\geq 3\text{mg/l}$), low HDL cholesterol (<1.03
103 mmol/l in men and <1.30 mmol/l in women), and high triacylglycerol (≥ 1.7 mmol/l).
104 Participants were then categorized into four groups: 'healthy non-obese'; 'unhealthy non-
105 obese'; 'healthy obese'; and 'unhealthy obese'. We categorised healthy obese participants
106 into those that met criteria for healthy obesity both at baseline and at 8 years follow-up
107 ('stable healthy obese') and those that developed ≥ 2 metabolic risk factors ('unstable
108 healthy obese'). Differences in baseline characteristics between stable and unstable groups
109 were tested using ANOVA and Chi-squared tests. Metabolic profiles at follow-up between
110 stable and unstable groups were compared using logistic regression and general linear
111 models to examine individual risk factors as categorical and continuous variables,
112 respectively. In multivariable models we adjusted effect estimates for several covariates in a
113 step-wise fashion: Model 1 contained age, sex, and baseline risk factor; Model 2 contained

114 additional behavioural and anthropometric covariates, including baseline smoking, alcohol,
115 physical activity, and change in central obesity (waist circumference). We also examined the
116 concept of 'weight cycling', which was defined as participants who experienced both weight
117 gain (>5% gain in BMI) and weight loss (>5% reduction BMI) between examinations.⁹ For
118 these analyses we utilised data collected from baseline (wave 2; 2004-05), wave 4 (2008-
119 09), and wave 6 (2012-13). Analyses were conducted using SPSS version 20.

120

121 **Results**

122 At baseline the sample consisted of 3851 individuals although loss to follow up resulted in a
123 final analytic sample of 2442 men and women (aged 63.3 ± 7.7 yrs, 44.2% men). Participants
124 excluded (drop-outs) did not differ in age, gender, or BMI, but were less physically active
125 (23.8 vs 13.5%, $p < 0.001$) and more likely to be metabolically unhealthy (46.2 vs 40.6% ≥ 2
126 risk factors, $p = 0.001$) compared with included participants, respectively.

127 At baseline, 1206 participants were classified as healthy non-obese, 584 as unhealthy non-
128 obese, 243 as healthy obese, and 389 as unhealthy obese. At follow-up, 44.5% of baseline
129 healthy obese adults had transitioned into an unhealthy state, compared with 22% of
130 healthy non-obese adults (Figure 1). We further categorised the non-obese into 'healthy
131 normal weight' ($n = 530$) and 'healthy overweight' ($n = 676$); 16.6% and 26.2%, respectively,
132 had transitioned into an unhealthy state at follow up. Adjusting for age and sex, healthy
133 obese adults were four times as likely to transition into an unhealthy state compared with
134 healthy normal weight adults (odds ratio = 4.00, 95% CI, 2.81 – 5.69). As expected, this
135 association was weaker when the healthy obese were compared with the healthy

136 overweight (odds ratio = 2.30, 95% CI, 1.69 – 3.13). This likelihood remained unchanged
137 after further adjustment for baseline lifestyle risk factors, including self reported physical
138 activity, in relation to healthy normal weight (odds ratio=3.79, 95% CI, 2.60–5.51) or
139 overweight (odds ratio = 2.30, 95% CI, 1.66 – 3.19) as the reference category. At baseline,
140 656 participants (n=70 healthy obese) had exceptional metabolic health (zero risk factors;
141 10.7% of obese adults vs 32.7% of non-obese adults). At follow-up, 325 (55.5%) of healthy
142 non-obese and 19 (27.1%) of healthy obese remained free of any risk factors.

143 There were no differences in demographic and behavioural characteristics between stable
144 and unstable healthy obese adults at baseline, although a higher proportion of stable
145 participants had zero risk factors and stable healthy obese displayed higher baseline levels
146 of HDL-cholesterol, and lower triacylglycerol, and HbA1c (Table 1). At follow-up, all
147 metabolic risk factors were more prevalent among unstable healthy obese compared with
148 stable participants. Compared with healthy obese adults that remained stable, those that
149 progressed to an unhealthy state also gained greater waist circumference at follow up
150 (B=2.7; 95% CI, 0.5, 4.9 cm), although no increase in BMI was observed (B= 0.63; -0.02, 1.28
151 kg/m²) after baseline adjustment. In the overall cohort 9.3% of study members were
152 identified as 'weight cycling', although this was not associated with stability of metabolic
153 health. Lifestyle risk factors remained similar at follow-up between stable and unstable
154 healthy obese; for example, participation in vigorous physical activity (25.8% vs. 31.1%,
155 p=0.43) and smoking (2.3% vs. 5.7%, p= 0.33) was comparable in stable and unstable,
156 respectively, at follow-up. When we compared the characteristics of stable and unstable
157 healthy overweight participants the results were largely similar to those presented for the
158 healthy obese group.

159 Unstable healthy obese adults demonstrated higher HbA1c (B=0.22; 0.14, 0.30%), higher
160 triglycerides (B=0.31; 0.16, 0.47 mmol/L), higher CRP (B=0.34; 0.20, 0.47 log units), and
161 lower HDL cholesterol (B= -0.12; -0.19, -0.06mmol/L) at follow-up after baseline
162 adjustments for each respective risk factor. When we adjusted these models for change in
163 waist circumference the associations with HbA1c were marginally attenuated (B=0.19; 0.12,
164 0.27) but effect estimates for other biomarkers were unchanged. Further adjustments for
165 lifestyle risk factors at baseline or follow-up did not influence the associations (data
166 available on request).

167 We further examined the characteristics of unhealthy obese adults at baseline who
168 remained unhealthy or became healthy at follow-up (Table 2). At follow-up, 23.1% of
169 unhealthy obese had transitioned into a healthy state. There were few differences in
170 baseline characteristics between those that remained unhealthy and became healthy,
171 except that participants transitioning into a healthy state were more likely to consume
172 alcohol regularly (Table 2). Compared with unhealthy obese adults that became healthy,
173 those that remained unhealthy gained greater waist circumference (B=5.5; 95% CI, 3.3, 7.7
174 cm), and BMI (B= 1.3; 0.5, 2.0 kg/m²) at follow up after baseline adjustment.

175

176 **Discussion**

177 The present study has several key findings. First, healthy obesity is a relatively unstable
178 phenotype. Second, instability in healthy obesity was not attributable to self-reported
179 lifestyle behaviours including physical activity, but we did observe greater increases in waist
180 circumference that is likely to be reflective of adverse changes in visceral adiposity. Third,

181 important features of instability were also development of low grade systemic
182 inflammation, impaired glycaemic control, and reduction in HDL-cholesterol.

183 Our findings relating to stability in healthy obesity over time are largely consistent with the
184 limited available evidence.^{4,5} However, when one considers defining metabolic health as
185 “zero risk factors” we observed striking results; first, obese adults without any metabolic
186 risk factors are rare (10.7% of obese participants); and second, obese adults who maintain
187 zero risk factors over time are rarer still (2.9% of obese participants).

188 Only one previous study has examined the characteristics of stable and unstable healthy
189 obese adults. Consistent with our findings, they showed no differences in self-reported
190 physical activity, alcohol or smoking,⁴ although the unstable healthy obese demonstrated
191 higher waist circumference at baseline. Our results add to this evidence base by showing
192 that unstable healthy obese adults developed greater increases in central adiposity and
193 several metabolic risk factors over 8 years follow-up, one particularly notable factor being
194 impaired glycaemic control. The unstable healthy obese displayed slightly elevated HbA1c
195 levels at baseline compared with their stable counterparts, but also greater increases in this
196 risk factor at follow up as our analyses were adjusted for baseline. Previous work has also
197 demonstrated that weight cycling is associated with metabolic syndrome,^{9, 10} although this
198 findings was not replicated in our data possibly owing to limited follow up of body mass
199 assessments over the 8 years. Several over feeding studies have demonstrated that healthy
200 obese adults display different biological responses to moderate weight gain, such as
201 increased adipose tissue capacity for lipogenesis¹¹ and favourable characteristics of
202 subcutaneous adipose tissue that help prevent visceral fat depots.¹² Thus, more subtle

203 differences in metabolic function, that were not possible to measure in this study, may
204 differentiate stable and unstable healthy obesity.

205 Several limitations should be noted. Participants with poorer metabolic health at baseline
206 were less likely to complete follow up assessments. Thus, the proportion of unhealthy
207 participants that transitioned into a healthy metabolic state at follow-up may be over-
208 estimated. The use of self-report measures to assess lifestyle risk factors may have
209 introduced bias. Indeed, we recently demonstrated differences in physical activity between
210 healthy and unhealthy obese adults when using objective data but not for self report.¹³
211 Thus, the results on self-reported physical activity in the present study may underestimate
212 the associations, although a major bias is unlikely.

213 In summary, healthy obesity is a relatively unstable phenotype at high risk of developing
214 vascular, inflammatory, glycaemic and lipidaemic abnormalities over time. A true healthy
215 obese phenotype capable of maintaining exceptional metabolic health (zero risk factors) for
216 a prolonged period appears to be exceptionally rare in the general population. Disease
217 prevention strategies are therefore required in the healthy obese.

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Contributors

MH had full access to the data, and takes responsibility for the integrity and accuracy of the results. MH drafted the paper. All authors contributed to the concept and design of the study and critical revision of the manuscript.

Competing interests

The authors declare no competing interests.

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Figure caption

Figure 1. Progression of metabolic risk factors over 8 years follow up

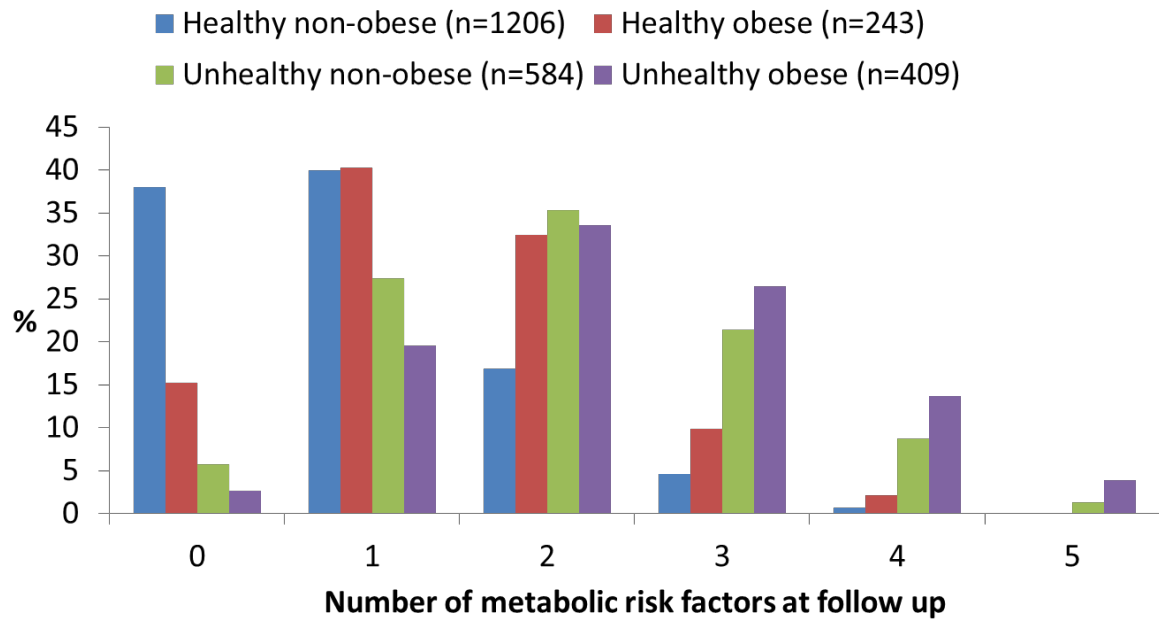


Table 1. Characteristics of healthy obese adults at baseline who remained healthy (stable) or became unhealthy (unstable) at follow-up.

Baseline characteristics	Stable healthy obese (n= 135)	Unstable healthy obese (n= 108)	p-value
Age (years, \pm SD)	61.9 \pm 7.1	62.4 \pm 7.4	0.59
Men (%)	40.0	44.4	0.49
Vigorous physical activity at least once/week (%)	30.4	37.0	0.54
Current smoker (%)	7.4	13.0	0.15
At least one alcoholic drink/week (%)	70.0	65.3	0.62
Body mass index (kg/m ²)	32.7 \pm 2.8	32.8 \pm 3.3	0.73
Waist circumference (cm)	102.9 \pm 13.2	104.9 \pm 13.5	0.24
Systolic BP (mmHg)	132.2 \pm 14.2	134.9 \pm 14.9	0.16
Diastolic BP (mmHg)	77.1 \pm 8.7	76.4 \pm 7.7	0.49
HDL-cholesterol (mmol/l)	1.59 \pm 0.31	1.48 \pm 0.28	0.005
Triacylglycerol (mmol/l)	1.41 \pm 0.65	1.65 \pm 0.68	0.005
C-reactive protein (log unit)	1.09 \pm 0.57	1.19 \pm 0.59	0.19
HbA1C (%)	5.35 \pm 0.32	5.51 \pm 0.28	0.001
Zero metabolic risk factors (%)	35.6	20.4	0.01
Weight cycling	12.7	13.2	0.92
Metabolic risk factors at follow-up[†]			Odds ratio (95% CI)[‡] [stable (ref) vs. unstable]
High blood pressure (%)	37.0	75.0	8.9 (4.7, 17.0)
Impaired glycaemic control (%)	5.9	46.3	13.8 (6.1, 31.2)
Low HDL-C (%)	0.7	11.1	15.9 (1.9, 132.5)
High triglycerides (%)	11.9	45.4	5.9 (2.9, 12.0)

Inflammation (%)	17.0	53.7	8.6 (4.1, 18.1)
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† High blood pressure (clinic BP \geq 130/85 mmHg, or hypertension diagnosis, or use of anti-hypertensive medication), impaired glycaemic control (HbA1c > 6.0% or doctor's diagnosed diabetes), systemic inflammation (C-reactive protein \geq 3mg/l), low HDL cholesterol (<1.03 mmol/l in men and <1.30 mmol/l in women), and high triacylglycerol (\geq 1.7 mmol/l).

‡ Odds ratio (OR) for having each risk factor for stable (ref) vs. unstable healthy obese adults; adjusted for age, sex, and baseline risk factor.

Table 2. Characteristics of unhealthy obese adults at baseline who remained unhealthy or became healthy at follow-up.

Baseline characteristics	Remained unhealthy at follow-up (n= 299)	Became healthy at follow-up (n= 90)	p-value
Age (years, \pm SD)	62.3 \pm 7.1	63.0 \pm 7.1	0.38
Men (%)	39.5	34.4	0.39
Vigorous physical activity at least once/week (%)	22.7	26.7	0.73
Current smoker (%)	7.2	10.3	0.59
At least one alcoholic drink/week (%)	45.8	60.5	0.03
Body mass index (kg/m ²)	34.3 \pm 3.7	33.5 \pm 3.7	0.10
Waist circumference (cm)	107.5 \pm 16.0	104.9 \pm 8.3	0.15
Weight cycling (%)	9.8	7.5	0.54
Metabolic risk factors at follow-up[†]			Odds ratio (95% CI)[‡] [Healthy (ref) vs. unhealthy]
High blood pressure (%)	85.3	52.2	6.3 (3.3, 12.2)
Impaired glycaemic control (%)	62.5	7.8	13.5 (5.5, 13.0)
Low HDL-C (%)	24.4	2.2	16.8 (3.9, 72.7)
High triglycerides (%)	56.5	7.8	20.9 (9.2, 47.8)
Inflammation (%)	54.5	17.8	7.5 (4.0, 13.8)

[†] High blood pressure (clinic BP \geq 130/85 mmHg, or hypertension diagnosis, or use of anti-hypertensive medication), impaired glycaemic control (HbA1c > 6.0% or doctor's diagnosed diabetes), systemic inflammation (C-reactive protein \geq 3mg/l), low HDL cholesterol (<1.03 mmol/l in men and <1.30 mmol/l in women), and high triglycerides (\geq 1.7 mmol/l).

[‡] Odds ratio (OR) for having each risk factor for remaining unhealthy (ref) vs. becoming healthy obese adults; adjusted for age, sex, and baseline risk factor.