

Estimation of ligament strains and joint moments in the ankle during a supination sprain injury

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SCHOLARONE^{**} Manuscripts



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| 3 | | RESPONSES TO REVIEWERS' COMMENTS: |
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| 6 | | Manuscript ID GCMB-2012-0287.R2 (Computer Methods in Biomechanics and Biomedical |
| 7 | | Engineering): Estimation of ligament strains and joint moments in the ankle during a |
| 8 | 5 | suningtion sprain injury |
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| 11 | | We have revised the manuscript to address the reviewer's last concern. In the revised manuscript |
| 12 | | we first accepted all the changes made to address the reviewers' previous comments. We then |
| 13 | | tracked the new changes that directly respond to the reviewer's last critical comment. We want to |
| 14 | 10 | thank the reviewer for the insightful suggestions that have made this work better |
| 15 | 10 | thank the reviewer for the insightful suggestions that have made this work better. |
| 16 | | |
| 17 | | Reviewer(s)' Comments to Author: |
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| 10 | | Roviewer: 1 |
| 19 | 4 5 | Commente to the Comment on dive Author |
| 20 | 15 | Comments to the Corresponding Author |
| 21 | | <i>Effect of variation on results of the value of the damping coefficient values must be added.</i> |
| 22 | | Justification for not doing so, based on the existing study in the literature (lines 207-210) is |
| 23 | | not sufficient since that study looked at end range of motion where time effects (and thus |
| 24 | | damning) were not in plan. This is not uslid in your study |
| 25 | | aamping) were not in play. This is not valia in your sludy. |
| 26 | 20 | |
| 27 | | We have varied the damping coefficient up and down one order of magnitude (similar to the |
| 28 | | study by Majors and Wayne, Ann Biomed Eng 39, 2807-2815, 2011) and found that joint |
| 29 | | moment calculated in the model changed by 0.45 Nm approximately 2% of the maximum |
| 30 | | moment calculated in the model changed by 0.45 Nin, approximately 276 of the maximum |
| 31 | | moment (23 Nm) generated in the simulation. In addition, we have also varied the stiffness and |
| 32 | 25 | the exponent up and down one order of magnitude individually, just like Majors and Wayne |
| 33 | | (2011) in their study. The results showed that the joint moment was affected by approximately |
| 34 | | 1.5% and 4.3%, respectively. All these analyses, however, provided no change in the strain |
| 35 | | results coloulated in the model. We therefore believe that ligement straing, generated in linear |
| 36 | | results calculated in the model, we therefore believe that figament strains, generated in mean |
| 37 | | elastic spring elements, were primarily affected by the prescribed bone motions in the current |
| 38 | 30 | study, not by the contact parameters. We have included these analyses and results in Discussion |
| 39 | | of the revised manuscript on page 9 lines 205-214 Again we would like to thank the reviewer |
| 40 | | for the suggestions that have made this study more informative |
| 41 | | for the suggestions that have made this study more informative. |
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| Estimation of ligament strains and joint moments in the ankle during a supination sprain injury Feng Wei^{1,2}, Daniel Tik-Pui Fong^{3,4}, Kai-Ming Chan^{3,4}, Roger C. Haut⁵ ¹Sensory Motor Performance Program Rehabilitation Institute of Chicago Chicago, IL 60611 ¹Sensory Motor Performance Program Rehabilitation Institute of Chicago Chicago, IL 60611 ¹Department of Physical Medicine and Rehabilitation Northwestern University Feinberg School of Medicine Chicago, IL 60611 ¹Department of Orthopaedics and Traumatology Prince of Wales Hospital ¹The Hong Kong Jockey Club Sports Medicine and Health Sciences Center Faculty of Medicine, The Chinese University of Hong Kong Hong Kong, China ¹The Hong Kong Jockey Club Sports Medicine and Health Sciences Center Faculty of Medicine, The Chinese University of Hong Kong Hong Kong, China ²Orthopaedic Biomechanies Laboratories Michigan State University ³Orthopaedic Biomechanies Laboratories Michigan State University of Hong Kong Prince of Wales Hospital ³Department of Orthopaedics and Traumatology Prince of Wales Hospital ⁴The Hong Kong Jockey Club Sports Medicine and Health Sciences Center Faculty of Medicine, The Chinese University of Hong Kong Hong Kong, China ⁵Orthopaedic Biomechanies Laboratories Michigan State University ⁶Department of Orthopaedics and Traumatology Prince of Wales Hospital ⁷Department of Orthopaedics and Traumatology Prince of Wales Hospital ⁸Tec. (852) 2632 3535, Email: dfong@ort.cuhk.edu.hk ⁸Word Court: 234<u>2</u>.9 | 1 | | |
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| 1 2 Estimation of ligament strains and joint moments in the ankle during a supination sprain injury 3 the ankle during a supination sprain injury 4 Feng Wei ^{1,2} , Daniel Tik-Pui Fong ^{3,4} , Kai-Ming Chan ^{3,4} , Roger C. Haut ⁵ 6 'Sensory Motor Performance Program Rehabilitation Institute of Chicago Chicago, IL 60611 10 'Department of Physical Medicine and Rehabilitation Northwestern University Feinberg School of Medicine Chicago, IL 60611 11 'Department of Orthopacdics and Traumatology Prince of Wales Hospital 15 'Department of Orthopacdics and Traumatology Prince of Wales Hospital 16 Paramet of Medicine, The Chinese University of Hong Kong Hong Kong, China 19 'The Hong Kong Jockey Club Sports Medicine and Health Sciences Center 11 Faculty of Medicine, The Chinese University of Hong Kong Hong Kong, China 12 'Orthopacdie Biomechanics Laboratories 14 'Orthopacdie Biomechanics Laboratories 15 Corresponding Author: 16 Paramet of Orthopacdies and Traumatology Prince of Wales Hospital 17 Faculty of Medicine, The Chinese University of Hong Kong Hong Kong China 18 'Orthopacdie Biomechanics Laboratories 19 'The Hong Kong Jose'State University Fast Lansing, MI 48824 | 2 | | |
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40 ABSTRACT

This study presents the ankle ligament strains and ankle joint moments during an accidental injury event diagnosed as a grade I anterior talofibular ligament (ATaFL) sprain. A male athlete accidentally sprained his ankle while performing a cutting motion in a laboratory setting. The kinematics data were input to a three-dimensional rigid-body foot model for simulation analyses. Maximum strains in 20 ligaments were evaluated in simulations that investigated various combinations of the reported ankle joint motions. Temporal strains in the ATaFL and the calcaneofibular ligament (CaFL) were then compared and the three-dimensional ankle joint moments were evaluated from the model. The ATaFL and CaFL were highly strained when the inversion motion was simulated (10% for ATaFL and 12% for CaFL). These ligament strains were increased significantly when either or both plantarflexion and internal rotation motions were added in a temporal fashion (up to 20% for ATaFL and 16% for CaFL). Interestingly, at the time strain peaked in the ATaFL, the plantarflexion angle was not large but apparently important. This computational simulation study suggested that an inversion moment of approximately 23 Nm plus an internal rotation moment of approximately 11 Nm and a small plantarflexion moment may have generated a strain of 15-20% in the ATaFL to produce a grade I ligament injury in the athlete's ankle. This injury simulation study exhibited the potentially important roles of plantarflexion and internal rotation, when combined with a large inversion motion, to produce a grade I ATaFL injury in the ankle of this athlete.

KEYWORDS: Anterior talofibular ligament, Ankle inversion sprain, Injury mechanism, Ankle
 biomechanics, Computational model, Dynamic simulation.

INTRODUCTION

Ankle sprain is a common sports trauma (Fong et al., 2007). Over 80% of these injuries are caused by excessive ankle joint supination which ruptures lateral ankle ligaments. Studies have been conducted to describe the kinematics of accidental ankle sprains using motion or video analysis (Kristianslund et al., 2011; Mok et al., 2011). These studies, however, do not provide data on ankle ligament strains. Additionally, it has been suggested that deviation of the ground reaction force away from the ankle joint center may result in an explosive ankle joint moment causing injury (Fong et al., 2009a). Such a moment would trigger a twisting motion to stretch ligaments vigorously (Delahunt et al., 2006).

An investigation by Fong et al. (2009b) of a grade I supination injury to the anterior talofibular ligament (ATaFL) has revealed that, in addition to plantarflexion and rearfoot inversion, internal rotation of the foot was noted to be greater than previously reported (Bahr et al., 1998). However, the roles of inversion, plantarflexion, and internal rotation, or their temporal combination on lateral ligament strains are still unclear. Recently, Wei et al. (2011b) has developed a computational model and utilized motion analysis-based kinematic data from laboratory tests to drive the model for estimations of dynamic ankle ligament strains and joint moments (Wei et al., 2011a). The model has shown its ability to help in the study of the motions that may predispose ankle ligaments to injury during external foot rotation. The purpose of the current study was to use this model to study the motions producing ankle ligament strains and joint moments during the accidental sprain event documented by Fong et al. (2009b). Since the injury was diagnosed as a grade I ATaFL sprain, and a combination of inversion, plantarflexion,

and internal rotation has been documented, a high strain in this ligament and high joint moments
in these three directions were expected.

88 METHODS

89 Injury case

A male athlete (23 years, 1.75 m, 62.6 kg) wore a pair of high-top basketball shoes and performed a series of cutting motion trials in the laboratory. In one trial the athlete accidentally sprained his right ankle, and the injury was immediately diagnosed as a grade I sprain of the ATaFL by a well-trained orthopaedic specialist (K.M.C.). The injury occurred in the laboratory that utilized marker-based motion and model-based image-matching video analysis systems (Fong et al., 2009b).

96 Computational modeling

A 3D multi-body dynamic foot model (Wei et al., 2011b) was utilized for simulations of the injury event. The model comprised five segments, namely the tibia, fibula, talus, calcaneus, and tarsal and metatarsal bones. Details of model development and validation have been previously described (Wei et al., 2011b). The model was constructed from a generic cadaver ankle (male, 19 years, 1.88 m, 86 kg) which was scanned using computed tomography (CT) in a neutral position and with a separation of 0.6 mm between slices. CT images were converted into 3D models in MIMICS (Materialise, Ann Arbor, MI) and imported into dynamic rigid-body motion simulation software (SolidWorks, TriMech Solutions, LLC, Columbia, MD) (Iaquinto and Wayne, 2010; Liacouras and Wayne, 2007). The neutral position of the ankle from the CT scan was maintained in the model. The model includes 20 ligaments formulated as linear elastic, tension only springs (Figure 1) with their stiffnesses (N/mm) adapted from the literature (Table 1). The slack length

of the ligaments was defined with the model positioned in neutral. An initial strain of 2%, implemented by inserting a spring element of length 2% shorter than the initial length (distance between insertion points), was assigned to each ankle ligament (Wei et al., 2011a), thereby inducing an *in situ* preload in the ligament. An initial strain of 0.5%, however, was applied to the interosseous ligaments (Liacouras and Wayne, 2007). The ground was simulated as a rigid platform in the software. The 3D contacts (Iaquinto and Wayne, 2010) were implemented between adjacent bones as well as between the bones and ground plate in order to prevent overlap during the simulation. This was done by calculating the interference at each time step and applying an outward force if any overlap was detected. The magnitude of the force F was a function of material stiffness k (10,000 N/mm), penetration depth g, exponent e (1.75), penetration velocity, and damping coefficient c (400 Ns/mm), with the penetration at maximum damping set to its lowest allowable value (d = 0.001 mm).

$$F = kg^{e} + \left(\frac{dg}{dt}\right)f(c,d)$$

Friction was neglected to simulate cartilage effects. In the model the tibia was only allowed to move vertically (one degree of freedom). The fibula, talus, and calcaneus were allowed to move in all six degrees of freedom, leaving bone motion to be a function of ligament behavior, surface contact, and external perturbations. For simplification purposes, the remaining bones of the foot (tarsal and metatarsal bones) were fused together and moved as a unit, with its motion primarily dependent on motions of the talus and calcaneus.

51 ¹

Injury simulation

At the beginning of the simulation, three times body weight (1840 N) was applied to the proximal end of the model to simulate dynamic weight bearing (Cavanagh and Lafortune, 1980), distributing one-sixth of the load on the fibula and the rest on the tibia (Lambert, 1971; Wei et al., 2011b). The 3D, temporal kinematic data from the case report (Fong et al., 2009b), i.e. inversion-time data, plantarflexion-time data, and internal rotation-time data, were used as input for simulations (Wei et al., 2011a). These actual motions placed the neutral foot model in the starting position of the athlete's ankle in order to begin the simulation. Three motor elements were used to drive the motion of the bones, two on the talus for plantarflexion and internal rotation, respectively, and one on the calcaneus for inversion. The three axes of rotation were set as: (1) dorsi-plantarflexion – fixed to the talus through its estimated center and initially oriented medial-lateral; (2) internal-external rotation – along the tibial axis; and (3) inversion-eversion – fixed to the calcaneus through its estimated center and perpendicular to the previous two (initially oriented anteroposterior) (Figure 1). These axes of rotation were based on a joint coordinate system (Wu et al., 2002), as the same system was utilized to calculate the injurious kinematics (Fong et al., 2009b). To systematically investigate the contribution of each motion and their combinations, four motion simulations were performed: (1) pure inversion; (2) inversion plus plantarflexion; (3) inversion plus internal rotation; and (4) a combination of inversion, plantarflexion, and internal rotation. The SolidWorks Motion package from the simulation software was used to execute these simulations. Continuous rotation-time data were interpolated (using the Akima Spline method) into the SolidWorks Motion to drive the talus and/or the calcaneus movement. Ligament strains were estimated from the model (Iaquinto and Wayne, 2011; Wei et al., 2011b). Resistive moments, deduced by inverse dynamics from the motors along the three axes of rotation, were also obtained from the model analysis.

RESULTS

Under inversion alone, the CaFL was strained the most at 12% (Figure 2). The ATaFL and LTaCL were strained to approximately 10%. When temporal plantarflexion or internal rotation was added, both the CaFL and ATaFL were strained to approximately 14-16%. With all three motions combined the ATaFL was strained the most at 20% followed by the CaFL at 16%. Temporal strain profiles of the ATaFL and CaFL were compared, along with the foot motions and ankle joint moments (Figure 3). There was a switch in magnitude of strains from the CaFL to the ATaFL at 0.16 s after footstrike (heel strike). The highest moment was 23 Nm for inversion followed by 11 Nm for internal rotation (Figure 3c).

DISCUSSION

A grade I ankle sprain is characterized as minimal tearing of ligament fibers (Noves et al., 1989). Rupture strain of ankle ligaments can be estimated in the range of 30-35% (Beumer et al., 2003; Funk et al., 2000). Ligament collagen fibers are thought to tear when half of the rupture strain is reached (Yahia et al., 1990). The ATaFL strain in the current study reached 15-20%, which is approximately one-half of the suggested rupture strain. Previous studies also report that a 10 Nm external moment applied to the foot will cause pain and discomfort, and an external moment of 41-45 Nm generates ankle fractures (Markolf et al., 1989). By investigating a supination injury case, the current study indicated that an ankle joint moment up to 23 Nm may produce a grade I ankle sprain.

Clinically the mechanism of an ankle supination sprain has been associated with a combination of inversion and plantarflexion. In contrast, Kristianslund et al. (2011) and Mok et al. (2011) suggest the important role of internal rotation. Mok et al. (2011) also suggest that future designs of injury prevention measures may only need to consider inversion and internal rotation. Yet, the current study showed that either plantarflexion or internal rotation, when added to inversion, increased the ATaFL strains from 10% to approximately 15-16%. And the temporal combination of all three motions raised the ATaFL strain to over 20% (Figure 2), suggesting that, in addition to inversion, both plantarflexion and internal rotation may be important in generating supination ankle sprains, as estimated by this one case study.

While a few studies have presented constant stiffnesses for ankle ligaments (Attarian et al., 1985; Siegler et al., 1988), nonlinear and viscoelastic behavior in those ligaments has been observed (Attarian et al., 1985; Funk et al., 2000). Ankle ligament strains under a physiological range of ankle motion are thought to be in the range of 5-10% (Colville et al., 1990; Ozeki et al., 2002). Funk et al. (2000) reported the ankle ligament force-strain curves have a typical toe region up to 6% strain followed by a loading region (nearly linear) up to 20% strain. Although the linear approximation used in the current study may have underestimated ankle ligament strains, the error was likely minimal (within 3%) for a strain range of 16-20%, while larger errors (up to 50%) could be involved for strains less than 15% (Funk et al., 2000). Additionally, a previous study by others has concluded that a viscoelastic assumption of the ankle ligaments could be neglected for very slow (< 0.0001/s) or very fast (> 1/s) strain rates, but substantial effects may exist on ligament behavior for intermediate strain rates (Funk et al., 2000). The modeled

ligaments in the current study experienced strain rates approximately in the range of 1-1.5/s,implying that the viscoelasticity of those ligaments may be negligible.

Other assumptions of the model and the simulation should also be noted. Firstly, the tarsal and metatarsal bones were fused together and moved as a unit in the model for simplification purposes. Although the ligaments connecting the tarsal and metatarsal bones may be less important than those listed in Table 1, this fusion could have caused an overestimation of the joint moments calculated from the model, especially for the internal rotation moment. Secondly, model sensitivity to the parameters chosen to define the contact was evaluated by individually varying the stiffness, exponent, and damping coefficient up and down one order of magnitude, similar to a previous study by others the impact of damping coefficients, chosen to define the contact, on bone motion and ligament strain was not investigated in the current study. However a recent study, by others, using a similar modeling approach to study the wrist biomechanics varies the contact parameters up and down one order of magnitude and concludes little (< 1%) to no change in range of motion of the wrist predicted by the model (Majors and Wayne, 2011). Joint moment was most affected by the exponent term (4.3%) followed by the damping parameter (2.0%) and the stiffness value (1.5%). Varying these parameters provided no change in the ligament strain results predicted by the model. Thirdly, a constant weight bearing load was used in the current study due to the unavailability of ground reaction force (GRF) data from the case report. In addition, this constant load was applied in the beginning of simulation, not at heelstrike, because the time for the GRF to reach its maximum was unknown. Future experimental studies with use of a force plate may provide detailed GRF data (both magnitude and duration) so that a simulated weight bearing load could be applied at footstrike. Finally, the

effect of a lack of the proximal tibiofibular joint in the model on potential alterations of the anklestability is currently unknown.

While this computational ankle model has provided insights into motions responsible for ankle injury by simulating both in vitro (Wei et al., 2012; Wei et al., 2010) and in vivo experiments (Wei et al., 2011a), it has been based on only a generic model, without potential effects of the musculature. Therefore joint moments may have been underestimated (Wei et al., 2011a). Additionally, the effects of anatomical differences between the injured subject and the cadaver ankle used to build the model are unknown. Future studies that investigate ankle injury mechanisms and prevention strategies may want to build subject-specific models, incorporate muscle effects, and potentially integrate the nonlinear and viscoelastic responses of ankle ligaments.

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310 FIGURE LEGENDS

Figure 1: Posterior (a), medial (b), and lateral (c) views of the ankle model showing the axes of rotation used in the simulations (dotted lines in a and b) and the locations of 16 simulated ligaments (c): the interosseous ligaments (IOL-I and IOL-II); the anterior and posterior tibiofibular (ATiFL and PTiFL); the calcaneofibular (CaFL); the anterior and posterior talofibular (ATaFL and PTaFL); the anterior and posterior tibiotalar (ATiTL and PTiTL); the tibionavicular (TiNL); the talonavicular (TaNL); the calcaneocuboid (CaCL); the calcaneonavicular (CaNL); the medial, central, and lateral plantar fascia (MPF, CPF, and LPF). For clarity, the lateral, interosseous, medial, and posterior talocalcaneal ligaments (LTaCL, ITaCL, MTaCL, and PTaCL) between the talus and the calcaneus were set invisible. Ligament initial length and stiffness were documented in Table 1.

Figure 2: Maximum strains estimated from the model in various selected ligaments (> 2% strain)
for different ankle motions.

Figure 3: Normalized kinematic data from Fong et al. (2009b) were re-plotted (a). Values were normalized between their initial and peak measures, i.e. plantarflexion: -23.6°~1.0°; inversion: 15.6°~47.6°; internal rotation: -12.3°~10.0°. Temporal profiles of strains (b) in the ATaFL and CaFL, and ankle joint moments (c) during the injury incident were estimated from the model by applying a combination of inversion, plantarflexion, and internal rotation simultaneously.

329 TABLE

Table 1: Twenty ligaments were simulated in the model. The initial length was the length prior

to the application of an *in situ* strain. The stiffness was adapted from Wei et al. (2011b).

| Abbreviation | Initial length (mm) | Stiffness (N/mm) |
|--------------|--|---|
| IOL-I | 18.0 | 400 (Pfaeffle et al., 1996) |
| IOL-II | 17.2 | 400 (Pfaeffle et al., 1996) |
| ATiFL | 18.1 | 90 (Siegler et al., 1988) |
| PTiFL | 22.7 | 90 (Beumer et al., 2003) |
| CaFL | 41.3 | 70 (Liacouras and Wayne, 2007) |
| ATaFL | 32.2 | 90 (Siegler et al., 1988) |
| PTaFL | 18.8 | 70 (Liacouras and Wayne, 2007) |
| ATiTL | 18.0 | 70 (Liacouras and Wayne, 2007) |
| PTiTL | 16.0 | 80 (Liacouras and Wayne, 2007) |
| TiNL | 39.3 | 40 (Siegler et al., 1988) |
| TaNL | 11.0 | 70 (Liacouras and Wayne, 2007) |
| ITaCL | 8.7 | 70 (Liacouras and Wayne, 2007) |
| LTaCL | 12.2 | 70 (Liacouras and Wayne, 2007) |
| MTaCL | 8.5 | 70 (Liacouras and Wayne, 2007) |
| PTaCL | 29.9 | 70 (Liacouras and Wayne, 2007) |
| CaCL | 26.2 | 70 (Liacouras and Wayne, 2007) |
| CaNL | 26.7 | 70 (Liacouras and Wayne, 2007) |
| MPF | 147.9 | 200 (Iaquinto and Wayne, 2010) |
| CPF | 146.5 | 230 (Iaquinto and Wayne, 2010) |
| LPF | 133.2 | 180 (Iaquinto and Wayne, 2010) |
| | Abbreviation IOL-I IOL-II IOL-II ATIFL PTIFL CaFL ATaFL PTaFL ATaFL PTaFL ATITL PTITL TINL ITACL ITACL ITACL ITACL PTACL CACL CANL MPF CPF LPF | AbbreviationInitial length (mm)IOL-I18.0IOL-II17.2ATiFL18.1PTiFL22.7CaFL41.3ATaFL32.2PTaFL18.8ATiTL18.0PTiTL16.0TiNL39.3TaNL11.0ITaCL8.7LTaCL12.2MTaCL8.5PTaCL29.9CaCL26.7MPF147.9CPF133.2 |



Posterior (a), medial (b), and lateral (c) views of the ankle model showing the axes of rotation used in the simulations (dotted lines in a and b) and the locations of 16 simulated ligaments (c): the interosseous ligaments (IOL-I and IOL-II); the anterior and posterior tibiofibular (ATiFL and PTiFL); the calcaneofibular (CaFL); the anterior and posterior talofibular (ATaFL and PTaFL); the anterior and posterior tibiotalar (ATiFL and PTiFL); the calcaneofibular (ATiFL and PTiTL); the tibionavicular (TiNL); the talonavicular (TaNL); the calcaneocuboid (CaCL); the calcaneonavicular (CaNL); the medial, central, and lateral plantar fascia (MPF, CPF, and LPF). For clarity, the lateral, interosseous, medial, and posterior talocalcaneal ligaments (LTaCL, ITaCL, MTaCL, and PTaCL) between the talus and the calcaneus were set invisible. Ligament initial length and stiffness were documented in Table 1. 127x97mm (300 x 300 DPI)





Maximum strains estimated from the model in various selected ligaments (> 2% strain) for different ankle motions. 48x26mm (600 x 600 DPI)





Normalized kinematic data from Fong et al. (2009b) were re-plotted (a). Values were normalized between their initial and peak measures, i.e. plantarflexion: -23.6°~1.0°; inversion: 15.6°~47.6°; internal rotation: -12.3°~10.0°. Temporal profiles of strains (b) in the ATaFL and CaFL, and ankle joint moments (c) during the injury incident were estimated from the model by applying a combination of inversion, plantarflexion, and internal rotation simultaneously. 240x524mm (300 x 300 DPI)