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# Interactional formats and institutional context: a practical and exploitable distinction in interviews

**Abstract:** This paper applies practically oriented discourse analysis to focus 8 group interviews using conversation analytic principles to show how interac-9 tional qualities demonstrably different to analysts are also treated as such by 10 participants. We take a grounded practical theory perspective to claim that the 11 12 empirical and a practical distinction is an exploitable resource for participants, 13 with important implications for the goals of research interviewing, interviewee 14 participation in focus groups, and analyses thereof. We identify participant tech-15 niques for doing and attending to conversational and institutional interaction 16 formats, including turn-taking organization, embodied acts, addressivity, and 17 emotion displays, and how those techniques allow participants to co-construct 18 emergent stances alongside answering questions.

Keywords: research interviews, focus groups, discourse analysis, grounded practical theory, institutional discourse, alternative medicine

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# <sup>25</sup><sub>26</sub> **1** Introduction

27 This paper analyzes discourse in research focus group interviews to consider how 28 participants construct and orient to their own ways of talking as "like an inter-29 view" or "like an ordinary conversation." The paper examines this difference as a 30 resource for focus group interviewing practice. We identify specific participant 31 techniques - turn-taking organization, embodied acts, addressivity, and emotion 32 displays - which exploit the distinction between interactional format and institu-33 tional context to get important work done which is relevant to the multiple par-34 ticipant goals. We consider how interviewers can attend to these moments and 35

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strategically encourage them during interviewing. We also demonstrate how an-1 alvsts can gain important insights from such moments.

These findings address at least two important research areas. Firstly is the ongoing interest in localized enactments of taken-for-granted communicative genres/ 4 frames such as ordinary/institutional in data (see DeFina and Perrino 2011: Hester 5 and Francis 2001; Speer 2002; Watson 2009). Secondly is a theoretical/practical 6 interest in how to conduct and analyze focus group interviews regarding the 7 apparent dilemma between moderator constraints and participant interaction 8 (e.g., Kitzinger 1994; Myers 1998; Markova et al. 2007). This is relevant to Morgan's 9 (2010) proposal for more research into how *specific* strategies for conducting 10 focus groups affect their interaction. The value of co-construction in interviews 11 has been championed at least since Briggs's (1986) classic work, but specific 12 strategies for how this can be encouraged, achieved, and analyzed in focus 13 group interviews – as well as what it specifically accomplishes interactionally – 14 demands more attention.

We use grounded practical theory and discourse analysis to analyze audio/ 16 video-recorded focus group interviews with people who have HIV-related neuro- 17 pathy (numbness, tingling, and/or pain in extremities) before and after a series 18 of acupuncture and massage treatments at a public health clinic in California. In 19 the first section of this article, we review literature on institutional talk and inter-20 viewing practice. The ensuing analysis uses conversation analytic techniques to 21 discuss how participants build different formats of interaction and orient to their 22 features as more or less institutionally relevant. Finally, we conclude with impli- 23 cations of this analysis for focus group practice. 24

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## 2 Institutional talk and interview practice

This paper analyzes the usefulness of focus group interview moments during 29 which participants construct and orient to their interaction as more conversa- 30 tional within the ongoing interview context. This section therefore considers how 31 language and social interaction research (particularly conversation analysis, CA) 32 has articulated distinctions between ordinary and institutional talk specifically 33 with regard to interviews, and implications of this for focus group research prac- 34 tice and analysis.

Context in institutional settings is a resource for interpretation, locally pro- 36 duced turn by turn. If talk and social roles have "institutional character" (Drew 37 and Heritage 1992: 21) - if "participants' institutional or professional identities 38 are somehow made relevant to the work activities in which they are engaged" 39 (1992: 3–4) – then the format of talk can be deemed institutional. Schegloff's 40 1 (1992) characterization of this CA approach to institutional talk is that institution-

ality as a feature of context/social structure must be analytically demonstrated to
have procedural consequentiality. Rather than assuming talk is institutional because it occurs in an institutional context, CA studies focus on how the actions in
talk orient to the institutional character of the situation.

A variety of ways of taking and designing turns, organizing and advancing 6 sequences, and choosing what to say can all be institutionally specific, relevant 7 <sup>8</sup> to situated goals, constraints, and inferential practices (Heritage 2005). There is not a clear line between what counts as institutional or conversational talk but 9 10 there is a "defensible distinction" (2005: 141). As Drew and Heritage (1992) point 11 out, participants have methods for interactionally achieving institutional talk 12 and constituting themselves as part, for example, of an interview process. Talk constructs institutionality in situated instances, but also reflects or is "institu-13 tionally inflected" by institutional contexts and members (Tracy and Robles 14 2009). Institutional settings are marked by metacommunicative awareness of 15 some purported link between what happens in the situation and how that should 16 match the purpose of the situation. 17

18 Most methods of focus group interviewing involve an interviewer who sets the agenda to some extent and at least two interviewees (ideally six to ten) 19 (Morgan 1998). Focus groups are seen as uniquely valuable due to the interaction among interviewee participants (e.g., Kitzinger 1994; Markova et al. 2007). This 21 22 interaction can allow participants to speak with their own voice (Wilkinson 1998) and manage their identities and alignments with regard to important life issues 23 such as health (Ho and Robles 2011). In addition to these goals, interaction pro-24 <sup>25</sup> vides insights for ethnographers and analysts (Kratz 2010). These points empha-<sup>26</sup> size the extent to which interviews can be creative research tools for the joint construction of meaning among participants as well as with the interviewer (Briggs 1986; Douglas 1985; Holstein and Gubrium 1995). 28

However, in social science research it has been the norm to do focus group interviews in a relatively structured manner (Morgan 2002). Practical considerations for focus group interviewers thus often involve methods of control: keeping talkative people from rambling, encouraging shy people to speak up, stimulating waning discussions, and reigning in tangents. These goals lead to the assumption that "focus groups will fail without the active direction of a highly skilled moderator" (2002: 148). While the purpose of having a focus group is to encourage multiple voices, the general advice in conducting focus group is that useful discussion can only occur with proper monitoring (Myers 1998).

Puchta and Potter (1999) identify this tension in focus groups as being between the structured element (in which predefined topics and/or questions are meant to guide or control discussion) and the interaction element (in which talk is ideally meant to be spontaneous and conversational). This apparent dilemma 1 between participation and constraint (or as Markova et al. [2007] put it, "free but 2 moderated") is a theoretical concern related to the goal of focus group interviewing, a practical concern in terms of running focus groups, and an analytic concern for working with focus group data. This tension results in disagreements 5 among scholars as to what counts as a focus group, including assertions that a 6 focus group without interaction defeats the purpose of the method, but also that 7 discussions not strongly guided by a researcher take the "focus" out of focus 8 groups (e.g., Morgan 2002). 9

Practitioners have responded to this problem with different strategies. For 10 example, asking elaborate multi-unit questions can provide a range of potential 11 responses to participations and manage difficult tasks in institutional contexts 12 (Linell et al. 2003; Puchta and Potter 1999). This attention to strategies for engaging with particular interactional moments dovetails with this paper's analytic 14 aims. Rather than starting with focus group interview goals and methods, this 15 paper begins as the aforementioned researchers do by analyzing first what participants (interviewers/interviewees) actually do in focus group interaction, and 17 what that accomplishes. 18

We approach the challenge of practical import through grounded practical 19 theory (GPT) (Craig and Tracy 1995) which recognizes espoused goals of institutional settings and the extent to which interactional choices accomplish, challenge, or reveal different goals. Thus we address how relevant sequential actions, 22 institutional context, and ostensive aims can be mutually informing, with implications for practice. GPT focuses on three levels, beginning by looking at troubles, dilemmas, or challenges in a particular setting (problem level), for example, 25 the idea of "answering interview questions" versus "getting off track." GPT reconstructs instances across multiple cases as more general problems, matching troubles with the practices participants employ to enact and manage them (technical level). Finally the norms and ideals which shape the setting are examined and critiqued (philosophical level). 30

Markova et al. (2007) – countering Myers's (1998) assertion that focus group 31 interviewees do not engage in many so-called "conversational" commonplaces of 32 ordinary interaction – suggest that participants attend to institutional goals *and* 33 sociability of everyday talk. Furthermore, Sarangi (2003) proposes that interview 34 participants orient to the task-oriented, informational exchange of interviews, 35 but often *through* social and relational practices and by shifting in and out of 36 different conversational frames (similar to Markova et al. [2007], "communicative 37 activity types"). This paper analyzes such moments of "shift" and examines how 38 marking of the shift functions as an exploitable practical and analytic distinc-39 tion. Can this distinction be useful for the goals of interviewing in general, and for 40 1 focus group interviewing in particular? How can focus group interviewers and
2 analysts attend to conversational moments in institutional contexts in order to
3 generate or examine what is accomplished by these shifts?

- **3** Methods
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We address how research focus group interview participants display in their talk 8 an awareness of distinctions between interaction formats, and how these dis-9 plays can be useful to interviewees, interviewers, and analysts. Discourse analy-10 11 sis in GPT takes a practical approach grounding analysis in empirical observation to develop a normative discourse for improving practices. This paper's discourse 12 analytic method is similar to action-implicative discourse analysis (AIDA), a GPT 13 method which uses the details of everyday talk attended to in discourse analysis 14 to form the basis of conceptualizing dilemmas and strategies and reflecting on 15 16 how to improve communication to accomplish situated institutional goals (Tracy 17 1995). Taking this approach in this paper means seeing focus group interview-18 ing as a practice which faces various challenges, and within which participants deploy techniques for managing those challenges. It also means being oriented 19 to the practical usefulness of analytic results, while grounding those analytic results in analyses of situated discourse.

The discourse analysis constituting basis of this paper's analysis is more conversation analytic than a typical GPT/AIDA study. This is because the argument is 23 based upon a CA distinction regarding what constitutes institutional interaction 24 or ordinary conversation. CA studies have been important in demonstrating this 25 26 distinction with empirical rigor, but have been less attentive to practical concerns 27 (but see Antaki 2011); therefore, this paper employs CA conventions within the 28 GPT perspective described, including Jefferson-style transcription of talk and 29 nonverbal actions (Jefferson 1984), and an empirical concern with making visible 30 how participants achieve and orient to talk distinctions in interviewing proce-31 dures. This involves treating interaction as a sequentially organized endeavor 32 through which participants conduct practical activities. The resources for orga-33 nizing interaction involve the taking of turns at talk, the designing of actions for opening particular projects, and the addressing of relevant next turns toward the 34 progressivity of situated activities. 35

Data for this project come from a larger research study examining the use of acupuncture and massage therapy for the treatment of HIV-related neuropathy that was approved by the University of San Francisco's Institutional Review Board (see Ho et al. 2007). Participants were interviewed both in small groups and individually at the beginning and end of the 12-week trial. Interviews were semi-structured and included questions regarding participants' experiences 1 of neuropathy, knowledge/use of various treatment options, and sources of 2 information/communication for/about that knowledge. Focus group interviews 3 comprised two-four participants with one facilitator/interviewer. The focus 4 groups lasted 20–50 minutes and were videotaped. Individual interviews lasted 5 10–25 minutes and were audio-taped. In total, 51 interviews were recorded and 6 transcribed.

The next section presents excerpts from two interviews during the study's 8 end (Group A and Group B). These group interviews were selected to discuss 9 in detail because participants were highly interactive. We found these groups 10 useful for a deeper investigation into what participants might be doing to give an 11 observer the sense of an interactional quality. While we focus the presentation of 12 our analysis on these two groups, there were interactive moments like these in 13 other groups as well. Group A lasted 40 minutes and included the interviewer (I, 14 Ho: off-camera) and participants Donna, Carter (who requested to be off-camera), 15 Sean, and Kevin. Group B lasted 50 minutes and included the interviewer (I, Ho: 16 off-camera) and participants Bill, Henry, Anne, and Leland (all on-camera) (all 17 participant names are pseudonyms). The first part of the analysis illustrates key 18 distinctions in the data by analyzing how one focus group accomplished different 19 interactions in two different instances. The second part focuses on two particularly 20 salient examples which demonstrate multiple ways participants distinguish their 21 engagement, and how this distinction is made relevant. 22

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## 4 Doing interviewing, doing conversation

This section begins with a typical example of focus group interviewing where the 27 participants manage their talk in more structured ways. This is followed by an 28 example later in the interview where interviewees orient primarily to meanings 29 they work out among themselves rather than to the interviewer's questions. The 30 purpose of these first two examples is to demonstrate key distinctions across the 31 data where interactional practices occasioned more conversational talk. These 32 examples are followed by an analysis of two excerpts highlighting a range of 33 practices. 34

The allocation of turns in interview situations can be markedly different from 35 ordinary situations (e.g., Tracy and Robles 2009, 2013). Mundane group talk cer- 36 tainly includes questions and selections of next speakers to provide answers; but 37 it would be strange if someone at a dinner table asked a question, and then each 38 other person answered one at a time, one after another, in seating order. Yet this 39 sort of sequential distribution of turns does occur in group interviews, and was 40 common in many of the focus group interviews. The interviewer would ask a
question, sometimes (not always) selecting a speaker from the small group of interviewees. Unless selected by the interviewer, one interviewee would ultimately
self-select, generally following a brief period (often nonverbal, sometimes verbal)
of negotiation among the interviewees for who would take the turn. Following the
initial interviewee's answer, another interviewee would be selected by the interviewer or would self-select to provide their answer, and so on "down the line"
(the initial answerer at one end of a row of chairs or immediately to the side of the
interviewer if seated in a circle, then progressing one by one down the row or
clockwise/counterclockwise until everyone had given an answer). The first excerpt below is a typical example (see the appendix for transcription notations).

- 15 210 treatment for your neuropathy including
- 16 211 different medications or holistic treatments or
- 17 212 self treatments than you were when you
- 18 213 started
- 19 214 Kevin: yep (.) yeah the only thing I do for my
- 20 215 neuropathy- and it really works well for me is
- 21 216 when I get in the shower I scrub
- 22 ((six lines omitted))
- 23 223 I: and do you do that every day
- 24 224 Kevin: um just about (1.0) when I'm having-
- 25 225 when it's really bad I'll do it twice a day (1.0)
- 26 226 I have really clean feet
- 27 227 Carter: I think the only thing- the only treatment
- 28 228 I've done as I mentioned was one treatment
- 29 ((three lines omitted))
- 30 232 I: okay that's good
- 31 233 Donna: I've been using the exercises and the uh
- 32 234 roller
- 33

The example in Excerpt (1) displays many of the features of institutional interviews attested in the literature (e.g., Drew and Heritage 1992; Heritage 2005; Hester and Francis 2001) and present across most of the data. Informationseeking questions are initiated by the interviewer, as in lines 209–213. In this case Kevin, the first to provide a response, self-selects and addresses the interviewer with his turn (lines 214–216). The interviewer expands the sequence with a follow-up question (line 223) to which Kevin replies (lines 224–226). Carter then self-selects to take the next turn (lines 227 and 228). At the close of his turn, the 1 interviewer provides an acknowledgement (line 232), and then Donna self-selects 2 in line 233 and the pattern continues. The remainder of the analysis presents 3 examples where participants organized their turn taking, embodiment, addressivity, and emotion displays to achieve/shift between conversationally marked 5 and institutionally marked forms of interaction. 6

In Excerpt (2), in Group A, the interviewer had asked Donna whether she 7 was feeling better because of her regular acupuncture/massage treatments. Fol- 8 lowing the selection of Donna as next speaker, the interviewer engages in inser- 9 tion sequences within the larger question, asking follow-up questions of specific 10 individuals. In this excerpt, Donna responds that she was feeling better because of 11 "the acupuncture and massage and the little green pills" (line 147), after which the 12 interviewer asks another follow-up question regarding the name for the little green 13 pills. At this point in the conversation, Donna's manner changes: she ceases to 14 speak to or even to notice the interviewer, even though the interviewer asked her 15 the question; instead, she orients in embodiment and addressivity to her fellow 16 interviewees as potentially sharing relevant background knowledge about pills. 17

		18
(2)		19
147 Donna:	the acupuncture and massage and the little green pills	20
148 I:	what are the little green pills	21
149 Donna:	you know those (1.0) little green pills	22
150	((to the group)) those little ((hand gesture))	23
151 Sean:	[((looks at Carter, leans toward Donna))]	24
152 Kevin:	[((raises left hand, thumb/index	25
153	finger 1/2 inch apart))]	26
154 Donna:	[((points at Kevin's hand, imitates gesture))]	27
155	((group leans in))	28
156 Kevin & Donna:	((same positions as lines 152 and 154	29
157	respectively, making small movements over	30
158	series of turns))]	31
159 Kevin:	hh yeah ((nodding))	32
160	((group nodding, Donna nods once))	33

Rather than explaining that she forgot the name of the pills, Donna invites 35 the other group members to help by stating, "you know", and turning toward 36 them in line 149. From the moment of Donna's verbal and nonverbal orientation 37 to the group, the interviewees enact an almost entirely silent co-investigation into 38 "the little green pills". They engage in a series of simultaneous and nearly identical gestures as well as more subtle nonverbal mimicry (such as leaning forward 40 1 – a move Donna often makes in this extract and elsewhere). Kevin takes up the
2 role of jointly identifying the pill with Donna, and indicates satisfaction with the
3 conclusion even though no one says the actual name of the drug (line 159).

By the end of the short extract, everyone was nodding (line 160) reaching an acceptable resolution to the question of the little green pills. The common reference to (presumably) the same pill is enough to satisfy the group while the interviewer still does not know what it is. Donna does not address her response to the interviewer nor provide an answer to the question, returning to a previous topic after this. The interviewer does not pursue a response or participate noticeably: though she was off-camera and we cannot tell how she might have been engaging nonverbally, she does not speak and none of the interviewees look in her direction during their quasi-silent discussion about the pills. For the moment, the interviewer is positioned as an outsider (Modan and Shuman 2011).

What about this exchange is relevant to the institutional nature of the situa-14 tion? The action undertaken by the group was initiated by the interviewer, who 15 ostensibly occupies the position of being "the one who asks the questions." The 16 participants' uptake is relevant to the question. "What are the little green pills" 17 18 (line 148) is an information-seeking question. Reasonable responses might include a name or a description (beyond their being little and green), or a descrip-19 20 tion of what they are for. But the group never provides such responses, instead <sup>21</sup> working to establish shared understanding of what pills are being talked about. 22 Furthermore, the response is not directed to the interviewer. Finally, the inter-23 viewer does not ask the question again or indicate that the response is problematic. When Donna resumes talking, addressing her subsequent verbal turns to the 24 interviewer, she does so by expanding her earlier turn (from line 147). 25

This example indicates a format distinction regarding distribution and relevance of turns:

One format where the interviewer asks a question, a participant answers
 (sometimes with follow-up questions from the interviewer), the participant
 indicates turn completion, and the next participant begins a turn to start the
 process anew.

Another format where the interviewer asks a question but the intervieweesdirect their responses to each other, their responses are not unambiguously

- relevant, and a relevant answer is not pursued by the interviewer.
- 35

There is also a difference in addressivity. It is not unusual for an interviewee to confer with other members when they share similar background knowledge. But the shift in address being *accompanied* by a subsequent lack of providing the delayed response *to the interviewer* recasts Donna's shift in address as away from the task at hand of "being an interviewee." Donna's body and gaze shift toward (3)

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(p. 452)

her co-interviewees is another indication of attending to them as her primary 1 audience and joint-conversants. When Donna re-orients to the interviewer, she addresses her next turn to the interviewer as if the prior interaction had not 3 occurred. This example illustrates some of many recognizable techniques for 4 realizing a conversational moment within an institutional interaction. Such mo- 5 ments got something done: they establish understanding among the interviewees 6 such that they can carry on with the substance of the interview about how their 7 neuropathy has or has not improved, even though a response to the interviewer's 8 specific but ultimately less important question (about the little green pill) was not 9 specifically provided as an "answer." 10

The next section analyzes two longer interactions where multiple techniques 11 accomplish "doing conversation" within the interview context. These examples 12 demonstrate the range of techniques across the data, and illustrate how these 13 enact and constrain disagreeing and agreeing stances (respectively) and how 14 orienting to talk as interview-like or conversation-like serves as a strategy for 15 doing these emergent stances while accomplishing the institutional business of 16 responding to interviewer questions. Excerpt (3) is also from Group A, later in the 17 interview. Kevin has self-selected to tell a story about losing neuropathy in his 18 hands after taking a drug (lines 514–547). 19

(_)		
512 Kevin:	I just wanted to say quickly um	22
513	(0.5) I- I heard you ((gestures to Donna))	23
514	mention that you have neuropathy in your	24
515	hands (.) and years ago I had neuropathy	25
516	in <u>both</u> of my hands and I was (0.5) going	26
517	to ((clinic name)) at the time (0.5) and the	27
518	nurse practitioner that I was see:ing (0.5)	28
519	um (1.0) prescribed uh (.) Elovil which is	29
520	a (0.5) uh mood? Elevator?	30
521 I:	mmhmm	31
522 Kevin:	and uh but in a very sma:ll dose	32
523 I:	mm hmm	33
524 Kevin:	so it wouldn't cross the barrier an- to	34
525	become ((waves hands in a circle)) a mood	35
526	(.) elevator	36
527 I:	mm hmm	37
528 Kevin:	it worked directly on the neuropathy [ (1.0) ]	38
529 I:	[ ah^hh]	39
530 Kevin:	and I haven't had it (.) it disappeared (0.5)	40

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	531		I haven't had it in ((shakes head looks at Sean))
	532	_	uhhttffffff
	533		Wow. [And are you still taking that drug or?]
		Kevin:	[a decade ((shaking head))] No
	535		So it maybe cured? It
6	536	Kevin:	Right right but I had it in both hands and I
7	537		couldn't figure out why my hands were
8	538		asleep all the time (.) that's what I thought
9	539		(.) a::nd he explained to me that it was neuropathy
10	540		and that's what he prescribed?
11	541	Donna:	
12	542	Kevin:	and I took it for a while [I can't]
13	543	Donna:	[mm ]
14	544	Kevin:	remember how long I took it
15	545	I:	uh huh
16	546	Kevin:	but (0.5) in my hands (.) I have (.)
17	547		thankfully I have (0.5) no problem
18	548	I:	that's great (.) great
19	549	Kevin:	°so I just wanted to say°
20	550	I:	ok
21	551		(1.0)
22	((10	lines om	litted))
23	561	Carter:	[So (0.5)] and I know there are those $(0.5)$
24	562		<u>pills</u> that they give you and I went through
25	563		all of them to (0.8) for treating well it's not
26	564		really treating neuropathy I guess it's just
27	565		(0.5) masking the (.) sensation or some[thing
28	566	Kevin:	[I y'know
29	567		I really don't know (0.3) <u>what</u> it ifit- I can't
30	568		say it masked the- th-e the pain an th- the
31	569		discomfort because I don't have it now
32	570	I:	Mm[m
33	571	Carter:	[yeah
34	572	Kevin:	it was a very small dose it was like (1.0)
35	573		twenny five milligrams?
36	574	I:	hmm
37	575	Carter:	huh?
38	576	Kevin:	um just a very very (.) very small dose
39	577		but today I (.) I heard you talking about it
40	578		in your hands and I remembered (.) having

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579	it and (0.8) how uncomfortable it was for	1
580	me now I have it in my feet but (0.5) um (0.8)	2
581	it (.) it- it addressed the problem and today it's-	3
582	it's (.) fine as far as my hands go	4
583 I:	mm hmm	5
584 Kevin:	because we filled out those green sheets	6
585	[and it said] numbness and=	7
586 I:	[yeah mmhmm]	8
587 Kevin:	=whatever in your hands (.) I always put never	9
588	(0.8) or you know	10
589 I:	uh huh	11
590 Kevin:	at this point in my life (.) never doesn't bother	12
591	me in my hands	13
592 Carter:	°mm°	14
593 I:	that's great	15
594 Kevin:	but it's always (.) it's always hurt me in my feet	16
595 I:	yeah yeah	17
596 Carter:	It's probably something that they massaged or a	18
597	needle in your <u>foot</u> that went up to your hands	19
598	((group laughs))	20
599 Kevin:	(could) be eh- na- uh cause I've only been coming	21
600	here about a year and a half	22
601	[(.) an this was like thirteen years ago	23
602 I:	[ah so this was like before then?] Oh ok.	24
603	[Ok ][W↑o::w]	25
604 Kevin:	[this was way] [this was] =	26
605 Carter:	[Oh really? ]	27
606 Kevin:	= long cause I no longer I've been with	28
607	((hospital name)) for about nine years (0.5)	29
608	switched from ((hospital name)) out to	30
609	((hospital name)) this was well before I switched.	31
610 I:	Okay	32
611 Donna:	It would be nice to find medication (.)	33
612	where you don't have to take medication to	34
613	counteract the medication	35
614 I:	Ye::s	36
615 Sean:	Yeah	37
616 Kevin:	Isn't that the truth	38
617	((group laughter))	39
618 I:	Very good point yeah does anyone have	40

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- 2 620 we go on to individual interviews?
- 3

Carter expresses doubt toward Kevin's story in lines 561–565. After discussing 4 his own health regimen with the interviewer (omitted lines), Carter calls Kevin's 5 6 information into question (line 563 and 564, "not really treating neuropathy"), 7 indirectly referencing "those pills that they give you" (lines 561 and 562). Even <sup>8</sup> after Kevin proffers several accounts for his apparent cure, Carter dismisses the 9 remedy (lines 596 and 597). In his dismissal, Carter rejects premises of Kevin's 10 evidence (lines 515, "years ago I had neuropathy" + lines 528 "[Elovil] worked directly on the neuropathy" and "[the neuropathy] disappeared", line 530). The 11 content Carter rejects is not so much the drug itself, but that the drug could cure 12 neuropathy, which Carter, preferring alternative treatments (e.g., diet: omitted 13 lines), does not accept. 14

Kevin apparently realizes Carter's stance: he tries repeatedly to reclaim the 15 efficacy of his remedy, downplaying the "drugness" of the drug (line 572) and 16 reiterating its success (line 581 "it addressed the problem"). Kevin reasserts the 17 factuality of his claim by restating it as a real, recordable part of his past (line 18 578), which could not have been induced by acupuncture/massage, and was 19 20 listed in the larger study's measurement tool (line 584). Thus Kevin challenges Carter's assumption that any form of biomedicine cannot directly treat neuro-21 pathy. The conversation takes a turn to the hassle and side effects of taking 22 medication in general rather than whether it can treat neuropathy or not. 23

The disagreement Carter and Kevin accomplish through their series of dis-25 alignments, challenges, and accounts is marked by various conversationally oriented practices. This shift occurs primarily around lines 565 and 566. The 26 practices employed in this shift are not *a priori* conversational rather than insti-27 28 tutional, but for this interview, participants treated what they were doing as a "disagreement with each other" rather than as "answers to the interviewer's 29 30 questions." Kevin hears Carter's turn (lines 561–565) as disagreement and begins 31 to formulate an expansion of his point to counter Carter. He addresses Carter; 32 Carter demonstrates that he hears Kevin's turns as addressed to him, address-33 ing Kevin verbally with "your" in his response (line 597). Similar patterns occurred across the data: even in cases where participants largely addressed 34 35 their disagreeing-with-other-interviewees responses to interviewers, they still acknowledged/addressed/oriented to interviewees with whom they disagreed, 36 for instance, by gesturing or turning their head briefly.

The way turns are allocated and who takes them is also distinct: the interviewer, for instance, does contribute throughout, but often minimally through continuers such as "mm hmm" "mm" and "yeah." Also Kevin addresses the group

<sup>1 619</sup> anything final to say in the group? Before

as a whole rather than the interviewer (verbally in lines 513, 514, 577), and other 1 participants demonstrate their awareness of this by responding nonverbally 2 (gaze, body orientation, also Donna's continuers, lines 541, 543), as if in conversation with Kevin (rather than as observers of Kevin's responses to interview 4 questions. The presence of overlap during this period of disalignment and mutual 5 addressivity between Kevin and Carter demonstrates that they are attending 6 closely to each other's turns and turn completions, and is also a way of doingwanting-to-make-a-point. Kevin and Carter's talk at this point, Kevin's in particular, also displays markers of emotionality through disfluencies (lines 566–569, 9 581, 582, 599) and extreme case formulations such as "very very" and "always", 10 "never" (lines 576, 587).

That this interaction is also treated as disagreement between Kevin and Car- 12 ter by the rest of the group is further evinced by how it is closed. Although Carter's 13 "oh really" in line 605 could be a partial mitigation of the disagreement, Donna's 14 comment in lines 611–613 seems to occupy "saying something everyone can agree 15 with" in this position as a way of closing the argument or affirming that it is closed 16 and can be transitioned out of. This also marks a potential topic closing, which 17 the interviewer utilizes explicitly (lines 618–620). She makes a quick acknowl- 18 edgement, ends the topic, and previews an end to the interview, asking for final 19 comments. This is a typical way an interviewer might attempt to shift or end a 20 topic, while still allowing for more to be said – so as not to be seen as cutting 21 people off. This does not mean that the interviewer saw this segment of interac- 22 tion as inapposite to the goal of the interview, but her framing of the activity and 23 reference to the next phase (individual interviews) is the first moment in several 24 turns that the interview is clearly identifiable "as an interview." The disagree- 25 ment in the interview may seem off-topic, beside the point, or even like bad data, 26 bringing up a possibly too-subtle distinction between what constitutes a "cure" 27 compared to what the interviewer's question may have been looking for. But the 28 moment revealed a central conflict about drugs among people with HIV (see Ho 29 and Robles 2011), a conflict which could have been elided or simplified if this 30 moment had not happened. 31

The next excerpt from Group B features similar practices which do agreeing 32 stances. This excerpt begins with Leland telling a story about the difficulty with 33 drugs: disliking, but at the same time, being alive because of them. This leads to 34 a discussion of drug side effects, a common gripe among people taking multiple 35 medications for HIV. 36

(4)528 Leland: and then he say something (0.5)529 ((Name)) you are concerned (0.5)

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1	530		about things that they sa:y you might
2	531		[have but ]
3	532	Bill:	[you might have ((nodding))]
4	533	Leland:	you might ne↑ver have↑ them
5	534	I:	That's true that's true [mm hmm]
6	535	Leland:	[You know?]
7	536		(.3) And then he says the same thing
8	537		((extends an open hand at Henry))
9	538		[you might be alive today thanks to the
10	539	Henry:	[hm ((nods))
11	540	Leland:	medication you go to ((sweeps hand into air)) South Asia
12	541		or Africa they don't even have medi-
13	542		[even you know ] they don't even=
14	543	Bill:	[yeah ((shakes head)) yeah no]
15	544	Leland:	= have it. Hhhhh [so ]
16	545	Anne:	I make myself read all the [small] print
17	546		((holding hand in the air like holding a
18	547		pamphlet)) on the printout that I get (.)
19	548		when I have new medication
20	549	Leland:	uh huh
		Anne:	once
22	551	Leland:	uh huh
23	552	Anne:	and then I throw it away
24	553		[((throwing gesture))]
25	554	Leland:	-
26	555	Anne:	[because because ((nod)) ]
27	556	Bill:	[I throw it away ((small tossing gesture))]
28	557	Anne:	I jus I know I wanna know ((looks at I))
29	558		but I don't really [wanna] um ((finger
	559		snapping motions))[(2.0)
		Leland:	[I <u>know]</u>
	561		((slaps hand onto palm)) [I'm reading]=
		Henry:	[((nods))]
	563		[do I really wanna?
	564		[the minute that I know ]
		Leland:	= I'm reading [all those labels and I'm]
	566		going crazy ( )
		Anne:	[to dwell on em (.) yeah ]
	568	Bill:	((hand palm up)) the minute that I kn:ow
40	569		((Henry, Anne, Leland look at Bill))

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570 Bill:	((hands mimic skimming a list))	1
571	I start having them (.)	2
572	((I, Bill, Henry, Anne laughs, Leland smiles))	3
573 Bill:	I have <u>a::ll</u> this ((hands like checking	4
574	an item off a list)) Right now.	5
575	[Even before I take]	6
576 Leland	: [Yeah but (0.2) ]	7
577 I:	[Yeah you're like 'I <u>am</u> ] feeling itchy'	8
578	((I, Bill, Leland laugh, Henry,	9
579	Leland smile and look down))	10
580 Bill:	Eh [haa haa haa haaaaaaaaa]	11
581 Leland	[Yeah but. But I would like to say something]	12
582	what was the original question	13
		14

Leland's utterance (line 528) is relevant to what the interviewer just asked, 15 while directing gaze intermittently at other interviewees. By line 535, however, 16 Leland's turns are primarily addressing other interviewees, with the interviewer 17 almost a peripheral participant. Though present (off-camera) and possibly engaging nonverbally using eye contact or nodding, the interviewer makes no verbal 19 contributions after that point until line 577, silent except for one line of laughter 20 alongside others (line 572). 21

The interviewees address one another verbally and nonverbally throughout, 22 overlap in almost every turn, and display emotions of being amused and frus-23 trated toward the topic. They also display a sense of being animated, emotionally 24 invested. This is the clearest of several moments when this group do mundane 25 conversation in ways indistinguishable from ordinary talk (Koven 2011) regarding 26 sequential actions, turn taking, and embodiment. Even the interviewer, when 27 contributing, did so *as* an ordinary participant – her utterance is formulated in a 28 conversational style, not through her role as interviewer. 29

The participants recognize a distinction between an interview framing, and 30 what they have been doing. This is partly marked by their response to the inter-31 viewer's contribution, which is not enthusiastic, followed by laughter but no up-32 take. When the interviewer speaks (line 577), it signals a break in the interaction 33 (line 578–582). The participants may be treating her contribution as relevantly 34 linked to her role as the interviewer. Certainly they do not ratify her joining the 35 sharing of experiences which, indeed, she does not share. The interviewer nei-36 ther has HIV, nor neuropathy, nor complicated regimens of drugs. Though speak-37 ing for someone's experiences to align with them is a feature of ordinary talk, its 38 problematic occurrence here suggests the interviewer is not quite distinct from 39 her institutional role. The interviewer's contribution is received with laughter, 40

but Leland does not display uptake and his next turn asks about the original
question, orienting to the business of the interview (line 582). The interviewer
repeats the questions and the group returns, albeit briefly, to responding one-ata-time, as on a panel, orienting to the interviewer as the addressed recipient. It is
not clear exactly when Leland began to re-orient the group to the institutional
format. In line 576 for instance he says "yeah but", and we might see line 581 as a
repair of that. In either case, his utterance explicitly marks a format shift and
displays noticing the difference.

In this analysis, illustrative excerpts from the data displayed ways partici-9 pants do institutional and ordinary talk in a focus group interview setting through turn allocation, verbal and embodied orientation/addressivity, and emotion dis-11 plays. In conversational moments participants did not talk "as interviewees" or 12 "as interviewers." They did not orient to institutional goals, identities, or con-13 texts. They did not remark on their own talk as "on record" or "in answer to a 14 15 question." Certainly in many cases their talk was (or began as) being relevant to 16 a prior question by the interviewer, and certainly the topics they talked about were relevant to the frame of the interview in general and its clinical setting 17 18 (though as people who all share medical concerns this does not preclude their 19 covering the same topics in a mundane setting). Participants did treat this way 20 of talking as distinct from an "institutional format." "The interview" was treated as being linked to answering questions, one at a time, in response to the inter-21 viewer's questions. Thus, deviations from this were often explicitly commented 22 on at some point, as when someone says "what was the original question?". 23

Of significance is that by enacting and orienting to these format distinctions, participants demonstrated an array of techniques for managing the co-25 construction of stances while ostensibly delivering answers to the content of 26 interviewer questions. The institutional context was foregrounded and back-27 grounded variably across turns. This shifting between formats, and attending to 28 those shifts, offered participants methods of jointly formulating emergent top-29 30 ic-relevant stances indirectly to interviewer questions. This is of practical use to participants in the Garfinkelian (1967) view that it is part of how they make sense 31 of ordinary activities, but is also practical in that it offers material which may be 32 useful for focus group interviewers and analysts. 33

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## **36 5 Discussion**

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<sup>38</sup> In discussing how analysts can account for content *and* interaction in interviews,

- 39 Tracy and Robles (2010) offer one suggestion to consider "news at an angle" -
- 40 that the content sought through questions may be produced in interaction, but

emerge in indirect ways not obvious in participants' ostensible answers. Furthermore, Morgan (2010) claims that while many studies have championed the value 2 of analyzing focus group interaction, not enough studies offer detailed ways such 3 analyses can guide interview conduct. This paper analyzed the sequential production of the very distinction focus group practitioners label "more interactional" and considered specific ways participants orient to and accomplish that. 6 This section reflects on these findings, considers limitations and future directions, discusses contributions and implications, and offers suggestions regarding practical use of the findings. 9

Focus group practitioners, scholars, and other professionals can easily artic-10 ulate a difference between what appears to strictly "do the interview" and what 11 does not. This analysis furthered empirical evidence that such differences exist 12 and how they are produced (see Hester and Francis 2001), and suggests these differences have value for how interviews unfold, how participants can make use of conversational resources for ongoing institutional business, and how analysts can make sense of interviewee "answers" in light of content-related goals.

This analysis has limitations. For example, though the claims are based on 17 a range of data, the analysis focuses on a few key cases. However, these were selected deliberately: we do not make any claims regarding generalizability (see 19 Jaworski and Coupland 2006), but rather characterize how particular moments 20 unfolded across these data using excerpts where such moments were salient. 21 Furthermore, we offer reconstructions of a particular interactional and analytic 22 distinction relevant to the field. Specifically, this paper contributes to ongoing 23 discussions regarding the nature of institutional context and its procedural relevance for talk. 25

This paper also contributes to focus group practice and research in interactional and institutional goals of interview conduct. From a GPT perspective, analysts identify participant techniques for dealing with a situated problem and how their techniques relate to local ideals of practice. Though none of the practices in this analysis were treated as highly problematic, the received view for focus group interview practitioners is that conversational interactions and interview goals may be in tension. Participants certainly treated their talk as potentially "offtrack." Whether constituting a dilemma, the *distinction* provides an available resource, one which is drawn on by interviewers and interviewees to conduct themselves together. When engaging in acts locally produced as institutional asking-and-answering of questions, or doing moments of mundane conversation, participants can shift their footing (Goffman 1981; Koven 2011), locally attending to the institutionality recognizable *to them* and constructed *by them*. The institutional format for interviewing was treated as situationally consequential, and thus distinctions from it were marked as being built in different ways and with 40 different features. Interviewees in this analysis recognized goals and roles of the
 institutional setting; their talk was organized to at times match those expecta-

3 tions, and at times to disregard them.

Finally, this paper offers findings which may be useful to focus group partic-4 5 ipants and analysts. Morgan (2010) argues that interaction does not always need 6 to be a foregrounded goal of focus group conduct/analysis – depending on 7 research goals, content alone and quoting selected interviewee responses in 8 write-ups often suffices. However, Morgan identifies one kind of interaction he suggests is "almost always" important to attend to as interaction: when partici-9 10 pants rapidly give multiple, chaining responses, as analyzed in this paper. Ana-11 lysts of discourse and others who value interaction in focus groups suggest that 12 fostering interaction in focus groups is important in many ways, but many stop at 13 the stage of planning focus groups (e.g., Kratz 2010), offer inexplicit suggestions (e.g., Markova et al. 2007), or do not explain how their findings can be used in 14 15 practice or in analysis (with exceptions to the last point, i.e., Puchta and Potter 16 1999; Tracy and Robles 2010).

Interviewers may see it as their institutional task to frame interviews 17 18 (Wadensjö 2008), often as distinct from ordinary conversation (Roca-Cuberes 2011); while interviewees frequently attend to expected interview norms (Blum-19 20 Kulka 2009), they do not always do so. Of what potential practical use are participant orientations to and shifts between interactional formats? How can 21 22 researchers make the most of this when it is unexpected, or foster it when it is 23 desired? For interviewees, these format resources allow participants to switch be-24 tween "giving answers to the interviewer for institutional goals" and "having a 25 conversation." If interviewees sometimes "get conversational" in interviews it is <sup>26</sup> probably for a reason. An approach to research which aims to serve participants 27 as well as researchers should provide interviewees with the context and resources 28 to formulate their experiences and construct their stances jointly, together and with/to one another (e.g., Ho and Robles 2011). 29

For interviewers seeking to attend to these concerns, looking closely at when and how format shifts occur is pertinent to maintaining interaction *and* institutional focus. Learning to identify when participants orient to interviewing (interviewees orienting to the interviewer and institutional goals, one-after-another turn distribution, low displays of emotion) or conversation (interviewees orienting to one another, high overlap, co-constructed narratives, emotion displays) can help interviewers more readily track participants' meaning making as it unfolds. It also provides opportunities for interviewers to respond in different ways. The interviewer's moves in these data indicated attentiveness to the ideal of fostering interaction by at times encouraging the conversational format (minimally participating, not pursuing uptake, contributing as a co-participant

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(p. 462)

rather than as an interviewer) but also by eventually reorienting to the institu-1 tional nature of the interview (participating more substantively, pursuing uptake, 2 marking interviewer status, remarking on format shifts). In conducting focus 3 group interviews, moderators who attend to these moments can develop skills in 4 encouraging and reorienting. This can make attention to guiding interaction a 5 more explicit and teachable body of knowledge rather than relying on interviewer 6 talent or serendipity.

Attending to format shifts can also signal to analysts when participants 8 are providing useful answers to question content, and when they might be pro- 9 viding an unexpected angle by constructing a stance the interviewer would not 10 have known to seek and would not have designed into questions. Zorn et al. 11 (2006) argue that by moving from data collection (research-focused) to interac- 12 tion (participant-focused), scholars can use focus groups to simulate everyday 13 interaction, examine social processes, and analyze effects of influence in non- 14 decision making groups. Modan and Shuman (2011) also suggest that interview 15 participants may have their own equally relevant goals as much as the inter- 16 viewer does. Making space for the possibility of multiple goals among all partici- 17 pants allows the ongoing experience of the interaction to be just as fruitful as 18 what is gleaned from it. 19

# 6 Conclusion

A special issue of *Language in Society* (2011) investigated interviews as a site of 24 research analysis. In returning to Speer's (2002) questioning the "natural" and 25 "contrived" data distinction, DeFina and Perrino (2011) proposed that interviews 26 be on equal footing with so-called natural data in narrative analyses. These 27 scholarly conversations and others (e.g., Hester and Francis 2001; Watson 2009) 28 indicate ongoing practical and analytical issues to consider when it comes to con- 29 ducting and using research interviews, and that the issue of how to characterize 30 interaction and its relationship to institutional contexts continues to be one of 31 lively debate. 32

This paper used grounded practical theory and discourse analysis to analyze focus group interviews in a public health clinic. Using conversation analytic tech- 34 niques within grounded practical theory we discussed how participants build 35 different interactional formats, with implications for interviewing, analysis, and 36 participation practices in interview contexts.

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# 1 Appendix: Jeffersonian transcription notations

3 Source: Jefferson (1984).

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7.

Text [text]	Brackets	Indicates the start and end points of overlap-
[text]		ping speech.
=	Equal sign	Indicates no hearable pause between utter-
		ances.
(# of seconds)	Timed pause	A number in parentheses indicates the time,
(0.0)		in seconds, of a pause in speech.
(.)	Micropause	Indicates a brief pause, usually less than 0.2
		seconds.
	Period	Indicates falling pitch utterance-final.
? or ↑	Question mark	Indicates rising pitch utterance-final or internal
	or up arrow	(respectively).
-	Hyphen	Indicates an abrupt halt, cut-off, or interrup-
		tion in utterance.
0	Degree symbol	Indicates whisper or reduced volume speech.
text	Underlined text	Indicates the speaker is emphasizing or stress-
		ing the speech.
:::	Colon(s)	Indicates prolongation of an utterance.
(text)	Parentheses	Indicates speech which is unclear or in doubt
		in the transcript.
((text))	Double	Annotation of nonverbal activity such as smil-
	parentheses	ing, laughing, pointing, etc.
	[text] = (# of seconds) (0.0) (.) ? or ↑ o text :::: (text)	[text]Equal sign=Equal sign(# of seconds)Timed pause(0.0)Micropause(.)Period?or ↑PeriodQuestion mark or up arrow HyphenoDegree symbol Underlined textfirstColon(s)firstDouble

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