

Dynamic Decision Making in Lane Change: Game Theory with Receding Horizon

Fanlin Meng, Jinya Su, Cunjia Liu, and Wen-Hua Chen

Department of Aeronautical and Automotive Engineering

Loughborough LE11 3TU, U.K.

{F.Meng, J.Su2, C.Liu5, W.Chen}@lboro.ac.uk

Abstract—Decision making for lane change manoeuvre is of practical importance to guarantee a smooth, efficient and safe operation for autonomous driving. It is, however, challenging. On one hand, the behaviours of ego vehicle and adjacent vehicles are dependent and interactive. On the other hand, the decision should strictly guarantee safety during the whole process of lane change with uncertain and incomplete information in a dynamic and cluttered environment. To this end, the concept of Receding Horizon Control (RHC) is integrated into game theory in conjunction with reachability analysis tool, resulting in RHC based game theory. Specifically, the decision of each game relies on not only uncertain information at current step but also the future information calculated by reachability analysis. The decision is repeatedly made with the advent of new information using the concept of RHC. As a result, safety can be guaranteed during the whole process of lane change in a dynamic environment. Case study is conducted to demonstrate the advantages of the proposed approach. It is shown that the proposed RHC based game theory approach incorporating uncertain information can provide a safer and real-time decision.

Index Terms—Game theory, Lane change, Reachability analysis, Receding horizon, Safety assessment

I. INTRODUCTION

Lane-change manoeuvre, as one of the most important and commonly encountered automatic driving operations for autonomous vehicles, is receiving increasing attention in both academia and industry recently [1]. On one hand, the lane-change manoeuvre is a necessity for performing other more complicated operations such as leaving the road, overtaking another vehicle among others [2]. On the other hand, this manoeuvre is a major source of congestion and collisions [3]. Among many lane-change indexes (such as efficiency, comfort), safety is the highest priority, which must be strictly guaranteed in the whole process of lane change within an uncertain dynamic environment.

Conventional lane change models (e.g., Gipps Model [4] and MOBIL [5]) only assume one-direction impact of surrounding vehicles on the ego vehicle. This assumption may not be true in practice since the ego vehicle can also affect the decision of surrounding vehicles [6]. As a result, the lane change decision problem involves multiple vehicles interacting with each other. Game theory provides a promising framework for scenarios where interaction is involved [3] [6] [7]. Kita [6] pioneered the work of applying game theory to lane change decision in mandatory merging scenario, where the information about surrounding vehicles such as their velocities and distances is

assumed to be available. Recently, Vehicle-to-Vehicle (V2V) communications is used in [3] to improve drivers' awareness about surrounding traffic conditions and consequently lead to a safer and more efficient driving manoeuvre.

In this paper, however, no coordination (via V2V or vehicle to central station communication) is assumed, whereas the information about surrounding vehicles purely relies on the on-board sensors. This poses new research challenges. First, the information of surrounding vehicles at current step (e.g., position, velocity) inferred through filtering algorithms using on-board sensors is inevitably subject to errors due to many factors. For example, forward-looking radar devices for vehicle tracking may result in unsatisfying tracking accuracy due to low angular resolution; vision sensors are often vulnerable to poor weather and lighting conditions. *This leads to uncertainties at current step.*

Secondly, the future information of the surrounding vehicles is important for safety assessment in lane change decision. The commonly used approach is to predict vehicles' future information several seconds ahead based on the inferred information at current step. If perfect information about surrounding vehicles at current step and the kinematics model are known, trajectory prediction could be realized by a simple mathematical calculation [8]. However, as pointed out in [9], this assumption is not realistic in real environments. Apart from the uncertainties at current step, the physical model describing the vehicle movement is subject to uncertainties (e.g., driver's intentional or unintentional manoeuvre). *This leads to uncertainties in the prediction model.*

To account for the aforementioned uncertainties such that safety can be strictly guaranteed [10], uncertain interval models are adopted in this paper to capture the uncertainty at current step (i.e., position, velocity) and uncertainties in the prediction models (i.e., drivers' uncertain manoeuvre). On this basis, reachability analysis in [11] can be drawn to calculate all possible trajectories of the surrounding vehicles in future time horizon. Worst case analysis is explicitly derived such that the upper and lower bound of all possible trajectories can be determined in a more computation-efficient way. This is possible by exploiting the special structure of the reachability analysis problem under consideration, where no uncertainties appear in the system matrices. The calculated bound information is then used in the pay-off matrix calculation involved in the game theory model.

Furthermore, the surrounding environment for the ego vehicle is dynamically changing. As a result, a decision made at current step may be obsolete for the next several steps. Consequently, it is more useful and rational to repeatedly make decision with the advent of new information rather than implementing one fixed decision for the rest of steps. This strategy coincides with the idea of Receding Horizon Control (RHC) from control engineering [12]. In RHC, the decision is derived by repeatedly solving a constrained optimization problem over a moving N -step-ahead horizon based on the information at current step [13], where only the first action is applied to the system. At the next step, the time horizon is shifted one step forward and the same optimizing procedure for another N steps in the near future is repeatedly solved with new information.

Consequently, in this paper, the concept of RHC is integrated into game theory in conjunction with reachability analysis tool. At each step, the decision is made for the interactive players using game theory maximizing their mutual payoffs, where the uncertainties in the surrounding vehicles are effectively handled by reachability analysis. Then the concept of RHC is applied such that the decision is repeatedly made with the advent of new information. Simulation study is conducted, which shows that the proposed decision making strategy taking uncertainties into account can guarantee a safer decision in comparison with the one without using uncertain information. At the same time, it is also shown that by using the concept of RHC, an updated decision can be provided at each step with the advent of new information.

II. PROBLEM STATEMENT AND PRELIMINARIES

A. Problem Statement

There are two types of lane changes, i.e., Mandatory Lane Change (MLC) and Discretionary Lane Change (DLC). MLC occurs when a driver must change lane to follow a specified path due to lane closure ahead, while DLC occurs when a driver changes to a lane perceived to obtain better traffic conditions [1]. In this paper, DLC is considered.

Figure 1 shows a scenario of DLC by ego vehicle M . In this scenario, the speed of vehicle M is lower than its desired speed, which is limited by its leading vehicle L_a on Lane A and vehicle M plans to change from Lane A to Lane B to get a better driving condition, e.g., closer to its desired speed. However, the following questions should be addressed : 1) *Is it safe to make the lane change?* 2) *Is it worth making the lane change?*

Motivated by the observations from practical traffic situations where the ego vehicle can also affect the decisions of surrounding vehicles, we adopt a game theory approach to model the lane change decision problem which involves multiple vehicles interacting with each other.

The lane change game for the scenario in Figure 1 consists of two players, i.e., the ego vehicle M and the following vehicle F_b . Each vehicle makes decision by taking into account the other vehicles' potential response and consequently the game belongs to a two-player non-cooperative game.

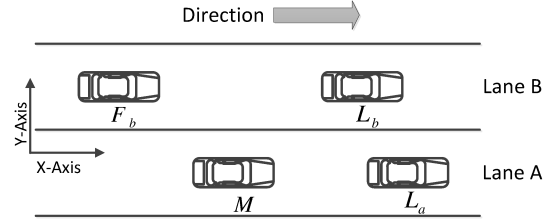


Fig. 1: Discretionary Lane Change Scenario.

B. Non-cooperative Game

In a non-cooperative game, each player makes decisions independently. Nash equilibrium (NE) is a solution concept of the non-cooperative game involving two or more players, in which no player has anything to gain by changing only their own strategy [14].

Let (S, f) be a game with n players, where S_i is the strategy set for player i , $S = S_1 \times S_2 \times \dots \times S_n$ is the set of strategy profiles and $f(x) = (f_1(x), \dots, f_n(x))$ is the pay-off function evaluated at strategy profile $x \in S$. Let $x_i \in S_i$ be the strategy of player i and x_{-i} be the strategies of all players except player i . When each player $i \in \{1, \dots, n\}$ chooses strategy x_i resulting in a strategy profile $x = (x_1, \dots, x_n)$, player i obtains pay-off $f_i(x)$. A strategy profile $x^* \in S$ is a Nash equilibrium if no unilateral deviation in strategy by any single player is profitable for that player [15] [16], that is:

$$\forall i, x_i \in S_i : f_i(x_i^*, x_{-i}^*) \geq f_i(x_i, x_{-i}^*).$$

C. Reachability analysis

Reachability analysis can potentially calculate all possible trajectories of a given uncertain system with initial state/parameter/input uncertainties [11]. Consider an uncertain system described by interval matrices

$$\dot{x} \in \mathcal{A}x + \mathcal{B}u, \quad (1)$$

where x is the uncertain state vector with uncertain initial value $x(0) \in X_0$ and $u \in U$ is the uncertain system input, interval matrix $\mathcal{A} = [\underline{A}, \bar{A}]$ and $\mathcal{B} = [\underline{B}, \bar{B}]$ are the uncertain system and input matrices respectively, with $\underline{A}, \underline{B}$ and \bar{A}, \bar{B} being their lower bound and upper bound matrices.

The exact state reachable sets $R^e(r)$ for a given time $t = r$ is formally defined as

$$R^e(r) = \{x(r) | x(t) = \int_0^t [Ax(\tau) + Bu(\tau)]d\tau, x(0) \in X_0, A \in \mathcal{A}, B \in \mathcal{B}, \forall t : u \in U\}.$$

Although exact reachable set computation can only be achieved for a limited class of systems, tools are available to over-approximate the reachable set in a tight way (see [11] and [17] among many others). It is a promising tool to conduct safety assessment considering the uncertain characteristics and safety-critical requirements in autonomous driving.

The point-mass kinematic equations of motion describing vehicle motion in the longitudinal direction [18] is given by

$$\underbrace{\begin{bmatrix} \dot{s} \\ \dot{v} \end{bmatrix}}_x = \underbrace{\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}}_A x + \underbrace{\begin{bmatrix} 0 \\ 1 \end{bmatrix}}_B u, \quad (2)$$

where s, v denote its position and velocity. Suppose that the uncertain intervals are given by $s(0) \in [s(0)_{min}, s(0)_{max}]$ with $s(0)_{wid} = s(0)_{max} - s(0)_{min}$ denoting its width, $v(0) \in [v(0)_{min}, v(0)_{max}]$ with $v(0)_{wid} = v(0)_{max} - v(0)_{min}$ and $u \in [u_{min}, u_{max}]$ with $u_{wid} = u_{max} - u_{min}$. As a result, Eq. (2) falls into the form of system (1) and the reachability analysis tool can be applied.

However, considering the special structure of Eq. (2) where uncertainties only appear in the input in an additive way and initial states rather than system matrices, the following simple mathematical manipulation can provide a more efficient way to calculate the upper and lower bound of the uncertain variables of interest. The solution of Eq. (2) at step t is given by

$$x(t) = e^{At}x(0) + \int_0^t e^{A(t-\tau)}Bu(\tau)d\tau, \quad e^{At} = \begin{bmatrix} 1 & t \\ 0 & 1 \end{bmatrix}.$$

from which, one can obtain $s(t)$ and $v(t)$, given by

$$\begin{cases} s(t) = s(0) + tv(0) + 0.5t^2u \\ v(t) = v(0)t + tu \end{cases}. \quad (3)$$

It follows from Eq. (3) that for all $t \geq 0$, $s(t)$ is a monotonic increasing function of $s(0), v(0)$ and u . As such, the upper and lower bounds of $s(t)$ are given by

$$\begin{cases} s(t)_{min} = s(0)_{min} + tv(0)_{min} + 0.5t^2u_{min} \\ s(t)_{max} = s(0)_{max} + tv(0)_{max} + 0.5t^2u_{max} \end{cases}.$$

III. OUR PROPOSED APPROACH

A. Lane Change Game Formulation

In our proposed lane change game, the interactions between the ego vehicle M and the following vehicle F_b are considered. We will first discuss the strategies of each player and then formulate their pay-off matrix.

Following [3] [6], we consider two strategies for the ego vehicle (i.e., change lane (CL) and not change lane (NCL)) and following vehicle (i.e., acceleration (AC) and deceleration (DE)). Deceleration can be seen as a courtesy yielding by the following vehicle while acceleration can be understood as not willing to give way. The pay-off matrix of this lane change game can be formulated as Table I.

TABLE I: Pay-off matrix of the proposed lane change game

Vehicle M \ Vehicle F_b	AC	DE
CL	E11, F11	E12, F12
NCL	E21, F21	E22, F22

B. Vehicle Information at time t_0 and t_T

1) *Vehicle Information at t_0 and Assumptions:* At decision time t_0 , we assume the initial information of vehicles are available as follows:

- The speed of vehicle M is v_M^0 and position of M is s_M^0 ;
- The speed of vehicle L_a is $v_{L_a}^0$ and position of L_a is $s_{L_a}^0$;
- The speed of vehicle L_b is $v_{L_b}^0$ and position of L_b is $s_{L_b}^0$;
- The speed of vehicle F_b is $v_{F_b}^0$ and position of F_b is $s_{F_b}^0$.

Assume vehicle M needs a duration of time T to finish the lane change manoeuvre. We denote $t_T = t_0 + T$.

When making lane change decisions for vehicle M at time t_0 , the future information (position/speed at t_T) of all the vehicles are needed to be taken into account.

It is further assumed that vehicles M, L_a and L_b are at constant speed in this study.

Assume that if vehicle F_b accelerates, it will choose a preferred acceleration of a_0^{ac} which is only known to F_b itself. However, the ego vehicle M cannot get this exact acceleration information of F_b . The acceleration of F_b perceived by the ego vehicle can be represented as $a^{ac} \in [a_{min}^{ac}, a_{max}^{ac}]$; Similarly, if F_b decelerates, it will choose a preferred deceleration of a_0^{de} which is only known to itself and the deceleration of F_b perceived by the ego vehicle is $a^{de} \in [a_{min}^{de}, a_{max}^{de}]$.

At time t_0 , vehicle M can get the velocity information of F_b as $v_{F_b}^0 \in [v_{min}^0, v_{max}^0]$ and the position as $s_{F_b}^0 \in [s_{min}^0, s_{max}^0]$ via on-board sensors.

2) *Estimated Vehicle Information at t_T :* Based on above vehicle information at time t_0 in conjunction with the reachability analysis tool discussed in Section II-C, we have the upper bound and lower bound of the position of vehicle F_b at time t_T perceived by vehicle M computed as follows, where Eq. (4) is for acceleration while Eq. (5) is for deceleration.

$$\begin{cases} s_{max}^{ac} = s_{max}^0 + v_{max}^0 + \frac{1}{2}a_{max}^{ac}T^2 \\ s_{min}^{ac} = s_{min}^0 + v_{min}^0 + \frac{1}{2}a_{min}^{ac}T^2 \end{cases}, \quad (4)$$

$$\begin{cases} s_{max}^{de} = s_{max}^0 + v_{max}^0 + \frac{1}{2}a_{max}^{de}T^2 \\ s_{min}^{de} = s_{min}^0 + v_{min}^0 + \frac{1}{2}a_{min}^{de}T^2 \end{cases}. \quad (5)$$

Furthermore, positions of vehicles M, L_a, L_b at time t_T are given as follows:

$$\begin{cases} s_M^T = s_M^0 + v_M^0 \times T \\ s_{L_a}^T = s_{L_a}^0 + v_{L_a}^0 \times T \\ s_{L_b}^T = s_{L_b}^0 + v_{L_b}^0 \times T \end{cases},$$

while positions of F_b at time t_T under its preferred acceleration a_0^{ac} and deceleration a_0^{de} , which are only accurately known to F_b itself, are given by

$$\begin{cases} s_{ac}^T = s_{F_b}^0 + v_{F_b}^0 \times T + \frac{1}{2}a_0^{ac} \times T^2 \\ s_{de}^T = s_{F_b}^0 + v_{F_b}^0 \times T + \frac{1}{2}a_0^{de} \times T^2 \end{cases}.$$

C. Pay-off Quantification for Ego Vehicle M

We define the length of the vehicles as L . The minimum safe gap between M and F_b for safe lane change is G_{F_b} , while the minimum safe gap between L_b and M for safe lane change is G_{L_b} . Both the safety and the potential speed gain of the ego vehicle are considered in quantifying its payoff.

Firstly, we quantify the payoffs of ego vehicle M if it changes lane based on *Rule 1* and *Rule 2*. Depending on how the following vehicle F_b acts, there are two payoffs for M . If F_b accelerates, the payoff of M is E11; if F_b decelerates, the payoff of M is E12. If it is safe for the ego vehicle M to make a lane change (i.e., the gaps between the ego vehicle and other related vehicles at time t_T are no less than any of

the minimum safe gaps), the payoffs of M are then quantified by its potential speed gain which is represented as the velocity difference between the leading vehicle on the target lane (i.e., L_b) and the leading vehicle on the current lane (i.e., L_a). Otherwise, a high penalty (-50 in this study) is applied.

- Rule 1: Rule for quantifying $E11$

<p>if $s_M^T - s_{max}^{ac} - L \geq G_{F_b}$ and $s_{L_b}^T - s_M^T - L \geq G_{L_b}$ then $E11 = v_{L_b}^0 - v_{L_a}^0$ else $E11 = -50$ end if</p>

- Rule 2: Rule for quantifying $E12$

<p>if $s_M^T - s_{max}^{de} - L \geq G_{F_b}$ and $s_{L_b}^T - s_M^T - L \geq G_{L_b}$ then $E12 = v_{L_b}^0 - v_{L_a}^0$ else $E12 = -50$ end if</p>

Secondly, we quantify the payoffs of ego vehicle M if it does not change lane. As the driving condition of M in this case does not change and is not affected by the potential actions of vehicle F_b , we set the payoffs of M (i.e., $E21$, $E22$) to zero. That is,

- $E21 = 0$
- $E22 = 0$.

D. Pay-off Quantification for Following Vehicle F_b

For following vehicle F_b , we consider safety and speed variation in quantifying its pay-off. Note that if vehicle M changes lane, the gap between F_b and M at time t_T will be checked first to guarantee the driving safety of F_b . If vehicle M does not change lane, the gap between F_b and L_b will be checked instead to guarantee the driving safety of F_b .

It is further assumed that the higher the speed variation of F_b , the lower the payoff. The above assumption is reasonable due to the fact that a higher speed variation will cause more inconvenience or disturbance to the driving conditions of the vehicle and therefore result in a lower payoff. In this study, the speed variation is defined as acceleration or the absolute value of deceleration of the following vehicle F_b .

Firstly, we quantify the payoffs of following vehicle F_b based on *Rule 3* and *Rule 4* if it accelerates. Depending on how the ego vehicle M acts, there are two payoffs for F_b . If M changes lane, the payoff of F_b is $F11$; if M does not change lane, the payoff of F_b is $F21$. If the driving safety of F_b is guaranteed, the payoffs of F_b are quantified by its speed variation which is represented as the reciprocal of its preferred acceleration. Otherwise, a high penalty (-50 in this study) is applied.

- Rule 3: Rule for quantifying $F11$

<p>if $s_M^T - s_{ac}^T - L \geq G_{F_b}$ then $F11 = \frac{1}{a_0^{ac}}$ else $F11 = -50$ end if</p>
--

- Rule 4: Rule for quantifying $F21$

<p>if $s_{L_a}^T - s_{ac}^T - L \geq G_{F_b}$ then $F21 = \frac{1}{a_0^{ac}}$ else $F21 = -50$ end if</p>
--

Secondly, we quantify the payoffs of following vehicle F_b according to *Rule 5* and *Rule 6* if it decelerates.

- Rule 5: Rule for quantifying $F12$

<p>if $s_M^T - s_{de}^T - L \geq G_{F_b}$ then $F12 = \frac{1}{abs(a_0^{de})}$ else $F12 = -50$ end if</p>

- Rule 6: Rule for quantifying $F22$

<p>if $s_{L_a}^T - s_{de}^T - L \geq G_{F_b}$ then $F22 = \frac{1}{abs(a_0^{de})}$ else $F22 = -50$ end if</p>

where $abs(a_0^{de})$ represents the absolute value of the deceleration rate a_0^{de} .

E. Lane Change Game Solution

The solution concept of this lane change game is Nash equilibrium (NE). We consider solving pure strategy NE for this game, where all players are playing pure strategies, i.e., each player chooses one strategy from its strategy set with a probability of one [16].

According to the definition of Nash equilibrium [14], a strategy profile is a Nash equilibrium only if each strategy in that strategy profile is a best response to all the other strategies in that same strategy profile. Following the above definition, a pure strategy Nash equilibrium for this lane change game can be analytically solved [19].

IV. CASE STUDY

In the section, case studies are conducted to demonstrate the advantages of the proposed lane change game model where the payoffs of players are quantified using reachability analysis. The vehicle information at time t_0 as well as some other parameters used in the simulation are given in Table II.

A. Decision at current step t_0

First, we compare the game behaviours of vehicle M under two different cases. The first case happens when reachability analysis is used by ego vehicle M to estimate the future position information of F_d at time t_T and to quantify the game payoff matrix accordingly. On the other hand, the second case assumes that vehicle M uses perceived point values other than intervals to estimate the position of F_d .

For the second case, we assume the perceived speed of vehicle F_d by vehicle M at time t_0 is 15.5 m/s, the perceived

TABLE II: Parameter Settings for Lane Change Game Simulations

Parameter	Description	Setting Value
L	Length of the vehicle	3.5 m
T	Lane change time duration	4 s
$v_{L_a}^0$	Constant speed of vehicle L_a	25 m/s
$s_{L_a}^0$	position of vehicle L_a at time t_0	60 m
$v_{L_b}^0$	Constant speed of vehicle L_b	30 m/s
$s_{L_b}^0$	position of vehicle L_b at time t_0	55 m
v_M^0	Constant speed of vehicle M	17 m/s
s_M^0	position of vehicle M at time t_0	40 m
$v_{F_b}^0$	Initial speed of vehicle F_b at time t_0 , only known to vehicle F_b	15 m/s
$s_{F_b}^0$	Position of vehicle F_b at time t_0 , only known to vehicle F_b	20 m
a_0^{ac}	Acceleration used by vehicle F_b if it accelerates	1.2 m/s ²
a_0^{de}	Deceleration used by vehicle F_b if it decelerates	-1.5 m/s ²
$v_{F_b}^0$	Speed interval of vehicle F_b at time t_0 estimated by the on-board sensors of vehicle M	[15, 16] m/s
$s_{F_b}^0$	Position interval of vehicle F_b at time t_0 estimated by the on-board sensors of vehicle M	[20, 22.5] m
a^{ac}	Acceleration interval of F_d perceived by ego vehicle M	[1, 2] m/s ²
a^{de}	Deceleration interval of F_d perceived by ego vehicle M	[-2, -1] m/s ²
G_{F_b}	Minimum safe gap between vehicle M and F_b if M changes lane	$3 \times L$
G_{L_b}	Minimum safe gap between vehicle L_b and M if M changes lane	$3 \times L$

 TABLE III: Pay-off matrix under first case at current step t_0 , interval based estimation of vehicle F_d via reachability analysis

Vehicle M \ Vehicle F_b	AC	DE
	CL	-50, 0.83
NCL	<u>0, 0.83</u>	0, 0.66

 TABLE IV: Pay-off matrix under second case at current step t_0 , point based estimation of vehicle F_d

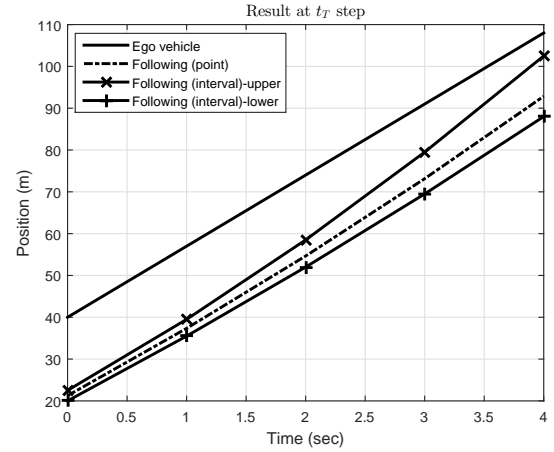
Vehicle M \ Vehicle F_b	AC	DE
	CL	<u>5, 0.83</u>
NCL	0, 0.83	0, 0.66

position of F_d at time t_0 is 21.25 m, the perceived acceleration of F_d at time t_0 is 1.2 m/s² and the perceived deceleration of F_d at time t_0 is -1 m/s².

Given above parameters settings, by using our proposed approach, the lane change game matrices and the resulting Nash Equilibria (underlined) are given in Tables III and IV.

One can see from Tables III and IV that in the first case, the decision of ego vehicle M is not to change lane, while the decision of M under the second case is to change lane.

These different game behaviours of M under the above two cases (note that vehicle F_b is accelerating in both cases) can be better understood by looking into Figure 2 where the position of the ego vehicle from time t_0 to t_T and the perceived positions of the following vehicle under both the point based estimation and the interval based estimation from time t_0 to


 Fig. 2: The predicted position of ego vehicle and following vehicle (following vehicle accelerates) based on information at time t_0 for a prediction horizon of time $T = 4$ seconds.

t_T are illustrated in Figure 2

As aforementioned, since vehicle M always tends to avoid collision with other vehicles and guarantee its safety in any situation, it will use worst case analysis when faced with uncertainties in decision making. As a result, under the first case, vehicle M uses the perceived upper bound position information of the following vehicle F_b to decide if it should change lane or not. As one can see from Figure 2, the distance between ego vehicle M and the following vehicle under the first case at the 4th second is less than the minimum gap G_{F_b} . To guarantee its own safety, M will choose not to change lane. This leads to a Nash equilibrium of (NCL , AC). On the contrary, the distance between M and F_d under second case is greater than the minimum safe gap G_{F_b} , which leads to a Nash equilibrium of (CL , AC).

In other words, the decision made via our proposed reachability analysis based game approach by taking the future uncertain information of surrounding vehicles into account is safer and can avoid any potential collisions in the lane change process.

B. Decision at next time step t_1

At the next time step, new information about the surrounding vehicles might be available. Consequently, following the idea of RHC, a new decision might need to be made by taking the new information into account. We suppose at time t_1 (i.e., the next time step), the newly measured position information about the vehicle F_b is available, given by [28, 30.2] m (the rest information of F_b remains the same, and all the information about other vehicles is just shifted one step ahead based on Table II). The lane change game matrix and the resulting Nash Equilibria (underlined) at time t_1 are given in Tables V and VI respectively.

For both the point based estimation case and the interval based estimation case, the decision of the ego vehicle M is to change lane. As one can see from Figure 3, the distance

TABLE V: Pay-off matrix under first case at t_1 , interval based estimation of vehicle F_d via reachability analysis

Vehicle M \ Vehicle F_b	AC	DE
CL	5, 0.83	5, 0.66
NCL	0, 0.83	0, 0.66

TABLE VI: Pay-off matrix under second case at t_1 , point based estimation of vehicle F_d

Vehicle M \ Vehicle F_b	AC	DE
CL	5, 0.83	5, 0.66
NCL	0, 0.83	0, 0.66

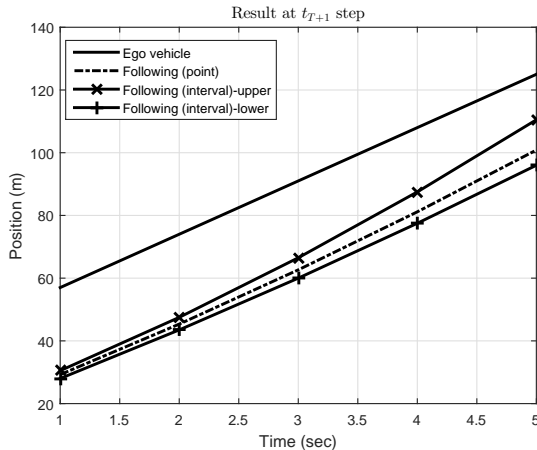


Fig. 3: The predicted position of ego vehicle and following vehicle (following vehicle accelerates) based on new information at time t_1 for a prediction horizon of time $T = 4$ seconds.

between the ego vehicle and the following vehicle under both cases at the 5th second is higher than the minimum gap G_{F_b} . This case study demonstrates that by using the concept of RHC, the decision can be changed/updated by taking new information into account. This is of particular importance for a safe and smooth lane change in a dynamic uncertain environment.

V. CONCLUSIONS AND FUTURE WORK

This paper proposed a safer and dynamic decision making strategy for lane change manoeuvre of autonomous vehicles using game theory. Considering the uncertain information of surrounding vehicles, reachability analysis is first drawn to calculate all the possible trajectories of surrounding vehicles, which is then used in the payoff calculation of game theory. The concept of Receding Horizon Control (RHC) is integrated into game theory such that the decision is repeatedly made with the advent of new information. As a result, safety can be strictly guaranteed during the whole process of lane change manoeuvre under dynamic uncertain environments. Comparison case study is conducted to demonstrate the advantages of the proposed approach. It is shown that the proposed RHC based game theory approach incorporating uncertain

information provides a safer and real-time decision. Future work will be done to reduce the uncertainties by learning the behaviour (e.g., driving styles) of surrounding vehicles using machine learning techniques so as to have a better understanding of the intentions of other drivers.

ACKNOWLEDGEMENT

This work is jointly supported by the UK Engineering and Physical Sciences Research Council (EPSRC) Autonomous and Intelligent Systems programme under the grant number EP/J011525/1 with BAE Systems as the leading industrial partner.

REFERENCES

- [1] K. I. Ahmed, *Modeling drivers' acceleration and lane changing behavior*. PhD thesis, Massachusetts Institute of Technology, 1999.
- [2] J. E. Naranjo, C. Gonzalez, R. Garcia, and T. De Pedro, "Lane-change fuzzy control in autonomous vehicles for the overtaking maneuver," *Intelligent Transportation Systems, IEEE Transactions on*, vol. 9, no. 3, pp. 438–450, 2008.
- [3] A. Talebpour, H. S. Mahmassani, and S. H. Hamdar, "Modeling lane-changing behavior in a connected environment: A game theory approach," *Transportation Research Part C: Emerging Technologies*, vol. 59, pp. 216–232, 2015.
- [4] P. G. Gipps, "A model for the structure of lane-changing decisions," *Transportation Research Part B: Methodological*, vol. 20, no. 5, pp. 403–414, 1986.
- [5] A. Kesting, M. Treiber, and D. Helbing, "General lane-changing model mobil for car-following models," *Transportation Research Record: Journal of the Transportation Research Board*, 2007.
- [6] H. Kita, "A merging-giveway interaction model of cars in a merging section: a game theoretic analysis," *Transportation Research Part A: Policy and Practice*, vol. 33, no. 3, pp. 305–312, 1999.
- [7] R. Elvik, "A review of game-theoretic models of road user behaviour," *Accident Analysis & Prevention*, vol. 62, pp. 388–396, 2014.
- [8] R. Miller and Q. Huang, "An adaptive peer-to-peer collision warning system," in *Vehicular technology conference, 2002. VTC Spring 2002. IEEE 55th*, vol. 1, pp. 317–321, IEEE, 2002.
- [9] D. Ferguson, M. Darms, C. Urmson, and S. Kolski, "Detection, prediction, and avoidance of dynamic obstacles in urban environments," in *Intelligent Vehicles Symposium, 2008 IEEE*, pp. 1149–1154, IEEE, 2008.
- [10] S. Arora, A. Raina, and A. Mittal, "Collision avoidance among agvs at junctions," in *Intelligent Vehicles Symposium, 2000. IV 2000. Proceedings of the IEEE*, pp. 585–589, IEEE, 2000.
- [11] M. Althoff, O. Stursberg, and M. Buss, "Reachability analysis of linear systems with uncertain parameters and inputs," in *Decision and Control, 2007 46th IEEE Conference on*, pp. 726–732, IEEE, 2007.
- [12] D. W. Clarke, "Advances in model-based predictive control," 1994.
- [13] X.-B. Hu and W.-H. Chen, "Receding horizon control for aircraft arrival sequencing and scheduling," *Intelligent Transportation Systems, IEEE Transactions on*, vol. 6, no. 2, pp. 189–197, 2005.
- [14] J. Nash, "Non-cooperative games," *Annals of mathematics*, pp. 286–295, 1951.
- [15] Wikipedia, "Nash equilibrium — wikipedia, the free encyclopedia," 2016. [Online; accessed 20-February-2016].
- [16] M. J. Osborne and A. Rubinstein, *A course in game theory*. MIT press, 1994.
- [17] J. Su and W.-H. Chen, "Fault diagnosis for vehicle lateral dynamics with robust threshold," in *IEEE International Conference on Industrial Technology*, Accepted, 2016.
- [18] M. Jalalmaab, B. Fidan, S. Jeon, and P. Falcone, "Model predictive path planning with time-varying safety constraints for highway autonomous driving," in *Advanced Robotics (ICAR), 2015 International Conference on*, pp. 213–217, IEEE, 2015.
- [19] R. W. Rosenthal, "A class of games possessing pure-strategy nash equilibria," *International Journal of Game Theory*, vol. 2, no. 1, pp. 65–67, 1973.