

#### The Development of Post-Electroplating Surface Modification Treatments to Mitigate Tin Whisker Growth

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Electronic Materials and Processes for Space (EMPS) Workshops

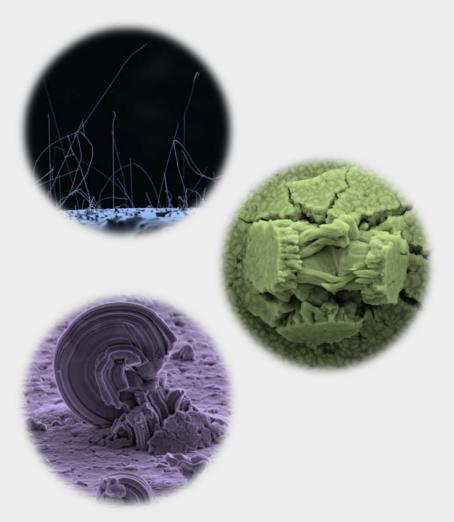
#### The Seventh Workshop, EMPS-7

The University of Portsmouth, Portsmouth, UK

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# **Outline of presentation**

- Background
- Project objectives
- Experimental approach
- Results and discussion
- Summary





# Factors that influence whisker growth

- Electroplating bath chemistry
  - Pure tin or tin alloy (Sn-Pb, Sn-Bi, Sn-Cu etc)
  - Use of brighteners
- Electroplating parameters
  - Current density, temperature, agitation
- Deposit characteristics
  - Grain size and morphology, orientation, deposit thickness, Sn oxide
- Substrate
  - Cu, brass, alloy 42
  - Intermetallic formation, elemental diffusion from substrate
- Environmental conditions
  - Temperature, humidity, thermal cycling, applied external stress



#### The role of the surface oxide in whisker growth

- In 1994, Tu proposed his "cracked oxide theory" <sup>1</sup>
  - whisker growth occurs at certain weak spots on the surface where the oxide has been broken
  - In the absence of an oxide no whisker growth would occur
- Later adding <sup>2</sup> ...
  - "if the surface oxide is very thick, it will physically block the growth of any hillocks and whiskers"
- Can we mitigate whisker growth by increasing the thickness of the surface oxide??

<sup>1</sup> Physical Review B 49, 2030, 1994

<sup>2</sup> Proceedings of the IEEE Electronic Components and Technology Conference, 2002 p1194–1200



# **Aims and objectives**

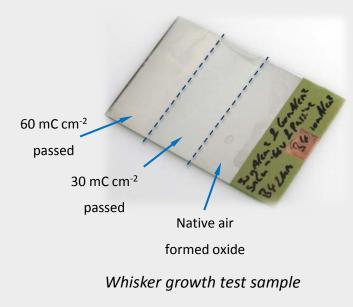
Investigate the effect of post-electroplating surface treatments on whisker growth from tin and tin alloy electrodeposits

- Use electrochemical oxidation and conversion coating techniques to develop surface oxides
- Evaluate the effect of process variables on oxide thickness
- Evaluate the ability of the applied surface treatments to mitigate whisker growth



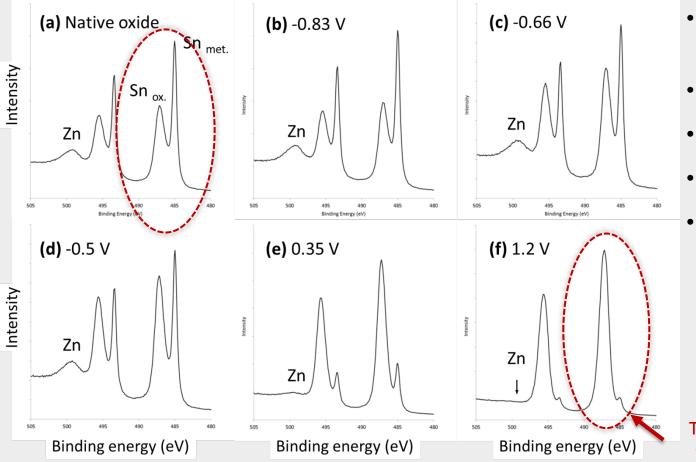
# **Experimental approach**

- Surface modification treatments applied to electrodeposited Sn and Sn-Cu coatings
  - Electrochemical oxidation in pH 8.4 borate buffer and potassium carbonate/bicarbonate solutions
  - Application of a molybdate conversion coating
- XPS analysis to evaluate effect of process variables on the composition and thickness of the oxide layers formed
- Evaluate the effect of the surface modification treatment on whisker growth using SEM and optical microscopy





#### Effect of oxidation potential on oxide thickness



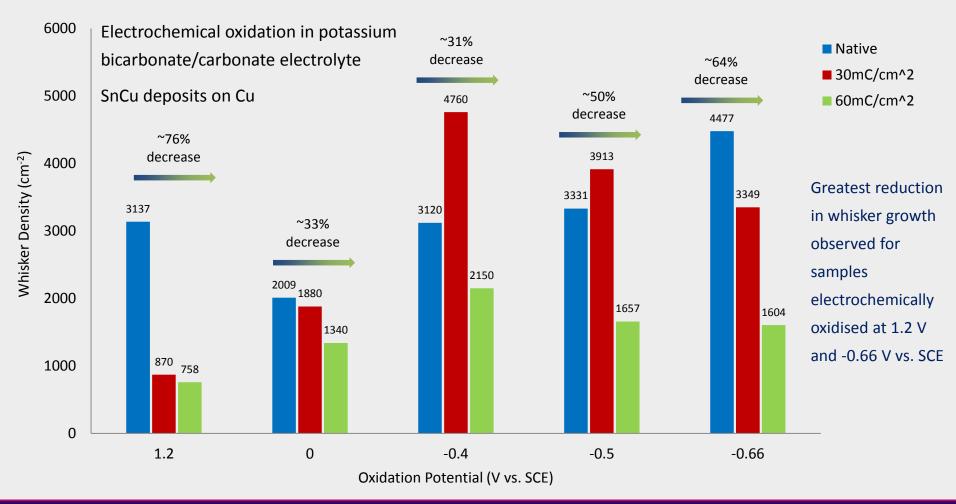
- High resolution XPS scans of the Sn 3d peak
- Equivalent charge passed
- 2 μm Sn deposits on brass
- 1 day after deposition
- Electrochemical oxidation in potassium bicarbonatecarbonate electrolyte

Thickest <u>Sn oxide</u> formed at 1.2 V



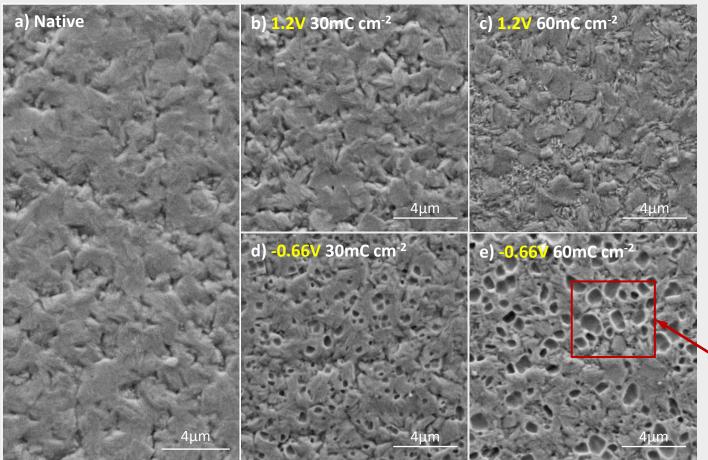
### Effect of oxidation potential on whisker growth

#### Whisker growth evaluated after ~ 14 months





# **Optimisation of oxidation potential**

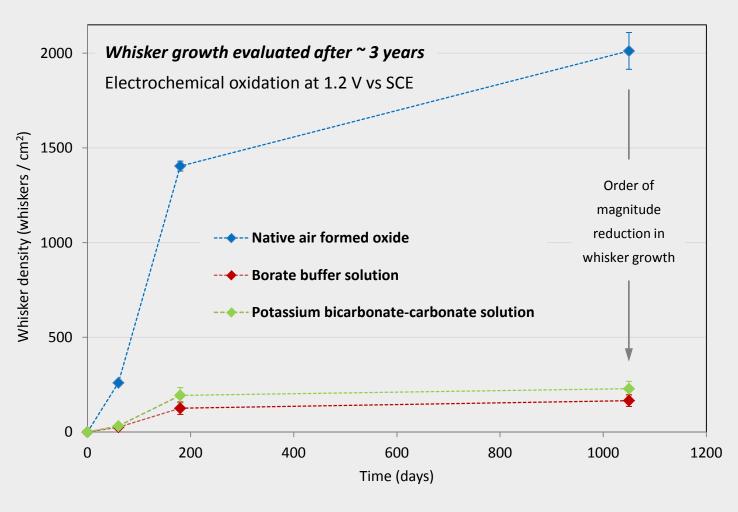


Electrochemical oxidation at 1.2 V vs. SCE is preferred since it does not result in dissolution of the Sn electrodeposit

Sn dissolution occurs at -0.66 V vs SCE



#### Effect of electrochemical oxide on whisker growth

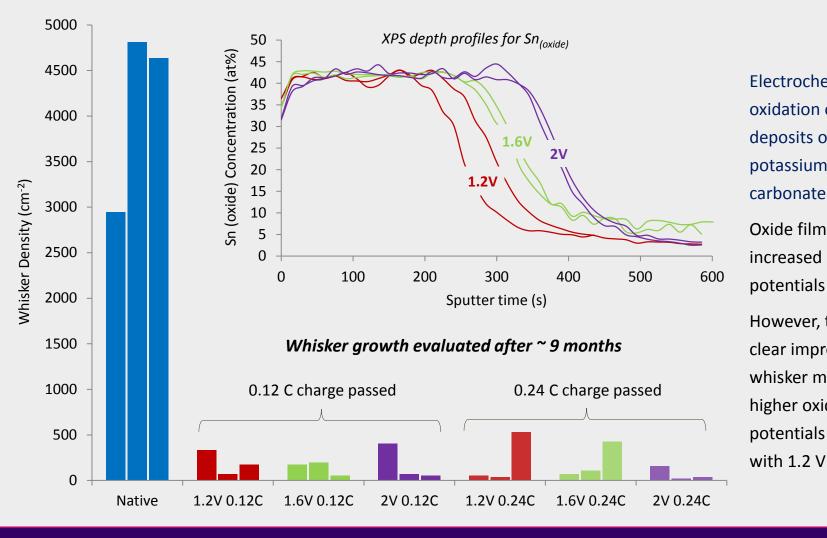


2 µm SnCu deposits on Cu electroplated at 10 mAcm<sup>-2</sup> Whisker growth is considerably reduced for samples given a post electroplating oxidation treatment at 1.2 V vs SCE Comparable reduction in whisker growth achieved for borate buffer and potassium bicarbonatecarbonate solutions Still effective at mitigating

whisker growth after ~ 3 years



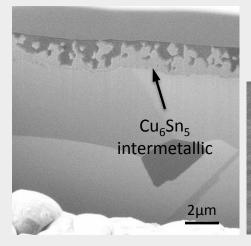
#### **Electrochemical oxidation at higher potentials**



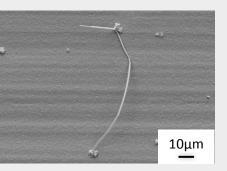
Electrochemical oxidation of SnCu deposits on Cu in potassium bicarbonatecarbonate electrolyte Oxide film thickness is increased at higher potentials However, there is no clear improvement in whisker mitigation at higher oxidation potentials compared

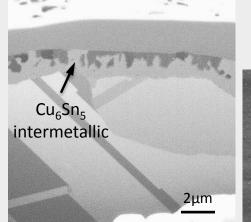


# Whisker mitigation mechanism

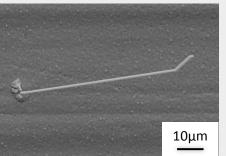


#### Native air formed oxide





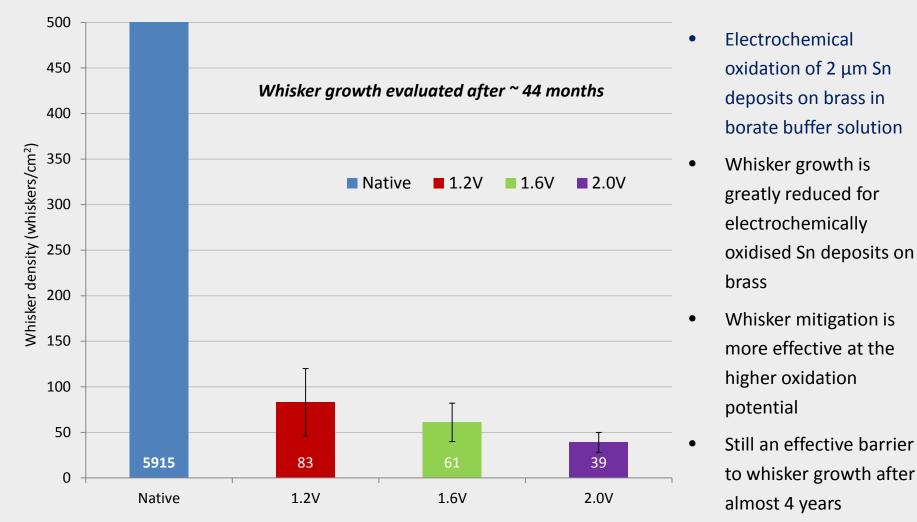
**Electrochemical oxidation** at 1.2V borate buffer



- IMC formation appears unaffected by electrochemical oxidation
- Whisker mitigation must simply be derived from the increased thickness of the oxide layer
- Although whisker density is greatly reduced for electrochemically oxidised SnCu deposits, long filament whiskers are still produced
- Electrochemical oxidation does not reduce the driving force for tin whisker growth (*intermetallic formation*)



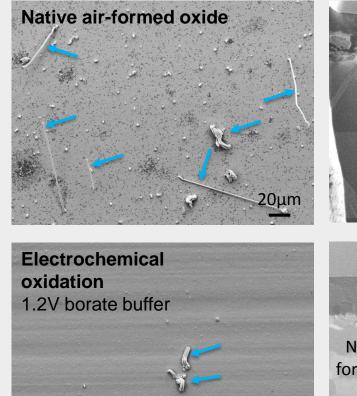
## Whisker mitigation: Sn deposits on brass

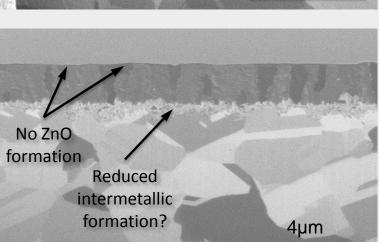


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# **Sn deposits on brass**

20µm





Large

intermetallics

Pronounced

ZnO

formation

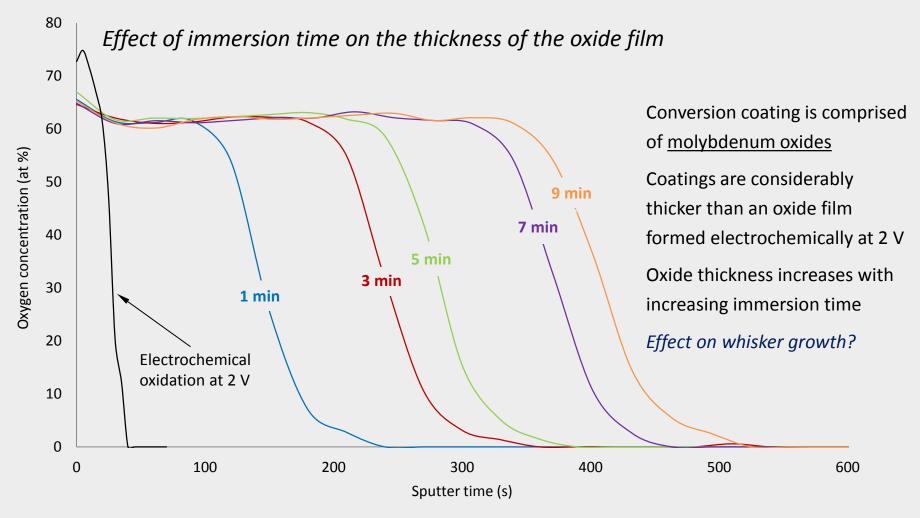
4µm

For Sn deposits on brass, electrochemical oxidation reduces whisker growth by preventing the formation of Zn oxide at the deposit surface Reduced IMC formation in electrochemically oxidised samples may also influence

whisker formation



# **Molybdate conversion coatings**





## Effect of molybdate conversion coatings

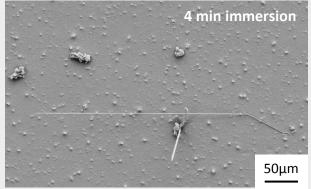
- preliminary whisker growth results

Whisker growth evaluated after ~ 2 months

1 min 2 min Native oxide immersion immersion 900 Native Area 1 Area 2 800 4 min 700 3 min immersion immersion Whisker Density (cm<sup>-2</sup>) 600 Native 500 400 300 200 100 0 Sample 2 Sample 1

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 Preliminary results indicate that immersion molybdate conversion coatings (up to 4 min immersion) do not mitigate whisker growth

# Summary

- Long term whisker mitigation (~ 3 years) has been demonstrated for electrochemically oxidised SnCu deposits on Cu
- Electrochemical oxidation also significantly reduces whisker growth for Sn deposits on brass
- The thickness of the oxide film developed by electrochemical oxidation is dependent upon the applied potential and charge passed.
- Comparable reductions in whisker growth were observed for deposits electrochemically oxidised in borate buffer and potassium bicarbonate-carbonate electrolyte solutions
- Initial results suggest that, although considerably thicker, molybdate conversion coatings formed by immersion are less able to mitigate whisker growth



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# Any questions?



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