

1     **The biophysical and physiological basis for mitigated elevations in heart rate with electric**  
2                                   **fan use in extreme heat and humidity**

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36 **ABSTRACT**

37 Electric fan use in extreme heat wave conditions has been thought to be disadvantageous because  
38 it might accelerate heat gain to the body via convection. However, it has been recently shown  
39 that fan use delays increases in heart rate even at high temperatures (42°C) in young adults. We  
40 here assess the biophysical and physiological mechanisms underlying the apparently beneficial  
41 effects of fan use. Eight males (24±3 y; 80.7±11.7 kg; 2.0±0.1 m<sup>2</sup>) rested at either 36°C or 42°C,  
42 with (F) or without (NF) electric fan use (4.2 m/s) for 120 min while humidity increased every  
43 7.5 min by 0.3 kPa from a baseline value of 1.6 kPa. Heart rate (HR), local sweat rate (LSR),  
44 cutaneous vascular conductance (CVC), core and mean skin temperatures, and the combined  
45 convective/radiative heat loss (C+R), evaporative heat balance requirements (E<sub>req</sub>) and maximum  
46 evaporative potential (E<sub>max</sub>) were assessed. C+R was greater with fan use at 36°C (F: 8±6, NF:  
47 2±2 W·m<sup>-2</sup>; P=0.04) and more negative (greater dry heat gain) with fan use at 42°C (F: -78±4,  
48 NF: -27±2 W·m<sup>-2</sup>; P<0.01). Consequently E<sub>req</sub> was lower at 36°C (F: 38±16, NF: 45±3 W·m<sup>-2</sup>;  
49 P=0.04) and greater at 42°C (F: 125±1, NF: 74±3 W·m<sup>-2</sup>; P<0.01) with fan use. However, fan use  
50 resulted in a greater E<sub>max</sub> at baseline humidity at both 36°C (F: 343±10, NF: 153±5 W·m<sup>-2</sup>;  
51 P<0.01) and 42°C (F: 376±13, NF: 161±4 W·m<sup>-2</sup>; P<0.01) and throughout the incremental  
52 increases in humidity. Within the humidity range that a rise in HR was prevented by fan use but  
53 not without a fan, LSR was higher in NF at both 36°C (P=0.04) and 42°C (P=0.05), and skin  
54 temperature was higher in NF at 42°C (P=0.05), but no differences in CVC or core temperatures  
55 were observed (all P>0.05). These results suggest that the delayed increase in heart rate with fan  
56 use during extreme heat and humidity is associated with improved evaporative efficiency.

57

58 **INTRODUCTION**

59 Over the past 20 years, heat waves - characterized by extended bouts of extreme heat and  
60 humidity - have led to high levels of excess morbidity and mortality in the United States  
61 (Whitman et al. 1997), Europe (Fouillet et al. 2006), Australia (Nitschke et al. 2011), and most  
62 recently India and Pakistan (Lancet 2015). Cardiovascular events are consistently identified as an  
63 underlying cause of heat-related mortality and morbidity (Bouchama et al. 2007; Hajat et al.  
64 2010), with those who do not have access to air conditioning being particularly vulnerable.  
65 Moreover, the high electricity requirements associated with widespread air conditioning use by  
66 the majority of households in urban areas during heat waves have in some cases led to massive  
67 power failures (Luber and McGeehin 2008), and a consequent surge in morbidity and mortality  
68 rates (Schuman 1972; Hartz et al. 2012). It is therefore evident that affordable and energy  
69 efficient cooling strategies (Kravchenko et al. 2013) are urgently needed to mitigate  
70 cardiovascular strain during heat waves.

71 Electric fans provide a simple cooling intervention at a fraction of the price and energy  
72 requirement of modern air conditioning (Gupta et al. 2012; Salamanca et al. 2014). However,  
73 current heat management guidelines from public health agencies such as the World Health  
74 Organization, United States Environmental Protection Agency, and The Centers for Disease  
75 Control and Prevention typically advise against fan use at air temperatures above 35 to 37°C as  
76 they are thought to, at best, be ineffective (Wolfe 2003; CDC 2004), and at worst, exacerbate  
77 physiological strain and the risk of heat illness and dehydration (Wolfe 2003; Matthies et al.  
78 2008; Victorian Government Department of Health 2013). We recently demonstrated that  
79 electric fan use at air temperatures up to 42°C delays heat-induced elevations in heart rate in  
80 young healthy males (Ravanelli et al. 2015). However, the underlying physiological and

81 biophysical mechanisms for the protective effect of electric fans at high air temperatures and  
82 humidity were not determined.

83         When ambient temperature exceeds skin temperature, which in a hot environment will  
84 typically be ~35°C (Gagge et al. 1937), heat will be gained via convection. With fan use, this  
85 environmental heat load will be added to the body at a faster rate. However, fan use favours  
86 elevated rates of sweat evaporation. Importantly, increased levels of sweat evaporation with fan  
87 use can be achieved without the need for greater sweat production through improvements in  
88 evaporative efficiency - the amount of sweat that evaporates relative to the amount produced  
89 (Adams et al. 1992). In contrast, not using a fan would lead to decrements in evaporative  
90 efficiency and therefore greater sweat rates to overcome compromised sweat evaporation  
91 (Candas et al. 1979b). Since greater sweat rates are generally accompanied by greater cutaneous  
92 vasodilation (Wingo et al. 2010; Smith et al. 2013), it is possible that the delayed increase in  
93 heart rate with fan use during passive heat exposure is associated with less peripheral  
94 vasodilation and therefore less of a need for cardiac output to increase in order to maintain blood  
95 pressure.

96         The purpose of the present study was to i) evaluate how changes in physiological heat  
97 loss responses and human heat balance are altered by electric fan use during simulated extreme  
98 heat wave conditions; and ii) identify how fan use previously resulted in a lower heart rate  
99 (Ravanelli et al. 2015) at air temperatures equal to (36°C), and far exceeding (42°C) the limits  
100 for fan use presently stated in public health recommendations (CDC 2004; WHO 2009). It was  
101 hypothesized that improved sweat evaporation with fan use outweighs greater convective heat  
102 gain, leading to a lower requirement for skin blood flow and sweat production.

103

104 **METHODS**

105           The data presented in the current manuscript were collected as part for a larger study  
106 examining humidity inflection points for heart rate and core temperature with and without fan  
107 use (Ravanelli et al. 2015). Eight healthy, normotensive, non-smoking young males, with no pre-  
108 existing cardiovascular, metabolic, or neurological issues participated in the study (age:  $24\pm 3$  y;  
109 mass:  $80.7\pm 11.7$  kg; height:  $1.77\pm 0.05$  m; BSA:  $1.98\pm 0.14$  m<sup>2</sup>). All participants completed one  
110 preliminary visit and four experimental trials. The experimental protocol was approved by the  
111 University of Ottawa Research Ethics Board, and conformed to the guidelines set forth in the  
112 1964 Declaration of Helsinki. All participants provided written informed consent prior to their  
113 participation in the study. Participants were instructed to avoid vigorous exercise or physical  
114 activity 24 hours prior, refrain from alcohol 12 hours prior, eat a light meal, and avoid any  
115 caffeinated beverages at least 6 hours prior to testing. The preliminary visit consisted of  
116 providing informed consent and anthropometric measurements (weight and height) to estimate  
117 body surface area (DuBois and Dubois 1916).

118 **Instrumentation**

119           Rectal temperature was measured using a thermistor probe (Mon-a-therm®, Mallinckrodt  
120 Medical, St. Louis, MO) inserted to a depth of 20 cm past the anal sphincter. Esophageal  
121 temperature was measured using a thermistor probe (Mon-a-therm®, Mallinckrodt Medical, St.  
122 Louis, MO) inserted through the nasal cavity into the esophagus. The end of the thermistor probe  
123 was estimated to be located at a region nearest the left ventricle (Mekjavic and Rempel 1990).  
124 Skin temperature was measured using four thermistors (Concept Engineering, Old Saybrook, CT,  
125 USA) which were secured to the skin using surgical tape (Transpore®, 3M, London, ON). Mean  
126 skin temperature was calculated as the weighted average of four sites using the formula reported

127 by Ramanathan (1964): chest 30%, triceps 30%, thigh 20%, and calf 20%. Temperature  
128 measurements were sampled every 5 s (NI cDAQ-91722 module, National Instruments, Austin,  
129 TX) and displayed in real-time on a desktop computer using customized LabView software  
130 (v7.0, National Instruments, Austin, TX).

131 Heart rate was measured using cardio-recorder (Polar RS 800, Polar electro Oy,  
132 Kempele, Finland) and coded transmitter (Polar wearlink T31 coded, Polar electro Oy, Kempele,  
133 Finland) which recorded every 5 seconds. The recording was downloaded to a desktop computer  
134 using the manufacturer's software (Polar ProTrainer Versions 5.40.172, Kempele, Finland) and  
135 averaged every minute. Systolic and diastolic blood pressures were measured using an automated  
136 cuff (E-Sphyg II 9002, American Diagnostic Corporation, Hauppauge, NY, USA) at baseline,  
137 and at the end of each humidity stage during the ramp protocol. Mean arterial pressure was  
138 subsequently calculated as:

$$139 \quad (1/3 \times \text{systolic blood pressure}) + (2/3 \times \text{diastolic blood pressure}) \text{ [mmHg]} \quad (1)$$

140 Skin blood flow was measured using Laser Doppler Flowmetry probes (Small Angled  
141 Thermostatic Probe #457, Perimed, Järfälla, Sweden) placed on the chest and forearm. Skin  
142 blood flow perfusion units were displayed by the Laser Doppler Perfusion Monitor (Periflux  
143 System 5000, Perimed, Järfälla, Sweden) and simultaneously recorded at a sampling rate of 5  
144 seconds by the manufacturers software (Perisoft for Windows Version 2.5.5, Perimed, Järfälla,  
145 Sweden). Skin blood flow was averaged between recordings from the chest and forearm and  
146 expressed as i) absolute values and ii) cutaneous vascular conductance, which was derived as the  
147 quotient of perfusion units and mean arterial pressure.

148           Local sweat rates of the chest and forearm were measured using ventilated sweat  
149 capsules. Anhydrous air was supplied through each 4.1-cm<sup>2</sup> capsule at a rate of 1.2 L/min (chest)  
150 and 1.4 L/min (forearm). Capsules were secured to the skin using surgical tape. The temperature  
151 and humidity of the air leaving both capsules were measured by individually factory calibrated  
152 capacitance hygrometers (HMT333, Vaisala, Vantaa, Finland). Local sweat rates were calculated  
153 as the product of flow rate and effluent absolute humidity, and expressed relative to the amount  
154 of skin surface covered by the capsule (mg/cm<sup>2</sup>/min). Local sweat rate was expressed as the  
155 average between chest and forearm.

## 156 **Experimental protocol**

157           All trials were performed in a climatic chamber that precisely regulated ambient air  
158 temperature and absolute humidity, situated at the Thermal Ergonomics Laboratory at the  
159 University of Ottawa in Canada. During the fan trials, an 18” diameter mechanical fan  
160 (Whirlpool, Benton Harbor, MI, USA) was set at full speed and placed 1.0 m directly in front of  
161 the participant. The mean whole body air velocity (4.2 m/s) generated by the fan was derived  
162 from calculations of the convective heat transfer coefficient ( $h_c$  – see equation 4) using  
163 measurements of convective heat loss (see equation 3) in a 15°C environment using a 34 zone  
164 thermal manikin (NEWTON; Measurement Technology Northwest, Seattle, USA) at the  
165 Environmental Ergonomics Centre at Loughborough University, UK. The four experimental  
166 trials were i) 36°C with fan (36F); ii) 36°C with no fan (36NF); iii) 42°C with fan (42F); and iv)  
167 42°C with no fan (42NF). The experimental trials were presented in a balanced order determined  
168 using a Latin square design. All trials were separated by at least 48 h. Upon arrival at the  
169 laboratory, participants provided a urine sample to ensure euhydration and similar hydration  
170 states between trials by measuring urine specific gravity with a refractometer (Reichert TS 400,

171 Depew, NY). All urine specific gravity measurements were lower than 1.025 (Kenefick and  
172 Chevront 2012) and were similar between experimental trials for each person ( $\pm 0.002$ ). Each  
173 participant wore a standardized t-shirt and shorts and sat on a plastic chair that covered part of  
174 their back and upper rear thigh. The dry insulation (with fan: 0.04 clo; without fan: 0.10 clo) and  
175 evaporative resistance ( $0.01 \text{ m}^2\text{kPa/W}$ ) of this standardized ensemble was measured using a  
176 thermal manikin at Loughborough University, UK. During the 36NF and 42NF trials,  
177 participants sat behind a 122 cm high barrier to ensure still ( $<0.1 \text{ m/s}$ ) air flow around them.  
178 Throughout all trials, ambient air velocity was measured using a hot wire anemometer  
179 (VelociCalc 9535, TSI Inc, Shoreview MN, USA) positioned  $\sim 20 \text{ cm}$  anterior to the participants  
180 torso.

181 Each trial began with the participant entering the climatic chamber regulated at a  
182 temperature of either  $36^\circ\text{C}$  or  $42^\circ\text{C}$ , and an ambient vapor pressure of 1.6 kPa, and sitting quietly  
183 for 45 min. An initial body mass measurement was then taken using a platform scale (Combics 2,  
184 Sartorius, Mississauga, ON, Canada). Following a further 20 min at a vapor pressure of 1.6 kPa,  
185 vapor pressure was increased in a step-wise fashion by 0.3 kPa every 7.5 minutes (Kenney et al.  
186 1993) until 5.6 kPa, at which point the participant's body mass was once again measured and a  
187 urine sample was obtained. The duration of each trial (excluding the 45-min baseline rest) was  
188 120 min. Table 1 illustrates the ambient temperature and absolute humidity for each stepwise  
189 increase in humidity.

## 190 **Partitional Calorimetry**

191 Heat balance was estimated using partitional calorimetry and parameters are presented as  
192 the mean values for each condition. Metabolic heat production ( $H_{\text{prod}}$ ) was not measured and was  
193 assumed to be  $1.2 \text{ W/kg}$  of total body based on the following equation:



194 
$$H_{prod} = VO_2 \cdot \frac{\left(\left(\frac{RER - 0.7}{0.3}\right)e_c\right) + \left(\left(\frac{1.0 - RER}{0.3}\right)e_f\right)}{60 \cdot A_D} \cdot 1000 \text{ [W/m}^2\text{]} \quad (2)$$

195

196 Where oxygen consumption ( $VO_2$ ) was estimated as 3.5 ml/kg/min, the respiratory exchange  
197 ratio (RER) was assumed to be 0.85,  $e_c$  is the caloric equivalent per liter of oxygen for the  
198 oxidation of carbohydrates (21.13 kJ per L of  $O_2$  consumed),  $e_f$  is the caloric equivalent per liter  
199 of oxygen for the oxidation of lipids (19.62 kJ per L of  $O_2$  consumed).

200 Convective heat exchange from the skin,  $C$ , was calculated as (Kerslake, 1972):

201 
$$C = h_c \cdot (T_{sk} - T_a) \text{ [W/m}^2\text{]} \quad (3)$$

202 Where:  $h_c$  is the convective heat transfer coefficient for an individual facing an air velocity  
203 (Mitchell 1974):

204 
$$h_c = 8.3 \cdot v^{0.6} \text{ [W/m}^2\text{/K]} \quad (4)$$

205 Where:  $v$  is mean air velocity derived using a thermal manikin (4.2 m/s). During the 36NF and  
206 42NF trials air velocity was less than 0.2 m/s and  $h_c$  was assumed to be 3.1 W/m<sup>2</sup>/K (Parsons  
207 2002):

208 Radiant heat transfer ( $R$ ) was estimated by:

209 
$$R = h_r \cdot (T_{sk} - T_r) \text{ [W/m}^2\text{]} \quad (5)$$

210 Where:  $h_r$  (radiant heat transfer coefficient) in W·m<sup>-2</sup>·K<sup>-1</sup> is estimated using the following:

211 
$$h_r = \varepsilon \cdot 4\sigma \cdot (A_r/A_D) \cdot ((T_{sk} + T_r)/2 + 273.15)^3 \text{ [W/m}^2\text{/K]} \quad (6)$$

212 Where:  $\varepsilon$  is the area weighted emissivity of the body surface (0.95),  $\sigma$  is the Stefan-Boltzmann  
213 constant ( $5.67 \times 10^{-8} \text{ W/m}^2/\text{K}^4$ ),  $A_r/A_D$  is the effective radiative surface area (ND) which can be  
214 estimated as 0.70 for a seated person (Fanger 1967), and  $T_{sk} + T_r$  is the sum of the mean skin  
215 temperature and mean radiant temperature ( $^{\circ}\text{C}$ ), assumed to be equivalent to  $T_a$  ( $^{\circ}\text{C}$ ).

216 Respiratory heat loss was estimated using the following:

$$217 \quad E_{res} + C_{res} = 0.0173 \cdot (H_{prod}) \cdot (5.87 - P_a) + 0.0014 \cdot (H_{prod}) \cdot (34 - T_a) \text{ [W/m}^2\text{]} \quad (7)$$

218 The evaporative requirement to maintain heat balance ( $E_{req}$ ) in  $\text{W/m}^2$  was estimated by  
219 rearranging the conceptual heat balance equation:

$$220 \quad E_{req} = H_{prod} - (C + R + C_{res} + E_{res}) \text{ [W/m}^2\text{]} \quad (8)$$

221 Required skin wettedness ( $\omega_{req}$ ), defined by Gagge (1937), was estimated as:

$$222 \quad \omega_{req} = E_{req} / E_{max} \text{ [ND]} \quad (9)$$

223 Where:  $E_{max}$  is the theoretical maximum rate of evaporation in the prevailing climate when 100%  
224 of the skin surface is saturated in sweat, and can be calculated using:

$$225 \quad E_{max} = (P_{sk,sat} - P_a) / (R_{e,cl} + [1/(f_{cl} \cdot h_e)]) \quad \text{[W/m}^2\text{]} \quad (10)$$

226 Where:  $R_{e,cl}$  is the evaporative heat transfer resistance of the clothing layer in  $\text{m}^2\text{kPa/W}$ ,  $f_{cl}$  is the  
227 clothing area factor (surface area of the clothed body divided by the surface area of the nude  
228 body; ND), and  $h_e$  is the evaporative heat transfer coefficient in  $\text{W/m}^2/\text{kPa}$ .  $P_{sk,sat} - P_a$  is the  
229 difference in water vapor pressure between the skin and air in kPa. While  $P_a$  is a measured in  
230 absolute terms (in kPa),  $P_{sk,sat}$  can be derived from Antoine's equation:

$$231 \quad P_{sk,sat} = (\exp(18.956 - [4030.18/(T_{sk} + 235)]))/10 \text{ [kPa]} \quad (11)$$

232 Where:  $T_{sk}$  is mean skin temperature ( $^{\circ}\text{C}$ ).

233 For equation 10, the evaporative heat transfer coefficient ( $h_e$ ) in  $\text{W}/\text{m}^2/\text{kPa}$  can be estimated  
234 using the product of the Lewis number (16.5 ND) and  $h_c$ :

$$235 \quad h_e = 16.5h_c \quad (12)$$

### 236 **Statistical Analysis**

237         Based on a power calculation (G\*Power 3.1.9.2) with  $\beta$ - and  $\alpha$ -values equal to 0.95 and  
238 0.05 respectively, a minimum sample size of 5 participants was required based on evidence from  
239 critical vapor pressures of  $4.16 \pm 0.19$  kPa and  $4.60 \pm 0.13$  kPa for unacclimated (Kenney and  
240 Zeman 2002) and heat acclimated (Kamon and Avellini 1976) women, respectively. All  
241 thermometric, cardiovascular, and heat loss measurements were averaged over the last minute of  
242 each humidity stage and expressed as means ( $\pm$  standard deviation).

243         As reported previously (Ravanelli et al. 2015), the critical humidity at which elevations in  
244 heart rate were observed was higher with fan use at both  $36^{\circ}\text{C}$  (F:  $4.9 \pm 0.4$  kPa, NF:  $3.7 \pm 0.5$  kPa;  
245  $P < 0.001$ ) and  $42^{\circ}\text{C}$  (F:  $3.8 \pm 0.6$  kPa, NF:  $3.1 \pm 0.6$ ;  $P = 0.01$ ). The temperature and humidity ranges  
246 for stages during which an elevation in heart rate was observed are presented in Table 1. At the  
247 stage corresponding to the upward rise in heart rate, paired t-tests were used to assess differences  
248 between groups (36F vs 36NF; 42F vs 42NF) for  $C + R$ ,  $E_{req}$ ,  $E_{max}$ , and  $\omega_{req}$ . Moreover, paired t-  
249 tests were used to assess the change from baseline to the end of the humidity ramp protocol  
250 between fan conditions (i.e. 36F vs 36NF; 42F vs 42NF) for heart rate, and esophageal, rectal,  
251 and mean skin temperatures.

252 To compare physiological variables across humidity levels during which elevations in  
253 heart rate were observed during the NF condition but not the F condition, three separate humidity  
254 “zones” were identified for each participant (Figure 1) at 36°C and 42°C. These zones were  
255 defined as: Zone 1 (Z1): heart rate not elevated from baseline during both fan conditions; Zone 2  
256 (Z2): heart rate elevated during NF, but not during the F condition; Zone 3 (Z3): heart rate  
257 elevated during both fan conditions. A two-way repeated measures ANOVA was used to analyze  
258 the data using the repeated factor of humidity “zone” (Rest, Z1, Z2, Z3, and End-Trial) and the  
259 non-repeated factor of fan use (Levels: F and NF) to compare heart rate, skin, esophageal and  
260 rectal temperatures, as well as local sweat rate and cutaneous vascular conductance. When  
261 significance was found, individual differences were assessed using a Student’s t-test. For all  
262 multiple comparisons a fixed probability (5%) of making a type I error was maintained  
263 throughout using a Holm-Bonferroni correction. All analysis was conducted using Graphpad  
264 Prism 6 for Windows statistical software (Version 6.01, La Jolla, CA, USA).

265

## 266 **RESULTS**

### 267 *Alterations in human heat balance with fan use*

268 At 36°C, dry heat loss was greater with fan use ( $P=0.04$ ), which led to a lower  $E_{req}$   
269 (Figure 2A).  $E_{max}$  at baseline was increased more than 2-fold during fan use compared to no fan  
270 ( $P<0.01$ ). During the subsequent step-wise increases in humidity,  $E_{max}$  declined to a greater  
271 ( $P<0.01$ ) extent with fan use before an upward inflection in heart rate was observed (Figure 2A).  
272 The  $\omega_{req}$  at baseline was lower ( $P<0.001$ ) with fan use ( $0.13\pm 0.02$ ) compared to the no fan  
273 condition ( $0.28\pm 0.04$ ). At the critical humidity at which an upward inflection in heart rate was  
274 observed,  $\omega_{req}$  remained lower ( $P<0.01$ ) with a fan ( $0.38\pm 0.13$ ) than without a fan ( $0.52\pm 0.11$ ).

275 At 42°C, dry heat gain was  $\sim 70 \text{ W/m}^2$  greater ( $P < 0.01$ ) with fan use (Figure 2B), which  
276 resulted in a greater  $E_{\text{req}}$  ( $P < 0.01$ ). However,  $E_{\text{max}}$  was 2-fold greater with fan use at baseline, and  
277 declined a greater extent compared to the no fan condition ( $P < 0.01$ ) before an upward rise in  
278 heart rate was observed (Figure 2B). At baseline,  $\omega_{\text{req}}$  was lower ( $P < 0.01$ ) with fan use  
279 ( $0.35 \pm 0.02$ ) compared to the no fan condition ( $0.45 \pm 0.03$ ). The  $\omega_{\text{req}}$  at the critical humidity at  
280 which an upward inflection in heart rate was observed was similar ( $P = 0.34$ ) with a fan  
281 ( $0.56 \pm 0.14$ ) compared to the no fan condition ( $0.61 \pm 0.07$ ).

### 282 *Heart Rate*

283 The range of humidity which captured Z1, Z2, and Z3 is outlined in Tables 2 and 3 for  
284 36°C and 42°C, respectively. While Z2 demonstrates overlap in humidity due to individual  
285 variability for the critical humidity at which an inflection in heart rate occurred, no overlap is  
286 present between Z1 and Z3 for 36°C and 42°C. Prior to beginning the humidity-ramp protocol,  
287 heart rate was similar between conditions at 36°C ( $P = 0.60$ ) and 42°C ( $P = 0.35$ ). In humidity zone  
288 1 (Z1), heart rate remained similar between conditions at both 36°C ( $P = 0.27$ ) and 42°C ( $P =$   
289  $0.20$ ). By definition, heart rate was greater during the no fan condition at both 36°C ( $P = 0.002$ )  
290 and 42°C ( $P = 0.05$ ) in Z2. In Z3, heart rate was elevated from baseline during both conditions,  
291 but was greater during the no fan condition at both 36°C ( $P = 0.003$ ) and 42°C ( $P = 0.01$ ). At the  
292 end of the humidity-ramp protocol, heart rate was greater during the no fan condition at both  
293 36°C ( $P = 0.02$ ) and 42°C ( $P < 0.001$ ).

### 294 *Core and mean skin temperatures*

295 At 36°C, esophageal and rectal temperatures were similar ( $p > 0.05$ ) between conditions at  
296 baseline. Core temperatures were also similar between conditions across the 3 zones (Table 2).  
297 At the end of the humidity-ramp protocol, esophageal temperature was greater without fan use

298 (P=0.01), but rectal temperature was similar (P=0.08) to when a fan was used (Table 2).  
299 Similarly, at 42°C, esophageal and rectal temperatures were similar (P>0.05) between conditions  
300 at baseline, and at each zone (Table 3). At the end of the humidity-ramp protocol, esophageal  
301 temperature was greater without a fan (P=0.03), but rectal temperature was similar (P=0.21)  
302 between conditions (Table 3).

303 At 36°C, mean skin temperature was similar (P>0.05) between conditions at baseline,  
304 heart rate zones 1 and 2 (Table 2), but became greater during the no fan condition during heart  
305 rate zone 3 (P=0.01) and at the end of the humidity-ramp protocol (P=0.007). At 42°C, mean  
306 skin temperature was greater (P<0.05) with fan use at baseline, and during all three zones (Table  
307 3). By the end of the humidity-ramp protocol, mean skin temperature was similar between  
308 conditions (P=0.14) due to a greater (P=0.04) increase in mean skin temperature from baseline  
309 during the no fan condition (Table 3).

#### 310 *Mean Arterial Pressure*

311 Mean arterial pressure was similar (P>0.05) between conditions throughout the humidity-  
312 ramp protocol at both 36°C (Table 2) and 42°C (Table 3).

#### 313 *Sweating*

314 At 36°C, local sweat rate was similar between conditions at baseline and during Z1, but  
315 was greater (P<0.05) without fan use during Z2 and Z3, as well as at the end of the humidity-  
316 ramp protocol (Table 2). At 42°C, local sweat rate was also similar between conditions at  
317 baseline and during Z1, but became greater (P<0.05) without fan use during Z2 and Z3 as well as  
318 at the end of the humidity-ramp protocol (Table 3). As previously reported (Ravanelli et al.  
319 2015), whole body sweat rate was greater at 36°C with a fan (180±10 g/hr) than without (153±18

320 g/hr;  $P=0.01$ ). Similarly at 42°C, whole body sweat rate was greater with a fan ( $399\pm 26$  g/hr)  
321 than without ( $241\pm 46$  g/hr;  $P<0.001$ ).

### 322 *Skin blood flow*

323 At 36°C, skin blood flow (absolute values) and cutaneous vascular conductance were  
324 similar between conditions at baseline and during Z1 and Z2, but became greater without a fan  
325 during Z3 and at the end of the protocol (Table 2). At 42°C, skin blood flow (absolute units) and  
326 cutaneous vascular conductance was similar between conditions throughout the humidity-ramp  
327 protocol (Table 3).

## 328 **DISCUSSION**

329 The current study examined potential biophysical and physiological factors associated  
330 with the delayed increase in heart rate with fan use during extreme heat and humidity conditions.  
331 Biophysically, a greater air velocity across the skin surface with fan use led to negligible changes  
332 in dry heat exchange at 36°C, whereas  $\sim 70$  W/m<sup>2</sup> of additional dry heat was gained via  
333 convection at 42°C (Figure 2). However, at both ambient temperatures the greater potential for  
334 evaporation with fan use increased evaporative efficiency. While evaporative efficiency was not  
335 directly quantified, the additional  $\sim 70$  W/m<sup>2</sup> of dry heat gain with fan use at 42°C must have  
336 been offset by at least an equally greater evaporative heat loss as the increase in core temperature  
337 was delayed relative to the no fan condition (Ravanelli et al. 2015). From a physiological  
338 perspective, the different inflection points for increases in heart rate between the fan and no fan  
339 conditions seemed to coincide with elevations in sudomotor output at both 36°C and 42°C.  
340 Collectively, the delayed increase in heart rate with electric fan use was associated with  
341 increased evaporative efficiency and lower sudomotor output.

342 At 36°C, air temperature was similar to mean skin temperature. Therefore, differences in  
343 dry heat loss and thus the evaporative requirement for heat balance were trivial ( $<5 \text{ W/m}^2$ )  
344 between conditions. The influence of fan use on the potential for evaporative heat loss however  
345 was profound (i.e.  $\sim 250 \text{ W/m}^2$  greater with a fan; Figure 2A) due to a greater convective and  
346 therefore evaporative heat transfer coefficient (Nelson et al. 1948; Clifford et al. 1959). As  
347 ambient humidity progressively increased during the humidity-ramp protocol,  $E_{\text{max}}$  naturally  
348 declined due to a shrinking humidity gradient between the skin and air. The  $E_{\text{max}}$  value at which  
349 elevations in heart rate occurred was slightly greater with fan use. However, because  $E_{\text{max}}$  started  
350 at a much greater level with fan use it took longer, and therefore a greater relative humidity (i.e.  
351  $83 \pm 6\%$  RH; Ravanelli et al. 2015), for  $E_{\text{max}}$  to reach a similar level as that observed during the no  
352 fan condition. This greater “buffer” for increases in humidity with fan use at 36°C can be  
353 explained in terms of greater evaporative efficiency. The work of Candas et al. (1979ab) and  
354 Alber–Wallstrom et al. (1985) demonstrate that if  $E_{\text{req}}$  is small relative to  $E_{\text{max}}$ , evaporative  
355 efficiency is greater, but as  $E_{\text{req}}$  approaches  $E_{\text{max}}$  evaporative efficiency rapidly declines. Prior to  
356 the start of the humidity ramp protocol at 36°C,  $E_{\text{req}}$  was  $\sim 10\%$  of  $E_{\text{max}}$  with fan use but  $\sim 30\%$  of  
357  $E_{\text{max}}$  without fan use (Figure 2A). Decrements in evaporative efficiency would have therefore  
358 occurred at a lower relative humidity (i.e. earlier during the ramp protocol) during the no fan  
359 condition. In order to maintain heat balance during heat stress,  $E_{\text{req}}$  must be sustained. With  
360 reductions in evaporative efficiency, a concomitant rise in sweating must occur to sustain  $E_{\text{req}}$   
361 which was reflected by greater local sweat rate values (Table 2).

362 The main argument proposed by public health agencies for not using a fan during heat  
363 waves is that additional air flow across the skin will accelerate dry heat gain (Wolfe 2003; CDC  
364 2004; WHO 2009). Indeed, this was observed during the 42°C trial as fan use resulted in  $\sim 70$



365 W/m<sup>2</sup> greater dry heat gain. However, an often-neglected advantage of fan use in the public  
366 health literature is that it also promotes evaporation by increasing evaporative efficiency. In this  
367 study, fan use resulted in an evaporative heat loss potential that was 160 W/m<sup>2</sup> greater relative to  
368 no fan use. As such, the required evaporation for heat balance only accounted for ~35% of the  
369 maximum evaporative potential during fan use, relative to ~45% with no fan. According to the  
370 findings of Alber-Wallstrom et al. (1985), decrements in evaporative efficiency would have  
371 occurred even before the humidity ramp protocol started during the no-fan condition, whereas  
372 fan use would have maintained sweat evaporation at ~100%. Moreover, the critical skin  
373 wettedness ( $\omega_{crit}$ ;  $E_{req}/E_{max}$ ) at which elevations in heart rate were observed by Berglund and  
374 Gonzalez (1977) was lower with air movement relative to still air. The present results partially  
375 concur with these findings with lower  $\omega_{crit}$  when the inflection in heart rate occurred at 36°C (F:  
376 0.38±0.13; NF: 0.52±0.11), but not 42°C (F: 0.56±0.14; NF: 0.61±0.07). The reason for  
377 differences in  $\omega_{crit}$  between fan conditions at 36°C but not 42°C is unclear, however it must be  
378 acknowledged that partitioned calorimetric estimates of heat transfer values are based on several  
379 assumptions and subject to variability. Despite these limitations, we propose that fan use  
380 facilitated a greater evaporative efficiency during the humidity-ramp protocol, which is further  
381 supported by the lower local sweat rate values (Table 3).

382         The underlying physiological mechanisms responsible for the delayed increase in heart  
383 rate with fan use are difficult to determine from the present data. It was hypothesized that earlier  
384 heart rate elevations without fan use would be preceded by greater peripheral vasodilation,  
385 leading to a greater heart rate requirement for the maintenance of blood pressure. Indirect  
386 evidence suggests this may be the case. Assuming stroke volume was similar between fan and no  
387 fan conditions, greater heart rate during the no fan conditions presumably lead to greater cardiac

388 output. Given that blood pressure was similar between fan and no fan conditions, it is possible  
389 that a greater cardiac output would be associated with greater peripheral vasodilation during the  
390 no fan conditions. In theory, this could be due to greater cutaneous vasodilation, although we  
391 cannot rule out the possibility of greater vasodilation within other vascular beds. While this  
392 hypothesis is supported by greater cutaneous vasodilation during the no fan condition when  
393 elevations in heart rate were observed at 36°C, a separation in heart rate between fan conditions  
394 (Z2) was observed without any preceding differences in cutaneous vasodilation at 42°C (Table  
395 3). Alternatively, a higher mean skin temperature at 42°C with fan use could have theoretically  
396 led to greater cutaneous vasodilation (Rowell et al. 1970; Wyss et al. 1975; Wingo et al. 2010)  
397 and heart rate via stimulation of cutaneous thermoreceptors (Shibasaki et al. 2015); but this was  
398 not observed. In fact, heart rate was lower with fan use at 42°C.

399         It should be noted that cutaneous vasodilation was only measured at two local sites and it  
400 is therefore possible that differences in other body regions were not detected. Rowell et al.  
401 (1970) reported that elevations in heart rate during aggressive passive heating (47.5°C water  
402 perfused suit) were not lowered following the restoration of mean arterial pressure to  
403 normothermic levels, suggesting that blood pressure maintenance is not necessarily the primary  
404 driver of heat-related elevations in heart rate. This notion was further supported by Cui et al.  
405 (2002) who observed only a minor decrease in heart rate during passive heating (46°C water  
406 perfused suit) following the reestablishment of normothermic blood pressure with phenylephrine  
407 infusion. Collectively, these and other studies (Kamon and Belding 1971; Wyss et al. 1974;  
408 Gorman and Proppe 1982) suggest that heart rate elevations during passive heating are partially  
409 driven by direct effects of temperature upon the heart (Jose et al. 1970; Gorman and Proppe  
410 1982). However, core temperatures were similar between fan and no fan conditions, and were

411 actually unchanged from baseline when the elevations in heart rate occurred at both ambient  
412 temperatures. Differences in core temperature therefore cannot explain the earlier elevations in  
413 heart rate observed without fan use. The only physiological response measured that differed  
414 between fan conditions at both ambient temperatures when the elevation in heart rate occurred  
415 without fan use was local sweat rate (Table 2 & 3).

#### 416 *Perspectives*

417 The present results suggest that the different critical humidities at which elevations in  
418 heart rate are observed with and without fan use are potentially associated with an elevated  
419 sudomotor drive, secondary to decrements in evaporative efficiency. While future studies are  
420 required to examine whether this is a direct cause-and-effect or indirect link, cooling  
421 interventions during extreme heat exposure (i.e. heat waves) that strive to mitigate elevations in  
422 heart rate could possibly focus on reducing the heat balance requirement for sweat production.  
423 Under circumstances that air conditioning is not available, which is commonplace for most  
424 vulnerable populations during heat waves (Bouchama et al. 2007; Basu and Ostro 2008;  
425 Kravchenko et al. 2013), the propagation of convective flow across the skin coupled with  
426 external moistening of the skin may suppress the need for sweating. Empirical evidence  
427 supporting this notion however is needed. If supplemental air flow is not available, a  
428 combination of external skin wetting and conductive cooling (e.g. cold water forearm or foot  
429 immersion) that provides  $\sim 80\text{-}90\text{ W/m}^2$  of heat loss would minimize the evaporative requirement  
430 for heat balance and therefore reduce the necessity for sweating at  $42^\circ\text{C}$  (Figure 2B).

#### 431 *Limitations and Future Studies*

432 The present data pertain only to young, healthy males; they therefore do not account for  
433 age-related decrements in sweating capacity of older individuals (Kenney and Hodgson 1987;

434 Inoue et al. 1991), nor the lower maximum evaporative capacity of females (Gagnon and Kenny  
435 2011). The potential benefit of fan use has also only been demonstrated in hot/humid conditions.  
436 Inhabitants of some geographical regions (e.g. South Australia) often experience very hot  
437 (>45°C) and dry (RH<10%) heat waves. In such environments, most secreted sweat would  
438 readily evaporate in relatively still air and fan use may therefore not increase evaporative  
439 efficiency while creating additional dry heat gain. The efficacy of fan use under hot/dry versus  
440 hot/humid conditions must therefore be evaluated. Moreover, metabolic heat production was not  
441 measured directly and assumed to be constant. While this assumption may be limited, Hardy &  
442 Stolwijk (1966) observed very minor differences in metabolic rate between the ambient  
443 temperatures tested in the present study. It is also difficult to provide a comprehensive  
444 explanation for the different heart rate responses between fan conditions without measurements  
445 of cardiac output, therefore further research incorporating this measure is warranted. Finally,  
446 only one fan speed, diameter, orientation and distance from the participant was tested and further  
447 research is required to assess the influence of these variables on thermal and cardiovascular  
448 strain.

#### 449 *Conclusion*

450 In conclusion, delayed elevations in heart rate with fan use during extreme heat and  
451 humidity conditions are associated with i) a greater increase in evaporative efficiency relative to  
452 the increase in convective heat gain; and ii) a lower sudomotor output.

453

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456

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461

462 **AUTHOR CONTRIBUTIONS**

463 N.M.R., O.J., S.H., & G.H. were involved in the concept and design of the research question and  
464 methodology; N.M.R. performed all data collection; N.M.R. analyzed the data; N.M.R., O.J.,  
465 S.H., G.H., & D.G. interpreted the results; N.M.R. prepared figures; N.M.R. & O.J. drafted the  
466 manuscript; N.M.R. & O.J. edited the manuscript; N.M.R., O.J., D.G., S.H., & G.H. approved  
467 the final version of manuscript.

468

469 **DISCLOSURES**

470 No conflicts of interest, financial or otherwise, are declared by any of the authors.

471

472 **Ethical approval:** All procedures performed in studies involving human participants were in  
473 accordance with the ethical standards of the institutional and/or national research committee and  
474 with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

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593 heart rate: role of skin vs. core temperature. *J Appl Physiol* 36:726–733.
- 594

595 **Table 1** Ambient temperature ( $T_a$ ) and absolute humidity (AH) for each stepwise increase in  
 596 humidity at 36°C and 42°C expressed as mean  $\pm$  standard deviation. Shaded rows denote the  
 597 stages coinciding with an upward rise in heart rate.

Stage	36°C - Fan		36°C - No fan		42°C - Fan		42°C - No fan	
	$T_a$ (°C)	AH (kPa)	$T_a$ (°C)	AH (kPa)	$T_a$ (°C)	AH (kPa)	$T_a$ (°C)	AH (kPa)
1	36.6 $\pm$ 0.2	1.7 $\pm$ 0.2	36.2 $\pm$ 0.3	1.7 $\pm$ 0.1	42.8 $\pm$ 0.1	1.8 $\pm$ 0.1	41.3 $\pm$ 0.4	1.9 $\pm$ 0.1
2	36.7 $\pm$ 0.3	2.0 $\pm$ 0.0	36.3 $\pm$ 0.3	2.0 $\pm$ 0.0	42.8 $\pm$ 0.1	2.0 $\pm$ 0.1	41.4 $\pm$ 0.3	2.0 $\pm$ 0.1
3	36.6 $\pm$ 0.4	2.4 $\pm$ 0.1	36.4 $\pm$ 0.2	2.4 $\pm$ 0.1	42.8 $\pm$ 0.1	2.3 $\pm$ 0.2	41.5 $\pm$ 0.3	2.3 $\pm$ 0.2
4	36.6 $\pm$ 0.4	2.7 $\pm$ 0.1	36.4 $\pm$ 0.3	2.7 $\pm$ 0.1	42.8 $\pm$ 0.1	2.6 $\pm$ 0.3	41.5 $\pm$ 0.3	2.5 $\pm$ 0.3
5	36.6 $\pm$ 0.4	3.0 $\pm$ 0.1	36.4 $\pm$ 0.2	3.0 $\pm$ 0.1	42.8 $\pm$ 0.0	2.9 $\pm$ 0.1	41.6 $\pm$ 0.2	2.9 $\pm$ 0.2
6	36.6 $\pm$ 0.4	3.3 $\pm$ 0.0	36.5 $\pm$ 0.3	3.3 $\pm$ 0.1	42.8 $\pm$ 0.1	3.2 $\pm$ 0.1	41.6 $\pm$ 0.2	3.3 $\pm$ 0.1
7	36.6 $\pm$ 0.4	3.5 $\pm$ 0.0	36.5 $\pm$ 0.3	3.5 $\pm$ 0.1	42.8 $\pm$ 0.1	3.4 $\pm$ 0.1	41.6 $\pm$ 0.2	3.5 $\pm$ 0.1
8	36.7 $\pm$ 0.3	3.8 $\pm$ 0.0	36.6 $\pm$ 0.2	3.8 $\pm$ 0.0	42.8 $\pm$ 0.1	3.7 $\pm$ 0.1	41.6 $\pm$ 0.2	3.7 $\pm$ 0.1
9	36.7 $\pm$ 0.3	4.1 $\pm$ 0.0	36.6 $\pm$ 0.2	4.1 $\pm$ 0.0	42.8 $\pm$ 0.1	3.9 $\pm$ 0.1	41.6 $\pm$ 0.2	4.0 $\pm$ 0.1
10	36.7 $\pm$ 0.3	4.4 $\pm$ 0.0	36.6 $\pm$ 0.2	4.4 $\pm$ 0.1	42.8 $\pm$ 0.1	4.1 $\pm$ 0.0	41.7 $\pm$ 0.3	4.2 $\pm$ 0.1
11	36.7 $\pm$ 0.3	4.6 $\pm$ 0.0	36.7 $\pm$ 0.2	4.7 $\pm$ 0.1	42.8 $\pm$ 0.1	4.3 $\pm$ 0.0	41.6 $\pm$ 0.3	4.4 $\pm$ 0.1
12	36.7 $\pm$ 0.2	4.9 $\pm$ 0.0	36.7 $\pm$ 0.2	5.0 $\pm$ 0.1	42.8 $\pm$ 0.1	4.6 $\pm$ 0.1	41.7 $\pm$ 0.3	4.7 $\pm$ 0.1
13	36.7 $\pm$ 0.2	5.2 $\pm$ 0.1	36.7 $\pm$ 0.2	5.2 $\pm$ 0.1	42.8 $\pm$ 0.1	4.8 $\pm$ 0.0	41.7 $\pm$ 0.3	4.9 $\pm$ 0.1
14	36.7 $\pm$ 0.2	5.4 $\pm$ 0.1	36.8 $\pm$ 0.2	5.4 $\pm$ 0.1	42.8 $\pm$ 0.1	5.1 $\pm$ 0.1	41.8 $\pm$ 0.3	5.2 $\pm$ 0.1
15	36.7 $\pm$ 0.2	5.6 $\pm$ 0.1	36.8 $\pm$ 0.2	5.6 $\pm$ 0.1	42.8 $\pm$ 0.1	5.3 $\pm$ 0.0	41.7 $\pm$ 0.3	5.4 $\pm$ 0.1
16	36.7 $\pm$ 0.2	5.6 $\pm$ 0.2	36.9 $\pm$ 0.2	5.7 $\pm$ 0.1	42.7 $\pm$ 0.1	5.6 $\pm$ 0.1	41.7 $\pm$ 0.4	5.6 $\pm$ 0.1