

Comparative evaluation of conventional and microwave hydrothermal carbonization of human biowaste for value recovery

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Abstract

This paper compares conventional and microwave hydrothermal carbonization (HTC) of human biowaste (HBW) at 160°C, 180°C and 200°C as a potential technology to recover valuable carbonaceous solid fuel char and organic-rich liquor. Also discussed are the influence of HTC heating methods and temperature on HBW processing conversion into solid fuel char, i.e. yield and post-HTC management, i.e. dewaterability rates, particle size distribution and the carbon and energy properties of solid fuel char. While HTC temperatures influenced all parameters investigated, especially yield and properties of end products recovered, heating source effects were noticeable on dewatering rates, char particle sizes and HBW processing/end product recovery rate and, by extension, energy consumed. The microwave process was found to be more efficient for dewatering processed HBW and for char recovery, consuming half the energy used by the conventional HTC method despite the similarity in yields, carbon and energy properties of the recovered char. However, both processes reliably overcame the heterogeneity of HBW, converting them into non-foul end products, which were easily dewatered at <3 seconds/g total solids (c.f. 50.3 seconds/g total solids for a raw sample) to recover energy-densified chars of ≈ 17 MJ/kg calorific value and up to 1.4g/l of ammonia concentration in recovered liquor.

Keywords

Bioenergy, chars, conventional heating, hydrothermal carbonization, microwave, sewage sludge

24 **1. Introduction**

25 The need for more innovative approaches to ameliorate the pervasive consequences of poor sanitation
26 in low- and middle-income countries cannot be over-emphasized, as 2.4 billion people still lack access to
27 safe sanitation. An estimated 1 billion tons of faecal matter is generated annually (Sobsey, 2006). In low-
28 and middle-income countries, more than 90% of faecal waste generated is discharged untreated
29 (Langergraber and Muellegger, 2005). Current approaches such as manual pit emptying, incineration, and
30 disposal to landfill present significant environmental problems related to public health, environmental
31 pollution, greenhouse emissions and contamination of soil and water resources (WHO/UNICEF, 2014;
32 Strauss and Montangero, 2002; Samolada and Zabaniotu, 2014). Environmental regulations for disposal,
33 meanwhile, are becoming increasingly severe and call for more effective solutions and management
34 strategies. The potential for integrating novel sanitation transformative technologies to address the
35 challenges of poor sanitation is gaining international attention and relevance. This interest also lies with
36 their being potentially more environmental friendly, aligning with the concepts of sustainable ecological
37 sanitation, and favouring valuable resource recovery and bioenergy generation (Esrey, 2001; Samolada
38 and Zabaniotu, 2014). Essentially, sanitation technologies should not only treat human faecal wastes
39 without any health or environmental impacts and recover valuable (energy) end products, but should
40 also be scalable to address rapid increases in population and urbanization, without violating
41 environmental regulations and standards for faecal management.

42 The hydrothermal carbonization (HTC) process, sometimes referred to as ‘wet pyrolysis’, represents an
43 effective sanitation technology that can be used to address both issues, i.e. poor sanitation and bioenergy
44 needs. HTC can be used to process human biowaste (HBW) – untreated excrement, faecal sludge,
45 primary and secondary sewage sludge – into a sterilized safe form, while also recovering usable and
46 valuable organic carbon, nitrogen and energy end products. HTC is a thermochemical process that
47 involves heating biowaste at sub-critical water conditions between 160°C and 220°C under autogenous
48 pressure in the absence of oxygen, to convert biowaste organics into valuable end products – a
49 carbonaceous (coal-like) solid, i.e. char, and organic-rich liquor (Libra et al., 2011; Peterson et al., 2008;
50 Basso et al., 2016). HTC is distinguished by the use of wet feedstock, obviating the need for energy-

51 intensive drying before or during the process (Libra et al., 2011); essentially, this makes HBW, which is
52 characterized by high moisture content of up to 95% (w/w), fit the HTC spectrum. Further, the capacity
53 for handling the heterogeneous nature of HBW pathogen kill (due to the high temperature associated
54 with the technology), and the potential recovery and recycling of valuable nutrients, energy and other
55 inorganic chemicals (in ionic forms), strengthens the HTC technology (Libra et al., 2011; Peterson et al.,
56 2008).

57 Heating source/method and processing efficiencies are very important considerations for any waste
58 processing technology. A variety of heat sources used for HTC processing have been reported.
59 Conventional HTC (C-HTC) processes usually involve the use of electrically heated high-pressure
60 stainless steel vessels/reactors where heating is achieved via temperature gradients, with conduction and
61 convection as the main heat-transfer mechanisms (Ramke et al., 2009; Berge et al., 2011; Makela et al.,
62 2015). Microwave HTC (M-HTC), i.e. heating via the electromagnetic interaction of microwave with
63 dipolar materials (mainly water content in HBW), has also been acknowledged (Guiotoku et al., 2009;
64 Afolabi et al., 2015; Elaigwu and Greenway, 2016). Absent from the literature, however, is an assessment
65 of how both heating methods compare under similar HTC temperature ranges and how they affect the
66 whole HBW processing/conversion into solid char fuel. More specifically, there are knowledge gaps in
67 comparative char yield (and energy consumed during both HTC processes); post-HTC processes,
68 including dewaterability rates of processed HBW, particle size distribution of char solids, as well as their
69 carbon and energy properties; and ammonia recovery and other HTC liquor properties. These
70 knowledge gaps informed the present study as part of our continued research under the Bill and Melinda
71 Gates Foundation 'Re-invent the Toilet' project, which centres on the development of an HTC-based
72 sanitation facility/toilet that collects HBW, and treats and converts it into safe and usable products.

73 **2. Materials and methods**

74 **2.1 Primary sewage sludge (SS)**

75 Primary sewage sludge (SS), the closest alternative to fresh human faeces, is used for this study as
76 representative of a HBW sample. This was obtained from the primary sedimentation holding tank at
77 Wanlip Sewage Treatment works, Leicester, UK. The SS derives from a catchment area serving a

78 population of 0.5 million people, with mixed domestic and industrial effluent. The SS was obtained in a
 79 container that was vented to prevent gas build-up. Once sealed, the SS was transported for storage in the
 80 cold room of the Civil Engineering Water Laboratory throughout the experimental period. The
 81 characteristics of the SS used for this study are summarized in Table 1.

82 Table 1 Characteristic of sewage sludge (SS) used in this study

Parameters		Primary sewage sludge (SS)
Elemental (%)	Carbon, C	36.6 ±0.4
	Hydrogen, H	5.7 ±0.04
	Nitrogen, N	5.1 ±0.03
	Oxygen, O*	52.6 ±0.5
Proximate (%)	Moisture content, MC (%)	95.6 ±0.2
	Total solids, TS (%)	4.4 ±0.1
	Volatile solids (of TS)	71.4 ±0.2
	Fixed solids (of TS)	28.6 ±0.2
pH		5.5 ±0.3
Energy content (MJ/Kg)		15.8±0.2
Density [#] (g/cm ³)		1.1
Capillary suction time, CST (Seconds)		389.9 ± 28.9

83 *Determined by difference, i.e. [100 – {C+H+N}]%

84 [#]Provided by the waste treatment plant

85 **2.2 Hydrothermal carbonization methods**

86 **2.2.1 Microwave hydrothermal carbonization (M-HTC)**

87 The M-HTC was set up as reported in a previous study (Afolabi et al., 2015) using Anton Paar
 88 Multiwave Microwave Labstation (Anton Paar Ltd, Austria) at 2.45 GHz frequency, 900 W at 10A pulse-
 89 controlled power output. Raw SS (total weight 160g) was equally divided in four replicates and poured
 90 into pre-weighed cylindrical PTFE-TFM reactor vessels of 260°C and 60 bars rating. Weighing was by
 91 mass to improve reproducibility during each carbonization experiment. The reactor vessels were loaded
 92 symmetrically on the microwave carousel to enhance temperature and pressure reading accuracy during
 93 the carbonization process. Microwave energy supplied to the reactor vessels was controlled by wireless
 94 sensors, which monitor internal temperature and pressure inside the vessels and also prevent
 95 overheating. In addition, an infrared sensor at the base of the microwave cavity measured the
 96 temperature in all the reactor vessels and maintained the reactor vessels at ±2°C of set reaction
 97 temperature during the M-HTC process.

98 **2.2.2 Conventional conduction hydrothermal carbonization (C-HTC)**

99 C-HTC process was conducted using a high-pressure reactor (Berghof Ltd, Germany) with a
100 temperature and pressure rating of 300°C and 200 bar. The reactor comprised a 300ml PTFE reactor
101 vessel placed in a stainless steel jacket, surrounded by a thermo-insulated heater (DAH-3) block mount.
102 The stainless steel jacket was seated on a (BLH-800) electric heating plate (of a similar microwave power
103 rating), which supplied heat to the reactor vessel by conduction. Raw SS (total weight 160g) was poured
104 inside the pre-weighed PTFE vessel and loaded into the stainless steel jacket. Weighing was also done by
105 mass. The reaction temperature was measured via a thermocouple placed centrally within the PTFE
106 reactor vessel and connected to a BTC-3000 regulator, which maintained a set reaction temperature
107 during the C-HTC process. A PT-100 pressure sensor also measured autogenously generated pressure
108 inside the vessel.

109 **2.2.3 Experimental work-up**

110 Raw SS was processed under both the M-HTC and C-HTC processes at three peak temperatures: 160°C,
111 180°C and 200°C. The process pressure was autogenous and correlated with the carbonization
112 temperature used. Guided by preliminary experiments and existing literature (Chen et al., 2012;
113 Guiotoku et al., 2011; Funke and Ziegler, 2010; Lu et al., 2012, Basso et al., 2015; Neyens and Baeyens,
114 2003), 30mins was used as residence time for the M-HTC process, while 3hrs was used for the C-HTC
115 process to ensure enough contact time to achieve carbonization during each experiment. The
116 experiments were conducted in triplicate. Using a wattmeter connected between the mains and each item
117 of carbonization equipment, the energy consumed per gram of SS solids (Wh.g⁻¹ TS) processed during
118 each M-HTC and C-HTC processes were estimated for each HTC temperature investigated. After the
119 completion of each carbonization experiment, the reactor vessels were cooled to room temperature.
120 Carbonized materials were filtered using a 63µm mesh sieve size. The solid fraction, i.e. the wet char,
121 was subsequently dried at 105°C for 18–24hrs for further analysis. The char yield on a dried basis was
122 estimated using equation 1:

$$123 \quad Char\ yield_{(db)} (\%) = \frac{Dried\ char\ recovered, g}{Dried\ SS, g} \times 100\% \quad \text{Equation 1}$$

124 The liquor fraction recovered was analyzed immediately after each carbonization experiment to
125 minimize volatile losses.

126 **2.3 Analysis and characterizations**

127 **2.3.1 Solids analysis**

128 Raw SS samples and chars produced from both HTC methods were analyzed for moisture (MC), total
129 solids (TS), volatile solids (VS) and fixed solids (FS) according to Standard Methods 2540G (APHA,
130 2005).

131 **2.3.2 Dewaterability rate**

132 The dewaterability rate was measured as capillary suction time (CST) according to Standard Method
133 2710G (APHA, 2005) using a CST apparatus (Triton–Type 165, Triton Electronic Ltd, England). The
134 experiment was conducted with a minimum of seven replicates, before estimating their mean values and
135 standard deviations.

136 **2.3.3 Particle size distribution (PSD) analyses**

137 PSD analysis of dried and uniformly grounded solids of raw SS and chars from each experiment was
138 conducted by the laser diffraction method on a Malvern Mastersizer 2000 (Malvern Instruments Ltd,
139 UK) adopting Standard Method ISO 13320:2009. Depending on reproducibility of scattered particle
140 patterns, a minimum of seven replicates were conducted and the size distribution averages were analyzed
141 using the PSD analyzer.

142 **2.3.4 Elemental analysis**

143 Raw SS samples and the chars recovered from each carbonization process were analyzed for their
144 carbon (C), hydrogen (H) and nitrogen (N) contents using a CHN analyzer (CE-440 Elemental Analyzer,
145 Exeter Analytical Inc., UK) adopting the ASTM D5373 Standard Test Method. Analyses were
146 conducted in triplicate, with mean values and the standard deviation estimated for each sample
147 respectively.

148 **2.3.5 Energy content**

149 Calorific values, i.e. higher heating values (HHVs), of all dried solids (raw SS and chars recovered from
150 each carbonization process) were measured using a bomb calorimeter (CAL 2K, Digital Data Systems,
151 South Africa) based on the ISO 1928:2009 Standard. Tests were conducted in triplicate and mean values

152 used as the energy value of the samples.

153 **2.3.6 Recovered HTC liquor analyses**

154 The chemical oxygen demand (COD) of the HTC liquor recovered after separating solids was measured
155 using standard test kits on a COD analyzer (Photometer 8000, Palintest Ltd, UK) according to Standard
156 Methods 5229D (Close Reflux Calorimetric method) (APHA, 2005). Total organic carbon (TOC) was
157 determined by the high-temperature combustion method using a TOC analyzer (DC 190 Rosemount
158 Dohrman, USA) according to Standard Methods 5310B (APHA, 2005). An analysis of ammonia and
159 volatile fatty acids (VFA) was conducted using standard test kits on an automatic spectrophotometer
160 (Hach Lange DR 3900) adopting Standard Methods 4500-NH₃, Phenate Method and
161 Spectrophotometric Method respectively (APHA, 2005). The pH was measured using an electronic pH
162 meter (Mettler Delta 340), calibrated against freshly prepared solutions of known pH 4.0 and 7.0. All
163 analyses were conducted in triplicate, with their mean and standard deviation estimated. These tests were
164 conducted for comparative assessment of recovered liquor characteristics from both HTC methods.

165 **3. Results and discussion**

166 **3.1 Physical and sensory assessment**

167 The smell and colour of the carbonized SS recovered from both processes were very similar. Foul odour,
168 a characteristic of raw SS, was completely eradicated and replaced with a coffee-like smell and a coal-like
169 black colouration. These observations are consistent with previous studies involving thermochemical
170 conversion/transformation processes at temperature ranges similar to those used in this study. For
171 example, using a paar reactor supplied with heat from a muffle furnace, Wilson and Novak (2009)
172 observed a caramel-like odour and tea-colouration of processed primary and secondary wastewater
173 sludges processed at 130–220 °C. Other studies involving HTC processing of biowastes using plug flow
174 reactors (Peterson et al., 2010), autoclave (Lu et al., 2011) and microwave pyrolysis (Masek et al., 2013)
175 have all reported similar organoleptic changes. These changes are due to intermediate reactions
176 associated with HTC processing at temperatures $\geq 160^{\circ}\text{C}$, including Maillard reaction (occurring between
177 amino acids monomers and carbonyl radicals of reducing sugars; both resulting from thermal hydrolyses
178 of protein and carbohydrates components of SS during HTC processing) and caramelization reactions (a

179 non-enzymatic browning effect on reducing sugars in biowastes) (Nurtsen, 2005; Wilson and Novak
 180 2009; Peterson et al., 2010). Effectively, the similarities in organoleptic properties of end products
 181 recovered from both HTC methods in this study compared to previous studies suggests that both
 182 processes converted SS to a more pleasant end product. Additionally, smell and colour transformations
 183 tend to occur regardless of type/source of heating source used, with reactions associated with HTC
 184 temperature processing playing a crucial role in eradicating the foul odour of HBW.

185 **3.2 Dewaterability of processed HBW**

186 CST quantifies the time required for sludgy water content drawn by capillary forces to wet a piece of
 187 adsorbent chromatography filter paper. A greater CST value indicates that it is more difficult for sludgy
 188 water to be drawn out by capillary forces, and implies higher resistance to filtration or poor
 189 dewaterability. As shown in Table 2, dewaterability of carbonized HBW material is feasible using both
 190 the M-HTC and C-HTC process. Both processes indicated significant improvement in dewatering rates
 191 when compared to raw SS at all temperatures investigated.

192 Table 2: CST (seconds) and specific CST* (seconds/g TS) of processed SS under both HTC processes

	CST (seconds)		Specific CST (seconds/g TS)	
Raw SS	389.9 ± 28.9		50.3±3.7	
	M-HTC	C-HTC	M-HTC	C-HTC
160°C	10.6±0.5	15.8±0.8	2.3±0.1	3.4±0.4
180°C	9.3±0.6	11.4±0.6	2.2±0.2	2.9±0.1
200°C	8.2±0.4	10.5±0.5	2.1±0.2	2.8±0.2

$$* \text{ Specific CST (seconds/g TS)} = \frac{\text{CST values (seconds)}}{\text{Total solids of sludgy material (dried weight, g)}}$$

193 Furthermore, dewaterability was even improved over the HTC carbonization temperature ranges used
 194 for both processes – the net effect being that the dewaterability rate of carbonized SS actually decreased
 195 with increasing temperature of carbonization. Comparatively, CST values for carbonized products by the
 196 M-HTC process at all temperature ranges examined were shorter than C-HTC – indicating a higher
 197 dewaterability. This effect, i.e. the improvement in dewaterability of M-HTC compared to the C-HTC
 198 method, was most significantly at 160°C (by 32.8%), but reduced towards 180°C and 200°C (<12%).
 199 These comparatively observed improvements are similar to those reported in a previous study: 13.8%

200 and 17.8% improvements in dewatering rates of microwave pre-treated sewage sludge compared to
201 those of conventionally heated sludge at 60°C and 65°C respectively (Pino-Jelicic et al., 2006). Solid
202 concentration/distribution also affects CST values, because larger solid particles tend to block
203 movement of water, which is driven through capillary forces (APHA, 2005). Specific CST (S-CST) was
204 estimated, which allows the dewaterability of samples having various solid concentrations to be
205 compared to understand the net effect of the HTC process on dewaterability. Effectively, M-HTC yields
206 solid char that dewateres at ≤ 2.3 seconds/g TS, while the C-HTC yields at ≤ 3.4 seconds/g TS at
207 temperatures above ca. 150°C (c.f. raw SS at 50.3 ± 3.72 s.g⁻¹ TS).

208 While both processes improved dewaterability of processed SS for char recovery, HTC temperature and
209 heating methods can be implied to have influenced dewaterability. The aqueous phase of sludgy
210 materials is generally described as free water and bound water; however, bound water requires higher
211 energy to be released (Neyens and Baeyens, 2003). Fundamentally, raising the temperature of sludgy
212 materials decreases their viscosity and facilitates filterability. This explains the net improvement in
213 dewaterability rates observed under both processes as temperature (more energy) is raised from 160°C to
214 200°C. The thermal hydrolysis that occurs under both processes activates sludge flocs (which serve as
215 repositories for water) to improve dewaterability however under different mechanisms. Heat transferred
216 through conduction and convection during C-HTC process disintegrates sludgy flocs (Xun et al., 2008).
217 The C-HTC process relies on thermal gradients (from source of heating to the heated sludge) to dislodge
218 larger sludge flocs, degrade the sludge floc structure and release bound water. However, sludge
219 dewaterability under M-HTC process can be attributed to both the thermal and athermal effects of
220 microwave heating (Wojciechowska, 2005; Eskicioglu et al., 2007), and possibly explains the relatively
221 lower CST values obtained when compared with the C-HTC process. Thermal effects result from direct
222 coupling of electromagnetic energy with water molecules and other polar organics in sludgy biowaste,
223 causing rapid volumetric heating. Athermal effects, meanwhile, i.e. those not related to temperature, are
224 attributed to the vibrational effects of microwaves on the hydrogen bonds in sludgy cell walls through
225 the alternation of the electric field of water (polar substance), causing overall weakening; this may
226 facilitate the breaking of chemically bound water in sludgy biowaste (Solymon et al., 2011). At all

227 temperature investigated, both (thermal and athermal) effects selectively energize polar substances within
228 biowaste and chemically bound water in the cells of SS. This subsequently leads to rapid disruption and
229 disintegration of sludge flocs and bound water, rupturing of the cell walls and membranes, accompanied
230 by chemical dissociation and release of bound water.

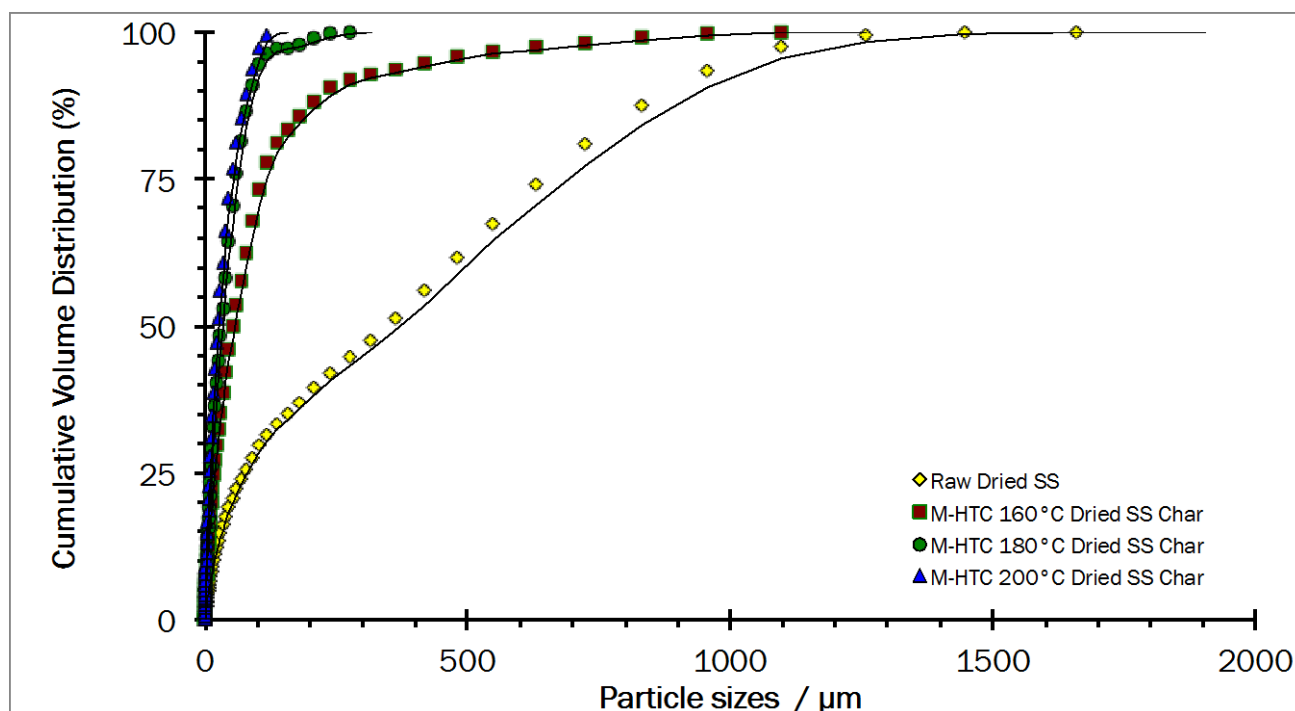
231 In essence, improvements in dewaterability during M-HTC over C-HTC are due to the highly selective
232 nature of the dielectric heating mechanism. Understanding of the degree/extent to which both effects
233 (thermal and athermal) influence dewaterability is still developing, but may explain why M-HTC is
234 slightly better than the C-HTC in this study.

235 **3.3 Particle size distributions of recovered char fuel**

236 The cumulative volume weighted distribution (%) profiles of particle sizes of both raw and carbonized
237 chars produced from M-HTC and C-HTC at the different carbonization temperatures used are
238 presented in Figures 1 and 2 respectively.

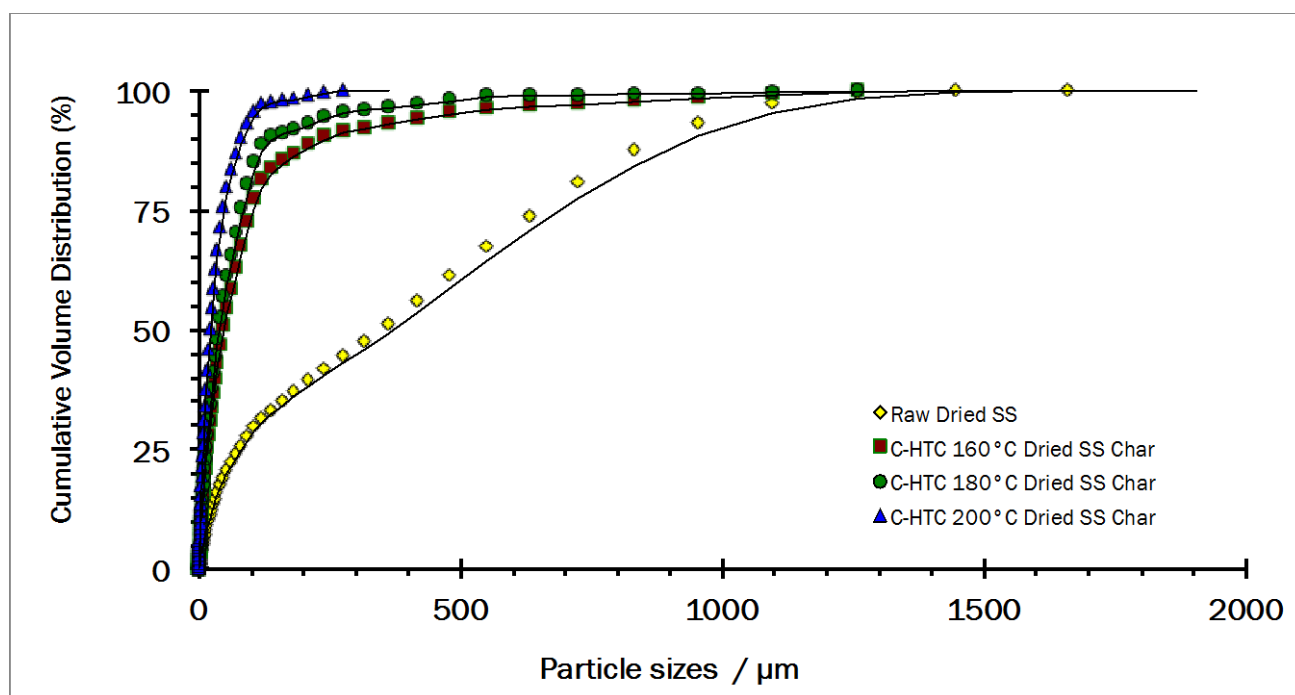
239 As shown in Figure 1, particle sizes in raw SS span a range up to 1700 μm , whereas chars produced at the
240 three carbonization temperatures from the M-HTC process produce a smaller and narrower percentage
241 cumulative volume distribution of less than 300 μm at 180 $^{\circ}\text{C}$ and 200 $^{\circ}\text{C}$; 160 $^{\circ}\text{C}$ gave a range slightly
242 above 1000 μm . Similar behaviour was obtained with the C-HTC process (see Figure 2). However, for
243 temperatures below 200 $^{\circ}\text{C}$ under the C-HTC process, average particle size distribution extended up to
244 1200 μm . When comparing the profile of raw SS to that of char obtained at 160 $^{\circ}\text{C}$ from both processes,
245 a striking difference – characterized by a ‘*swelling effect*’ – can be seen. The effect is consistent with the
246 disintegration/ fragmentation of solids, as observed in previous studies (Chen et al., 2011; Chen et al.,
247 2012). Subsequent increases in temperature to 180 $^{\circ}\text{C}$ and 200 $^{\circ}\text{C}$ show the cumulative volume
248 distribution profiles shifting towards a proliferation of smaller and finer particles – characterized by a
249 ‘*swell-rupture effect*’. Comparatively, however, M-HTC appears to result in an increase in solid
250 fragmentation and solubilization compared to C-HTC, especially at 180 $^{\circ}\text{C}$. This further supports results
251 obtained during dewaterability studies. These data further illustrate an increase in fragmentation of raw

252 SS solids with increasing temperature, indicating that increased solid particle solubilization is a function
253 of reaction temperature.



254

255 Figure 1 Particle size distribution profiles of raw SS and chars from the M-HTC process



256

257 Figure 2 Particle size distribution profiles of raw SS and chars from C-HTC process

258 The significant reduction in particle size from both processes with increasing temperature when
259 compared with raw SS is made clearer by the D10, D50 and D90 distribution of chars recovered at the
260 three HTC temperature ranges compared to raw SS, as shown in Table 3.

261 Table 3: D10, D50 and D90 distribution of raw SS and carbonized chars from both HTC processes

Sample description	Diameter size (μm)		
	D10	D50	D90
Raw SS	17.1	347.4	875.8
M-HTC 160°C dried SS char	4.8	52.7	232.2
M-HTC 180°C dried SS char	3.9	31.9	88.2
M-HTC 200°C dried SS char	2.3	19.8	78.7
C-HTC 160°C dried SS char	4.2	44.3	227.3
C-HTC 180°C dried SS char	3.5	36.6	129.3
C-HTC 200°C dried SS char	3.3	25.2	80.9

262 Where:

263 D10 – represents the particle size (μm), where 10% of sample particle sizes are less (smaller) than this
 264 value and 90% are greater (larger) than this value

265 D50 – represents the median particle size (μm), where 50% i.e. half of sample particle sizes are less
 266 (smaller) than this value and the other half are greater (larger) than this value

267 D90 – represents the particle size (μm), where 90% of sample particle sizes are less (smaller) than this
 268 value and 10% are greater (larger) than this value

269 Using the cut-off diameter D90, raw SS was 876 μm compared to those obtained from M-HTC and C-
 270 HTC at 160°C of 232 μm and 227 μm respectively. This correlates with CST values of raw SS and CST
 271 values for chars obtained at 160°C. At 180°C and 200°C, D90 values decreased significantly to <90 μm
 272 and <130 μm for the M-HTC and C-HTC process respectively, in contrast to raw SS and chars obtained
 273 at 160°C. This further illustrates why dewaterability was promoted by temperatures greater than 160°C,
 274 although there appears to be no substantial benefit of raising the temperature above 180°C. The same
 275 trends were observed at D10 and D50 respectively across the two HTC processes, and at all other
 276 temperatures used. Comparatively, M-HTC indicated lower D50 and D90 values for char recovered at
 277 180°C and 200°C than those from the C-HTC process.

278 Effectively, both C-HTC and M-HTC heating methods behaved similarly; i.e. they initiated breakdown
 279 of solid aggregates and facilitated the removal of smaller particles of solids, while increasing HTC
 280 temperature further enhanced the fragmentation and solubilization of solid aggregates. This explains why
 281 the carbonized solids from both processes are more friable and easily ground into homogeneous
 282 powders after drying than the dried starting materials. Hence, both processes can convert raw SS into
 283 chars amenable to grinding and powdering for moulding into high-density pellets for fuel, for example.

284 However, the particle size distribution profiles of chars from both HTC methods suggest fragmentation,
285 and particle size reduction/re-distribution largely affected by the HTC temperature used. The microwave
286 heating mechanism though may have accounted for the increased fragmentation, smaller and finer
287 particles observed for chars recovered from the M-HTC process, as exposure to increasing energetic
288 microwave irradiation tends to make particles become smaller and smaller due to the continuous
289 frictions/attrition occurring between molecules of already degraded/disrupted sludge flocs and broken
290 sludge cells structure (Chang et al., 2011).

291 **3.4 Value recovery and consumptive energy audit**

292 Table 4 shows the char yield, concentration of ammonia in recovered liquor and energy consumption by
293 both processes. Generally, depending on the type and characteristics (among other factors) of the
294 feedstock, an average 50–80% in char yield is typical of HTC processes within temperature ranges of
295 180–250°C, regardless of the heating source (Libra et al., 2011; Funke and Ziegler, 2010). Different
296 yields are also characteristic of different feedstock properties, with moisture content and percentage
297 solid loading being crucial (Ramke et al., 2009; Masek, et al., 2013). Char yield recovered from both
298 processes in this study are within these range as shown in Table 4. The effects of carbonization
299 temperature on char yield from both processes are similar, i.e. char yield from both processes decreased
300 with increasing temperature of carbonization. This supports the proposition fragmentation and
301 solubilization during HTC process increase with carbonization temperature, while char yield decreases.
302 Net decreases in char yield over the temperature investigated were 10.8% and 14.1% for the M-HTC
303 and C-HTC processes respectively.

304 Up to 1.4 g/l of ammonia was recovered from both processes. The concentration of ammonia recovered
305 was observed to increase with temperature and this result is similar to previous studies (Sun et al., 2013;
306 Lian-hai, 2006; Wilson and Novak, 2009). Basically, protein and other nitrogenous compounds are the
307 primary sources of nitrogen in the raw HBW. At temperatures greater than 150°C, these compounds are
308 hydrolyzed and decomposed to amino acids, organic-N and ammonium compounds. With increasing
309 temperature ($\geq 180^\circ\text{C}$), deamination and hydrolysis of amino acids into short-chain volatile fatty acids,
310 ammonia and carbon IV oxide occurs (Sun et al., 2013; Lian-hai et al., 2006), which further illustrates the

311 increasing concentration of ammonia recovered as temperature increased to 200°C. Comparatively,
 312 while both processes tend to produce similar char yields, the C-HTC recovered a slightly higher
 313 concentration of ammonia at 180°C and 200°C than the M-HTC process. This may be due to the longer
 314 residence of the C-HTC process. The level of ammonia in the liquor phase from both processes
 315 supports the proposition that this may be used as liquid fertilizer. Ammonia recovery may be seen as an
 316 apparent additional economic benefit from HBW management using the HTC process. However, the
 317 direct use in agriculture requires further assessment and other factors, such as endocrine-disrupting
 318 exogenous compounds and heavy metals, which are beyond this study, ought to be considered.

319 When the overall energy required for processing raw SS into value-added char and ammonia in
 320 recovered liquor is taken into account, the C-HTC process consumed significantly more energy than the
 321 M-HTC process, as shown in Table 4. Energy required to process raw SS solids using the C-HTC
 322 process at every other temperature considered almost doubles that required for M-HTC, despite the
 323 relatively small differences in the char recovered and ammonia in recovered liquor from both processes.
 324 Additionally and as expected, energy consumption increased with increasing temperature; however, the
 325 C-HTC process consumed more with increasing temperature than M-HTC. For example, increasing
 326 temperature from 160 to 200°C for SS increased energy consumption by 19.73 Wh.g⁻¹ TS for M-HTC
 327 and 84Wh.g⁻¹ TS for the C-HTC process.

328 Table 4: Comparative energy consumption and char yield from both HTC methods

Temperature (°C)	Energy consumed (Wh.g ⁻¹ TS)		Char yield (%)		Ammonia in recovered liquor (g/l)	
	M-HTC	C-HTC	M-HTC	C-HTC	M-HTC	C-HTC
160	103.6±0.6	194.9±0.1	61.3±1.2	60.2±1.8	0.7±0.04	1.1±0.02
180	114.2±0.4	267.6±0.3	54.4±1.6	52.4±1.1	0.9±0.05	1.2 ±0.03
200	123.3±0.1	279.3±0.5	50.5±0.9	46.1±0.8	1.2±0.03	1.4±0.04

329 The higher energy consumption associated with the C-HTC process as compared with M-HTC is due to
 330 the average processing time (hrs)¹ required for the each process to achieve carbonization. Based on

¹ In this study, average processing time includes the warming time to peak temperature and residence time at that temperature. The M-HTC process takes 15mins to attain peak temperature and 30mins as minimum residence times to achieve carbonization; hence the 0.75hrs average processing/conversion time from raw SS to chars. The C-HTC process takes 2hrs to attain peak temperature and a minimum of 3hrs to ensure enough contact time for carbonization to occur.

331 average processing time involved to achieve carbonization from both processes in this study, the average
332 raw SS solids processing rate, g (TS)/hr, were 9.39 and 1.41 for M-HTC and C-HTC respectively. Hence
333 the M-HTC process has a higher process-conversion efficiency of raw SS into valuable char by a factor
334 of six and consumes less energy when compared with the C-HTC process. This is similar to the
335 conclusion of previous studies (Chang et al., 2011; Gronnow et al., 2013) that have identified the
336 microwave process as being more efficient for converting biomass into chars.

337 These data clearly demonstrate that both HTC temperature and heating method affect raw SS process-
338 conversion efficiency to char. While both processes behaved similarly in terms of char yield and
339 concentrated ammonia recovered in liquor with increasing carbonization temperature, the disparities in
340 SS conversion/processing rate and energy consumption can be attributed to differences in the heating
341 mechanisms between the processes. The C-HTC process transfers heat energy to material by convection
342 and conduction from the heating source via thermal gradients to the core of the processed material
343 inside the reactor. By contrast, the M-HTC process occurs at the molecular level via direct interaction of
344 high frequency electromagnetic radiation with dipolar molecules (water, proteins and other liquids
345 constituent of wet HBW), which cause dielectric heating from molecular rotation and vibrations. This in
346 effect leads to enhanced selectivity, homogenous and volumetric heating throughout the raw SS inside
347 the microwave reactor, which consequently leads to a faster process via novel reaction pathways,
348 potentially due to reduced activation energy (Sobhy and Chaouki, 2010; Yin, 2012). The merit of the
349 shorter processing time of raw SS and higher recovery rate of chars associated with microwave
350 processing further implies higher throughputs potential, and this may represent a significant advantage
351 over the C-HTC process in terms of biowaste processing for value-added products recovery.

352 **3.5 Chars and recovered liquor properties**

353 The proximate, elemental analysis of carbon, hydrogen, nitrogen and oxygen, and the energy properties
354 of chars and recovered liquor properties recovered at each carbonization temperature from both HTC
355 processes is presented in Table 5.

356

			M-HTC			C-HTC			
			160°C	180°C	200°C	160°C	180°C	200°C	
S O L I D	Proximate analysis (%)	TS	10.8±0.1	11.4±0.3	12.9±0.2	10.3±0.2	10.9±0.1	12.7±0.2	
		VS	65.5±2.1	62.6±1.1	58.6±0.7	67.1±0.3	62.9±0.9	59.2±0.0	
		FS	34.5±1.9	37.4±1.3	41.4±0.6	32.9±0.2	37.1±0.7	40.8±0.8	
	Elemental Analysis (%)	C	38.1±0.4	38.0±0.1	38.2±0.2	39.2±0.1	38.8±0.3	37.9±0.7	
		H	5.2±0.04	5.1±0.02	5.0±0.02	5.5±0.09	5.1±0.04	4.8±0.05	
		N	3.6±0.03	3.2±0.1	2.6±0.03	3.4±0.08	3.0±0.02	2.8±0.01	
		O*	53.1±0.5	53.7±0.2	54.2±0.2	51.9±0.3	53.1±0.4	54.5±0.8	
	C H A R	Carbon properties	C _{DF} ¹	1.04	1.03	1.04	1.07	1.06	1.04
			C _{RW} ²	61.4	54.4	50.7	62.0	53.5	45.9
			CSF ³	0.23	0.21	0.19	0.25	0.22	0.19
Energy properties	HHV (MJ/kg)	16.8±0.6	16.4±0.2	16.8±0.3	16.7±0.1	16.2±0.4	16.3±0.3		
	EEF ⁴	1.06	1.04	1.06	1.06	1.03	1.03		
	E _Y (%) ⁵	64.9	56.6	53.5	63.8	53.9	47.4		
L I Q U O R	pH		4.39	4.81	4.86	4.85	4.91	5.07	
	TOC (g/l)		9.3±0.2	9.7±0.1	10.3±0.4	9.6±0.3	10.3±0.5	9.9±0.4	
	COD (g/l)		30.8±0.8	31.5±0.5	32.3±0.6	34.1±0.4	35.6±0.1	36.8±0.6	
	VFA (g/l)		7.1±0.01	6.2±0.02	5.7±0.3	7.0±0.1	5.3±0.2	4.9±0.2	

359 *Determined by difference i.e. $[100 - \{C+H+N\}]%$ 360 ¹Carbon densification factor, $C_{DF} = \frac{\% \text{ carbon in dried char solids}}{\% \text{ carbon in dried raw SS}}$ 361 ²%Weighted carbon retained in chars from raw, $C_{RW} = \frac{(\% \text{ carbon in dried char solids} * \text{mass of dried char recovered})}{(\% \text{ carbon in dried raw SS} * \text{mass of dried raw SS})}$ 362 ³Carbon storage factor, $CSF = \frac{\text{Mass of carbon in char}}{\text{Mass of dried raw biowaste}}$ 363 ⁴Energy enrichment factor, $EEF = \frac{HHV \text{ of dried chars solids}}{HHV \text{ of dried raw SS}}$ 364 ⁵Energy yield, $E_Y (\%) = EEF \times \text{Char Yield} (\%)$

365

366 The total solids (TS) of chars are greater than of raw SS (4.4%), this being consistent with decreased

367 moisture content. Higher TS in chars is a typical characteristic of the HTC process, from the re-

368 distribution of solids during the process. TS of chars were also observed to increase as carbonization

369 temperature increased. The volatile solids (VS) and fixed solids (FS) of chars recovered from both HTC

370 processes were similar in range: 59% to 67% and 32% to 41% respectively. While VS decreased with

371 increasing carbonization temperature, fixed solids (FS) appeared to increase with increasing

372 carbonization temperature. The carbon content of chars recovered at each HTC temperature

373 investigated was fairly stable at 38%, representing less than 3% increase over raw SS. The carbon

374 densification factor, which indicates the ratio of carbon concentrated in chars (dry basis) compared with

375 raw SS, was greater than 1 in all cases. Literature values for carbon densification range between 1 and 1.8
376 (Servill and Fuertes, 2009a; Servill and Fuertes, 2009b; Lu et al., 2013). Between 45.9% and 62% of
377 carbon from starting SS was retained in recovered chars, and this range was similar to previous study
378 findings on the carbon distribution in solid chars recovered from municipal waste and lignocellulosic
379 substrates (Lu et al., 2012; Berge et al., 2011; Hoekman et al., 2011). However, carbon retained in
380 recovered char decreased with increasing HTC temperature investigated due to C-content solubilization.
381 The amount of carbon sequestered in chars after HTC was estimated as the carbon storage factor (CSF).
382 CSF represents the mass equivalence of carbon remaining in char solids per unit dry mass of raw
383 feedstock after biological decompositions in a landfill (Barlaz, 1998). This factor provides a means for a
384 relative comparison of sequestered carbon. Table 5 shows that CSF values ranged between 0.19 and 0.25
385 from both methods. CSF was also observed to decrease slightly as the temperature increased from
386 160°C to 200°C. Previously reported CSF values for paper, food, municipal solid waste (MSW) and
387 anaerobic digested wastes were 0.18, 0.34, 0.23 and 0.14 respectively (Lu et al., 2012). Comparatively,
388 these ranges are very similar to those reported in this study. High CSF values could imply a potentially
389 long-term stability of carbon sequestered in chars if disposed or used in agriculture; however, this is still
390 largely unknown and requires further investigation.

391 The higher heating value (HHV), is one of the most important characteristics of chars regarding their
392 potential use as solid fuel. The calorific value also enables the estimation/assessment of key energetic
393 parameters such as energy densification and energy yield for comparative assessment with both raw SS
394 and conventional fuels. The effect of HTC temperature and heating methods on raw SS was observed to
395 generate chars with calorific value improvement up to 16.8 MJ/kg, greater than low-rank fuels such as
396 peat (13.8–15.4 MJ/kg), comparable to lignite (16.3–16.9 MJ/kg) and close to some grades of
397 bituminous coal (17 MJ/kg) (Speight, 2005; Haykiri-Açma et al., 2002; Haykiri-Açma and Yaman 2010;).
398 Similar observations have been reported in many studies, with many substrates and heating sources
399 (Parshetti et al., 2012; Hoekman et al., 2011; Chen et al., 2012). The energy content of chars recovered from
400 wastewater sludge ranges from 14.4 to 27.2MJ.kg⁻¹ (Berge et al., 2012; Ramke et al., 2009; Ozcimen and
401 Ersoy-Mericboyu, 2010; Lu et al., 2011). These are comparable to the HHVs obtained for all chars

402 recovered in this study. During HTC carbonization, the solid mass decreases due to solubilization and
403 this results in energy densification – as indicated by the energy enrichment factor (EEF) i.e. ratio of the
404 HHV of char to raw SS. In Table 5, the EEF of all chars recovered from raw SS was greater than 1 in all
405 cases. Both processes yield energy densification factors comparable to previous-reported HTC studies
406 specifically run to enhance energy densification on a variety of feedstocks (Roman et al. 2012; Hwang et
407 al., 2012). This is evidence that both HTC processes appear to promote energy densification in chars.
408 Energy yield provides a means for assessing the energy recoverable from chars. Consistent with similar
409 studies, energy yields decreases gradually with increasing carbonization temperature – primarily due to
410 reducing char yield. Increasing temperature from 160 to 200°C resulted in a corresponding decrease in
411 energy yield by 11% and 16% from the M-HTC and C-HTC processes respectively. Measured
412 independently, carbon densification and energy enrichment factor values are in agreement, which reflect
413 the relationships between carbon content and heating value of the chars. N-content in raw SS decreased
414 when compared to those recorded in recovered chars as seen in Table 5 due to thermolytic flushing of
415 N-content in raw SS into the liquid phase during the HTC process. This increased with increasing
416 temperature and was consistent with the increase in ammonia concentration in liquor recovered. The
417 low N-content in chars will further reduce the amount of unwanted nitrogen oxides during combustion,
418 reducing environmental impact.

419 The properties of liquor measured across the temperature ranges studied in this work suggest similarity
420 in values and trends for both HTC methods, with HTC temperature largely affecting measured values.
421 The pH of the liquor was generally slightly acidic and increased slightly with increasing HTC
422 temperature. This is consistent with volatile fatty acid (VFA) values, which decreased with increasing
423 temperature. Increasing decomposition of organic acids or volatilization of intermediate organic
424 compounds with increasing temperature may be responsible for observations associated with decreasing
425 VFA values. Similarly, both total organic compound (TOC) and chemical oxygen demand (COD) values
426 generally tend to increase with increasing temperature for both HTC methods. This is because in the
427 presence of sub-critical water, polysaccharides (the primary source of C-content in HBW) are broken
428 down and enhanced in dissolution rates into the liquid phase as HTC temperatures increases. This

429 ultimately aids the absolute carbon loss per unit mass of raw HBW to the liquid phase, as revealed by the
430 COD values.

431 **4. Conclusion**

432 The potentials of conventional and microwave HTC processes (under three temperature regimes) for
433 treating/processing HBW, while recovering value-added solid char fuel and liquor rich in ammonia, is
434 demonstrated and comparatively evaluated in this paper. No doubt, both parameters i.e. HTC source of
435 heating and temperature investigated are important for the conversion of HBW and recovery of valuable
436 end products. While both processes compare in yield, carbon and energetic properties of char and
437 recovered liquor, differences were observed in dewaterability, particle size distributions and energy use.
438 They both produced chars of lower particle size distribution, 70–130 μm (using D90 as the cut-off
439 diameter) when compared with raw SS, yet with improved CST values corresponding to improved
440 sludge dewaterability. Based on this study, the potential merits of M-HTC over the C-HTC process in
441 terms of biowaste-processing efficiency include:

- 442 • faster processing times, due to rapid volumetric heating;
- 443 • higher processing rates, due to the relatively lower residence time required;
- 444 • a better dewaterability rate, due to the thermal and athermal effects of microwave heating;
- 445 • the lower energy requirement to convert SS into valuable end products (chars and ammonia
446 liquor) at all temperatures; and
- 447 • potential recovery of char yields slightly higher than for the C-HTC process, despite higher
448 energy consumption and processing time.

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